Elizabeth Barnett

Oct 17, 2021 14:58:15 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

. , ,		
Entity	Туре	Interest Held By
American Academy of Pediatrics	Grant / Contract	Self
Recipient Name: Elizabeth Barnett Grant / Contract Description: Associate Editor, Red Book Additional Information:	Recipient Type: Individual Grant / Contract Purpose: Other - editing	
Pfizer Inc.	Grant / Contract	Self
Recipient Name: Boston Medical Center Grant / Contract Description: RSV vaccine for pregnant women study PCV20 vaccine study COVID vaccine studies	Recipient Type: Institution Grant / Contract Purpose: Research Additional Information:	

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\text{11}\) Year Olds

3. Are you the corresponding author?

No.

# Certification



Todd Belanger

Oct 16, 2021 12:58:16 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer, Inc	Employment	Self
Title: Associate Director	Position Description: Head of OPA and SARS-CoV-2 Neutralization Assays	

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5111 Year Olds

3. Are you the corresponding author?

Nο

# Certification



David Cooper

Oct 29, 2021 20:25:53 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer	Employment	Self
Title: Executive Director Additional Information:	Position I	Description: Vaccine Researcher
Pfizer	Stock	Self
Additional Information:		
Pfizer	Stock Option	Self
Additional Information:		

#### Additional Questions

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- What is the manuscript title?
   Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\( \text{M11} \) Year Olds
- ${\it 3.} \quad \hbox{Are you the corresponding author?}$

Nο

# Certification



Luke Cunliffe

Oct 18, 2021 08:33:28 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

# **Company or Organization**

Entity	Туре	Interest Held By
Pfizer Limited	Employment	Self
Title: Clinical Scientist	<b>Position Description</b> : Responsible for bringing together clinical, scientific and technical disciplines to ensure high quality protocol development, study execution and data interpretation.	
Additional Information:		

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety, Immunogenicity and Efficacy of the BNT162b2 COVID-19 Vaccine in 5111 Year Olds

3. Are you the corresponding author?

No.

#### Certification



Oct 30, 2021 04:40:41 EDT New England Journal of Medicine

Disclosure Purpose: 21-16298

### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer International LLC	Other	Self
Category: Other	Description: Site investigator for clinical trial	

Category: Other

Additional Information: Payments made to my employer, SUNY Upstate Medical University on my

behalf for clinical trial activities

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? No.
- 2. What is the manuscript title?

"Safety, Immunogenicity and Efficacy of the BNT162b2 COVID-19 Vaccine in 5🛭 11 Year Olds,"

3. Are you the corresponding author?

No.

#### Certification



Philip Dormitzer

Oct 14, 2021 17:37:17 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

Company or Organization		
Entity	Туре	Interest Held By
Pfizer	Employment	Self
Title: Vice President and Chief Scientific Officer Viral and RNA Vaccines  **Position Description:**  **Position Description:**		
Pfizer Stock Option Self		Self
Additional Information:		

### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\text{11}\) Year Olds

3. Are you the corresponding author?

No.

# Certification



Robert Frenck
Oct 14, 2021 16:53:14 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре		Interest Held By
Pfizer	Grant / Contract		Self
Recipient Name: Cincinnati Children's Grant / Contract Description: Clinical	•	Recipient Type:   Grant / Contract	nstitution Purpose: Research

# Additional Questions:

Additional Information:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- What is the manuscript title?
   Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\( \text{11} \) Year Olds
- 3. Are you the corresponding author?

No.

#### Certification



William Gruber
Oct 14, 2021 22:30:11 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer	Employment	Self
Title: Senior Vice President Position Description: Vaccine Clinical Research and Development Worldwide Research Development		
and Medical  Additional Information:		cal
Pfizer	Stock	Self
Additional Information:		
Pfizer	Stock Option	Self
Additional Information:		

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\text{11}\) Year Olds

3. Are you the corresponding author?

No.

