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INterventions to Reduce the Incidence of Surgical Site Infection in colorectal resections: systematic review with multicomponent network meta-analysis (INTRISSI) – study protocol

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INterventions to Reduce the Incidence of Surgical Site Infection in colorectal resections: systematic review with multicomponent network meta-analysis (INTRISSI) – study protocol

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3 **Interventions to Reduce the Incidence of Surgical Site Infection in colorectal**
4 **resections: systematic review with multicomponent network meta-analysis**
5 **(INTRISSI) – study protocol**
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11 Julia Hardt, Jörg Kleeff, Johannes Klose, Christoph Michalski, Meinhard Kieser, Ulrich
12 Ronellenfitsch
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16
17 **Abstract**
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19 **Objective** To assess the relative contribution of intravenous antibiotic prophylaxis, mechanical
20 bowel preparation, oral antibiotic prophylaxis, and combinations thereof towards the
21 reduction of surgical site infection (SSI) incidence in elective colorectal resections.
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24 **Methods and Analysis** Multicomponent network meta-analysis using machine learning based
25 screening. A systematic search of randomised controlled trials (RCTs) comparing interventions
26 to reduce SSI incidence will be conducted with predefined search terms in the following
27 databases: MEDLINE, LILACS, Cochrane Central Register of Controlled Trials (CENTRAL), and
28 the Cochrane Database of Systematic Reviews (CDSR). Additionally, several online databases
29 will be searched for ongoing trials, and conference proceedings and reference lists of retrieved
30 articles will be hand-searched. The title-abstract screening will be partly performed by means
31 of a semi-automated supervised machine learning approach, which will be trained on a subset
32 of the identified titles and abstracts identified through traditional screening methods.
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37 The primary analysis will be a multicomponent network meta-analysis, as we expect to identify
38 studies that investigate combinations of interventions (e.g. mechanical bowel preparation
39 combined with oral antibiotics), as well as studies that focus on individual components
40 (mechanical bowel preparation or oral antibiotics). By means of a multicomponent network
41 meta-analysis we aim at estimating the effects of the separate components along the effects
42 of the observed combinations. To account for between-trial heterogeneity, a random-effects
43 approach will be combined with inverse variance weighting for estimation of the treatment
44 effects. Associated 95% CIs will be calculated as well as the ranking for each component in the
45 network using P-Scores. Visualisation will be done by network graphics and forest plots of the
46 overall pairwise effect estimates. Comparison adjusted funnel-plots will be used to assess
47 publication bias.
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51 **Ethics and Dissemination** Ethical approval by the Ethical Committee of the Medical Faculty
52 of the Martin-Luther-University Halle-Wittenberg (ID of approval: 2021-148).
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55 **Trial registration number** registered at Prospero (ID: CRD42021267322)
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Strength and limitations of this study:

- Literature screening is supported by machine learning, which is a new and highly innovative technique saving work and time.
- The multicomponent network meta-analysis integrates and compares all available evidence on how effective the different interventions are in preventing SSIs.
- Results will be rated and discussed with patient representatives
- No individual patient data will be available from trials.

Introduction

Colorectal resections are some of the most frequently performed operations in abdominal surgery. For 2018, in Germany, the annual number of colorectal resections for all causes reached 350,803¹. Due to the microbiome inherent to the colon and rectum, postoperative surgical site infections (SSIs) are a frequent problem. According to the commonly used definition of the Centres for Disease Control (CDC), they comprise infections of the incision, fascia and muscle layer, or the organ space². It is estimated that SSIs occur in up to 40% of colorectal resections³, amounting to approximately 130,000 annual cases of SSI in Germany alone⁴.

SSIs have a relevant impact on both patients and health care systems. Depending on severity, they require additional interventions, prolong the hospital stay, increase treatment and societal costs, negatively impact quality of life, lead to temporary or permanent disability, or can even be life threatening. The median prolongation of hospital stays due to SSIs after colorectal surgery is estimated to be seven days⁵, and the quality adjusted life years (QALYs) lost for patients suffering an SSI 0.93⁶. The cost of an SSI is estimated at around 30,000 USD in the USA⁶ and between 926 and 65,114 Euro in Germany⁷.

Several interventions have been used with the aim of reducing the SSI risk in elective colorectal resections. However, the evidence is conflicting and to some aspects contradictory. Intravenous antibiotics prior to skin incision are considered indispensable based on high-level evidence from studies comparing it to no intravenous antibiotic administration³. Mechanical bowel preparation (MBP) using a drinkable cleansing solution has been assessed in a recent meta-analysis comprising both RCTs and observational studies. It failed to show a lower SSI incidence in patients receiving MBP⁸. There is evidence that oral antibiotic prophylaxis (OAP) in combination with MBP prior to resection reduces SSI incidence to a larger extent than MBP alone⁹. This has led to the recommendation of the combination in the WHO guidelines for SSI prevention¹⁰. Yet, when compared to intravenous antibiotic prophylaxis, OAP is associated with higher SSI incidence¹¹. A recent meta-analysis aimed at assessing the impact of OAP with or without MBP compared with different other prophylactic strategies on the incidence of SSI and other postoperative complications¹². The authors concluded that OAP is associated with lower SSI incidence. However, the interpretation of the results is limited because no network meta-analysis was done. This methodology was applied by Toh et al. for a comparison of

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3 different prophylactic interventions¹³. The analysis found that MBP with OAP was associated
4 with the lowest SSI risk compared to OAB alone, MBP alone or no preparation. However, the
5 effect of intravenous antibiotics was not assessed and the methods used did not allow
6 calculating the relative contribution of the single interventions to the observed effects.
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9 In summary, there is substantial evidence comparing different interventions and combinations
10 thereof for SSI prevention in elective colorectal surgery. Yet, no comprehensive analysis of this
11 evidence using appropriate methods for discerning the true effects of the single interventions
12 or combinations has been done so far. Traditional network meta-analysis approaches either
13 lump such combinations into classes of treatments with high variation between studies
14 contributing information, or treat all combinations as separate nodes by splitting the network.
15 Recently, an approach developed for network meta-analysis of multi-component
16 interventions¹⁴ has been formalized¹⁵. This multi-component network meta-analysis (CNMA)
17 estimates the separate components of which treatments consist, e.g., MBP, intravenous
18 antibiotics, and OAP, along with treatment combinations actually used in identified RCTs.
19 CNMA is therefore the only approach that allows estimating treatment effects of a given
20 component relative to a reference component, of combinations of components compared to
21 a reference component, and of all possible treatment contrasts based on the estimation
22 results and the network structure. It is the only method which can validly answer the research
23 question regarding SSI prevention in elective colorectal resection.
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29 Another important aspect targeted by this study is the burdensome and time-consuming title
30 abstract screening in systematic reviews. Although machine learning has developed rapidly in
31 recent years and has been proposed for usage in systematic reviews¹⁶⁻¹⁸, there is only a limited
32 number of studies actually applying text-mining in combination with supervised learning in
33 medical research^{17 19}. The project evaluates the practicability of applying natural language
34 processing procedures and machine learning techniques to abstract screening in the scope of
35 a real-world example. We aim at providing a standardized workflow to support abstract
36 screening with advanced machine learning techniques.
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40 **Methods and Analysis**

41 **Search strategies and information sources**

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43 A computer-based literature search will be performed in several databases, including the
44 Cochrane Central Register of Controlled Trials (CENTRAL), Cochrane Database of Systematic
45 Reviews (CDSR) from The Cochrane Library, MEDLINE (1966 to present), LILACS (Literatura
46 Latinoamericana y del Caribe en Ciencias de la Salud), Current Contents / Clinical Medicine
47 (1990 to present) and Web of Science (1945 to present). The search will be limited to studies
48 in humans. No language restrictions will apply. The Cochrane Highly Sensitive Search Strategy
49 for identifying randomised trials in MEDLINE, Sensitivity maximizing version, NCBI Platform,
50 will be employed with predefined search terms. It will be adapted for the other databases
51 searched. Moreover, the following online databases of ongoing trials will be searched:
52 www.clinicaltrials.nci.nih.gov; www.centerwatch.com; www.trialscentral.org;
53 www.controlledtrials.com; www.eortc.be; www.studien.de; and www.germanctr.de.
54 Reference lists of retrieved articles will be scanned for further eligible trials (backward search)
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3 and citations of identified trials will be checked for inclusion (forward search). Experts in the
4 field will be contacted about any unpublished or ongoing studies
5

