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Protocol for a randomized controlled trial on the feasibility and effects of ten-hour time-restricted eating on cardiometabolic disease risk among career firefighters doing 24-hour shiftwork: The Healthy Heroes Study

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Complete List of Authors:	Manoogian, Emily; Salk Institute for Biological Studies Zadourian, Adena; University of California San Diego School of Medicine, Division of Cardiovascular Diseases, Department of Medicine Lo, Hannah; University of California San Diego School of Medicine, Division of Cardiovascular Diseases, Department of Medicine Gutierrez, Nikko; Salk Institute for Biological Studies Shoghi, Azarin; Salk Institute for Biological Studies Rosander, Ashley; University of California San Diego School of Medicine, Division of Cardiovascular Diseases, Department of Medicine Pazargadi, Aryana; University of California San Diego School of Medicine, Division of Cardiovascular Diseases, Department of Medicine Wang, Xinran; Salk Institute for Biological Studies Fleischer, Jason; Salk Institute for Biological Studies; University of California San Diego School of Medicine, Department of Cognitive Science Golshan, Shahrokh; University of California San Diego School of Medicine, Department of Psychiatry Taub, Pam; University of California San Diego School of Medicine, Division of Cardiovascular Diseases, Department of Medicine Panda, Satchidananda; Salk Institute for Biological Studies,
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4 **time-restricted eating on cardiometabolic disease risk among career firefighters doing 24-**
5 **hour shiftwork: The Healthy Heroes Study**
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7
8 **Authors:** Emily N.C. Manoogian^a, Adena Zadourian^b, Hannah C. Lo^b, Nikko Gutierrez^a, Azarin
9 Shoghi^a, Ashley Rosander^b, Aryana Pazargadi^b, Xinran Wang^a, Jason G. Fleischer^{ad}, Shahrokh
10 Golshan^c, Pam R. Taub^b, Satchidananda Panda^a
11
12

13
14
15 **Authors affiliation:**

16 ^aThe Salk Institute for Biological Studies, La Jolla, CA 92037, USA

17 ^bDivision of Cardiovascular Medicine, Department of Medicine, University of California San
18 Diego, La Jolla, CA 92037, USA

19 ^cDepartment of Psychiatry, University of California San Diego, La Jolla, CA 92037, USA

20 ^dDepartment of Cognitive Science, University of California San Diego, La Jolla, CA 92037,
21 USA
22
23

24
25 **Correspondence:**

26 Satchidananda Panda, The Salk Institute for Biological Studies, La Jolla, CA 92037, USA,
27 panda@salk.edu
28
29

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Abstract

Introduction: Career firefighters experience chronic circadian rhythm disruption, which increases their risk of cardiometabolic disease. The recent discovery that eating patterns regulate circadian rhythmicity in metabolic organs has raised the hypothesis that maintaining a consistent daily cycle of eating and fasting can support circadian rhythms and reduce disease risks. Preclinical animal studies and preliminary clinical trials have shown promising effects of time-restricted eating on reducing disease risk without compromising physical performance. However, there is a lack of randomized controlled trials on time-restricted eating (TRE) on shift workers including firefighters. This study aims to investigate the feasibility and efficacy of ten-hour TRE on health parameters that contribute to cardiometabolic disease risks among career firefighters who work a 24-hour shift schedule.

Methods and analyses: The Healthy Heroes Study is a randomized controlled parallel open-label clinical trial with 150 firefighters over 1 year. Firefighters are randomized with a 1:1 ratio to either the control or intervention group. The control group receives behavioral nutritional counseling (standard of care, “SOC”). The intervention group receives the same SOC with the addition of a self-selected 10-h TRE window. After the 2-week baseline, participants enter a 3-month monitored intervention period, followed by a 9-month self-guided period with follow-up assessments. The impact of TRE on blood glucose levels, body weight, body composition, biomarkers (neuroendocrine, inflammatory, and metabolic), sleep, and mood are evaluated. These assessments occur at baseline, the end of the 3-month intervention period, and follow-ups at 6-, 9-, and 12-months. Temporal calorie intake is monitored with the smartphone application myCircadianClock throughout the study. Continuous glucose monitors, wrist-worn actigraphy, and questionnaires are used to monitor glucose levels, activity, sleep, and light exposure.

Ethics and Dissemination: The study has been approved by the Institutional Review Boards of the University of California, San Diego, and the Salk Institute for Biological Studies.

Article Summary

Strengths and Limitations

- In accordance with the funding agency's recommendation to avoid unintended health-based discrimination at work, the eligibility criteria do not exclude healthy firefighters with normal values of metabolic health (relevant data can be analyzed upon stratification of healthy or non-healthy parameters).
- Mediterranean diet is used as the SOC as it is known to improve metabolic health.
- The career firefighters in San Diego Fire and Rescue adopt a 24-h shift schedule that is also followed among 74% of fire departments in the US; however, the feasibility, adoptability, and efficacy of a 10-hr TRE among volunteer firefighters, and those with a shift schedule different from 24 h may not be generalized from this study.
- The study uses the myCircadianClock app to monitor and guide participants, which reduces the burden of frequent clinic visits and can be used for large scale adoption of the results at the national and international level.
- The study uses continuous measurements of activity, sleep, and interstitial glucose levels with integrative analyses of these data streams to offer deep insight into the impact of shift work on blood glucose regulation.

Introduction

Shift workers constitute up to 20% of the workforce in industrial countries and they are indispensable to the functioning of modern societies. Firefighters are shift workers who often work at night when our circadian rhythm instructs our body to sleep. Chronic disruption of circadian (~24-hour, h) daily rhythms among shift workers, including firefighters, increases the risk of obesity, diabetes, cardiovascular diseases, insomnia, and cancer¹⁻⁹. However, pragmatic lifestyle intervention to counteract the adverse health effects of shift work is lacking. Recent progress in circadian science has raised the possibility of novel interventions for reducing the disease risk of firefighters.

While the major emphasis on reducing circadian disruption has been on restoring sleep, the impact of food timing on health has opened new avenues to lessen the adverse effects of circadian disruption. Preclinical studies have shown that restricting all food intake to a consistent 8-12 h window- without reducing calories - can prevent and reverse obesity, diabetes, digestive disorders, liver disease, and cardiovascular disease¹⁰. Restricting the timing of food without explicitly reducing calories is called Time-Restricted Eating (TRE). More importantly, TRE does not compromise physical fitness, but rather improves motor coordination and endurance^{11 12}, critical attributes for firefighters. Pre-clinical animal models revealed cellular and molecular changes by which TRE improves health. TRE enhances the circadian clock to optimize health by coordinating the timing of digestive hormones, metabolic enzymes, and storage depots in the metabolism of sugar and fat for optimal function^{13 14}. Abnormal sugar and fat metabolism are implicated in numerous diseases including obesity, diabetes, and cardiovascular diseases¹⁵.

The scope and feasibility of TRE in shift workers have not been studied. Random eating patterns are widespread among both non-shift and shift workers^{11 16}. Non-shift workers can adopt a 10-h eating window and self-sustain the new behavior that reduced body weight and improved sleep¹¹. Such an eating pattern intervention also indirectly improves nutrition quality by reducing excessive caloric intake by up to 20%, most of which comes from an unhealthy energy-dense diet.

There is increasing evidence that TRE or other forms of fasting can lead to reduced cardiovascular diseases and even cancer¹⁷. More importantly, the American Heart Association¹⁸ and the National Nutrition Task Force¹⁹ have emphasized the importance of daily eating-fasting rhythm in disease prevention.

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3 However, TRE has never been tried among firefighters in a randomized controlled trial
4 (RCT). Nearly 75% of firefighters nationwide are overweight or obese²⁰⁻²². Comorbidities
5 associated with obesity are prevalent among firefighters²². Obesity jeopardizes their safety and
6 well-being as well as public safety. Obesity is also a significant risk factor for subsequent disability
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However, TRE has never been tried among firefighters in a randomized controlled trial (RCT). Nearly 75% of firefighters nationwide are overweight or obese²⁰⁻²². Comorbidities associated with obesity are prevalent among firefighters²². Obesity jeopardizes their safety and well-being as well as public safety. Obesity is also a significant risk factor for subsequent disability^{23 24}. Therefore, we hypothesize that TRE can improve blood glucose regulation, reduce obesity, and attenuate comorbidities associated with impaired glucose homeostasis or obesity.

In a randomized controlled trial, this protocol will test the efficacy of eating time intervention relative to standard behavioral counseling on firefighters' health. The firefighters' cardiometabolic disease risks will be tested through a series of blood tests and questionnaires. A customized smartphone app developed in our lab will be used to guide participants to adopt the new eating pattern and log their lifestyle data.

Methods

Overview

In this RCT, firefighters from San Diego County are randomly assigned to a control group of behavioral nutrition counseling (standard of care, "SOC") or the intervention group of SOC with the addition of adopting a 10-h eating window for 3 months (TRE). Participants are followed up for one year. Participants in the TRE group may eat outside the 10-h window up to 2 days/week to allow social commitments that are deemed necessary for sustaining their emotional health. The research team also works with participants to help adjust for challenging schedules. The impact of TRE on blood glucose levels, NMR-lipid profile, biomarkers, body weight, body composition, sleep, and mood will be evaluated. Questionnaires will be administered for self-reported health and wellness assessments. Participants use an electronic diary (smartphone myCircadianClock application ("mCC app")) to log their caloric intake. Sleep and activity are passively measured with actiwatches at baseline and every 3-months following. *We hypothesize that imposing eating-fasting cycles (TRE) will restore the equilibrium between catabolic and anabolic processes, which will promote glucose and lipid homeostasis, strengthen neuroendocrine signals, improve the regulation of circadian rhythms leading to a reduction of cardiometabolic disease risks, and improved sleep and subjective quality of life.*

The first participant was enrolled in the study on May 8, 2018. Due to COVID-19 and severe fire seasons in California, the study timeline was delayed. The study is expected to be

completed in January 2021, but we anticipate further delay if the California fire season lengthens beyond October 1, 2020, and the COVID-19 pandemic continues.

Recruitment

Participants were recruited via flyers/pamphlets, a short informational video describing the study, emails from SDFR providing study information, recruitment events, and speaking to firefighters in the fire stations.

Enrollment and Randomization

150 firefighters (75 in the SOC group and 75 in the TRE group) from the San Diego County will be enrolled in the study. All participants receive a unique coded identifier to maintain patient confidentiality. Participants are screened and must meet inclusion and exclusion criteria (**Table 1**) before study enrollment. The statistician dictated the randomization of participants. We anticipate a 20% drop-out rate (the study is ongoing).

The firefighters are assured that study participation or withdrawal from the study has no bearing on their employment or receiving any benefit from the fire department. Individual participant's data and any identifiable data will not be explicitly shared with the fire department.

Due to the low-risk of harm, a Data Safety Monitoring Board was not appointed for this study. Instead, a Data Safety Monitoring Plan is provided (supplement).

Table 1. Study Enrollment Criteria

Inclusion Criteria:

- Firefighter or work a 24-hr shift schedule with San Diego Fire and Rescue or other fire departments in San Diego County.
- Age: 21-65 years.
- Own a smartphone (Apple iOS or Android OS).
- If participants are on cardiovascular medications (HMG-CoA reductase inhibitors (statins), other lipid-modifying drugs (including over the counter drugs such as red yeast rice and fish oil), anti-hypertensive, anti-diabetes drugs), no dose adjustments will be allowed during the study period.

