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## Acute Estradiol and Progesterone Therapy in Hospitalized Adults to Reduce COVID-19 Severity: A Randomized Control Trial

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3 **Acute Estradiol and Progesterone Therapy in Hospitalized Adults to Reduce COVID-19 Severity:**  
4 **A Randomized Control Trial**  
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## ABSTRACT

**Introduction:** As of May 2021, COVID-19, has killed more than 3 million people globally, including over 580,000 in the United States (US). Apart from corticosteroids, most available therapeutic options are at best marginally efficient in reducing disease severity and are extremely expensive. The systematic investigation of clinically approved drugs is a priority to determine what does mitigate disease severity. Estradiol (E2) and progesterone (P4) produce a state of anti-inflammatory immune responses and immune tolerance, and enhanced antibody production. The goal of this trial is to evaluate the efficacy of a short E2 and P4 therapy, in addition to standard of care (SOC), in mitigating disease severity in COVID-19 hospitalized patients.

**Methods and analysis:** Phase 2, randomized, double blind, placebo-controlled, single center trial. Patients hospitalized for confirmed COVID-19, with scores 3 to 5 on the 9-point World Health Organization (WHO) ordinal scale are randomized between two arms: 1) Estradiol Cypionate (IM) and micronized Progesterone (PO), in addition to SOC, and 2) Placebo, in addition to SOC. The primary outcome is the proportion of patients improving to scores 1 or 2 on the WHO scale through day 28. Secondary outcomes include length of hospital stay, duration of mechanical ventilation, cause of death, readmission rates, change in inflammatory biomarkers between admission and occurrence of primary endpoint, and adverse events. Study sample size will be up to 120 participants. The trial is currently recruiting subjects.

**Ethics and dissemination:** The sponsor of this study is the Center of Excellence in Sex-Based Biology & Medicine at Tulane University, New Orleans, Louisiana, USA. Ethical approval was obtained from the Tulane institutional review board (IRB) on May 14<sup>st</sup> 2021. The study was reviewed by the US Food and Drug Administration and granted Investigational New Drug (IND) #152499. Results of the study will be submitted for publication in a peer-reviewed journal.

**Clinical Trial Registration:** NCT04865029, ClinicalTrials.gov

### STRENGTHS AND LIMITATIONS OF THIS STUDY

1. A randomized, double blind, placebo-controlled trial is an efficient way to determine if a short systemic  $17\beta$ -estradiol (E2) and progesterone (P4) therapy, administered early to hospitalized COVID-19 patients in addition to SOC can reduce the severity of outcomes compared to SOC alone.
2. The trial will include men and women.
3. E2 and P4 produce a state of decreased innate immune cells production of proinflammatory cytokines, enhanced T cells anti-inflammatory responses and immune tolerance, and enhanced B-cell-mediated antibody production.
4. There is depth of knowledge accumulated from decades of clinical and basic studies regarding E2 and P4 efficacy and toxicity.
5. E2 and P4 are widely available in hospitals, inexpensive, manufacturable to scale, and can be prescribed immediately in most countries.

## INTRODUCTION

As of May 2021, coronavirus diseases 2019 (COVID-19), has already killed over 3 million people worldwide.<sup>1</sup> Although the vaccination campaign is ramping up in the United States (US), vaccination hesitancy represents up to 25-30% of the population,<sup>2</sup> and hospitalizations and deaths are still high. In Brazil and India, the daily death toll is more than twice as high as it was in 2020.<sup>1</sup> Apart from corticosteroids,<sup>3</sup> most available therapeutic options are at best marginally efficient in reducing disease severity and mortality and are extremely expensive.<sup>4-6</sup> Therefore, the systematic investigation of clinically approved drugs is still a priority in order to determine what compounds could be repurposed to mitigate disease severity, and invest resources to go to full-scale production. Our current understanding of the disease is that COVID-19 deaths result from an inappropriate immune response with outpouring of pro-inflammatory chemokines leading to lung infiltration and hyperactivation of monocytes and macrophages producing pro-inflammatory cytokines (cytokine storm), resulting in lung edema, reduced gas exchange, and ultimately leading to acute respiratory distress syndrome and multiorgan failure.<sup>7-14</sup> Men with COVID-19 have a uniformly more severe outcome than women. In series from China, Europe and the U.S., COVID-19 mortality was consistently 1.5 to 2-fold higher in men than in women, suggesting that female biological sex is protecting women from COVID-19 mortality.<sup>15-20</sup> It is established that women exhibit heightened immune responses to viral infections compared to men,<sup>21</sup> which is at least partially due to the genetic benefit of gene dosage in X-linked immune-response genes. Ovarian steroids, however, also play a protective role. The analysis of electronic health records of over 68,000 COVID-19 patients revealed that estrogen therapy is associated with more than 50% reduction in mortality.<sup>22</sup> The main female steroids, 17 $\beta$ -estradiol (E2) and progesterone (P4) exhibit potent immuno-modulatory and anti-inflammatory actions via estrogen and progesterone receptors expressed in all immune cells, including epithelial cells, macrophages, dendritic cells, CD4+ and CD8+ lymphocytes, and B cells.<sup>21, 23</sup> P4 also acts partially via the glucocorticoid receptor. Together E2 and P4 produce a state of decreased innate immune cells production of proinflammatory cytokines, enhanced T cells anti-inflammatory responses and immune tolerance, and enhanced B-cell-mediated antibody production.<sup>21, 24, 25</sup> The scientific premise of

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2  
3 this trial is that in hospitalized COVID-19 patients, a short and early E2 and P4 therapy, in addition to  
4 standard of care (SOC; the National Institutes of Health (NIH) COVID-19 Treatment Guidelines Panel  
5 recommends the use of dexamethasone for up to 10 days or until hospital Discharge as SOC for the  
6 treatment of hospitalized COVID-19 patients), will prevent or mitigate the cytokine storm, and prevent  
7 severe outcomes, and without side effects.<sup>25</sup> Therefore, it will provide steroid immunomodulation without  
8 immunosuppression. The advantage of repurposing E2 and P4 is the depth of knowledge regarding their  
9 clinical efficacy and toxicity that has accumulated from decades of clinical and basic studies. E2 and P4  
10 are widely available in hospitals, inexpensive, manufacturable to scale, and can be prescribed  
11 immediately.  
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## 24 **METHODS AND ANALYSIS**

### 25 **Study design, randomization, intervention and study calendar**

26  
27 Phase 2, randomized, double blind, placebo-controlled, single center trial designed to determine to what  
28 extent a short systemic E2 and P4 therapy, administered early to hospitalized COVID-19 positive patients  
29 of both sexes, in addition to SOC, can reduce the severity of symptoms and outcomes compared to SOC  
30 alone.  
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37 Hospitalized patients with COVID-19 with scores 3-5 on the 9-point World Health Organization (WHO)  
38 ordinal scale<sup>26</sup> are randomized to a 5-day treatment between two arms:  
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- 41 1. Estradiol Cypionate (Depo-Estradiol) 5mg via intramuscular injection on day 1 and micronized  
42 progesterone 200mg administered orally, daily for 5 days, in addition to SOC.  
43
- 44 2. IM and oral placebos in addition to SOC.  
45  
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48 An independent biostatistician will provide a random number table to the unblinded pharmacist for  
49 randomization. The random number table is generated based on the total number of subjects to be enrolled  
50 in a 1:1 ratio for placebo vs E2/P4. The unblinded pharmacist will use the random number table in sequential  
51 order for randomization assignment as subjects are enrolled. The research team will remain blinded to the  
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3 randomization assignment throughout the study. Unblinding will only be permissible in case of adverse  
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5 events.