6 **Study selection**

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8 This CNMA is limited to RCTs, which are the only study design able to provide unbiased
9 evidence for the research question. Due to the nature of the interventions and comparator
10 under study, blinding of either the patient or the treating physician is not possible for all
11 interventions and is therefore not considered an in- or exclusion criterion. There are no
12 restrictions regarding minimal follow-up time or study size.
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16 Study selection will be partly performed using machine learning (ML) methods in a semi-
17 automated screening procedure due to the large number of citations expected from our
18 search strategy. First, the identified studies will be randomized into three data sets: training
19 data set, validation data set, and screening data set, with equal sizes of 33.3%. In the training
20 set, two independent reviewers will assess title, keywords, and abstracts of all retrieved
21 studies and decide which studies are included into the systematic review. Any disagreements
22 will be resolved by consensus or consultation with a third reviewer.
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25
26 For the purpose of computer-based text classification based on the abstracts, the texts will be
27 pre-processed to achieve consistent format by means of various cleaning approaches, such as
28 transformation to lower case and removing punctuation, symbols, numbers, and stop words.
29 Text reduction will be performed by using stemming techniques and a document-term matrix
30 will be created as input for the ML methods. Three ML methods will be applied independently
31 to predict whether a particular study is included into the systematic review or not. Those
32 methods are regularized logistic regression²⁰, kernel-based support vector machines²¹, and
33 tree-based random forests²². These three approaches stem from different sectors of ML and,
34 therefore a wide range of methods is covered. If appropriate, the number of applied
35 algorithms can be extended, e.g., if no sufficiently high performance can be achieved by at
36 least one of these algorithms. After tuning the algorithms using repeated cross-validation, the
37 ML techniques in the validation set will be assessed by using the AUC (area under the curve)
38 as performance measure. To consider the imbalanced class distribution, the Precision-Recall
39 (PR) curve²³ will be applied additional to the Receiver Operating Characteristics (ROC) curve
40 to computing the AUC. The corresponding PR and ROC curve will be plotted and key numbers
41 such as the AUC, sensitivity, specificity, accuracy, and the worked saved over sampling (WSS)²⁴
42 will be reported to evaluate the algorithms' quality. Per algorithm, the 10% of citations with
43 the largest difference between human decision (0 or 1 for exclude or include) and the
44 predicted (continuous) inclusion probability will be identified and the validity of human-based
45 and algorithm-based decisions will be re-evaluated. This procedure will be repeated by adding
46 a further 10% of unseen, randomly selected citations to the training set. If the performance of
47 at least one ML algorithm is deemed acceptable, the citations in the screening set need to be
48 screened by only one human and the second reviewer is replaced by the best-performing ML
49 method. For all citations identified as eligible in title-abstract screening, full-text will be
50 retrieved and scrutinized by two independent reviewers. Any disagreements will be resolved
51 by consensus, or by consultation with a third reviewer. The entire process of study retrieval,
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3 in- and exclusion will be displayed in a flowchart as stipulated by the PRISMA-NMA statement
4 25.
5

6 **Population**

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8 To be included, trials need to be conducted on patients undergoing elective, i.e. non-
9 emergent, planned, colorectal resection. Resection will be defined as removal of at least a
10 segment of the colon or rectum, with or without primary anastomosis and with or without
11 protective ostomy placement. There will be no limitations regarding the underlying disease
12 constituting the indication for resection. Thus, both malignant diseases such as colon cancer,
13 and benign diseases such as diverticulitis, will be included.
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15

16 **Intervention(s)**

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18 (1) intravenous antibiotic prophylaxis,
19
20 (2) mechanical bowel preparation (MBP),
21
22 (3) oral antibiotic prophylaxis (OAP),
23
24 or any combination of (1), (2), and (3)
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27 **Comparator(s)**

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29 No intervention, defined as the absence of any of (1), (2), or (3).
30

31 To be included in this CNMA, trials must either compare any of the interventions or
32 combinations thereof directly with another or with no intervention or combinations thereof;
33 or one of the trial arms must be the comparator. A network like the one illustrated in Figure
34 1A is expected to be identified in the systematic literature review. In that network, the
35 treatment nodes are defined mostly by combinations of separate treatment components and
36 the estimation results need to be interpreted as treatment interaction effects. Besides these
37 interaction effects, the treatment effects for the separate components by means of CNMA will
38 also be estimated, which is visualized in Figure 1B.
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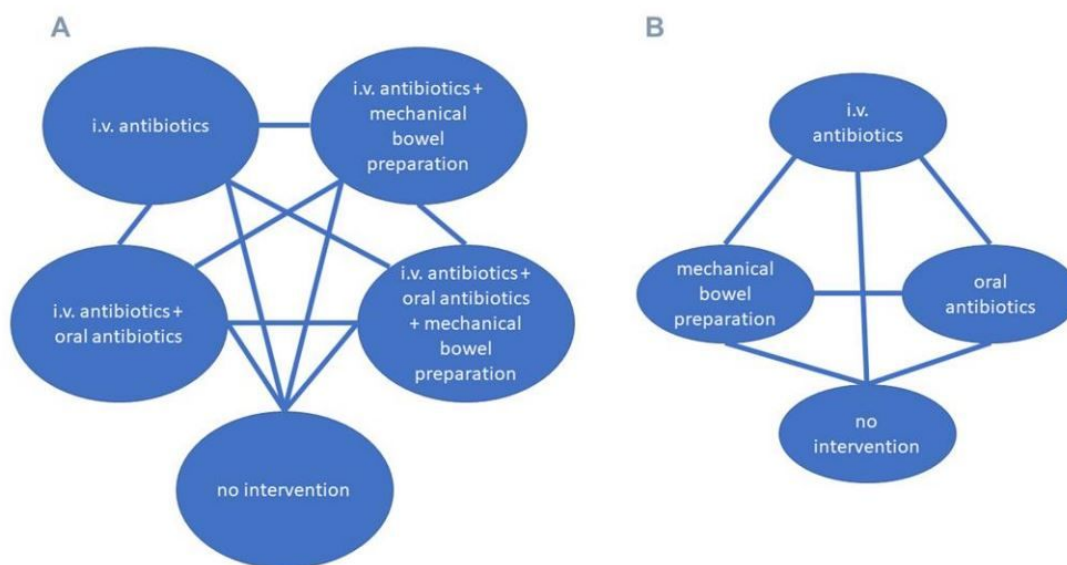


Figure 1: A) Network of treatment combinations expected to be identified through the systematic review.
B) Network of separate components that will be estimated through the CNMA

Outcomes

The primary outcome will be SSI of any severity.

Secondary outcomes will be:

- severity of SSI according to the CDC classification (superficial, deep incisional, organ space)²
- anastomotic failure
- ileus
- clostridium difficile infection
- postoperative mortality
- postoperative morbidity (any in-hospital complication classified as Clavien-Dindo grade I-IV²⁶ or with a comparable classification);
- re-operation
- hospital re-admission
- hospital length of stay
- postoperative length of stay
- quality of life (as measured in the single studies)

SSI is the pre-specified primary outcome because a direct effect of the tested interventions is assumed.

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3 As detailed in section “Patient involvement”, patient representatives will rank subjective
4 importance of the available secondary outcomes. These rankings will be used to identify highly
5 patient-relevant outcomes on which we will place special emphasis in the interpretation and
6 discussion of results. Regardless of this ranking, the different outcomes reflect different
7 aspects of the clinical course and potential complications following colorectal resection. SSI is
8 commonly classified into three severity grades². The tested interventions might have different
9 effects on SSI of different severity. Anastomotic failure is a dreaded complication in colorectal
10 surgery, which leads to organ space SSI and is assumed equally amenable to measures
11 reducing SSI incidence. Ileus is a common postoperative problem after colorectal resection
12 and might be triggered by alterations in the colorectal microbiome or mechanical irritations,
13 which are assumed to take place in consequence of SSI prevention measures. Postoperative
14 mortality and morbidity are highly relevant when evaluating colorectal resections. For their
15 assessment, the Clavien-Dindo scheme, a validated and widely used classification of
16 perioperative complications, will preferably be used²⁶. Re-operation, hospital re-admission,
17 and both overall and postoperative length of stay are all directly patient-relevant parameters
18 of quality of care. Quality of life is an important outcome, as it is a direct reflection of a
19 patient’s wellbeing.

26 **Quality Assessment and data extraction**

28 Two independent reviewers will assess study quality/risk of bias following Cochrane
29 recommendations²⁷. Five specific domains of bias will be investigated with the Cochrane risk
30 of bias tool version 2. Based on this assessment, each reviewer assigns an overall level of risk
31 of bias to each study with respect to the primary outcome. This overall risk of bias is defined
32 as the least favourable assessment across five domains of bias, with each domain being
33 assigned low risk of bias, some concerns, or high risk of bias. Bias level will be used as a quality
34 measurement for each study in sensitivity and subgroup analyses. Published aggregate data
35 will be extracted from full texts of publications. Two reviewers will extract data independently
36 by using a standardized extraction form and will consult a third reviewer if arbitration is
37 required to reach consensus. The form will compile the following items, if available, separately
38 for each study arm:

- 43 • General information on the study: title, authors, contact address, funding sources, language,
44 publication status, year of publication, place(s) and year(s) of study conduction
- 46 • Study design issues: in-/exclusion criteria, randomisation, risk of bias, length of study/follow-
47 up period
- 49 • Baseline characteristics of participants: size of intervention and comparison group, and for
50 each group the distribution of age, sex, World Health Organization [WHO] performance status
51 or American Society of Anesthesiologists [ASA] classification, underlying disease; in case of
52 malignant disease: histology, tumor location (right-sided colon, left-sided colon, rectum), TNM
53 and UICC stage, neoadjuvant therapy; details of the performed resection (extent, surgical
54 access [open/minimally-invasive], construction of anastomosis [yes/no], protective ostomy
55 placement [yes/no])

- Characteristics of the intervention: details of intravenous antibiotic administration, MBP, OAP including the administered compound and its dosage
- Loss to follow-up
- Incidence and precision estimate of SSI of any severity
- Incidence and precision estimate of the three SSI severity grades according to the CDC classification²
- Incidence and precision estimates of anastomotic failure, ileus, and clostridium difficile infection
- Postoperative mortality
 - Postoperative morbidity (any in-hospital complication classified as Clavien-Dindo grade I-IV²⁶ or with a comparable classification)
- Incidence and precision estimates of re-operation and hospital re-admission
- Hospital length of stay (absolute number of days and precision estimate)
- Postoperative length of stay (absolute number of days and precision estimate)
- Quality of life, as measured within the single trials

The data extraction form will be pilot tested on two retrieved studies and, if needed, be revised.