Exclusion Criteria:

- Insulin-dependent diabetes mellitus

- Presence of acute chronic inflammatory or autoimmune disease (defined by acute symptoms or C-reactive protein >10 mg/L), malabsorption syndromes, liver disease, or kidney disease (stage 3 or greater).
- Uncontrolled thyroid disease
- Intake of drugs likely to interfere with study endpoints, including corticosteroids, anabolic steroids, anti-psychotics, antiretroviral drugs, and immunosuppressive drugs (within 3 months of starting the study).
- The presence or recent history of anemia (hematocrit <33% within 3 months of starting the study).
- History of bariatric surgery.
- Pregnant or breast-feeding women.
- Current or recent (within 12 months of starting the study) pregnancy or breastfeeding, or intention of becoming pregnant in the next 6 months.
- Any cancer other than non-melanoma skin cancer in the last 3 years.
- On a special or prescribed diet for other reasons (e.g. Celiac disease).
- Depression as determined by the Beck Depression Inventory (BDI).
- Planned international travel during the study period.
- Insufficient logging on the mCC app (does not log at least 2 entries a day for 10 of 14 days) during baseline will exclude from being randomized into the intervention period.
- Inability or unwillingness to adhere to the study protocol and instructions from study personnel.

Outcomes

The primary, secondary and other outcomes are listed in Table 2. Since the inclusion/exclusion criteria do allow recruitment of participants whose outcome measures are within the reference range, we anticipate each arm will have participants with heterogeneous health parameters. Therefore, in addition to the comparison of all participants in the SOC and TRE arms, we will also do sub-analyses of outcome measures for participants who are outside the reference range at the beginning of the intervention period.

Table 2. Study Outcomes	
Primary Outcome Measures	<ol style="list-style-type: none"> 1. Evaluate the impact of TRE on glucose homeostasis. The primary endpoint will be the change in glucose levels assessed via fasting blood glucose and continuous glucose monitors (CGM). Data from CGMs will be analyzed to determine changes in glucose response within individuals and a daily average for glucose value will be computed. 2. Assess the feasibility and adherence of TRE. This will be measured by the percentage of days logged that participants ate within their TRE window and end of study surveys.
Secondary Outcome Measures	<ol style="list-style-type: none"> 3. Assess changes in metabolic and neuroendocrine biomarkers in response to TRE. Cardiometabolic homeostasis will be measured with blood biochemistry (including, but not limited to: fasting glucose, HbA1c, cholesterol, triglycerides, NMR lipoprofile, HbA1c, and hs-CRP). Neuroendocrine markers include insulin and leptin. 4. Systolic blood pressure (mmHg) in response to TRE 5. Diastolic blood pressure (mmHg) in response to TRE 6. Body weight (kg) in response to TRE
Other Outcomes	<ol style="list-style-type: none"> 7. Body mass index (kg/m^2) 8. Waist and hip circumference (cm) 9. Hip (cm)/waist (cm) ratio 10. Body composition including but not limited to the fat percentage (%), fat mass (kg), and lean mass (kg) 11. Questionnaires (SF-36, ESS, PSQI, BDI)
Sub-analyses	<ol style="list-style-type: none"> 12. For each outcome measure (1-11), sub-analyses will be done on participants in both arms who are outside the reference healthy range for the respective measures.

Intervention

Groups. There are two groups in this study. The SOC group is given nutritional guidelines to follow the Mediterranean diet and are advised to continue their habitual daily eating pattern. The second group will implement the 10-h TRE intervention with SOC.

The study statistician will generate the study randomization table before the start of the study using the SPSS program using block sizes of 4 and 8. He will be contacted by the study coordinator when a subject is ready to be randomized. Participants will be randomized into the interventional TRE + SOC arm or the SOC only arm. It is not possible to blind the research team from the intervention group allocation as the eating window had to be known to assess adherence throughout the study.

Time-restricted eating

Participants assigned to the TRE group are instructed to consume all foods and beverages (except water) within a consistent self-selected time window of 10 h/day for the 3-month intervention and continue through the 12-month follow-up visit. Participants may consume caffeine (without cream or sugar) outside the eating window as needed, and log it in the mCC app.

Remote engagement with the participants/Education material

Lifestyle or behavioral intervention studies typically require frequent in-person study visits to adopt the suggested intervention. Such frequent physical visits can be burdensome for firefighters. Therefore, the mCC app is used to deliver educational materials, reminders/encouragement, and answer frequently asked questions.

During the 2-week baseline period, all participants receive text notifications reminding them to log their caloric intake in the app to improve logging compliance. After the participants are randomized to the SOC or TRE group, each group receives 2-3 notifications/week as messages within the app. These notifications are group-specific and intended to increase the participants' understanding of the SOC or TRE treatment. After the 3-month monitored intervention, upon entering the self-guided 9-month follow-up period, participants receive weekly educational material as ~800-1000-word articles on the impact of SOC or TRE on their overall health. Participants also receive short 4-5 question surveys that serve the dual function of raising their

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3 awareness of general health and assessing their understanding of how shift work affects their
4 health. The participants can use the contact section of the app to communicate with the research
5 team.
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8 9 *Assessment of adherence*

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11 If participants in the control group restrict their eating window to less than their habitual
12 ≥ 12 h/day or if the eating window of participants in the TRE group deviates from their self-selected
13 10-h eating window ≥ 4 days during the first week, the participant will be contacted via telephone
14 to ensure that the participant has understood the concept of their group assignment. Participants in
15 the TRE group choose their self-selected window at clinic visit two and enter it in the app. During
16 the intervention, the TRE participants can change their eating window if they are not satisfied with
17 the originally selected window. These changes are only made when necessary and approved by the
18 research team.
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21 Adherence to logging will be calculated as the percent of days that participants logged at
22 least 2 calorie-containing items with a minimum for 5 h apart. For the TRE arm, non-adherence to
23 TRE will be assessed by the percentage of days that participants logged more than 1 h outside
24 (before or after) their designated eating window. Eating window will be determined by the 95%
25 interval of all calorie-containing ingestion events during baseline, the 3-month intervention period,
26 and within the two weeks leading up to follow-up visits at 6-, 9-, and 12-months. The eating
27 window will be calculated for both groups. Adherence criterion is not applied in the control group.
28 Adherence analysis is based on Wilkinson et al., 2020²⁵.
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40 *Patient and Public Involvement*

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42 To assess and improve the participants' experience in the study, participants are asked
43 about the successes and challenges they face with adherence to the intervention. These assessments
44 were taken at clinic and fire station visits, and through short surveys via the mCC app.
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49 Members of San Diego Fire and Rescue, including The SDFR Chief, Healthy and Wellness
50 Officers, and the SDFR Union Representative, have been involved throughout the study
51 development including applications for funding, protocol development, participant recruitment,
52 and are active members of an advisory committee.
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Visits

The study includes 6 clinic visits from the participants, 2 fire station visits from the research team, and 1 phone call from the dietician (See **Figure 1, Table 3**). For clinical visits 4 and 5, participants are mailed an actiwatch to wear for 2 weeks before the clinic visit.

Table 3. Overview of Study Visits

Measure/Event	Pre	CV1	CV2	Call	FSV1	CV3	CV4	CV5	FSV2	CV6
Week of Study	Pre	0	2	8	10	14	28	41	50	52
Inclusion/Exclusion Screening	X	X								
Medical History		X								
Informed Consent		X								
Pregnancy test (fertile women only)		X								
mCC app Instructions		X								
Randomized to Intervention Group and select 10-h eating window if TRE			X							
Vitals, Body weight, body composition		X				X	X	X		X
Blood draw		X				X	X	X		X
CGM applied		X			X				X	
Actigraphy		X			X	X	X	X	X	X
SF-36		X				X	X	X		X
BDI-II		X				X	X	X		X
PSQI			X			X	X	X		X
ESS			X			X	X	X		X
24-h Dietary Recall			X	X						X
End of Study Survey										X

Clinic Visit 1(Day 1)- After visit 1, participants enter a 2-week baseline period where they are instructed to use the mCC app to document all oral intake (logging water is optional). Vitals, body weight, body composition, and fasting blood draw will be obtained. A CGM is applied and an actiwatch is provided to wear for 2-weeks.

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3 *Clinic Visit 2 (End of week 2)*- Participants are randomized into either the SOC or TRE group.
4 Participants return to the clinic to return CGM and actigraphy. If randomized to the TRE group,
5 participants will select a 10-h eating window and set it on the app. All participants meet with the
6 dietician who provides behavioral nutritional counseling and obtains a 24-h dietary recall.
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11 *Monitored Intervention Period (Weeks 3-14)*-Participants enter the 3-month monitored
12 intervention period in which they will either be engaged in the SOC or the TRE intervention
13 starting at clinic visit 2.
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17 *Phone Call- (Week 8)*- All participants will speak with the dietician to reinforce good nutritional
18 practices with the Mediterranean diet over the phone and provide a 24-h dietary recall.
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22 *Fire Station Visit 1 (Week 12)*- A research team member will go to the fire station to provide the
23 firefighters with a CGM and actigraphy device, which they will wear for 2 weeks.
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26 *Clinic Visit 3 (Week 14)*-Participants will return the CGM and actigraphy device. Vitals, body
27 weight, body composition, and fasting blood draw will be obtained. They will be asked to complete
28 the same questionnaires from Visits 1 and 2. This will conclude the 3-month monitored
29 intervention period, and it will also initiate the 9-month self-guided period.
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33 During the self-guided period (Months 3-12), there will be 3 clinic visits and one fire station
34 visit. During these 9 months, they are asked to use the mCC app for at least 2 consecutive weeks
35 every month.
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39 *Clinic Visit 4 (Month 6) and Clinic Visit 5 (Month 9)*- Participants will use the mCC app for at
40 least 2 consecutive weeks per month. They will also complete the same questionnaires, vitals, body
41 weight/composition, and fasting blood draws as they did at clinic visits 1 and 3.
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45 *Fire Station Visit 2 (Month 12)*- The research team will go to the fire station to provide the
46 firefighters with a CGM and an actigraphy device, which they will wear for 2 weeks.
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49 *Clinic Visit 6 (End of Month 12)*- At this final visit, all assessments will be repeated, CGM and
50 actigraphy device will be returned, and the dietician will also conduct a 24-h dietary recall.
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53 ***COVID-19 Protocol***

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3 Due to COVID-19, the Altman Clinical and Translational Research Institute (ACTRI) temporarily
4 closed from April-July 2020, after which it remains accessible only to essential therapeutic
5 research studies. During this period, clinic visits were conducted at the SDFD Wellness center,
6 where its nurses are trained and provided supplies by the UCSD research staff. Two weeks before
7 these visits, participants were sent the actigraphy and questionnaires via US mail. The actigraphy
8 will be worn for 2 weeks and then returned to the staff at their clinic visit. Starting in June, we
9 phased out questionnaires by mail and switch to administering questionnaires online. Additionally,
10 participants received a questionnaire in the mail asking if any changes to medications or sleeping
11 habits, travel plans, and specific dates worked over the past two weeks, as these were questions
12 that we asked in-person.
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20 For the 3-month and 12-month visits, participants were also mailed a new CGM in the mail
21 along with the watch and surveys 2 weeks prior. In place of fire station visits, Zoom was used to
22 video-chat with the participant and give them instructions on how to apply and activate the CGM
23 using the reader. They remained blind to the CGM data.
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29 **Data Collection and Measurements**

30 Data will be collected during clinic visit days and in free-living conditions.
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33 *Data collection during clinic visits.*