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7 Drug will be supplied by Tulane Medical Center Research Pharmacy. The supplier of the study drugs is  
8  
9 AmerisourceBergan.

10  
11 Study design and visit schedule are shown in **Figure 1**.

### 12 13 14 15 16 **Study implementation, recruitment, population and eligibility criteria**

17  
18 Participants are recruited at admission by the medical staff of the Department of General Internal Medicine  
19  
20 and Geriatrics at Tulane Medical Center (Tertiary care academic hospital), under the leadership of Dr.  
21  
22 Bateman (Co-Investigator) who identifies participants meeting study eligibility criteria from their  
23  
24 admission list. Dr. Bateman assesses eligibility at screening visit, and notifies the research coordinators of  
25  
26 eligible participants. Eligible subjects are enrolled by the research coordinators to partake in a randomized  
27  
28 scheme to receive the 5-day active treatment or placebo. The Research Pharmacist at Tulane Medical Center  
29  
30 assigns participants to the intervention. We will recruit up to 120 participants hospitalized at Tulane  
31  
32 Medical Center with mild to severe COVID-19 (WHO ordinal scale score 3-5, **Table 1**) confirmed by  
33  
34 SARS-CoV-2 PCR test .

#### 35 36 37 ***Inclusion Criteria:***

- 38  
39 1. Hospitalization for COVID-19 (WHO Ordinal scale score 3-5, **Table 1**) confirmed by SARS-  
40  
41 CoV-2 PCR at Tulane Medical Center in the Department of General Internal Medicine and  
42  
43 Geriatrics.
  - 44  
45 2. Respiratory symptoms (fever, shortness of breath or cough) or abnormal lung exam or chest  
46  
47 imaging characteristic of mild to severe COVID-19 pneumonia.
  - 48  
49 3. Patient and/or legally authorized representative (LAR) agrees to comply with study procedures  
50  
51 and the collection of blood samples per protocol.
  - 52  
53 4. Patient and/or LAR agrees to be placed on prophylactic dose of anticoagulation for prevention of  
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55 deep venous thrombosis (DVT) (if necessary).
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- 3 5. Patient or legally authorized representative has signed informed consent.
- 4
- 5 6. Women of childbearing age with a negative pregnancy test on admission.
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10 ***Exclusion Criteria:***

- 11 1. Patient under 18 years of age
- 12
- 13 2. Critically-ill COVID-19 (respiratory failure requiring intubation and mechanical ventilation,
- 14 shock, multi-organ failure).
- 15
- 16 3. Pregnant women confirmed by pregnancy test.
- 17
- 18 4. Women within six weeks of postpartum.
- 19
- 20 5. Patient included in another COVID-19 trial (excluding hydroxychloroquine and dexamethasone).
- 21
- 22 6. Women already treated by estrogen and or progestogen therapy two weeks prior to admission.
- 23
- 24 7. Men already treated by testosterone therapy prior to admission.
- 25
- 26 8. History of breast or endometrial cancer.
- 27
- 28 9. Abnormal genital bleeding.
- 29
- 30 10. Active or recent (e.g., within the past year) stroke or myocardial infarction.
- 31
- 32 11. History of blood clots including deep vein thrombosis related to clotting disease, or pulmonary
- 33 emboli (prior to hospitalization).
- 34
- 35 12. History of liver dysfunction or disease.
- 36
- 37 13. Patients with end-stage renal disease
- 38
- 39 14. Patients taking inhibitors of CYP3A4 such as erythromycin, clarithromycin, ketoconazole,
- 40 itraconazole, and ritonavir.
- 41
- 42 15. Patients taking St. John's Wort preparations (*Hypericum perforatum*), phenobarbital,
- 43 carbamazepine, and rifampin.
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- 45 16. Patients within 6 weeks of major orthopedic surgery.
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56 **Safety measures and monitoring**

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4 We will monitor each subject for adverse events/serious adverse events (AEs/SAEs) daily while the subjects  
5 are receiving daily therapy (Figure 2). If they develop a grade 3 or 4 AE, we will discontinue the study  
6 drug. No dose adjustments will be made. We will also follow-up in person or by telephone for all subjects  
7 for any adverse AEs/SAEs and mortality at Day 60.  
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10  
11 AEs will be graded according to Common Terminology Criteria for Adverse Events (CTCAE) Version 5  
12 and taken care with the corresponding specialized clinical management of the SAE.  
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14  
15 Grade 1 AEs (asymptomatic or mild symptoms; clinical or diagnostic observations only; intervention not  
16 indicated) or Grade 2 AEs (moderate; minimal, local or noninvasive intervention indicated; limiting age-  
17 appropriate instrumental activity of daily living): such as breast tenderness, nausea, vomiting, bloating,  
18 stomach cramps, headaches, vaginal itching, abnormal uterine bleeding will not require discontinuation of  
19 treatment.  
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23 Grade 3 SAEs like DVT and 4 (life-threatening) SAEs such as MI, stroke, anaphylaxis will be managed by  
24 treatment discontinuation (except SOC), and appropriate specialized clinical management of the SAE.  
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28 The trial is a 5-day intervention during hospitalization and therefore we do not expect problems with  
29 adherence. The study team members who are administering the trial drugs will call the PI or the Co-Is in  
30 circumstances when subjects have questions or concerns to be addressed in a timely manner to improve  
31 adherence.  
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34  
35 Study Investigators have formed an independent Data Safety and Monitoring Board (DSMB) to monitor  
36 study safety. The DSMB consists of four board members who are clinicians and researchers with the  
37 experience necessary to interpret the data and ensure patient safety. Specifically, the board members are  
38 knowledgeable about COVID-19 and the treatment involved in this study. The DSMB includes, an  
39 infectious disease specialist, an endocrinologist, a hematologist-oncologist, a women's health specialist and  
40 a biostatistician. The initial DSMB meeting occurred before the start of the trial to discuss the protocol and  
41 guidelines for monitoring the study. At this meeting, guidelines were defined for stopping the study for  
42 safety concerns (Study stopping rules) and where relevant, for efficacy based on plans specified in the  
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3 protocol. The DSMB will meet on a quarterly basis. After each meeting, the DSMB will provide the PI with  
4 a letter describing the results of their review and their recommendations for the continuation of the study.  
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## 8 9 **Outcome Measures**

