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Usability, occupational performance and satisfaction evaluation of a smart environment controlled by infrared oculography by people with severe motor disabilities

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Abstract:	<p>A smart environment is an assistive technology device that can enable people with motor disabilities to control its equipment (TV, radio, fan, etc.) through a human-machine interface activated by different inputs. However, assistive technology resources are not always considered useful, reaching quite high abandonment rates. This study aims to evaluate the effectiveness of a smart environment controlled through infrared oculography by people with severe motor disabilities. The study sample was composed of six volunteers. Initially, sociodemographic data forms, the Functional Independence Measure (FIMTM) and the Canadian Occupational Performance Measure (COPM) were applied. The volunteers used the system in their domestic environment for a week. Afterwards, they were reevaluated with regards to occupational performance (COPM), satisfaction with the use of the assistive technology resource (QUEST 2.0), psychosocial impact (PIADS) and usability of the system (SUS), as well as through semi-structured interviews for suggestions or complaints. Having “control of the TV” was the common demand, in which four of the six volunteers presented positive results in all assessment protocols, reaching greater independence in the control of the smart environment equipment. Non-acceptance of disability and lack of social support may have influenced the participants who showed negative results in using the system correctly.</p>
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3 **severe motor disabilities**

4
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31 Abstract

32 A smart environment is an assistive technology device that can enable people with
33 motor disabilities to control its equipment (TV, radio, fan, etc.) through a human-
34 machine interface activated by different inputs. However, assistive technology
35 resources are not always considered useful, reaching quite high abandonment rates.
36 This study aims to evaluate the effectiveness of a smart environment controlled
37 through infrared oculography by people with severe motor disabilities. The study
38 sample was composed of six volunteers. Initially, sociodemographic data forms, the
39 Functional Independence Measure (FIMTM) and the Canadian Occupational
40 Performance Measure (COPM) were applied. The volunteers used the system in their
41 domestic environment for a week. Afterwards, they were reevaluated with regards to
42 occupational performance (COPM), satisfaction with the use of the assistive
43 technology resource (QUEST 2.0), psychosocial impact (PIADS) and usability of the
44 system (SUS), as well as through semi-structured interviews for suggestions or
45 complaints. Having 'control of the TV' was the common demand, in which four of the
46 six volunteers presented positive results in all assessment protocols, reaching greater
47 independence in the control of the smart environment equipment. Non-acceptance of
48 disability and lack of social support may have influenced the participants who showed
49 negative results in using the system correctly.

50

51 **Keywords:** smart environment; smart home; people with disabilities; assistive
52 technology; infrared oculography; effectiveness

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56 Introduction

57 A Smart Environment (SE) is a space (room, house, office, etc.) in which the
 58 services (temperature, lighting, communication, entertainment, security, etc.) and/or
 59 equipment (heating, air conditioning, lamps, radio, television, alarm, etc.) are managed
 60 intelligently using technology (computer, tablet, smartphone, remote control, etc.),
 61 aiming to assist users or residents with their daily activities and providing them with
 62 better quality of life (QoL) [1,2].

63 Smart environments, or smart homes when referring to people's homes, can also be
 64 focused on monitoring residents (older people or people with disabilities). In these
 65 cases, the SE learns the normal patterns of habits and behaviors, thus recognizing
 66 abnormal situations such as falls, prolonged time in the same place or position, repeated
 67 walking between two rooms, and changes in routine [2–6].

68 However, more recently, many studies have focused on the development of smart
 69 homes that aim ~~at providing~~ ^{to e} greater independence for people with motor disabilities,
 70 combining their residual skills with the physical environment, since this group ~~presents~~ ^{experiences}
 71 several limitations ~~for accessible~~ ^{in the use of} environments and equipment control [1,7–13].

72 Despite the gradual increase in the number of these studies, only few of them have
 73 addressed the benefits of SEs for people with disabilities with regard to the exercise of
 74 autonomy, i.e., freedom of opinion, choice and decision [14], improving performance
 75 and usability.

76 The reviews by Martin et al. [15] and Brandt et al. [16] found no evidence about the
 77 effectiveness of smart homes for people with disabilities. Differences in sample size and
 78 interventions and instruments used hinder comparison between studies, but it was
 79 possible to notice a tendency to facilitate independence, instrumental Activities of Daily
 80 Living (ADL), socialization, and quality of life.

81 Marikyan, Papagiannidis and Alamanos [17] consider that there is increased
82 research addressing SEs; however, they criticize the fact that the studies are restricted to
83 three themes: they do not consider the multiple diversities of the smart home concept;
84 focus on the functioning of technological devices, architecture and infrastructure;
85 present possible benefits of technology, but are little dedicated to pointing out the
86 perspective of users.

87 In this sense, further studies addressing the effectiveness of SEs in the everyday life
88 of people with severe motor disabilities should be carried out. The home environment
89 interaction system used in this study was developed at the Assistive Technology Center
90 (NTA) of the Federal University of Espirito Santo (UFES), Brazil. It consists of a smart
91 global box (gBox) coupled to a computer software that enables the user to control
92 electronic equipment (TV, radio, and fan and lighting using eye-tracking technology
93 [18].

94 The main objective of this study was to evaluate the effectiveness of the developed
95 SE controlled through infrared oculography for specific use by people with severe
96 motor disabilities.

97 The study participants were assessed with regards to their occupational
98 performance and satisfaction with the use of the Assistive Technology (AT) device, the
99 psychosocial impact of using the environment control system, the usability of the
100 equipment, as well as to their suggestions and complaints about the system.

101 The work is organized as follows: in addition to this introduction, a literature
102 review on people with disabilities, AT, and SE is presented in second section, a
103 description of the materials and methods used can be found in third section, the results
104 and discussion are presented in fourth section, the fifth section contains the study
105 conclusions, and sixth section presents details of the submitted patent.

Type text here



106 Literature Review

107 People with disabilities

108 ~~Prevalence of people with disabilities has increased worldwide over the years.~~
109 ~~According to the World Report on Disability [19], there is a progressive concern with~~
110 ~~this theme due to the aging of populations and the consequent increased risk of~~
111 ~~disabilities and incidence of chronic diseases such as diabetes, cardiovascular~~
112 ~~conditions, and cancer.~~

113 In adults or older people, motor disabilities often result from diseases or injuries
114 that affect the Central Nervous System and/or Peripheral Nervous System, such as
115 Muscular Dystrophies, Multiple Sclerosis, Amyotrophic Lateral Sclerosis, Stroke,
116 Cranioencephalic Trauma, Spinal Trauma, among others.

117 The literature indicates that individuals with the aforementioned health conditions
118 present sensory, motor, language and behavioral impairments at different levels, which
119 lead to deficits in their occupational performance for carrying out ADL independently,
120 as well as deficits in the interaction with people and objects [20–29], and these
121 individuals can become quite dependent on family members and/or caregivers [30].

122 The aforementioned studies demonstrate how much these handicaps or disabilities
123 impair various aspects of these people's everyday lives, decreasing their QoL, changing
124 their occupational roles, or increasing their dependence to perform ADL. ~~Thus, it is~~
125 ~~necessary to address this problem since many diseases or traumas affect young and/or~~
126 ~~working age populations.~~ In this context, AT devices can be used in order to alleviate
127 disability in these populations.

128 Aiming at better understanding the consequences of these diseases and aging
129 processes, the World Health Organization (WHO) published, in 2001, the International
130 Classification of Functioning, Disability and Health (ICF) with the general objective of

131 establishing a common and standardized language between different countries, as well
132 as structuring and organizing information related to human functionality [31].

