

Psychological characteristics associated with students' COVID-19 vaccination intention
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Abstract:	To achieve herd immunity against COVID-19, it is crucial to know the drivers of vaccination intention and, thereby, vaccination. As the determinants of vaccination differ across vaccines, target groups and contexts, we investigate COVID-19 vaccination intention using data from university students from three countries, the Netherlands, Belgium and Portugal, and the 5C model. This model includes five antecedents of vaccination: Confidence, Complacency, Constraints, Calculation and Collective Responsibility. First, we show that the majority of students have a positive propensity toward getting vaccinated against COVID-19, though only 41% of students are completely acceptant. Second, using the 5C model, we show that 'Confidence' and 'Collective Responsibility' are most influential in terms of students' COVID-19 vaccination intention. Using mediation analyses, we show that the perceived risk and effectiveness of the vaccine as well as trust in the government and health authorities indirectly affect vaccination intention through 'Confidence'. The perceived risk of COVID-19 for one's social circle and altruism, the need to belong and psychopathy traits indirectly affect vaccination intention through 'Collective Responsibility'. Hence, targeting the psychological characteristics associated with 'Confidence' and 'Collective Responsibility' can improve the effectiveness of vaccination campaigns among students.
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Psychological characteristics associated with students' COVID-19 vaccination intention

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21 **Abstract**

22 To achieve herd immunity against COVID-19, it is crucial to know the drivers of vaccination intention
23 and, thereby, vaccination. As the determinants of vaccination differ across vaccines, target groups and
24 contexts, we investigate COVID-19 vaccination intention using data from university students from three
25 countries, the Netherlands, Belgium and Portugal, and the 5C model. This model includes five antecedents
26 of vaccination: Confidence, Complacency, Constraints, Calculation and Collective Responsibility. First,
27 we show that the majority of students have a positive propensity toward getting vaccinated against
28 COVID-19, though only 41% of students are completely acceptant. Second, using the 5C model, we show
29 that ‘Confidence’ and ‘Collective Responsibility’ are most influential in terms of students’ COVID-19
30 vaccination intention. Using mediation analyses, we show that the perceived risk and effectiveness of the
31 vaccine as well as trust in the government and health authorities indirectly affect vaccination intention
32 through ‘Confidence’. The perceived risk of COVID-19 for one’s social circle and altruism, the need to
33 belong and psychopathy traits indirectly affect vaccination intention through ‘Collective Responsibility’.
34 Hence, targeting the psychological characteristics associated with ‘Confidence’ and ‘Collective
35 Responsibility’ can improve the effectiveness of vaccination campaigns among students.

36

37 **Introduction**

38 The development of a vaccine has been recognized as a crucial means to halt the spread of
39 COVID-19. Since effective vaccines against COVID-19 have been developed [1][2], the greatest
40 challenge is to ensure sufficiently high vaccination rates to establish herd immunity. The
41 estimates of the needed vaccination rates to achieve herd immunity range from 67% to 95% [3–
42 5].



43 In 2019, the World Health Organization declared ‘vaccine hesitancy’ one of the top ten
44 threats to global health [6]. Vaccine hesitancy is defined as the refusal or reluctance to get
45 vaccinated despite the availability of a vaccine [7]. Vaccine hesitancy has become more

46 problematic in recent decades [8], with the highest levels of skepticism being found in Europe
47 [9]. In a sample of over 7,000 Europeans, 18.9% of respondents reported being unsure about
48 getting vaccinated against COVID-19, while 7.2% indicated that they will certainly not get
49 vaccinated [10]. Even more pessimistic numbers have been shown in a UK and Irish sample, with
50 only 65% and 69% of respondents fully willing to get vaccinated, respectively [11].

51 Governments and public health agencies must be prepared to address COVID-19 vaccine
52 hesitancy [12]. Given its novelty, much is still unknown about the acceptance and motivation
53 behind COVID-19 vaccination. This vaccine differs from previous vaccines in many respects:
54 development speed, innovativeness of the techniques used, uncertainty regarding the magnitude
55 and extent of its effectiveness, and potential side effects. As vaccination willingness is context-,
56 time-, place-, and vaccine-dependent [13], research on COVID-19 vaccination intention and its
57 antecedents is needed, preferably across a variety of target groups and countries.

58 Previous literature reports potential barriers to vaccine acceptance at different levels [14],
59 ranging from the political and sociocultural levels to the individual level. At the aggregate level,
60 in addition to factors such as the availability and cost of vaccines [7], trust in health officials, the
61 media and governments play an important role in vaccination intention [8]. At the individual
62 level, studies have, among others, shown the relevance of psychological theories of behavior for
63 vaccine acceptance, like the theory of planned behavior [15–17]. Several models have been
64 developed to integrate previous literature on vaccination behavior, such as the 3C [7], 4C [15]
65 and 5C models [18]. The most recent model, the 5C model, includes five psychological
66 antecedents of vaccination: Confidence (i.e., trust in the effectiveness and safety of vaccines and
67 in the system that delivers them), Complacency (i.e., perceived risk of diseases and perceived
68 level of threat), Constraints (i.e., structural psychological and physical barriers), Calculation (i.e.,
69 individuals' engagement in extensive information searching) and Collective responsibility (i.e.,
70 willingness to protect others) [18]. A scale assessing these five drivers explains more variance in

71 vaccination behavior compared to previous measures that have focused almost solely on
72 Confidence. However, the literature shows that the pattern of the most important Cs within the
73 5C model varies across vaccines, target groups and countries [18].

74  Regarding COVID-19 vaccination, studies have shown that women, younger adults,
75 unemployed individuals and those with a lower socioeconomic status are less likely to get
76 vaccinated [11,19,20]. Moreover, psychological profiles play a role: vaccine-hesitant and
77 vaccine-resistant individuals are less altruistic, conscientious, more disagreeable, emotionally
78 unstable, and self-interested than are vaccine-acceptant individuals [11]. Finally, higher COVID-
79 19 vaccination intention is associated with more positive general and COVID-19 vaccination
80 beliefs, as well as higher perceived vaccine efficacy and safety [20–22] 

81 The importance of studying psychological variables to understand vaccination intention and
82 inform effective interventions has been advocated [14]. A deeper understanding of the underlying
83 psychology of vaccine-resistant and vaccine-hesitant groups can enhance the potential
84 effectiveness of the public health messages targeting these groups. In this study, we aim to
85 increase the understanding of COVID-19 vaccination by studying the 5C model and its
86 psychological drivers. Since younger people are less likely to suffer from the negative health
87 consequences of COVID-19 infection [23], it is important to know what the main drivers of
88 getting vaccinated are for these individuals. Based on a sample of university students from the
89 Netherlands, Belgium, and Portugal, we pursue the following four objectives.

90 *First*, we assess the intention to get vaccinated in our international student sample by using a
91 seven-point scale, ranging from completely resistant to completely acceptant.

92 *Second*, as shown in previous research, the antecedents of vaccine hesitancy differ across
93 vaccines, target groups and countries [18]. We are the first to study which Cs—Confidence,
94 Complacency, Calculation, Constraints, Collective Responsibility (5C’s) – are most important for
95 COVID-19 vaccination intention in a sample of young adults.

96 *Third*, as stressed by the authors of the 5C model, knowing the relative importance of the Cs
97 is just a first step, which should be followed by further exploration of the potential levers of these
98 drivers [18]. Using mediation analyses, we investigate which psychological variables, including
99 COVID-19 vaccine-related and COVID-19-related attitudes and personality traits, affect
100 vaccination intention through the 5Cs. This will improve our understanding of vaccination
101 antecedents and, consequently, for which groups reaching desirable levels of these 5Cs and,
102 thereby, vaccination intention may be problematic. The mediation analyses we performed are
103 summarized in Fig 1. Previous studies have shed light on several bivariate relationships between
104 the 5Cs and psychological constructs [18] (presented by the orange arrows in Fig 1). We study
105 whether these constructs indeed affect vaccination intention through the suggested C.
106 Additionally, we study the new indirect relationships represented by the blue arrows in Fig 1.
107 Direct and total relationships are excluded from Fig 1 for clarity reasons.

