Supplemental Materials for Effects of Reduced-Nicotine Cigarettes Across Regulatory Environments in the Experimental Tobacco Marketplace: A Randomized Trial

Submitted to Nicotine and Tobacco Research

Brent A. Kaplan, PhD^a, Mikhail N. Koffarnus, PhD^a, Christopher T. Franck, PhD^b, & Warren K. Bickel, PhD^{c,d,e}

^a Department of Family and Community Medicine, Lexington, KY, USA

^b Department of Statistics, Virginia Tech, Blacksburg, VA, USA

^c Addiction Recovery Research Center, Fralin Biomedical Research Institute at VTC, Roanoke, VA, USA

^d Graduate Program in Translational Biology, Medicine, and Health, Virginia Tech, Blacksburg, Virginia, USA

^e Department of Psychology, Virginia Tech, Blacksburg, VA, USA



$CONSORT\ 2010\ checklist\ of\ information\ to\ include\ when\ reporting\ a\ randomised\ trial*$

Section/Topic	ltem No	Checklist item	Reported on page No
Title and abstract			
	1a	Identification as a randomised trial in the title	N/A
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	2
Introduction			
Background and	2a	Scientific background and explanation of rationale	3
objectives	2b	Specific objectives or hypotheses	4
Methods			
Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	5
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	N/A
Participants	4a	Eligibility criteria for participants	5
	4b	Settings and locations where the data were collected	5
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	5-7
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	8
	6b	Any changes to trial outcomes after the trial commenced, with reasons	N/A
Sample size	7a	How sample size was determined	N/A
·	7b	When applicable, explanation of any interim analyses and stopping guidelines	N/A
Randomisation:			
Sequence	8a	Method used to generate the random allocation sequence	N/A
generation	8b	Type of randomisation; details of any restriction (such as blocking and block size)	5
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	N/A
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	5
Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how	5
	11b	If relevant, description of the similarity of interventions	5-7
Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes	8
	12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses	8

Results

Participant flow (a diagram is strongly	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome	Figure S1
recommended)	13b	For each group, losses and exclusions after randomisation, together with reasons	Figure S1
Recruitment	14a	Dates defining the periods of recruitment and follow-up	5
	14b	Why the trial ended or was stopped	N/A
Baseline data	15	A table showing baseline demographic and clinical characteristics for each group	Table 1
Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups	Table 1
Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)	9-13
	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended	9-13
Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory	9-13
Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	N/A
Discussion			
Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	16
Generalisability	21	Generalisability (external validity, applicability) of the trial findings	16
Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	14-17
Other information			
Registration	23	Registration number and name of trial registry	1
Protocol	24	Where the full trial protocol can be accessed, if available	1
Funding	25	Sources of funding and other support (such as supply of drugs), role of funders	1

*We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see <u>www.consort-statement.org</u>.

Table S1. Produ	icts and prices available in the Experime	ntal Tobacco Mark	etplace		Rogulatory F	Invironments	
Category	Product	Unit	Price (\$USD)	Current Marketplace	Experimental Control	Proposed Marketplace	Combined Marketplace
Combusted Cigarette	Investigational cigarette	Single cigarette	0.25 ea (fixed); - 0.13, 0.25, 0.50, 1.00,		X	X	X
Combusted Cigarette	Usual-brand cigarette	Single cigarette	2.00, 4.00 ea (variable)	X			X
ENDS (disposable e- cigarette)	400 puff V2 tobacco/menthol 1.8%; Blu tobacco/menthol/cherry	Single disposable cigarette	4.99 ea; 7.99 ea	X		X	X
ENDS (e-liquid)	32 degrees menthol, American red tobacco, crazy grape 6 and 24mg/g	2 mL bottle	0.87 ea	X		X	X
Snus	Camel frost/mellow/mint	Single pouch	0.23 ea	X		X	X
Snus	General classic/mint/wintergreen	Single pouch	0.09 ea	X		X	X
Dip	Skoal straight/mint/wintergreen	Single pouch	0.26 ea	X		Х	Х
Dip	Grizzly straight/mint/wintergreen	Single pouch	0.21 ea	X		X	Х
NRT	Nicorette gum fruit/mint 4mg	Single piece	0.40 ea	X		Х	Х
NRT	Nicorette lozenge original/mint 4mg	Single piece	0.56 ea	X		X	X

