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Characterization of structural changes in modern and archaeological burnt bone: Implications for differential preservation bias --Manuscript Draft--

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Abstract:	The degree of alteration to the crystallinity, porosity, and organic content of burnt bone is dependent on the intensity of burning. The influence of the burial environment on bone preservation is partly a consequence of the extent of these changes. Bones which are vulnerable to aqueous dissolution are of particular interest to this study, as groundwater interaction is demonstrated to highly impact the preservation of unburnt bone but has not yet been addressed for bone burnt to different temperatures. Here we describe the timing of changing structural and compositional qualities of experimentally burnt bone (100-1200°C in oxygen atmospheres) alongside an open-air archaeological assemblage of burnt bone from a fluctuating hydraulic environment in northern Mongolia dating back to 30 ka. Trends in crystallite growth and loss of organics are described with Fourier transform infrared spectroscopy with attenuated total reflectance attachment (FTIR-ATR) as well as X-Ray Diffraction (XRD) and demonstrate the vulnerabilities of carbonized bone to groundwater movement. Organic protection by collagen or large bioapatite crystal sizes are found to likely have a strong influence on bone preservation, describing a potential bias in archaeological assemblages of burnt bone.
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This study was carried out with cow bones procured from a local butcher in Sacramento, California, as well as bones removed from horses which were humanely euthanized for purposes other than this study and donated for scientific purposes at the Center for Equine Health at the UC Davis School of Veterinary Medicine in Davis, California.

The cow bones utilized in this study were from animals slaughtered for meat, and were stunned to render the animal unconscious and unaware of pain, according to the Humane Slaughter Association.

The humane euthanasia program at the Center for Equine Health at the UC Davis School of Veterinary Medicine is approved by the American Veterinary Medical Association (AVMA) and is done with great care to ameliorate animal suffering. The horses which were humanely euthanized for health purposes were brought to the Center of Equine Health following either a poor prognosis and quality of life following either extreme cervical osteoarthritis or a femur fracture. These horses were euthanized with an intravenous injection of pentobarbital, with prior light sedation and a local anesthetic used to place the intravenous catheter. Specific studies by the comparative neurology group at the UC Davis School of Veterinary Science have determined that the horse rapidly loses all perception of consciousness within ten seconds of administration, and the animals feel no pain or anxiety.

Subsequent to euthanasia, the Center for Equine Health allowed for tissue collection for necessary scientific purposes. The forelimbs were taken by the JD Wheat Orthopedic Laboratory, where the metacarpals were removed and given to this study presented in this manuscript. Upon inquiry, the JD Wheat Laboratory provided a statement that there was not a committee to approve the use of the legs, as the horses were not euthanized for the purpose of the study.

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Title: Characterization of structural changes in modern and archaeological burnt bone: Implications for differential preservation bias

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Abstract:

The degree of alteration to the crystallinity, porosity, and organic content of burnt bone is dependent on the intensity of burning. The influence of the burial environment on bone preservation is partly a consequence of the extent of these changes. Bones which are vulnerable to aqueous dissolution are of particular interest to this study, as groundwater interaction is demonstrated to highly impact the preservation of unburnt bone but has not yet been addressed for bone burnt to different temperatures. Here we describe the timing of changing structural and compositional qualities of experimentally burnt bone (100-1200°C in oxygen atmospheres) alongside an open-air archaeological assemblage of burnt bone from a fluctuating hydraulic environment in northern Mongolia dating back to 30 ka. Trends in crystallite growth and loss of organics are described with Fourier transform infrared spectroscopy with attenuated total reflectance attachment (FTIR-ATR) as well as X-Ray Diffraction (XRD) and demonstrate the vulnerabilities of carbonized bone to groundwater movement. Organic protection by collagen or large bioapatite crystal sizes are found to likely have a strong influence on bone preservation, describing a potential bias in archaeological assemblages of burnt bone.

Introduction:

The extrinsic and intrinsic variables which affect bone preservation have been studied with regard to the impact of differences between compact and cancellous bone [1-4], juvenile and adult bone [5, 6], intra- and interspecies variation in bone size and density [7-9] and environmental conditions [10-15]. Evaluations of the taphonomic biases impacting the preservation of burnt bone have resulted in varied interpretations [16]. For example, highly burnt

calcined bone has been suggested to be both exceedingly mechanically fragile as well as capable of surviving in non-ideal conditions [17]. To date, the properties of burnt bone which may explain or inform these assumptions have not been directly addressed [17]. It is necessary to explore such relationships, as burnt bone is a valuable resource contributing to the study of ancient fire residue [17-20].

Bones burned by humans can be indicative of many social and economic behaviors and can contribute to archaeological studies identifying evidence for cremations [21, 22], bone fuel [16, 23-27], hygienic practices [16, 25], cooking and marrow warming [26], and locations of anthropogenic fire [20]. The thermal alteration of bone, therefore, has been the focus of many studies, with early investigations focusing on macroscopic changes in bone color and texture [17, 28]. This has led to the development of common-use zooarchaeological standards in codifying burnt archaeological bone assemblages, such as the Stiner et al. [17] scale of burning (Table 1). While it is recognized that bones burnt to different temperatures have different structural properties on the nano-, micro- and macro-scales, this variation within burnt fauna has not been addressed regarding biases in preservation nor investigated within an archaeological assemblage of burnt bone [17, 29]

Table 1: Burning intensity stages based on visual characterizations following Stiner et al. [17]

Burning Stage	Description
0	Not burnt
1	Slightly burnt, < 50% carbonized
2	Majority burnt, > 50% carbonized
3	Fully carbonized
4	Slightly highly burnt, < 50% calcined
5	Majority highly burnt, > 50% calcined
6	Fully calcined

The aim of this study is to review the modifications to bone related to burning and to specifically characterize the timing of heat induced growth of bone crystals and loss of organics that may influence the susceptibility of burnt bone to aqueous dissolution. These structural changes and the potential biases in preservation are described here within a modern assemblage of experimentally burnt bone as well as within an archaeological assemblage of burnt fauna which has a depositional history of fluctuating hydraulic regimes in a geographic area without abundant documentation of ancient fire. This integrated approach incorporating both a thermodynamic perspective of bone and inferences about taphonomic history is necessary to enhance our understanding of the links between the impact of the depositional environment and burnt bone survival and visibility for zooarchaeological studies of burnt fauna.

Background:

Bone

Bone is a composite material comprised of inorganic compounds (primarily hydroxyapatite), organics (primarily collagen) and water [30, 31]. These biomaterials are hierarchically arranged to provide the necessary mechanisms and structures that maintain the biological role of skeletal tissue: mechanical strength to transmit force and protect organs, and the regulation of homeostasis through ionic regulation [32, 33].

Bone mineral, or, bioapatite, is nonstoichiometric calcium phosphate apatite, $\text{Ca}_{10-x}(\text{PO}_4)_{6-x}(\text{HPO}_4, \text{CO}_3)_x(\text{OH}, 1/2\text{CO}_3)_{2-x}$ with $0 \leq x \leq 2$ [32, 34]. The specific chemical compositions of bioapatite reflect diet, biological age, bone remodeling, and can vary within individuals and within skeletal elements themselves [33]. Compared to hydroxyapatite, bioapatite composition and microstructure are characterized by a higher degree of

nonstoichiometry, vacancies in the crystal lattice, nano-sized platelet shapes, and by the presence of a hydrated ionic layer [32, 35]. Nonstoichiometry in bioapatite is related to a low degree of crystallinity, in that the minerals are poorly ordered with various degrees of strain introduced by different sized crystallites and carbonate substitutions [32, 34, 36]. Type-A (CO_3 for OH) and the more prevalent Type-B (CO_3 for PO_4) are the most common substitutions in the crystal lattice and comprise approximately 5-8% of bone by weight [35].