108 *Finally*, integrating all results, we formulate advice for governments and public health
109 officials on which Cs should be targeted while taking their drivers into account. Knowing for
110 which students' psychological profiles the Cs are less likely to be present should facilitate the
111 design of targeted public health vaccination campaigns.

112 We find that Confidence and Collective Responsibility are most important in explaining
113 COVID-19 vaccination among students. The perceived risk and effectiveness of the vaccine and
114 trust in the government and health authorities indirectly affect vaccination intention through
115 Confidence. The perceived risk of COVID-19 for one's social circle and altruism, the need to
116 belong and psychopathy traits indirectly affect vaccination intention through Collective
117 Responsibility. Thus, vaccination campaigns targeted at students should aim to increase both
118 Confidence and Collective Responsibility, while considering their underlying psychological
119 characteristics.

120
121 **Fig 1. Overview of expected mediation relationships.** Direct effects are excluded for clarity reasons.
122 (C-19=COVID-19)

123 **Materials and methods**

124 **Data**

125 The data used in this study are part of the Erasmus University Rotterdam International
126 COVID-19 Student Survey (EURICSS). This is a longitudinal study on COVID-19-related
127 behaviors and attitudes among university students from multiple countries [24]. Thus far, data
128 have been collected at two points in time. For both studies, approval was obtained by the Internal
129 Review Board of the Erasmus University Rotterdam. All students signed an informed consent
130 form before starting the survey.

131 During the early days of the pandemic (weeks 17-19, 2020, T1), data were collected for the
132 first time. Students were approached through university student systems and invitations sent to
133 university e-mail addresses. In total, data from 7,400 university students in ten countries
134 worldwide were collected.

135 Data collection for T2 took place between weeks 51 and 52, 2020. Only students who
136 participated at T1 and studied in the Netherlands, Belgium and Portugal were approached. Other
137 country samples were not reapproached since the number of students who agreed to be contacted
138 for follow-up was insufficient to assure large enough samples at T2. Students were contacted
139 through invitations that were sent to the e-mail addresses they provided at T1. Two reminders
140 were sent to those students who did not yet finish or start the survey three and seven days after
141 the first invitation. In total, data were collected from 1,137 students, for a response rate of 39.2%

142 At both T1 and T2, surveys were shared using the online survey software Qualtrics. At T1,
143 the survey contained questions on COVID-19-related attitudes, compliance with COVID-19
144 regulations, and several personality traits. For this study, only the T1 data on personality traits are
145 used. As personality traits are relatively stable over time [25], we suppose that this is not a
146 problem for the validity of our outcomes. If anything, using multiple measurement times
147 decreases the probability of common method bias [26]. At T2, the survey contained similar

148 questions on COVID-19-related attitudes and compliance with regulations. In addition, questions
149 on COVID-19 vaccination intention and vaccination attitudes were posed. Finally, several
150 personality traits were assessed. The surveys could be completed in English, Dutch or French.

151 Since we mainly use data collected during T2, the dataset for this study contains the 1,137
152 students who participated both at T1 and T2 and were studying in one of the three countries
153 mentioned (the Netherlands N=195; Belgium=745; Portugal N=294). On average, students were
154 22.92 years old, and 59.3% of the sample was female.



155 **Measures**

156 The operationalization of all variables is explained in this section. The means, standard
157 deviations of all variables and correlations of all variables with vaccination intention and the 5C
158 scale are presented in Supporting Information S1 Table.

159 **Vaccination intention (T2)**

160 Participants were asked the following question: ‘If a coronavirus vaccine that was approved
161 safe and effective was available to you free at cost, would you get vaccinated?’ Answers could be
162 given on a seven-point scale: ‘definitely not’ (1), ‘very probably not’ (2), ‘probably not’ (3),
163 ‘unsure – neutral’ (4), ‘probably yes’ (5), ‘very probably yes’ (6) and ‘definitely yes’ (7). A
164 higher score thus indicates a higher intention to get vaccinated against COVID-19. The
165 continuous scale is used instead of grouping students as being acceptant, hesitant, or resistant.
166 This approach offers a more accurate understanding of vaccination intention, as grouping all
167 students who indicate somewhere between ‘probably will not’ and ‘probably will’ under hesitant
168 conditions will lower the unique variation that can be exploited.

169 **5C scale (T2)**

170 The 5Cs were assessed using the previously validated 5C scale [18]. The scale consists of 15
171 items. Each of the Cs—Confidence, Constraints, Calculation, Complacency and Collective
172 responsibility—is captured by three items. Answers are given on a seven-point Likert scale,

173 ranging from ‘strongly disagree’ to ‘strongly agree’. The scale was adapted to specifically focus
174 on COVID-19 vaccinations. A French translation was available [27], while a Dutch translation
175 was performed by two native Dutch speakers individually, after which a consensus meeting took
176 place to discuss and decide on inconsistencies. All items are scored in a way such that a higher
177 score indicates a higher degree of the C assessed. The scores of one of the items of the Collective
178 Responsibility subscale was reversed to be in line with this scoring (‘*When everyone is*
179 *vaccinated, I don’t have to get vaccinated too*’). Internal consistency, as reflected by Cronbach’s
180 alpha, is acceptable in our sample: Confidence $\alpha = .87$, Complacency $\alpha = .70$, Constraints $\alpha = .69$,
181 Calculation $\alpha = .76$, Collective responsibility $\alpha = .71$.

182 **Perceived risk of the COVID-19 vaccine**

183 Bipolar questions were used to assess the perceived risk of the COVID-19 vaccine. Students
184 were asked the following: ‘To what extent do you think the following characteristics apply to
185 COVID-19 vaccines?’ Answers could be given on a seven-point scale using bipolar adjectives,
186 which is common practice when assessing attitude [28]. An average score was taken for the
187 following three characteristics: safety (‘very unsafe’ (1) to ‘very safe’ (7)), likeliness of side
188 effects (‘side effects are very likely’ (1) to ‘side effects are very unlikely’ (7)) and riskiness
189 (‘very risky’ (1) to ‘not risky at all’ (7)). The score on safety was reversed before analysis, such
190 that a higher score indicates a higher perceived risk of the vaccine. Internal consistency is very
191 good ($\alpha = .85$).

192 **Perceived effectiveness of the COVID-19 vaccine**

193 A similar question was used to assess the perceived effectiveness of the COVID-19 vaccine.
194 Students were asked the following: ‘To what extent do you think the following characteristics
195 apply to COVID-19 vaccines?’ Answers could be given on a seven-point scale, ranging from
196 ‘very ineffective’ (1) to ‘very effective’ (7).

197

198 **Normative beliefs about the COVID-19 vaccine (T2)**

199 The descriptive social norms in students' social environment regarding getting vaccinated
200 against COVID-19 was assessed using two questions, distinguishing between the norm among
201 family and that among friends. The following questions were used: *'In general, if a coronavirus*
202 *vaccine that was approved safe and effective was available to your friends for free, what would*
203 *most of your friends do?'* and *'In general, if a coronavirus vaccine that was approved safe and*
204 *effective was available to your family for free, what would most of your family do?'*. Answers
205 were given on a scale from 1 (definitely not get vaccinated) to 7 (definitely get vaccinated). An
206 average of the two answers was taken ($r=.62, p<.01$).



207 **Perceived benefits of the COVID-19 vaccine (T2)**

208 A question was asked on the perceived personal versus social benefits of COVID-19
209 vaccination using a bipolar seven-point scale. We asked students to complete a statement—
210 *'Getting vaccinated against the coronavirus will mainly benefit:'*, with answer options ranging
211 from 'myself' (1) to '(vulnerable) others around me' (7).

212 **Perceived risk of COVID-19 for oneself and for others (T2)**

213 Three questions were asked about the risk of COVID-19 for the students themselves. These
214 questions asked about the perceived likelihood of getting infected with COVID-19, getting
215 severely ill if infected and being hospitalized if infected. The same three questions were asked
216 about the risk of COVID-19 for the friends and family of the student. Average values of the three
217 items were taken to create a general COVID-19 risk score for oneself and for others. Internal
218 consistency is acceptable (COVID-19 risk: self $\alpha=.67$; others $\alpha=.71$).

219 **COVID-19 infection (T2)**

220 Students were asked whether they had been infected with the coronavirus before (1=yes,
221 either confirmed by a test or only expected; 0: no or have not been aware of it).

