

## Enhanced Resilience Training to Improve Mental Health, Stress, and Performance in Core Surgical Trainees

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### Background and Rationale

The current demands of surgical training produce work related pressure and emotional stress, which not only affect the well-being of trainees, but likely influence key assessment metrics, clinical performance, and ultimately threaten patient safety and quality of care.

Burnout among trainees is becoming increasingly recognised with symptoms akin to acute stress reaction (ASR) and post-traumatic stress disorder (PTSD) [1] and in the UK, some two thirds of junior doctors report damaged physical or mental health, because NHS work is associated with intense physical and emotional burdens and intolerable strain [2]. In Wales, 59% of surgical trainees reported burnout symptoms with Core Surgical Trainees (CST) reporting the highest risk [3]. Social relationships consequently suffer, and work related stress has even been reported to increase the risk of ischaemic heart disease, stroke [4-6], substance abuse, absenteeism, divorce, depression and suicide[7-9]. Moreover, such issues have been reported in as many as 70% of general surgery US residents[10-13] and 21% of mixed-specialty Dutch post-graduate trainees[14]. Occult trainee psychological morbidity therefore, may arguably be worse than that of the general population[1]. Shanafelt et al described almost 1 in 10 US surgeons (9%) self-reported major medical errors, and burnout and depression were shown to be independent predictors of error reporting, which correlated with all 3 burnout domains[15]. Similarly, emergency medicine physicians (EP), have been reported to have burnout rates of over 50%, and EPs with high burnout were significantly more likely to report clinical malpractice[16].

Among surgical trainees, high dispositional mindfulness decreases these risks by 75% or more [17], and formal mindfulness training has been shown feasible and acceptable [18-19]. In other high-stress populations such as the US Marine Corps, Police Force, and elite athletes [20-23], formal mindfulness training has improved well-being, stress, cognition and performance, yet the ability of such training to mitigate stress and burnout across medical specialties, or to affect improvements in the cognition and performance of physicians,

remains unknown. To address these gaps and thereby promote the wider adoption of contemplative practices within medical training, investigators have developed Enhanced Stress Resilience Training (ESRT), a modified form of Mindfulness-Based Stress Resilience (MBSR) - streamlined, tailored and contextualised for clinicians.

To date, no UK trainee and curriculum focused initiative exists, and although awareness is important, future work should focus on education and early identification to support trainees at risk of burnout.

### **Hypothesis**

The primary hypothesis is that mindfulness-based Enhanced Stress Resilience Training (ESRT) is feasible for CSTs, can form part of a prescribed curriculum, and will reduce workplace associated stress and burnout.

A secondary hypothesis is that cognitive skills developed by ESRT will result in beneficial effects apparent in multiple domains including, psychosocial well-being, cognition, neural plasticity, and CST clinical performance metrics, as measured by professional examination success, ARCP Outcome, and promotion to higher surgical specialty training.

### **Study type**

Prospective observational cohort study

### **Study population**

Core surgical trainees (CST)

### **Methodology**

Intervention:

Forty volunteers to be recruited from current cohort of CST. The intervention will be the modified form of Mindfulness-Based Stress Reduction (MBSR) named Enhanced Stress Resilience Training (ESRT). ESRT involves a 'learning component' consisting of five 60-minute group classes and one, two to three hour retreat (this will have to be postponed until after COVID-19 social distancing measures are revoked). Classes focus on developing mindfulness skills (i.e. sustained attention and open monitoring) in addition to training focused on stress and coping. ESRT also involves a 'practice component': 3 to 20 minutes per day of mindfulness exercises following guided meditation media. The central exercises of ESRT are the body scan, sitting meditation and limited yoga. The weekly teaching sessions will take place on a workday evening. Daily practice will occur independently, unsupervised, with the duration reported daily.

Evaluation:

Cognitive and psychological testing will occur on three different occasions: pre-intervention, post-intervention and at six-month follow-up. The following validated psychosocial wellbeing surveys will be used, based around sensitivity demonstrated in prior work [18,19].

- Cognitive and Affective Mindfulness Scale – Revised (CAMS-R)
- Perceived Stress Scale (PSS-10)
- abbreviated Maslach Burnout Inventory (validated 2-item)
- Patient Health Questionnaire (PHQ-9)

- Spielberger State-Trait Anxiety Scale – SF (STAI)
- Mental Health Continuum Scale
- Alcohol Use Disorders Identification Test (AUDIT)
- Swedish Demand-Control-Support Scale

Cognitive testing will be undertaken using A computer and paper-based battery comprised of tasks targeting six cognitive domains (working memory, inhibition, set shifting, fluency, insight, and planning).

Performance of trainees will be assessed using Annual Review of Competence Progression (ARCP) outcomes and intercollegiate examination performance. ARCP outcomes will be based on a summation of several performance metrics, including logbook numbers, work based assessments completed, and consultant feedback (Multi-Consultant Report).

The Professional Support Unit at Health Education and Improvement Wales (HEIW) has granted full support for the study.

#### Statistical analysis

All outcomes (from questionnaires, tests and assays) will be summarised at each measurement time point. Statistical analysis appropriate for non-parametric data will be performed. Qualitative methods will be used to assess the feasibility of the intervention using thematic analysis. Primary satisfaction will be assessed using ordinal scales (Likert) and non-parametric tests.

#### Consent

Participation in the study is voluntary and written consent will be obtained from all participants.

All data will be anonymised and stored on password protected computers kept in a locked NHS office.

#### Expected study outcomes

The aim of the intervention is to provide CSTs with non-operative training skills that will serve them well and develop independently throughout their careers, with commensurate benefits to their health and well-being. Potentially, the intervention, may lead to enhanced focus and attention and even improved performance. By taking part in a study with the aim of benefiting all postgraduate medical trainees, participants should gain satisfaction from contributing to acquisition of knowledge in this field.

It is anticipated that the results of this study will be presented at international meetings of learned societies and published in peer reviewed journals.

#### Costs

Salary: Three fellows funded by Swansea Bay UHB, Cwm Taf Morgannwg UHB, Betsi Cadwaladr UHB for 2 years at mid-range of HST scale (ST5). One fellow jointly funded by Royal College of Surgeons of England/ Health Education and Improvement Wales for 1 year at mid-range of HST scale. Total funding secured £432K.

Delivery of ESRT: Two mindfulness coaches to deliver ESRT programme as described, including the necessary preparation time, cost £2000.

Laboratory costs: Hair cortisol analysis, total of 120 samples at a cost of £3600. Blood analysis, C-Reactive Protein (CRP) £120, Epigenetic Profile analysis £1800.

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