Multi-component network meta-analysis

As described in Figure 1, the network will presumably include nodes that consist of combinations of several treatment components (panel A), while the aim is to estimate treatment effects related to the basic components in addition to interaction effects. Assuming an additive relation between combinations of basic components, a random-effects multicomponent network meta-analysis as described by Welton et al.¹⁴ and Rücker et al.²⁸, using the frequentist implementation¹⁵ incorporated in the R²⁹ extension netmeta³⁰ will be performed. By using this model, multi-arm trials can be incorporated and mixed effects for basic and combined components are estimated.

The effect size with respect to dichotomous and categorical outcomes (such as the primary outcome SSI incidence) will be measured with odds ratios (OR) with 95% confidence intervals (CI). We will extract ORs whenever they are reported in the identified trials preferably from adjusted models. Otherwise, e.g., in cases where different effect measures such as the risk ratio are reported, they will be calculated using extracted frequencies and sample sizes in the trial arms. For continuous outcomes (e.g., length of hospital stay), the standardized mean difference (SMD) with its 95% CI will be calculated. Ordinal endpoints (e.g. quality of life scores) will be treated either as dichotomous events or as continuous data, depending on the number of categories observed, as well as the numbers falling into each category. The network meta-analysis model will include random effects to account for possible variation between trials due to clinical or statistical heterogeneity. Basic components, as well as the combinations addressed in primary trials, will be estimated. However, edges in the network that are informed by direct evidence will be compared with results from pairwise meta-analysis using the

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3 method of Bucher to assess potential inconsistency in the network. The treatment options will be
4 ranked using the P-Score. Publication bias will be explored by evaluating funnel plot asymmetry if a
5 sufficient number of studies is available.
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7 Subgroup and sensitivity analyses

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9 With respect to the network meta-analyses and the primary outcome SSI incidence, subgroup analyses
10 stratified for the study-level covariates type of resection (open vs. minimally-invasive, colon vs.
11 rectum) and underlying disease (malignant vs. benign) as well as for different characteristics of the
12 single interventions (high vs. low volume solutions for MBP, different classes of antibiotics for intra-
13 venous and oral administration) will be conducted. Other subgroup analyses will be defined based on
14 exploratory analyses of the available data. For all outcomes, sensitivity analyses based on the risk of
15 bias assigned to studies as described above (low, some concerns, high) will be performed. All statistical
16 analyses will be conducted with R version 4.1.1 or higher ²⁹and its extensions netmeta ³⁰, caret ³¹ and
17 tidyverse ³² and potentially other required extensions.
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21 A 'summary of findings' table will be produced according to the methodology stipulated in the
22 Cochrane Handbook for Systematic Reviews of Interventions ³³ It will provide information on the
23 quality of evidence using the GRADE system, on the effect magnitude of interventions, and on what
24 data are available with regard to the primary and relevant secondary outcomes, for both basic and
25 combined components.
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28 29 **Ethics and dissemination**

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32 Ethics approval has been obtained from the Ethical Committee, Medical Faculty, Martin-
33 Luther-University Halle-Wittenberg. The study is registered with PROSPERO (ID:
34 CRD42021267322)
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37 38 **Strategies for data sharing and dissemination of results**

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40 Aggregate data from single trials will be combined in a dedicated database, will be stored in a
41 repository and upon request made available for secondary analyses to other researchers.
42 Results shall be disseminated directly to decision-makers such as surgeons,
43 gastroenterologists, wound care specialists etc. by means of publication in peer-reviewed
44 journals. The means of dissemination will be presentations at national and international
45 conferences as well as specific events. In particular, a virtual or on-site symposium where the
46 results of the analysis will be presented and discussed among decision-makers is planned.
47 Results will be actively presented to the bodies in charge of national and international
48 treatment guidelines. Because results are expected to have a direct and relevant impact on
49 patients' decision-making, we will specifically communicate them to patients and the public.
50 Possible media of dissemination are health-specific sections of newspapers, radio and TV
51 programs as well as a direct approach through patients' organizations.
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54 55 **Patient involvement**

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58 While SSI is the defined primary outcome of this study, several secondary outcomes will be
59 assessed as well. Patient involvement is crucial in order to define the relevance of outcomes
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3 to patients. A staged approach regarding patient involvement will be employed. During
4 literature review, all pre-specified outcomes will be considered. After all data are extracted,
5 available outcomes including how they were collected (e.g. specific quality of life indices) will
6 be listed. This list will be a basis for a discussion with patient representatives recruited through
7 the patient organisation Deutsche ILCO e.V. In particular, a focus group discussion with at least
8 five patient representatives will be conducted. This discussion will serve to rank the subjective
9 importance of available outcomes to patients. A ranking scale will be devised by the
10 assignment of points to each outcome by the single participants. Regarding outcomes which
11 can be measured in multiple ways, such as quality of life, the specific measurement available
12 from the trials will also be discussed and judged by the patient representatives. After
13 completion of the analyses, results will be discussed again in the framework of a focus group
14 discussion with patient representatives from Deutsche ILCO e.V. Similar to the first discussion,
15 the importance of the results of the single outcomes will be ranked by assigning points in the
16 light of the specific result. Both rankings will be reported in all presentations of results. It is
17 planned to present results not only to a scientific audience, but also to patients and their next
18 of kin through appropriate media and in dedicated settings like information events.

25 **Authors' contribution**

26
27 The study concept and design were conceived by UR, JH, MG, SS, JV, MP, MK, JK and CM. UR,
28 JH and JF will conduct article screening and data extraction. SS, JV and MP will perform data
29 analysis. All authors drafted this manuscript, revised it for content and have provided the final
30 approval of this version. UR, the corresponding author, is the guarantor of the review.

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34
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37 Faculty, Martin-Luther-University Halle-Wittenberg.

40 **Competing interests statement**

41
42 None declared.

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For peer review only

BEAUFTRAGT VOM



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02. Februar 2021

Bekanntmachung des Bundesministeriums für Bildung und Forschung „Klinische Studien mit hoher Relevanz für die Patientenversorgung“ im Rahmenprogramm Gesundheitsforschung der Bundesregierung vom 24. Februar 2020

**Ihre Projektskizze (KS2020-172) mit dem Thema „INTerventions to Reduce the Incidence of Surgical Site Infection in colorectal resections: systematic review with multicomponent network meta-analysis“ vom 25. Mai 2020
Unsere Eingangsbestätigung vom 05. Juni 2020**

Sehr geehrter Herr Dr. Ronellenfitsch,

vielen Dank für Ihre Beteiligung an der oben genannten Bekanntmachung des BMBF. Ihre oben genannte Projektskizze haben wir unter Einbeziehung eines interdisziplinär und international besetzten Begutachtungsgremiums eingehend geprüft.

Wir freuen uns Ihnen mitteilen zu können, dass Ihre Projektskizze **zur Förderung empfohlen** wird. In der Anlage finden Sie die detaillierte Bewertung Ihrer Skizze. Die Bewertung enthält weitere Empfehlungen und Hinweise.

Bitte reichen Sie nun den Formantrag ein. Bitte legen Sie mit dem Formantrag eine Stellungnahme zur Umsetzung der folgenden Auflagen und Empfehlungen vor:

- Es sollte klarer beschrieben werden, inwiefern die ggf. gegenseitig aufhebende Wirkung gleichzeitig durchgeführter Interventionen in der Netzwerk-Metaanalyse mit additiven Komponenten abgebildet werden kann.
- Bitte erläutern Sie, warum die intravenöse Antibiose in die Untersuchung einbezogen wird, obwohl sie seit 20 Jahren des Standardprozedere ist. Sind Ihnen eventuell Studien bekannt, die nahelegen, dass man diese Standardmedikation ersetzen kann?
- Es wird empfohlen, der ILCO e. V. anzubieten, die Ergebnisse des Reviews in laienverständlicher Sprache in ihrer Verbandszeitschrift „ILCO-PRAXIS“ vorzustellen.



