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Identification of anatomic risk factors for acute coronary events by Optical Coherence Tomography in patients with myocardial infarction and residual non-flow limiting lesions: Rationale and design of the PECTUS-obs study.

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3 4	46	Abstract
5 6 7 8	47	Introduction
9 10	48	In patients with myocardial infarction, the decision to treat a non-culprit lesion is generally based on
11 12 13	49	its physiological significance. However, deferral of revascularization based on non-ischemic fractional
13 14 15	50	flow reserve (FFR) values in these patients results in less favorable outcomes compared to patients
16 17	51	with stable coronary artery disease (CAD), potentially caused by vulnerable non-culprit lesions.
18 19	52	Intravascular optical coherence tomography (OCT) imaging allows for in vivo morphological
20 21	53	assessment of plaque 'vulnerability', and might aid in the detection of FFR-negative lesions at high
22 23 24	54	risk for recurrent events.
25 26 27 28	55	Methods and analysis
29 30	56	The PECTUS-obs study is an international multicenter prospective observational study that aims to
31 32 33	57	relate OCT-derived vulnerable plaque characteristics of non-flow limiting, non-culprit lesions to
34 35	58	clinical outcome in patients with myocardial infarction. A total of 438 patients presenting with
36 37	59	myocardial infarction (STEMI and NSTEMI) will undergo OCT-imaging of any FFR-negative non-culprit
38 39	60	lesion for detection of plaque vulnerability. The primary study endpoint is a composite of Major
40 41	61	Adverse Cardiovascular Events (all-cause mortality, non-fatal myocardial infarction, or unplanned
42 43 44	62	revascularization) at 2-year follow-up. Secondary endpoints will be the same composite at 1- and 5-
45 46	63	year follow-up, target vessel failure, target vessel revascularization, target lesion failure and target
47 48	64	lesion revascularization.
49 50 51 52	65	Ethics and dissemination
53 54 55	66	This study has been approved by the Medical Ethics Committee of the region Arnhem-Nijmegen. The
56 57	67	results of this study will be disseminated in a main paper and additional papers with subgroup
58 59 60	68	analyses.

1 2 3 4 5 6	69	Registered under NCT03857971 on 28-02-2019
7 8	70	
9 10	71	Strengths and limitations of this study
11 12	72	• The PECTUS-obs is the first prospective study to assess the incremental value of OCT imaging
13 14	73	of FFR-deferred non-culprit lesions in patients presenting with MI.
15 16 17	74	OCT is the only imaging modality with a spatial resolution high enough to truly measure
18 19	75	fibrous cap thickness, the plaque feature most associated with adverse events.
20 21	76	• In PECTUS-obs, OCT imaging will only be performed at baseline. However, any new MI or
22 23	77	revascularization will be allocated to a specific coronary vessel and lesion by comparison of
24 25 26	78	the baseline and follow-up angiograms.
27 28	79	If intracoronary imaging with OCT is able to identify lesions associated with worse outcome,
29 30	80	this might warrant studies on focal or pharmacological intervention of OCT-determined
31 32	81	vulnerable plaques.
33 34 35	82	
36 37	83	vulnerable plaques.
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85 Introduction

In patients presenting with myocardial infarction (MI), percutaneous coronary intervention (PCI) of the culprit lesion is the standard method of treatment. [1] A high percentage of these patients have additional lesions at different sites in the coronary arteries, not responsible for the acute event. The optimal treatment of these non-culprit lesions is subject of extensive research, because their presence confers a greater risk of future major adverse cardiac events (MACE) [2, 3]. Recent studies showed that complete revascularization results in improved outcomes compared to treatment of the culprit lesion only. [4-6] However, non-selective revascularization of all non-culprit lesions may lead to overtreatment.

The selection of non-culprit lesions qualifying for revascularization is often based on whether a lesions causes ischemia, as determined by invasive measurements such as the fractional flow reserve (FFR). [7] In patients with stable coronary artery disease (CAD), FFR-guided complete revascularization results in better outcomes compared to angiography guided complete revascularization. [8] Nevertheless, the MACE rates at longer term follow-up remains significant in the presence of non-significant CAD. [9] In patients presenting with MI this recurrence rate of ischemic events is even higher. [10] A recent study demonstrated a MACE rate of 23% in acute coronary syndrome (ACS) patients vs. 11% in patients with stable CAD at 3.4-years follow-up, after FFR based deferral of revascularization. Among these ACS patients, especially those presenting with NSTEMI had a high event rate (42%). [11]

Apart from coronary physiology, the structural components of non-culprit lesions might provide other markers for future adverse events. Autopsy studies have granted insight into the lesion characteristics that are associated with plaque rupture, and subsequent MI or sudden death. These lesions tend to contain a large lipid pool with a thin overlying fibrous cap, and display a large degree of outward remodeling. [12, 13] These 'thin-cap fibroatheromas' (TCFA) are more frequently observed in both culprit- and non-culprit lesions of patients presenting with MI than in patients

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2 3 4	110	presenting with stable CAD. [14-16] Therefore, screening for vulnerable plaques on top of
5 6 7	111	physiological measurements, should be evaluated for non-culprit lesions.
8 9	112	Analysis of lesion composition can be performed in vivo with the use of intravascular imaging
10 11 12	113	techniques such as intravascular ultrasound (IVUS), near infrared spectroscopy (NIRS) and optical
12 13 14	114	coherence tomography (OCT). [17] Prospective studies using IVUS and NIRS showed that
15 16	115	identification of lesions at higher risk for future events is feasible. [18, 19] However, OCT might prove
17 18	116	more suitable for this purpose, due to its specific characteristics. OCT has a 10-20 times higher spatial
19 20 21	117	resolution than IVUS, allowing for better detection of TCFA. Moreover, a complete acquisition of a
22 23	118	coronary segment can be provided within a couple of seconds, with a single pullback. Last,
24 25	119	(semi)automated analysis of images is more feasible due to the high resolution of the acquired
26 27 28	120	images. [20] Nevertheless, OCT has yet to be prospectively validated for its ability to identify lesions
29 30	121	at risk for future MACE in MI patients.
31 32 33	122	For future studies on potential preventive revascularization or more aggressive pharmacological
34 35	123	therapy in patients with high risk lesions, prospective studies with clinical outcomes are imperative.
36 37	124	In the PECTUS-obs study, we aim to relate OCT-derived plaque characteristics of not significantly flow
38 39	125	limiting, non-culprit lesions to clinical outcome in patients presenting with MI.
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127 Methods and analysis