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11 For all randomized patients according to inclusion criteria section above, demographic and clinical  
12 variables, including sex, age, race/ethnicity, body mass index (BMI), symptoms, vital signs, blood pressure,  
13 respiratory rate, temperature, oxygen saturation, chronic comorbidities through diagnosis codes,  
14 medications, clinical course during hospitalization (described in primary outcome below) will be reviewed  
15 in the patients' medical charts. Laboratory measurements will include, when available, complete blood  
16 count (CBC), blood chemistry, liver function test, creatinine, blood urea nitrogen test (BUN), lactate,  
17 troponin, ferritin, C-reactive protein (CRP), procalcitonin, Brain-type natriuretic peptide (BNP), D-dimer,  
18 interleukin-6, and glucose-6-phosphate dehydrogenase (G6PD). We will review the participants' medical  
19 records for up to 60 days after hospital discharge.  
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### 30 **Primary outcome:**

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32 For all randomized patients with baseline inclusion criteria, WHO 9-point ordinal scale scores 3 to 5, the  
33 primary efficacy end point will be the proportion of patients who improve to scores 1 or 2 on the WHO  
34 ordinal scale (Table 1) through day 28.  
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### 39 **Secondary outcomes:**

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41 For all randomized patients, the following secondary outcomes will be assessed at day 14, 28 and 60.  
42  
43 Assessment at day 14 and 28 will be performed by electronic medical records review, while assessment at  
44 day 60 will be performed via telephone call directly to the study subjects.  
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- 47 1. Length of hospital stay
- 48 2. Duration of mechanical ventilation
- 49 3. Date and cause of death
- 50 4. Readmission
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5. Change in biological markers below between admission and occurrence of primary endpoint (2 values at least 2 days apart)
6. Grade 3 and 4 AEs
7. SAEs

#### Biological markers

Obtained from SOC:

Inflammation: neutrophil:lymphocyte ratio, CRP, ferritin, procalcitonin.

Hypercoagulability: D-dimers, fibrinogen

Tissue injury: troponin, Alanine transaminase (ALT), Aspartate transaminase (AST), Lactate dehydrogenase (LDH)

Metabolome, Proteome, peripheral blood mononuclear cells (PBMCs)

#### Data collection and management

The collection of routine blood samples and patient monitoring will be conducted as part of the SOC procedures that are utilized at Tulane Medical Center for COVID-19 patients. The collection of blood samples (CBC with differential, blood chemistry, inflammation markers) is to monitor disease severity and is independent from this protocol, but we will use the data in our analysis. Women of childbearing age will have a pregnancy test on admission.

An additional blood sample will be collected at admission and after 5 days of treatment (about 5-25 ml of blood at these two time points). This blood sample will be stored in Dr. Mauvais-Jarvis lab for 1) isolation of PBMC to assess the effect of treatment on immune cells populations by flow cytometry, and PBMC gene expression by RNA-Seq, and 2) metabolomics profiling to determine metabolic and molecular signatures of the efficacy of treatment. De-identified serum and PBMC frozen samples will be transferred to the University of Michigan Metabolomics Core to perform untargeted metabolomic analyses. The serum and PBMC from collected blood samples will be used to perform multi-dimensional

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3 analysis in the Center for Translational Research in Infection and Inflammation at Tulane University  
4 School of Medicine. Blood samples will be stored for up to 3 years.

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7 The majority of clinical data, including all blood sample collection and assessment of severity of illness,  
8 will occur while the patient is hospitalized, which should minimize patient nonadherence. The only  
9 information to be collected after discharge will be a telephone call to assess clinical status at day 60. All  
10 available data will be collected and assessed into our analysis. If patients choose to discontinue from the  
11 study after day 1 (when they will have already received estradiol if in the treatment group), we will  
12 separate the analysis from the treatment group as they will not have completed the progesterone therapy.  
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21 All data and research files will be de-identified and stored using the secure web application Research  
22 Electronic Data Capture (REDCap). REDCap is protected by a login as well as encryption. All data for  
23 this study will be electronic; there will be no paper records. Enrolled subjects will be assigned a  
24 sequential study ID (i.e. 001, 002, etc) that is linked to their medical record number. A link between the  
25 study ID numbers and medical record number will be kept in a separate file from the de-identified  
26 database and stored securely in REDCap. To promote data quality, two different individuals will perform  
27 double data entry. Subsequently, comparison of the two records will be done and any non-matching data  
28 will be correctly identified and entered. Only the IRB-approved study investigators will have access to the  
29 data and link. The PI will store all study research records and data post study closure, as required by NIH  
30 and University data retention policies.  
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### 43 **Statistical considerations**

#### 44 *Sample size*

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47 Sample size calculations are based on 80% Power, using a two-sided Pearson's Chi-square Test for  
48 Proportion Differences at the 5% significance level. It is estimated that between 60% and 70% of  
49 hospitalized patients with mild or severe disease will improve clinically and become ambulatory after  
50 standard treatment (WHO ordinal scale score 1-2, **Table 1**). **Table 2** presents the sample sizes that are  
51 required in each treatment group to detect a significant difference in the percent of hospitalized patient  
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3 leading to an ambulatory disease (shift from category 3-5 to category 1-2 in 20%, 25%, 30% or 35% of  
4 hospitalized patients after treatment with estradiol (E2) and progesterone (P4)). Efforts will be made to  
5 recruit 60 patients in each treatment group in order to stratify by disease severity, age and gender.  
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#### 8 *Interim analysis*

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10 An interim analysis will be performed at 50% enrollment to determine to what extent a strong treatment  
11 efficacy warrants stopping the trial early. **Table 3** presents interim sample sizes and required Z test  
12 statistics for comparing two proportions at each of stage of an O'Brien-Fleming alpha-spending  
13 sequential design.<sup>27</sup> Based on 80% power and an overall 5% significance level, if the interim analysis for  
14 the first 60 enrolled patients results in differences that are more than 2.96 standard deviations from 0, the  
15 trial will end, and treatment efficacy will be concluded. Otherwise, the trial will enroll the total 120  
16 patients.  
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#### 26 *Statistical Analysis*