Ihrem Formantrag legen Sie bitte das in Ihrer Projektskizze dargestellte Finanzgerüst zugrunde. Das Finanzgerüst steht unter dem Vorbehalt der weiteren Prüfung der Zuwendungsfähigkeit.

Bitte verwenden Sie für die Erstellung des Formantrags das elektronische Online-Antragssystem **easy-Online** (<https://foerderportal.bund.de/easyonline>). Den direkten Link zu Ihrer Fördermaßnahme werden wir Ihnen gesondert per E-Mail übermitteln. Im „Formularschrank“ des BMBF, der ebenfalls über das Förderportal des Bundes zu erreichen ist, finden Sie die **Richtlinien für Zuwendungsanträge auf Ausgabenbasis**. Die Richtlinien sind bei der Erstellung des Formantrags und der Vorhabenbeschreibung unbedingt zu beachten. Insbesondere ist die in den Richtlinien vorgegebene **Gliederung der Vorhabenbeschreibung** zu übernehmen.

Die Papierform des formgerechten Antrags muss rechtsverbindlich unterschrieben werden.

Wir bitten Sie, den Antrag einschließlich der weiteren erforderlichen Unterlagen an die folgende Postadresse zu senden:

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- Gesundheit -
Dr. Elise Radtke
Heinrich-Konen-Straße 1
53227 Bonn

Wenn Ihr Formantrag bis zum **28.02.2021** vollständig vorliegt, kann die Laufzeit Ihres Vorhabens voraussichtlich zum **01.07.2021** beginnen. Sollten Sie den vorgeschlagenen Einreichungstermin nicht einhalten oder sollten uns die Antragsunterlagen bis zu diesem Zeitpunkt nicht vollständig vorliegen, werden wir den Förderbeginn verschieben. Eine rückwirkende Bewilligung ist aus zuwendungsrechtlichen Gründen nicht möglich.

Wir weisen Sie darauf hin, dass alle obigen Angaben eine Zwischenmitteilung zum derzeitigen Stand unserer Prüfung Ihrer Projektskizze darstellen. Die abschließende Prüfung wird erst nach der Vorlage des vollständigen Formantrags möglich sein.

Sie können aus diesem Schreiben **keinesfalls** einen Rechtsanspruch auf Förderung ableiten. Insbesondere können Sie bei Ablehnung Ihres Formantrags keinen Ersatz für angefallene Ausgaben bzw. Kosten erhalten.

Sollten Sie Fragen haben, rufen Sie uns gerne an.

Wir wünschen Ihnen viel Erfolg und freuen uns auf eine gute Zusammenarbeit.

Mit freundlichen Grüßen

i. A.


i. A. Dr. Svenja Krebs

i. A.


Dörte Lang



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6 Anlagen

- 7 - Detaillierte Bewertung der Projektskizze
8 - Empfohlenes Finanzgerüst für die Formantragstellung
9 - Hinweise und Checkliste zu Formantragstellung
10 - Muster Verwertungsplan „Systematische Reviews“
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PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol*

Section and topic	Item No	Checklist item	Page
ADMINISTRATIVE INFORMATION			
Title:			
Identification	1a	Identify the report as a protocol of a systematic review	2
Update	1b	If the protocol is for an update of a previous systematic review, identify as such	N/A
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number	2
Authors:			
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	1
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review	
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments	N/A
Support:			
Sources	5a	Indicate sources of financial or other support for the review	11
Sponsor	5b	Provide name for the review funder and/or sponsor	11
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol	N/A
INTRODUCTION			
Rationale	6	Describe the rationale for the review in the context of what is already known	3-4
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO)	5-7
METHODS			
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review	5-7
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage	4
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated	-

Study records:			
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review	
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	5
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators	8
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	8
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	7
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	7-8
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised	9
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I^2 , Kendall's τ)	9
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression)	9
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned	9
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	7-8
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	9

*** It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.

BMJ Open

INterventions to Reduce the Incidence of Surgical Site Infection in colorectal resections: systematic review with multicomponent network meta-analysis (INTRISSI) – study protocol

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Primary Subject Heading:	Surgery
Secondary Subject Heading:	Surgery
Keywords:	Colorectal surgery < SURGERY, STATISTICS & RESEARCH METHODS, Adverse events < THERAPEUTICS

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INterventions to Reduce the Incidence of Surgical Site Infection in colorectal resections: systematic review with multicomponent network meta-analysis (INTRISSI) – study protocol

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3 **Interventions to Reduce the Incidence of Surgical Site Infection in colorectal**
4 **resections: systematic review with multicomponent network meta-analysis**
5 **(INTRISSI) – study protocol**
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10 Juliane Friedrichs, Johannes A. Vey, Svenja E. Seide, Maximilian Pilz, Samuel Zimmermann,
11 Julia Hardt, Jörg Kleeff, Johannes Klose, Christoph Michalski, Meinhard Kieser, Ulrich
12 Ronellenfitsch
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14
15 **Abstract**
16

17 **Objective** To assess the relative contribution of intravenous antibiotic prophylaxis, mechanical
18 bowel preparation, oral antibiotic prophylaxis, and combinations thereof towards the
19 reduction of surgical site infection (SSI) incidence in elective colorectal resections.
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22 **Methods and Analysis** Multicomponent network meta-analysis using machine learning based
23 screening. A systematic search of randomised controlled trials (RCTs) comparing interventions
24 to reduce SSI incidence will be conducted with predefined search terms in the following
25 databases: MEDLINE, LILACS, Cochrane Central Register of Controlled Trials (CENTRAL), and
26 the Cochrane Database of Systematic Reviews (CDSR). Additionally, several online databases
27 will be searched for ongoing trials, and conference proceedings and reference lists of retrieved
28 articles will be hand-searched. The title-abstract screening will be partly performed by means
29 of a semi-automated supervised machine learning approach, which will be trained on a subset
30 of the identified titles and abstracts identified through traditional screening methods.
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34 The primary analysis will be a multicomponent network meta-analysis, as we expect to identify
35 studies that investigate combinations of interventions (e.g. mechanical bowel preparation
36 combined with oral antibiotics), as well as studies that focus on individual components
37 (mechanical bowel preparation or oral antibiotics). By means of a multicomponent network
38 meta-analysis we aim at estimating the effects of the separate components along the effects
39 of the observed combinations. To account for between-trial heterogeneity, a random-effects
40 approach will be combined with inverse variance weighting for estimation of the treatment
41 effects. Associated 95% CIs will be calculated as well as the ranking for each component in the
42 network using P-Scores. Visualisation will be done by network graphics and forest plots of the
43 overall pairwise effect estimates. Comparison adjusted funnel-plots will be used to assess
44 publication bias.
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49 **Ethics and Dissemination** Ethical approval by the Ethical Committee of the Medical Faculty of
50 the Martin-Luther-University Halle-Wittenberg (ID of approval: 2021-148). Results shall be
51 disseminated directly to decision-makers (e.g. surgeons, gastroenterologists, wound care
52 specialists) by means of publication in peer-reviewed journals, presentation at conferences
53 and through the media (e.g. radio, TV, etc.).
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59 **Trial registration number** registered at Prospero (ID: CRD42021267322)
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Strength and limitations of this study:

- Literature screening is supported by machine learning, which is a new and highly innovative technique saving work and time.
- The multicomponent network meta-analysis integrates and compares all available evidence on how effective the different interventions are in preventing SSIs.
- Results will be rated and discussed with patient representatives
- No individual patient data will be available from trials.

Introduction

Colorectal resections are some of the most frequently performed operations in abdominal surgery. For 2018, in Germany, the annual number of colorectal resections for all causes reached 350,803¹. Due to the microbiome inherent to the colon and rectum, postoperative surgical site infections (SSIs) are a frequent problem. According to the commonly used definition of the Centres for Disease Control (CDC), they comprise infections of the incision, fascia and muscle layer, or the organ space². It is estimated that SSIs occur in up to 40% of colorectal resections³, amounting to approximately 130,000 annual cases of SSI in Germany alone⁴.

SSIs have a relevant impact on both patients and health care systems. Depending on severity, they require additional interventions, prolong the hospital stay, increase treatment and societal costs, negatively impact quality of life, lead to temporary or permanent disability, or can even be life threatening. The median prolongation of hospital stays due to SSIs after colorectal surgery is estimated to be seven days⁵, and the quality adjusted life years (QALYs) lost for patients suffering an SSI 0.93⁶. The cost of an SSI is estimated at around 30,000 USD in the USA⁶ and between 926 and 65,114 Euro in Germany⁷.