133 Thus, the ICF understands that a person's functionality and disability are the result
134 of the dynamic interaction between the following elements: Health Condition, Body
135 Functions and Structures, Activity, Participation, and Contextual Factors
136 (Environmental and Personal). The focus is no longer centered on the disease or
137 disorder, and people's disabilities are configured as their environments/contexts limit
138 their activities and restrict their social participation, not favoring their functionality [31–
139 33].

140

141 **Assistive Technology (AT)**


142 Assistive technology has been defined in different ways: the area of knowledge that
143 includes products, resources, methodologies, strategies and services [34]; items, products
144 and equipment acquired, adapted or modified [35] with the aim of improving the
145 functional performance, independence, and QoL of people with disabilities.


146 According to the ICF, AT devices and resources are allocated into the Environmental
147 Factors of this classification, and are very important for people with disabilities due to the
148 expansion of forms of communication, mobility, environment control, integration with
149 networks, and social and learning skills [31,36].

150 Assistive technology resources can be classified or categorized according to 1) their
151 purpose – adaptations for ADL, Alternative and Augmentative Communication (AAC),
152 accessibility for computer use, etc. [36]; 2) their complexity – low-tech (easier to acquire,
153 control or build, not requiring electricity) or high-tech (more difficult to acquire, more
154 expensive and complex, including electronic components, or being electronically
155 powered); 3) ranging from hard technology (palpable, whose components can be

156 purchased and/or assembled, such as computer hardware, AAC, or mobility devices) to
157 soft technology (less palpable, with support for the use of devices, such as knowledge,
158 strategies, training, services and software) [37].

159 Therefore, knowledge of several professionals from different areas may be involved
160 in the prescription, development, manufacturing and application of AT, such as engineers,
161 designers, physiotherapists, speech therapists, physicians, occupational therapists,
162 prosthetists, among others [36–38].

163 The literature indicates that AT resources provide countless benefits, of both low and
164 high technology, to people with motor disabilities and/or older people, especially in the
165 area of education, work, and life in the community [39]; to the functional performance of
166 ADL, psychosocial aspects, and reduction of expenses with caregivers and hospital
167 admissions [40–42]; to the communication and psychosocial adjustments of people with
168 Incarceration Syndrome [43,44] or degenerative diseases [45]; to independence, social
169 participation and reduced caregiver burden [46,47]; to improving the function of upper
170 limbs [21]. 

171 In this context,  can be indicated for people with severe motor disabilities, as they
172 enable even individuals with little mobility to control the lighting and electronic
173 equipment of their environment (TV, radio, fan) through a Human-Machine Interface
174 (HMI) configured to be triggered by different inputs such as voice; surface
175 electromyography: touch, head movements, inspiration and expiration (sip-n-puff), eye
176 blink; electroencephalography: brain waves; infrared oculography: eye tracking.

177 However, it is known that although AT plays an important role in the recovery or
178 improvement of the functionality of people with disabilities, clinical practice and the
179 literature show high rates of abandonment or non-use of AT devices by users [48–51]. In
180 the same sense, the literature also points to a lack of studies that address the effectiveness

181 provided by AT in this population [52,53], as well as the importance of good assessment
182 using valid, reliable and viable instruments, and covering various aspects of AT [54].

183 Several studies have been conducted with the aim of understanding the abandonment
184 or non-use of AT devices. Many reasons have been described: people do not like or need
185 the device anymore, stigma and shame for the need of AT, highlight of the need for help,
186 cognitive problems, cultural or traditional reasons, unfamiliarity of its advantages,
187 because the device is chosen only by the therapist or the family (not by themselves), low
188 esthetic quality, difficulty in maintaining and programming it, and difficulty in
189 performing the desired activities [42,47,48,55].

190 With the aim of decreasing AT abandonment and dissatisfaction rates and increasing
191 the sense of independence, the literature highlights the importance of patient/user
192 participation in the development of the AT resource or device [46,56–58], or in the
193 process of defining and choosing the device best suited to their needs. It is also important
194 that a trained and updated team carry out the evaluation and monitoring of AT use
195 [46,48,49,59].

196 Regarding SEs, it is necessary to assess the individuals' real demands in controlling
197 the environment, the inputs that can be used, the expectations and factors involved in the
198 process of acceptance and use of this resource, as well as understanding the benefits that
199 this technology can bring to their occupational performance and use satisfaction.

200 Zhao, Zhang and Crabtree [60] highlight that HMI design plays an important role in
201 the development and improvement of SEs, as well as advances in the technology of
202 sensors, monitors and controllers, which provide the necessary tools for their more
203 efficient and effective use.

204 Thus, for the indication and implementation of AT devices, a possible start would be
205 from the study of several conceptual models, from which the Human Activity Assistive

206 Technology (HAAT) model [37,61] was used in this study. The HAAT model proposes to
207 understand the role of AT in the lives of people with disabilities, and is based on four
208 elements: the Human, the Activity, the Assistive Technology, and the Context in which
209 the other three elements are inserted. Thus, the HAAT model describes “someone
210 (human) doing something (activity) in a context using assistive technology” [37] (p.7).

211 According to Cook and Polgar [37], the Activity is the central element of the model,
212 as it defines the objective of using the AT resource, directed to the person's needs. It also
213 includes temporal aspects (duration and frequency of participation), involvement of other
214 people, and place where it occurs. The Human component includes motor, sensory,
215 cognitive and affective skills, as well as the roles that the person plays in life (a
216 combination of the many activities that characterize their identity), their experience with
217 technology, and their motivation to resume the performance of activities and for the use of
218 technology [37]. The Context considers the physical (natural and built environments),
219 social (people, society, attitudes), cultural (beliefs, rituals, values, being part of a group)
220 and institutional (laws, regulations, policies, funding that enable social inclusion) aspects
221 [37]. Finally, the Assistive Technology factor is the facilitator, so that the person can
222 perform a certain activity in the context in which they are inserted [37].

223 Thus, during the process of preparing and/or indicating an AT resource or device, it is
224 important to understand the activity that the person wants and needs to perform, the
225 capacities they have, and the influence of different aspects of the context that will
226 influence their acquisition and use.

227 In this study, the person with motor disabilities is considered as the Human
228 component; the Activity is the use and control of electronic equipment; the AT
229 component is the SE, controlled by eye tracking; the Context refers to higher autonomy
230 and independence within the domestic environment.

231 **Smart Environment (SE)**

232 According to Rampinelli et al. [62], an SE consists of a network of sensors used to
233 obtain information from the observed world, together with a network of actuators
234 (computer screens, wheelchairs, equipment, devices, etc.) that enable users to interact. For
235 those authors, the sensor network and the intelligence that regulates it are invisible to the
236 user, making decisions and cooperating between different autonomous agents in order to
237 carry out an action or task.

238 Lee and Hashimoto [63] present SE as a space where distributed sensors, connected
239 to a network, observe people and provide them with information, in addition to
240 controlling all systems through actuators. This space includes robots, which are assisted
241 by the SE, working as physical agents and performing services for people.

242 According to Aarts and Encarnação [64], in the term Ambient Intelligence,
243 Ambience refers to the environment and reflects the need for a strong integration of
244 technology with everyday objects, whereas Intelligence refers to how the environment
245 should be able to recognize the people who live in it, adapt to them, learn from their
246 behavior, and possibly act on their behalf.

247 Therefore, the objective of an SE is to improve “the quality of people’s lives by
248 creating the desired atmosphere and providing appropriate functionality by means of
249 intelligent, personalized and interconnected systems and services” [64] (p.2).