Pearson Bivariate Correlations

Table S2. Pearson Bivariate Correlations Among Demographic Variables									
	Age	Education	Monthly Income	FTND					
Age									
Education	0.24**								
Monthly Income	0.19*	0.30***							
FTND	-0.12	-0.26**	-0.17*						
Cigarettes per Day	-0.08	-0.11	-0.03	0.61****					
*p < .05, **p < .01, ***p < .001, ****p < .0001									

Extra Sum-of-Squares F-tests (Investigational Cigarettes)

Log(Q₀) Std. Err	K	R ²	Log(a)	Log(α) Std. Err	N	AbsSS	Notes	F-test
2.421	2	0.305	-4.944	-8.165	2717	30238	converged	Summary of F-test Conclusion: reject the null hypothesis
1.443	2	0.305	-4.944	-8.165	2717	30238	converged	F(2,2711) = 30.9528, p = 0
0.501	2	0.305	-4.944	-8.165	2717	30238	converged	
2.354	2	0.350	-5.047	-7.935	905	13528	converged	
2.255 4.651	2	0.222	-4.583 -3.478	-7.138 -4.828	906 906	10744 52912	converged	
	td. Err 2.421 1.443 0.501 2.354	td. Err K 2.421 2 1.443 2 0.501 2 2.354 2 2.255 2	td. Err K R ² 2.421 2 0.305 1.443 2 0.305 0.501 2 0.305 2.354 2 0.350 2.255 2 0.222	td. ErrK \mathbb{R}^2 Log(α)2.42120.305-4.9441.44320.305-4.9440.50120.305-4.9442.35420.350-5.0472.25520.222-4.583	td. Err K R ² Log(α) Std. Err 2.421 2 0.305 -4.944 -8.165 1.443 2 0.305 -4.944 -8.165 0.501 2 0.305 -4.944 -8.165 2.354 2 0.350 -5.047 -7.935 2.255 2 0.222 -4.583 -7.138	td. ErrKR²Log(α)Std. ErrN2.42120.305-4.944-8.16527171.44320.305-4.944-8.16527170.50120.305-4.944-8.16527172.35420.350-5.047-7.9359052.25520.222-4.583-7.138906	td. ErrKR²Log(α)Std. ErrNAbsSS2.42120.305-4.944-8.1652717302381.44320.305-4.944-8.1652717302380.50120.305-4.944-8.1652717302382.35420.350-5.047-7.935905135282.25520.222-4.583-7.13890610744	td. ErrKR2Log(α)Std. ErrNAbsSSNotes2.42120.305-4.944-8.165271730238converged1.44320.305-4.944-8.165271730238converged0.50120.305-4.944-8.165271730238converged2.35420.350-5.047-7.93590513528converged2.25520.222-4.583-7.13890610744converged

Table S4. Comparison of Q₀

Regulatory Environment	Log(Q ₀)	Log(Q ₀) Std. Err	К	R ²	Log(α)	Log(α) Std. Err	N	AbsSS	Notes	F-test
Shared Experimental	0				0					Summary of F-test Conclusion: reject the
Control Proposed	4.784	1.957	2	0.318	-5.086	-8.075	2717	2967	converged	null hypothesis F(2,2711) = 4.8947, p =
Marketplace Combined	4.784	1.957	2	0.318	-4.475	-7.502	2717	2967	converged	0.0076
Marketplace	4.784	1.957	2	0.318	-3.443	-5.837	2717	2967	converged	
Not Shared Experimental										
Control Proposed	4.875	2.354	2	0.350	-5.047	-7.935	905	13528	converged	
Marketplace Combined	4.425	2.255	2	0.222	-4.583	-7.138	906	10744	converged	
Marketplace	4.887	4.651	2	0.087	-3.478	-4.828	906	52912	converged	

GEE Predicting Zero Purchasing

Table S5. GEE Predicting All Zero Purchasing								
	All	Zero Purchasing	5					
Predictors	Odds Ratios	CI	р					
(Intercept)	0.08	0.03 – 0.28	<0.001					
Cigarettes per Day	0.96	0.93 – 1.00	0.064					
Experimental Control	Reference							
Proposed Marketplace	7.03	3.67 - 13.45	<0.001					
Combined Marketplace	36.45	17.17 – 77.36	<0.001					
15.8mg/g	Reference							
5.2mg/g	1.53	0.66 – 3.53	0.324					
2.4mg/g	1.28	0.56 – 2.94	0.560					
1.3mg/g	1.94	0.81 – 4.65	0.139					
0.4mg/g	1.12	0.46 - 2.70	0.804					
Usual Brand Flavor [Menthol]	Reference							
Tobacco	1.34	0.76 – 2.36	0.312					
Monthly Income (\$1000)	0.82	0.59 – 1.14	0.239					
Multiuser Status [Multiuser]	1.52	0.81 – 2.86	0.188					
N _{id}	150							
Observations	450							