Several interventions have been used with the aim of reducing the SSI risk in elective colorectal resections. However, the evidence is conflicting and to some aspects contradictory. Intravenous antibiotics prior to skin incision are considered indispensable based on high-level evidence from studies comparing it to no intravenous antibiotic administration³. Mechanical bowel preparation (MBP) using a drinkable cleansing solution has been assessed in a recent meta-analysis comprising both RCTs and observational studies. It failed to show a lower SSI incidence in patients receiving MBP⁸. There is evidence that oral antibiotic prophylaxis (OAP) in combination with MBP prior to resection reduces SSI incidence to a larger extent than MBP alone⁹. This has led to the recommendation of the combination in the WHO guidelines for SSI prevention¹⁰. Yet, when compared to intravenous antibiotic prophylaxis, OAP is associated with higher SSI incidence¹¹. A recent meta-analysis aimed at assessing the impact of OAP with or without MBP compared with different other prophylactic strategies on the incidence of SSI and other postoperative complications¹². The authors concluded that OAP is associated with lower SSI incidence. However, the interpretation of the results is limited because no network meta-analysis was done. This methodology was applied by Toh et al. for a comparison of

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3 different prophylactic interventions¹³. The analysis found that MBP with OAP was associated
4 with the lowest SSI risk compared to OAB alone, MBP alone or no preparation. However, the
5 effect of intravenous antibiotics was not assessed and the methods used did not allow
6 calculating the relative contribution of the single interventions to the observed effects.
7
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9 In summary, there is substantial evidence comparing different interventions and combinations
10 thereof for SSI prevention in elective colorectal surgery. Yet, no comprehensive analysis of this
11 evidence using appropriate methods for discerning the true effects of the single interventions
12 or combinations has been done so far. Traditional network meta-analysis approaches either
13 lump such combinations into classes of treatments with high variation between studies
14 contributing information, or treat all combinations as separate nodes by splitting the network.
15 Recently, an approach developed for network meta-analysis of multi-component
16 interventions¹⁴ has been formalized¹⁵. This multi-component network meta-analysis (CNMA)
17 estimates the separate components of which treatments consist, e.g., MBP, intravenous
18 antibiotics, and OAP, along with treatment combinations actually used in identified RCTs.
19 CNMA is therefore the only approach that allows estimating treatment effects of a given
20 component relative to a reference component, of combinations of components compared to
21 a reference component, and of all possible treatment contrasts based on the estimation
22 results and the network structure. It is the only method which can validly answer the research
23 question regarding SSI prevention in elective colorectal resection.
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29 Another important aspect targeted by this study is the burdensome and time-consuming title
30 abstract screening in systematic reviews. Although machine learning has developed rapidly in
31 recent years and has been proposed for usage in systematic reviews¹⁶⁻¹⁸, there is only a limited
32 number of studies actually applying text-mining in combination with supervised learning in
33 medical research^{17 19}. The project evaluates the practicability of applying natural language
34 processing procedures and machine learning techniques to abstract screening in the scope of
35 a real-world example. We aim at providing a standardized workflow to support abstract
36 screening with advanced machine learning techniques.
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40 **Methods and Analysis**

41 **Search strategies and information sources**

42
43 A computer-based literature search will be performed in several databases, including the
44 Cochrane Central Register of Controlled Trials (CENTRAL), Cochrane Database of Systematic
45 Reviews (CDSR) from The Cochrane Library, MEDLINE (1966 to present), LILACS (Literatura
46 Latinoamericana y del Caribe en Ciencias de la Salud), Current Contents / Clinical Medicine
47 (1990 to present) and Web of Science (1945 to present). The search will be limited to studies
48 in humans. No language restrictions will apply. The Cochrane Highly Sensitive Search Strategy
49 for identifying randomised trials in MEDLINE, Sensitivity maximizing version, NCBI Platform,
50 will be employed with predefined search terms (supplementary file). It will be adapted for the
51 other databases searched. Moreover, the following online databases of ongoing trials will be
52 searched: www.clinicaltrials.nci.nih.gov; www.centerwatch.com; www.trialscentral.org;
53 www.controlledtrials.com; www.eortc.be; www.studien.de; and www.germanctr.de.
54 Reference lists of retrieved articles will be scanned for further eligible trials (backward search)
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3 and citations of identified trials will be checked for inclusion (forward search). Experts in the
4 field will be contacted about any unpublished or ongoing studies
5

6 **Study selection**

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8 This CNMA is limited to RCTs, which are the only study design able to provide unbiased
9 evidence for the research question. Due to the nature of the interventions and comparator
10 under study, blinding of either the patient or the treating physician is not possible for all
11 interventions and is therefore not considered an in- or exclusion criterion. There are no
12 restrictions regarding minimal follow-up time or study size.
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15
16 Study selection will be partly performed using machine learning (ML) methods in a semi-
17 automated screening procedure due to the large number of citations expected from our
18 search strategy. First, the identified studies will be randomized into three data sets: training
19 data set, validation data set, and screening data set, with equal sizes of 33.3%. In the training
20 set, two independent reviewers will assess title, keywords, and abstracts of all retrieved
21 studies and decide which studies are included into the systematic review. Any disagreements
22 will be resolved by consensus or consultation with a third reviewer.
23
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25
26 For the purpose of computer-based text classification based on the abstracts, the texts will be
27 pre-processed to achieve consistent format by means of various cleaning approaches, such as
28 transformation to lower case and removing punctuation, symbols, numbers, and stop words.
29 Text reduction will be performed by using stemming techniques and a document-term matrix
30 will be created as input for the ML methods. Three ML methods will be applied independently
31 to predict whether a particular study is included into the systematic review or not. Those
32 methods are regularized logistic regression²⁰, kernel-based support vector machines²¹, and
33 tree-based random forests²². These three approaches stem from different sectors of ML and,
34 therefore a wide range of methods is covered. If appropriate, the number of applied
35 algorithms can be extended, e.g., if no sufficiently high performance can be achieved by at
36 least one of these algorithms. After tuning the algorithms using repeated cross-validation, the
37 ML techniques in the validation set will be assessed by using the AUC (area under the curve)
38 as performance measure. To consider the imbalanced class distribution, the Precision-Recall
39 (PR) curve²³ will be applied additional to the Receiver Operating Characteristics (ROC) curve
40 to computing the AUC. The corresponding PR and ROC curve will be plotted and key numbers
41 such as the AUC, sensitivity, specificity, accuracy, and the worked saved over sampling (WSS)²⁴
42 will be reported to evaluate the algorithms' quality. Per algorithm, the 10% of citations with
43 the largest difference between human decision (0 or 1 for exclude or include) and the
44 predicted (continuous) inclusion probability will be identified and the validity of human-based
45 and algorithm-based decisions will be re-evaluated. This procedure will be repeated by adding
46 a further 10% of unseen, randomly selected citations to the training set. If the performance of
47 at least one ML algorithm is deemed acceptable, the citations in the screening set need to be
48 screened by only one human and the second reviewer is replaced by the best-performing ML
49 method. For all citations identified as eligible in title-abstract screening, full-text will be
50 retrieved and scrutinized by two independent reviewers. Any disagreements will be resolved
51 by consensus, or by consultation with a third reviewer. The entire process of study retrieval,
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3 in- and exclusion will be displayed in a flowchart as stipulated by the PRISMA-NMA statement
4 25.
5

6 **Population**

7
8 To be included, trials need to be conducted on patients undergoing elective, i.e. non-
9 emergent, planned, colorectal resection. Resection will be defined as removal of at least a
10 segment of the colon or rectum, with or without primary anastomosis and with or without
11 protective ostomy placement. There will be no limitations regarding the underlying disease
12 constituting the indication for resection. Thus, both malignant diseases such as colon cancer,
13 and benign diseases such as diverticulitis, will be included.
14
15

16 **Intervention(s)**

17
18 (1) intravenous antibiotic prophylaxis,
19
20 (2) mechanical bowel preparation (MBP),
21
22 (3) oral antibiotic prophylaxis (OAP),
23
24 or any combination of (1), (2), and (3)
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26 **Comparator(s)**

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28 No intervention, defined as the absence of any of (1), (2), or (3).
29

30
31 To be included in this CNMA, trials must either compare any of the interventions or
32 combinations thereof directly with another or with no intervention or combinations thereof;
33 or one of the trial arms must be the comparator. A network like the one illustrated in Figure
34 1A is expected to be identified in the systematic literature review. In that network, the
35 treatment nodes are defined mostly by combinations of separate treatment components and
36 the estimation results need to be interpreted as treatment interaction effects. Besides these
37 interaction effects, the treatment effects for the separate components by means of CNMA will
38 also be estimated, which is visualized in Figure 1B.
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46 Figure 1: A) Network of treatment expected to be identified through the systematic review

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48 B) Network of separate components that will be estimated through the CNMA
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52 **Outcomes**

53
54 The primary outcome will be SSI of any severity.

55
56 Secondary outcomes will be:

- 57
58 • severity of SSI according to the CDC classification (superficial, deep incisional, organ space)²
59
60 • anastomotic failure

- ileus
- clostridium difficile infection
- postoperative mortality
- postoperative morbidity (any in-hospital complication classified as Clavien-Dindo grade I-IV²⁶ or with a comparable classification);
- re-operation
- hospital re-admission
- hospital length of stay
- postoperative length of stay
- quality of life (as measured in the single studies)

SSI is the pre-specified primary outcome because a direct effect of the tested interventions is assumed.