250 Aldrich [65] proposes five hierarchical classes of smart homes, complemented by
251 Gentry [13], based on the functionality available to the user: Class 1 is made up of homes
252 that contain intelligent stand-alone objects: simple and autonomous appliances and
253 objects that work intelligently by remote control, touch, sip-n-puff, voice control and eye
254 gaze command, and contain light sensors, smoke and water leak detectors, alarm clocks
255 and medication alarms. Class 2 comprises homes that contain intelligent and

256 communicating objects that use wired and wireless networks to exchange information
257 with each other, such as devices controlled by computers; they usually connect Class 1
258 devices to computers, making it possible to schedule sequential activities. Class 3
259 includes connected homes that have internal and external networks, enabling remote
260 control of equipment, via internet, cameras or telephone; they connect class 2 systems to
261 external computers. Class 4 is composed of learning homes in which computers register
262 the patterns of human activities and administer the devices according to the residents'
263 needs. Class 5 includes attentive homes, which are also based on learning the patterns of
264 human activities to control technology in anticipation of the residents' needs.

265 According to Gentry [13], classes 1 and 2 are composed of passive systems that
266 cannot monitor the performance of residents, unlike those in classes 3, 4 and 5.

267 Lee and Hashimoto [63] claim that in an SE, the architecture of software and
268 hardware must present six properties: 1) be modular (components can be added or
269 removed from the environment); 2) be scalable (enable integration of local spaces with
270 larger systems); 3) present integration (be simple and integrated with intelligent
271 components or services existing in the space); 4) be achievable (technologies and
272 elements that can be easily obtained); 5) be inexpensive (due to the number of
273 components required and use of standard hardware); 6) be of easy setup and maintenance
274 (automatic calibration and easy adaptation to the environment).

275 Zhao, Zhang and Crabtree [60] discuss approaches that they consider to be
276 innovative and that provide general guidance to assist designers and developers with
277 improving user experience and satisfaction with the SE, such as having more efficient
278 general control panels (familiar to users), effective user interfaces (known by users and
279 with individualized settings) and variable accessibility (setting limits for children), as well
280 as securing privacy. In contrast, Gentry [13] reports that smart home technology was

281 initially developed to provide comfort for people and that, over time, has been used to
282 assist people with mobility, sensory or cognitive problems. According to that author,
283 smart homes for people with disabilities refer to the use of electronic AT resources aiming
284 at increasing their independence and reducing their need for help from caregivers or
285 family members.

286 For the control of electronic equipment in a smart home by people with motor
287 disabilities, different ways of capturing their inputs can be used, and Infrared
288 Oculography (IROG) is a technique that has been significantly studied in computer
289 science [10,13,18,66,67].

290 In IROG, a device performs eye movement tracking, calculating the point on the
291 computer screen the user is looking at (a technique known as eye tracking). Eye tracking
292 devices have a video camera equipped with high resolution infrared (IR) light-emitting
293 diodes (LED) that reflect and increase the contrast between the pupil and the iris, allowing
294 precise pupil location and facilitating the tracking of eye movement. This movement then
295 functions as an HMI modality, enabling users to control several applications through eye
296 tracking [68–70].

297 This technique has proved to be one of the most indicated and useful for people with
298 severe motor disabilities who are unable to hold or manipulate a computer keyboard or
299 mouse, making them able to use this HMI in an easier, comfortable and intuitive way
300 [71], without the need to place electrodes or equipment on their bodies. Another factor
301 that contributes to the use of IROG is that eye movement is one of the few abilities
302 maintained in people with severe motor disabilities [72].

303

304

305

306 **Materials and Methods**

307 This study was approved by the Human Research Ethics Committee of Federal
308 University of Espirito Santo (UFES), Brazil, under protocol no. 976.828, CAAE
309 39410614.6.0000.5060. All participants who agreed to participate in the research signed
310 a Free and Informed Consent Form (FICF) and received a copy of it.

311

312 **Materials**

313 The following materials were used in this study:

314 Notebook computer: HP 14-AP020, with Intel[®] Core™ i3-5005U processor,
315 Windows[®] 10 Home Edition operating system, 4GB RAM and 500GB HD memory,
316 integrated graphics with Intel[®] HD graphics technology, and 14" LED screen. Tobii Eye
317 Tracker 4C [73]: the advantages of the Tobii 4C for this study are as follows: a)
318 possibility of making movements with the head without changing the equipment
319 calibration, b) possibility to choose whether the control will be carried out with only one
320 or both eyes, c) booting with the computer, and d) register of the user with no need for
321 frequent calibrations. For better use, the Gaze Point software [74], which allows
322 controlling the mouse cursor, was also installed in the notebook computer. Global Box
323 (gBox): an SE controller module [18]. Through the computer, the user sends commands
324 to the gBox (Fig 1) via Wi-Fi to activate the home devices, which are attached to the box
325 sockets. SE control interface (CI) (Fig 2) [18]: configured in a Web application with a
326 management center in which it is possible to view the last commands emitted by the
327 user, configure the signals of the TV remote control(s), record the actions performed by
328 the user, download the data history, and change access passwords. The CI covers the
329 whole notebook computer screen, allowing greater concentration during use. When
330 clicking on START in the initial interface (a), a CI containing the main menu (b)

331 appears on the screen, and the user can go to the devices they wish to turn on (START),
332 configure the system (CONFIG), or return to the initial screen (CLOSE). In the
333 configuration menu (c), the user can choose the size of the icons (small, medium, or
334 large) and the reaction time of the cursor (0.5s, 1.0s, 2.0s, or 3.0s). In the home
335 equipment control menu (d), the devices registered for control (fan, radio, TV, and
336 lighting) are displayed. To activate the device, the mouse cursor must be positioned on
337 its icon for the time defined in the settings. When activated, the background of the icon
338 turns yellow (e), except for the TV icon, which when activated directs the user to a
339 submenu (f) to turn it on/off or control its channels or volume. In this submenu, when
340 any icon is clicked on, it will momentarily show a yellow background, indicating the
341 click. Router: D-Link Wi-Fi Router + 150Mbps repeater mode: it has the function of
342 sending equipment control commands from the notebook computer to the gBox.
343 Portable table: used to position the equipment (Fig 3), facilitating its transport and use
344 by the user, occupying the smallest space possible in the residence.

345

346 **Fig 1. gBox: electronic module to control home devices in the SE.**

347

348 **Fig 2. User control interface. Reproduced with permission from [18].**

349

350 **Fig 3. Portable table, eye tracker, and notebook computer are installed.**

351

352 **Data collection instruments**

353 The following instruments were used to collect information from the volunteers
354 during HMI use:

355 Sociodemographic data forms applied at the beginning of the evaluation to collect
356 the participant's personal data (name, age, gender, occupation, primary caregiver,
357 education level, contacts, etc.), as well as information on the diagnosis and history of
358 the disease or injury, body structures affected, main complaints, treatments undergone,
359 and experience with the use of technology.

360 Functional Independence Measure (FIMTM) [75,76]: it assesses the degree of
361 assistance needed so that users can perform motor and cognitive activities. Eighteen
362 tasks are evaluated, divided into self-care, sphincter control, transfers, locomotion,
363 communication, and social cognition. The score ranges from 1 (total assistance) to 7
364 (complete independence). In this study, this measure was applied to characterize the
365 difficulties of each volunteer in the performance of ADL, and was scored based on the
366 volunteers or caregivers' reports.

367 Canadian Occupational Performance Measure (COPM) [77]: created for the
368 practice of Client-centered Occupational Therapy, it evaluates the intervention results,
369 scoring from 1 to 10 the changes in the client's perception of their performance in
370 carrying out activities and satisfaction with performance. Changes are considered
371 significant when two or more points are reached between the initial and final
372 assessments. It was directed to obtain information on demands for the use of home
373 devices in SEs. In this study, it was applied at evaluation and reevaluation.