222

223 **General risk attitude (T2)**

224 General risk attitudes were assessed by using the risk propensity scale (RPS) [29], which
225 consists of seven items. All statements were rated in terms of agreement on a nine-point Likert
226 scale, ranging from ‘totally disagree’ (1) to ‘totally agree’ (9), except for the final item, which
227 was rated on a scale ranging from ‘risk avoider’ (1) to ‘risk seeker’ (9). Higher scores indicate a
228 higher risk-seeking tendency. Internal consistency was good, at $\alpha=.77$. A French translation was
229 previously presented based on a back translation approach [30]. The scale was translated to Dutch
230 by two native speakers who first translated the scale individually, after which a consensus
231 meeting took place to discuss and decide on inconsistencies.

232 **Delay discounting (T1)**

233 Delay discounting is a behavioral measure related to impulsivity and reflects the degree to
234 which people are able to delay rewards, i.e., a measure of impatience. Delay discounting was
235 assessed by the discount rate, with a higher rate reflecting a faster devaluation of delayed rewards
236 and thus greater impulsivity. To capture the discount rate in a fast and accurate manner, the 5-
237 trail Adjusting Delay Discounting Task was used, in which students had to make five consecutive
238 hypothetical choices between receiving €1,000 after a specific delay and receiving €500
239 directly [31]. The task starts with a delay of 3 weeks, which is increased or decreased based on
240 previous choices. The discount rate is calculated using the hyperbolic discounting model [32] and
241 is log-transformed before analysis, as is commonly done in previous research [31,33].

242 **Impulsivity (T1)**

243 The Barratt Impulsiveness Scale-Brief (BIS-Brief), which is a short unidimensional version
244 of the BIS-11, was used to assess the personality construct of impulsivity [34,35]. It consists of 8
245 items scored on a four-point scale, ranging from ‘rarely/never’ (1) to ‘almost always/always’ (4).
246 Half of the items were reverse scored. Validated French and Dutch translations were used
247 [36,37]. The reliability was good, at $\alpha=.75$.

248 **Optimism (T1)**

249 Using the Life-Orientation Test-Revised (LOT-R), dispositional optimism was measured
250 [38]. Both Dutch and French translations were already available [39,40]. The LOT-R consists of
251 10 items, of which four are filler items. Answers are given on a five-point scale, ranging from
252 ‘strongly disagree’ (1) to ‘strongly agree’ (5). Higher scores indicate a higher level of
253 dispositional optimism. Internal consistency was good, as reflected by Cronbach’s alpha ($\alpha=.81$).

254 **Self-efficacy (T1)**

255 General self-efficacy was measured using the General Self-Efficacy Scale (GSES), which
256 was designed to predict individuals’ coping with daily hassles and adaptation after stressful
257 events [41]. The scale consists of ten items scored on a four-point scale (1: not at all true; 4:
258 exactly true). French and Dutch translations were available [42,43]. Internal consistency was very
259 good, at $\alpha=.85$.

260 **Psychopathy (T1)**

261 To assess subclinical psychopathy, the psychopathy subscale of the Short-Dark Triad (SD-3)
262 was used [44]. The scale generally consists of 9 items. One item (*‘I enjoy having sex with people*
263 *I hardly know’*) was not included due to cultural controversy. Answers were given on a five-point
264 scale, ranging from ‘strongly disagree’ (1) to ‘strongly agree’ (5). Previously made Dutch and
265 French translations were used [45]. Internal consistency was relatively low but acceptable
266 ($\alpha=.64$).

267 **Altruism (T1)**

268 The altruism (versus antagonism) subscale of the 100-item version of the HEXACO
269 Personality Inventory-Revised was used, which consists of four questions scored on a five-point
270 scale (1: ‘strongly disagree’; 5: ‘strongly agree’) [46]. Two questions were reverse coded and
271 then transformed; higher scores indicate higher levels of altruism (i.e., being sympathetic and
272 kind). Dutch and French translations were available [47,48]. Internal consistency was low, at
273 $\alpha=.58$. Previous studies have found similar low alphas of the altruism subscale while also

274 showing high test-retest reliability and validity [46,49]. There has been a debate on the relevance
275 of alpha values in evaluating brief personality constructs in such cases [50,51].

276 **Need to belong (T2)**

277 The need to belong was assessed using the single-item Need to Belong scale (SIN-B)[52]. It
278 is shown that the SIN-B explains most of the reliable variance of the longer Need to Belong scale
279 [52]. The psychometric properties of the scale are good. Participants indicated to what extent they
280 agreed with the statement '*I have the strong need to belong*' on a five-point scale (1: strongly
281 disagree; 5: strongly agree). A French translation was taken from a French version of the full
282 Need to Belong scale [53], and a Dutch translation was made by two native speakers and decided
283 upon after a consensus meeting.

284 **Trust in government and health authorities (T2)**

285 Trust in government was measured using the following item: '*In general, how much trust do*
286 *you personally have in the [name country] government on a scale from 1 (no trust at all) to 10*
287 *(full trust)?'* Trust in health authorities was assessed using a similar question and scale: '*In*
288 *general, how much trust do you personally have in health authorities on a scale from 1 (no trust at*
289 *all) to 10 (full trust)?'* Since the two scores were highly correlated ($r=.68$), we used an average of
290 the two scores for analyses.

291 **International student (T1)**

292 We inferred that students who answered 'no' to the question '*Have you lived in [name*
293 *country] for more than 5 years?'* were international students, which was coded with a value of 1.

294 **Gender (T1)**

295 Gender was included as a dummy variable, with female (1) and male (0) as answer options.

296 **Methodology**

297 The analyses used are linked to the first three objectives of the study. For the first objective,
298 the percentage of students who indicated a certain degree of willingness to get vaccinated against


300 COVID-19 were calculated. For the second objective, one-sided ordinary least squares (OLS)
301 regression analyses were conducted with the 5C subscales as independent variables, vaccination
302 intention as a dependent variable, and country and gender as control variables. We controlled for
303 country differences by including country dummies, and Dutch students were used as a reference
304 group. The standardized coefficients of the regression analysis were used to assess the effect sizes
305 of all Cs to conclude which of these components is most important in explaining COVID-19
306 vaccination intention among students. Finally, for the third objective, mediation analyses were
307 conducted using the PROCESS macro in SPSS [54]. The models were estimated for all predictors
308 of a particular C at the same time; consequently, the direct and indirect effects were estimated
309 while controlling for the other predictors of the C. All resulting paths were therefore as if they
310 have been estimated simultaneously using simultaneous equation modeling [54]. Three regression
311 models were estimated. Model 1 includes the independent variables and controls, with
312 vaccination intention as the dependent variable. This model presents the total effect for the
313 independent variables (c , see Fig 2). Model 2 includes all independent variables and controls,
314 with the mediator as the dependent variable. This model includes path ‘ a ’ (Fig 2). Finally, Model
315 3 includes—next to the independent variables and controls—the mediator as a predictor, with
316 vaccination intention as the dependent variable. This model contains the direct effect (c' , Fig 2)
317 and path b (Fig 2). To estimate the indirect effect, bias-corrected bootstrapping was used to
318 generate a 95% confidence interval (CI). Bias-corrected bootstrapping is now considered the
319 standard for testing mediation [55,56]. A common seed was used so that at each run, the
320 bootstrap confidence intervals were based on the same set of 10,000 resamples from the data
321 [54]. As the unstandardized indirect effect cannot be interpreted as a measure of effect size [57],
322 we present standardized indirect effects for all continuous independent variables and partially
323 standardized indirect effects for all binary independent variables [54,57]. All data analyses were
324 conducted using IBM SPSS for Windows Version 25.0 [58].

325 **Fig 2. All paths involved in the mediation analyses, excluding covariates**

326

327 **Results**

328 **COVID-19 vaccination intention among students**

329 Vaccination intention was measured on an ordinal scale, ranging from definitely not to
330 definitely yes. We asked about intention under the condition that the COVID-19 vaccine was
331 approved as being safe and effective and could be received free of cost. Fig 3 shows the
332 percentage per vaccination intention category and cumulative percentages indicated with a
333 dashed orange line (from positive to negative propensity). While the majority of students
334 (85.49%) indicated that they intended to get vaccinated within a range between 'probably' and
335 'definitely', only 40.9% of the students were totally convinced to get vaccinated ('definitely
336 yes'). Only a very small group was totally resistant to COVID-19 vaccination (1.58%) and
337 indicated that they will 'definitely not' get vaccinated. Almost 1 out of 10 students (9.41%)
338 indicated a negative propensity toward COVID-19 vaccination, as they answered within a range
339 between 'probably not' and 'definitely not'. A total of 5.10% of students indicated being unsure
340 about getting the COVID-19 vaccination and had neither positive nor negative vaccination
341 intention. 