As detailed in section “Patient involvement”, patient representatives will rank subjective importance of the available secondary outcomes. These rankings will be used to identify highly patient-relevant outcomes on which we will place special emphasis in the interpretation and discussion of results. Regardless of this ranking, the different outcomes reflect different aspects of the clinical course and potential complications following colorectal resection. SSI is commonly classified into three severity grades². The tested interventions might have different effects on SSI of different severity. Anastomotic failure is a dreaded complication in colorectal surgery, which leads to organ space SSI and is assumed equally amenable to measures reducing SSI incidence. Ileus is a common postoperative problem after colorectal resection and might be triggered by alterations in the colorectal microbiome or mechanical irritations, which are assumed to take place in consequence of SSI prevention measures. Postoperative mortality and morbidity are highly relevant when evaluating colorectal resections. For their assessment, the Clavien-Dindo scheme, a validated and widely used classification of perioperative complications, will preferably be used²⁶. Re-operation, hospital re-admission, and both overall and postoperative length of stay are all directly patient-relevant parameters of quality of care. Quality of life is an important outcome, as it is a direct reflection of a patient’s wellbeing.

Quality Assessment and data extraction

Two independent reviewers will assess study quality/risk of bias following Cochrane recommendations²⁷. Five specific domains of bias will be investigated with the Cochrane risk of bias tool version 2. Based on this assessment, each reviewer assigns an overall level of risk of bias to each study with respect to the primary outcome. This overall risk of bias is defined as the least favourable assessment across five domains of bias, with each domain being assigned low risk of bias, some concerns, or high risk of bias. Bias level will be used as a quality measurement for each study in sensitivity and subgroup analyses. Published aggregate data will be extracted from full texts of publications. Two reviewers will extract data independently

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2
3 by using a standardized extraction form and will consult a third reviewer if arbitration is
4 required to reach consensus. The form will compile the following items, if available, separately
5 for each study arm:
6

- 7 • General information on the study: title, authors, contact address, funding sources, language,
8 publication status, year of publication, place(s) and year(s) of study conduction
9
- 10 • Study design issues: in-/exclusion criteria, randomisation, risk of bias, length of study/follow-
11 up period
12
- 13 • Baseline characteristics of participants: size of intervention and comparison group, and for
14 each group the distribution of age, sex, World Health Organization [WHO] performance status
15 or American Society of Anesthesiologists [ASA] classification, underlying disease; in case of
16 malignant disease: histology, tumor location (right-sided colon, left-sided colon, rectum), TNM
17 and UICC stage, neoadjuvant therapy; details of the performed resection (extent, surgical
18 access [open/minimally-invasive], construction of anastomosis [yes/no], protective ostomy
19 placement [yes/no])
20
- 21 • Characteristics of the intervention: details of intravenous antibiotic administration, MBP,
22 OAP including the administered compound and its dosage
23
- 24 • Loss to follow-up
25
- 26 • Incidence and precision estimate of SSI of any severity
27
- 28 • Incidence and precision estimate of the three SSI severity grades according to the CDC
29 classification²
30
- 31 • Incidence and precision estimates of anastomotic failure, ileus, and clostridium difficile
32 infection
33
- 34 • Postoperative mortality
35
- 36 • Postoperative morbidity (any in-hospital complication classified as Clavien-Dindo grade I-
37 IV²⁶ or with a comparable classification)
38
- 39 • Incidence and precision estimates of re-operation and hospital re-admission
40
- 41 • Hospital length of stay (absolute number of days and precision estimate)
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- 43 • Postoperative length of stay (absolute number of days and precision estimate)
44
- 45 • Quality of life, as measured within the single trials
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52 The data extraction form will be pilot tested on two retrieved studies and, if needed, be
53 revised.
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55 56 57 **Multi-component network meta-analysis**

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59 As described in Figure 1, the network will presumably include nodes that consist of combinations of
60 several treatment components (panel A), while the aim is to estimate treatment effects related to the

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3 basic components in addition to interaction effects. Assuming an additive relation between
4 combinations of basic components, a random-effects multicomponent network meta-analysis as
5 described by Welton et al.¹⁴ and Rücker et al.²⁸, using the frequentist implementation¹⁵ incorporated
6 in the R²⁹ extension netmeta³⁰ will be performed. By using this model, multi-arm trials can be
7 incorporated and mixed effects for basic and combined components are estimated.
8
9

10 The effect size with respect to dichotomous and categorical outcomes (such as the primary outcome
11 SSI incidence) will be measured with odds ratios (OR) with 95% confidence intervals (CI). We will extract
12 ORs whenever they are reported in the identified trials preferably from adjusted models. Otherwise,
13 e.g., in cases where different effect measures such as the risk ratio are reported, they will be calculated
14 using extracted frequencies and sample sizes in the trial arms. For continuous outcomes (e.g., length
15 of hospital stay), the standardized mean difference (SMD) with its 95% CI will be calculated. Ordinal
16 endpoints (e.g. quality of life scores) will be treated either as dichotomous events or as continuous
17 data, depending on the number of categories observed, as well as the numbers falling into each
18 category. The network meta-analysis model will include random effects to account for possible
19 variation between trials due to clinical or statistical heterogeneity. Basic components, as well as the
20 combinations addressed in primary trials, will be estimated. However, edges in the network that are
21 informed by direct evidence will be compared with results from pairwise meta-analysis using the
22 method of Bucher to assess potential inconsistency in the network. The treatment options will be
23 ranked using the P-Score. Publication bias will be explored by evaluating funnel plot asymmetry if a
24 sufficient number of studies is available.
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28 Subgroup and sensitivity analyses

29
30 With respect to the network meta-analyses and the primary outcome SSI incidence, subgroup analyses
31 stratified for the study-level covariates type of resection (open vs. minimally-invasive, colon vs.
32 rectum) and underlying disease (malignant vs. benign) as well as for different characteristics of the
33 single interventions (high vs. low volume solutions for MBP, different classes of antibiotics for intra-
34 venous and oral administration) will be conducted. Other subgroup analyses will be defined based on
35 exploratory analyses of the available data. For all outcomes, sensitivity analyses based on the risk of
36 bias assigned to studies as described above (low, some concerns, high) will be performed. All statistical
37 analyses will be conducted with R version 4.1.1 or higher²⁹ and its extensions netmeta³⁰, caret³¹ and
38 tidyverse³² and potentially other required extensions.
39
40
41

42 A 'summary of findings' table will be produced according to the methodology stipulated in the
43 Cochrane Handbook for Systematic Reviews of Interventions³³ It will provide information on the
44 quality of evidence using the GRADE system, on the effect magnitude of interventions, and on what
45 data are available with regard to the primary and relevant secondary outcomes, for both basic and
46 combined components.
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51 Ethics and dissemination

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53 Ethics approval has been obtained from the Ethical Committee, Medical Faculty, Martin-
54 Luther-University Halle-Wittenberg. The study is registered with PROSPERO (ID:
55 CRD42021267322)
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60 Strategies for data sharing and dissemination of results

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3 Aggregate data from single trials will be combined in a dedicated database, will be stored in a
4 repository and upon request made available for secondary analyses to other researchers.
5 Results shall be disseminated directly to decision-makers such as surgeons,
6 gastroenterologists, wound care specialists etc. by means of publication in peer-reviewed
7 journals. The means of dissemination will be presentations at national and international
8 conferences as well as specific events. In particular, a virtual or on-site symposium where the
9 results of the analysis will be presented and discussed among decision-makers is planned.
10 Results will be actively presented to the bodies in charge of national and international
11 treatment guidelines. Because results are expected to have a direct and relevant impact on
12 patients' decision-making, we will specifically communicate them to patients and the public.
13 Possible media of dissemination are health-specific sections of newspapers, radio and TV
14 programs as well as a direct approach through patients' organizations.
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19 **Patient involvement**

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21 While SSI is the defined primary outcome of this study, several secondary outcomes will be
22 assessed as well. Patient involvement is crucial in order to define the relevance of outcomes
23 to patients. A staged approach regarding patient involvement will be employed. During
24 literature review, all pre-specified outcomes will be considered. After all data are extracted,
25 available outcomes including how they were collected (e.g. specific quality of life indices) will
26 be listed. This list will be a basis for a discussion with patient representatives recruited through
27 the patient organisation Deutsche ILCO e.V. In particular, a focus group discussion with at least
28 five patient representatives will be conducted. This discussion will serve to rank the subjective
29 importance of available outcomes to patients. A ranking scale will be devised by the
30 assignment of points to each outcome by the single participants. Regarding outcomes which
31 can be measured in multiple ways, such as quality of life, the specific measurement available
32 from the trials will also be discussed and judged by the patient representatives. After
33 completion of the analyses, results will be discussed again in the framework of a focus group
34 discussion with patient representatives from Deutsche ILCO e.V. Similar to the first discussion,
35 the importance of the results of the single outcomes will be ranked by assigning points in the
36 light of the specific result. Both rankings will be reported in all presentations of results. It is
37 planned to present results not only to a scientific audience, but also to patients and their next
38 of kin through appropriate media and in dedicated settings like information events.
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46 **Authors' contribution**