374 Psychosocial Impact of Assistive Devices Scale (PIADS) [78]: it assesses the
375 effects of an AT device on the functional independence, well-being and QoL of users. It
376 is self-administered, punctuating the 26 sentences in the questionnaire using a Likert
377 scale that varies between -3 (maximum negative impact) and +3 (maximum positive
378 impact). This instrument is divided into three subscales: Competence (impact of the
379 device on functional independence, performance and productivity), Adaptability

380 (feeling of willingness to risk, how much the device enables participation in activities),
381 and Self-esteem (effect of the device on self-confidence, self-esteem, and emotional
382 well-being), which according to the developers are fundamental for QoL. This scale was
383 applied at reevaluation.

384 Quebec User Evaluation of Satisfaction with Assistive Technology (QUEST 2.0)
385 [79,80]: it assesses satisfaction with the AT resource (eight items) and with the services
386 received by the user (four items) from a scale ranging from 1 (not fully satisfied) to 5
387 (very satisfied). In its second part, the interviewees must indicate three out of the 12
388 items that they consider most important. This instrument aimed to assess the satisfaction
389 with the environment control system and the services offered by the team with regard
390 mainly to guidelines, equipment installation, and training for use. It was applied at
391 reevaluation.

392 System Usability Scale (SUS) [81]: it is used to evaluate the usability of the
393 environment control system. It is self-administered, with ten sentences covering a
394 variety of aspects of the system usability, such as need for support, training and
395 complexity, with a high level of apparent validity for measuring usability. It is scored
396 using a 5-point Likert scale ranging from 1 (strongly disagree) to 5 (strongly agree).
397 This tool was applied at reevaluation.

398 Semi-structured interviews applied at reevaluation with the aim of obtaining
399 information about the process of using the system that could not be obtained from the
400 other instruments. Issues such as time of use, positive and negative points of the system,
401 complaints, and suggestions for improvement were addressed. For better registration
402 and analysis, the interviews were audio recorded and later transcribed.

403

404

405 **Participants**

406 Inclusion criteria were as follows: people aged ≥ 18 years with motor disabilities that
407 compromised the normal interaction with equipment in the home environment, such as
408 people with quadriplegia, Amyotrophic Lateral Sclerosis (ALS), Multiple Sclerosis (MS),
409 among other health conditions indicated by rehabilitation institutions or professionals.
410 Volunteers should also have a caregiver of age and literate. Individuals with cognitive
411 deficits that compromised understanding of the equipment functioning and use, as well as
412 of the assessment instruments, and with visual deficits not corrected by lenses (glasses or
413 contact lenses) were excluded from the study. Information on the cognitive deficit of each
414 volunteer was obtained from their assisting professionals.

415

416 **Procedures**

417 A visit to each of the volunteer's homes was scheduled for the initial assessment and
418 installation of the system. After acceptance, each volunteer or caregiver signed a FICF,
419 responded to the sociodemographic data form, and underwent application of the FIMTM
420 and COPM measures, which address activities that require the use of equipment at home.

421 The system was installed in the residence room most used during the day as indicated
422 by the volunteer or caregiver. Figure 4 shows the system installed in the home of one of
423 the volunteers.

424

425 **Fig 4. System installed in the home of one of the study participants.**

426

427 Both the volunteer and the caregiver were trained to use the system. The caregiver's
428 role was to turn on the notebook computer, position the table where the computer and the
429 eye tracker were installed, and calibrate the eye tracker whenever necessary. A copy of

430 the user manual containing detailed explanations on the eye tracker calibration and
431 equipment control was also provided to the participants.

432 The system remained installed at each participant's home for one week, as in the
433 study conducted by Calvo et al. [82], who evaluated the impact of using an eye tracking
434 device on the QoL of people with ALS for seven days and found improvement in the
435 perceived QoL of users. When necessary, extra visits to the participants' homes were
436 made in order to make adjustments or assist with use.

437 At the end of that period, reassessments were carried out using the COPM, the other
438 instruments (QUEST 2.0, PIADS, and SUS), and the semi-structured interview.

439 It is worth mentioning that a pilot study was previously carried out with a participant
440 with motor disabilities aiming to verify the system functionality in a common home
441 environment, and whether the defined methodology was adequate to the study objectives
442 with regard to application of the evaluation protocols and reassessments, verbal and
443 written guidelines, and training. For this volunteer, specifically, the system was used for
444 three weeks and presented no problems.

445 The pre- and post-test statistical analyses of the COPM instrument were performed
446 using the Paired Sample *t*-Test considering a statistically significant difference of 5%
447 ($p < 0.05$). This statistical test was chosen because of its robustness, considering the low
448 sampling power obtained with small samples. Results of the other instruments are
449 presented descriptively.

450

451 **Results and Discussion**

452 Six people with disabilities participated in this study. Of these, two individuals did
453 not use the system during the period that the equipment remained in their homes, and
454 their cases will be discussed later.

455 Despite its small sample size, this research aimed to analyze few volunteers for a
 456 prolonged time of use of the system in their homes, and not occasionally in the
 457 laboratory, because its main objectives were to assess occupational performance,
 458 usability, and satisfaction with the developed AT device.

459 Table 1 shows the main information about the volunteers who used the system.

460

461 **Table 1. Data of participants who used the system**

Volunteer	Age	Gender	Education level	Caregiver	Health condition	Time elapsed since diagnosis
PP1	63	F ^a	Complete higher education	Husband	Amyotrophic Lateral Sclerosis + Psoriatic Arthritis	5 months
PP3	30	F	Incomplete higher education	Mother + formal caregiver	Autoimmune vasculitis	8 years
PP5	46	M ^b	Complete high school	Fiancée	Spinal cord injury (incomplete C7 level)	29 years
PP6	58	M	Complete higher education	Former wife + children	Amyotrophic Lateral Sclerosis	1 year and 9 months

462 ^aF – Female; ^bM – Male.

463

464 The mean age of participants was 49 years. Volunteers PP1, PP5, and PP6 presented
 465 basic knowledge of technologies, more focused on the use of cell phones. Volunteer PP3
 466 had intermediate knowledge in using computers and cell phones before presenting the
 467 disease signs and symptoms.

468 Results on functionality collected through the FIMTM are presented in Table 2.

469

470 **Table 2. FIM™ results of participants who used the system**

Volunteer	Motor FIM™	Cognitive FIM™	Total FIM™
PP1	47/91	35/35	82/126
PP3	25/91	23/35	48/126
PP5	44/91	35/35	79/126
PP6	67/91	35/35	102/126

471

472 As previously described, the FIM™ score considers the need for assistance in each
 473 activity, ranging from 1 (total assistance) to 7 (complete independence). For a better
 474 understanding of the volunteers' motor condition, the following brief descriptions of each
 475 of them are presented. As for the cognitive part of the FIM™, only volunteer PP3
 476 presented a lower score as a result of difficulty in communication.

- 477 • PP1: 63 year-old female.

478 Diagnosed in June 2018 with ALS and Psoriatic Arthritis with onset of symptoms
 479 in 2016. She cannot walk long distances and can only take a few steps at home.
 480 Currently, she spends most of her time in a wheelchair or in bed. She presents a
 481 deficit in strength and dexterity in the upper limbs. She mentions that taking a
 482 shower and eating alone takes her longer than usual. She frequently uses her
 483 smartphone to communicate with friends and family, and the TV remote control
 484 with some difficulty, pressing the wrong buttons and taking longer. Her husband is
 485 her main caregiver, assisting her with the most difficult activities (getting dressed
 486 and transfers).


- 487 • PP3: 30 year-old female.