# Certification



Oct 18, 2021 20:15:26 EDT New England Journal of Medicine

Disclosure Purpose: 21-16298

### Summary of Interests

#### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer	Employment	Self
Title: VP Vaccine Clinical Research and D Additional Information:	evelopment Position	n Description:

#### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of the BNT162b2 COVID-19 Vaccine in 5/11 Year Olds

3. Are you the corresponding author?

Yes

- a. Please list the other authors' names here.
  - 1. Emmanuel B. Walter, MD (Duke Human Vaccine Institute, Durham, NC, USA)\*2. Kawsar R. Talaat, MD (Johns Hopkins University, Baltimore, MD, USA)\*3. Charu Sabharwal, MD, MPH (Vaccine Research and Development, Pfizer Inc, Pearl River, NY, USA) 5. Stephen Lockhart, DM (Vaccine Research and Development, Pfizer Inc, Pearl River, NY, USA) 5. Stephen Lockhart, DM (Vaccine Research and Development, Pfizer Ltd, Hurley, UK) 6. Grant C. Paulsen, MD (Department of Pediatrics, University of Cincinnati College of Medicine and Division of Pediatric Infectious Diseases, Cincinnati Children's Hospital Medical Center, Cincinnati, OH, USA) 7. Elizabeth D. Barnett, MD (Boston Medical Center, Boston University School of Medicine, Boston, MA, USA) 8. Flor M. Munoz, MD (Texas Children's Hospital, Baylor College of Medicine, Houston, TX, USA) 9. Yvonne Maldonado, MD (Stanford University School of Medicine, Palo Alto, CA, USA) 10. Barbara A. Pahud, MD, MPH (Children's Mercy Hospital, Kansas City, MO, USA)†11. Joseph B. Domachowske, MD (SUNY Upstate Medical University, Syracuse, NY, USA) 12. Uzma N. Sarwar, MD (Vaccine Research and Development, Pfizer Inc, Pearl River, NY, USA) 13. Nicholas Kitchin, MD (Vaccine Research and Development, Pfizer Ltd, Hurley, UK) 14. Luke Cunliffe, BSc (Vaccine Research and Development, Pfizer Ltd, Hurley, UK) 15. Pablo Rojo, MD (Hospital Universitario 12 de Octubre, Madrid, Spain) 16. Ernest Kuchar, MD, PhD (Medical University of Warsaw, Warsaw, Poland) 17. Mika Rämet, MD, PhD (Tampere University Vaccine Research Center, Tampere, Finland, and PEDEGO Research Unit, University of Oulu, Oulu, Finland) 18. Iona Munjal, MD (Vaccine Research and Development, Pfizer Inc, Collegeville, PA, USA) 20. Robert W. Frenck, Jr., MD (Department of Pediatrics, University of Cincinnati College of Medicine and Division of Pediatric Infectious Diseases, Cincinnati Children's Hos

#### Certification



Kathrin Jansen

Nov 04, 2021 13:48:09 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Company of Organization		
Entity	Туре	Interest Held By
Pfizer	Employment	Self
Title: SVP and Head of vaccine R&D  Additional Information:  Position Description: head of vaccine R&D		
Pfizer	Stock	Self
Additional Information: as a Pfizer employee i hold PFE stock and stock options		

### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\text{11}\) Year Olds

3. Are you the corresponding author?

No.

# Certification



Nicholas Kitchin

Oct 14, 2021 14:56:28 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer	Employment	Self
Title: Executive Director Additional Information: Full time employ		Description: Vaccine Clinical Research and Development
Pfizer	Stock	Self
Additional Information:		
Pfizer	Stock Option	Self
Additional Information:		

### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- What is the manuscript title?
   Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\( \text{M11} \) Year Olds
- ${\it 3.} \quad \hbox{Are you the corresponding author?}$

Nο

# Certification



Kenneth Koury

Oct 14, 2021 15:11:20 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer	Employment	Self
Title: Executive Director Additional Information:	Position	Description: Head of Statistics and Modeling, Vaccine Clinical Research and Development
Pfizer	Stock	Self
Additional Information:		

### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\text{11}\) Year Olds

3. Are you the corresponding author?

No.