)	The PECTUS-obs study is designed as an international multicenter prospective observational study.		
)	Eligible patients have to undergo an index CAG during hospitalization for an acute myocardial		
	infarction, which reveals one or more non-culprit lesio	infarction, which reveals one or more non-culprit lesions accessible for imaging with OCT. FFR-	
	measurements of these non-culprit lesions are made of	during the same index procedure, or during a	
	staged procedure. Any FFR-nonsignificant lesions are s	subsequently imaged with OCT. Additional	
	criteria are listed in table 1. A total of 438 patients will	l be included. A flow-chart of the study design	
	is depicted in figure 1.		
	Table 1. Inclusion- and exclusion criteria		
	Inclusion criteria	Exclusion criteria	
	Clinical Age ≥ 18 years Hospitalization with a STEMI or NSTEMI for which patient is subjected to invasive coronary angiography (within the last 6 weeks). 	Clinical Pregnancy. Hemodynamic instability, respiratory failure, or Killip class ≥ 3 at time of inclusion. Previous CABG. Indication for revascularization by CABG. Estimated life expectancy < 3 year.	
	Angiographical - Patient has ≥ 1 non-culprit, target lesion(s) - with following additional characteristics: ○ Lesion has visual stenosis of 30-90%. ○ Lesion is non-obstructive (FFR > 0.80). ○ ○ Lesion is not in-stent restenosis.	Angiographical Anatomy of target lesion(s) is unsuitable for OCT catheter crossing or imaging (aorta-ostial lesions, too small diameter segment, severe calcifications, chronic total occlusion, distal lesions prohibiting OCT imaging).	
	Table 1. Inclusion- and exclusion criteriaCABG, coronary artery bypass grafting, FFR, fractional flow reserOCT, optical coherence tomography, STEMI, ST-elevation myocar		

137 Patients and enrolment

Patients presenting with MI (ST-elevation and non ST-elevation) are screened for potential inclusion in the study. Patients are treated according to the current guidelines for the management of ACS, including referral for CAG and (potential) PCI of the culprit artery. In case of one or more non-culprit lesions of intermediate stenosis (30-90%), clinically indicated FFR measurements are performed in order to determine if these non-culprit stenoses are hemodynamically significant (figure 2). If a stenosis is non-significant (FFR > 0.8) and the patient is eligible for inclusion based on the criteria listed in table 1, informed consent is obtained for participation in the study. If the FFR is ≤ 0.80 (hemodynamically significant), the patient is revascularized according to the current therapeutic guidelines.

147 Timing of FFR measurements and informed consent

FFR measurements of non-culprit lesions are performed either during the index CAG, or during a
staged procedure within 6 weeks. If non-culprit lesions are assessed during the index procedure,
patients are approached for participation after revascularization of the culprit artery and any FFR
measurements. After oral consent, the OCT pullbacks are performed of all FFR-negative stenosis.
Written informed consent is acquired after the procedure. If non-culprit lesion will be evaluated
during a staged procedure, written informed consent is acquired prior to the staged procedure.

154 OCT-imaging

After administration of intracoronary nitrates an OCT pullback of the target lesion is acquired using the FD-OCT ILUMIEN system (Abbott, USA) over a normal 0.14" guidewire or pressure wire. The OCT system is CE marked and deployed as intended by the manufacturer. For effective clearing of blood from the imaging field angiographic contrast media is injected. For the average coronary vessel 14 ml of contrast media is injected using an automated injector at a rate of 4 mL/s at 300 PSI. The contrast amount and/or infusion rate can be adjusted proportionally to coronary artery diameter to ensure

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3 4	161	good image quality. The segment of interest is scanned with a pull-back speed of 18 mm/sec (54mm
5 6	162	segment). The entire OCT-pullback is recorded simultaneously with fluoroscopy to ensure that the
7 8	163	anatomy of the OCT pullback can be linked to the angiogram. Multiple runs are allowed in case of
9 10 11	164	poor image quality. In case of multiple target lesions eligible for OCT imaging, OCT imaging of each
12 13 14	165	target lesion is performed. OCT images are not used for procedural guidance.
15 16 17	166	Blood sampling
18 19	167	During CAG, after OCT imaging is performed, 10ml of blood is drawn from the arterial sheath. This
20 21	168	blood is used for determination of biomarkers for plaque- or patient vulnerability.
22 23 24 25	169	OCT-imaging analysis
26 27	170	OCT-images and corresponding angiograms are analyzed off-line by trained personnel in an OCT
28 29 30	171	core-laboratory. Evaluation of the images is based on tissue characteristics as previously described in
31 32	172	OCT expert consensus papers. [21, 22] A plaque is deemed 'vulnerable' if it contains two of the
33 34	173	following characteristics: a lipid arc of ≥ 90°, a cap thickness of < 65 μm and either cap rupture or
35 36 37	174	thrombus formation. An example of a vulnerable plaque with a lipid arc of >90° and a cap thickness <
38 39	175	65 μm is shown in figure 2.
40 41 42 43	176	Study end points
43 44 45	177	The primary study endpoint consists of a composite of major adverse cardiovascular events (all-cause
46 47	178	mortality, non-fatal MI (STEMI or NSTEMI), or unplanned revascularization) at 2-year follow-up in
48 49 50	179	patients with a vulnerable plaque as compared to patients without a vulnerable plaque. Secondary
50 51 52	180	endpoints are: MACE at 1- and 5-year follow-up, target vessel failure, target vessel revascularization,
53 54 55	181	target lesion failure and target lesion revascularization.
56 57 58 59 60	182	Exploratory analyses

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33 Additional exploratory analyses will be performed by comparing non-culprit plaque characteristics in 34 patients presenting with STEMI vs. NSTEMI, in diabetic vs non-diabetic patients, and in male vs. 35 female patients. Plaque morphology will also be related to angiographic lesion features. Moreover, in 36 order to accelerate the process of OCT-imaging interpretation, automated detection of 37 morphological features associated with MACE, will be developed with the use of machine learning. 38 Follow-up and endpoint adjudication 39 At 1-, 2- and 5-years patients are followed-up by telephone contact. Medical records (including 90 coronary angiograms) from participating centers, general practitioners, and other medical centers 91 are used for the verification of endpoints. Additionally, mortality data is obtained from national 92 registries. A clinical event adjudication committee blinded to OCT-data will assess endpoints and 93 allocate any new MI or revascularization to a specific coronary vessel and lesion by comparison of the 94 baseline and follow-up angiograms. Sample size calculation and statistical analysis 95 96 Total sample size is calculated at 438 patients. Sample size is calculated to provide at least 80% 97 power with a one sided alpha of 0.025 to identify OCT variables associated with non-culprit lesion 98 related major adverse cardiovascular events. It is based on the assumption that high risk OCT defined

199 vulnerable plaques are identified in 60% of targeted lesions, on a total event rate of 25% after two

200 years in FFR deferred lesions in patients with MI [11], and an expected hazard ratio of at least 3.5 for

201 OCT defined vulnerable plaques. [18] The power of 80% is maintained when the hazard ratio is lower

than expected but at least 2.0, or when the event rate is lower than expected but at least 10%.