342

343 **Fig 3. Vaccination intention in percentages per category and cumulative percentages**

344

345 **5C model and COVID-19 vaccination intention**

346 Table 1 presents the results of an OLS regression analysis containing the 5Cs as independent
347 variables and vaccination intention as the dependent variable while controlling for gender and
348 country. The table shows that all Cs are significantly related to vaccination intention in the

349 expected direction based on the previous literature. Higher Confidence in the vaccine and higher
 350 feelings of Collective Responsibility both lead to higher intentions to get vaccinated against
 351 COVID-19, while higher Complacency, Calculation and Constraints lead to lower COVID-19
 352 vaccination intentions. Relative to the other Cs, the effect sizes of Confidence ($B=.32$, $\beta=.33$,
 353 $SE=.03$, $p<.001$) and Collective Responsibility ($B=.46$, $\beta=.35$, $SE=.04$, $p<.001$) are largest. We
 354 therefore infer that the levels of Confidence and Collective Responsibility play the most
 355 important role in explaining the intention to get vaccinated against COVID-19 among students.

356

357 **Table 1. OLS regression analysis with vaccination intention (1-7) as the dependent variable**

	B	95%-CI	β	SE	p
Intercept	2.25	[1.62, 2.88]		.32	<.001
Confidence	.32	[.27, .37]	.33	.03	<.001
Complacency	-.16	[-.23, -.09]	-.12	.04	<.001
Constraints	-.08	[-.15, -.003]	-.05	.04	.042
Calculation	-.06	[-.10, -.01]	-.06	.02	.009
Collective Responsibility	.46	[.39, .53]	.35	.04	<.001
Female	-.11	[-.23, .01]	-.04	.06	.078
Belgium	-.003	[-.17, .16]	-.001	.09	.968
Portugal	-.03	[-.21, .16]	-.01	.10	.788
R ²	0.54				
N	1127				

358 *Note:* B is the unstandardized beta, and β is the standardized beta. Dutch students serve as the reference group.

359

360 **The 5C model as a mediator in explaining vaccination intention**

361 For the third objective, mediation analyses were conducted [54]. Models were estimated for
 362 all predictors of a particular C at the same time. In this way, we could ascertain the direct and
 363 indirect effects of the variables of interest while accounting for the effects of the other predictors
 364 of the studied C. In Tables 2 to 6, the results of mediation analyses are presented, while each
 365 table presents the analyses of a particular C. Fig 4 shows an example of all relationships
 366 presented in the tables, using the example of the perceived safety of the vaccine as an
 367 independent variable and Confidence as a mediator. In Fig 4, we do not show the covariates.

368 As presented above, Confidence is an important positive driver of COVID-19 vaccination
369 intention among students. The results of the mediation analyses in Table 2 show that the
370 perceived risk of the COVID-19 vaccine is most strongly associated with vaccination intention
371 through Confidence ($ab=-.17$; 95% bias-corrected confidence interval (95% BC-CI) = [-.22, -
372 .13]), of which all corresponding relationships are visually presented in Fig 4. Additionally, the
373 perceived effectiveness of the vaccine ($ab=.09$; 95% BC-CI = [.07, .12]) and trust in the
374 government and health authorities ($ab=.11$; 95% BC-CI = [.08, .14]) are positively and
375 significantly related to vaccination intention through Confidence. Moreover, a higher descriptive
376 norm (normative beliefs) surrounding COVID-19 vaccination among students' family and friends
377 ($ab=.03$., 95% BC-CI = [.02, .05]) is also significantly related to higher COVID-19 vaccination
378 intention through Confidence, although the indirect effect is small. Finally, the descriptive norm
379 has a very strong direct effect on vaccination intention, even after controlling for Confidence
380 ($\beta=.38$, $p<.01$).

381

382 **Table 2. Mediation analyses with Confidence as the mediator and vaccination intention as the**
 383 **dependent variable (N=1124)**

Dependent variable	Model 1		Model 2		Model 3		Indirect effect
	Vaccination Intention		Confidence		Vaccination Intention		
Paths	c (total effect)		a		b and c' (direct effect)		a*b
Coefficient	β	p	β	p	β	p	Indirect effect [95% BC-CI]
Predictors							
Trust in government & health authorities	.11	<.001	.29	<.001	-.004	.88	.11 [.08 , .14]
Normative beliefs	.41	<.001	.08	<.001	.38	<.001	.03 [.02 , .05]
Perceived risk of vaccine	-.29	<.001	-.44	<.001	-.12	<.001	-.17 [-.22 , -.13]
Perceived effectiveness of vaccine	.07	.01	.23	<.001	-.02	.51	.09 [.07 , .12]
Optimism	-.04	.08	.03	.08	-.05	.02	.01 [-.001 , .02]
Control variables							
Female	.03	.26	-.04	.02	.04	.07	
Belgium Dummy	.08	.01	-.05	.01	.10	<.001	
Portugal Dummy	.01	.63	-.02	.28	.02	.43	
Mediator							
Confidence					.39	<.001	
R ²	.48		.76		.51		

384
 385 *Note:* The indirect effects that are bold printed do not contain zero in their 95% bias-corrected confidence intervals
 386 (95% BC-CI) and are interpreted as being statistically significant. β is a standardized coefficient. The indirect effect
 387 is completely standardized for continuous variables and partially standardized for binary variables.

388
 389 **Fig 4. Example of all paths involved in mediation analyses using the independent variable ‘perceived**
 390 **risk of vaccine’ and mediator ‘Confidence’ (Table 2), excluding covariates**

391
 392 Table 3 presents the analyses involving Calculation as a mediator. The perceived risk of the
 393 COVID-19 vaccine is significantly and negatively related to vaccination intention through
 394 Calculation (ab=-.04, 95% BC-CI = [-.06, -.02]). A higher perceived risk of the vaccine is related
 395 to more Calculation, which is subsequently related to a lower intention to get vaccinated against
 396 COVID-19. Moreover, a small indirect effect is present for the level of impulsivity, and more
 397 impulsive students show lower levels of Calculation, which is related to lower vaccination
 398 intention (ab=.01, 95% BC-CI = [.01, .02]). Other indirect effects, which were expected, are
 399 insignificant.

400 **Table 3. Mediation analyses with Calculation as the mediator and vaccination intention as the**
 401 **dependent variable (N=1129)**

	Model 1		Model 2		Model 3		Indirect effect
Dependent variable	Vaccination Intention		Calculation		Vaccination Intention		
Paths	c (total effect)		a		b and c' (direct effect)		a*b
Coefficient	β	p	β	p	β	p	Indirect effect [95% BC-CI]
Predictors							
Perceived risk of C-19: self	.06	.02	-.06	.08	.06	.04	.01 [-.001 , .01]
Perceived risk of C-19: others	.01	.76	.03	.37	.01	.68	-.003 [-.01 , .004]
Perceived risk of vaccine	-.57	<.001	.35	<.001	-.53	<.001	-.04 [-.06 , -.02]
Risk attitude	-.07	.01	-.02	.53	-.07	.01	.002 [-.01 , .01]
Optimism	-.03	.18	.04	.20	-.03	.23	-.004 [-.01 , .002]
Impulsivity	-.06	.03	-.11	<.001	-.07	.01	.01 [.01 , .02]
Psychopathy	.002	.94	.02	.50	.004	.87	-.002 [-.01 , .004]
Control variables							
Female	-.02	.38	.02	.47	-.02	.42	
Belgium Dummy	-.01	.77	.03	.51	-.01	.83	
Portugal Dummy	.001	.98	-.03	.41	-.003	.94	
Mediator							
Calculation					-.11	<.001	
R ²	.34		.14		.35		

402 *Note:* The indirect effects that are bold printed do not contain zero in their 95% bias-corrected confidence intervals
 403 (95% BC-CI) and are interpreted as being statistically significant. β is a standardized coefficient. The indirect effect
 404 is completely standardized for continuous variables and partially standardized for binary variables.