47
48 JF: study concept and design, literature review, protocol draft

49
50 JAV: study concept and design, development of statistical and machine-learning methods,
51 protocol draft, final approval of submitted manuscript

52
53 SES: study concept and design, development of statistical methods, protocol draft, final
54 approval of submitted manuscript

55
56 MP: development of statistical methods, final approval of submitted manuscript

57
58 SZ: development of machine-learning methods, final approval of submitted manuscript

59
60 JH: study concept and design, literature review, final approval of submitted manuscript

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3 JK (Jörg Kleeff): study concept and design, surgical expertise, final approval of submitted
4 manuscript
5

6 JK (Johannes Klose): study concept and design, surgical expertise, final approval of submitted
7 manuscript
8

9 CM: study concept and design, surgical expertise, final approval of submitted manuscript
10

11 MK: study concept and design, development of statistical methods, protocol draft, final
12 approval of submitted manuscript
13

14 UR: development of research hypothesis, study concept and design, , literature review,
15 surgical expertise, final approval of submitted manuscript, guarantor of the review
16
17

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19
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21 01KG2106 and by intramural funding (Advanced Clinician Scientist program) of the Medical
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23
24

25 **Competing interests statement**

26 None declared.
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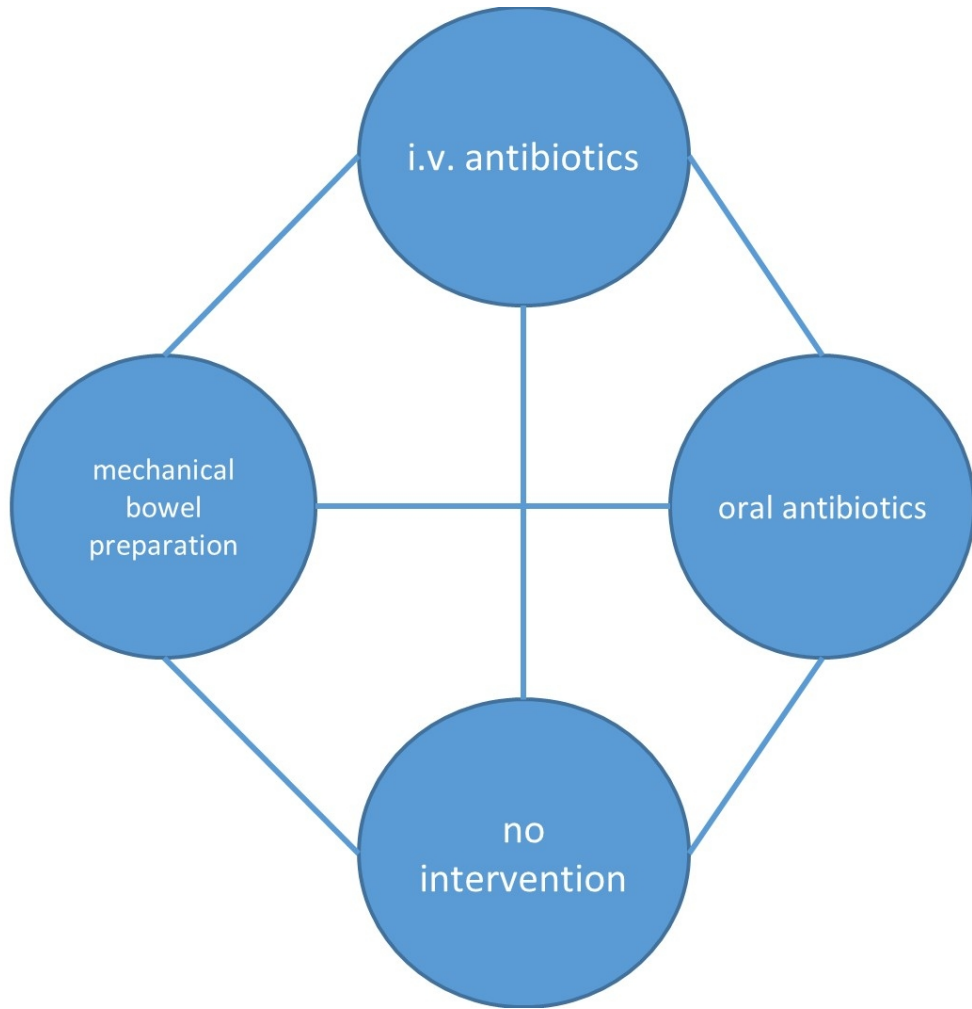
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1A_Network of treatment combinations expected to be identified through the systematic review

1B_Network of separate components that will be estimated through the CNMA

90x90mm (300 x 300 DPI)

Systematic literature search

Topic

Interventions to reduce the Incidence of Surgical Site Infection in colorectal resections

Definition of the main topic concepts

P

Colorectal surgery	
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intravenous antibiotic prophylaxis	
oral antibiotic prophylaxis	
mechanical bowel preparation	

O

Surgical Site Infections	
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Strategy

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2	I	
3	O	
4	1 AND 2 AND 3	

Databases

- PubMed
- Cochrane Library
- Web of Science Core Collection
- Clinical Trials.Gov

PubMed

1		
2		
3	P	
4	"Colorectal Surgery"[Mesh] OR	
5	"Colon/surgery"[Mesh] OR	
6	Proctolog*[tw] OR	
7	colectom*[tw] OR	
8	((colorect*[tw] OR	
9	"Colo rect*[tw] OR	
10	Colon*[tw] OR	
11	Rectal*[tw] OR	
12	Rectum*[tw])	
13	AND	
14	("General Surgery"[Mesh] OR	
15	"Surgical Procedures, Operative"[Mesh] OR	
16	Operat*[tw] OR	
17	Surg*[tw] OR	
18	Excision*[tw] OR	
19	Dissection*[tw] OR	
20	resect*[tw] OR	
21	removal*[tw] OR	
22	ectomy[tw] OR	
23	ectomies[tw] OR	
24	Postoperat*[tw]))	
25	I	
26	((("Administration, Oral"[Mesh] OR	
27	"Administration, Intravenous"[Mesh] OR	
28	Oral*[tw] OR	
29	Mouth*[tw] OR	
30	Intraven*[tw] OR	
31	"iv route*[tw])	
32	AND	
33	("Anti-Bacterial Agents"[Mesh] OR	
34	"Antibiotic Prophylaxis"[Mesh] OR	
35	Antibacter*[tw] OR	
36	"Anti bacter*[tw] OR	
37	Antibiotic*[tw] OR	
38	"single shot"[tw]))	
39	OR	
40	((bowel*[tw] OR	
41	intestin*[tw] OR	
42	gut[tw])	
43	AND	
44	("prevention and control"[Subheading] OR	
45	"Cathartics"[Mesh] OR	
46	prophylax*[tw] OR	
47	Prevent*[tw] OR	
48	preparat*[tw] OR	
49	decontaminat*[tw] OR	
50	evacuant*[tw] OR	
51	purgativ*[tw] OR	
52	cathartic*[tw]))	
53	O	
54	"Surgical Wound Infection"[Mesh] OR	
55	"Site Infecti*[tw] OR	
56	"Wound Infecti*[tw] OR	
57	SSI[tw] OR	
58	"Intraoperative Complications"[Mesh] OR	
59	"Postoperative Complications"[Mesh] OR	
60	"Anastomotic Leak"[Mesh] OR	
	"Anastomotic Leak*[tw] OR	
	((Intraoperative*[tw] OR	
	Postoperative*[tw]))	

1 AND
2 Complication*[tw])

3 Search strings

4 P

5 "Colorectal Surgery"[Mesh] OR "Colon/surgery"[Mesh] OR Proctolog*[tw] OR colectom*[tw] OR ((colorect*[tw] OR
6 "Colo rect*[tw] OR Colon*[tw] OR Rectal*[tw] OR Rectum*[tw]) AND ("General Surgery"[Mesh] OR "Surgical
7 Procedures, Operative"[Mesh] OR Operat*[tw] OR Surg*[tw] OR Excision*[tw] OR Dissection*[tw] OR resect*[tw]
8 OR removal*[tw] OR ectomy[tw] OR ectomies[tw] OR Postoperat*[tw]))

9 I

10 ((("Administration, Oral"[Mesh] OR "Administration, Intravenous"[Mesh] OR Oral*[tw] OR Mouth*[tw] OR
11 Intraven*[tw] OR "iv route*[tw]) AND ("Anti-Bacterial Agents"[Mesh] OR "Antibiotic Prophylaxis"[Mesh] OR
12 Antibacter*[tw] OR "Anti bacter*[tw] OR Antibiotic*[tw] OR "single shot"[tw])) OR ((bowel*[tw] OR intestin*[tw] OR
13 gut[tw]) AND ("prevention and control" [Subheading] OR "Cathartics"[Mesh] OR prophylax*[tw] OR Prevent*[tw] OR
14 preparat*[tw] OR decontaminat*[tw] OR evacuant*[tw] OR purgativ*[tw] OR cathartic*[tw]))