203 Estimated loss to follow up is 5%, and inadequate OCT scans prohibiting assessment of vulnerable

204 plaque characteristics are expected in 5% of cases.

At 2-year follow-up, MACE in patients with vulnerable plaque characteristics will be compared to
 patients without vulnerable plaque characteristics in terms of the hazard ratio. Descriptives will be

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207	expressed as mean \pm SD (continuous data) or as frequencies and proportions (categorical data).
208	Continuous variables are presented as mean SD if normally distributed, or median [interquartile
209	range] if not normally distributed. Categorical variables are presented as counts and percentages.
210	Continuous variables are compared between groups using the Student t test or its nonparametric
211	equivalent Mann-Whitney U test. The chi-square test (for comparison of proportions) will be
212	performed where appropriate. Multivariate Cox proportional hazard regression will be used to
213	correct for differences in baseline characteristics like age, sex, diabetes mellitus, hypertension,
214	dyslipidemia, indication for CAG (STEMI vs NSTEMI), history of MI and history of PCI if necessary. All
215	calculations will be generated by statistical package for social sciences software (SPSS Statistics
216	version 24; IBM Corp., Armonk, NY, USA).
217	Patient and public involvement
218	Patients were not involved in the design of this study.
219	Current status
220	Recruitment commenced in December 2018 and was completed in September 2020. With 2 year
221	follow-up for the primary endpoint, reporting on the study is expected in the beginning of 2023.
222	

Discussion

The PECTUS-obs study was designed to investigate the association between OCT-determined characteristics of plaque vulnerability and future major adverse cardiac events in non-flow limiting, non-culprit lesions of patients presenting with MI.

In current practice, the decision whether or not to preventively treat a non-culprit lesion is primarily based on its physiological significance. Although this strategy is clearly superior in stable CAD, it has yet to be proven in patients presenting with MI. [8] In STEMI, several large randomized trials have shown that FFR-guided complete revascularization results in fewer MACE compared to culprit-only revascularization. [23, 24] However, randomized controlled trials directly comparing FFR-guided complete revascularization with angiography-guided complete revascularization in STEMI have not yet been conducted, and the only two studies showing a reduction in major clinical endpoints (death and MI) after non-culprit revascularization were actually guided by angiography rather than physiology. [4, 25] For patients with NSTEMI, the evidence is even more scarce. In the only available randomized trial, the FAMOUS-NSTEMI trial, MACE rates at 1-year follow-up did not differ between patients with FFR-guided and angiography guided treatment (8.0% vs 8.6%). [26] However, this study was primarily designed to evaluate the effect of FFR-measurements on management decisions, and was not powered to assess between-group differences in clinical outcomes. The ongoing SLIM trial (NCT03562572) aims to address this gap in knowledge. Nevertheless, even if FFR-guided complete revascularization proves superior in patients with MI, the long term MACE rate remains significant. [11] It therefore remains unclear if non-culprit lesion selection based solely on FFR is sufficient, or if other features like plaque morphology need to be taken into account.

In previous prospective intravascular imaging studies, plaque morphology has consistently been analyzed using IVUS. In the PROSPECT study, 697 ACS patients were subjected to three-vessel radiofrequency (RF)-IVUS imaging. [18] All atherosclerotic lesions found in the recordings were subsequently analyzed for plaque composition. After a median follow-up of 3.4 years, researchers

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48 found that non-culprit lesions with a minimal lumen area (MLA) of 4.0 mm² or less, a plaque burden 49 of 70% or greater, and those classified as TCFA were associated with a higher rate of MACE. 50 Following PROSPECT, several other studies confirmed the association between RF-IVUS-derived 51 vulnerable plaques and MACE. [27, 28] The main limitation of RF-IVUS when it comes to identifying TCFAs is its poor resolution. In the landmark study by Burke et al., 95% of ruptured plaques had a 52 53 fibrous cap thickness of less than 65µm. [29] More recent reports found that cap thickness of lesions 54 classified as TCFA ranges from 54-84µm. [30] RF-IVUS has a spatial resolution of approximately 150 μm, leaving it below the detection range for cap thickness in these lesions. Moreover, of all plaque 55 56 features that are related with adverse outcomes, cap thickness seems to be the most important. [30] 57 As mentioned earlier, with a spatial resolution of approximately 10µm, we expect that OCT is more 58 suitable for identifying TCFA. However, prospective data on the association between OCT-derived 59 vulnerable plaques and future events are limited. Recently, the arsenal of invasive imaging modalities 60 was broadened by NIRS. The ATHEROREMO-NIRS study proved that NIRS-derived lipid core burden 61 index (LCBI) was associated with MACE at a patient level, whereas the LRP study later expanded on 62 this observation by showing that NIRS can also identify plaques vulnerable to future MACE. [19, 31] 63 The CLIMA study investigated the association between a predefined combination of four high risk 64 plaque features (MLA <3.5 mm², fibrous cap thickness <75 μ m, a lipid arc >180°, and the presence of 65 macrophage clusters) and clinical events in patients that underwent OCT imaging of the proximal 66 LAD. [32] This combination of features proved to be an independent predictor of events with a 67 hazard ratio of 7.54. However, this study differed from the current design in several aspects. Even 68 though CLIMA involved prospective follow-up, patients were only included after undergoing OCT-69 imaging for a clinical indication. Moreover, imaging had to be performed on a predefined segment 70 (proximal-mid LAD) that could not include, or be adjacent to, a stenosis of \geq 50%. Therefore OCT-71 imaging in this study was used to screen a fixed vessel segment that was relatively free of stenosis, 72 whereas the PECTUS-obs evaluates targeted OCT-imaging of angiographically determined stenoses of

60 273 intermediate severity that are FFR-negative.