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36 All clinical testing (vitals, blood draw, questionnaires) will be performed at the UCSD - ACTRI.
37 Blood is processed at the UCSD Clinical Laboratory. All participants are advised to fast overnight
38 and visits are scheduled between 8 am-12 pm.
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42 *Anthropometric and vital signs.* At every clinic visit the following measurements will be made by
43 standardized and hospital-grade equipment: height, weight, body temperature, blood pressure, and
44 heart rate. Body composition will be assessed by a standardized Tanita scale (DC 430U; Tokyo,
45 Japan).
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50 *Blood tests.* All participants will have their blood drawn at the ACTRI by certified nurses. Venous
51 blood samples are collected in the fasting state at all six clinic visits. The laboratory tests for
52 cardiometabolic function (comprehensive metabolic panel (CMP), complete blood count (CBC),
53 thyroid stimulating hormone (TSH), hemoglobin A1c (HbAa1c), high sensitivity C-reactive
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3 protein (hs-CRP), triglycerides (TG), low density lipid (LDL), high density lipid (HDL),
4 cholesterol assessed via NMR lipoprofile, insulin) are done by a certified analytical laboratory
5 contracted by UCSD.
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9 De-identified blood samples (specifically, serum and plasma) obtained during this study
10 are biobanked at UCSD or the Salk Institute for possible further biochemical testing. Samples will
11 not be shared with researchers outside of those associated with this protocol. Samples are
12 biobanked indefinitely.
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17 Questionnaires. Participants are asked to complete the following questionnaires:
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- 19 • Clinic Visits 1,3,4,5,6:
 - 20 ○ Short Form-36 Quality of Life (SF-36): To measure overall functional health score
 - 21 as well as separate physical and mental health dimension components
 - 22 ○ Beck Depression Inventory-II (BDI): To screen for depression
 - 23 ○ Epworth Sleep Scale (ESS): To assess daytime sleepiness (CV2 instead of CV1)
 - 24 ○ Pittsburgh Sleep Quality Index (PSQI): To evaluate sleep in seven major areas –
 - 25 subjective sleep quality, sleep latency, sleep duration, sleep disturbances, sleep
 - 26 efficiency, use of sleeping aids, and daytime dysfunction. (CV2 instead of CV1)
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- 34 • Clinic Visit 2
 - 35 ○ ESS
 - 36 ○ PSQI
 - 37 ○ 24-h food recall: To assess nutrient consumption, amounts, and timing based on the
 - 38 prior day's intake.
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- 43 • Week 8 phone call
 - 44 ○ 24-h food recall
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- 47 • Clinic Visit 6
 - 48 ○ End of Study Surveys: To obtain self-reported impressions of study and receive
 - 49 feedback.
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53 Data collection during free-living conditions (outside of the clinic)
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3 *The myCircadianClock application (mCC app) for self-logging lifestyle parameters.* The mCC app
4 is designed to run on both Android and iOS devices that account for more than 90% of all
5 smartphones and uses HIPAA-compliant Amazon Web Server (AWS) for server-side operations.
6 During TRE, participants set their daily eating periods and receive alerts and reminders specific to
7 TRE protocols. Participants may choose to receive an automated alert 15 or 30 minutes before the
8 end of the eating interval to finish their last meal of the day. All participants can log their food,
9 sleep, and exercise. For food entries, the user can annotate the food picture with food names and
10 other descriptors (portion size, leftovers, etc.). The app is customized with push notifications,
11 reminders, and educational materials that are structured to guide the firefighters throughout the
12 study, enable self-monitoring, and improve adherence and retention.
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21 *Continuous glucose monitoring (CGM).* All participants are fitted with the Abbott Freestyle
22 LibrePro CGM and will be instructed on its use. CGM measures interstitial fluid glucose, using a
23 subcutaneous sensor placed in the upper arm area, every 15 minutes for up to 14 days. Participants
24 wear the CGM for 2 weeks at a time at baseline (weeks 1-2), end of the 3-month intervention
25 (weeks 12-14), and 1-year follow-up (weeks 50-52). CGMs estimate blood glucose levels with
26 high accuracy that correlates with those obtained from either venous or capillary blood²⁶. Changes
27 in the average daily glucose and standard deviation will be calculated.
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34 *Wrist-worn actigraphy.* To measure habitual physical activity and sleep, participants wear a
35 Phillips accelerometer (Spectrum Plus™) on their non-dominant arm for two weeks at a time
36 during the study period (baseline, and 2-weeks leading up to the end of the 3-month intervention,
37 and follow-up visits at 6-, 9-, and 12-months). Wrist accelerometers have an acceptable correlation
38 ($r=0.90$) between daily physical activity and activity counts reported by accelerometers²⁷ and have
39 been used reliably in NHANES²⁸. The actigraphy data will be used to measure sleep onset, sleep
40 duration, and sleep efficiency.
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50 **Statistical analysis plan.**

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52 Data from randomized participants will be analyzed with an intention-to-treat protocol. All
53 collected participants' data will be included. A sub-analysis will be performed to assess changes
54 in participants who had health factors out of normal range at baseline. Data will be reported with
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3 standard descriptive statistics as mean \pm standard deviation for normally distributed data, and as
4 median with (Q1:Q3) for non-normally distributed data. Analysis will be performed by
5 examination of the distribution of variables to assess their means, standard deviations, and
6 skewness. Continuous measures will be tested for normality and homogeneity of variance. Non-
7 normally distributed variables will be transformed to meet the normal distribution assumption for
8 linear models. Randomization will be assessed by performing a series of Wilcoxon-rank sum tests,
9 Chi-square, or Fisher's exact tests to compare the groups on demographic and baseline clinical
10 variables. Any variables on which the groups differ initially will be explored as covariates in
11 subsequent analyses. Daily averages will be computed for the baseline and end of intervention. A
12 mixed-effect model will be used²⁹⁻³¹. Data will be analyzed using SPSS (IBM Corp. Released
13 2020. IBM SPSS Statistics for Windows, Version 26.0. Armonk, NY: IBM Corp.). All analyses
14 will be two-tailed, where applicable, with $\alpha = 0.05$, which will be considered statistically
15 significant.

26 *Sample Size Calculation*

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29 No TRE studies have been done on firefighters. Most of the published TRE studies of comparable
30 length of intervention are not RCT. Therefore, we used weight loss as a surrogate outcome for
31 calculating sample size. The required sample size was calculated using G*Power software and for
32 the mixed model approach using the RMASS program provided by Hedeker
33 (<http://tigger.uic.edu/~hedeker/ml.html>). We are confident that the sample size of 150 provides us
34 with a minimum power of 80% to detect a medium effect size for the primary hypotheses. Medium
35 effect sizes were selected based on previous studies that are reviewed earlier. For the mixed model,
36 the medium effect size is defined as a between-group difference increasing linearly from 0 at
37 baseline to .5 SD units at the last time point. The minimum power estimation is based on sample
38 size calculation for 10% and 20% attrition, correlations of 0.2, 0.5, and 0.8 between the repeated
39 measures, and for medium and large effect sizes.

49 *Missing Data*

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52 Missing data will be examined to assess randomness. The pattern of missing data will be examined
53 according to the procedure recommended by Little and Rubin³² which includes comparing group
54 differences in the primary outcomes of subjects with versus without missing data. This allows
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3 inclusion of subjects with missing data or those who terminated the study early, without relying
4 on data imputation procedures.
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6 7 **Ethics and Dissemination** 8

9 The study has been approved by the Institutional Review Boards of the University of California,
10 San Diego, and Salk Institute for Biological Studies, La Jolla. Modifications to the protocol due to
11 COVID-19 were allowed without full approval because there was no increased risk to the
12 participants and the imminent and unique nature of the situation. Protocol modifications due to
13 COVID-19 will be reported to the IRB. Any change in endpoints or analysis plan that occur will
14 be amended in ClinicalTrials.gov.
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19 Informed consent will be obtained by the study coordinators or research personnel
20 associated with this protocol. Informed consent procedures will be supervised either by the study
21 coordinator, supervising physicians, or the principal investigator. All research personnel giving
22 informed consent will have undergone proper training and obtained the required certificates.
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27 Results from the study will address whether 10-h TRE is effective and feasible in
28 improving health outcomes among career firefighters who do 24-h shift work. Results will be
29 disseminated through peer-reviewed manuscripts, reports, and presentations. Findings, regardless
30 of the result, will be reported as described. All co-authors will comply with the International
31 Committee of Medical Journal Editors and no professional writers will be engaged.
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36 37 38 **Discussion** 39

40 This study is the first workplace RCT to introduce TRE among the US career shift workers to
41 promote healthy circadian behavioral change within the existing work schedule and culture. The
42 RCT design with a self-guided follow-up period of the trial will demonstrate the feasibility of
43 adopting TRE among fire service personnel without changing their work schedules. The
44 complementary in-clinic and free-living measurements of various vital, behavioral, biochemical,
45 and physiological parameters at multiple time points throughout the study will help assess the
46 impact of TRE on the multidimensional aspects of health. The use of a smartphone app to collect
47 longitudinal data on nutrition and use of the same medium for bidirectional engagement with the
48 participants with relevant and actionable educational materials is also a unique strength of this
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3 study. If successful, this digital infrastructure will allow rapid dissemination and implementation
4 of the TRE program among fire service personnel.
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6 Why a 10-h TRE? Although TRE with a wide range of eating windows from 6- to 12-h has been
7 shown to offer health benefits in pre-clinical animal models and humans, we chose a 10-h window
8 for several reasons. In both animal models and a recently completed study on patients with
9 metabolic syndrome, 10 h of eating and 14 h of fasting reduced adiposity, improved blood pressure,
10 blood glucose, and blood cholesterol ²⁵. Therefore, we reasoned 10-h TRE may also benefit the
11 firefighters. A 10-h TRE may also be a feasible and sustainable window for many firefighters. In
12 SDFD and many other fire departments with communal eating at fire stations, breakfast and dinner
13 are typically prepared within a 10 h window with the dinner being served at ~6 pm, which makes
14 adopting to TRE easier. However, adopting this habit at home may be a challenge as the firefighters
15 often want to share meals with their family during off days, and sustained 10-h TRE may involve
16 the participation of the family to incorporate a TRE lifestyle.
17

18 Can it be adopted among fire services? If successful, TRE may have a relatively lower barrier to
19 implementation relative to other approaches to reduce circadian disruption. Circadian rhythms are
20 disrupted by light at night, sleep disruption, and erratic eating patterns. Methods to reduce the
21 circadian disruptive impact of light or to improve sleep will likely involve both education and
22 infrastructure investments to optimize lighting to support circadian rhythm or to improve sleep.
23 On the other hand, if TRE is found beneficial, it can be implemented with minimal to no alterations
24 in infrastructure.
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Author Contributors

- SP, PT, ENCM, AZ conceived the study concept, developed study design and protocol, applied for funding, and initiated writing the manuscript.
- ENCM, AZ, HCL, AS, NG, AR, AP coordinated the project.
- AZ, AS, HCL, AP, AR, NG, ENCM screened/recruited participants and conducted clinic and fire station visits.
- AZ, AS, HCL, AP, AR, NG, XW, ENCM collected data.
- SG and JF developed and conducted the statistical analysis plan. SP, PT, and ENCM interpreted data.
- SP and PT are the co-principal investigators and the grant holders.
- All authors contributed and approved the final version of the manuscript.

