

**Table S1** Demographics and clinical characteristics of patients reclassified to a new group per GOLD 2018 criteria (n=296)

Characteristic	Total <sup>†</sup> (n=296)	Group C to A <sup>†</sup> (n=52)	Group D to B <sup>§</sup> (n=244)
Age, years, mean (SD)	65.2 (8.0)	64.4 (8.1)	65.3 (7.9)
Age group, n (%)			
<65 years	132 (44.6)	31 (59.6)	101 (41.4)
≥65 years	164 (55.4)	21 (40.4)	143 (58.6)
Gender, n (%)			
Male	265 (89.5)	44 (84.6)	221 (90.6)
BMI, kg/m <sup>2</sup>			
Mean (SD)	22.1 (3.4)	22.5 (3.0)	22.0 (3.5)
BMI group, n (%)			
<18.5	39 (13.2)	4 (7.7)	35 (14.3)
18.5–23.9	174 (58.8)	30 (57.7)	144 (59.0)
24–27.9	70 (23.6)	15 (28.8)	55 (22.5)
≥28	13 (4.4)	3 (5.8)	10 (4.1)
Waistline measurement, cm			
n	296	52	244
Mean (SD)	85.2 (11.3)	82.6 (10.7)	85.7 (11.4)
Smoking status, n (%)			
Never smoker	53 (17.9)	11 (21.2)	42 (17.2)
Former smoker	175 (59.1)	29 (55.8)	146 (59.8)
Current smoker	68 (23.0)	12 (23.1)	56 (23.0)
Pack-years for former and current smokers <sup>¶</sup>			
n	243	41	202
Mean (SD)	38.6 (25.2)	33.8 (21.6)	39.6 (25.8)
mMRC dyspnea grade, n (%)			
0	17 (5.7)	10 (19.2)	7 (2.9)
1	103 (34.8)	27 (51.9)	76 (31.1)
2	108 (36.5)	13 (25.0)	95 (38.9)
3	63 (21.3)	2 (3.8)	61 (25.0)
4	5 (1.7)	0	5 (2.0)
CAT total score, mean (SD)	17.1 (7.7)	5.9 (2.5)	19.5 (6.1)
CAT total score category, n (%)			
0–<10	52 (17.6)	52 (100)	0
10–<20	122 (41.2)	0	122 (50.0)
20–<30	111 (37.5)	0	111 (45.5)
30–≤40	11 (3.7)	0	11 (4.5)

Table S1 (continued)

Table S1 (continued)

Characteristic	Total <sup>†</sup> (n=296)	Group C to A <sup>‡</sup> (n=52)	Group D to B <sup>§</sup> (n=244)
Exacerbation status, n (%) <sup>††</sup>			
No exacerbations	271 (91.6)	44 (84.6)	227 (93.0)
1 exacerbation (not requiring hospitalization)	25 (8.4)	8 (15.4)	17 (7.0)
≥1 exacerbation requiring hospitalization	0	0	0
≥2 exacerbations	0	0	0
≥1 exacerbation requiring hospitalization or ≥2 exacerbations	0	0	0
Spirometry classification, n (%) <sup>††</sup>			
GOLD 1	0	0	0
GOLD 2	0	0	0
GOLD 3	209 (70.6)	50 (96.2)	159 (65.2)
GOLD 4	87 (29.4)	2 (3.8)	5 (34.8)
FEV <sub>1</sub> , L, mean (SD)	0.96 (0.3)	1.08 (0.2)	0.94 (0.3)
FEV <sub>1</sub> /FVC ratio, mean (SD)	0.40 (0.1)	0.41 (0.1)	0.40 (0.1)
FEV <sub>1</sub> % predicted, mean (SD)	35.82 (9.2)	40.91 (6.3)	34.73 (9.4)

<sup>†</sup>, Group C per GOLD 2016 reclassified to Group A per GOLD 2018 + Group D per GOLD 2016 reclassified to Group B per GOLD 2018.

<sup>‡</sup>, Group C per GOLD 2016 reclassified to Group A per GOLD 2018. <sup>§</sup>, Group D per GOLD 2016 reclassified to Group B per GOLD 2018.