405
 406 Analyses with Complacency as a mediator are presented in Table 4. All expected indirect
 407 effects are significant. Stronger indirect effects are present for the descriptive norm surrounding
 408 COVID-19 vaccination among students' social circles ($ab=.12$, 95% BC-CI = [.09, .15]). A
 409 higher descriptive norm surrounding COVID-19 vaccination is related to lower Complacency and
 410 therefore to higher vaccination intention. Moreover, the perceived risk of COVID-19 for both
 411 students themselves ($ab=.05$, 95% BC-CI = [.03, .08]) and for their social environment ($ab=.05$,
 412 95% BC-CI = [.02, .07]) is associated with higher vaccination intention through lower
 413 Complacency. Having been infected with COVID-19 is related to higher Complacency and,
 414 therefore, lower vaccination intention (partially standardized $ab=-.05$, 95% BC-CI = [-.11, -.003]).
 415 Students' general risk attitude ($ab=-.05$, 95% BC-CI = [-.08, -.03]) and discount rate ($ab=-.03$,

416 95% BC-CI = [-.05, -.01]) are also indirectly negatively associated with COVID-19 vaccination
 417 intention through higher Complacency.

418

419 **Table 4. Mediation analyses with Complacency as the mediator and vaccination intention as the**
 420 **dependent variable (N=1128)**

	Model 1		Model 2		Model 3		Indirect effect
Dependent variable	Vaccination Intention		Complacency		Vaccination Intention		
Paths	c (total effect)		a		b and c' (direct effect)		a*b
Coefficient	β	<i>p</i>	β	<i>p</i>	β	<i>p</i>	Indirect effect [95% BC-CI]
Predictors							
Perceived risk of C-19: self	.03	.33	-.15	<.001	-.03	.33	.05 [.03 , .08]
Perceived risk of C-19: others	.04	.13	-.12	<.001	.0003	.99	.05 [.02 , .07]
Normative beliefs	.60	<.001	-.33	<.001	.49	<.001	.12 [.09 , .15]
C-19 Infection	-.03	.24	.06	.02	-.01	.76	-.05 [-.11 , -.003]
Risk attitude	-.07	.003	.15	<.001	-.02	.40	-.05 [-.08 , -.03]
Delay discounting	-.02	.47	.09	<.001	.01	.51	-.03 [-.05 , -.01]
Control variables							
Female	-.05	.08	-.04	.18	-.06	.01	
Belgium Dummy	.02	.60	-.11	.003	-.02	.49	
Portugal Dummy	-.01	.75	-.15	<.001	-.06	.05	
Mediator							
Complacency					-.35	<.001	
R ²	.38		.23		.48		

421 *Note:* The indirect effects that are bold printed do not contain zero in their 95% bias-corrected confidence intervals
 422 (95% BC-CI) and are interpreted as being statistically significant. β is a standardized coefficient. The indirect effect
 423 is completely standardized for continuous variables and partially standardized for binary variables.

424

425 Table 5 shows the mediation analyses with Constraints as a mediator. We only find a small
 426 significant indirect effect of self-efficacy ($ab=.03$, 95% BC-CI = [.003, .07]). Students with a
 427 higher level of self-reported self-efficacy perceive fewer constraints, which is related to higher
 428 vaccination intention. However, a significant direct effect of self-efficacy on vaccination
 429 intention remains after controlling for Constraints ($\beta=-.09$, $p<.01$). Optimism, impulsivity and
 430 being an international student do not indirectly relate to vaccination intention through Calculation
 431 as the confidence intervals corresponding to these variables contain zero.

432 **Table 5. Mediation analyses with Constraints as the mediator and vaccination intention as the**
 433 **dependent variable (n=1129)**

Dependent variable	Model 1		Model 2		Model 3		Indirect effect
	Vaccination Intention		Constraints		Vaccination Intention		
Paths	c (total effect)		a		b and c' (direct effect)		a*b
Coefficient	β	p	β	p	β	p	Indirect effect [95% BC-CI]
Predictors							
Optimism	.02	.62	-.05	.11	-.01	.78	.02 [-.003 , .05]
Impulsivity	-.11	<.001	.03	.42	-.10	<.001	-.01 [-.04 , .02]
Self-efficacy	-.06	.10	-.07	.03	-.09	.003	.03 [.003 , .07]
International Student	.01	.64	.06	.05	.04	.11	-.09 [-.18 , .01]
Control variables							
Female	-.10	<.001	.01	.63	-.10	<.001	
Belgium Dummy	-.08	.07	.05	.22	-.05	.16	
Portugal Dummy	.06	.14	-.09	.03	.02	.60	
Mediator							
Constraints					-.47	<.001	
R ²	.05		.03		.26		

434 *Note:* The indirect effects that are bold printed do not contain zero in their 95% bias-corrected confidence intervals
 435 (95% BC-CI) and are interpreted as being statistically significant. β is a standardized coefficient. The indirect effect
 436 is completely standardized for continuous variables and partially standardized for binary variables.

437

438 Analyses with Collective Responsibility as a mediator are presented in Table 6. We show
 439 that the risk of COVID-19 for family and friends, as perceived by students, is positively related to
 440 vaccination intention through Collective Responsibility ($ab=.08$, 95% BC-CI = [.04, .13]).
 441 Moreover, several personality traits are indirectly associated with vaccination intention through
 442 Collective Responsibility. Higher levels of psychopathy traits are negatively related to
 443 vaccination intention through lower levels of Collective Responsibility ($ab=-.08$, 95% BC-CI = -
 444 .13, -.04]). Conversely, higher levels of altruism ($ab=.06$, 95% BC-CI = [.01, .10]) and the need
 445 to belong ($ab=.07$, 95% BC-CI = [.03, .11]) positively indirectly relate to vaccination intention
 446 through Collective Responsibility.

447 **Table 6. Mediation analyses with Collective Responsibility as the mediator and vaccination intention**
 448 **as the dependent variable (n=1127)**

	Model 1		Model 2		Model 3		Indirect effect
Dependent variable	Vaccination Intention		Collective Responsibility		Vaccination Intention		
Paths	c (total effect)		a		b and c' (direct effect)		a*b
Coefficient	β	<i>p</i>	β	<i>p</i>	β	<i>p</i>	Indirect effect [95% BC-CI]
Predictors							
Perceived risk of C-19: others	.03	.27	.13	<.001	-.05	.04	.08 [.04 , .13]
Benefits vaccine: self vs others	-.04	.13	.05	.09	-.08	<.001	.03 [-.01 , .07]
Pyschopathy	-.10	<.001	-.13	<.001	-.02	.35	-.08 [-.13 , -.04]
Altruism	.01	.66	.09	.01	-.04	.09	.06 [.01 , .10]
Need to Belong	.14	<.001	.11	<.001	.06	.01	.07 [.03 , .11]
Control variables							
Female	-.14	<.001	-.08	.01	-.08	<.001	
Belgium Dummy	-.14	<.001	-.09	.04	-.09	.01	
Portugal Dummy	.03	.41	.06	.12	-.01	.82	
Mediator							
Collective Responsibility					.65	<.001	
R ²	.07		.08		.45		

449 *Note:* The indirect effects that are bold printed do not contain zero in their 95% bias-corrected confidence intervals
 450 (95% BC-CI) and are interpreted as being statistically significant. β is a standardized coefficient. The indirect effect
 451 is completely standardized for continuous variables and partially standardized for binary variables.

452

453 Discussion

454 According to the results, the majority of the 1,137 Dutch, Belgian and Portuguese students
 455 do not have a full and definite intention to get vaccinated against COVID-19. More than half of
 456 them (57.7%) fall on a continuum between leaning toward acceptance and leaning toward
 457 resistance. Although a large majority of our sample has a positive propensity toward getting
 458 vaccinated against COVID-19 (85% of students indicate intentions between ‘probably’ and
 459 ‘definitely’), the group of students who are completely acceptant of the vaccine (41%) is quite
 460 small. At the same time, only a very small group indicates to refuse a vaccination (1.6%). To
 461 achieve herd immunity through vaccination, it is crucial that more students shift their intention
 462 toward a more positive definite answer. Most gains can be achieved by targeting students who

463 already have a positive propensity toward vaccination but are not completely certain. As previous
464 studies mostly use yes/no scales to assess vaccination intention, it is not possible to directly
465 compare our results to those of previous studies. For example, using a yes/no format, 95% of
466 respondents indicate a willingness to be vaccinated against COVID-19 in a sample of students in
467 Italy [59].