Human Rights and Informed Consent

Ethical approval and oversight of this clinical study were approved and provided by the University of California, San Diego (UCSD) Institutional Review Board Human Research Protections Program (IRB#172083) and the Salk Institute for Biological Studies Institutional Review Board (IRB#18-0001). The study is registered on ClinicalTrials.gov (NCT03533023). All participants provided informed written consent.

Declaration of conflicting Interest. SP is the author of the book “The Circadian Code” for which he collects nominal author royalty. PT is a consultant for Amgen, Esperion, Boehringer Ingelheim, Novo Nordisk, and Sanofi, and is a shareholder for Epirum Bio. ENCM, AZ, HCL, AS, AR, AP, NG, XW, JF, and SG have no conflict of interest to disclose.

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3 **Figure Legend**
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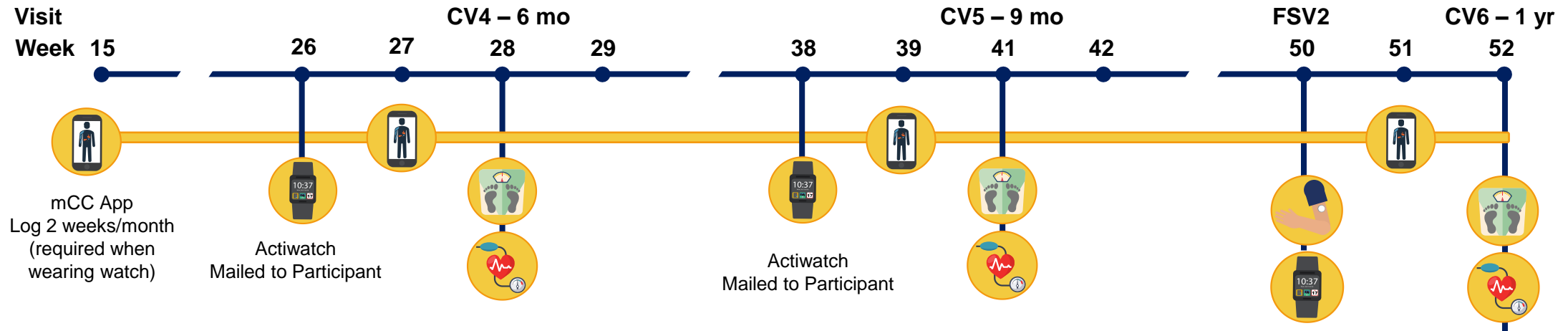
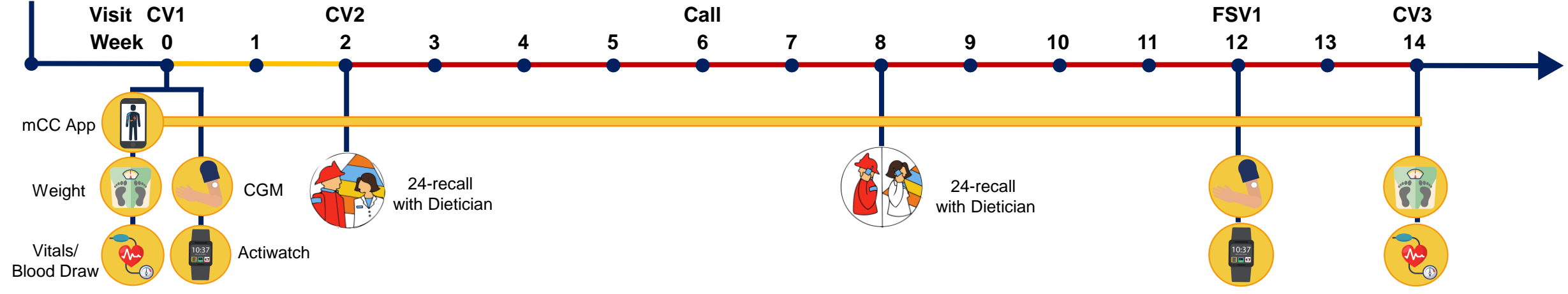
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6 **Figure 1. Study Design and Timeline.** mCC, myCircadianClock; CGM, continuous glucose
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Follow-up at 6, 9, and 12 months



Supplemental Information

1. Data safety monitoring plan
2. Privacy and confidentiality
3. Data management

1. Data Safety and Monitoring Plan

The interventions in the study have minimal risk, but any adverse events will be documented. The PI reviews study conduct such as accrual, drop-outs, protocol deviations on a monthly basis. The PI reviews AEs individually real-time and in aggregate on a weekly basis. The PI reviews serious adverse events (SAEs) in real-time. The PI ensures all protocol deviations, AEs, and SAEs are reported to the IRB according to the applicable regulatory requirements.

An internal data quality inspection will be performed. The PI will do a random 10% data audit 1-2x/year. If necessary, re-training of data collectors will be conducted.

2. Privacy and Confidentiality

All study forms including consents, HIPAAs, and case report forms (CRFs), which will include elements from the present and past history, patient demographics, including age, current medications and lab draws, will be stored in in a locked cabinet in Dr. Taub's office in the ACTRI building. Only approved study personnel will have access to this information. To minimize the potential loss of confidentiality, patients will be assigned a unique number as their subject identifier code. The unique subject code will be used to label all study documents.

3. Data management

Data will be collected using standardized paper forms and will be identified with the study's ID of the participant. The codes that link the name of the participant and the study ID will be kept confidential by the Principal Investigator in a secured cabinet. Data will be entered in the computer independently by UCSD certificated and trained data entry staff, and discrepancies corrected by a supervisor based on source documents.

Specimens: Some portions of the specimens collected may be sent to a central laboratory outside of UCSD for testing. All samples will be labeled with a unique specimen code and the only way to link the specimens with the subject code and any personal health identifiers will be on a physical sheet of paper locked in a cabinet in Dr. Taub's office in the ACTRI building.

mCC app data: The mCC app is designed uses HIPAA compliant Amazon Web Server (AWS) for server-side operations. Two large capacity Linux servers (main server and backup) with load balancing are used to capture the data that the smartphone users transmit. The data will be stored on AWS S3 (Simple Storage Service). These two servers will remain online 24h a day, 7 days a week.

BMJ Open

Protocol for a randomized controlled trial on the feasibility and effects of ten-hour time-restricted eating on cardiometabolic disease risk among career firefighters doing 24-hour shiftwork: The Healthy Heroes Study

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3 **Title: Protocol for a randomized controlled trial on the feasibility and effects of ten-hour**
4 **time-restricted eating on cardiometabolic disease risk among career firefighters doing 24-**
5 **hour shiftwork: The Healthy Heroes Study**
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8 **Authors:** Emily N.C. Manoogian^a, Adena Zadourian^b, Hannah C. Lo^b, Nikko Gutierrez^a, Azarin
9 Shoghi^a, Ashley Rosander^b, Aryana Pazargadi^b, Xinran Wang^a, Jason G. Fleischer^{ad}, Shahrokh
10 Golshan^c, Pam R. Taub^b, Satchidananda Panda^a
11
12

13
14
15 **Authors affiliation:**

16 ^aThe Salk Institute for Biological Studies, La Jolla, CA 92037, USA

17 ^bDivision of Cardiovascular Medicine, Department of Medicine, University of California San
18 Diego, La Jolla, CA 92037, USA

19 ^cDepartment of Psychiatry, University of California San Diego, La Jolla, CA 92037, USA

20 ^dDepartment of Cognitive Science, University of California San Diego, La Jolla, CA 92037,
21 USA
22
23

24
25 **Correspondence:**

26 Satchidananda Panda, The Salk Institute for Biological Studies, La Jolla, CA 92037, USA,
27 satchin@salk.edu
28
29

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Abstract

Introduction: Career firefighters experience chronic circadian rhythm disruption, increasing their risk of cardiometabolic disease. The recent discovery that eating patterns regulate circadian rhythmicity in metabolic organs has raised the hypothesis that maintaining a consistent daily cycle of eating and fasting can support circadian rhythms and reduce disease risks. Preclinical animal studies and preliminary clinical trials have shown promising effects of time-restricted eating (TRE) to reduce disease risk without compromising physical performance. However, there is a lack of research on TRE in shift workers including firefighters. This study aims to investigate the feasibility and efficacy of ten-hour TRE on health parameters that contribute to cardiometabolic disease risks among career firefighters who work a 24-hour shift schedule.

Methods and analyses: The Healthy Heroes Study is a randomized controlled parallel open-label clinical trial with 150 firefighters over 1 year. Firefighters are randomized with a 1:1 ratio to either the control or intervention group. The control group receives Mediterranean Diet nutritional counseling (standard of care, “SOC”). The intervention group receives the same SOC and a self-selected 10-h TRE window. After the 2-week baseline, participants enter a 3-month monitored intervention, followed by a 9-month self-guided period with follow-up assessments. The impact of TRE on blood glucose, body weight, body composition, biomarkers (neuroendocrine, inflammatory, and metabolic), sleep, and mood are evaluated. These assessments occur at baseline, the end of the intervention, and 6-, 9-, and 12-month follow-ups. Temporal calorie intake is monitored with the smartphone application myCircadianClock throughout the study. Continuous glucose monitors, wrist-worn actigraphy, and questionnaires are used to monitor glucose levels, activity, sleep, and light exposure.

Ethics and Dissemination: The study was approved by the Institutional Review Boards of the University of California, San Diego, and the Salk Institute for Biological Studies. ClinicalTrials.gov: NCT03533023. Results will be disseminated through peer-reviewed manuscripts, reports, and presentations.

Article Summary

Strengths and Limitations

- In accordance with the funding agency's recommendation to avoid unintended health-based discrimination at work, the eligibility criteria do not exclude healthy firefighters with normal values of metabolic health (relevant data can be analyzed upon stratification of healthy or non-healthy parameters).
- Mediterranean diet is used as the SOC as it is known to improve metabolic health.
- The career firefighters in San Diego Fire and Rescue adopt a 24-h shift schedule that is also followed among 74% of fire departments in the US; however, the feasibility, adoptability, and efficacy of a 10-hr TRE among volunteer firefighters, and those with a shift schedule different from 24 h may not be generalized from this study.
- The study uses the myCircadianClock app to monitor and guide participants, which reduces the burden of frequent clinic visits and can be used for large-scale adoption of the results at the national and international levels.
- The study uses continuous measurements of activity, sleep, and interstitial glucose levels with integrative analyses of these data streams to offer deep insight into the impact of shift work on blood glucose regulation.

Introduction

Shift workers constitute up to 20% of the workforce in industrial countries and they are indispensable to the functioning of modern societies. Firefighters are shift workers who often work at night when our circadian rhythm instructs our body to sleep. Chronic disruption of circadian (~24-hour, h) daily rhythms among shift workers, including firefighters, increases the risk of obesity, diabetes, cardiovascular diseases, insomnia, and cancer¹⁻⁹. However, pragmatic lifestyle intervention to counteract the adverse health effects of shift work is lacking. Recent progress in circadian science has raised the possibility of novel interventions for reducing the disease risk of firefighters.

While the major emphasis on reducing circadian disruption has been on restoring sleep, the impact of food timing on health has opened new avenues to lessen the adverse effects of circadian disruption. Preclinical studies have shown that restricting all food intake to a consistent 8-12 h window- without reducing calories - can prevent and reverse obesity, diabetes, digestive disorders, liver disease, and cardiovascular disease¹⁰. Restricting the timing of food without explicitly reducing calories is called Time-Restricted Eating (TRE). More importantly, TRE does not compromise physical fitness, but rather improves motor coordination and endurance^{11 12}, critical attributes for firefighters. Pre-clinical animal models revealed cellular and molecular changes by which TRE improves health. TRE enhances the circadian clock to optimize health by coordinating the timing of digestive hormones, metabolic enzymes, and storage depots in the metabolism of sugar and fat for optimal function^{13 14}. Abnormal sugar and fat metabolism are implicated in numerous diseases including obesity, diabetes, and cardiovascular diseases¹⁵.