# Certification



Ernest Kuchar

Oct 15, 2021 17:01:24 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

I do not have any interests to disclose at this time.

### Additional Questions:

1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\mathbb{N}11-Year-Olds

3. Are you the corresponding author?

No.

#### Certification



Oct 15, 2021 03:13:53 EDT New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By
BioNTech SE	Employment	Self
Title: Vice President Clinical Research Additional Information:	Position Description:	

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5111 Year Olds

3. Are you the corresponding author?

Nο

# Certification



# Stephen Lockhart

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By	
Pfizer	Employment	Self	
Title: Vice President Additional Information:	Position I	Description: Clinical Research Head Vaccines Europe and Asia Pacific	
Pfizer	Stock	Self	
Additional Information:			
Pfizer	Stock Option	Self	
Additional Information:			

### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- What is the manuscript title?
   Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\( \text{M11} \) Year Olds
- 3. Are you the corresponding author?

Nο

# Certification



Hua Ma

Oct 17, 2021 03:00:13 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

P- 7 3			
Entity	Туре	Interest Held By	
Pfizer	Employment	Self	
Title: Senior Manager, Biostatistics Additional Information:	statistics Position Description: Clinical trial statistician		
Pfizer	Stock Self		
Additional Information:			

### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\text{11}\) Year Olds

3. Are you the corresponding author?

No.

# Certification



Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре		Interest Held By
Pfizer Inc.	Grant / Contract		Self
Recipient Name: Stanford University Scho Grant / Contract Description: Pfizer Mater Additional Information: Stanford Site Prin	rnal RSV Vaccine Clinical Trial	Recipient Type: Institution Grant / Contract Purpose: Research	
Pfizer Inc.	Grant / Contract		Self
Recipient Name: Stanford University Scho Grant / Contract Description: Pfizer Pedia Additional Information: Stanford Site Prin		Recipient Type: Institution Grant / Contract Purpose: Research	
Pfizer Inc.	Data And Safety Monitoring		Self
Category: Data And Safety Monitoring Additional Information:		Description: Member, DSMB, Pfizer M	eningococcal Vaccines Clinical Trials

#### Additional Questions

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety, Immunogenicity and Efficacy of the BNT162b2 COVID-19 Vaccine in 5\mathbb{\text{11}} 11 Year Olds

3. Are you the corresponding author?

No.

# Certification



Iona Munjal

Oct 15, 2021 19:07:36 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

#### **Company or Organization**

Company of Organization			
Entity	Туре	Interest Held By	
Pfizer Inc.	Employment	Self	
Title: Director, Vaccine Clinical Research and Developtment  Additional Information:		tion: Medical Monitor overseeing ongoing vaccine clinical trials	
Pfizer Inc. Stock		Self	
Additional Information:			

### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\text{11}\) Year Olds

3. Are you the corresponding author?

No.

# Certification



Flor Munoz

Oct 14, 2021 18:05:58 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

#### **Company or Organization**

oompany or organization			
Entity	Туре		Interest Held By
Moderna	Data And Safety Monitoring		Self
Category: Data And Safety Monitoring Additional Information:		Description: Various vaccines	
Pfizer Inc.	Data And Safety Monitoring		Self
Category: Data And Safety Monitoring Additional Information:		Description: RSV vaccines	

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\mathbb{I}11 Year Olds

3. Are you the corresponding author?

No.