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28 For all subjects who have completed the study, clinical outcomes and demographic characteristics will be  
29 summarized and presented separately for the treatment group and the control group, as well as overall for  
30 all patients. Categorical variables, such as the primary outcome, readmission, as well as race and sex will  
31 be summarized with counts and percentages. Quantitative outcomes, such as length of stay, change in  
32 biological markers, age and BMI will be summarized with means, medians, standard deviations, and  
33 interquartile ranges. Univariate analyses will be performed as the primary method to assess the  
34 unadjusted effect of treatment on the primary outcome and on readmission rate using the Pearson Chi-  
35 square test. Differences in average length of stay and in change in biological markers will be assessed  
36 with either a two-sample t test or the Mann-Whitney nonparametric test. Multivariate analyses will be  
37 performed to compare treatment to control responses while adjusting for various co-factors. Multiple  
38 logistic regression will be used as supportive method to test for significant differences in the proportion  
39 with the primary outcome, between the active treatment and placebo groups, while adjusting for age, race,  
40 sex, BMI and other potential co-factors. For the secondary outcomes, multiple logistic regression will also  
41 be used to test for significant differences in the proportion readmits, between the active treatment and  
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3 placebo groups, and multiple linear regression will be used to test for significant differences in average  
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5 length of stay and changes in biological markers, between the treatment and SOC groups, while adjusting  
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7 for potential influencing co factors.  
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### 10 **Patient and public involvement**

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12 No patients were involved in the design or implementation of this study.  
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## **ETHICS AND DISSEMINATION**

### **Ethics and safety approval**

Ethical approval was obtained from the institutional review board (IRB) at Tulane University Clinical Translational Unit on May 14<sup>th</sup> 2021. Future amendments to the protocol by the study investigators will be submitted to the IRB. Implementation of changes in the study will only be made after the IRB approval is received. Accordingly, trial participants, trial registries and trial staff will be informed in a timely manner. The study has been reviewed by the United States Food and Drug Administration and granted Investigational New Drug (IND) #152499.

### **Informed consent**

The consent process will be administered to the patient (or legally authorized representative (LAR) in the case that the patient is unable to consent) prior to enrollment in the study. Adobe sign feature on iPad or smartphone will be used in order to minimize the safety concerns for study personnel conducting the consent procedures. There is also be a separate section in the consent that allows the subjects to opt in or out of additional blood collection for ancillary studies in the future that are directly related to the current study. The forms and the consenting process have been reviewed and approved by the Tulane IRB.

### **Dissemination**

The data generated in this study will be presented at internal medicine, infections disease and endocrinology national or international conferences and published in a timely fashion. All final peer-reviewed manuscripts that arise from this proposal will be submitted to the digital archive PubMed Central. Results will be published in open-access journals when possible.

Study protocol and statistical analysis plans will be publically available. Participant-level data set and statistical code access will be considered upon request.

## Discussion

The pathogenesis of COVID-19 involves an inappropriate immune response, with outpouring of pro-inflammatory chemokines, leading to lung infiltration and hyperactivation of monocytes and macrophages producing pro-inflammatory cytokines (cytokine storm), resulting in lung edema, reduced gas exchange, and ultimately leading to acute respiratory distress syndrome and multiorgan failure.<sup>28-35</sup> Repurposing approved drugs that have already been tested in humans—and for which detailed information is available on their pharmacology, formulation, dose, and potential toxicity—provides a fast and safe approach for off-label use of potentially life-saving therapeutics. The scientific premise for the use of E2 and P4 in hospitalized patients, and its relevance to COVID-19 pathogenesis, is based on a large body of published literature reviewed recently.<sup>25</sup> In fact, two clinical trials are testing E2 (ClinicalTrials.gov identifier NCT04359329) or P4 (ClinicalTrials.gov identifier NCT04365127) individually in COVID-19 patients. The present study has been reviewed by the US Food and Drug Administration for Investigational New Drug authorization since August 2020, which has delayed its beginning.

The advantage of repurposing E2 and P4 is the depth of knowledge regarding their clinical efficacy and toxicity that has accumulated from over half a century of clinical and basic research. Hormone therapy using estrogens and progestogens is used by millions of women for contraception or the prevention of menopausal symptoms. There is no acute toxicity. The risk of DVT or pulmonary embolism associated with estradiol and progesterone treatment exists but is minimal. First, the risk of DVT is associated with chronic hormone therapy, over months or years, not following acute hormone therapy of several days. Most importantly, the risk is minimal because of parenteral estradiol administration, which does not alter clotting factors. DVT risk occurs mainly following orally administered estrogens, and synthetic progestins, because of first-pass liver metabolism producing high liver exposures to hormones, increasing hepatic production of clotting and inflammatory factors.<sup>36</sup> Additionally, the DVT risks are usually associated with the use of conjugated equine estrogens alone or associated with a synthetic progestin, like medroxyprogesterone acetate.<sup>36</sup> There is no documented risk associated with natural E2 given

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3 systemically (IM, SC) and no documented risk with the use of natural P4. Finally, the pathogenesis of  
4 thrombosis in COVID-19 is a consequence of the immuno-inflammation,<sup>37</sup> and is expected to be  
5 ameliorated by the E2 and P4 treatment.  
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## 11 12 13 **TRIAL STATUS**

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16 This trial is currently recruiting subjects.  
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## 18 **Competing interests**

19  
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21 PI and Co-Is do not have any conflict of interest to disclose.  
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## 25 **Authors' Contributions**