In vivo bioapatite has extremely small, thin, plate-like morphology (1-7 nm thick, 15-200 nm length, and 10-80 nm width) and is cross-linked to collagen fibrils [34, 36, 37]. Water is found in bone as loose mobile water in the extracellular matrix and in void spaces to facilitate movement between collagen fibrils and minerals, as well as both incorporated within and around the organic and mineral components [32, 38]. Bioapatite specifically features water in a hydrated ionic layer around the crystalline core and in vacancies in the crystal lattice [32, 38]. This hydrated layer regulates homeostasis, as the surface layer of ions can be easily exchanged with the large, specific, and very reactive surface of the bone mineral, estimated at $240 \text{ m}^2\text{g}$ [31, 36, 37, 39]. This is necessary to regulate ionic concentrations [22, 27, 28, 30]. The high surface to mass ratio and reactive hydrated layer of the small crystallites results in an exchange capacity with large sorption coefficients on the order of 10^6 - 10^8 [39-41].

Porosity

Bioapatite, collagen, and water molecules are organized on the microscale into Haversian systems: cylindrical structures of concentric lamellae surrounding an interior channel for a central blood canal [30, 33]. In addition to the Haversian canal, two other features constitute the typical porosity inherent in bone matrix: the lacunal-canalaculi network, also known as resorption

bays (Howship's lacunae), which are large pores for osteoblast/osteoclast remodeling, and the smaller spaces created between collagen and apatite (collagen apatite porosity, also known as CAP) [33, 42]. Living bone is very porous, with around 12 % of bone volume comprised of pores [12]. The amount, size, and density of pores in compact bone is variable across and within elements, species, and age [33, 42]. Studies specifically addressing the mineral density of bone across species with computer tomography (CT) imaging have found that bone density is less variable between taxa than within taxa once differences in health or age of the animal are taken into account [8, 9]. With aging, mature bone experiences a decrease in mineral density, but immature bone exhibits overall the highest macro- and micro- porosity [6].

Diagenesis and Solubility

Diagenesis, the changes to bony tissue in burial environments, includes the integrated reactions of hydrolysis, microbial attack, and mineral recrystallization [15]. The rate and sequence of these processes are determined by many factors, including the nature of the depositional environment, and the age, element, and species of bone in life. Early taphonomic history and the initial arrangement and size distribution of the pores are particularly important for diagenetic processes, as higher degrees of porosity decrease protection and allow greater access to diagenetic agents [6, 10-12, 43-45]. The extent of porosity inherent in a bone therefore has a large impact on the degree and rate of either bone decay or bone survival [12].

Other influential factors include the degree of flesh cover, groundwater interaction, and soil pH [6, 10-12, 15, 43-45]. Microbial attack is an active and immediate process especially for fleshed elements, accounting for a large amount of organic destruction [42, 45]. This is found to be especially true in warmer environments [43, 46]. Bacterial action also is more pronounced in

fleshed burials, and lowers the bone pH, which fosters beneficial conditions for later mineral recrystallization [43].

The specific interaction between hydrologic conditions and bone is hypothesized to be the most significant predicate of bioapatite dissolution [12, 15, 37]. Hydrolysis is a reaction with water that results in chemical disintegration, typically an early stage of diagenesis [12, 47]. The mechanisms of hydrolysis depend on the relationship between the mineral and organic components of bone and the available access to pores, as well as the water fluctuations in the depositional environment. Three hydrological regimes have been outlined to address the impact water can have on bone: diffusion, recharge, and hydraulic flow [12, 45]. For diffusion, a waterlogged environment with negligible water movement, the dissolution process is slowed, aiding bone preservation [12, 45]. For recharge regimes, in which the relative amounts of water changes, and for flow regimes, which are categorized as environments with water movement, there is a much higher potential for bone dissolution as water acts as an agent to dissolve and leach bone mineral and organics [12, 15, 45].

Bone dissolution is dependent on the loss of organic protection and the nature of the structure and surface characteristics of bone apatite [37]. Type I collagen itself is insoluble due to hydrophobic interactions, the highly ordered arrangement with other collagen fibers, and cross-linked arrangements with bone mineral [15]. Access to collagen and rate of collagen removal is mediated by the amount and size of pores, which are made available by bacterial action and recrystallization of the bone mineral [12, 39, 43, 44, 47, 48]. After death, this microbial action and the degradation of the protein chains into smaller peptides, or, gelatinization, effectively eliminates the organic components of bone and therefore the protection of bone mineral [7, 12, 46, 47].

Bone mineral microstructure is thermodynamically unstable. Without *in vivo* regulation, larger bioapatite crystals begin to spontaneously increase in size and become more ordered at the expense of smaller crystals [6, 37, 47, 49]. This process, Ostwald ripening, decreases the available surface area of the bone mineral, therefore slowing the amount of chemical reactions and substitutions that can take place [6, 47]. Impurities and other substitutions post-mortem can rapidly be incorporated into bone mineral before the crystallinity increases, however, due to the initial high sorption and reactivity of bioapatite [50, 51]. The timing and degree of recrystallization is related to the composition of the crystal structures, the rate of organic loss, and the depositional environment [10-12].

As the organic material protects the mineral phase and recrystallization is not instantaneous, the removal of collagen leaves bioapatite vulnerable to dissolution. There is no universally accepted, consistent, single solubility behavior of bioapatite, as bone is a complex biomaterial [51]. The causes of this variability have been ascribed to the particle size of bioapatite, the imperfections of the crystal lattice, and the presence of substitutions and calcium phosphate phases [51]. In the absence of universal thermodynamic model or bioapatite solubility, each crystal domain of bone mineral assigned its own **Metastable Equilibrium Solubility (MES)**, which is a distribution phenomenon dependent on many variables and influenced by the size of the minerals and the extent of their surface reactivity [51]. Several aspects of bone quality such as carbonate content, ion vacancies, low crystallinity, and nanometric crystal dimensions have an effect on the MES phenomenon and predict likely vulnerabilities [31, 51].

Carbonate incorporation, typically through substitutions for hydroxyl or phosphate groups in the structure, increases the solubility of bioapatite [31, 51]. The substitutions and nonstoichiometry introduced through ion vacancies create imperfections in the crystal lattice,

which lower the crystalline order and introduce strain [31, 51]. This increases the dissolution tendency, therefore making the bioapatite with higher proportions of carbonate more susceptible to dissolution [32, 42, 51-53]. Apatite maturity, despite fluctuating values under the MES phenomenon, decreases solubility [31]. Changes in the highly reactive surface area either through shape or size in the plate-like bioapatite crystals can change the severity in susceptibility to diagenetic change.

Crystalline apatite and bioapatite are most soluble in acidic environments [12, 15, 54]. Bone exhibits low solubility and high survivorship in alkaline environments ($\text{pH} > 7.5$), except when such environments also have high carbon dioxide concentrations, which removes calcium ions and leaves bioapatite susceptible to demineralization [15].

Burnt Bone

Burning bone results in tremendous rapid changes to bioapatite crystal dimensions and structure, as well as the removal of organic components and incorporated water. These alterations are correlated to the degree of temperature intensity and burning atmosphere and result in products with different mechanical and structural properties depending on the extent of burning. These micro- and nano- scale transformations have a notable impact on visible macroscopic changes to heat altered bone, including: color changes, cracking, shrinkage, weight loss, and fragmentation [17, 28, 55, 56-58]. Processes such as manganese staining and sun bleaching may also influence bone color and to avoid the misidentification of burnt bone, changes in bone mineral crystal size, morphology and microstructure have been used to verify thermal alteration [21, 29, 49, 57, 58].

Four stages of burning have been defined that are correlated to the incineration and eventual removal of organics and transformation of bone mineral: dehydration, decomposition, inversion, and fusion [21, 57, 58, 60, 61]. These stages are accomplished at different temperature thresholds and were defined in oxidizing burning conditions [21, 57, 58, 60, 61]. The rate and degree of temperature induced changes depend on variables such as flesh coverage, heating and cooling rates and oxygen availability [57, 58]. Dehydration, or, the loss of water, occurs between 100 and 600°C [21, 29, 57, 60, 61]. This wide temperature range likely accounts for the quicker loss of the loosely bound water between 25 and 250°C and the eventual loss of the additional water more structurally bound to the mineral above 100°C [21, 32, 60, 61].