<sup>¶</sup>, pack-years = number of packs per day × number of smoking years. <sup>††</sup>, exacerbations in the last 12 months. <sup>‡‡</sup>, GOLD 1: mild, FEV<sub>1</sub> ≥ 80% predicted; GOLD 2: moderate, 50% ≤ FEV<sub>1</sub> < 80% predicted; GOLD 3: severe, 30% ≤ FEV<sub>1</sub> < 50% predicted; GOLD 4: very severe, FEV<sub>1</sub> < 30% predicted. Spirometry was only considered in the GOLD 2016 classification, not in the GOLD 2018 classification. BMI, body mass index; CAT, COPD Assessment Test; COPD, chronic obstructive pulmonary disease; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; GOLD, Global Initiative for Chronic Obstructive Lung Disease; mMRC, modified Medical Research Council; SD, standard deviation.

**Table S2** Proportion of patients receiving treatment and concordance with treatment recommendations per GOLD 2016 (N=838)

	Total	GOLD 2016				GOLD 2018			
		Group A	Group B	Group C	Group D	Group A	Group B	Group C	Group D
Patients, n (%)	838 (100.0)	162 (19.3)	236 (28.2)	81 (9.7)	359 (42.8)	214 (25.5)	480 (57.3)	29 (3.5)	115 (13.7)
Patients receiving treatment concordant with GOLD 2016 recommendations, n (%)	303 (36.2)	24 (14.8)	18 (7.6)	39 (48.1)	222 (61.8)	N/A	N/A	N/A	N/A
Patients receiving treatment concordant with the GOLD 2016 recommended first choice, n (%)	275 (32.8)	0	16 (6.8)	38 (46.9)	221 (61.6)	N/A	N/A	N/A	N/A
Patients receiving treatment concordant with the GOLD 2016 alternative choice, n (%)	249 (29.7)	24 (14.8)	2 (0.8)	1 (1.2)	222 (61.8)	N/A	N/A	N/A	N/A
COPD inhaled medications, n (%)									
None	286 (34.1)	55 (34.0)	94 (39.8)	11 (13.6)	126 (35.1)	65 (30.4)	175 (36.5)	1 (3.4)	45 (39.1)
ICS + LABA	231 (27.6)	44 (27.2)	69 (29.2)	27 (33.3)	91 (25.3)	59 (27.6)	124 (25.8)	12 (41.4)	36 (31.3)
ICS + LAMA + LABA	217 (25.9)	35 (21.6)	45 (19.1)	31 (38.3)	106 (29.5)	55 (25.7)	124 (25.8)	11 (37.9)	27 (23.5)
LAMA	75 (8.9)	24 (14.8)	16 (6.8)	11 (13.6)	24 (6.7)	31 (14.5)	36 (7.5)	4 (13.8)	4 (3.5)
Other <sup>†</sup>	12 (1.4)	2 (1.2)	5 (2.1)	0	5 (1.4)	2 (0.9)	8 (1.7)	0	2 (1.7)
SABA	7 (0.8)	0	5 (2.1)	0	2 (0.6)	0	7 (1.5)	0	0
LAMA + LABA	6 (0.7)	2 (1.2)	2 (0.8)	1 (1.2)	1 (0.3)	2 (0.9)	3 (0.6)	1 (3.4)	0
SAMA + SABA	2 (0.2)	0	0	0	2 (0.6)	0	2 (0.4)	0	0
LABA	1 (0.1)	0	0	0	1 (0.3)	0	1 (0.2)	0	0
SAMA	1 (0.1)	0	0	0	1 (0.3)	0	0	0	1 (0.9)

<sup>†</sup>, the types of medication included in the "Other" category were not captured. COPD, chronic obstructive pulmonary disease; GOLD, Global Initiative for Chronic Obstructive Lung Disease; ICS, inhaled corticosteroid; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-acting muscarinic antagonist; N/A, not applicable; SABA, short-acting  $\beta_2$ -agonist; SAMA, short-acting muscarinic antagonist.