26  
27 FMJ- study design, management, analysis, interpretation of data; writing of the report; and decision to  
28 submit the report for publication. DL-clinical trial preparatory activities, data management, statistical  
29 analysis, interpretation of data and writing of the report. KB- Recruitment of patients, interpretation of data  
30 and writing of the report. VF- interpretation of data and writing of the report. JL- statistical analysis,  
31 interpretation and writing of the report. MS- visualization and writing of the report.  
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19  
20 (Biostatistics) Louisiana State University.  
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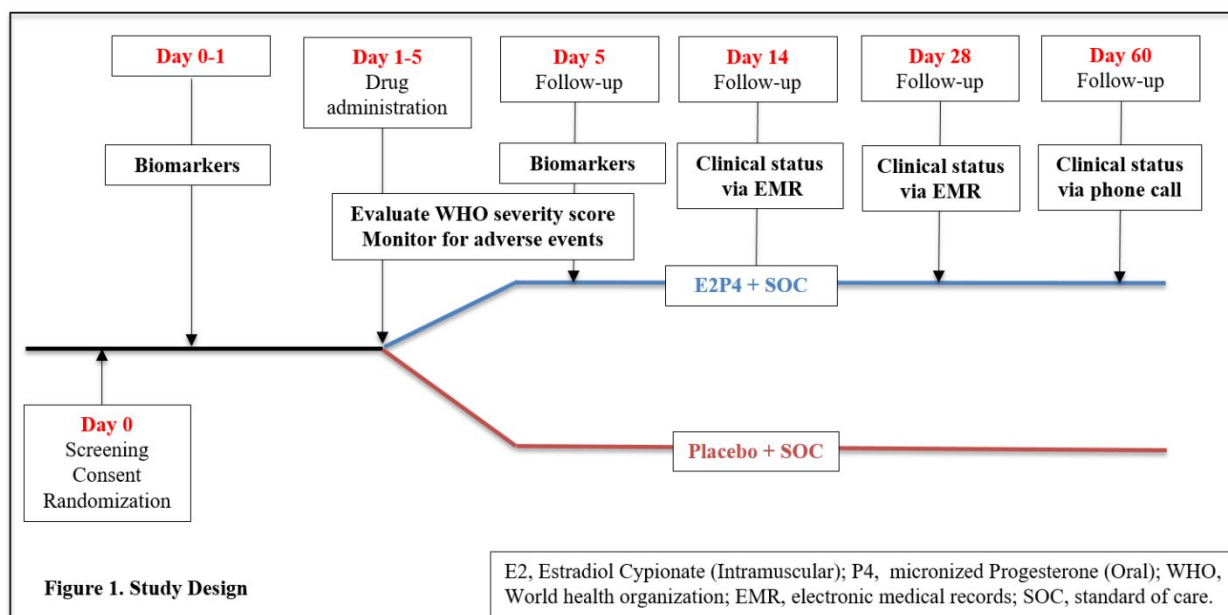
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Surveillance	Days following initiation of treatment									
	0	1	2	3	4	5	14	28	60	
Pregnancy test*	X									
Injection site		X	X	X	X	X				
E2 side effects		X	X	X	X	X	X			
P4 side effects		X	X	X	X	X	X			
DVT symptoms		X	X	X	X	X	X	X	X	X
MI symptoms		X	X	X	X	X	X	X	X	X
Stroke symptoms		X	X	X	X	X	X	X	X	X
Fluid retention**		X	X	X	X	X	X			

\* Women in reproductive age \*\* Patients with renal impairment

**Figure 2. Schedule of safety assessments**



Table 1. World Health Organization 9 point Ordinal Scale for Clinical Improvement

Patient State	Descriptor	Score
<i>Uninfected</i>	No clinical or virological evidence of infection	0
<i>Ambulatory</i>	No limitation of activities	1
	Limitation of activities	2
<i>Hospitalized mild disease</i>	Hospitalized, no oxygen therapy	3
	Oxygen by mask or nasal prongs	4
<i>Hospitalized Severe Disease</i>	Non-invasive ventilation or high-flow oxygen	5
	Intubation and mechanical ventilation	6
	Ventilation + additional organ support- pressors, Renal Replacement Therapy (RRT), Extracorporeal Membrane Oxygenation (ECMO)	7
<i>Dead</i>	Death	8

\* Adapted from Ref 26

Table 2. Sample size calculations

Treatment	Percent leading to a scale 1-2	Sample Size
SOC	60%	32
SOC + E2 + P4	90%	32
SOC	60%	22
SOC + E2 + P4	95%	22
SOC	70%	62
SOC + E2 + P4	90%	62
SOC	70%	36
SOC + E2 + P4	95%	36

Table 3. Interim sample size calculations

	Treatment	Sample Size	Standardized Z test Statistic
Stage 1	SOC	30	2.96
	SOC + E2 + P4	30	
Stage 2	SOC	60	1.96
	SOC + E2 + P4	60	

# BMJ Open

## Acute Estradiol and Progesterone Therapy in Hospitalized Adults to Reduce COVID-19 Severity: A Randomized Control Trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-053684.R1
Article Type:	Protocol
Date Submitted by the Author:	17-Sep-2021
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3 **Acute Estradiol and Progesterone Therapy in Hospitalized Adults to Reduce COVID-19 Severity:**  
4 **A Randomized Control Trial**  
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## ABSTRACT

**Introduction:** As of September 2021, COVID-19, has killed more than 4 million people globally, including over 650,000 in the United States (US). Apart from corticosteroids, most available therapeutic options are at best marginally efficient in reducing disease severity and are extremely expensive. The systematic investigation of clinically approved drugs is a priority to determine what does mitigate disease severity. Estradiol (E2) and progesterone (P4) produce a state of anti-inflammatory immune responses and immune tolerance, and enhanced antibody production. The goal of this trial is to evaluate the efficacy of a short E2 and P4 therapy, in addition to standard of care (SOC), in mitigating disease severity in COVID-19 hospitalized patients.

**Methods and analysis:** Phase 2, randomized, double blind, placebo-controlled, single center trial. Patients hospitalized for confirmed COVID-19, with scores 3 to 5 on the 9-point World Health Organization (WHO) ordinal scale are randomized between two arms: 1) Estradiol Cypionate (IM) and micronized Progesterone (PO), in addition to SOC, and 2) Placebo, in addition to SOC. The primary outcome is the proportion of patients improving to scores 1 or 2 on the WHO scale through day 28. Secondary outcomes include length of hospital stay, duration of mechanical ventilation, cause of death, readmission rates, change in inflammatory biomarkers between admission and occurrence of primary endpoint, and adverse events. Study sample size will be up to 120 participants. The trial is currently recruiting subjects.

**Ethics and dissemination:** The sponsor of this study is the Center of Excellence in Sex-Based Biology & Medicine at Tulane University, New Orleans, Louisiana, USA. Ethical approval was obtained from the Tulane institutional review board (IRB) on May 14<sup>th</sup> 2021. The study was reviewed by the US Food and Drug Administration and granted Investigational New Drug (IND) #152499. Results of the study will be submitted for publication in a peer-reviewed journal.

**Clinical Trial Registration:** NCT04865029, ClinicalTrials.gov

### STRENGTHS AND LIMITATIONS OF THIS STUDY

1. The trial will include men and women.
2. E2 and P4 decrease production of proinflammatory cytokines and enhance B-cell-mediated antibody production.
3. The advantage of repurposing E2 and P4 comes from decades of accumulated knowledge regarding their clinical efficacy and toxicity.
4. E2 and P4 are inexpensive and available in hospitals worldwide.
5. A randomized, placebo-controlled trial is an efficient way to determine if a short systemic E2 and P4 therapy, administered early to hospitalized COVID-19 patients in addition to SOC can reduce the severity of outcomes compared to SOC alone.