After initial dehydration, the second stage of bone combustion is organic decomposition, from 300 to 800°C [21, 60, 61]. With collagen degradation starting at 112 - 260°C, above 300°C a large proportion of the organics is reduced to a char [29]. Between 300 and 500°C most mass, 50 – 55 %, is lost, and above 500°C any remaining char is removed by 700°C [29]. The macroscopic transformation most noticeable with the decomposition stage are the striking changes in coloring, with bone becoming visibly blackened with the charring of organics (300°C) and after the complete removal of organics (700°C) transitioning to a grey and chalk white hue [17, 21, 57, 60, 61]. Bone that is blackened is referred to as combusted or carbonized, while grey and white bone with all organics removed can be referenced as calcined [17, 57,58].

Simultaneous to the loss of organics is the alteration of the bioapatite mineral, or the inversion stage, between 500 and 1100°C [21, 60, 61]. With the removal of the organic component at 300°C, the larger, plate-like crystals can spontaneously grow at the expense of smaller crystals [21, 29, 60, 61]. Experiments with bone burnt while powdered and subsequently cleaned with acetone report mean crystallite size increasing to 10 - 30 nm, and crystallite

thickness moving from 2 to 9 nm [29, 60, 62]. Above 500°C, additional growth has been observed, with reported crystallite sizes plateauing at 110 nm, with crystal thickness reaching 10 nm [29]. The hexagonal crystals later become equiaxed at 900°C, growing more spheroidal, with overall dimensions reaching 300 - 550 nm [62].

The last stage of heat alteration to bioapatite, fusion, accounts for the microstructural changes noted with the inversion phase above 700°C [21, 60, 61]. Bone porosity initially increases from *in vivo* status with the loss and charring of organics (~300°C), corresponding to a loss in bone density [42, 62]. This carbonized bone is reported to be most porous right before temperatures of calcination (600°C) [42]. Beginning at 700°C there is a densification as the bioapatite crystal grains grow, and by 900°C there is a total structural coalescence resulting in an interlocking structure and a marked decrease in porosity [21, 29, 62].

These changes are all products of burning in oxidizing conditions [58]. If a bone is brought to temperatures greater than 300°C without access to oxygen, a different pattern of thermal alteration has been demonstrated in controlled experiments [58]. When heating occurs in reducing atmosphere, the organic char is not removed and instead becomes more ordered [58]. The crystallinity of the bioapatite does increase, however, although at a slower rate than indicated in oxidizing conditions [58]. New compounds, such as cyanamide, are also likely formed around 600°-700°C [58]. Bones burnt in reducing atmospheres above 600°C do not lose the organic char component, and therefore remain black in coloring [58].

While extensive work has contributed to characterizing the heat induced transformations to the mineral and organic components of bone, it is still unclear how the timing of these changes can influence differentially burnt bone in an archaeological context. **The evaluation of diagenetic**

processes and taphonomic biases within assemblages of burnt fauna burnt to different temperatures is therefore necessary.

The site of Tolbor-17

The Ikh-Tolborin-Gol is a low altitude pass on the western flank of the Khangai Mountains of Northern Mongolia. It is part of the Selenga drainage system, the main river feeding Lake Baikal (Fig. 1) [64]. This river valley preserves a wealth of Upper Paleolithic (UP) locales including Tolbor-4, Tolbor-15, Tolbor-16, and Tolbor-17 (T-17) [64-67]. Most of the sites document periodic human occupations starting with the Initial Upper Paleolithic, ca. 45 ka, until the Holocene. The latter has recently been dated with polymineral post-IR IRSL, Quartz OSL, and radiocarbon to 42.5-45.6 ka, establishing the timing for a movement of population between the Siberian Altai and Northwestern China, contemporaneous with the earliest *Homo sapiens* fossils in the region [64]. The following occupations in the valley are associated with the Upper Paleolithic (UP) in the broad sense and were made by *Homo sapiens*. Although it is often assumed that fire is part of the modern human behavioral repertoire allowing expansion into cold climates, evidence of the use of fire in the UP Tolbor locales is rare and has been only briefly reported [68, 69].

Fig 1: Map of Mongolia with position of Tolbor-17 (modified after Geo-atlas).

Tolbor-17 provides a rare opportunity to investigate burning within faunal remains that are usually poorly preserved in the region. Like most of the other locales, T-17 is an open-air environment with a fluctuating low energy run-off constituting a recharge water regime. Initially

excavated as a series of two test pits with dimensions 2m x 1m, the excavators at T-17 piece plotted all finds > 2 cm, and the remaining sediment from each bucket volume of excavated material was dry sieved with 4mm and 2 mm mesh screens, with all material subsequently sorted. The T-17 lithological Unit 3 is characterized by the presence of UP lithic artifacts and organic faunal preservation, despite sedimentary evidence for episodic sheet erosion, prolonged groundwater interaction, chemical weathering, and long surface exposure. Based on its geological setting, the material studied here belongs to the second half of the Marine Isotope Stage (MIS) 3, ca. 40 -30 ka cal. BP. Unburnt and burnt fauna have been successfully recovered from Unit 3; however, this assemblage has been identified as extremely fragmentary and traditional zooarchaeological analyses considering butchery and taxon identification are still in the preliminary stages.

The inclusion of the faunal material from T-17 in this study is both for the purposes of providing a prehistoric archaeological assemblage for spectroscopic reference, as well as to specifically address the potential biases within this burnt portion of this faunal assemblage.

Materials and Methods:

Samples of archeological bones were characterized using Fourier transform infrared spectroscopy (FTIR) with an attenuated total reflectance (ATR) attachment, powder X-ray diffraction (XRD), and environmental scanning electron microscopy (eSEM). Burnt modern animal bone samples were annealed in a thermal analyzer to different temperatures and characterized using the same techniques to provide a controlled reference.

Archaeological bone sample collection and preparation

Mapped (> 2 cm) and screened (< 2 cm-2 mm) faunal remains from the T17 UP assemblage were cleaned, weighed in milligrams, and sorted following the Stiner et al. [17] seven stage visual scale of burning intensity (Table 2). No burning was noted in bone > 2 cm except for a single fragment, but fauna < 2 cm- 2mm recovered in the screened material is found to span all stages of burning intensity within Unit 3 of the exposed excavation surface (Table 2). Of the burnt fauna, a large percentage is nearly or fully calcined, a notable observation due to the described mechanical fragility of calcined bone and unprotected open-air environment. 20 bones from the Unit 3 assemblage, representing each burning category and from the same meter square, were sampled for subsequent spectroscopic analyses to confirm heat alteration and investigate crystallite size. Bones were cleaned with ionic water sonication, and bone powder was produced using a diamond file and an agate mortar and pestle. All archaeological bone powder samples were sieved with 234 μ m mesh.

Table 2: T17 Unit 3 fauna summary with burning stages following Stiner et al.[17].

Burning Stage	Screened (< 2 cm)	Piece plotted (>2 cm)	Total
	Weight (mg)	Weight (mg)	Weight (mg)
0	141.36	213.31	354.47
1	1.04	0	1.04
2	5.17	1.01	6.18
3	0.69	0	0.69
4	1.95	0	1.95
5	1.37	0	1.37
6	4.5	0	4.5

Modern bone sample collection and preparation

A controlled experimental reference collection was created with modern bone to investigate the impact of thermal alteration on crystallite size and loss of organics. Cortical bone

from three cow femurs and two horse metacarpals from five different individuals were selected for this study. Cow femurs were procured the day after butchery from a local butcher and were never frozen. Flesh was scraped manually to prepare for drilling. Horse metacarpals were obtained postmortem from completed forelimb tissue collections of horses humanely euthanized for purposes other than this study at the UC Davis School of Veterinary Medicine. Metacarpals were simmered in water with the addition of borax to assist with defleshing, although bones remained greasy.