488 In 2010, she started showing signs of strabismus, lethargy, and loss of balance. She
 489 was immediately assisted for accelerated loss of motor skills. After investigating
 490 several illnesses unsuccessfully, she was diagnosed with autoimmune vasculitis.
 491 Currently, she is bedridden, cannot actively move her trunk and limbs, and is being
 492 fed with the use of a gastrostomy tube. She has strabismus and can control only the

493 movements of the left eye. She communicates only by blinking her eyes ('yes' or
494 'no' responses) or through slight head movements. She is totally motor dependent.
495 The family complains mainly about her communication difficulties. When she is
496 not undergoing rehabilitation treatments, she spends all day watching TV, which is
497 controlled by a formal caregiver or her mother.

498 • PP5: 46 year-old male.

499 Diagnosed with spinal cord injury (C7 level incomplete) since the age of 16 after
500 diving into the sea and hitting his spine onto a sandbar. He underwent treatment for
501 3 years and 6 months at our rehabilitation center. In 2017, he acquired pneumonia
502 and, as a consequence of constant coughing, the injured vertebrae suffered
503 consecutive impacts, resulting in spinal cord compression. In May 2018, after being
504 diagnosed with compressive myelopathy and severe spinal canal stenosis, he
505 underwent a surgical procedure, receiving pins in the cervical vertebrae. As he
506 suffered the accident when he was an adolescent, he has learned to adapt to several
507 activities. However, he only walks short distances with the aid of forearm crutches
508 or the support of another person. He presents no dexterity in his hands and cannot
509 make fine grips; gross grasps are made by fitting objects into his hand, whose
510 fingers remain flexed. The activities that he needs the most assistance with are
511 grooming, getting dressed, toileting, and use of instruments and equipment that
512 require coordination and dexterity (knife, remote control, fan, etc.), for which he is
513 assisted by his fiancée (main caregiver) or his daughters, who are still minors.

514 • PP6: 58 year-old male. 

515 Diagnosed with ALS since April 2017 with onset of symptoms in 2015. He started
516 with loss of strength in the left hand, reaching the entire left upper limb, followed
517 by the right upper limb. The lower limbs are not affected. Currently, he does not

518 perform movements of the shoulders and elbows, remaining with the upper limbs
519 pending. He has reduced strength in the left fingers and cannot move his right hand.
520 His greatest difficulties regard feeding, grooming, getting dressed, and bathing. He
521 spends much of the day alone at home watching TV. He has difficulty using the TV
522 remote control, and often drops it when trying to handle it, or presses the wrong
523 buttons. He keeps the fan on the floor and turns it on with his foot.

524 In the COPM assessment, which is directed to activities that require the use of
525 equipment, the volunteers were asked to indicate which activities were important in their
526 everyday lives. Having ‘control of the TV’ was considered important by all participants
527 who used the system (PP1, PP3, PP5, and PP6), being able to ‘turn the fan on/off’ was
528 deemed essential by two participants (PP5 and PP6), and having ‘control of the lights’
529 was a significant demand for only one of the participants (PP1).

530 The TV is a very popular appliance and an important resource of entertainment.
531 Thus, the desire to improve control over this appliance by the people with disabilities that
532 participated in this study is understandable. In the study by Myburg et al. [83], TV control
533 systems were among the most frequent environment control devices in the population
534 studied (people with spinal cord injury).

535 Although the system presents more options to control electronic devices, it was
536 noticed that the volunteers of this study did not use all the possible equipment, according
537 to assessments of the demand of each participant carried out using the COPM. Several
538 researchers have reported the significance of considering factors that are important for the
539 people who will use an AT device [46,48,58] aiming at better adherence and results.

540 Two volunteers (PP1 and PP6) wear glasses. Duchowski [69] points out that the use
541 of lenses (contact lenses or glasses) can interfere with the eye tracker ability to locate the

542 corneal reflex, as they have reflective surfaces; however, the use of glasses did not
543 interfere with the performance in using the system in the present study.

544 The way the equipment was organized, on a portable table, allowed the kit (notebook
545 computer + eye tracker) to adapt itself to the different room configurations of the
546 participants, with little interference in the organization and dynamic of the homes. The
547 system was set up in the living room of three participants (PP1, PP5 and PP6) and in the
548 bedroom of one participant (PP3).

549 At reassessment, volunteers PP1, PP5 and PP6 responded to the questions of the
550 instruments with the help of the researcher to make markings on paper, and the interview
551 was answered without help. With regard to volunteer PP3, the interview was conducted
552 with her mother and, for the other evaluations, the scales were designed in the notebook
553 computer and the participant was asked to move the mouse cursor with the use of the eye
554 tracker to indicate the alternative most appropriate for each item evaluated. It was very
555 difficult to choose the answers because the cursor position showed great variation, but the
556 COPM was fully answered by the volunteer. The SUS and QUEST 2.0 (Brazilian
557 version) were answered jointly with the participant's mother. Due to tiredness, her mother
558 responded to the PIADS based on what she believed her daughter's responses would be.

559 Results of the COPM and the Paired Sample *t*-Test for all participants are shown in
560 Table 3.

561

562

563

564

565

566 **Table 3. COPM and Paired Sample *t*-Test results of participants who used the**
 567 **system**

Volunteer	Demands	Performance		Satisfaction		Change	
		P1 ^a	P2 ^b	S1 ^c	S2 ^d	P2 Total - P1 Total	S2 Total - S1 Total
PP1	Control of the TV	7	8	5	8		
	Control of the lights	6	7	5	8		
	Total score	6.5	7.5	5	8	1	3
PP3	Control of the TV	1	7	1	7		
	Total score	1	7	1	7	6	6
PP5	Control of the TV	1	10	5	10		
	Turn the fan on/off	1	10	1	10		
	Total score	1	10	3	10	9	7
PP6	Control of the TV	3	9	3	10		
	Turn the fan on/off	5	9	3	10		
	Total score	4	9	3	10	5	7
<i>p</i>-value*		Performance		Satisfaction			
Control of the TV		0.045		0.009			
COPM total score		0.050		0.009			

568 ^aP1- initial performance; ^bP2- final performance; ^cS1- initial satisfaction; ^dS2- final
 569 satisfaction. * Paired Sample *t*-Test ($p < 0.05$).

570

571 In the COPM, the volunteers self-evaluated their performance (P) in activities they
 572 considered important and their satisfaction (S) with performance before and after using
 573 the system using a scale from 1 to 10; the higher the score, the better the performance or
 574 satisfaction.

575 The final assessments (P2 or S2) of all participants who used the system were higher
 576 than their initial assessments (P1 or S1). Except for the change in performance of
 577 volunteer PP1, all other evaluations showed changes greater than two points, which is
 578 considered by Law et al. [77] as a clinically important change.

579 For the Paired Sample *t*-Test, only the events ‘control of the TV’ and ‘COPM total
580 score’ were analyzed, as these events were common to all participants.

581 Statistically significant results were observed for performance and satisfaction
582 regarding ‘control of the TV’ and for total satisfaction after using the system. Borderline
583 results were obtained with respect to total performance’.

584 Despite the reduced sample size and the short usage time of the AT system, the
585 results clearly showed that the use of the system provided participants with a new way of
586 interacting with the environment more actively.

587 For volunteers PP3, PP5 and PP6, the initial evaluation scores indicate that they were
588 unable to perform the activities or presented great difficulty in performing them, also
589 reflecting on their low satisfaction with performance. At the final assessment, the scores
590 reflect that the use of the SE control system enabled better performance and,
591 consequently, greater satisfaction with performance for the volunteers.

592 Among all the participants, volunteer PP1 was the only one who still has some
593 manual skills, thus she can operate the TV remote control, although with some difficulty,
594 but gets tired along the process. Therefore, she presented higher initial scores and smaller
595 changes at reevaluation.