# Certification



Barbara Pahud

Oct 22, 2021 01:16:24 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре		Interest Held By
Merck	Consultant		Self
Category: Consultant Additional Information: Presented and participated at forum		Description: service on US Vaccine	e Confidence Expert Input Forum
Pfizer	Consultant		Self
Category: Consultant Additional Information: limited time consultant for topic specific story		Description: Consultant for Trume	enba Science Story
Pfizer	Consultant		Self
Category: Consultant Additional Information: Time limited consultant for topic specific activity		Description: Consultant for Pedia	tric Pneumococcal PEGASUS
Pfizer	Consultant		Self
Category: Consultant Additional Information: Consultant for one time meeting on topic specific discussion		Description: Consultant for Virtua	Discussion about future pediatric PCV recommendations in the US
Pfizer	Consultant		Self
Category: Consultant Additional Information: Limited time Consultant for topic specific broadcast		Description: Consultant for Spring	g 2021 Trumenba Premiere Broadcast
SANOFI PASTEUR INC.	Consultant		Self
Category: Consultant  Additional Information: Hotel and flight was booked by the funder		Description: Presented at the Inte	rnational Forum Voices for Vaccination on 8/29/19 in Mexico City,
SANOFI PASTEUR INC.	Consultant		Self
Category: Consultant Additional Information: Served as speaker to healthcare professionals on topics specific to & vaccine hesitancy	o vaccines	Description: provided presentation	ns as requested
SANOFI PASTEUR INC.	Consultant		Self
Category: Consultant Additional Information: limited time scientific/vaccine consultant for the production of edu	ıcational	Description: Scientific consultant	& Medical Expert

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\mathbb{I}\)11 Year Olds

3. Are you the corresponding author?

videos to support HCPs in managing vaccine hesitancy

No.

# Certification



Grant Paulsen

Oct 15, 2021 08:08:42 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре		Interest Held By
PFIZER CANADA INC	Grant / Contract		Self
Recipient Name: Grant Paulsen Grant / Contract Description: Grant/Contract support from Pfizer to conduct a	clinical vaccine trial.	Recipient Type: Institution Grant / Contract Purpose: Research	

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

  Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\( \text{11} \) Year Olds

Additional Information: Support is ~10% of my time/salary as the Site PI for the trial.

 ${\tt 3.} \quad \textbf{Are you the corresponding author?}$ 

No.

### Certification



John Perez

Oct 22, 2021 08:27:50 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer	Employment	Self
Title Vie - Descident Vessies - Olimins I Desc	and 0 Davidson	Di-si

Title: Vice President Vaccine Clinical Research & Development Additional Information:

Position Description:

# Intellectual Property

Туре		Is Licensed	Interest Held By
Patent - Meningococcal Pentavalent vaccine		No	Self
,		10,813,989 B2 current Institution	
	lditional Infor	mation:	

#### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5111 Year Olds

3. Are you the corresponding author?

No.

### Certification



Mika Rämet

Oct 14, 2021 15:35:09 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

#### Company or Organization

Entity	Туре	Interest Held By
GlaxoSmithKline	Other	Self
Category: Other  Additional Information: National coordinator and/or PI for several clinical vaccine trials sponsored by GSK. However, I am employed by the Tampere University which has made the contract with the sponsor whereas I have not personally received any direct compensation from GSK.	Description: National coordinator and/or PI for clinical trials	
Janssen Biotech	Other	Self
Category: Other  Additional Information: National coordinator and/or PI for several clinical vaccine trials sponsored by Janssen. However, I am employed by the Tampere University which has made the contract with the sponsor whereas I have not personally received any direct compensation from Janssen.	Description: National coordin	nator and/or PI for clinical vaccine trials
MedImmune	Other	Self
Category: Other  Additional Information: National coordinator and/or PI for several clinical vaccine trials sponsored by MedImmune. However, I am employed by the Tampere University which has made the contract with the sponsor whereas I have not personally received any direct compensation from MedImmune.	Description: National coordinator and/or PI for clinical vaccine trials	
Merck Sharp and Dohme	Other	Self
Category: Other  Additional Information: National coordinator and/or PI for several clinical vaccine trials sponsored by MSD. However, I am employed by the Tampere University which has made the contract with the sponsor whereas I have not personally received any direct compensation from MSD.	Description: National coordinator and/or PI for clinical vaccine trials	
Pfizer Inc.	Other	Self
Category: Other  Additional Information: National coordinator and/or PI for several clinical vaccine trials sponsored by Pfizer. However, I am employed by the Tampere University which has made the contract with the sponsor whereas I have not personally received any direct compensation from Pfizer.	Description: Clinical Trial, National coordinator y	
SANOFI PASTEUR INC.	Other	Self
Category: Other  Additional Information: National coordinator and/or PI for several clinical vaccine trials sponsored by SanofiPasteur. However, I am employed by the Tampere University which has made the contract with the sponsor whereas I have not personally received any direct compensation from SanofiPasteur.	Description: National coordin	nator and/or PI for clinical trials