A diamond drill coring bit was used to produce solid plugs of cortical bone 3mm x 3mm, with weights ranging from 53.2 to 58.1 mg. Coring was constrained to the cortical bone tissue from the mid-diaphysis of both cow and horse bones. Solid bone plugs were specifically utilized in lieu of bone powder to avoid the effects of powder heating, as powder has an increased surface area and would be more reactive to thermal alteration. Subsequent to drilling, plugs were filed with a diamond file to fit the dimensions of crucibles used for thermal analysis

Thermal analysis of modern bone samples

The controlled annealing of modern bone samples was performed with Setaram Labsys Evo thermal analyzer. Bone core samples were placed in a 100 μ l Al_2O_3 crucible and air flow 40 ml/min was established. The samples were brought to desired temperatures in 100 -1200°C range with heating rate 20°C /min and held isothermally for 30 minutes. The weight change and heat flow traces were recorded continuously and corrected for the baseline. Additional samples were produced at 300 and 700°C with ramp 50°C /min and one hour dwell time for comparison. Post heating, bone plug samples were powdered with an agate mortar and pestle and sieved with 234 μ m mesh.

Infrared spectroscopy data collection and analysis

Infrared spectroscopy can yield information regarding the relative degree of structural order, size, and strain of bioapatite crystals through the measurement of spectral peaks associated primarily with the different vibrational frequencies of bone carbonate and phosphate. This is relevant for the study of the thermal alteration of bone, as it can verify changes associated with burning that cannot be mistaken for macroscopic staining or bleaching. These changes include the increase of crystallinity that accompanies burning as bone minerals become more ordered, as larger crystals grow at the expense of smaller crystals, and as the organic components are eventually lost [70, 71].

A Nicolet 6700 Fourier transform infrared spectrometer with an ATR attachment and a deuterated triglycine sulfate (DTGS) detector and single bounce diamond crystal was used. The ATR method uses an attachment with a diamond or zinc crystal to produce spectra through the phenomenon of internal reflectance [72, 73]. The application of ATR minimizes sample preparation, which in turn minimizes contamination [49, 70, 71]. Spectra were collected with 256 scans in the 4000 - 400 cm^{-1} frequency region and with an 8 mm spectral range. Each archaeological and modern bone powder sample was retested for quality control.

The identification of FTIR spectral peaks associated with bone has been extensively documented (75). Relevant peaks to this study and their inferred functional groups include the 1650 cm^{-1} and 1550 cm^{-1} peaks for the measurement of amide I and II, the 874 cm^{-1} and 1415 cm^{-1} peaks indicating presence of the ν_2 and ν_3 of carbonate, and the 900-1200 cm^{-1} and 50-600 cm^{-1} spectral regions related to the ν_3 and ν_4 phosphate components (Table 3). Additionally, the

appearance of a 625cm^{-1} shoulder peak is attributed here to PO_4^{3-} v4 bending, known as the phosphate high temperature (PHT).

FTIR-ATR spectra were processed with OMNIC software to verify heat alteration and measure the relative degree of crystallinity. Thermal alteration can be monitored in bioapatite through: (1) the ratio of carbonate to phosphate present in the sample, the C/P ratio, (2) the depletion of the presence of amide I and II functional groups, representing the organic components of bone, and (3) the presence of heat specific peak splitting, such as the loss of the peak at 874 cm^{-1} correlated to CO_3^{2-} v2 at temperatures over 1000°C , and the PHT shoulder peak at temperatures over 700°C [57, 58, 61]. Measures of the crystallinity of a sample can be inferred from the infrared splitting factor (IRSF), which extrapolates the changing size and order of bioapatite crystals through increase of splitting seen in the PO_4^{3-} v4 peaks [57, 61, 74]. Eight peak measurements were monitored for 168 scans representing 84 individual samples for this study, 62 modern and 22 archaeological. Each sample was tested twice, and measurements presented here represent the average values of both scans.

Table 3: FTIR-ATR wavenumbers associated with likely functional groups relevant to this study and the thermal alteration of bone.

Wavenumber	Inferred peak assignment	Peak transformation relevant to this study
1630-1660 cm^{-1}	organic tissue and water, amide I + II	decrease and absence
1400-1550 cm^{-1}	CO_3^{2-} v3	1415 cm^{-1} peak a component of C/P ratio
1028-1100 cm^{-1}	PO_4^{3-} v3	1035 cm^{-1} peak a component of the C/P ratio
874 cm^{-1}	CO_3^{2-} v2	peak loss
565 cm^{-1} , 605 cm^{-1}	PO_4^{3-} v4	growth of 565 cm^{-1} and 605 cm^{-1} and decrease of the 595 cm^{-1} trough utilized for the infrared splitting factor (IRSF); phosphate

high temperature (PHT) shoulder growth at
625 cm⁻¹

The IRSF measurements were procured for all samples following Weiner and Bar-Yosef [74].

$$\text{Infrared Splitting Factor: } \frac{(565 \text{ cm}^{-1} \text{ peak ht} + 605 \text{ cm}^{-1} \text{ peak ht})}{595 \text{ cm}^{-1} \text{ peak ht}}$$

An additional measure of the carbonate to phosphate content, the C/P ratio, was also determined for all samples. The C/P ratio decreases with burning and utilizes the 1035 cm⁻¹ phosphate peak unaffected by IRSF changes [49, 71].

$$\frac{\text{C}}{\text{P}} \text{ ratio: } \frac{1415 \text{ cm}^{-1} \text{ peak ht}}{1035 \text{ cm}^{-1} \text{ peak ht}}$$

Other peaks observed for this analysis were noted as they are related to the loss of organics and specific heat-induced changes [57, 58, 61] (Table 3).

X-ray diffraction analysis

X-ray Diffraction (XRD) can be used to measure the relative sizes of bioapatite crystals [29, 42, 57, 62]. Powder XRD patterns here were obtained using Bruker D2 Phaser and Bruker D8 advance diffractometers using CuK α radiation. Bone powder samples were taken from solid

bone plugs and spread with ethanol on a zero background silicon sample holder, and run from 10 to 90 °2θ with 0.02° step. Dwell time was chosen to obtain at least thousand counts on the most intense peaks. The average crystallite size of analyzed samples was obtained from diffraction peaks broadening using whole pattern fitting (Rietveld refinement) procedure as implemented in Jade MDI software [76]. Diffraction profile was modeled using hydroxyapatite $\text{Ca}_5(\text{PO}_4)_3\text{OH}$ structure (space group P63/m) and pseudo-Voigt profile shape function. The instrumental broadening was accounted for by calibration with NIST LaB_6 profile shape standard. The uncertainties in crystallite sizes are reported as obtained from least squares refinement.

Scanning Electron Microscopy

Secondary electron (SE) images were acquired for two samples of archaeological bone and three samples of modern bone annealed at different temperatures (300, 700, and 1200°C) in a Quattro environmental scanning electron microscope (eSEM), manufactured by ThermoFischer Scientific. The SE images were obtained at an accelerating voltage of either 10kV or 20kV and with an electron beam width, or size spot, of 3.0. Spot 3 is commonly used to attain sufficient signal without compromising resolution. To prevent the buildup of charge on sample surfaces, the SE images were acquired in low vacuum mode with partial pressure of water set at 400 Pa. A low vacuum detector (LVD), which is optimized for this pressure range, was used to measure the SE image signal.

Results:

FTIR-ATR of modern bone

The FTIR-ATR analyses were run on each modern sample for the verification of heat alteration and the determination of the size and relative order of the bioapatite crystals at each temperature threshold. All modern samples were found to exhibit spectra indicative of the thermal alteration of bone in oxygen atmospheres supported by previous research (Fig 2, 3), including the decrease of C/P ratio, decrease of organic components by 300°C with complete absence seen by 400°C, the absence the 874 cm⁻¹ peak above 1000°C, and the presence of the PHT peak splitting above 700°C (S1 Table, S2 Table). No differences were indicated in the reheated or increased rate samples taken to 300 and 700°C from the single-heated or controlled rate counterparts.