## INTRODUCTION

As of September 2021, coronavirus diseases 2019 (COVID-19), has already killed over 4 million people worldwide.<sup>1</sup> Although the vaccination campaign is ramping up in the United States (US), vaccination hesitancy represents up to 25-30% of the population,<sup>2</sup> and hospitalizations and deaths are still high. In Brazil and India, the daily death toll is more than twice as high as it was in 2020.<sup>1</sup> Apart from corticosteroids,<sup>3</sup> most available therapeutic options are at best marginally efficient in reducing disease severity and mortality and are extremely expensive.<sup>4-6</sup> Therefore, the systematic investigation of clinically approved drugs is still a priority in order to determine what compounds could be repurposed to mitigate disease severity, and invest resources to go to full-scale production. Our current understanding of the disease is that COVID-19 deaths result from an inappropriate immune response with outpouring of pro-inflammatory chemokines leading to lung infiltration and hyperactivation of monocytes and macrophages producing pro-inflammatory cytokines (cytokine storm), resulting in lung edema, reduced gas exchange, and ultimately leading to acute respiratory distress syndrome and multiorgan failure.<sup>7-14</sup> Men with COVID-19 have a uniformly more severe outcome than women. In series from China, Europe and the U.S., COVID-19 mortality was consistently 1.5 to 2-fold higher in men than in women, suggesting that female biological sex is protecting women from COVID-19 mortality.<sup>15-20</sup> It is established that women exhibit heightened immune responses to viral infections compared to men,<sup>21</sup> which is at least partially due to the genetic benefit of gene dosage in X-linked immune-response genes. Ovarian steroids, however, also play a protective role. The analysis of electronic health records of over 68,000 COVID-19 patients revealed that estrogen therapy is associated with more than 50% reduction in mortality.<sup>22</sup> The main female steroids, 17 $\beta$ -estradiol (E2) and progesterone (P4) exhibit potent immuno-modulatory and anti-inflammatory actions via estrogen and progesterone receptors expressed in all immune cells, including epithelial cells, macrophages, dendritic cells, CD4+ and CD8+ lymphocytes, and B cells.<sup>21, 23</sup> P4 also acts partially via the glucocorticoid receptor. Together E2 and P4 produce a state of decreased innate immune cells production of proinflammatory cytokines, enhanced T cells anti-inflammatory responses and immune tolerance, and enhanced B-cell-mediated antibody production.<sup>21, 24, 25</sup> The scientific premise of

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3 this trial is that in hospitalized COVID-19 patients, a short and early E2 and P4 therapy, in addition to  
4 standard of care (SOC; the National Institutes of Health (NIH) COVID-19 Treatment Guidelines Panel  
5 recommends the use of dexamethasone for up to 10 days or until hospital Discharge as SOC for the  
6 treatment of hospitalized COVID-19 patients), will prevent or mitigate the cytokine storm, and prevent  
7 severe outcomes, and without side effects.<sup>25</sup> Therefore, it will provide steroid immunomodulation without  
8 immunosuppression. The advantage of repurposing E2 and P4 is the depth of knowledge regarding their  
9 clinical efficacy and toxicity that has accumulated from decades of clinical and basic studies. E2 and P4  
10 are widely available in hospitals, inexpensive, manufacturable to scale, and can be prescribed  
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## 24 **METHODS AND ANALYSIS**

### 25 **Study design, randomization, intervention and study calendar**

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27 Phase 2, randomized, double blind, placebo-controlled, single center trial designed to determine to what  
28 extent a short systemic E2 and P4 therapy, administered early to hospitalized COVID-19 positive patients  
29 of both sexes, in addition to SOC, can reduce the severity of symptoms and outcomes compared to SOC  
30 alone.  
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37 Hospitalized patients with COVID-19 with scores 3-5 on the 9-point World Health Organization (WHO)  
38 ordinal scale<sup>26</sup> are randomized to a 5-day treatment between two arms:  
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- 41 1. Estradiol Cypionate (Depo-Estradiol) 5mg via intramuscular injection on day 1 and micronized  
42 progesterone 200mg administered orally, daily for 5 days, in addition to SOC.  
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- 45 2. IM and oral placebos in addition to SOC.  
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48 We chose E2 administration via IM injection to avoid first-pass liver metabolism and change in coagulation  
49 markers while we chose P4 oral preparation due to ease of administration and low side effect profile. Drug  
50 will be supplied by Tulane Medical Center Research Pharmacy. The manufacturers of the study drugs are:  
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54 Pfizer for E2 (NDC: 0009-0271-01) and VIRTUS for P4 (NDC: 69543-375-10).  
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5 An independent biostatistician will provide a random number table to the unblinded pharmacist for  
6 randomization. The random number table is generated based on the total number of subjects to be enrolled  
7 in a 1:1 ratio for placebo vs E2/P4. The unblinded pharmacist will use the random number table in sequential  
8 order for randomization assignment as subjects are enrolled. The research team will remain blinded to the  
9 randomization assignment throughout the study. Unblinding will only be permissible in case of adverse  
10 events.  
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17 Study design and visit schedule are shown in **Figure 1**.

### 18 19 20 21 22 **Study implementation, recruitment, population and eligibility criteria**

23  
24 Participants are recruited at admission by the medical staff of the Department of General Internal Medicine  
25 and Geriatrics at Tulane Medical Center (Tertiary care academic hospital), under the leadership of Dr.  
26 Bateman (Co-Investigator) who identifies participants meeting study eligibility criteria from their  
27 admission list. Dr. Bateman assesses eligibility at screening visit, and notifies the research coordinators of  
28 eligible participants. Eligible subjects are enrolled by the research coordinators to partake in a randomized  
29 scheme to receive the 5-day active treatment or placebo. The Research Pharmacist at Tulane Medical Center  
30 assigns participants to the intervention. We will recruit up to 120 participants hospitalized at Tulane  
31 Medical Center with mild to severe COVID-19 (WHO ordinal scale score 3-5, **Table 1**) confirmed by  
32 SARS-CoV-2 PCR test .  
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#### 43 ***Inclusion Criteria:***

- 44  
45 1. Hospitalization for COVID-19 (WHO Ordinal scale score 3-5, **Table 1**) confirmed by SARS-  
46 CoV-2 PCR at Tulane Medical Center in the Department of General Internal Medicine and  
47 Geriatrics.  
48  
49
- 50  
51 2. Respiratory symptoms (fever, shortness of breath or cough) or abnormal lung exam or chest  
52 imaging characteristic of mild to severe COVID-19 pneumonia.  
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3. Patient and/or legally authorized representative (LAR) agrees to comply with study procedures and the collection of blood samples per protocol.
4. Patient and/or LAR agrees to be placed on prophylactic dose of anticoagulation for prevention of deep venous thrombosis (DVT) (if necessary).
5. Patient or legally authorized representative has signed informed consent.
6. Women of childbearing age with a negative pregnancy test on admission.