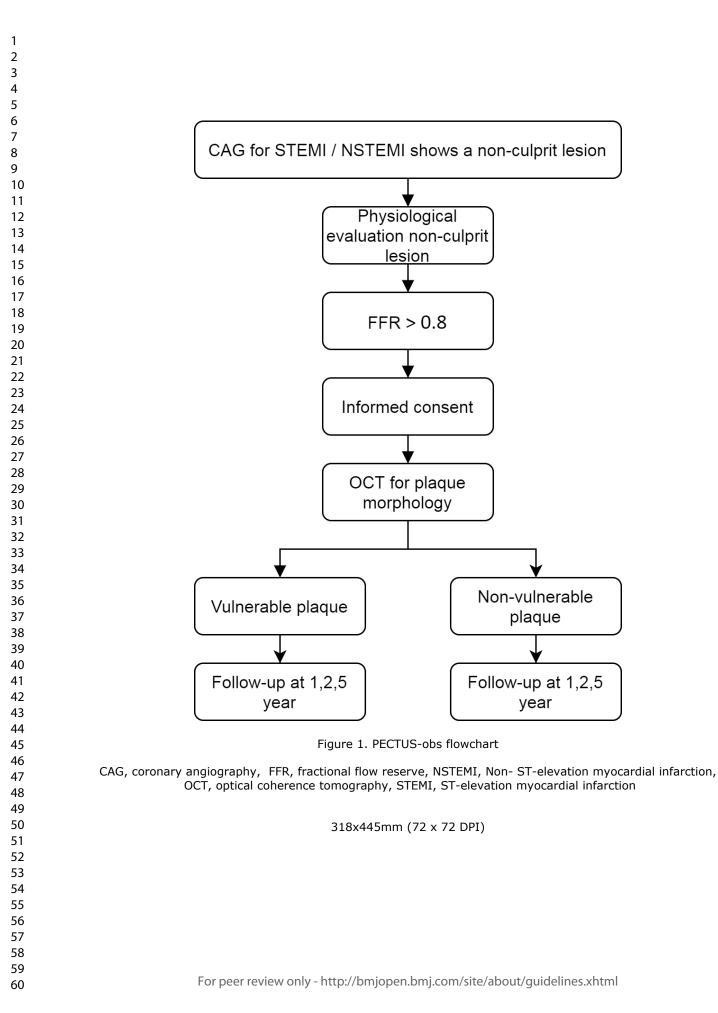
3 4	274	The COMBINE study shares more similarities with the current study design. [33] In this prospective
5 6	275	registry of patients with diabetes requiring invasive angiography, OCT imaging of FFR non-flow
7 8	276	limiting lesions revealed that patients with TCFAs had increased target lesion related MACE
9 10 11	277	compared to patients without TCFAs (13.3% vs. 9.7%) at 18 month follow-up. [34] In this study
12 13	278	however, only 25% of included patients had presented with an ACS at baseline.
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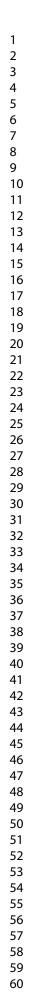
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3 4	280	Conclusion
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6 7 8	281	The PECTUS-obs is the first prospective study to assess the incremental value of OCT imaging of FFR-
8 9 10	282	deferred non-culprit lesions in patients presenting with MI. If intracoronary imaging with OCT is able
11 12	283	to identify lesions associated with worse outcome, this might warrant studies on focal or
13 14 15	284	pharmacological intervention of OCT-determined vulnerable plaques.
16 17 18 19	285	Ethics and dissemination
20 21	286	This study has been approved by the Medical Ethics Committee of the region Arnhem-Nijmegen (file
22 23 24	287	number 2018-4763). All participants gave informed consent prior to inclusion in the study. The
25 26	288	results of this study will be disseminated in a main paper and additional papers with subgroup
27 28	289	analyses.
29 30 31 32	290	Author contributions
33 34 35	291	NvR conceived the idea. NvR and JHQM designed the study protocol. ST designed the statistical
36 37	292	analyses. JHQM and NvR drafted the manuscript. AB, RHJAV, MM, AVP, PL, OVK, RD, RMO, JPvK, EKA,
38 39	293	DJvdH, SR, EL, CC, PD, MAHvL and RJvG provided critical revisions and substantial intellectual input.
40 41 42	294	All authors agreed with the final version of the manuscript.
43 44 45 46	295	Competing interests
40 47 48 49	296	None declared.
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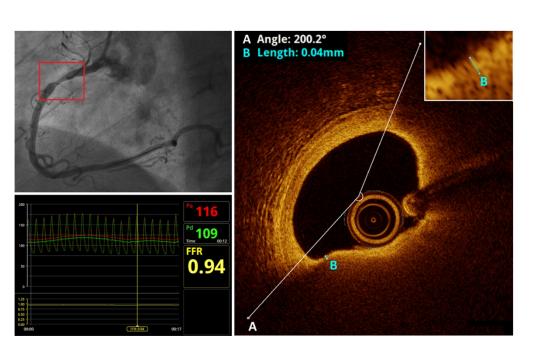
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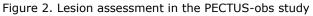
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2 3 4	393	Figures
5 6	394	Figure 1. PECTUS-obs flowchart
7 8		Figure 1. PECTUS-obs flowchart
9 10 11		CAG, coronary angiography, FFR, fractional flow reserve, NSTEMI, Non- ST-elevation myocardial infarction, OCT, optical coherence tomography, STEMI, ST-elevation myocardial infarction
12 13	395	
14 15 16	396	Figure 2. Lesion assessment in the PECTUS-obs study
17 18		Figure 2. Lesion assessment in the PECTUS-obs study
19 20 21		Upper left: CAG shows a non-culprit lesion (red box) in the proximal RCA. The radiopaque marker inside the vessel at the location of the lesion represents the OCT lens.
22 23		Lower left: FFR- measurement of the lesion reveals that it is non flow-limiting (FFR = 0.94).
24 25 26 27		Right: OCT-imaging shows an atherosclerotic plaque with a lipid arc of 200° and a minimal fibrous cap thickness of 4 μ m. This lesion therefore meets the criteria for a vulnerable plaque.
28 29 30		CAG, coronary angiography, FFR, fractional flow reserve, OCT, optical coherence tomography, RCA, right coronary artery
$\begin{array}{c} 31\\ 32\\ 33\\ 34\\ 35\\ 36\\ 37\\ 38\\ 39\\ 40\\ 41\\ 42\\ 43\\ 44\\ 45\\ 46\\ 47\\ 48\\ 9\\ 50\\ 51\\ 52\\ 53\\ 54\\ 55\\ 56\\ 57\\ 58\\ 59\\ 60\\ \end{array}$	397	









Upper left: CAG shows a non-culprit lesion (red box) in the proximal RCA. The radiopaque marker inside the vessel at the location of the lesion represents the OCT lens.

Lower left: FFR- measurement of the lesion reveals that it is non flow-limiting (FFR = 0.94). Right: OCT-imaging shows an atherosclerotic plaque with a lipid arc of 200° and a minimal fibrous cap thickness of 4 μ m. This lesion therefore meets the criteria for a vulnerable plaque.

CAG, coronary angiography, FFR, fractional flow reserve, OCT, optical coherence tomography, RCA, right coronary artery

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Identification of anatomic risk factors for acute coronary events by Optical Coherence Tomography in patients with myocardial infarction and residual non-flow limiting lesions: Rationale and design of the PECTUS-obs study.