596 Table 4 shows the results obtained with application of the QUEST 2.0 instrument.

597

598 **Table 4. QUEST 2.0 scores**


Volunteer	Resource	Service delivery	Total
PP1	4.5	5.0	4.7
PP3	4.4	5.0	4.6
PP5	5.0	5.0	5.0
PP6	5.0	5.0	5.0

599

600 The assessment related to satisfaction with the AT resource involves eight items,
601 namely, dimensions, weight, adjustments, safety, durability, simplicity of use, comfort,
602 and effectiveness [79]. In order to evaluate the items in this category, the volunteers were
603 asked to take into account the entire set of hardware (gBox, notebook computer, eye
604 tracker, router, and portable table) and software (equipment control program) installed in
605 their residences.

606 Assessment of satisfaction with the service provided involves four items, namely,
607 service delivery, repairs and servicing, professional services, and follow-up services [79],
608 and the study participants should consider the service provided by the researchers with
609 regard to installation of the equipment, explanations, training, troubleshooting, and
610 necessary follow-up during the week they are using it.

611 Results regarding the degree of satisfaction with the SE ranged from 4.4 to the
612 maximum score, 5.0, with scores below 5.0 referring to difficulties in calibrating the eye
613 tracker, occasional visual discomfort, and difficulty in using the system (in the case of the
614 volunteer with the greatest motor impairment).

615  With regard to satisfaction with the service, the average of all volunteers was 5.0, the
616 highest possible score. Good professionals and services are items appointed by Lenker et
617 al. [46] as a positive points in the process of obtaining an AT resource, and lead to better
618 results with use. In contrast, lack of continuous support for the use of AT devices can lead
619 participants to lose interest in their use [40].

620 The total average of each volunteer varied between 4.6 and 5.0, corresponding to
621 high levels of satisfaction. This result corroborates those reported in two studies of the
622 systematic review conducted by Brandt et al. [16] on environmental control systems and
623 smart homes used by people with disabilities, in which the participants presented high
624 total average scores for satisfaction with the tested systems.

625 Table 5 presents a distribution of the items that the study participants considered
 626 important about the SE control system. In such evaluation, each volunteer should indicate
 627 three items. It can be observed that three of the four volunteers pointed to effectiveness as
 628 an important feature of the SE tested. This term is defined by Demers et al. [84] as the
 629 “goal achievement with the AT device” (p.189), reinforcing that the system has met the
 630 needs of these people.

631

632 **Table 5. Important items regarding the SE system**

Item	Number of citations
Effectiveness	3
Adjustment	2
Simplicity of use	2
Professional services	2
Follow-up services	1
Comfort	1
Safety	1

633

634 Our findings corroborate the study by Shone Stickel et al. [85], whose participants
 635 also assessed effectiveness as the most important attribute of electronic AT devices for
 636 performance of ADL.

637 As for the PIADS instrument, Table 6 presents the score for each subscale and the
 638 final average of the volunteers.

639

640 **Table 6. PIADS subscale scores**

Volunteer	Competence	Adaptability	Self-esteem	Average
PP1	2.6	3.0	3.0	2.9
PP3	1.3	0.7	1.8	1.3
PP5	2.5	3.0	2.6	2.7
PP6	2.8	3.0	2.3	2.7

641

642 In the PIADS, respondents assessed how they were affected by the SE system in each
643 of the 26 sentences of the scale ranging from -3.0 to +3.0, with 0 indicating that no effect
644 was perceived. Participants PP1, PP5 and PP6 had average values close to the maximum
645 score (3.0), indicating a maximum positive impact with the use of the SEs. They assigned
646 the highest values to the Adaptability subscale, indicating that with the use of the system
647 they felt more willing to take risks and more motivated to participate socially [78].
648 Volunteer PP3 presented the lowest average among the participants. Because she was the
649 volunteer with the most significant motor impairment, she had more difficulty in
650 controlling the equipment, getting tired and frustrated sometimes. In addition, this
651 instrument, as previously mentioned, was answered by her mother based on what she
652 believed her daughter's assessment would be. Thus, it may not reliably represent the
653 volunteer's assessment.

654 The developers of this instrument [78] claim that these three subscales are
655 sufficiently sensitive to assess the psychosocial impact of an AT device or resource on the
656 user, and are included in the QoL concept. The instrument development study [86] points
657 out that the longer the period of use, the greater the feeling of competence. The
658 developers raised two hypotheses for this result: 1) the longer the usage time, the more the
659 users appreciate the effect; 2) the longer usage time reflects the user's real need for the
660 device.

661 Due to the short time of use of the SEs in this study (one week), it is not possible to
662 state that there was a real change in the psychosocial aspects of the participants, but it
663 indicates a tendency toward this change, in view of the results.

664 Figure 5 illustrates the results of the instrument used to assess the usability of the
665 system, the System Usability Scale (SUS).

666

667 **Fig 5. SUS results of participants who used the system.**

668

669 The results in Figure 5 show that the participants' average SUS score was 85.6.
670 According to Bangor, Kortum and Miller [87], products evaluated in the range of 80
671 points are considered good, and products evaluated in the range of 90 points are
672 considered exceptional. Thus, the usability of our system was well evaluated. The lowest
673 evaluation refers, again, to participant PP3, whose answers pointed to some degree of
674 complexity in the system and the need of a technical person or prior learning. As
675 previously mentioned, the motor impairment of this participant interfered with the use of
676 the eye tracker, hindering her control of the SE system. In fact, several factors may have
677 influenced this difficulty, such as position in bed, control of only one eye, small opening
678 of the eye sometimes, tiredness with use, and difficulty of caregivers with the use of
679 computers and programs.

680 Despite the lower ratings assigned by this volunteer, it seems that for all participants,
681 on average, the assessment instruments showed positive results in relation to occupational
682 performance, satisfaction with performance, satisfaction with the SE system, and usability
683 of the system.

684 Many studies have evaluated improvements in these aspects after people with
685 disabilities used environment control systems or electronic AT devices [12,40,85,88,89],
686 whereas other studies have assessed ways of interacting with the environment through eye
687 trackers [90,91]. However, no studies with the same objectives and using the same
688 methodology of the present research, that is, use of IROG technology for SE control, have
689 been found for comparison.

690 As the results show better occupational performance, satisfaction with performance
691 and with the SE, and system usability, it can be concluded that the SE controlled by IROG
692 evaluated in this research provided people with motor disabilities with more independent
693 operation and control of the equipment.

694 Information collected through the interviews complemented the assessment
695 instruments used. All volunteers who used the SE system found it useful, mainly because
696 it provided them with greater independence and exercise of autonomy in controlling the
697 equipment, as it can be verified in some of their answers:

698 *“Ah, it is useful in all aspects, right? Turn on, turn off”* (PP1)

699 *“I think it was good. I think (PP3) was happy to get it, right? You saw her expression
700 of joy, right? So it was (useful). The part that I found most positive is giving autonomy,
701 right? This is fundamental!”*(PP3’s mother)

702 *“Its ... accessibility to be able to move. (...) it was very useful ... with the difficulty that
703 I have (...). The facility for you to pick up and do things”* (PP5)

704 *“It brings independence! Not depending on anybody to ‘turn up the volume!’, ‘Switch
705 channels!’, or ‘turn on the television!’, ‘turn off the television!’”* (PP6)

706

707 These statements corroborate the researched literature [92-95], since independence,
708 control and privacy are highly important aspects pointed by people with disabilities who
709 used environment control systems or electronic aids to daily living (EADL).