Fig. 2: FTIR-ATR spectra of experimentally modern burnt samples grouped by with functional groups highlighted in the range of 1700-800 cm⁻¹.

Fig. 3: FTIR-ATR spectra of experimentally modern burnt samples with functional groups highlighted in the range of 700-500 cm⁻¹.

The IRSF of all modern samples also followed reported trends in bioapatite crystallinity, with order, size and strain increasing alongside intensifying temperatures (Fig. 2, 3, 4; S1 Table, S2 Table) [57, 60]. This increase in crystallinity is seen until 1000°C, after which there is a marked decrease in IRSF coinciding with the equiaxing of bioapatite crystals (Fig. 4). Despite the general acceptance of the IRSF and the application of this ratio to study bone quality and thermal alteration in bone, the values of IRSF can have large variations despite consensus on general trends [57, 60]. This is seen most dramatically in the range of IRSF values reported for

all samples at 900°C in this study (Fig. 4; S2 Table). No changes in IRSF were noted in samples reheated or heated with increased rates.

Fig. 4: Infrared Splitting Factor (IRSF) of the experimental modern and archaeological collection measured from FTIR-ATR spectra following Weiner and Bar Yosef [74].

FTIR-ATR of archaeological bone

The FTIR-ATR spectra produced from the T-17 archaeological collection, organized by the Stiner et al. [17] stage of burning intensity, supports the macroscopic indication of heat alteration and no intrusive staining or bleaching (Fig. 5, 6). Good agreement is seen with the relative decreases of C/P ratio and the loss of organic components by Stage 3 (fully carbonized) between the archaeological and modern samples (Fig. 5, 6; S3 Table, S4 Table). The appearance of the PHT with bones identified as Stage 5 supports the presence of temperatures above 700°C at T-17, although the continued presence of CO_3^{2-} v₂ inferred by the 874 cm^{-1} peak indicates temperatures likely did not reach above 1000°C (Fig. 5, 6; S3 Table).

Fig. 5: FTIR-ATR spectra of archaeological fauna from T-17 grouped by stage of burning intensity following Stiner et al. [17] with functional groups highlighted in the range of 1700-800 cm^{-1} .

Fig. 6: FTIR-ATR spectra of archaeological fauna from T-17 grouped by stage of burning intensity following Stiner et al. [17] with functional groups highlighted in the range of 700-500 cm^{-1} .

The IRSF of the T-17 samples also follows the trends of the experimental modern collection, with gradual increases seen through Stage 3 and higher values reported with the presence of calcination with Stages 4, 5, and 6 (Fig. 4, S3 Table, S4 Table). Elevated values are not seen within the Stage 0 unburnt samples of T-17 bone, demonstrating crystallinity values were not heightened due to diagenesis [17]. As expected, the IRSF values can distinguish between calcined and non-calcined samples, but cannot distinguish between low temperature burning samples.

XRD of modern bone

The results of the XRD analyses on the modern collection demonstrate the increasing crystallite size correlated to temperature, specifically above temperatures of calcination (700°C) (Fig. 7; S2 Table). An average size threshold is clearly noted, with all samples unburnt through 600°C averaging 9 nm, while all samples burnt at 700°C jump to an average of 41 nm (Fig. 7). An additional increase in crystallite size by approximately 30 nm is noted at 900°C, coinciding with the fusion stage of thermal alteration of bone, with samples reaching an average of 72 nm (Fig. 7).

Fig. 7: XRD results of crystallite size (nm) alongside selected eSEM **images** of experimental modern (A-C) and archaeological (D-E) samples highlighting the changes to crystal shape with heat alteration.

XRD of archaeological bone

The average crystallite size measurements for the archaeological T-17 samples confirm the presence of calcination within samples at Stages 4, 5, and 6 with considerable crystal growth (Fig. 7; S4 Table). The XRD results also demonstrate that within Stage 6 temperature thresholds can be distinguished, with two of the Stage 6 samples reaching crystallite sizes that group with the $\geq 900^{\circ}\text{C}$ experimental group (Fig. 7). These crystal changes, including the transformation of plate-like crystals to larger equiaxed morphologies, are depicted clearly with eSEM images taken of both collections (Fig. 7).

The average crystallite growth noted in this study provides a pathway for distinguishing between temperatures within the macroscopic identification of Stage 6 burning based on color. The results presented here provide a metric to speak to two categories of burning within Stage 6: $>700^{\circ}\text{C}$ and $>900^{\circ}\text{C}$.

Discussion:

The FTIR-ATR and XRD results in the modern and archaeological collection address timing of organic loss, changes in crystallinity, and crystallite size growth with increases in temperature intensity in oxygen atmospheres. **The modern component of this study clearly demonstrates the initial loss of organics with carbonization and a massive crystal growth threshold that accompanies calcination by 700°C .** These modifications have large implications for the susceptibility of bone burnt to different temperatures to dissolution, especially when considered alongside the other factors inherent in bone diagenesis: organic protection and porosity.

Unburnt bone has small, highly reactive crystals but is overall less friable than burnt bone and is more likely to not be highly fragmented. Larger sizes, along with an intact insoluble

organic component, protects the bone mineral from hydrolysis and **microbial attack**. Carbonized bone also has small, highly reactive crystals, but is more likely to be fragmented, as fragmentation is a function of burning intensity [17]. Bone burnt fully to temperatures of carbonization, 300°C and Stiner et al. [17] Stage 3, also has the organic component eliminated resulting in the removal of protection for the bone mineral. This also results in a more open porosity which is not altered until very high temperatures, ~900°C [61]. **Carbonized and charred bone, therefore, is especially vulnerable to aqueous dissolution, as it is likely to be fragmented and small, has lost organic protection, has a large degree of porosity, and has very reactive small crystals.** Bone calcined by 700°C, starting at Stiner et al. [17] Stage 4 and completely calcined by Stiner et al. [17] Stage 6, is mechanically fragile, but the massive increase in crystal sizes reduces the surface to mass ratio (active surface area) of bioapatite. This results in minerals that are much less soluble. Bone which has been thermally altered above 900°C, which can only be identified macroscopically as Stiner et al. [17] Stage 6, benefits further from a closing of the porosity, providing additional protection.

The measurements presented here regarding the loss of organics, crystallinity, and crystallite sizes are in consensus with trends reported by previous studies, with variance likely introduced by the burning of bulk versus powder samples and the impacts of the MES phenomenon, different depositional environments under consideration, and the variance in experimental protocols and burning regimes. Additionally, treatment of modern bone is often not reported, despite the tremendous effect freezing was found to exhibit on sample porosity [6].

Due to the immediate presence of low energy water movement and the effects of prolonged exposure in the open-air environment, it is likely that the **T-17 UP burnt faunal assemblage from Unit 3 is biased and has an underrepresentation of carbonized bone.** This

inference is in agreement with other studies of diagenesis, which have noted the large effects of water interaction on bone preservation [10, 11, 37, 44]. Despite the projected likelihood of bias in temperature distribution, the presence of fire at T-17 can be described as reaching likely temperatures of at least 900°C, as evidenced by two samples having crystallite sizes grouping with the $\geq 900^\circ\text{C}$ threshold, but constrained by the continued presence of CO_3^{2-} in two samples of Stage 6 FTIR-ATR spectra, which is lost at temperatures at and above 1000°C. Future work at T-17 will investigate the microstratigraphy and association of these faunal remains to address the potential of this evidence for an in situ open air anthropogenic fire event.

Conclusion:

The aim of this study is to describe the timing of the heat induced changes to the organic and mineral components of bone, and the impact these alterations would have on burial environments with water interaction. The results are contextualized alongside an archaeological assemblage evaluated with standard zooarchaeological methods of burning identification. The importance of small bone fragments in the study of ancient fire is additionally highlighted, matching observations noted in previous studies [17, 77]. Excluding the screened faunal material, the T-17 fauna sample would have obscured the evidence for ancient fire, as nearly all burned bones except for one fragment were recovered in the < 2cm screened fraction.