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  Yes.
  - a. Please describe those relationships.

I have been the national coordinator in Finland for this study which was sponsored by Pfizer. However, I am employed by the Tampere University which has made the contract with the sponsor whereas I have not personally received any direct compensation from Pfizer.

2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5111 Year Olds

3. Are you the corresponding author?

No.

# Certification



Pablo ROJO

Oct 15, 2021 03:14:12 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

I do not have any interests to disclose at this time.

### Additional Questions:

1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\mathbb{I}11 Year Olds

3. Are you the corresponding author?

No.

#### Certification



Charu Sabharwal

Nov 03, 2021 18:45:39 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By	
Pfizer	Employment	Self	
Title: Director Additional Information:	Position	Position Description: Medical Monitor within Vaccine Clinical Research and Development	

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5111 Year Olds

3. Are you the corresponding author?

Nο

# Certification



Ugur Sahin

Nov 03, 2021 17:46:46 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By	
biontech	Fiduciary Officer	Self	
Official Title: Chief Executive Officer, Co-Founder Additional Information:		Member of the Board	
biontech	Stock	Self	
Additional Information:			
biontech	Stock Option	Self	
Additional Information:			

# **Intellectual Property**

Туре		Is Licensed	Interest Held By
Patent - 3 UTR Sequences for stablisation of mRNA		Yes	Self
	Patent Number: EPPC Patent Holder: Previou	T/EP2015/073180, US 201900716 is Institution	82

Licensees:

Additional Information: I am co-inventors on various patents on RNA Technologies, both pending and granted, which have been filed over a period of 10+ years, some of which are licensed to third parties.

Jurisdictions ae Broad including US, EU, Asia.

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Evaluation of the BNT162b2 COVID-19 Vaccine in 5111 Year Olds

3. Are you the corresponding author?

No.

### Certification



Uzma Sarwar Oct 15, 2021 17:16:32 EDT New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By	
Pfizer Inc.	Employment	Self	
Title: Associate Director Additional Information:	Position Description: Medical Monitor		

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\mathbb{1}11 Year Olds

3. Are you the corresponding author?

No.

# Certification



Eric Simões

Nov 05, 2021 09:49:48 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре	Interest Held By
Pfizer Inc.	Grant / Contract	Other - Children's Hospital Colorado and University of Colorado School of Medicine

Recipient Name: Eric Simoes

Grant / Contract Description: Grants to conduct trials C4591007, C4591015, C3571002, C3671003, and C3671008,

Recipient Type: Institution Grant / Contract Purpose: Research Additional Information:

Regeneron Pharmaceuticals, Inc.

Grant / Contract

Other - University of Colorado School of Medicine and Samshoma Medical Research Inc

Recipient Name: Eric Simoes

Grant / Contract Description: R10933-10987-COV-2069 and 0000-COV-CES-2053

Additional Information:

Recipient Type: Institution
Grant / Contract Purpose: Research

### Additional Questions:

1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

2. What is the manuscript title?

Evaluation of the BNT162b2 Covid-19 Vaccine in Children 5 to 11 Years of Age

3. Are you the corresponding author?

No.

# Certification



Kena Swanson

Nov 03, 2021 18:50:19 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

# **Company or Organization**

Entity	Type Interest Held By		
Pfizer	Employment	Self	
Title: Director, Viral Vaccines Additional Information:	Position Description: vaccine research and development		
Pfizer	Stock Self		
Additional Information:			

### Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\(\text{11}\) Year Olds

3. Are you the corresponding author?

No.