15 O

16 "Surgical Wound Infection"[Mesh] OR "Site Infection*[tw] OR "Wound Infection*[tw] OR SSI[tw] OR "Intraoperative
17 Complications"[Mesh] OR "Postoperative Complications"[Mesh] OR "Anastomotic Leak"[Mesh] OR "Anastomotic
18 Leak*[tw] OR ((Intraoperative*[tw] OR Postoperative*[tw]) AND Complication*[tw])

19 1 AND 2 AND 3 ()

20 Cochrane Library

21 1. P

22 [mh "Colorectal Surgery"] OR
23 [mh "Colon"/SU] OR
24 Proctolog*:ti,ab,kw OR
25 colectom*:ti,ab,kw OR
26 (colorect*:ti,ab,kw OR
27 Colo NEAR/2 rect*:ti,ab,kw OR
28 Colon*:ti,ab,kw OR
29 Rectal*:ti,ab,kw OR
30 Rectum*:ti,ab,kw)
31 AND
32 ([mh "General Surgery"] OR
33 [mh "Surgical Procedures, Operative"] OR
34 Operat*:ti,ab,kw OR
35 Surg*:ti,ab,kw OR
36 Excision*:ti,ab,kw OR
37 Dissection*:ti,ab,kw OR
38 resect*:ti,ab,kw OR
39 removal*:ti,ab,kw OR
40 ectomy:ti,ab,kw OR
41 ectomies:ti,ab,kw OR
42 Postoperat*:ti,ab,kw)

22 2. I

23 ([mh "Administration, Oral"] OR
24 [mh "Administration, Intravenous"] OR
25 Oral*:ti,ab,kw OR
26 Mouth*:ti,ab,kw OR
27 Intraven*:ti,ab,kw OR
28 iv NEAR/2 route*:ti,ab,kw)
29 AND
30 ([mh "Anti-Bacterial Agents"] OR
31 [mh "Antibiotic Prophylaxis"] OR
32 Antibacter*:ti,ab,kw OR

1 Anti NEAR/2 bacter*:ti,ab,kw OR
2 Antibiotic*:ti,ab,kw OR
3 "single shot":ti,ab,kw)
4 OR
5 (bowel*:ti,ab,kw OR
6 intestin*:ti,ab,kw OR
7 gut:ti,ab,kw)
8 AND
9 ([mh "Cathartics"] OR
10 prophylax*:ti,ab,kw OR
11 Prevent*:ti,ab,kw OR
12 preparat*:ti,ab,kw OR
13 decontaminat*:ti,ab,kw OR
14 evacuant*:ti,ab,kw OR
15 purgativ*:ti,ab,kw OR
16 cathartic*:ti,ab,kw)

3. O

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18 [mh "Surgical Wound Infection"] OR
19 Site NEAR/2 Infecti*:ti,ab,kw OR
20 Wound NEAR/2 Infecti*:ti,ab,kw OR
21 SSI:ti,ab,kw OR
22 [mh "Intraoperative Complications"] OR
23 [mh "Postoperative Complications"] OR
24 [mh "Anastomotic Leak"] OR
25 Anastomotic NEAR/2 Leak*:ti,ab,kw OR
26 ((Intraoperative*:ti,ab,kw OR
27 Postoperative*:ti,ab,kw)
28 AND
29 Complication*:ti,ab,kw)

Search strings

30 (as in the table above)

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33 1 AND 2 AND 3
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3 **Web of Science Core Collection**

4 **P**

5 Proctolog* OR
6 colectom* OR
7 ((colorect* OR
8 "Colo rect*" OR
9 Colon* OR
10 Rectal* OR
11 Rectum*)
12 AND
13 (Operat* OR
14 Surg* OR
15 Excision* OR
16 Dissection* OR
17 resect* OR
18 removal* OR
19 ectomy OR
20 ectomies OR
21 Postoperat*))

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23 **I**

24 (Oral* OR
25 Mouth* OR
26 Intraven* OR
27 "iv route*")
28 AND
29 (Antibacter* OR
30 "Anti bacter*" OR
31 Antibiotic* OR
32 "single shot")
33 OR
34 (bowel* OR
35 intestin* OR
36 gut)
37 AND
38 (prophylax* OR
39 Prevent* OR
40 preparat* OR
41 decontaminat* OR
42 evacuant* OR
43 purgativ* OR
44 cathartic*)

45 **O**

46 "Site Infecti*" OR
47 "Wound Infecti*" OR
48 "SSI" OR
49 "Anastomotic Leak*" OR
50 ((Intraoperative* OR
51 Postoperative*)
52 AND
53 Complication*)

54
55 **Search strings**

56 1.
57 TI=(Proctolog* OR colectom* OR ((colorect* OR "Colo rect*" OR Colon* OR Rectal* OR Rectum*) AND (Operat*
58 OR Surg* OR Excision* OR Dissection* OR resect* OR removal* OR ectomy OR ectomies OR Postoperat*))
59 OR
60 AB=(Proctolog* OR colectom* OR ((colorect* OR "Colo rect*" OR Colon* OR Rectal* OR Rectum*) AND (Operat*
OR Surg* OR Excision* OR Dissection* OR resect* OR removal* OR ectomy OR ectomies OR Postoperat*))

1 2.
2 I
3 TI=((Oral* OR Mouth* OR Intraven* OR "iv route*") AND (Antibacter* OR "Anti bacter*" OR Antibiotic* OR "single
4 shot") OR (bowel* OR intestin* OR gut) AND (prophylax* OR Prevent* OR preparat* OR decontaminat* OR
5 evacuant* OR purgativ* OR cathartic*))
6 OR
7 AB=((Oral* OR Mouth* OR Intraven* OR "iv route*") AND (Antibacter* OR "Anti bacter*" OR Antibiotic* OR "single
8 shot") OR (bowel* OR intestin* OR gut) AND (prophylax* OR Prevent* OR preparat* OR decontaminat* OR
9 evacuant* OR purgativ* OR cathartic*))
10 3.
11 O
12 TI=("Site Infecti*" OR "Wound Infecti*" OR "SSI" OR "Anastomotic Leak*" OR ((Intraoperative* OR Postoperative*)
13 AND Complication*))
14 OR
15 AB=("Site Infecti*" OR "Wound Infecti*" OR "SSI" OR "Anastomotic Leak*" OR ((Intraoperative* OR Postoperative*)
16 AND Complication*))
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18 4.
19 1 AND 2 AND 3
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Clinical Trial Gov

P

Proctology OR
 colectomy OR
 ((colorectomy OR
 "Colo rectomy" OR
 Colon OR
 Rectal OR
 Rectum)
 AND
 (Operation OR
 Surgery OR
 Excision OR
 Dissection OR
 resection OR
 removal OR
 ectomy OR
 ectomies OR
 Postoperative))

I

(Oral OR
 Mouth OR
 Intravenous OR
 "iv route")
 AND
 (Antibacterial OR
 "Anti bacterial" OR
 Antibiotic OR
 "single shot")
 OR
 (bowel OR
 intestine OR
 gut)
 AND
 (prophylaxis OR
 Prevention OR
 preparation OR
 decontamination OR
 evacuant OR
 purgative OR
 cathartic)

O

"Site Infection" OR
 "Wound Infection" OR
 SSI OR
 "Anastomotic Leak" OR
 ((Intraoperative OR
 Postoperative)
 AND
 Complication)

Search strings

<p>1. P</p>	<p>(Proctology OR colectomy OR ((colorectomy OR "Colo rectomy" OR Colon OR Rectal OR Rectum) AND (Operation OR Surgery OR Excision OR Dissection OR resection OR removal OR ectomy OR ectomies OR Postoperative))) AND</p>
<p>2. I</p>	<p>((Oral OR Mouth OR Intravenous OR "iv route") AND (Antibacterial OR "Anti bacterial" OR Antibiotic OR "single shot") OR (bowel OR intestine OR</p>

1	gut) AND (prophylaxis OR Prevention OR preparation OR decontamination
2	OR evacuant OR purgative OR cathartic))
3	AND
4	3. ("Site Infection" OR "Wound Infection" OR SSI OR "Anastomotic Leak" OR
5	0 ((Intraoperative OR Postoperative) AND Complication))

4.
1 AND 2 AND 3

For peer review only

PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol*

Section and topic	Item No	Checklist item	Page
ADMINISTRATIVE INFORMATION			
Title:			
Identification	1a	Identify the report as a protocol of a systematic review	2
Update	1b	If the protocol is for an update of a previous systematic review, identify as such	N/A
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number	2
Authors:			
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	1
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review	
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments	N/A
Support:			
Sources	5a	Indicate sources of financial or other support for the review	11
Sponsor	5b	Provide name for the review funder and/or sponsor	11
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol	N/A
INTRODUCTION			
Rationale	6	Describe the rationale for the review in the context of what is already known	3-4
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO)	5-7
METHODS			
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review	5-7
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage	4
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated	-

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Study records:			
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review	
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	5
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators	8
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	8
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	7
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	7-8
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised	9
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I^2 , Kendall's τ)	9
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression)	9
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned	9
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	7-8
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	9

*** It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.