Faunal remains are a valuable tool to describing fire characteristics and identifying combustion features, but bone burnt to different temperature thresholds will not be impacted uniformly by water dissolution due to the heat induced differences in crystallite size, the amount of organic components, and the degree of porosity. Studies engaging with fauna to describe ancient fires must recognize that bones burnt to lower temperatures are more vulnerable to

hydrolysis and may be greatly underrepresented, while bones which are heated to the point of calcination, though mechanically fragile, have greater protection against dissolution. This bias against bone burnt to lower temperatures has large implications on the identification of ancient fire from a faunal perspective: burnt osseous material resulting from fires that utilize fuel with low burning temperatures, such as grass, and in environments with greater water interaction may be less visible in the archaeological record [18].

S1 Table: Experimental modern bone FTIR-ATR relevant peak height values.

S2 Table: Calculated experimental modern sample C/P and IRSF from FTIR-ATR spectra, as well as raw Angstrom values as measured from XRD.

S3 Table: FTIR-ATR T-17 Unit 3 archaeological sample relevant peak height values. All samples recovered from same meter square.

S4 Table: Calculated archaeological T-17 Unit 3 C/P and IRSF values from FTIR-ATR spectra, as well as raw Angstrom data from XRD. All samples recovered from same meter square.

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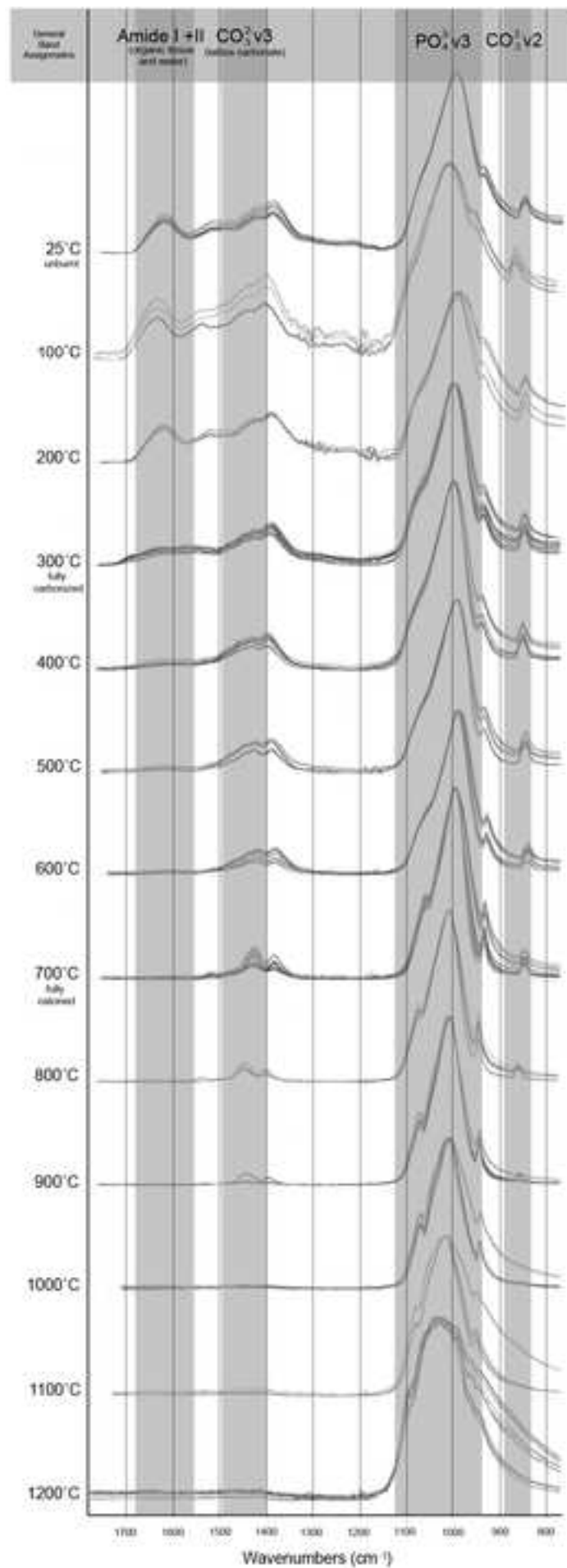
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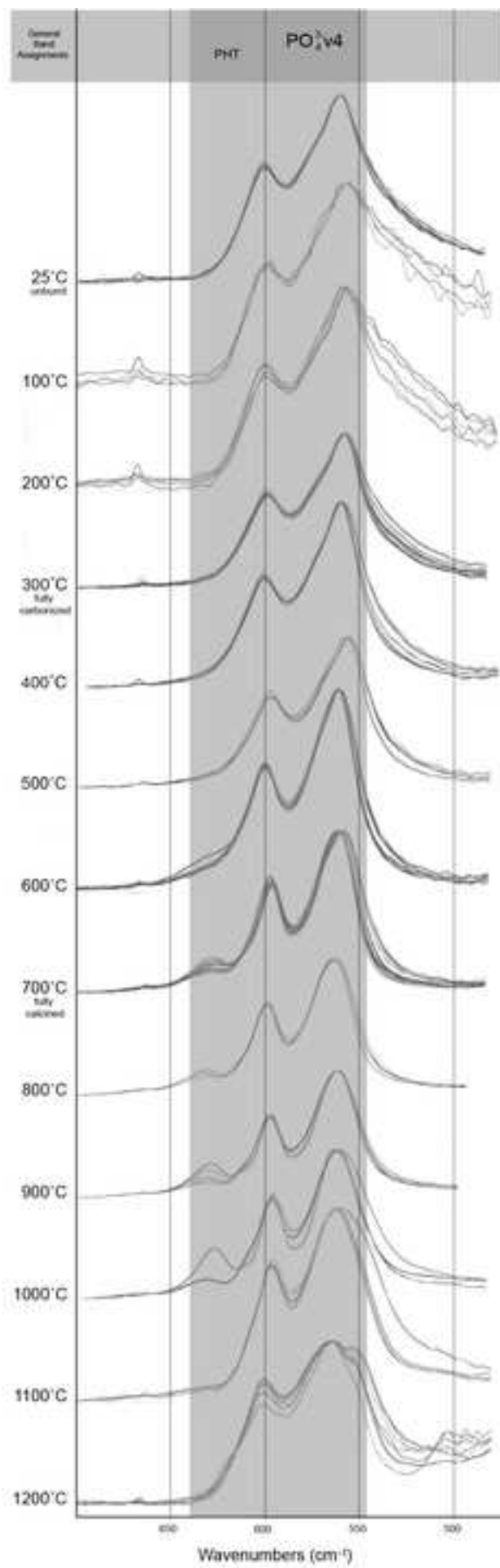
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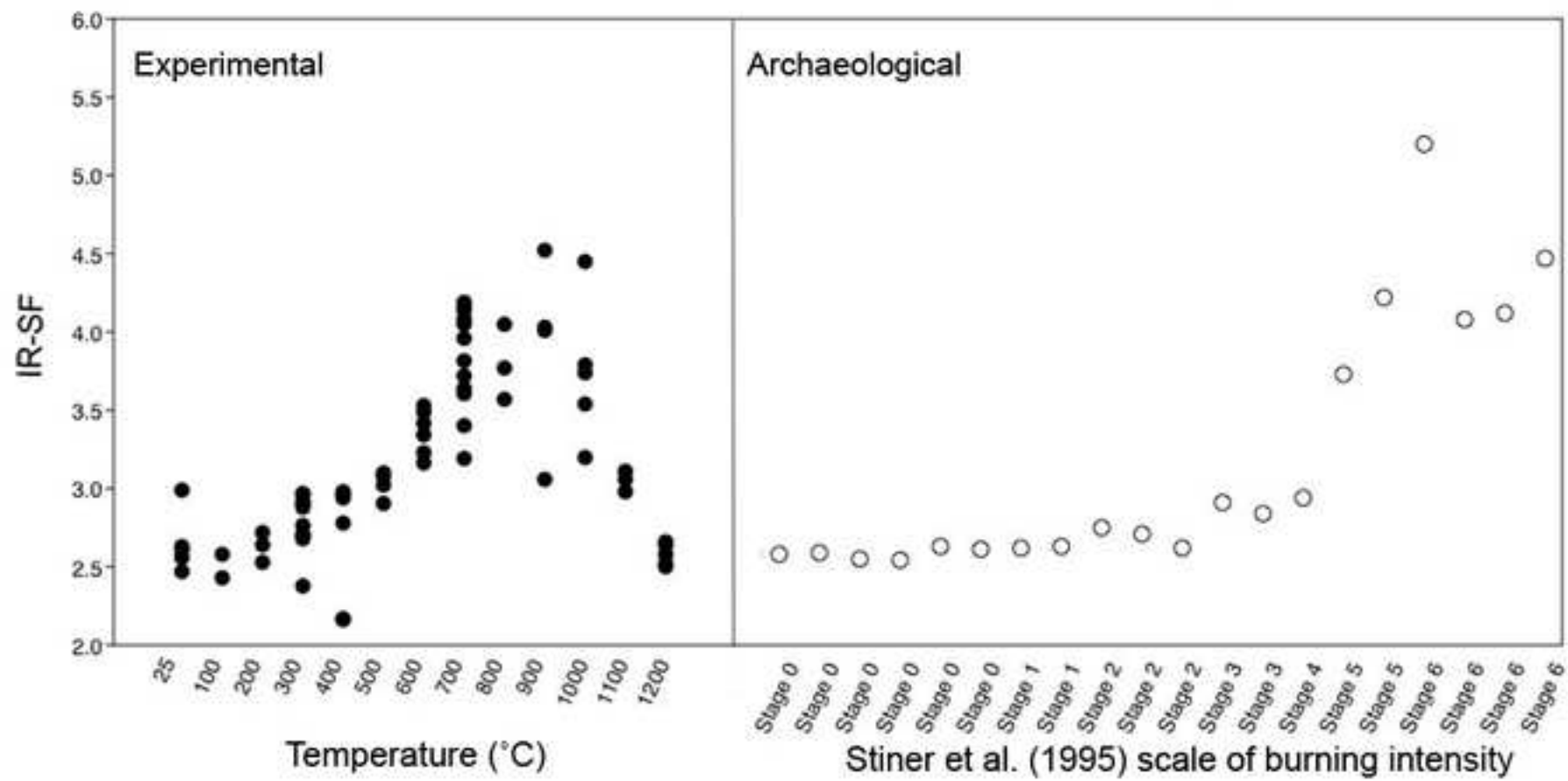
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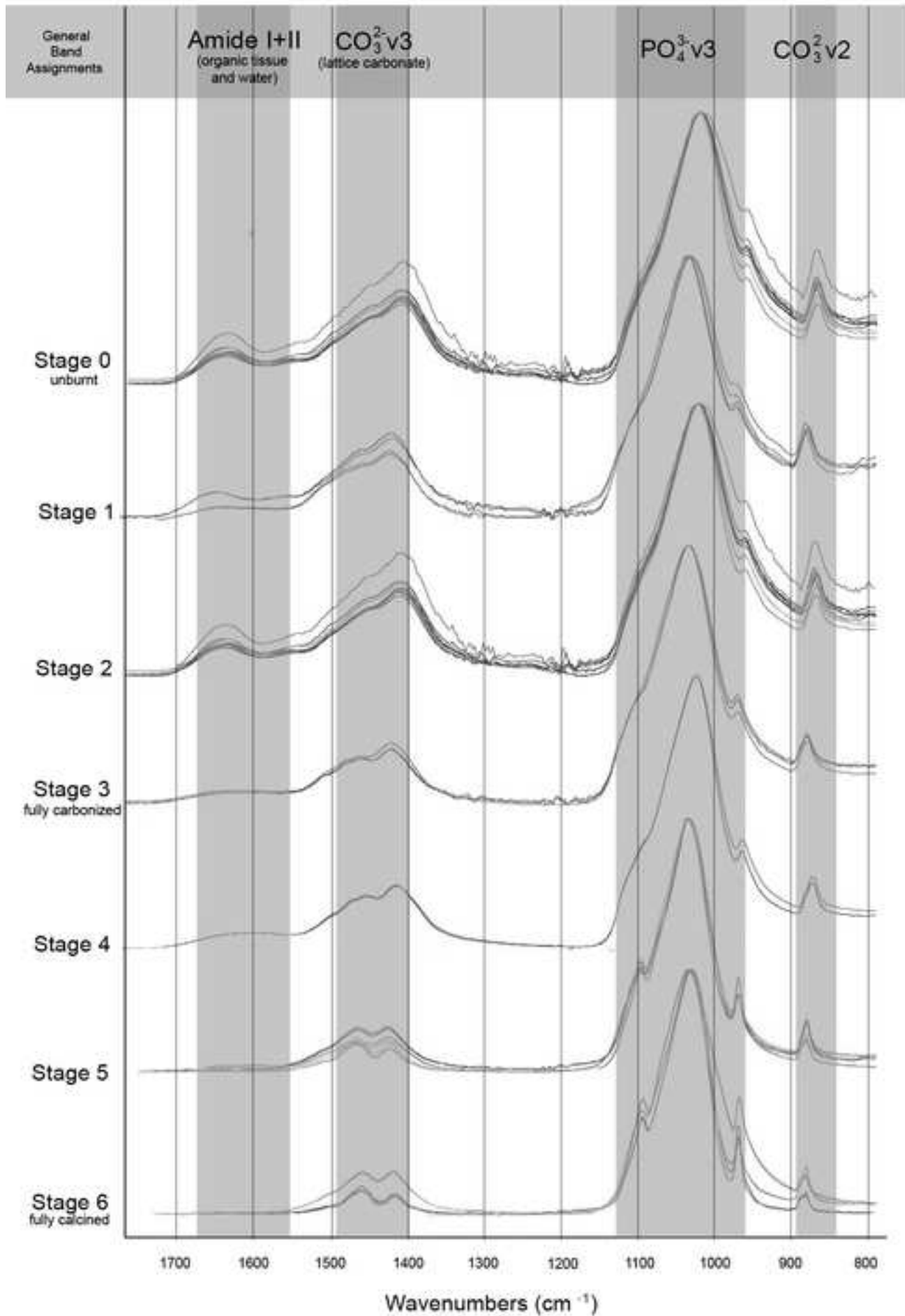
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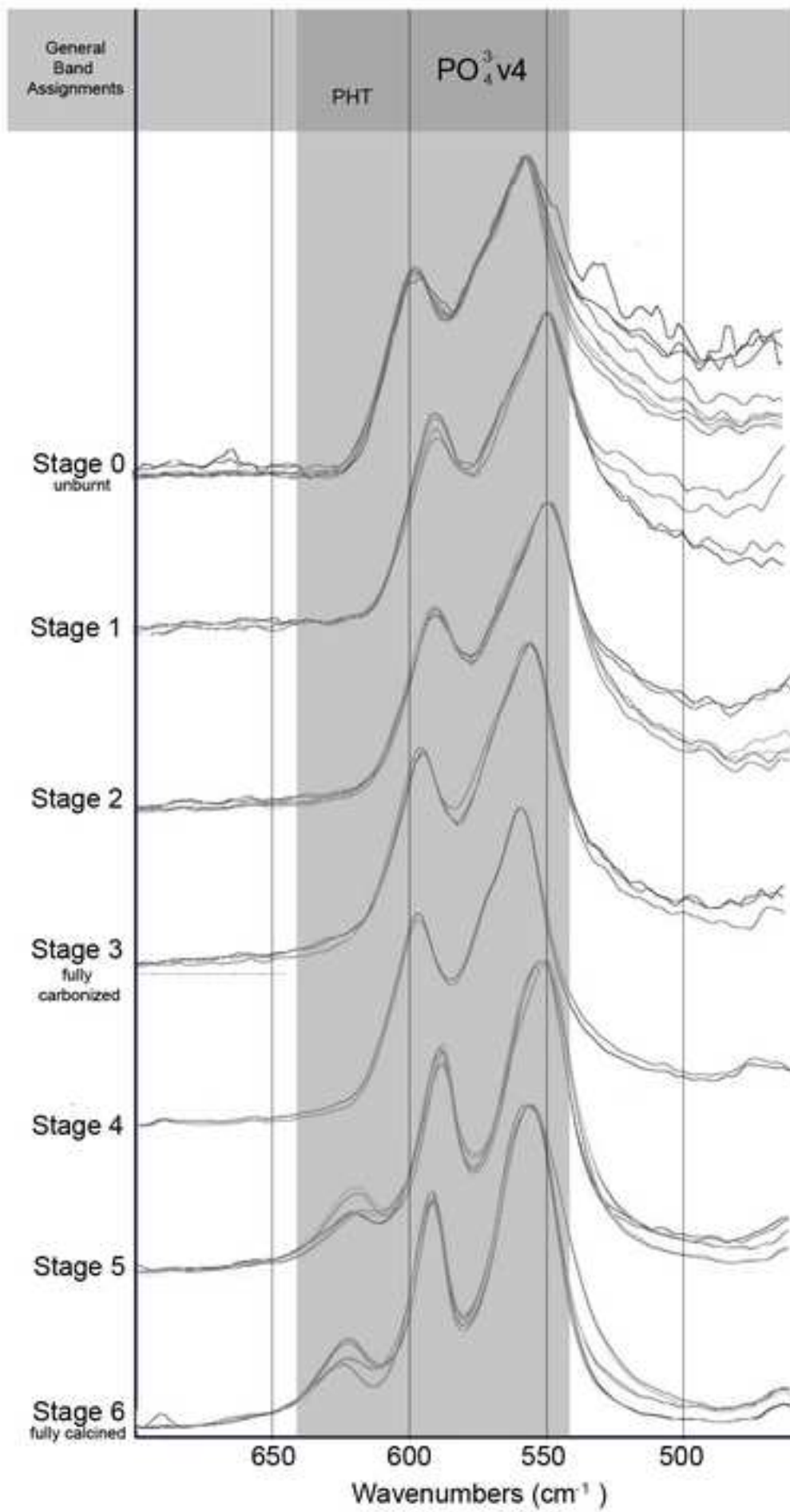