468 **5C drivers of students' COVID-19 vaccination intention**

469 We show that all five components of the 5C model—Confidence, Calculation, Complacency,
470 Constraints and Collective Responsibility—are related to COVID-19 vaccination among
471 students. Confidence, i.e., the degree of trust in the vaccine and the system that delivers it, and
472 Collective Responsibility, i.e., the willingness to protect others by getting vaccinated, are the
473 strongest predictors of COVID-19 vaccination intention. This suggests that campaigns targeted at
474 increasing vaccination intention among young adults will likely be most successful when focused
475 on enhancing the levels of both Confidence and Collective Responsibility. Smaller negative links
476 are present between vaccination intention and Complacency, Constraints, and Calculation.

477 **Psychological profiles underlying COVID-19 vaccination intention**

478 We show that psychological profiles indeed play an important role in explaining vaccination
479 intention. As vaccination campaigns will most likely be most successful when targeted at
480 Confidence and Collective Responsibility, we discuss which psychological variables underlie
481 these drivers and should therefore be considered when designing interventions.

482 First, we show that the perceived risk and effectiveness of the vaccine both affect vaccination
483 intention through changes in Confidence levels. The level of Confidence will likely be lower for
484 students who perceive the vaccine as being riskier (e.g., less safe and with a higher risk of side
485 effects) and less effective. Moreover, trust in the government and health authorities plays an
486 important role in explaining vaccination intention through Confidence. Students with lower trust
487 in these institutions report lower levels of Confidence, which translates into lower vaccination

488 intention. Finally, the descriptive norm in students' environment—the degree to which family
489 and friends intend to get vaccinated—has a small effect on intention through Confidence.
490 However, we show that the descriptive norm has a strong direct relationship with vaccination
491 intention.

492 With respect to Collective Responsibility, it is evident that the perceived risk of COVID-19
493 for people in a student's social circle indirectly affects his/her vaccination intention through
494 Collective Responsibility. Students who perceive the risk of COVID-19 for their environment as
495 being low indicate a lower intention to get vaccinated against COVID-19, motivated by a lower
496 willingness to protect others. Moreover, we show that personality plays an important role in
497 explaining the perception of vaccination as a Collective Responsibility. Traits of psychopathy,
498 which are related to antisocial behavior caused by deficits in empathy, emotion, and self-control
499 [44], negatively relate to Collective Responsibility and, therefore, to a lower intention to get
500 vaccinated. Similarly, students with more altruistic personalities, e.g., those who feel more
501 sympathy toward others and want to help those in need, have a higher intention to get vaccinated
502 against COVID-19, caused by higher levels of Collective Responsibility. Additionally, the degree
503 to which students feel the 'need to belong' indirectly relates to higher vaccination intention
504 through Collective Responsibility. The need to belong relates both to the human needs of wanting
505 to affiliate with others and wanting to be accepted by others [60]. We expect that both a need to
506 be in contact with others at risk for COVID-19 without worrying and signaling prosocial
507 behavior to be accepted by others underlie the indirect positive relationship between the need to
508 belong and vaccination intention through Collective Responsibility.

509 **Implications for vaccination campaigns and interventions**

510 What implications can these results have for public health policy? First, the data suggest that
511 seeking to increase both Confidence and Collective Responsibility simultaneously will be

512 worthwhile since vaccination interventions that address multiple underlying drivers have been
513 shown to be more successful [61]. We provide several suggestions for both drivers separately.

514 In influencing Confidence, it is important to influence the perceived safety and effectiveness
515 of the COVID-19 vaccine. In our survey, the most prevalent reasons for not getting vaccinated
516 were related to worries about safety, side effects, development speed and the wish for the vaccine
517 to be proven effective and safe over a longer period. By challenging the misinformation
518 surrounding the vaccine and providing factual information on, for example, the reasons that the
519 vaccine was able to be developed so fast, Confidence in the vaccine can be increased. However, it
520 is important to think about how and who communicates this information because, for people with
521 a strong prior opinion, a correction of information could backfire and lead to even more divided
522 attitudes [62]. Since we showed that low Confidence is related to lower trust in the government
523 and health authorities, information about safety and efficacy should preferably be communicated
524 by people not within traditional positions of authority. A good strategy would be to use
525 ‘surprising validators’, i.e., people seen as credible to the target audience but who are not
526 expected to share this information [62]. To reach young adults, one could, for example, think of
527 campaigns including peers or celebrities.

528 We find Collective Responsibility to be the strongest predictor of COVID-19 vaccination
529 among students. It is logical that this is an important driver for this group since students are less
530 at risk of developing severe health consequences if infected by COVID-19. Willingness to protect
531 others is thus a strong motivator. We show that the perceived risk of COVID-19 for others in a
532 student’s social circle indirectly affects his or her vaccination intention through Collective
533 Responsibility. Students with at-risk family members will be more likely to get vaccinated to
534 protect those around them. Vaccination campaigns aimed at young adults may thus be more
535 successful by showing the risks for those in the close environment of students. Explaining the
536 concept of herd immunity through vaccination is an important approach, as was also

537 experimentally shown [63]. Students can and should be made aware that they are not just making
538 an individual decision but also a collective decision when deciding whether to get vaccinated. To
539 increase identification, campaigns should seek to explain why certain groups are unable to get
540 vaccinated (e.g., people with allergic reaction to vaccines, pregnant or breastfeeding women, and
541 those aged under 18 years). Nevertheless, our results also indicate that students with less
542 altruistic, emphatic, and social personalities will be less likely to feel Collective Responsibility.
543 Influencing these traits is likely to be very difficult, maybe even impossible. As these students
544 feel less empathy toward others, campaigns focused on stressing the prosocial consequences of
545 vaccination may not be sufficient to influence these groups as strongly and could even promote
546 the idea of free riding [64]. Therefore, it remains important to communicate the personal risks of
547 COVID-19 for young adults, for example, by communicating the possibilities of long-lasting
548 adverse consequences of COVID-19, also known as ‘long COVID’ [65].

549 In addition to positively affecting vaccination intention through Confidence and
550 Complacency, we show that the descriptive norm has a strong direct effect on vaccination
551 intention. Descriptive norms have been proven to be strong drivers of behavior, especially in
552 times of uncertainty [66]. Vaccination campaigns could be more successful if they make the norm
553 among students more salient by stressing that the majority of students intend to get vaccinated.

554 In most countries, young adults will be the last in line for vaccination. Although this makes
555 sense from a health perspective, governments should realize that by the time students must
556 actively decide whether to get vaccinated, the vaccination strategy may have already led to
557 decreased infection rates and, therefore, also to a lower perceived risk of COVID-19.

558 Importantly, when family members are already vaccinated, the level of Collective Responsibility
559 may decrease through a lower perceived risk of COVID-19 for others. It is therefore vital that
560 campaigns focused on young adults start early on since the necessity of vaccination is now most
561 salient, and therefore, positive intentions can be formulated. Studies show that once a strong

562 enough intention to get vaccinated is formed, this likely translates into action [67]. In terms of
563 policy, to enhance the transition from intention to behavior, the process of getting vaccinated
564 should be easy, fast and without unforeseen barriers [68].

565 **Limitations and future research**

566 The study has several limitations. *First*, we measure vaccination intention and not actual
567 vaccination behavior. As the intention-behavior gap shows us that not all intentions translate into
568 behavior [69], it would be interesting to research whether our results also hold with actual
569 vaccination behavior as the dependent variable. *Second*, we study a highly educated sample of
570 university students. Although this provides a fairer picture of the drivers of vaccination intention
571 among young adults than studies employing a sample of the general population, the results may
572 not be completely generalizable to all young adults. Although we expect the drivers of
573 vaccination intention to be generalizable across this group, it may be higher in our study, as
574 previous studies have shown higher education to be positively related to COVID-19 vaccination
575 intention [70]. *Third*, as discussed, vaccination intention is context- and time-dependent. Since
576 we use a snapshot of vaccination intention assessed in December 2020, attitudes and intention
577 toward vaccination may have shifted over time. *Finally*, for future research, an important next
578 step will be to design and test which interventions have the best outcomes in both experimental
579 and real-life settings.