***Exclusion Criteria:***

1. Patient under 18 years of age
2. Critically-ill COVID-19 (respiratory failure requiring intubation and mechanical ventilation, shock, multi-organ failure).
3. Pregnant women confirmed by pregnancy test.
4. Women within six weeks of postpartum.
5. Patient included in another COVID-19 trial (excluding hydroxychloroquine and dexamethasone).
6. Women already treated by estrogen and or progestogen therapy two weeks prior to admission.
7. Men already treated by testosterone therapy prior to admission.
8. History of breast or endometrial cancer.
9. Abnormal genital bleeding.
10. Active or recent (e.g., within the past year) stroke or myocardial infarction.
11. History of blood clots including deep vein thrombosis related to clotting disease, or pulmonary emboli (prior to hospitalization).
12. History of liver dysfunction or disease.
13. Patients with end-stage renal disease
14. Patients taking inhibitors of CYP3A4 such as erythromycin, clarithromycin, ketoconazole, itraconazole, and ritonavir.

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3 15. Patients taking St. John's Wort preparations (*Hypericum perforatum*), phenobarbital,  
4 carbamazepine, and rifampin.  
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7 16. Patients within 6 weeks of major orthopedic surgery.  
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### 10 11 **Safety measures and monitoring** 12

13  
14 We will monitor each subject for adverse events/serious adverse events (AEs/SAEs) daily while the subjects  
15 are receiving daily therapy (Figure 2). If they develop a grade 3 or 4 AE, we will discontinue the study  
16 drug. No dose adjustments will be made. We will also follow-up in person or by telephone for all subjects  
17 for any adverse AEs/SAEs and mortality at Day 60.  
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20  
21 AEs will be graded according to Common Terminology Criteria for Adverse Events (CTCAE) Version 5  
22 and taken care with the corresponding specialized clinical management of the SAE.  
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24

25  
26 Grade 1 AEs (asymptomatic or mild symptoms; clinical or diagnostic observations only; intervention not  
27 indicated) or Grade 2 AEs (moderate; minimal, local or noninvasive intervention indicated; limiting age-  
28 appropriate instrumental activity of daily living): such as breast tenderness, nausea, vomiting, bloating,  
29 stomach cramps, headaches, vaginal itching, abnormal uterine bleeding will not require discontinuation of  
30 treatment.  
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33  
34 Grade 3 SAEs like DVT and 4 (life-threatening) SAEs such as MI, stroke, anaphylaxis will be managed by  
35 treatment discontinuation (except SOC), and appropriate specialized clinical management of the SAE.  
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38  
39 The trial is a 5-day intervention during hospitalization and therefore we do not expect problems with  
40 adherence. The study team members who are administering the trial drugs will call the PI or the Co-Is in  
41 circumstances when subjects have questions or concerns to be addressed in a timely manner to improve  
42 adherence.  
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45  
46 Study Investigators have formed an independent Data Safety and Monitoring Board (DSMB) to monitor  
47 study safety. The DSMB consists of four board members who are clinicians and researchers with the  
48 experience necessary to interpret the data and ensure patient safety. Specifically, the board members are  
49 knowledgeable about COVID-19 and the treatment involved in this study. The DSMB includes, an  
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3 infectious disease specialist, an endocrinologist, a hematologist-oncologist, a women's health specialist and  
4 a biostatistician. The initial DSMB meeting occurred before the start of the trial to discuss the protocol and  
5 guidelines for monitoring the study. At this meeting, guidelines were defined for stopping the study for  
6 safety concerns (Study stopping rules) and where relevant, for efficacy based on plans specified in the  
7 protocol. The DSMB will meet on a quarterly basis. After each meeting, the DSMB will provide the PI with  
8 a letter describing the results of their review and their recommendations for the continuation of the study.  
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### 16 17 18 **Outcome Measures**

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20 For all randomized patients according to inclusion criteria section above, demographic and clinical  
21 variables, including sex, age, race/ethnicity, body mass index (BMI), symptoms, vital signs, blood pressure,  
22 respiratory rate, temperature, oxygen saturation, chronic comorbidities through diagnosis codes,  
23 medications, clinical course during hospitalization (described in primary outcome below) will be reviewed  
24 in the patients' medical charts. Laboratory measurements will include, when available, complete blood  
25 count (CBC), blood chemistry, liver function test, creatinine, blood urea nitrogen test (BUN), lactate,  
26 troponin, ferritin, C-reactive protein (CRP), procalcitonin, Brain-type natriuretic peptide (BNP), D-dimer,  
27 and interleukin-6. We will review the participants' medical records for up to 60 days after hospital discharge.  
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#### 37 ***Primary outcome:***

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39 For all randomized patients with baseline inclusion criteria, WHO 9-point ordinal scale scores 3 to 5, the  
40 primary efficacy end point will be the proportion of patients who improve to scores 1 or 2 on the WHO  
41 ordinal scale (Table 1) through day 28.  
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#### 45 ***Secondary outcomes:***

46  
47 For all randomized patients, the following secondary outcomes will be assessed at day 14, 28 and 60.  
48  
49 Assessment at day 14 and 28 will be performed by electronic medical records review, while assessment at  
50 day 60 will be performed via telephone call directly to the study subjects.  
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- 54 1. Length of hospital stay
- 55 2. Duration of mechanical ventilation
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3. Date and cause of death
4. Readmission
5. Change in biological markers below between admission and occurrence of primary endpoint (2 values at least 2 days apart)
6. Grade 3 and 4 AEs
7. SAEs

#### Biological markers

Obtained from SOC:

Inflammation: neutrophil:lymphocyte ratio, CRP, ferritin, procalcitonin.

Hypercoagulability: D-dimers, fibrinogen

Tissue injury: troponin, Alanine transaminase (ALT), Aspartate transaminase (AST), Lactate dehydrogenase (LDH)

Metabolome, Proteome, peripheral blood mononuclear cells (PBMCs)

#### Data collection and management

The collection of routine blood samples and patient monitoring will be conducted as part of the SOC procedures that are utilized at Tulane Medical Center for COVID-19 patients. The collection of blood samples (CBC with differential, blood chemistry, inflammation markers) is to monitor disease severity and is independent from this protocol, but we will use the data in our analysis. Women of childbearing age will have a pregnancy test on admission.