Table S6. GEE Predicting Experimental Cigarette Purchasing								
	Experime	ntal Cigarettes Pu	urchased					
Predictors	Estimates	CI	р					
(Intercept)	1.10	-11.07 – 13.27	0.860					
Cigarettes per Day	0.94	0.48 – 1.39	<0.001					
Experimental Control	Reference							
Proposed Marketplace	-9.02	-11.066.98	<0.001					
Combined Marketplace	-19.98	-22.7317.22	<0.001					
15.8mg/g	Reference							
5.2mg/g	3.63	-5.24 - 12.50	0.423					
2.4mg/g	-0.67	-7.80 - 6.47	0.855					
1.3mg/g	-4.11	-11.12 – 2.90	0.250					
0.4mg/g	-1.89	-11.24 – 7.46	0.692					
Proposed Marketplace x Log(Cigarette price)	18.66	13.20 - 24.13	<0.001					
Combined Marketplace x Log(Cigarette price)	41.69	34.93 - 48.44	<0.001					
Sex [Female]	Reference							
Male	1.36	-3.98 - 6.70	0.618					
Log(Cigarette price)	-52.58	-60.2144.94	<0.001					
Monthly Income (\$1000)	2.64	0.50 - 4.78	0.015					
Usual Brand Flavor [Menthol]	Reference							
Tobacco	-0.09	-5.42 - 5.24	0.974					
N _{id}	150							
Observations	2699							

GEE Experimental Cigarette Purchasing

Extra Sum-of-Squares F-tests (Conventional Cigarettes)

Table S7. Comparison of α										
Regulatory Environment	Log(Q ₀)	Log(Q ₀) Std. Err	К	R ²	Log(α)	Log(α) Std. Err	Ν	AbsSS	Notes	F-test
Shared Current										Summary of F-test Conclusion: reject the
Marketplace Combined	5.187	2.561	2	0.470	-5.076	-8.607	1811	21560	converged	null hypothesis F(1,1807) = 9.9752, p =
Marketplace	4.880	2.100	2	0.470	-5.076	-8.607	1811	21560	converged	0.0016
Not Shared Current										
Marketplace Combined	5.124	2.418	2	0.508	-5.147	-8.404	906	10631	converged	
Marketplace	4.987	2.491	2	0.430	-4.960	-8.071	905	10810	converged	

Table S8. Comparison of Q_0

		\approx								
Regulatory Environment	Log(Q ₀)	Log(Q ₀) Std. Err	К	R ²	Log(α)	Log(a) Std. Err	Ν	AbsSS	Notes	F-test
Shared Current										Summary of F-test Conclusion: fail to reject
Marketplace Combined	5.072	2.115	2	0.473	-5.163	-8.419	1811	21462	converged	the null hypothesis F(1,1807) = 1.7176, p =
Marketplace	5.072	2.115	2	0.473	-4.939	-8.221	1811	21462	converged	0.1902
Not Shared Current										
Marketplace Combined	5.124	2.418	2	0.508	-5.147	-8.404	906	10631	converged	
Marketplace	4.987	2.491	2	0.430	-4.960	-8.071	905	10810	converged	

Table S9. GEE Predicting Conventional Cigarette Purchasing								
	Conventional Cigarettes Purchased							
Predictors	Estimates	CI	р					
(Intercept)	-4.93	-16.19 - 6.33	0.391					
Cigarettes per Day	1.25	0.81 – 1.69	<0.001					
Current Marketplace	Reference							
Combined Marketplace	-4.77	-6.732.81	<0.001					
15.8mg/g	Reference							
5.2mg/g	-0.21	-9.25 - 8.83	0.964					
2.4mg/g	-3.51	-12.64 - 5.62	0.451					
1.3mg/g	-4.52	-13.68 - 4.63	0.333					
0.4mg/g	-7.27	-16.80 - 2.26	0.135					
Combined Marketplace x Log(Cigarette price)	9.21	3.70 - 14.73	0.001					
Sex [Female]	Reference							
Male	5.10	-0.22 - 10.41	0.060					
Log(Cigarette price)	-63.64	-70.5156.76	<0.001					
Monthly Income (\$1000)	3.48	1.33 - 5.62	0.001					
Usual Brand Flavor [Menthol]	Reference							
Tobacco	2.97	-2.42 - 8.37	0.280					
N id	150							
Observations	1799							