710 Volunteer PP6’s speech also points to another theme present in the study conducted
711 by Verdonck, Chard and Nolan [93]: the embarrassment that people with disabilities
712 present regarding their recurring requests for help, followed by apologies, as they feel
713 uncomfortable to interrupt their caregivers' routine. According to those authors, the use of

714 EADL changes this dynamic of the relationship, with fewer apologies, less discomfort,
715 and reduced caregiver burden.

716 As examples of difficulties or aspects that need to be improved in our system, the
717 volunteers reported the delay to switch TV channels, which are very distant from each
718 other; feeling tired or presenting a mild headache from using the eye tracker; depending
719 on a caregiver or family member to start the system and open the CI; complicated
720 processes for calibrating the eye tracker.

721 In this sense, the following suggestions were made: having a numeric keyboard, so
722 that the user could click on the desired channel; remove the need to use the notebook
723 computer keyboard for some tasks, such as when typing the password to access the CI;
724 make the system simpler and more logical for people who do not have much experience
725 with computers. Other suggestions that emerged were allow the system to also control the
726 fixed lighting of the residence and make and receive phone calls via a smartphone.

727 Regarding the user manual, volunteers PP1, PP5 and PP6 reported that they did not
728 need to access it, because the explanation and training provided by the researcher were
729 sufficient to use the SE system. Volunteer PP3's mother, on the other hand, reported that
730 the manual did not clarify her doubts, requiring the presence of one of the researchers for
731 assistance.

732 The literature highlights how important explanations and training are for
733 understanding the use and for adherence to the AT resource. Myburg et al. [83] conducted
734 interviews with people with spinal cord injury who used environment control systems and
735 found that training was considered crucial for the total integration of the system in their
736 lives. Participants also stressed that involvement of the occupational therapist in the test,
737 prescription and configuration of the environment control system is equally important.

738 The system usage records were obtained through the Web application, which enabled
 739 verification of the number of days that each volunteer effectively used the SE (Table 7).

740

741 **Table 7. Usage registration information obtained through the Web application**

Volunteer	Number of days of use
PP1	2
PP3	5
PP5	2
PP6	4

742

743 As it can be observed, although the system remained in each volunteer's home for
 744 seven days, it was not used every day. The justifications given by the volunteers included
 745 trips, medical or rehabilitation consultations, and other leisure activities, such as going to
 746 church or taking short tours.

747 However, some other hypotheses that may have influenced the relationship between
 748 the user and the system were raised, corroborating the literature: the fact that the system
 749 has limitations, not allowing total independence and requiring a caregiver or family
 750 member to activate part of the equipment (turn on the notebook computer, correctly
 751 position the eye tracker in relation to the user and calibrate it) [94,96] or, when there is
 752 presence of some motor disability, people prefer to behave as they are more accustomed
 753 [97]. Another possibility is that, if there were a family member in the same room
 754 watching TV together with the participant, the control of the device would probably be
 755 performed by this person, using the standard TV remote control.

756 Table 8 shows the data of the participants who did not use the system.

757

758

759

760 **Table 8. Data of volunteers who did not use the system**

Volunteer	Age	Gender	Education level	Caregiver	Health Condition	Time elapsed since diagnosis
PP2	68	F ^a	Complete higher education	Formal caregivers	Multiple Sclerosis	4 years
PP4	48	M ^b	Complete high school	Mother and formal caregivers	Spinal Cord Injury (C5 level)	2 years

761 ^aF – Female; ^bM – Male.

762

763 At the initial assessment using the COPM, both PP2 and PP4 reported that watching
764 TV was a very important activity in their everyday lives, but that they were not satisfied
765 with the way they performed this activity. Thus, the TV was the only device connected to
766 the gBox for control.

767 Table 9 presents the results of the FIMTM with respect to functionality.

768

769 **Table 9. FIMTM results of the participants who did not use the system**

Participant	Motor FIMTM	Cognitive FIMTM	Total FIMTM
PP2	26/91	35/35	61/126
PP4	13/91	35/35	48/126

770

771 According to the FIMTM data, both volunteers (PP2 and PP4) had need for maximum
772 assistance to perform motor activities and presented total independence for cognitive
773 activities. A brief description of each of these participants is presented ahead:

774

- 775 • PP2: 68 year-old female.

776 Diagnosed with MS since 2008 with onset of symptoms in 2004, presenting
777 numbness in the limbs and optic neuritis. She used to live in another state and
778 moved after requests by her children for diagnostic investigation and treatment. She

779 spends most of the day in her bedroom, sitting in the armchair and watching TV.
780 She is dependent regarding all ADL and does not walk alone. She is able to perform
781 some movements with her upper limbs, but with little amplitude and strength, and
782 handles the smartphone and the TV remote control with difficulty. She complains
783 mainly about lack of independence and always needing to ask for help. She has two
784 married children, but lives in an apartment only with formal caregivers. She
785 underwent training, but attempts to train her caregivers were unsuccessful. One of
786 them reported not knowing how to use a computer and kept distance, whereas the
787 other briefly stayed in the bedroom and did not wait until the end of the explanation.
788 At reassessment, PP2 stated that she found the equipment useful, responding
789 positively to all the assessment instruments. However, when downloading the system
790 data records through the Web application, it was found that she did not use it at all.
791 Subsequently, the occupational therapist who assists her weekly reported that she has
792 a depressed mood, difficulty in accepting the diagnosis, and a high expectation of
793 improvement. During the semi-structured interview, she mentioned difficulties to
794 manipulate the computer, start using it, without the assistance of the caregivers.

795 • - PP4: 48 year-old male.

796 Diagnosed with spinal cord injury (C5 level) after a gunshot wound during a
797 robbery in 2016. He is very dependent regarding performance of ADL, and needs
798 assistance to carry out all activities. During the day, he remains seated in an
799 armchair in the living room watching TV. His main complaint is having to depend
800 on others for everything. He was married, but his wife filed for divorce five months
801 after the accident. Currently, he is living with his mother, who helps him, but he
802 also has formal caregivers hired by his health medical organization. On the day of
803 installation and training, he seemed interested and showed that he understood the

804 system operation, but his caregiver showed no interest. At reassessment, he
805 responded to all the instrument questions and reported having used the SE during all
806 days of the week. However, no data were found in the system usage record. In the
807 interview, he reported lack of total independence as a difficulty with the equipment.

808 Both of these participants present some similar characteristics that may have
809 contributed to not using the equipment: they have difficulty dealing with the diagnosis or
810 with their current health condition; caregivers not close or not engaged in this additional
811 task; they report that the equipment does not allow total independence and that they have
812 little knowledge of technology, limited to communication through the smartphone.

813 Costa et al. [97] found some factors that can contribute to the understanding of the
814 non-use of SEs: lack of equipment functionality (for not providing the desired
815 independence), difficulty in use, embarrassment in using the device, lack of support from
816 family members, lack of user motivation. Their study focused on other equipment, but it
817 gives evidence of important aspects that can lead to the non-use or abandonment of AT
818 devices.

819 With regard to non-acceptance of diagnoses, studies have shown that this is an
820 important factor to be considered when prescribing or selecting an AT device or resource
821 [49,98]; however, in the present study, this information only appeared at the time of
822 reevaluation.

823 Wessels et al. [49] reported that there is a difference in the way the AT resource will
824 be viewed between people who were born with a disability (for them, technology opens a
825 new range of possibilities) and those who have acquired a disability (because, for them,
826 technology will never replace the lost function).

827 Volunteers PP2 and PP4 are in the second group, since they acquired the disability as
828 adults. A recurring line in the interviews is that they were very active and independent

829 people in the past, and now they find themselves depending on others for practically all
830 activities. For them, in addition to the loss of independence, the disability has also brought
831 other types of losses, such as moving from their hometowns, changing their standard of
832 living, ending their relationships, or losing their jobs. Such cases often result in periods of
833 depression [49]. In this sense, for these people, it is hypothesized that they would only
834 benefit from technology if their dependency could be completely reversed.