580 Despite its limitations, our study provides governments and public health officials with much
581 needed levers of the important drivers of COVID-19 vaccination intention among students. Given
582 the suggested rate of COVID-19 vaccination acceptance in our sample, we hope that our findings
583 will contribute to the designing and improving of effective public health messaging to increase
584 the acceptance above the percentages needed to achieve herd immunity.

585

586

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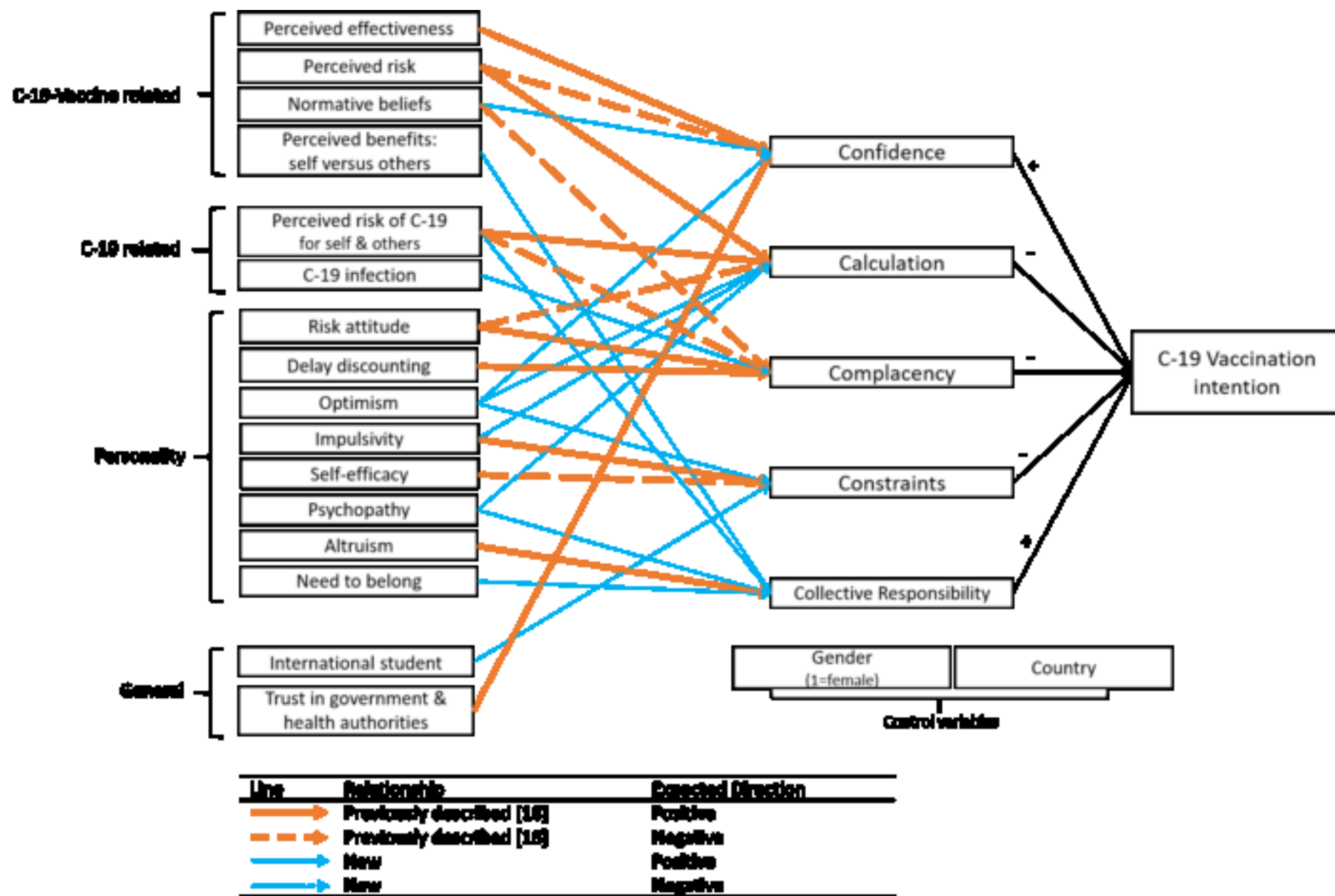
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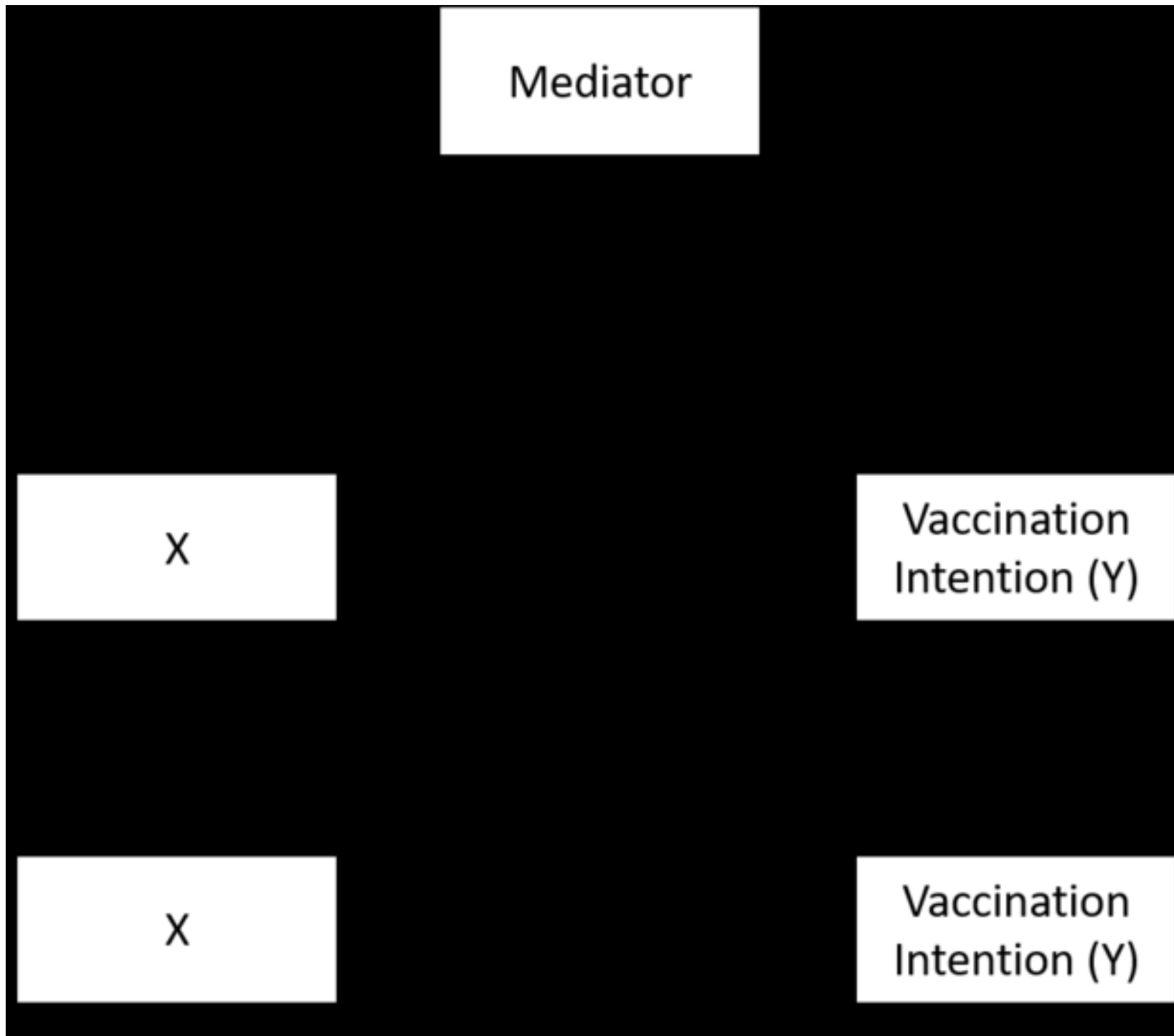
771 **Supporting information**