GEE Conventional Cigarette Purchasing

GEE Cigarette Substitution

Table S10. GEE Predicting Cigarette Sub	ostitution		
	Cig	arettes Purchase	d
Predictors	Estimates	CI	р
(Intercept)	-18.92	-35.002.84	0.021
Cigarettes per Day	1.54	0.92 - 2.15	<0.001
15.8mg/g	Reference		
5.2mg/g	-3.54	-16.59 – 9.51	0.595
2.4mg/g	-0.70	-11.95 – 10.55	0.903
1.3mg/g	-9.32	-20.55 - 1.91	0.104
0.4mg/g	-10.75	-23.98 - 2.47	0.111
Investigational Cigarette	Reference		
Usual Brand Cigarette	33.78	28.06 - 39.51	<0.001
Usual Brand Cigarette x Log(Cigarette price)	-9.10	-13.384.81	<0.001
Sex [Female]	Reference		
Male	3.10	-4.80 - 11.01	0.441
Log(Cigarette price)	13.09	8.56 - 17.62	<0.001
Monthly Income (\$1000)	6.33	3.06 - 9.60	<0.001
Usual Brand Flavor [Menthol]	Reference		
Tobacco	5.32	-3.42 - 14.05	0.233
N _{id}	150		
Observations	1799		

Estimated Marginal Means Nicotine By Regulatory Environment and Cigarette Group

Table S11. Estimated Margina	l Means of Nicotine fro Estimated	om Alternate Pro Standard	oduct Purchasing Degrees of
Regulatory Environment	Marginal Mean	Error	Freedom
15.8mg/g			
Current Marketplace	15.25	3.31	219.86
Proposed Marketplace Combined Marketplace	14.93	3.31	219.86
(UB Price Increasing) Combined Marketplace	7.17	3.31	219.86
(IC Price Increasing)	6.67	3.31	219.86
5.2mg/g			
Current Marketplace	13.67	3.19	220.73
Proposed Marketplace Combined Marketplace	16.92	3.19	220.73
(UB Price Increasing) Combined Marketplace	13.30	3.19	221.39
(IC Price Increasing) 2.4mg/g	11.36	3.19	220.73
Current Marketplace	15.25	3.26	219.42
Proposed Marketplace	17.42	3.26	219.42
Combined Marketplace (UB Price Increasing) Combined Marketplace	8.34	3.26	219.42
(IC Price Increasing)	8.81	3.26	219.42
1.3mg/g			
Current Marketplace	12.29	3.47	213.70
Proposed Marketplace Combined Marketplace	25.54	3.47	213.70
(UB Price Increasing) Combined Marketplace	11.27	3.47	213.70
(IC Price Increasing)	9.62	3.47	213.70
0.4mg/g			
Current Marketplace	4.07	3.13	221.60
Proposed Marketplace Combined Marketplace	12.70	3.13	221.60
(UB Price Increasing) Combined Marketplace	4.58	3.13	221.60
(IC Price Increasing)	3.50	3.13	221.60

Figure S1

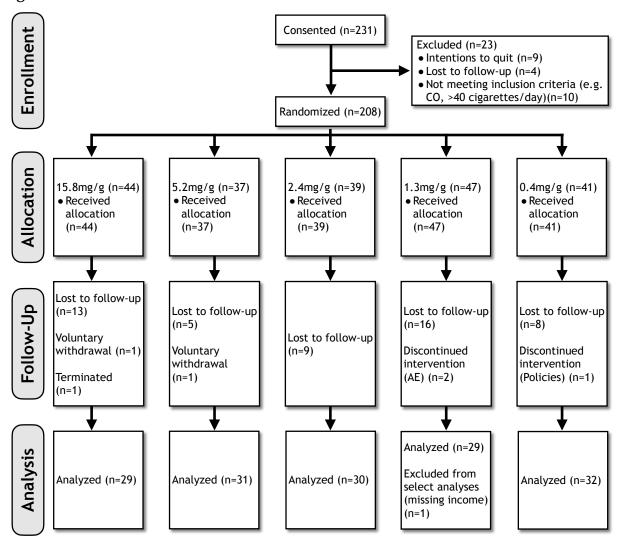


Figure S1. CONSORT diagram for this between subjects, double-blind experimental design, where randomized allocation is the between-subjects factor, and repeated measurements over time is the within-subjects factor.