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Primary Subject Heading :	Cardiovascular medicine
Secondary Subject Heading:	Cardiovascular medicine
Keywords:	Coronary heart disease < CARDIOLOGY, Coronary intervention < CARDIOLOGY, Myocardial infarction < CARDIOLOGY

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review only

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3 4	1	Identification of anatomic risk factors for acute coronary events by
5	2	Optical Coherence Tomography in patients with myocardial
6 7	3	infarction and residual non-flow limiting lesions: Rationale and
8 9	4	design of the PECTUS-obs study.
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14 15	34	Keywords
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19	36	Tomography (OCT), Vulnerable Plaque
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3 4	46	Abstract
5 6 7 8	47	Introduction
9 10	48	In patients with myocardial infarction, the decision to treat a non-culprit lesion is generally based on
11 12 13	49	its physiological significance. However, deferral of revascularization based on non-ischemic fractional
13 14 15	50	flow reserve (FFR) values in these patients results in less favorable outcomes compared to patients
16 17	51	with stable coronary artery disease (CAD), potentially caused by vulnerable non-culprit lesions.
18 19	52	Intravascular optical coherence tomography (OCT) imaging allows for in vivo morphological
20 21	53	assessment of plaque 'vulnerability', and might aid in the detection of FFR-negative lesions at high
22 23 24	54	risk for recurrent events.
25 26 27 28	55	Methods and analysis
29 30	56	The PECTUS-obs study is an international multicenter prospective observational study that aims to
31 32 33	57	relate OCT-derived vulnerable plaque characteristics of non-flow limiting, non-culprit lesions to
34 35	58	clinical outcome in patients with myocardial infarction. A total of 438 patients presenting with
36 37	59	myocardial infarction (STEMI and NSTEMI) will undergo OCT-imaging of any FFR-negative non-culprit
38 39	60	lesion for detection of plaque vulnerability. The primary study endpoint is a composite of Major
40 41	61	Adverse Cardiovascular Events (all-cause mortality, non-fatal myocardial infarction, or unplanned
42 43 44	62	revascularization) at 2-year follow-up. Secondary endpoints will be the same composite at 1- and 5-
45 46	63	year follow-up, target vessel failure, target vessel revascularization, target lesion failure and target
47 48	64	lesion revascularization.
49 50 51 52	65	Ethics and dissemination
53 54 55	66	This study has been approved by the Medical Ethics Committee of the region Arnhem-Nijmegen. The
56 57	67	results of this study will be disseminated in a main paper and additional papers with subgroup
58 59 60	68	analyses.

1 2 3 4 5 6	69	Registered under NCT03857971 on 28-02-2019
7 8	70	
9 10	71	Strengths and limitations of this study
11 12	72	• The PECTUS-obs is the first prospective study to assess the incremental value of OCT imaging
13 14	73	of FFR-deferred non-culprit lesions in patients presenting with MI.
15 16 17	74	OCT is the only imaging modality with a spatial resolution high enough to truly measure
18 19	75	fibrous cap thickness, the plaque feature most associated with adverse events.
20 21	76	• In PECTUS-obs, OCT imaging will only be performed at baseline. However, any new MI or
22 23	77	revascularization will be allocated to a specific coronary vessel and lesion by comparison of
24 25 26	78	the baseline and follow-up angiograms.
27 28	79	If intracoronary imaging with OCT is able to identify lesions associated with worse outcome,
29 30	80	this might warrant studies on focal or pharmacological intervention of OCT-determined
31 32	81	vulnerable plaques.
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85 Introduction

In patients presenting with myocardial infarction (MI), percutaneous coronary intervention (PCI) of the culprit lesion is the standard method of treatment. [1] A high percentage of these patients have additional lesions at different sites in the coronary arteries, not responsible for the acute event. The optimal treatment of these non-culprit lesions is subject of extensive research, because their presence confers a greater risk of future major adverse cardiac events (MACE) [2, 3]. Recent studies showed that complete revascularization results in improved outcomes compared to treatment of the culprit lesion only. [4-6] However, non-selective revascularization of all non-culprit lesions may lead to overtreatment.

The selection of non-culprit lesions qualifying for revascularization is often based on whether a lesions causes ischemia, as determined by invasive measurements such as the fractional flow reserve (FFR). [7] In patients with stable coronary artery disease (CAD), FFR-guided complete revascularization results in better outcomes compared to angiography guided complete revascularization. [8] Nevertheless, the MACE rates at longer term follow-up remains significant in the presence of non-significant CAD. [9] In patients presenting with MI this recurrence rate of ischemic events is even higher. [10] A recent study demonstrated a MACE rate of 23% in acute coronary syndrome (ACS) patients vs. 11% in patients with stable CAD at 3.4-years follow-up, after FFR based deferral of revascularization. Among these ACS patients, especially those presenting with NSTEMI had a high event rate (42%). [11]

Apart from coronary physiology, the structural components of non-culprit lesions might provide other markers for future adverse events. Autopsy studies have granted insight into the lesion characteristics that are associated with plaque rupture, and subsequent MI or sudden death. These lesions tend to contain a large lipid pool with a thin overlying fibrous cap, and display a large degree of outward remodeling. [12, 13] These 'thin-cap fibroatheromas' (TCFA) are more frequently observed in both culprit- and non-culprit lesions of patients presenting with MI than in patients

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presenting with stable CAD, and are a strong predictor of culprit plaque rupture in ACS. [14-17]
Therefore, screening for vulnerable plaques on top of physiological measurements, should be
evaluated for non-culprit lesions.

113 Analysis of lesion composition can be performed in vivo with the use of intravascular imaging 114 techniques such as intravascular ultrasound (IVUS), near infrared spectroscopy (NIRS) and optical 115 coherence tomography (OCT). [18] Prospective studies using IVUS and NIRS showed that 116 identification of lesions at higher risk for future events is feasible. [19, 20] However, OCT might prove 117 more suitable for this purpose, due to its specific characteristics. OCT has a 10-20 times higher spatial 118 resolution than IVUS, allowing for better detection of TCFA. Moreover, a complete acquisition of a 119 coronary segment can be provided within a couple of seconds, with a single pullback. Last, 120 (semi)automated analysis of images is more feasible due to the high resolution of the acquired 121 images. [21] Nevertheless, OCT has yet to be prospectively validated for its ability to identify lesions 122 at risk for future MACE in MI patients. For future studies on potential preventive revascularization or more aggressive pharmacological 123 124 therapy in patients with high risk lesions, prospective studies with clinical outcomes are imperative. 125 In the PECTUS-obs study, we aim to relate OCT-derived plaque characteristics of not significantly flow

126 limiting, non-culprit lesions to clinical outcome in patients presenting with MI.