772 **S1 Table. Mean, Standard Deviations (SD) and Correlations of all Variables.**

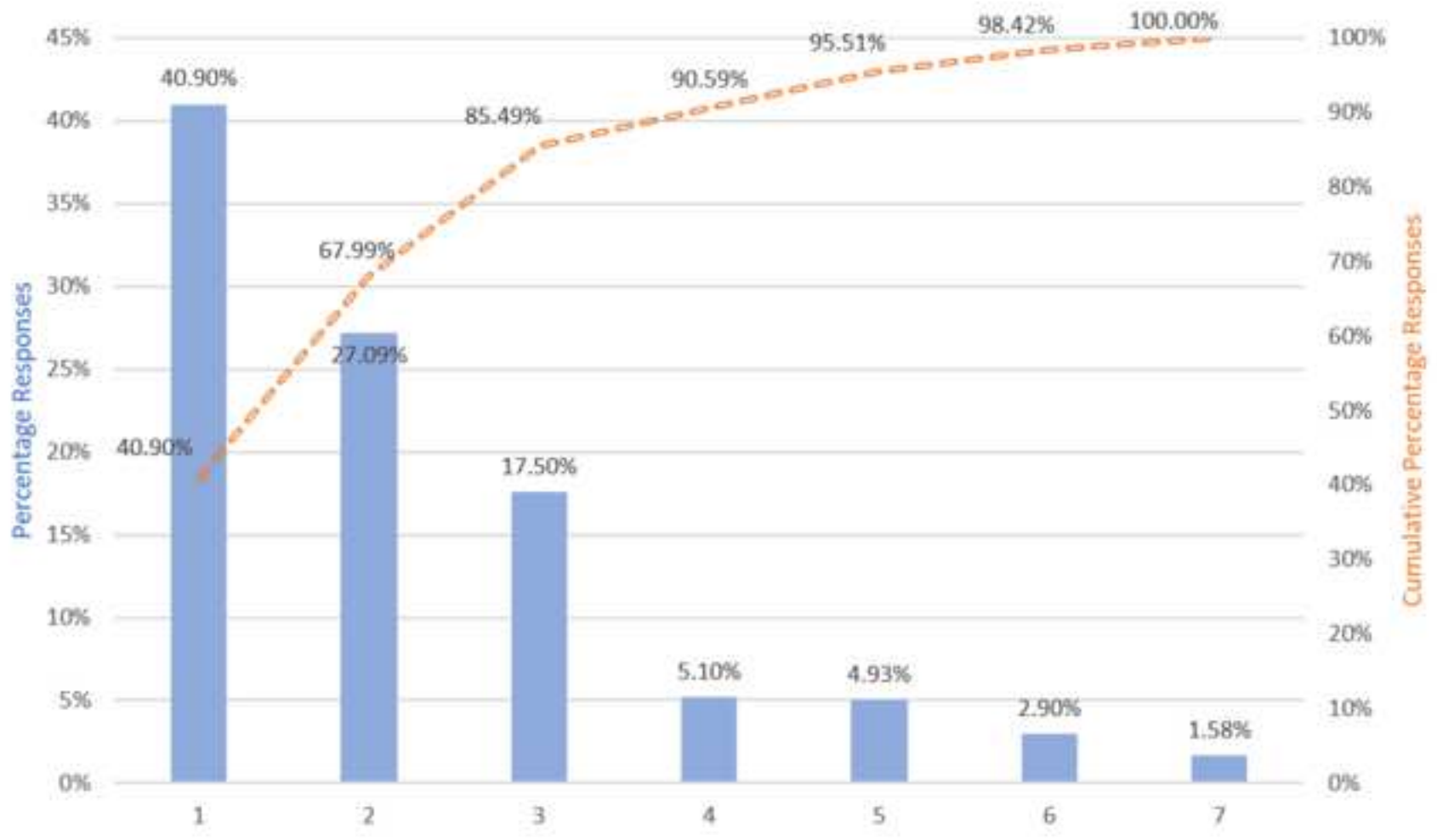
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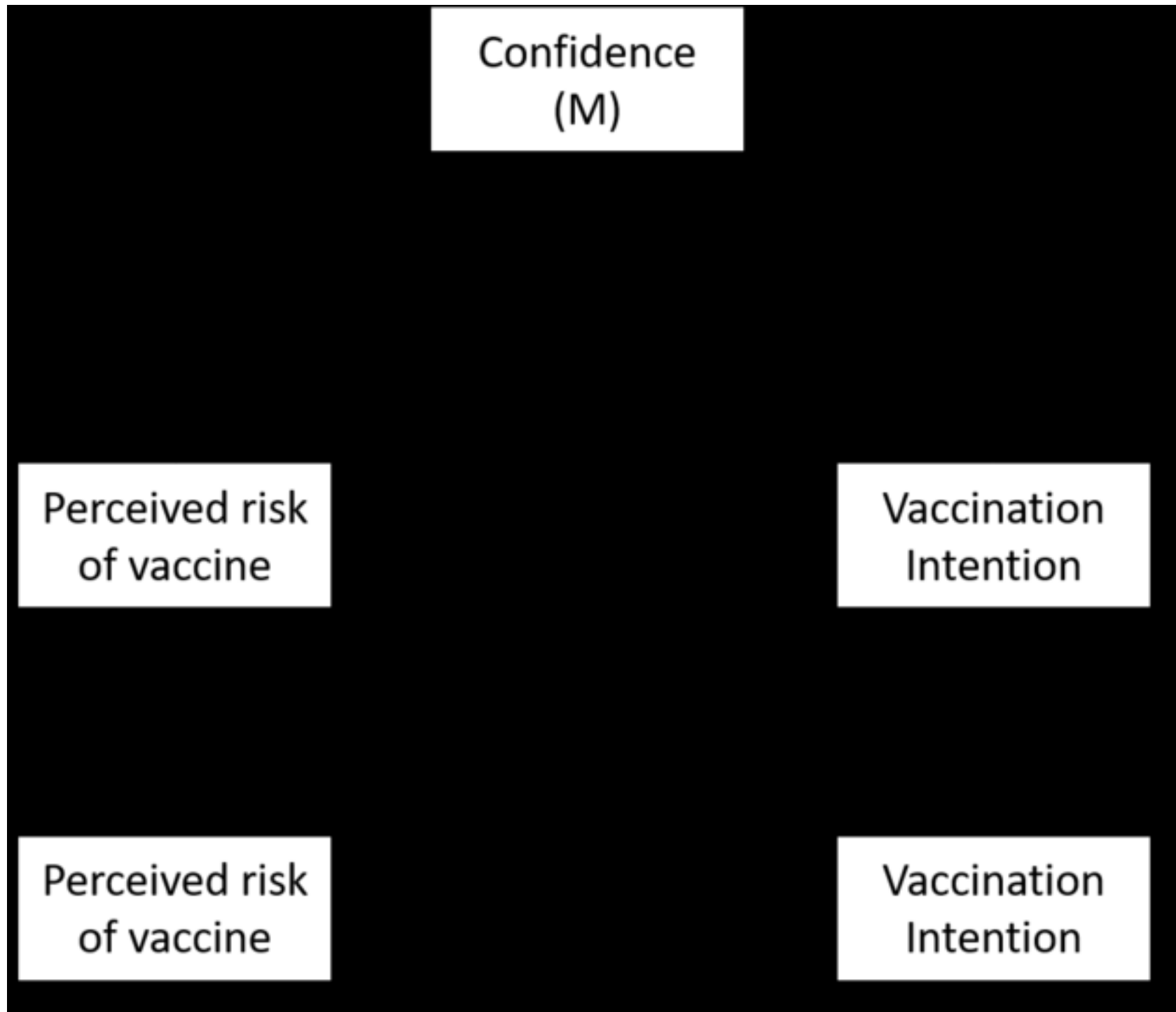
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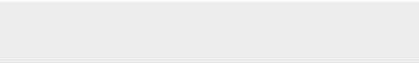
Vaccination Intention (%) (N=1137)







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