The scope and feasibility of TRE in shift workers have not been studied. Random eating patterns are widespread among both non-shift and shift workers^{11 16}. Non-shift workers can adopt a 10-h eating window and self-sustain the new behavior that reduced body weight and improved sleep¹¹. Such an eating pattern intervention also indirectly improves nutrition quality by reducing excessive caloric intake by up to 20%, most of which comes from an energy-dense diet.

There is increasing evidence that TRE or other forms of fasting can lead to reduced cardiovascular diseases and even cancer¹⁷. More importantly, the American Heart Association¹⁸ and the National Nutrition Task Force¹⁹ have emphasized the importance of daily eating-fasting rhythm in disease prevention.

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3 However, research on TRE is lacking among firefighters. Nearly 75% of firefighters
4 nationwide are overweight or obese²⁰⁻²². Comorbidities associated with obesity are prevalent
5 among firefighters²². Obesity jeopardizes their safety and well-being as well as public safety.
6 Obesity is also a significant risk factor for subsequent disability^{23 24}. Therefore, we hypothesize
7 that TRE can improve blood glucose regulation, reduce obesity, and attenuate comorbidities
8 associated with impaired glucose homeostasis or obesity.
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14 In a randomized controlled trial, this protocol will test the efficacy of eating time
15 intervention relative to Mediterranean diet behavioral counseling on firefighters' health. The
16 firefighters' cardiometabolic disease risks will be tested through a series of blood tests and
17 questionnaires. A customized smartphone app developed in our lab will be used to guide
18 participants to adopt the new eating pattern and log their lifestyle data.
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24 **Methods**

25 **Overview**

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28 In this RCT, firefighters from San Diego County are randomly assigned to a control group
29 of Mediterranean diet nutrition counseling (standard of care, "SOC") or the intervention group of
30 SOC with the addition of adopting a 10-h eating window for 3 months (TRE). Participants are
31 followed up for one year. Participants in the TRE group may eat outside the 10-h window up to 2
32 days/week to allow social commitments that are deemed necessary for sustaining their emotional
33 health. The research team also works with participants to help adjust for challenging schedules.
34 The impact of TRE on blood glucose levels, NMR-lipid profile, biomarkers, body weight, body
35 composition, sleep, and mood will be evaluated. Questionnaires will be administered for self-
36 reported health and wellness assessments. Participants use an electronic diary (smartphone
37 myCircadianClock application ("mCC app")) to log their caloric intake. Sleep and activity are
38 passively measured with actiwatches at baseline and follow-up assessments every 3 months. *We*
39 *hypothesize that imposing eating-fasting cycles (TRE) will restore the equilibrium between*
40 *catabolic and anabolic processes, which will promote glucose and lipid homeostasis, strengthen*
41 *neuroendocrine signals, improve the regulation of circadian rhythms leading to a reduction of*
42 *cardiometabolic disease risks, and improve sleep and subjective quality of life.*
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54 The first participant was enrolled in the study on May 8, 2018. Due to COVID-19 and
55 severe fire seasons in California, the study timeline was delayed. The study is expected to be
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completed in February 2021, but we anticipate further delay due to any unforeseen natural disaster and the course of the COVID-19 pandemic.

Recruitment

Participants were recruited via flyers/pamphlets, a short informational video describing the study, emails from SDFR providing study information, recruitment events, and speaking to firefighters in the fire stations.

Enrollment and Randomization

150 firefighters (75 in the SOC group and 75 in the TRE group) from the San Diego County will be enrolled in the study. All participants receive a unique coded identifier to maintain patient confidentiality. Participants are screened and must meet inclusion and exclusion criteria (**Table 1**) before study enrollment. The statistician dictated the randomization of participants. We anticipate a 20% drop-out rate (the study is ongoing).

The firefighters are assured that study participation or withdrawal from the study has no bearing on their employment or receiving any benefit from the fire department. Individual participant's data and any identifiable data will not be explicitly shared with the fire department.

Due to the low-risk of harm, a Data Safety Monitoring Board was not appointed for this study. Instead, a Data Safety Monitoring Plan is provided (supplement).

Table 1. Study Enrollment Criteria

Inclusion Criteria:

- Firefighter or work a 24-hr shift schedule with San Diego Fire and Rescue or other fire departments in San Diego County.
- Age: 21-65 years.
- Own a smartphone (Apple iOS or Android OS).
- If participants are on cardiovascular medications (HMG-CoA reductase inhibitors (statins), other lipid-modifying drugs (including over-the-counter drugs such as red yeast rice and fish oil), anti-hypertensive, anti-diabetes drugs), no dose adjustments will be allowed during the study period.

Exclusion Criteria:

- Insulin-dependent diabetes mellitus

- Presence of acute chronic inflammatory or autoimmune disease (defined by acute symptoms or C-reactive protein >10 mg/L), malabsorption syndromes, liver disease, or kidney disease (stage 3 or greater).
- Uncontrolled thyroid disease
- Intake of drugs likely to interfere with study endpoints, including corticosteroids, anabolic steroids, anti-psychotics, antiretroviral drugs, and immunosuppressive drugs (within 3 months of starting the study).
- The presence or recent history of anemia (hematocrit <33% within 3 months of starting the study).
- History of bariatric surgery.
- Pregnant or breast-feeding women.
- Current or recent (within 12 months of starting the study) pregnancy or breastfeeding, or intention of becoming pregnant in the next 6 months.
- Any cancer other than non-melanoma skin cancer in the last 3 years.
- On a special or prescribed diet for other reasons (e.g. Celiac disease).
- Depression as determined by the Beck Depression Inventory (BDI).
- Planned international travel during the study period.
- Insufficient logging on the mCC app (does not log at least 2 entries a day for 10 of 14 days) during baseline will exclude from being randomized into the intervention period.
- Inability or unwillingness to adhere to the study protocol and instructions from study personnel.

Outcomes

The primary, secondary and other outcomes are listed in Table 2. Since the inclusion/exclusion criteria do allow the recruitment of participants whose outcome measures are within the reference range, we anticipate each arm will have participants with heterogeneous health parameters. Therefore, in addition to the comparison of all participants in the SOC and TRE arms, we will also do sub-analyses of outcome measures for participants who are outside the reference range at the beginning of the intervention period.

Table 2. Study Outcomes	
Primary Outcome Measures	<ol style="list-style-type: none"> 1. Evaluate the impact of TRE on glucose homeostasis. The primary endpoint will be the change in glucose levels assessed via fasting blood glucose and continuous glucose monitors (CGM). Data from CGMs will be analyzed to determine changes in glucose response within individuals and a daily average for glucose value will be computed. 2. Assess the feasibility and adherence of TRE. This will be measured by the percentage of days logged that participants ate within their TRE window and end-of-study surveys.
Secondary Outcome Measures	<ol style="list-style-type: none"> 3. Assess changes in metabolic and neuroendocrine biomarkers in response to TRE. Cardiometabolic homeostasis will be measured with blood biochemistry (including, but not limited to: fasting glucose, HbA1c, cholesterol, triglycerides, NMR lipoprofile, and hs-CRP). Neuroendocrine markers include insulin and leptin. 4. Systolic blood pressure (mmHg) in response to TRE 5. Diastolic blood pressure (mmHg) in response to TRE 6. Body weight (kg) in response to TRE
Other Outcomes	<ol style="list-style-type: none"> 7. Body mass index (kg/m²) 8. Waist and hip circumference (cm) 9. Hip (cm)/waist (cm) ratio 10. Body composition including but not limited to the fat percentage (%), fat mass (kg), and lean mass (kg) 11. Questionnaires (SF-36, ESS, PSQI, BDI)
Sub-analyses	<ol style="list-style-type: none"> 12. For each outcome measure (1-11), sub-analyses will be done on participants in both arms who are outside the reference healthy range for the respective measures.

Intervention

Groups. There are two groups in this study. The SOC group is given nutritional guidelines to follow the Mediterranean diet and is advised to continue their habitual daily eating pattern. The second group will implement the 10-h TRE intervention with SOC.

The study statistician will generate the study randomization table before the start of the study using the SPSS program using block sizes of 4 and 8. He will be contacted by the study coordinator when a subject is ready to be randomized. Participants will be randomized into the interventional TRE + SOC arm or the SOC only arm. It is not possible to blind the research team from the intervention group allocation as the eating window had to be known to assess adherence throughout the study.

Time-restricted eating

Participants assigned to the TRE group are instructed to consume all foods and beverages (except water) within a consistent self-selected time window of 10 h/day for the 3-month intervention and continue through the 12-month follow-up visit. Participants may consume caffeine (without additional nutritional content such as cream, sugar, or artificial sweeteners) outside the eating window as needed, and log it in the mCC app.

Remote engagement with the participants/Education material

Lifestyle or behavioral intervention studies typically require frequent in-person study visits to adopt the suggested intervention. Such frequent physical visits can be burdensome for firefighters. Therefore, the mCC app is used to deliver educational materials, reminders/encouragement, and answer frequently asked questions.

During the 2-week baseline period, all participants receive text notifications reminding them to log their caloric intake in the app to improve logging compliance. After the participants are randomized to the SOC or TRE group, each group receives 2-3 notifications/week as messages within the app. These notifications are group-specific and intended to increase the participants' understanding of the SOC or TRE treatment. After the 3-month monitored intervention, upon entering the self-guided 9-month follow-up period, participants receive weekly educational material as ~800-1000-word articles on the impact of SOC or TRE on their overall health.

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3 Participants also receive short 4-5 question surveys that serve the dual function of raising their
4 awareness of general health and assessing their understanding of how shift work affects their
5 health. The participants can use the contact section of the app to communicate with the research
6 team.
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10 *Assessment of adherence*

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13 If participants in the control group restrict their eating window to less than their habitual
14 ≥ 12 h/day or if the eating window of participants in the TRE group deviates from their self-selected
15 10-h eating window ≥ 4 days during the first week, the participant will be contacted via telephone
16 to ensure that the participant has understood the concept of their group assignment. Participants in
17 the TRE group choose their self-selected window at clinic visit two and enter it in the app. During
18 the intervention, the TRE participants can change their eating window if they are not satisfied with
19 the originally selected window. These changes are only made when necessary and approved by the
20 research team. Changes to the eating window will be documented and reported with results.
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27 Adherence to logging will be calculated as the percent of days that participants logged at
28 least 2 calorie-containing items with a minimum of 5 h apart. For the TRE arm, non-adherence to
29 TRE will be assessed by the percentage of days that participants logged more than 1 h outside
30 (before or after) their designated eating window. The eating window will be determined by the
31 95% interval of all calorie-containing ingestion events during baseline, the 3-month intervention
32 period, and within the two weeks leading up to follow-up visits at 6-, 9-, and 12-months. The eating
33 window will be calculated for both groups. The adherence criterion is not applied in the control
34 group. Adherence analysis is based on Wilkinson et al., 2020²⁵.
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42 *Patient and Public Involvement*

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45 To assess and improve the participants' experience in the study, participants are asked
46 about the successes and challenges they face with adherence to the intervention. These assessments
47 were taken at clinic and fire station visits, and through short surveys via the mCC app.
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51 Members of San Diego Fire and Rescue, including the SDFR Chief, Healthy and Wellness
52 Officers, and the SDFR Union Representative, have been involved throughout the study
53 development including applications for funding, protocol development, participant recruitment,
54 and are active members of an advisory committee.
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Visits

The study includes 6 clinic visits from the participants, 2 fire station visits from the research team, and 1 phone call from the dietician (See **Figure 1, Table 3**). For clinical visits 4 and 5, participants are mailed an actiwatch to wear for 2 weeks before the clinic visit.