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128 Methods and analysis

130	The PECTUS-obs study is designed as an international multicenter prospective observational study.
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131 Eligible patients have to undergo an index CAG during hospitalization for an acute myocardial

132 infarction, which reveals one or more non-culprit lesions accessible for imaging with OCT. FFR-

133 measurements of these non-culprit lesions are made during the same index procedure, or during a

134 staged procedure. Any FFR-nonsignificant lesions are subsequently imaged with OCT. Additional

135 criteria are listed in table 1. A total of 438 patients will be included. A flow-chart of the study design

is depicted in figure 1.

Inclusion criteria	Exclusion criteria
Clinical	Clinical
 Age ≥ 18 years Hospitalization with a STEMI or NSTEMI for which patient is subjected to invasive coronary angiography (within the last 6 weeks). 	 Pregnancy. Hemodynamic instability, respiratory failure, or Killip class ≥ 3 at time of inclusion. Previous CABG. Indication for revascularization by CABG Estimated life expectancy < 3 year.
 Angiographical Patient has ≥ 1 non-culprit, target lesion(s) with following additional characteristics: Lesion has visual stenosis of 30-90%. Lesion is non-obstructive (FFR > 0.80). Lesion is not in-stent restenosis. 	Angiographical - Anatomy of target lesion(s) is unsuitable for OCT catheter crossing or imaging (aorta-ostial lesions, too small diameter segment, severe calcifications, chronic total occlusion, distal lesions prohibiting OCT imaging).

138 Patients and enrolment

Patients presenting with MI (ST-elevation and non ST-elevation) are screened for potential inclusion in the study. Patients are treated according to the current guidelines for the management of ACS, including referral for CAG and (potential) PCI of the culprit artery. In case of one or more non-culprit lesions of intermediate stenosis (30-90%), clinically indicated FFR measurements are performed in order to determine if these non-culprit stenoses are hemodynamically significant (figure 2). If a stenosis is non-significant (FFR > 0.8) and the patient is eligible for inclusion based on the criteria listed in table 1, informed consent is obtained for participation in the study. If the FFR is ≤ 0.80 (hemodynamically significant), the patient is revascularized according to the current therapeutic guidelines.

148 Timing of FFR measurements and informed consent

FFR measurements of non-culprit lesions are performed either during the index CAG, or during a
staged procedure within 6 weeks. If non-culprit lesions are assessed during the index procedure,
patients are approached for participation after revascularization of the culprit artery and any FFR
measurements. After oral consent, the OCT pullbacks are performed of all FFR-negative stenosis.
Written informed consent is acquired after the procedure. If non-culprit lesion will be evaluated
during a staged procedure, written informed consent is acquired prior to the staged procedure.

155 OCT-imaging

After administration of intracoronary nitrates an OCT pullback of the target lesion is acquired using the FD-OCT ILUMIEN system (Abbott, USA) over a normal 0.14" guidewire or pressure wire. The OCT system is CE marked and deployed as intended by the manufacturer. For effective clearing of blood from the imaging field angiographic contrast media is injected. For the average coronary vessel 14 ml of contrast media is injected using an automated injector at a rate of 4 mL/s at 300 PSI. The contrast amount and/or infusion rate can be adjusted proportionally to coronary artery diameter to ensure

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3 4	162	good image quality. The segment of interest is scanned with a pull-back speed of 18 mm/sec (54mm
5 6	163	segment). The entire OCT-pullback is recorded simultaneously with fluoroscopy to ensure that the
7 8	164	anatomy of the OCT pullback can be linked to the angiogram. Multiple runs are allowed in case of
9 10 11	165	poor image quality. In case of multiple target lesions eligible for OCT imaging, OCT imaging of each
11 12 13 14	166	target lesion is performed. OCT images are not used for procedural guidance.
14 15 16 17	167	Blood sampling
17 18 19	168	During CAG, after OCT imaging is performed, 10ml of blood is drawn from the arterial sheath. This
20 21	169	blood is used for determination of biomarkers for plaque- or patient vulnerability.
22 23 24 25	170	OCT-imaging analysis
26 27	171	OCT-images and corresponding angiograms are analyzed off-line by trained personnel in an OCT
28 29 30	172	core-laboratory. Evaluation of the images is based on tissue characteristics as previously described in
31 32	173	OCT expert consensus papers. [22, 23] A plaque is deemed 'vulnerable' if it contains two of the
33 34	174	following characteristics: a lipid arc of ≥ 90°, a cap thickness of < 65 μm and either cap rupture or
35 36 37	175	thrombus formation. An example of a vulnerable plaque with a lipid arc of >90° and a cap thickness <
37 38 39	176	65 μm is shown in figure 2.
40 41 42	177	Study end points
43 44 45	178	The primary study endpoint consists of a composite of major adverse cardiovascular events (all-cause
46 47	179	mortality, non-fatal MI (STEMI or NSTEMI), or unplanned revascularization) at 2-year follow-up in
48 49	180	patients with a vulnerable plaque as compared to patients without a vulnerable plaque. Secondary
50 51 52	181	endpoints are: MACE at 1- and 5-year follow-up, target vessel failure, target vessel revascularization,
53 54 55	182	target lesion failure and target lesion revascularization.
55 56 57 58 59 60	183	Exploratory analyses