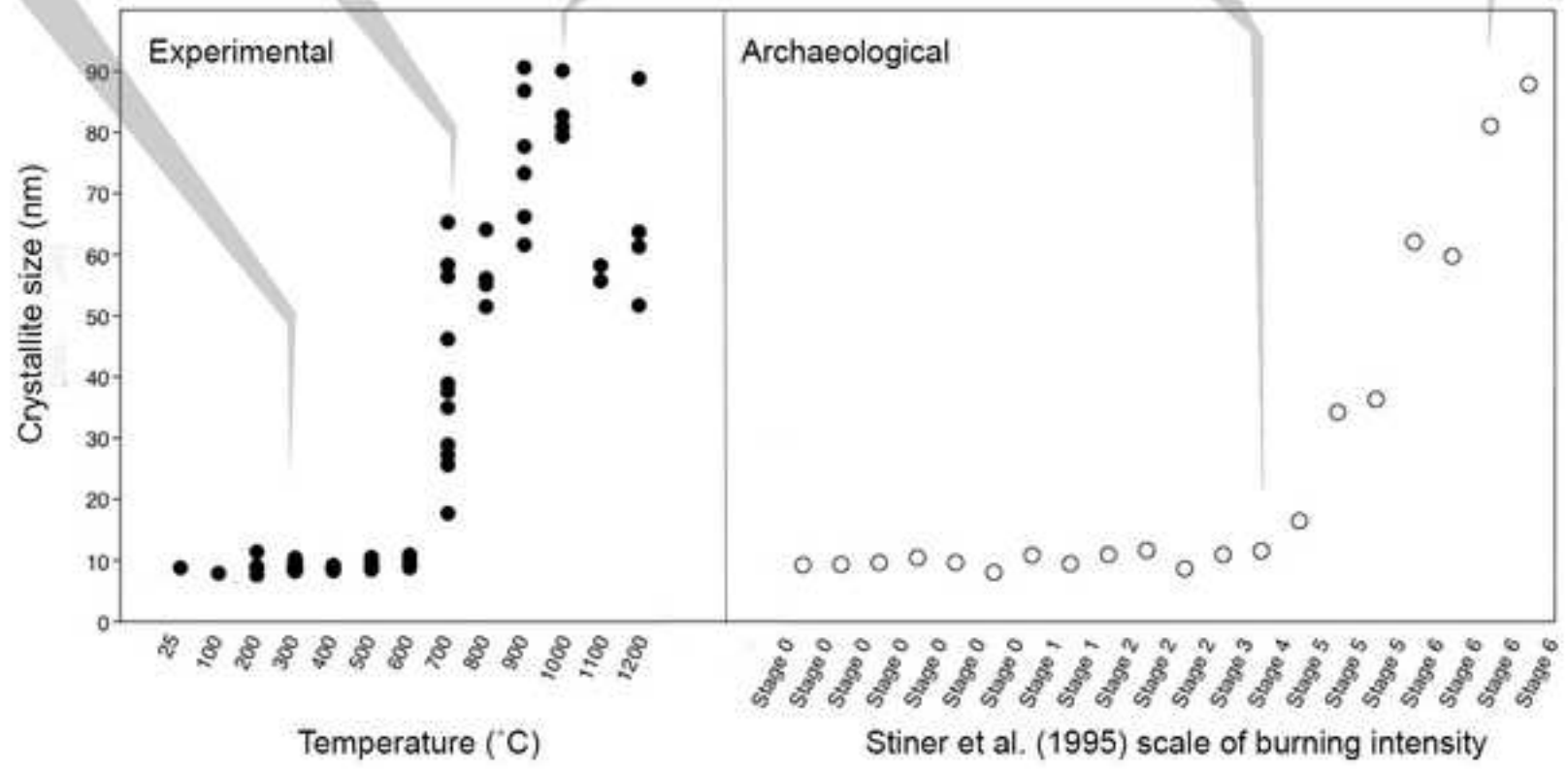
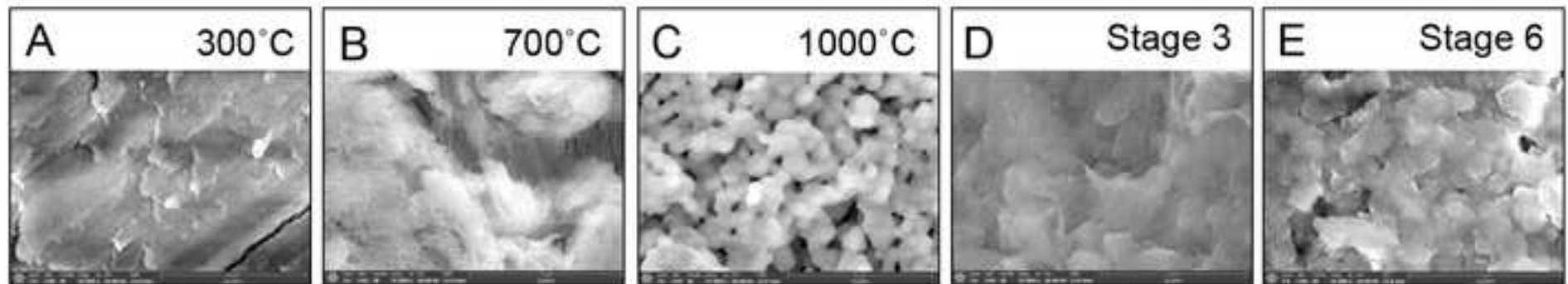














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