Table 3. Overview of Study Visits

Measure/Event	Pre	CV1	CV2	Call	FSV1	CV3	CV4	CV5	FSV2	CV6
Week of Study	Pre	0	2	8	10	14	28	41	50	52
Inclusion/Exclusion Screening	X	X								
Medical History		X								
Informed Consent		X								
Pregnancy test (fertile women only)		X								
mCC app Instructions		X								
Randomized to Intervention Group and select 10-h eating window if TRE			X							
Vitals, Body weight, body composition		X				X	X	X		X
Blood draw		X				X	X	X		X
CGM applied		X			X				X	
Actigraphy		X			X	X	X	X	X	X
SF-36		X				X	X	X		X
BDI-II		X				X	X	X		X
PSQI			X			X	X	X		X
ESS			X			X	X	X		X
24-h Dietary Recall			X	X						X
End of Study Survey										X

Clinic Visit 1(Day 1)- After visit 1, participants enter a 2-week baseline period where they are instructed to use the mCC app to document all oral intake (logging water is optional). Vitals, body

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3 weight, body composition, and fasting blood draw will be obtained. A CGM is applied and an
4 actiwatch is provided to wear for 2-weeks.
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8 *Clinic Visit 2 (End of week 2)*- Participants are randomized into either the SOC or TRE group.
9 Participants return to the clinic to return CGM and actigraphy. If randomized to the TRE group,
10 participants will select a 10-h eating window and set it on the app. All participants meet with the
11 dietician who provides Mediterranean Diet nutritional counseling and obtains a 24-h dietary recall.
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15 *Monitored Intervention Period (Weeks 3-14)*-Participants enter the 3-month monitored
16 intervention period in which they will either be engaged in the SOC or the TRE intervention
17 starting at clinic visit 2.
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21 *Phone Call- (Week 8)*- All participants will speak with the dietician to reinforce good nutritional
22 practices with the Mediterranean diet over the phone and provide a 24-h dietary recall.
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26 *Fire Station Visit 1 (Week 12)*- A research team member will go to the fire station to provide the
27 firefighters with a CGM and actigraphy device, which they will wear for 2 weeks.
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31 *Clinic Visit 3 (Week 14)*-Participants will return the CGM and actigraphy device. Vitals, body
32 weight, body composition, and fasting blood draw will be obtained. They will be asked to complete
33 the same questionnaires from Visits 1 and 2. This will conclude the 3-month monitored
34 intervention period, and it will also initiate the 9-month self-guided period.
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38 During the self-guided period (Months 3-12), there will be 3 clinic visits and one fire station
39 visit. During these 9 months, they are asked to use the mCC app for at least 2 consecutive weeks
40 every month.
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44 *Clinic Visit 4 (Month 6) and Clinic Visit 5 (Month 9)*- Participants will use the mCC app for at
45 least 2 consecutive weeks per month. They will also complete the same questionnaires, vitals, body
46 weight/composition, and fasting blood draws as they did at clinic visits 1 and 3.
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50 *Fire Station Visit 2 (Month 12)*- The research team will go to the fire station to provide the
51 firefighters with a CGM and an actigraphy device, which they will wear for 2 weeks.
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54 *Clinic Visit 6 (End of Month 12)*- At this final visit, all assessments will be repeated, CGM and
55 actigraphy device will be returned, and the dietician will also conduct a 24-h dietary recall.
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COVID-19 Protocol

Due to COVID-19, the Altman Clinical and Translational Research Institute (ACTRI) temporarily closed from April-July 2020, after which it remains accessible only to essential therapeutic research studies. During this period, clinic visits were conducted at the SDFD Wellness center, where its nurses are trained and provided supplies by the UCSD research staff. Two weeks before these visits, participants were sent the actigraphy and questionnaires via US mail. The actigraphy will be worn for 2 weeks and then returned to the staff at their clinic visit. Starting in June 2020, we phased out questionnaires by mail and switched to administering questionnaires online. Additionally, participants received a questionnaire in the mail asking if any changes to medications or sleeping habits, travel plans, and specific dates worked over the past two weeks, as these were questions that we asked in-person.

For the 3-month and 12-month visits, participants were also mailed a new CGM in the mail along with the watch and surveys 2 weeks prior. In place of fire station visits, Zoom was used to video-chat with the participant and give them instructions on how to apply and activate the CGM using the reader. They remained blind to the CGM data.

Data Collection and Measurements

Data will be collected during clinic visit days and in free-living conditions.

Data collection during clinic visits.

All clinical testing (vitals, blood draw, questionnaires) will be performed at the UCSD - ACTRI. Blood is processed at the UCSD Clinical Laboratory. All participants are advised to fast overnight and visits are scheduled between 8 am-12 pm.

Anthropometric and vital signs. At every clinic visit the following measurements will be made by standardized and hospital-grade equipment: height, weight, body temperature, blood pressure, and heart rate. Body composition will be assessed by a standardized Tanita scale (DC 430U; Tokyo, Japan).

Blood tests. All participants will have their blood drawn at the ACTRI by certified nurses. Venous blood samples are collected in the fasting state at all six clinic visits. The laboratory tests for cardiometabolic function (comprehensive metabolic panel (CMP), complete blood count (CBC),

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3 thyroid-stimulating hormone (TSH), hemoglobin A1c (HbA1c), high sensitivity C-reactive protein
4 (hs-CRP), triglycerides (TG), low-density lipid (LDL), high-density lipid (HDL), cholesterol
5 assessed via NMR lipoprofile, insulin) are done by a certified analytical laboratory contracted by
6 UCSD.
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10 De-identified blood samples (specifically, serum and plasma) obtained during this study
11 are biobanked at UCSD or the Salk Institute for possible further biochemical testing. Samples will
12 not be shared with researchers outside of those associated with this protocol. Samples are
13 biobanked indefinitely.
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19 Questionnaires. Participants are asked to complete the following questionnaires:
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- 21 • Clinic Visits 1,3,4,5,6:
 - 22 ○ Short Form-36 Quality of Life (SF-36): To measure overall functional health score
 - 23 as well as separate physical and mental health dimension components
 - 24 ○ Beck Depression Inventory-II (BDI): To screen for depression
 - 25 ○ Epworth Sleep Scale (ESS): To assess daytime sleepiness (CV2 instead of CV1)
 - 26 ○ Pittsburgh Sleep Quality Index (PSQI): To evaluate sleep in seven major areas –
 - 27 subjective sleep quality, sleep latency, sleep duration, sleep disturbances, sleep
 - 28 efficiency, use of sleeping aids, and daytime dysfunction. (CV2 instead of CV1)
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- 36 • Clinic Visit 2
 - 37 ○ ESS
 - 38 ○ PSQI
 - 39 ○ 24-h food recall: To assess nutrient consumption, amounts, and timing based on the
 - 40 prior day's intake.
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- 45 • Week 8 phone call
 - 46 ○ 24-h food recall
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- 48 • Clinic Visit 6
 - 49 ○ End of Study Surveys: To obtain self-reported impressions of the study and receive
 - 50 feedback.
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54 Data collection during free-living conditions (outside of the clinic)
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3 *The myCircadianClock application (mCC app) for self-logging lifestyle parameters.* The mCC app
4 is designed to run on both Android and iOS devices that account for more than 90% of all
5 smartphones and uses HIPAA-compliant Amazon Web Server (AWS) for server-side operations.
6 During TRE, participants set their daily eating periods and receive alerts and reminders specific to
7 TRE protocols. Participants may choose to receive an automated alert 15 or 30 minutes before the
8 end of the eating interval to finish their last meal of the day. All participants can log their food,
9 sleep, and exercise. For food entries, the user can annotate the food picture with food names and
10 other descriptors (portion size, leftovers, etc.). The app is customized with push notifications,
11 reminders, and educational materials that are structured to guide the firefighters throughout the
12 study, enable self-monitoring, and improve adherence and retention.
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21 *Continuous glucose monitoring (CGM).* All participants are fitted with the Abbott Freestyle
22 LibrePro CGM and will be instructed on its use. CGM measures interstitial fluid glucose, using a
23 subcutaneous sensor placed in the upper arm area, every 15 minutes for up to 14 days. Participants
24 wear the CGM for 2 weeks at a time at baseline (weeks 1-2), end of the 3-month intervention
25 (weeks 12-14), and 1-year follow-up (weeks 50-52). CGMs estimate blood glucose levels with
26 high accuracy that correlates with those obtained from either venous or capillary blood ²⁶. Changes
27 in the average daily glucose and standard deviation will be calculated.
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34 *Wrist-worn actigraphy.* To measure habitual physical activity and sleep, participants wear a
35 Phillips accelerometer (Spectrum Plus™) on their non-dominant arm for two weeks at a time
36 during the study period (baseline, and 2-weeks leading up to the end of the 3-month intervention,
37 and follow-up visits at 6-, 9-, and 12-months). Wrist accelerometers have an acceptable correlation
38 ($r=0.90$) between daily physical activity and activity counts reported by accelerometers ²⁷ and have
39 been used reliably in NHANES ²⁸. The actigraphy data will be used to measure sleep onset, sleep
40 duration, and sleep efficiency.
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50 **Statistical analysis plan.**

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52 Data from randomized participants will be analyzed with an intention-to-treat protocol. All
53 collected participants' data will be included. A sub-analysis will be performed to assess changes
54 in participants who had health factors out of the normal range at baseline. Data will be reported
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3 with standard descriptive statistics as mean \pm standard deviation, or 95% confidence interval for
4 normally distributed data, and as median with (Q1:Q3) for non-normally distributed data. Analysis
5 will be performed by examination of the distribution of variables to assess their means, standard
6 deviations, and skewness. Continuous measures will be tested for normality and homogeneity of
7 variance. Non-normally distributed variables will be transformed to meet the normal distribution
8 assumption for linear models. Randomization will be assessed by performing a series of Wilcoxon-
9 rank sum tests, Chi-square, or Fisher's exact tests to compare the groups on demographic and
10 baseline clinical variables. Any variables on which the groups differ initially will be explored as
11 covariates in subsequent analyses. The 95% eating window will be computed for the baseline and
12 end of intervention. A mixed-effect model will be used²⁹⁻³¹. Data will be analyzed using SPSS
13 (IBM Corp. Released 2020. IBM SPSS Statistics for Windows, Version 26.0. Armonk, NY: IBM
14 Corp.). All analyses will be two-tailed, where applicable, with $\alpha=0.05$, which will be considered
15 statistically significant.
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26 *Sample Size Calculation*