184	Additional exploratory analyses will be performed by comparing non-culprit plaque characteristics in
185	patients presenting with STEMI vs. NSTEMI, in diabetic vs non-diabetic patients, and in male vs.
186	female patients. Plaque morphology will also be related to angiographic lesion features. Moreover, in
187	order to accelerate the process of OCT-imaging interpretation, automated detection of
188	morphological features associated with MACE, will be developed with the use of machine learning.
189	Follow-up and endpoint adjudication
190	At 1-, 2- and 5-years patients are followed-up by telephone contact. Medical records (including
191	coronary angiograms) from participating centers, general practitioners, and other medical centers
192	are used for the verification of endpoints. Additionally, mortality data is obtained from national
193	registries. A clinical event adjudication committee blinded to OCT-data will assess endpoints,
194	separate cardiovascular mortality from non-cardiovascular mortality, and allocate any new MI or
195	revascularization to a specific coronary vessel and lesion by comparison of the baseline and follow-up
196	angiograms.
197	Sample size calculation and statistical analysis
198	The total sample size is calculated at 438 patients. The sample size is calculated to provide 90%
199	power with a one sided alpha of 0.025 to identify OCT variables associated with non-culprit lesion
200	related major adverse cardiovascular events. It is based on the assumption that high risk OCT defined
201	vulnerable plaques are identified in 60% of targeted lesions, on a total event rate of 25% after two
202	years in FFR deferred lesions in patients with MI [11, 24], and an expected hazard ratio of at least 3.5
203	for OCT defined vulnerable plaques. [19] A power of 80% is maintained when the hazard ratio is
204	lower than expected but at least 2.0, or when the event rate is lower than expected but at least 10%.
205	Estimated loss to follow up is 5%, and inadequate OCT scans prohibiting assessment of vulnerable
206	plaque characteristics are expected in 5% of cases.
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207	At 2-year follow-up, MACE in patients with vulnerable plaque characteristics will be compared to
208	patients without vulnerable plaque characteristics in terms of the hazard ratio. Descriptives will be
209	expressed as mean ± SD (continuous data) or as frequencies and proportions (categorical data).
210	Continuous variables are presented as mean SD if normally distributed, or median [interquartile
211	range] if not normally distributed. Categorical variables are presented as counts and percentages.
212	Continuous variables are compared between groups using the Student t test or its nonparametric
213	equivalent Mann-Whitney U test. The chi-square test (for comparison of proportions) will be
214	performed where appropriate. Multivariate Cox proportional hazard regression will be used to
215	correct for differences in baseline characteristics like age, sex, diabetes mellitus, hypertension,
216	dyslipidemia, indication for CAG (STEMI vs NSTEMI), history of MI and history of PCI if necessary. All
217	calculations will be generated by statistical package for social sciences software (SPSS Statistics
218	version 24; IBM Corp., Armonk, NY, USA).
219	Patient and public involvement
220	Patients were not involved in the design of this study.
221	Current status
222	Recruitment commenced in December 2018 and was completed in September 2020. With 2 year
223	follow-up for the primary endpoint, reporting on the study is expected in the beginning of 2023.
224	Discussion
225	The PECTUS-obs study was designed to investigate the association between OCT-determined
226	characteristics of plaque vulnerability and future major adverse cardiac events in non-flow limiting,
227	non-culprit lesions of patients presenting with MI.
228	In current practice, the decision whether or not to preventively treat a non-culprit lesion is primarily
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based on its physiological significance. Although this strategy is clearly superior in stable CAD, it has

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2 3 4	230	yet to be proven in patients presenting with MI. [8] In STEMI, several large randomized trials have
5 6	231	shown that FFR-guided complete revascularization results in fewer MACE compared to culprit-only
7 8	232	revascularization. [25, 26] However, randomized controlled trials directly comparing FFR-guided
9 10 11	233	complete revascularization with angiography-guided complete revascularization in STEMI have not
12 13	234	yet been conducted, and the only two studies showing a reduction in major clinical endpoints (death
14 15	235	and MI) after non-culprit revascularization were actually guided by angiography rather than
16 17 18	236	physiology. [4, 27] For patients with NSTEMI, the evidence is even more scarce. In the only available
19 20	237	randomized trial, the FAMOUS-NSTEMI trial, MACE rates at 1-year follow-up did not differ between
21 22	238	patients with FFR-guided and angiography guided treatment (8.0% vs 8.6%). [28] However, this study
23 24	239	was primarily designed to evaluate the effect of FFR-measurements on management decisions, and
25 26 27	240	was not powered to assess between-group differences in clinical outcomes. The ongoing SLIM trial
28 29	241	(NCT03562572) aims to address this gap in knowledge. Nevertheless, even if FFR-guided complete
30 31	242	revascularization proves superior in patients with MI, the long term MACE rate remains significant.
32 33	243	[11] It therefore remains unclear if non-culprit lesion selection based solely on FFR is sufficient, or if
34 35 36	244	other features like plaque morphology need to be taken into account.
37 38	245	In previous prospective intravascular imaging studies, plaque morphology has consistently been
39 40 41	246	analyzed using IVUS. In the PROSPECT study, 697 ACS patients were subjected to three-vessel
42 43	247	radiofrequency (RF)-IVUS imaging. [19] All atherosclerotic lesions found in the recordings were
44 45	248	subsequently analyzed for plaque composition. After a median follow-up of 3.4 years, researchers
46 47 48	249	found that non-culprit lesions with a minimal lumen area (MLA) of 4.0 mm ² or less, a plaque burden
49 50	250	of 70% or greater, and those classified as TCFA were associated with a higher rate of MACE.
51 52	254	Following PROSPECT, several other studies confirmed the association between RF-IVUS-derived
	251	Following PROSPECT, several other studies commed the association between RF-1005-derived
53 54	251	vulnerable plaques and MACE. [29, 30] The main limitation of RF-IVUS when it comes to identifying
54 55 56		-
54 55	252	vulnerable plaques and MACE. [29, 30] The main limitation of RF-IVUS when it comes to identifying

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 μ m, leaving it below the detection range for cap thickness in these lesions. Moreover, of all plaque features that are related with adverse outcomes, cap thickness seems to be the most important. [32] As mentioned earlier, with a spatial resolution of approximately 10µm, we expect that OCT is more suitable for identifying TCFA. However, prospective data on the association between OCT-derived vulnerable plagues and future events are limited. Recently, the arsenal of invasive imaging modalities was broadened by NIRS. The ATHEROREMO-NIRS study proved that NIRS-derived lipid core burden index (LCBI) was associated with MACE at a patient level, whereas the LRP study later expanded on this observation by showing that NIRS can also identify plaques vulnerable to future MACE. [20, 33]

The CLIMA study investigated the association between a predefined combination of four high risk plaque features (MLA <3.5 mm², fibrous cap thickness <75µm, a lipid arc >180°, and the presence of macrophage clusters) and clinical events in patients that underwent OCT imaging of the proximal LAD. [34] This combination of features proved to be an independent predictor of events with a hazard ratio of 7.54. However, this study differed from the current design in several aspects. Even though CLIMA involved prospective follow-up, patients were only included after undergoing OCT-imaging for a clinical indication. Moreover, imaging had to be performed on a predefined segment (proximal-mid LAD) that could not include, or be adjacent to, a stenosis of \geq 50%. Therefore OCT-imaging in this study was used to screen a fixed vessel segment that was relatively free of stenosis, whereas the PECTUS-obs evaluates targeted OCT-imaging of angiographically determined stenoses of intermediate severity that are FFR-negative.

The COMBINE study shares more similarities with the current study design. [35] In this prospective
registry of patients with diabetes requiring invasive angiography, OCT imaging of FFR non-flow
limiting lesions revealed that patients with TCFAs had increased target lesion related MACE
compared to patients without TCFAs (13.3% vs. 9.7%) at 18 month follow-up. [36] In this study
however, only 25% of included patients had presented with an ACS at baseline.