**Table S3** Patient demographics and clinical characteristics by COPD inhaled medications (N=838)

Characteristic	Total (N=838)	LAMA or LABA (n=76)	LAMA + LABA (n=6)	ICS + LABA (n=231)	ICS + LAMA + LABA (n=217)	Other <sup>†</sup> (n=22)	No current COPD treatment (n=286)
Age, years, mean (SD)	65.7 (8.6)	66.6 (7.2)	59.3 (8.8)	66.6 (8.5)	66.8 (8.3)	65.1 (6.5)	63.9 (9.0)
Age group, n (%)							
<65 years	347 (41.4)	25 (32.9)	4 (66.7)	103 (44.6)	84 (38.7)	8 (36.4)	123 (43.0)
≥65 years	491 (58.6)	51 (67.1)	2 (33.3)	128 (55.4)	133 (61.3)	14 (63.6)	163 (57.0)
Gender, n (%)							
Male	724 (86.4)	67 (88.2)	5 (83.3)	206 (89.2)	200 (92.2)	19 (86.4)	227 (79.4)
BMI, kg/m <sup>2</sup>							
n	836	76	6	231	216	21	286
Mean (SD)	23.1 (3.6)	22.4 (3.3)	23.6 (1.0)	23.2 (3.7)	22.7 (3.6)	22.3 (3.5)	23.5 (3.7)
BMI group, n (%)							
<18.5	78 (9.3)	9 (11.8)	0	18 (7.8)	26 (12.0)	2 (9.5)	23 (8.0)
18.5–23.9	434 (51.9)	44 (57.9)	4 (66.7)	125 (54.1)	110 (50.9)	14 (66.7)	137 (47.9)
24.0–27.9	250 (29.9)	21 (27.6)	2 (33.3)	68 (29.4)	64 (29.6)	3 (14.3)	92 (32.2)
≥28	74 (8.9)	2 (2.6)	0	20 (8.7)	16 (7.4)	2 (9.5)	34 (11.9)
Missing	2 (0.2)	0	0	0	1 (0.4)	1 (4.5)	0
Waistline measurement, cm							
n	834	76	6	229	216	21	286
Mean (SD)	86.5 (10.3)	84.0 (11.3)	90.5 (11.9)	87.04 (10.1)	87.8 (11.5)	85.3 (11.3)	85.8 (8.9)
Smoking status, n (%)							
Never smoker	180 (21.5)	12 (15.8)	1 (16.7)	44 (19.0)	39 (18.0)	3 (13.6)	81 (28.3)
Former smoker	449 (53.6)	45 (59.2)	3 (50.0)	129 (55.8)	124 (57.1)	14 (63.6)	134 (46.9)
Current smoker	209 (24.9)	19 (25.0)	2 (33.3)	58 (25.1)	54 (24.9)	5 (22.7)	71 (24.8)
Pack-years for former and current smokers <sup>‡</sup>							
n	658	64	5	187	178	19	205
Mean (SD)	39.05 (25.8)	41.04 (28.8)	54.0 (19.5)	37.4 (24.4)	41.9 (29.4)	35.7 (17.3)	37.5 (23.2)
mMRC dyspnea grade <sup>§</sup> , n (%)							
0	120 (14.3)	12 (15.8)	2 (33.3)	42 (18.2)	18 (8.3)	2 (9.1)	44 (15.4)
1	316 (37.7)	38 (50.0)	3 (50.0)	92 (39.8)	81 (37.3)	10 (45.5)	92 (32.2)
2	269 (32.1)	22 (28.9)	1 (16.7)	68 (29.4)	83 (38.2)	4 (18.2)	91 (31.8)
3	120 (14.3)	3 (3.9)	0	25 (10.8)	30 (13.8)	4 (18.2)	58 (20.3)
4	13 (1.6)	1 (1.3)	0	4 (1.7)	5 (2.3)	2 (9.1)	1 (0.3)
CAT total score, mean (SD)	15.0 (8.0)	11.5 (6.7)	11.2 (4.9)	14.4 (7.9)	14.8 (8.0)	17.7 (7.0)	16.4 (8.0)
CAT total score category, n (%)							
0–<10	243 (29.0)	35 (46.1)	3 (50.0)	71 (30.7)	66 (30.4)	2 (9.1)	66 (23.1)
10–<20	345 (41.2)	30 (39.5)	3 (50.0)	96 (41.6)	86 (39.6)	11 (50.0)	119 (41.6)
20–<30	221 (26.4)	11 (14.5)	0	59 (25.5)	55 (25.3)	9 (40.9)	87 (30.4)
30–≤40	29 (3.5)	0	0	5 (2.2)	10 (4.6)	0	14 (4.9)

Table S3 (continued)

Table S3 (continued)