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29 To date, there are no published RCTs assessing TRE as an intervention in firefighters. Most of the
30 published TRE studies of comparable length of intervention are not RCT. Therefore, we used
31 weight loss as a surrogate outcome for calculating sample size. The required sample size was
32 calculated using G*Power software and for the mixed model approach using the RMASS program
33 provided by Hedeker (<http://tigger.uic.edu/~hedeker/ml.html>). We are confident that the sample
34 size of 150 provides us with a minimum power of 80% to detect a medium effect size for the
35 primary hypotheses. Medium effect sizes were selected based on previous studies that are reviewed
36 earlier. For the mixed model, the medium effect size is defined as a between-group difference
37 increasing linearly from 0 at baseline to .5 SD units at the last time point. The minimum power
38 estimation is based on sample size calculation for 10% and 20% attrition, correlations of 0.2, 0.5,
39 and 0.8 between the repeated measures, and for medium and large effect sizes.
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49 *Missing Data*

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52 Missing data will be examined to assess randomness. The pattern of missing data will be examined
53 according to the procedure recommended by Little and Rubin³² which includes comparing group
54 differences in the primary outcomes of subjects with versus without missing data. This allows
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3 inclusion of subjects with missing data or those who terminated the study early, without relying
4 on data imputation procedures.
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6 7 **Ethics and Dissemination** 8

9 The study has been approved by the Institutional Review Boards of the University of California,
10 San Diego, and Salk Institute for Biological Studies, La Jolla. Modifications to the protocol due to
11 COVID-19 were allowed without full approval because there was no increased risk to the
12 participants and the imminent and unique nature of the situation. Protocol modifications due to
13 COVID-19 will be reported to the IRB. Any change in endpoints or analysis plan that occur will
14 be amended in ClinicalTrials.gov.
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16 Informed consent will be obtained by the study coordinators or research personnel
17 associated with this protocol. Informed consent procedures will be supervised either by the study
18 coordinator, supervising physicians, or the principal investigator. All research personnel giving
19 informed consent will have undergone proper training and obtained the required certificates.
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21 This paper was reported using the SPIRIT reporting guidelines³³. Results from the study
22 will address whether 10-h TRE is effective and feasible in improving health outcomes among
23 career firefighters who do 24-h shift work. Results will be disseminated through peer-reviewed
24 manuscripts, reports, and presentations. Findings, regardless of the result, will be reported as
25 described. Participants will receive their data at the end of the one-year follow-up. This includes
26 summaries of sleep, continuous glucose monitors (when available), blood work, and food entries
27 from baseline, the end-of-intervention, and the 6-,9-, and 12-month follow-up assessments. All co-
28 authors will comply with the International Committee of Medical Journal Editors and no
29 professional writers will be engaged.
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45 **Discussion** 46

47 This study is the first workplace RCT to introduce TRE among the US career shift workers to
48 promote healthy circadian behavioral change within the existing work schedule and culture. The
49 RCT design with a self-guided follow-up period of the trial will demonstrate the feasibility of
50 adopting TRE among fire service personnel without changing their work schedules. The
51 complementary in-clinic and free-living measurements of various vital, behavioral, biochemical,
52 and physiological parameters at multiple time points throughout the study will help assess the
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3 impact of TRE on the multidimensional aspects of health. The use of a smartphone app to collect
4 longitudinal data on nutrition and the use of the same medium for bidirectional engagement with
5 the participants with relevant and actionable educational materials is also a unique strength of this
6 study. If successful, this digital infrastructure will allow rapid dissemination and implementation
7 of the TRE program among fire service personnel.
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11 Why a 10-h TRE? Although TRE with a wide range of eating windows from 6- to 12-h has been
12 shown to offer health benefits in pre-clinical animal models and humans, we chose a 10-h window
13 for several reasons. In both animal models and a recently completed study on patients with
14 metabolic syndrome, 10 h of eating and 14 h of fasting reduced adiposity, improved blood pressure,
15 blood glucose, and blood cholesterol ²⁵. Therefore, we reasoned 10-h TRE may also benefit the
16 firefighters. A 10-h TRE may also be a feasible and sustainable window for many firefighters. In
17 SDFD and many other fire departments with communal eating at fire stations, breakfast and dinner
18 are typically prepared within a 10 h window with the dinner being served at ~6 pm, which makes
19 adopting to TRE easier. However, adopting this habit at home may be a challenge as the firefighters
20 often want to share meals with their family during off days, and sustained 10-h TRE may involve
21 the participation of the family to incorporate a TRE lifestyle.
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25 Can it be adopted among fire services? If successful, TRE may have a relatively lower barrier to
26 implementation relative to other approaches to reduce circadian disruption. Circadian rhythms are
27 disrupted by light at night, sleep disruption, and erratic eating patterns. Methods to reduce the
28 circadian disruptive impact of light or to improve sleep will likely involve both education and
29 infrastructure investments to optimize lighting to support circadian rhythm or to improve sleep.
30 On the other hand, if TRE is found beneficial, it can be implemented with minimal to no alterations
31 in infrastructure.
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Author Contributors

- SP, PT, ENCM, AZ conceived the study concept, developed study design and protocol, applied for funding, and initiated writing the manuscript.
- ENCM, AZ, HCL, AS, NG, AR, AP coordinated the project.
- AZ, AS, HCL, AP, AR, NG, ENCM screened/recruited participants and conducted clinic and fire station visits.
- AZ, AS, HCL, AP, AR, NG, XW, ENCM collected data.
- SG and JF developed and conducted the statistical analysis plan. SP, PT, and ENCM interpreted data.
- SP and PT are the co-principal investigators and the grant holders.
- All authors contributed and approved the final version of the manuscript.

Human Rights and Informed Consent

Ethical approval and oversight of this clinical study were approved and provided by the University of California, San Diego (UCSD) Institutional Review Board Human Research Protections Program (IRB#172083) and the Salk Institute for Biological Studies Institutional Review Board (IRB#18-0001). The study is registered on ClinicalTrials.gov (NCT03533023). All participants provided informed written consent.

Declaration of conflicting Interest. SP is the author of the book “The Circadian Code” for which he collects nominal author royalty. PT is a consultant for Amgen, Esperion, Boehringer Ingelheim, Novo Nordisk, and Sanofi, and is a shareholder for Epirum Bio. ENCM, AZ, HCL, AS, AR, AP, NG, XW, JF, and SG have no conflict of interest to disclose.

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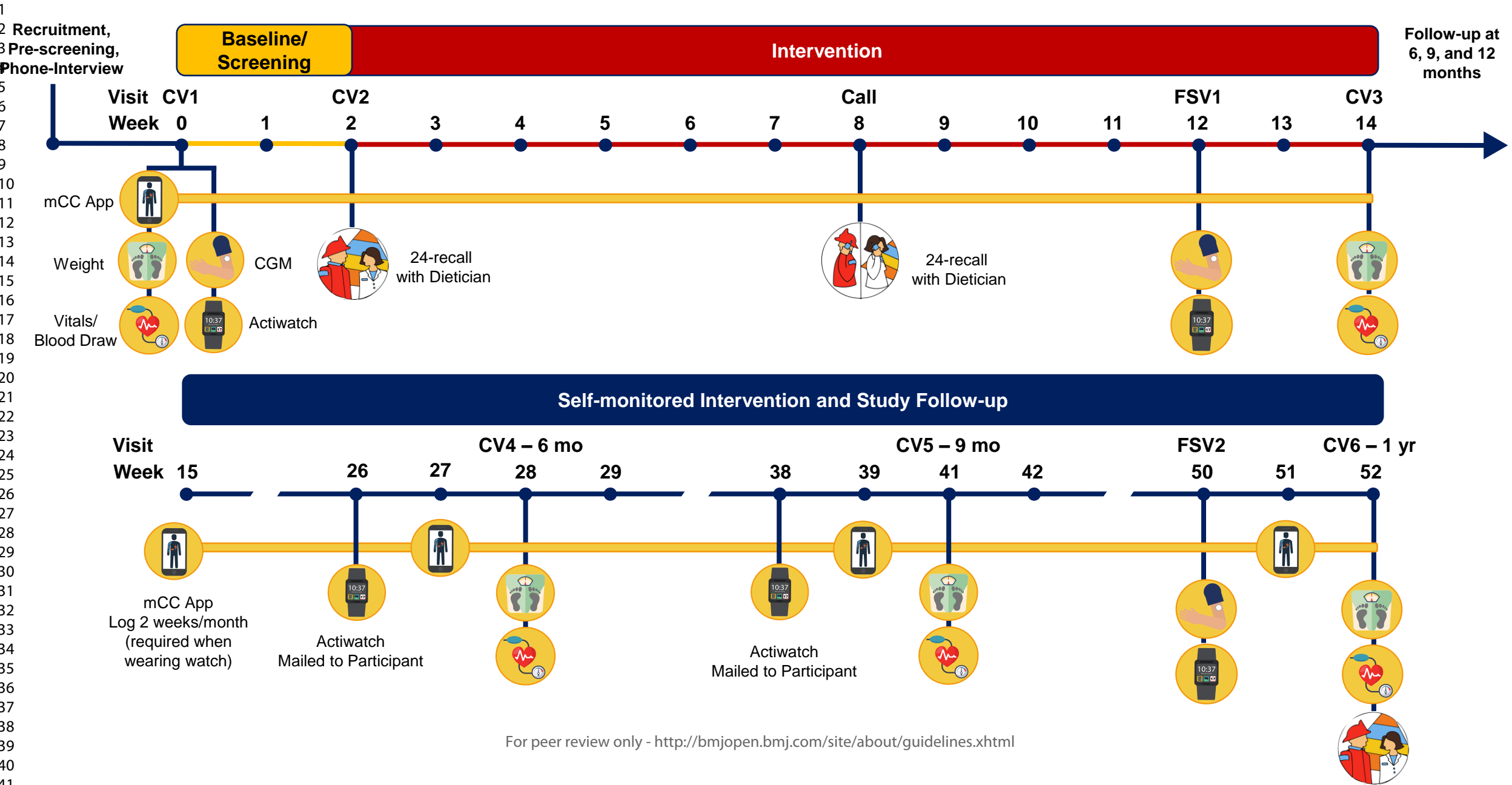
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Figure Legend

Figure 1. Study Design and Timeline. mCC, myCircadianClock; CGM, continuous glucose monitor.

For peer review only



Supplemental Information

1. Data safety monitoring plan
2. Privacy and confidentiality
3. Data management

1. Data Safety and Monitoring Plan

The interventions in the study have minimal risk, but any adverse events will be documented. The PI reviews study conduct such as accrual, drop-outs, protocol deviations on a monthly basis. The PI reviews AEs individually real-time and in aggregate on a weekly basis. The PI reviews serious adverse events (SAEs) in real-time. The PI ensures all protocol deviations, AEs, and SAEs are reported to the IRB according to the applicable regulatory requirements.

An internal data quality inspection will be performed. The PI will do a random 10% data audit 1-2x/year. If necessary, re-training of data collectors will be conducted.

2. Privacy and Confidentiality

All study forms including consents, HIPAAs, and case report forms (CRFs), which will include elements from the present and past history, patient demographics, including age, current medications and lab draws, will be stored in in a locked cabinet in Dr. Taub's office in the ACTRI building. Only approved study personnel will have access to this information. To minimize the potential loss of confidentiality, patients will be assigned a unique number as their subject identifier code. The unique subject code will be used to label all study documents.

3. Data management

Data will be collected using standardized paper forms and will be identified with the study's ID of the participant. The codes that link the name of the participant and the study ID will be kept confidential by the Principal Investigator in a secured cabinet. Data will be entered in the computer independently by UCSD certificated and trained data entry staff, and discrepancies corrected by a supervisor based on source documents.