> The current prospective observational study could serve as an important step towards OCT imaging-guided treatment of non-culprit lesions. However, randomized trials need to be conducted in order to evaluate the efficacy of OCT-based interventions. This was attempted in a previous trial in which preemptive stenting of FFR-negative OCT-identified vulnerable plaques with ABSORB bioresorbable vascular scaffolds (BVS) was compared to optimal medicinal therapy alone. [37] Unfortunately this trial was stopped prematurely because the ABSORB BVS was retracted from the market. The currently enrolling PREVENT trial (NCT02316886) also aims to evaluate imaging-guided preemptive stenting, although it utilizes IVUS and NIRS in addition to OCT. Lastly the recently published PROSPECT ABSORB trial showed good safety outcomes after IVUS/NIRS-guided preemptive stenting, while it was not powered for clinical endpoints.[38]

290 Conclusion

The PECTUS-obs is the first prospective study to assess the incremental value of OCT imaging of FFRdeferred non-culprit lesions in patients presenting with MI. If intracoronary imaging with OCT is able to identify lesions associated with worse outcome, this might warrant studies on focal or pharmacological intervention of OCT-determined vulnerable plaques.

295 Ethics and dissemination

This study has been approved by the Medical Ethics Committee of the region Arnhem-Nijmegen (file number 2018-4763). All participants gave informed consent prior to inclusion in the study. The results of this study will be disseminated in a main paper and additional papers with subgroup analyses.

Author contributions

301 NvR conceived the idea. NvR and JHQM designed the study protocol. ST designed the statistical
302 analyses. JHQM and NvR drafted the manuscript. AB, RHJAV, MM, AVP, PL, OVK, RD, RMO, JPvK, EKA,

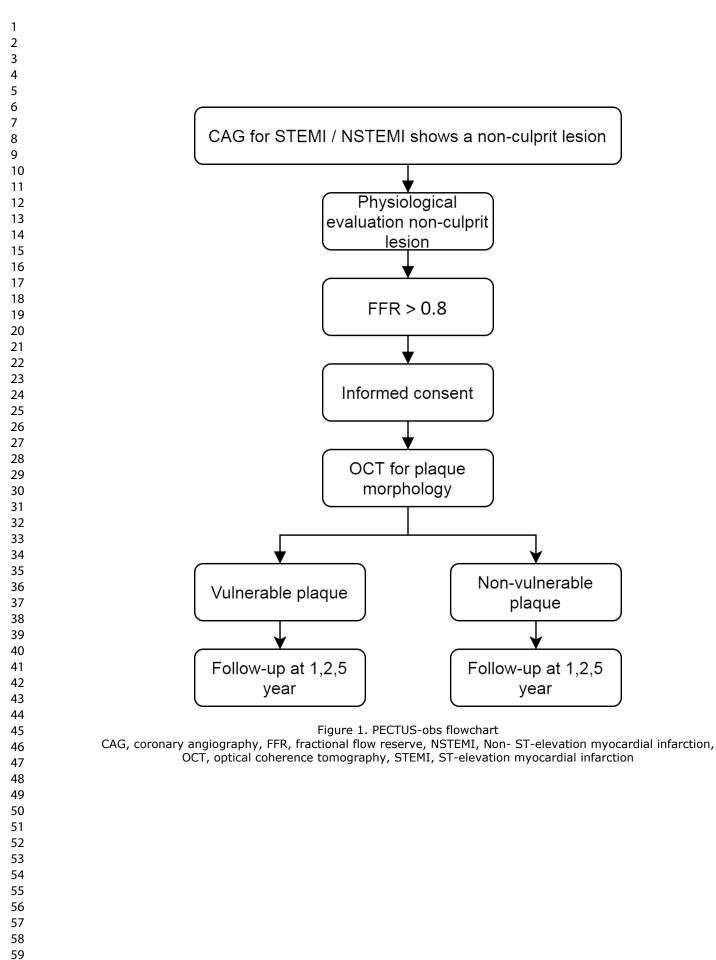
2 3	303	DJvdH, SR, EL, CC, PD, MAHvL and RJvG provided critical revisions and substantial intellectual input.
4 5 6	304	All authors agreed with the final version of the manuscript.
7 8		
9 10	305	Competing interests
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19 20 21	309	applicable.
22 23 24	310	
25 26 27 28 29	311	This study was financially supported by Abbott Vascular, and Health Holland. Grant numbers are not applicable.
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414	Figure 1. PECTUS-obs flowchart
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416	Figure 1. PECTUS-obs flowchart
410	CAG, coronary angiography, FFR, fractional flow reserve, NSTEMI, Non-ST-elevation myocardial infarction,
) 417	OCT, optical coherence tomography, STEMI, ST-elevation myocardial infarction
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419	Figure 2. Lesion assessment in the PECTUS-obs study
	Figure 2. Lesion assessment in the PECTUS-obs study
	Upper left: CAG shows a non-culprit lesion (red box) in the proximal RCA. The radiopaque marker
	inside the vessel at the location of the lesion represents the OCT lens.
	Lower left: FFR- measurement of the lesion reveals that it is non flow-limiting (FFR = 0.94).
	Right: OCT-imaging shows an atherosclerotic plaque with a lipid arc of 200° and a minimal fibrous
	cap thickness of 4 μ m. This lesion therefore meets the criteria for a vulnerable plaque.
	CAG, coronary angiography, FFR, fractional flow reserve, OCT, optical coherence tomography, RCA, right coronary
	artery
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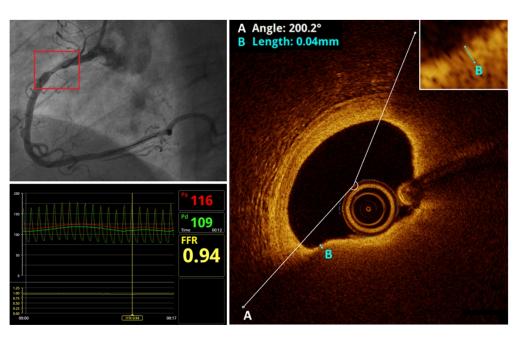


Figure 2. Lesion assessment in the PECTUS-obs studyUpper left: CAG shows a non-culprit lesion (red box) in the proximal RCA. The radiopaque marker inside the vessel at the location of the lesion represents the OCT lens. Lower left: FFR- measurement of the lesion reveals that it is non flow-limiting (FFR = 0.94).Right:
 OCT-imaging shows an atherosclerotic plaque with a lipid arc of 200° and a minimal fibrous cap thickness of 4 μm. This lesion therefore meets the criteria for a vulnerable plaque.CAG, coronary angiography, FFR, fractional flow reserve, OCT, optical coherence tomography, RCA, right coronary artery

274x167mm (120 x 120 DPI)