Characteristic	Total (N=838)	LAMA or LABA (n=76)	LAMA + LABA (n=6)	ICS + LABA (n=231)	ICS + LAMA + LABA (n=217)	Other <sup>†</sup> (n=22)	No current COPD treatment (n=286)
Exacerbation status, n (%) <sup>¶</sup>							
No exacerbations	628 (74.9)	59 (77.6)	4 (66.7)	157 (68.0)	158 (72.8)	16 (72.7)	234 (81.8)
1 exacerbation (not requiring hospitalization)	64 (7.6)	9 (11.8)	1 (16.7)	26 (11.3)	21 (9.7)	3 (13.6)	4 (1.4)
≥1 exacerbation requiring hospitalization	118 (14.1)	5 (6.6)	1 (16.7)	33 (14.3)	31 (14.3)	3 (13.6)	45 (15.7)
≥2 exacerbations	46 (5.5)	4 (5.3)	0	22 (9.5)	14 (6.5)	1 (4.5)	5 (1.7)
≥1 exacerbation requiring hospitalization or ≥2 exacerbations	144 (17.2)	8 (10.5)	1 (16.7)	48 (20.8)	38 (17.5)	3 (13.6)	46 (16.1)
Spirometry classification, n (%)							
GOLD 1	77 (9.2)	5 (6.6)	1 (16.7)	26 (11.3)	8 (3.7)	2 (9.1)	35 (12.2)
GOLD 2	373 (44.5)	37 (48.7)	3 (50.0)	114 (49.4)	81 (37.3)	10 (45.5)	128 (44.8)
GOLD 3	275 (32.8)	24 (31.6)	2 (33.3)	63 (27.3)	92 (42.4)	7 (31.8)	87 (30.4)
GOLD 4	113 (13.5)	10 (13.2)	0 (0.0)	28 (12.1)	36 (16.6)	3 (13.6)	36 (12.6)
FEV <sub>1</sub> , L, mean (SD)	1.39 (0.6)	1.35 (0.5)	1.88 (0.8)	1.45 (0.6)	1.26 (0.5)	1.45 (0.6)	1.44 (0.6)
FEV <sub>1</sub> /FVC ratio, mean (SD)	0.49 (0.12)	0.48 (0.12)	0.53 (0.12)	0.50 (0.12)	0.46 (0.11)	0.46 (0.14)	0.51 (0.11)
FEV <sub>1</sub> % predicted, mean (SD)	52.7 (19.4)	52.1 (17.4)	60.5 (19.7)	55.2 (19.9)	47.4 (17.3)	53.1 (19.2)	54.7 (20.4)
GOLD 2016, n (%)							
Group A	162 (19.3)	24 (31.6)	2 (33.3)	44 (19.0)	35 (16.1)	2 (9.1)	55 (19.2)
Group B	236 (28.2)	16 (21.1)	2 (33.3)	69 (29.9)	45 (20.7)	10 (45.5)	94 (32.9)
Group C	81 (9.7)	11 (14.5)	1 (16.7)	27 (11.7)	31 (14.3)	0 (0.0)	11 (3.8)
Group D	359 (42.8)	25 (32.9)	1 (16.7)	91 (39.4)	106 (48.8)	10 (45.5)	126 (44.1)
GOLD 2018, n (%)							
Group A	214 (25.5)	31 (40.8)	2 (33.3)	59 (25.5)	55 (25.3)	2 (9.1)	65 (22.7)
Group B	480 (57.3)	37 (48.7)	3 (50.0)	124 (53.7)	124 (57.1)	17 (77.3)	175 (61.2)
Group C	29 (3.5)	4 (5.3)	1 (16.7)	12 (5.2)	11 (5.1)	0 (0.0)	1 (0.3)
Group D	115 (13.7)	4 (5.3)	0 (0.0)	36 (15.6)	27 (12.4)	3 (13.6)	45 (15.7)