Specimens: Some portions of the specimens collected may be sent to a central laboratory outside of UCSD for testing. All samples will be labeled with a unique specimen code and the only way to link the specimens with the subject code and any personal health identifiers will be on a physical sheet of paper locked in a cabinet in Dr. Taub's office in the ACTRI building.

mCC app data: The mCC app is designed uses HIPAA compliant Amazon Web Server (AWS) for server-side operations. Two large capacity Linux servers (main server and backup) with load balancing are used to capture the data that the smartphone users transmit. The data will be stored on AWS S3 (Simple Storage Service). These two servers will remain online 24h a day, 7 days a week.

Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRIT reporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Gøtzsche PC, Altman DG, Mann H, Berlin J, Dickersin K, Hróbjartsson A, Schulz KF, Parulekar WR, Krleža-Jerić K, Laupacis A, Moher D. SPIRIT 2013 Explanation and Elaboration: Guidance for protocols of clinical trials. *BMJ*. 2013;346:e7586

	Reporting Item	Page Number
Administrative information		
Title	<u>#1</u> Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1

1	Trial registration	#2a	Trial identifier and registry name. If not yet	1, 2
2			registered, name of intended registry	
3				
4				
5				
6	Trial registration:	#2b	All items from the World Health Organization Trial	N/A
7	data set		Registration Data Set	
8				
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10				
11	Protocol version	#3	Date and version identifier	Supplement:
12				Informed
13				Consent (each
14				page)
15				
16				
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20				
21	Funding	#4	Sources and types of financial, material, and other	1
22			support	
23				
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26				
27	Roles and	#5a	Names, affiliations, and roles of protocol	1, 20
28	responsibilities:		contributors	
29	contributorship			
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35	Roles and	#5b	Name and contact information for the trial sponsor	N/A
36	responsibilities:			
37	sponsor contact			
38	information			
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44	Roles and	#5c	Role of study sponsor and funders, if any, in study	N/A
45	responsibilities:		design; collection, management, analysis, and	
46	sponsor and funder		interpretation of data; writing of the report; and the	
47			decision to submit the report for publication,	
48			including whether they will have ultimate authority	
49			over any of these activities	
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1 Roles and [#5d](#) Composition, roles, and responsibilities of the N/A
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 3 responsibilities:
 4 coordinating centre, steering committee, endpoint
 5 committees
 6 adjudication committee, data management team,
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 8 and other individuals or groups overseeing the trial,
 9
 10 if applicable (see Item 21a for data monitoring
 11
 12 committee)
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 14

15 Introduction

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 17
 18
 19 Background and [#6a](#) Description of research question and justification 4,5
 20
 21 rationale
 22 for undertaking the trial, including summary of
 23 relevant studies (published and unpublished)
 24
 25 examining benefits and harms for each intervention
 26
 27

28
 29 Background and [#6b](#) Explanation for choice of comparators 3
 30
 31 rationale: choice of
 32
 33 comparators
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36 Objectives [#7](#) Specific objectives or hypotheses 5
 37
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39 Trial design [#8](#) Description of trial design including type of trial (eg, 5
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 41 parallel group, crossover, factorial, single group),
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 43 allocation ratio, and framework (eg, superiority,
 44
 45 equivalence, non-inferiority, exploratory)
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49 Methods:

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 51 Participants,
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 53 interventions, and
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 55 outcomes
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1	Study setting	#9	Description of study settings (eg, community clinic,	13
2			academic hospital) and list of countries where data	
3			will be collected. Reference to where list of study	
4			sites can be obtained	
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11	Eligibility criteria	#10	Inclusion and exclusion criteria for participants. If	6,7
12			applicable, eligibility criteria for study centres and	
13			individuals who will perform the interventions (eg,	
14			surgeons, psychotherapists)	
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21	Interventions:	#11a	Interventions for each group with sufficient detail to	9-15
22			allow replication, including how and when they will	
23	description		be administered	
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28				
29	Interventions:	#11b	Criteria for discontinuing or modifying allocated	10
30			interventions for a given trial participant (eg, drug	
31	modifications		dose change in response to harms, participant	
32			request, or improving / worsening disease)	
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39	Interventions:	#11c	Strategies to improve adherence to intervention	10
40			protocols, and any procedures for monitoring	
41	adherence		adherence (eg, drug tablet return; laboratory tests)	
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46	Interventions:	#11d	Relevant concomitant care and interventions that	N/A
47			are permitted or prohibited during the trial	
48	concomitant care			
49				
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51	Outcomes	#12	Primary, secondary, and other outcomes, including	8
52			the specific measurement variable (eg, systolic	
53			blood pressure), analysis metric (eg, change from	
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baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended

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13	Participant timeline	#13 Time schedule of enrolment, interventions	11, Fig 1, Table
14		(including any run-ins and washouts),	3
15		assessments, and visits for participants. A	
16		schematic diagram is highly recommended (see	
17		Figure)	
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24	Sample size	#14 Estimated number of participants needed to	16, 17
25		achieve study objectives and how it was	
26		determined, including clinical and statistical	
27		assumptions supporting any sample size	
28		calculations	
29			
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37	Recruitment	#15 Strategies for achieving adequate participant	6
38		enrolment to reach target sample size	
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Methods:

Assignment of interventions (for controlled trials)

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52	Allocation:	#16a Method of generating the allocation sequence (eg,	9
53	sequence	computer-generated random numbers), and list of	
54		any factors for stratification. To reduce	
55	generation		
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1 predictability of a random sequence, details of any
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 3 planned restriction (eg, blocking) should be
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 5 provided in a separate document that is
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 7 unavailable to those who enrol participants or
 8
 9 assign interventions
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12	Allocation	#16b	Mechanism of implementing the allocation
13			9
14	concealment		
15			sequence (eg, central telephone; sequentially
16	mechanism		numbered, opaque, sealed envelopes), describing
17			any steps to conceal the sequence until
18			interventions are assigned
19			
20	Allocation:	#16c	Who will generate the allocation sequence, who
21			6, 9
22	implementation		
23			will enrol participants, and who will assign
24			participants to interventions
25			
26	Blinding (masking)	#17a	Who will be blinded after assignment to
27			9
28			interventions (eg, trial participants, care providers,
29			outcome assessors, data analysts), and how
30			
31	Blinding (masking):	#17b	If blinded, circumstances under which unblinding is
32			N/A
33	emergency		permissible, and procedure for revealing a
34	unblinding		participant's allocated intervention during the trial
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 48 **Methods: Data**
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 50 **collection,**
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 52 **management, and**
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 54 **analysis**
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1	Data collection plan	#18a	Plans for assessment and collection of outcome,	13-15
2			baseline, and other trial data, including any related	
3			processes to promote data quality (eg, duplicate	
4			measurements, training of assessors) and a	
5			description of study instruments (eg,	
6			questionnaires, laboratory tests) along with their	
7			reliability and validity, if known. Reference to where	
8			data collection forms can be found, if not in the	
9			protocol	
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22	Data collection plan:	#18b	Plans to promote participant retention and	15
23	retention		complete follow-up, including list of any outcome	
24			data to be collected for participants who	
25			discontinue or deviate from intervention protocols	
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32	Data management	#19	Plans for data entry, coding, security, and storage,	Supplement
33			including any related processes to promote data	
34			quality (eg, double data entry; range checks for	
35			data values). Reference to where details of data	
36			management procedures can be found, if not in the	
37			protocol	
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47	Statistics: outcomes	#20a	Statistical methods for analyzing primary and	15-16
48			secondary outcomes. Reference to where other	
49			details of the statistical analysis plan can be found,	
50			if not in the protocol	
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1	Statistics: additional	#20b	Methods for any additional analyses (eg, subgroup	7
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3	analyses		and adjusted analyses)	
4				
5				
6	Statistics: analysis	#20c	Definition of analysis population relating to protocol	16,17
7				
8	population and		non-adherence (eg, as randomized analysis), and	
9				
10	missing data		any statistical methods to handle missing data (eg,	
11				
12			multiple imputation)	
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16	Methods: Monitoring			
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19	Data monitoring:	#21a	Composition of data monitoring committee (DMC);	6
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21	formal committee		summary of its role and reporting structure;	
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23			statement of whether it is independent from the	
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25			sponsor and competing interests; and reference to	
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27			where further details about its charter can be	
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36	Data monitoring:	#21b	Description of any interim analyses and stopping	Supplement
37				
38	interim analysis		guidelines, including who will have access to these	
39				
40			interim results and make the final decision to	
41				
42			terminate the trial	
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46	Harms	#22	Plans for collecting, assessing, reporting, and	Supplement
47				
48			managing solicited and spontaneously reported	
49				
50			adverse events and other unintended effects of trial	
51				
52			interventions or trial conduct	
53				
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1	Auditing	#23	Frequency and procedures for auditing trial	Supplement
2				
3				
4			conduct, if any, and whether the process will be	
5				
6			independent from investigators and the sponsor	
7				
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9	Ethics and			
10				
11	dissemination			
12				
13				
14	Research ethics	#24	Plans for seeking research ethics committee /	19
15				
16	approval		institutional review board (REC / IRB) approval	
17				
18				
19	Protocol	#25	Plans for communicating important protocol	17
20				
21	amendments		modifications (e.g, changes to eligibility criteria,	
22			outcomes, analyses) to relevant parties (eg,	
23				
24			investigators, REC / IRBs, trial participants, trial	
25				
26			registries, journals, regulators)	
27				
28				
29				
30				
31	Consent or assent	#26a	Who will obtain informed consent or assent from	17
32				
33			potential trial participants or authorized surrogates,	
34				
35			and how (see Item 32)	
36				
37				
38				
39	Consent or assent:	#26b	Additional consent provisions for collection and use	N/A
40				
41	ancillary studies		of participant data and biological specimens in	
42				
43			ancillary studies, if applicable	
44				
45				
46				
47	Confidentiality	#27	How personal information about potential and	Supplement
48				
49			enrolled participants will be collected, shared, and	
50				
51			maintained in order to protect confidentiality before,	
52				
53			during, and after the trial	
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1	Declaration of	#28	Financial and other competing interests for	19
2				
3	interests		principal investigators for the overall trial and each	
4			study site	
5				
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9	Data access	#29	Statement of who will have access to the final trial	N/A
10				
11			dataset, and disclosure of contractual agreements	
12				
13			that limit such access for investigators	
14				
15				
16	Ancillary and post	#30	Provisions, if any, for ancillary and post-trial care,	N/A
17	trial care		and for compensation to those who suffer harm	
18				
19			from trial participation	
20				
21				
22				
23				
24	Dissemination	#31a	Plans for investigators and sponsor to	2, 17
25	policy: trial results		communicate trial results to participants,	
26			healthcare professionals, the public, and other	
27			relevant groups (eg, via publication, reporting in	
28			results databases, or other data sharing	
29			arrangements), including any publication	
30			restrictions	
31				
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41	Dissemination	#31b	Authorship eligibility guidelines and any intended	17
42	policy: authorship		use of professional writers	
43				
44				
45				
46	Dissemination	#31c	Plans, if any, for granting public access to the full	N/A
47	policy: reproducible		protocol, participant-level dataset, and statistical	
48				
49				
50	research		code	
51				
52				
53				

Appendices

1	Informed consent	#32	Model consent form and other related	Supplement
2				
3	materials		documentation given to participants and authorized	
4			surrogates	
5				
6				
7				
8				
9	Biological	#33	Plans for collection, laboratory evaluation, and	14, supplement
10				
11	specimens		storage of biological specimens for genetic or	
12			molecular analysis in the current trial and for future	
13				
14				
15				
16			use in ancillary studies, if applicable	
17				
18				

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