835 Another associated factor that may have contributed to non-use of the SE is that, for
836 many people, the AT device highlights the disability [42,47,98]. A study conducted by
837 Verza et al. [98] with patients diagnosed with MS found that 30.3% of the reasons for
838 abandoning or not using an AT device are due to the patient's non-acceptance. Those
839 authors explain that, although the AT device is seen as a possibility to increase
840 functionality, it can be interpreted by the patient as a validation of their disability and loss
841 of independence, resulting in decreased self-esteem.

842 It should be noted that, although the system registers activation of the equipment, this
843 information was not passed on to the participants, so that the use of the system was based
844 on their real needs and desires, and not on the fact that they felt obliged to use it.

845 It is worth restating that, in view of therapeutic objectives, it is important that the
846 professional involved perform a wide and in-depth assessment of the patient's real
847 demands, their expectations, and possibilities of the proposed AT device, as well as
848 consider their participation in the choice. These points are important to ensure acceptance
849 and continuity of use, since abandonment can represent a waste of time and financial
850 expenses (their own or of the government) [40,48,50,51].

851

852

853

854 **Conclusions**

855 Volunteers who used the IROG-controlled SE system showed better occupational
856 performance and satisfaction with performance. In addition, the psychosocial impact of
857 using this system was close to the maximum and, for three participants, satisfaction with
858 the system was well evaluated and the system was considered of good usability. The
859 volunteer who had a more pronounced motor impairment and, therefore, more difficulty
860 in using the system, rated its psychosocial impact and usability with lower scores.

861 Suggestions for system improvement were associated with changes in the interface
862 aiming at fewer commands to select TV channels; eliminating the need to use the
863 notebook computer keyboard as much as possible and simplifying steps, enabling
864 greater independence for people with disability to use the system; increasing the
865 possibilities of equipment and devices that can be controlled (e.g., fixed residential
866 lighting and smartphones).

867 The two participants who did not use the system presented characteristics such as
868 non-acceptance of their diagnoses or current health conditions, weak relationship with
869 caregivers, and need for assistance with using the equipment. In contrast, the fact that an
870 AT device possibly reinforces dependence may have corroborated these results.

871 The HAAT model was used as a basis for definition of the study methodology
872 aiming to achieve its objectives.

873 Although the AT resource (SE system) was previously defined, in order to be
874 evaluated the choice of volunteers was not random, and professionals were asked to
875 indicate the possible participants. Regarding the Activity component, the activities
876 considered important for each participant (controlling the TV for entertainment,
877 controlling lighting and/or fan) were evaluated according to their wishes. Although
878 there were more possibilities of equipment available for control, only those that the

879 participant was interested in were installed. Still in the Activity component, it was
880 considered the most familiar place to conduct the research, that is, each of the
881 volunteer's homes.

882 Regarding the Human component, the motor and cognitive skills of the volunteer
883 were taken into account. As the study objective was to evaluate the use of the system by
884 people with severe motor disabilities, in the least intrusive way possible, the eye tracker
885 using IROG technology was defined as the most suitable device for this purpose.
886 Motivation to resume performance of the activities was assessed through the COPM in
887 order to cover the important activities to be carried out.

888 With respect to the Context component, it was noticed that the volunteers who had
889 greater social support (from family members and/or formal caregivers) actually used the
890 SE and were able to provide a more in-depth assessment. Those who did not have this
891 support, or had it in a weakened way, did not use the SE.

892 Finally, with regard to the Assistive Technology component, it was evaluated that
893 the SE system functioned as a facilitator to carry out the desired activities, enabling
894 greater exercise of autonomy and independence.

895 Concerning the ICF, its components can also be broken down into the elements of
896 this research. Health Condition considered the disability or the diagnosis of the
897 participants. In Body Functions and Structures, the movement limitations resulting from
898 the participants' health conditions interfered in the way each of them interacted with the
899 electronic equipment. Activities important for the volunteers and their Participation
900 were assessed through the FIMTM and COPM instruments. Personal Factors (satisfaction
901 and psychosocial impact) were verified using the QUEST 2.0 and PIADS instruments.
902 Finally, with regard to Environmental Factors, the SE system, as in the HAAT model, is
903 considered a facilitator, and its usability was assessed through the SUS scale.

904 Considering that disability is indicated by the HAAT model and the ICF as inherent
905 in social structures, and not in the person, the results found suggest that the SE system
906 enabled reduction in the incapacity of the volunteers, who thus had greater participation
907 in related activities.

908 The main contribution of this study was the wide evaluation of equipment that aims
909 to allow greater independence for people with severe motor disabilities, from the point
910 of view of its operation and usability, as well as the benefits it provided to the people
911 who used it. Several assessment instruments were used to achieve the study objectives.
912 In addition, a system user manual containing the steps for its effective use was also
913 produced.

914 For professionals, this study highlights the importance of a good evaluation for the
915 prescription and development of AT resources, as well as new possibilities to provide
916 people with severe motor disabilities with greater independence to carry out their
917 everyday activities with regard to equipment control, avoiding abandonment or non-use.

918 Future studies with larger samples and longer duration should be conducted,
919 expanding the possibilities of controlled equipment and devices, in order to understand
920 whether the benefits remain in long term.

921

922 **Patents**

923 The gBox patent, together with the environment control system named “Remote
924 micro-controlled device for charging residential loads via the Internet with emitter and
925 receiver of commands via integrated infrared”, was submitted to the Institute of
926 Technological Innovation – INIT at UFES, Brazil, and evaluation is under process.

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928

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932

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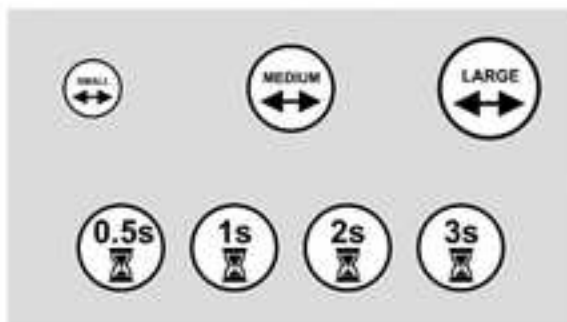




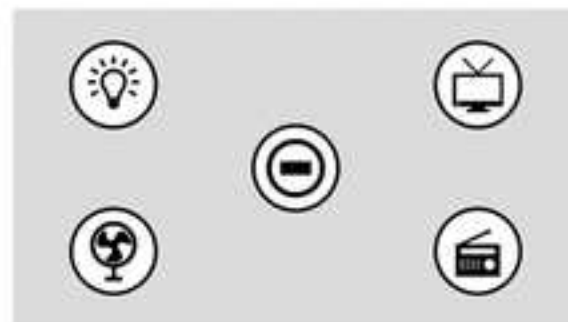
(a) Initial interface



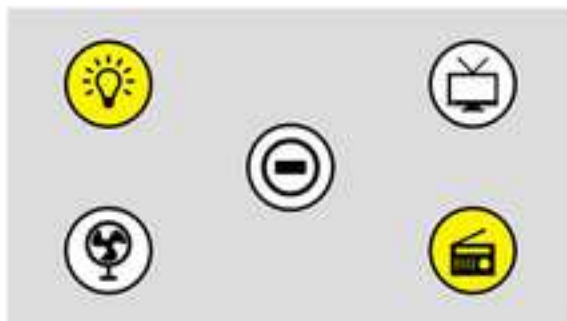
(b) Main menu



(c) Configuration menu



(d) Home devices control menu



(e) Lamp and radio turned on



(f) TV submenu