<sup>†</sup>, patients receiving SABA (n=7), SAMA + SABA (n=2), and SAMA (n=1) are grouped with "Other" (n=12) in the above due to small patient numbers in these categories. The types of medication included in the "Other" category (n=12) were not captured. <sup>‡</sup>, pack-years = number of packs per day × number of smoking years. <sup>§</sup>, Grade 0, breathless only with strenuous exercise; grade 1, breathless when hurrying on the level or walking on a slight hill; grade 2, walks slower than people of the same age on the level because of breathlessness or has to stop when walking at own pace on the level; grade 3, stops for breath after walking 100 m or after a few minutes on the level; grade 4, too breathless to leave the house or breathless when dressing or undressing. <sup>¶</sup>, exacerbations in the last 12 months. BMI, body mass index; CAT, COPD Assessment Test; COPD, chronic obstructive pulmonary disease; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; GOLD, Global Initiative for Chronic Obstructive Lung Disease; ICS, inhaled corticosteroid; LABA, long-acting β<sub>2</sub>-agonist; LAMA, long-acting muscarinic antagonist; mMRC, modified Medical Research Council; SABA, short-acting β<sub>2</sub>-agonist; SAMA, short-acting muscarinic antagonist; SD, standard deviation.

## GOLD 2016

GOLD airflow limitation <sup>†</sup>	4	≥2	Exacerbation frequency
	3	1	0
2	1		
1			

<p style="text-align: center;"><b>GOLD Group C</b> <b>GOLD 3 or GOLD 4</b> <i>AND/OR</i> mMRC dyspnea grade 0–1 or CAT score &lt;10 <i>AND</i> Exacerbation frequency ≥2 or ≥1 hospitalization for exacerbation per year</p>	<p style="text-align: center;"><b>GOLD Group D</b> <b>GOLD 3 or GOLD 4</b> <i>AND/OR</i> mMRC dyspnea grade ≥2 or CAT score ≥10 <i>AND</i> Exacerbation frequency ≥2 or ≥1 hospitalization for exacerbation per year</p>
<p style="text-align: center;"><b>GOLD Group A</b> <b>GOLD 1 or GOLD 2</b> <i>AND/OR</i> mMRC dyspnea grade 0–1 or CAT score &lt;10 <i>AND</i> Exacerbation frequency 0–1 and no hospitalization for exacerbation per year</p>	<p style="text-align: center;"><b>GOLD Group B</b> <b>GOLD 1 or GOLD 2</b> <i>AND/OR</i> mMRC dyspnea grade ≥2 or CAT score ≥10 <i>AND</i> Exacerbation frequency 0–1 and no hospitalization for exacerbation per year</p>
mMRC dyspnea grade 0 or 1 or CAT score <10	mMRC dyspnea grade ≥2 or CAT score ≥10
<b>Symptoms</b>	

## GOLD 2018

Exacerbation frequency	≥2	1	0
	1	0	
0			

<p style="text-align: center;"><b>GOLD Group C</b></p> <p>mMRC dyspnea grade 0–1 or CAT score &lt;10 <i>AND</i> Exacerbation frequency ≥2 or ≥1 hospitalization for exacerbation per year</p>	<p style="text-align: center;"><b>GOLD Group D</b></p> <p>mMRC dyspnea grade ≥2 or CAT score ≥10 <i>AND</i> Exacerbation frequency ≥2 or ≥1 hospitalization for exacerbation per year</p>
<p style="text-align: center;"><b>GOLD Group A</b></p> <p>mMRC dyspnea grade 0–1 or CAT score &lt;10 <i>AND</i> Exacerbation frequency 0–1 and no hospitalization for exacerbation per year</p>	<p style="text-align: center;"><b>GOLD Group B</b></p> <p>mMRC dyspnea grade ≥2 or CAT score ≥10 <i>AND</i> Exacerbation frequency 0–1 and no hospitalization for exacerbation per year</p>
mMRC dyspnea grade 0 or 1 or CAT score <10	mMRC dyspnea grade ≥2 or CAT score ≥10
<b>Symptoms</b>	

**Figure S1** ABCD classification criteria for GOLD 2016 and GOLD 2018. <sup>†</sup>, GOLD 1: mild, FEV<sub>1</sub> ≥ 80% predicted; GOLD 2: moderate, 50% ≤ FEV<sub>1</sub> < 80% predicted; GOLD 3: severe, 30% ≤ FEV<sub>1</sub> < 50% predicted; GOLD 4: very severe, FEV<sub>1</sub> < 30% predicted. Only considered for GOLD 2016 criteria. CAT, COPD Assessment Test; COPD, chronic obstructive pulmonary disease; FEV<sub>1</sub>, forced expiratory volume in 1 second; GOLD, Global Initiative for Chronic Obstructive Lung Disease; mMRC, modified Medical Research Council.