# Certification



Kawsar Talaat Oct 14, 2021 15:17:50 EDT New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

### **Company or Organization**

Entity	Туре		Interest Held By
Pfizer Inc.	Grant / Contract		Self
Recipient Name: Johns Hopkins University  Grant / Contract Description: To conduct 3 clinical trials of the Pfizer-BioNTech vacchildren. I am the PI.	cine in adults and	Recipient Type: Institution Grant / Contract Purpose: Research Additional Information:	
SANOFI PASTEUR INC.	Grant / Contract		Self
Recipient Name: Johns Hopkins University  Grant / Contract Description: To conduct a yellow fever vaccine trial; I am the PI of the trial.  Additional Information:		Recipient Type: Institution Grant / Contract Purpose: Research	

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5-11 Year olds

3. Are you the corresponding author?

No.

# Certification



Ozlem Tureci
Oct 26, 2021 02:28:39 EDT
New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

# **Company or Organization**

Entity	Туре	Interest Held By		
biontech	Employment	Self		
Title: Chief Medical Officer  Additional Information: also spouse is board member and CEO of Company since 2008				
biontech	Fiduciary Officer	Self		
Official Title: Chief Medical Officer  Additional Information: also spouse is co-founder and board member (Chief Executive Officer) of Biontech				
biontech	Fiduciary Officer	Spouse/Partner		
Official Title: CEO Additional Information:	· · · · · · · · · · · · · · · · · · ·			
biontech	Stock	Spouse/Partner		
Additional Information: founder, shareholder				
biontech	Stock Option	Self		
Additional Information: self and spouse have stock Options. spouse has stock				

#### Intellectual Property

menetual Floperty					
Туре			Is Licensed	Interest Held By	
Patent - Modifications of RNA leading to increased transc			Yes	Self	
Description: Modifications of RNA leading to increased transcript stability and translational efficiency Patent Status: Pending Filing Jurisdiction: USA Licensees:		Patent Number: EP1934345B9, DE102005046490.4 Patent Holder: Current Institution  Additional Information: self and spouse are co-inventors on various patents on RNA Tech			
Licensee	Title	Date			
biontech					

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\mathbb{\text{11}} Year Old

3. Are you the corresponding author?

No.

# Certification



Emmanuel Walter

Oct 21, 2021 15:00:36 EDT

New England Journal of Medicine

Disclosure Purpose: 21-16298

#### Summary of Interests

#### Company or Organization

Company or Organization				
Entity	Туре		Interest Held By	
Moderna	Grant / Contract		Self	
Recipient Name: Duke University Grant / Contract Description: Conduct of Clinical Trial Additional Information: Co-investigator for Clinical trial			Recipient Type: Institution Grant / Contract Purpose: Research	
Pfizer	Grant / Contract		Self	
Recipient Name: Duke University  Grant / Contract Description: Conduct of Pfizer/BioNTech COVID-19 vaccine studies  Additional Information: Principal investigator for clinical trial		Recipient Type: Institu Grant / Contract Purpo		
Vaxcyte	Other		Self	
Category: Other  Additional Information: No financial compensation as of this time		Description: Scientific	Advisory Board	

#### Additional Questions

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5 $\mbox{1}$ 11 Year Olds

3. Are you the corresponding author?

No.

# Certification





Disclosure Purpose: 21-16298

#### Summary of Interests

# **Company or Organization**

Entity	Туре	Interest Held By	
Pfizer	Employment	Self	
Title: Sr. Director, Vaccine Statistics Additional Information:	Position	Position Description: Design, conduct, and analysis of vaccine clinical trials	

# Additional Questions:

- 1. Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

  No.
- 2. What is the manuscript title?

Safety and Immunogenicity of BNT162b2 COVID-19 Vaccine in 5\mathbb{1}11 Year Olds

3. Are you the corresponding author?

No.

# Certification