Figure S2

ARRC	Tobacco Marketplace Catalog		 Empty \$31.25 Remaining Items 1-28 Displayed
	Experimental Cigarette An investigational tobacco product (experimental cigarette) that you have recently used for this study. This price is for 1 cigarette. Flavor: Tobacco	\$0.13	Unit: single cigarette Qty: 1 응 밫 Add to Cart
9	400 Puff V2 Menthol Disposable 1 400 Puff V2 Disposable E-cigarette in Menthol flavor. Flavor: Menthol Nicotine: 18 mg/mL nicotine.	\$4.99	Unit: single disposable e-cigarette Qty: 1 응 낮: Add to Cart
	400 Puff V2 Tobacco Disposable 1 400 Puff V2 Disposable E-cigarette in Tobacco flavor. Flavor: Tobacco Nicotine: 18 mg/mL nicotine.	\$4.99	Unit: single disposable e-cigarette Qty: 1 : () 낮: Add to Cart
[3- ₩	Blu Disposable Cherry 1 Blu Disposable e-cigarette in Cherry flavor. Flavor: Cherry Nicotine: 24 mg/mL nicotine	\$7.99	Unit: single disposable e-cigarette Qty: 1 응 ᅶ Add to Cart
[*3- -3 2]	Blu Disposable Menthol 1 Blu Disposable e-cigarette in Menthol flavor. Flavor: Menthol Nicotine: 24 mg/mL nicotine	\$7.99	Unit: single disposable e-cigarette Qty: 1 : Let Add to Cart

Figure S2. Actual screenshot of the Experimental Tobacco Marketplace.

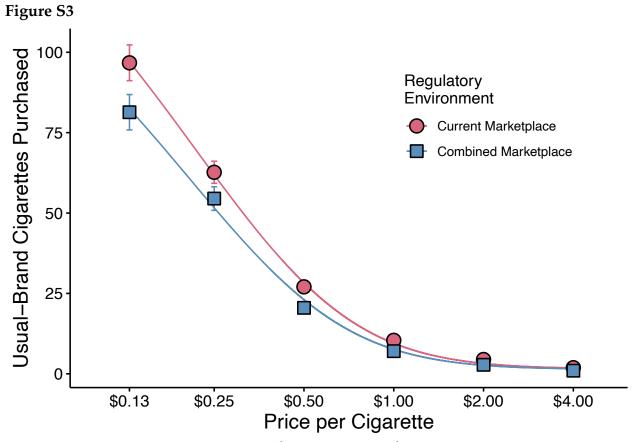


Figure S3. Usual-brand cigarettes purchased across each regulatory environment condition. Symbols represent mean and standard error of the mean.

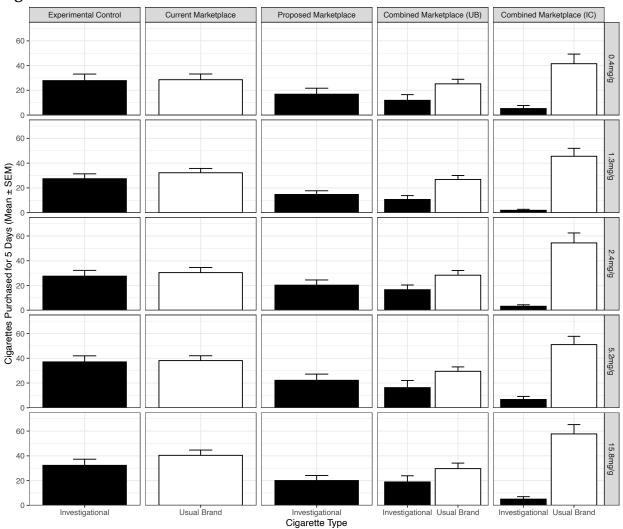


Figure S4. Investigational and usual-brand cigarettes purchased across each regulatory environment condition and within each cigarette group. Combined Marketplace (UB) indicates usual-brand cigarette increasing in price, whereas Combined Marketplace (IC) indicates investigational cigarette increasing in price. In both regulatory environments, the alternate cigarette was fixed at \$0.25 per cigarette.

Figure S4