An additional blood sample will be collected at admission and after 5 days of treatment (about 5-25 ml of blood at these two time points). This blood sample will be stored in Dr. Mauvais-Jarvis lab for 1) isolation of PBMC to assess the effect of treatment on immune cells populations by flow cytometry, and 2) PBMC gene expression by RNA-Seq, and 2) metabolomics profiling to determine metabolic and molecular signatures of the efficacy of treatment. De-identified serum and PBMC frozen samples will be transferred to the University of Michigan Metabolomics Core to perform untargeted metabolomic

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3 analyses. The serum and PBMC from collected blood samples will be used to perform multi-dimensional  
4 analysis in the Center for Translational Research in Infection and Inflammation at Tulane University  
5 School of Medicine. Blood samples will be stored for up to 3 years.  
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9 The majority of clinical data, including all blood sample collection and assessment of severity of illness,  
10 will occur while the patient is hospitalized, which should minimize patient nonadherence. The only  
11 information to be collected after discharge will be a telephone call to assess clinical status at day 60. All  
12 available data will be collected and assessed into our analysis. If patients choose to discontinue from the  
13 study after day 1 (when they will have already received estradiol if in the treatment group), we will  
14 separate the analysis from the treatment group as they will not have completed the progesterone therapy.  
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23 All data and research files will be de-identified and stored using the secure web application Research  
24 Electronic Data Capture (REDCap). REDCap is protected by a login as well as encryption. All data for  
25 this study will be electronic; there will be no paper records. Enrolled subjects will be assigned a  
26 sequential study ID (i.e. 001, 002, etc) that is linked to their medical record number. A link between the  
27 study ID numbers and medical record number will be kept in a separate file from the de-identified  
28 database and stored securely in REDCap. To promote data quality, two different individuals will perform  
29 double data entry. Subsequently, comparison of the two records will be done and any non-matching data  
30 will be correctly identified and entered. Only the IRB-approved study investigators will have access to the  
31 data and link. The PI will store all study research records and data post study closure, as required by NIH  
32 and University data retention policies.  
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## 45 **Statistical considerations**

### 46 *Sample size*

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48 Sample size calculations are based on 80% Power, using a two-sided Pearson's Chi-square Test for  
49 Proportion Differences at the 5% significance level. It is estimated that between 60% and 70% of  
50 hospitalized patients with mild or severe disease will improve clinically and become ambulatory after  
51 standard treatment (WHO ordinal scale score 1-2, **Table 1**). **Table 2** presents the sample sizes that are  
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3 required in each treatment group to detect a significant difference in the percent of hospitalized patient  
4 leading to an ambulatory disease (shift from category 3-5 to category 1-2 in 20%, 25%, 30% or 35% of  
5 hospitalized patients after treatment with estradiol (E2) and progesterone (P4)). Efforts will be made to  
6  
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8  
9 recruit 60 patients in each treatment group in order to stratify by disease severity, age and gender.  
10

### 11 *Interim analysis*

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13 One and only interim analysis will be performed at 50% study completion (after 60<sup>th</sup> subject end of study  
14 visit) to determine to what extent a strong treatment efficacy warrants stopping the trial early. **Table 3**  
15 presents interim sample sizes and required Z test statistics for comparing two proportions at each of stage  
16  
17 of an O'Brien-Fleming alpha-spending sequential design.<sup>27</sup> Based on 80% power and an overall 5%  
18  
19 significance level, if the interim analysis for the first 60 enrolled patients results in differences that are  
20  
21 more than 2.96 standard deviations from 0, the trial will end, and treatment efficacy will be concluded.  
22  
23  
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25  
26 Otherwise, the trial will enroll the total 120 patients.  
27

### 28 *Statistical Analysis*

29  
30 For all subjects who have completed the study, clinical outcomes and demographic characteristics will be  
31  
32 summarized and presented separately for the treatment group and the control group, as well as overall for  
33  
34 all patients. Categorical variables, such as the primary outcome, readmission, as well as race and sex will  
35  
36 be summarized with counts and percentages. Quantitative outcomes, such as length of stay, change in  
37  
38 biological markers, age and BMI will be summarized with means, medians, standard deviations, and  
39  
40 interquartile ranges. Univariate analyses will be performed as the primary method to assess the  
41  
42 unadjusted effect of treatment on the primary outcome and on readmission rate using the Pearson Chi-  
43  
44 square test. Differences in average length of stay and in change in biological markers will be assessed  
45  
46 with either a two-sample t test or the Mann-Whitney nonparametric test. Multivariate analyses will be  
47  
48 performed to compare treatment to control responses while adjusting for various co-factors. Multiple  
49  
50 logistic regression will be used as supportive method to test for significant differences in the proportion  
51  
52 with the primary outcome, between the active treatment and placebo groups, while adjusting for age, race,  
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54 sex, BMI and other potential co-factors. For the secondary outcomes, multiple logistic regression will also  
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3 be used to test for significant differences in the proportion readmits, between the active treatment and  
4 placebo groups, and multiple linear regression will be used to test for significant differences in average  
5 length of stay and changes in biological markers, between the treatment and SOC groups, while adjusting  
6 for potential influencing co factors.  
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### 11 **Patient and public involvement**

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13 No patients were involved in the design or implementation of this study.  
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## **ETHICS AND DISSEMINATION**

### **Ethics and safety approval**

Ethical approval was obtained from the institutional review board (IRB) at Tulane University Clinical Translational Unit on May 14<sup>th</sup> 2021. Future amendments to the protocol by the study investigators will be submitted to the IRB. Implementation of changes in the study will only be made after the IRB approval is received. Accordingly, trial participants, trial registries and trial staff will be informed in a timely manner. The study has been reviewed by the United States Food and Drug Administration and granted Investigational New Drug (IND) #152499.

### **Informed consent**

The consent process will be administered to the patient (or legally authorized representative (LAR) in the case that the patient is unable to consent) prior to enrollment in the study. Adobe sign feature on iPad or smartphone will be used in order to minimize the safety concerns for study personnel conducting the consent procedures. There is also be a separate section in the consent that allows the subjects to opt in or out of additional blood collection for ancillary studies in the future that are directly related to the current study. The forms and the consenting process have been reviewed and approved by the Tulane IRB.

### **Dissemination**

The data generated in this study will be presented at internal medicine, infections disease and endocrinology national or international conferences and published in a timely fashion. All final peer-reviewed manuscripts that arise from this proposal will be submitted to the digital archive PubMed Central. Results will be published in open-access journals when possible.

Study protocol and statistical analysis plans will be publically available. Participant-level data will not be shared

## Discussion

The pathogenesis of COVID-19 involves an inappropriate immune response, with outpouring of pro-inflammatory chemokines, leading to lung infiltration and hyperactivation of monocytes and macrophages producing pro-inflammatory cytokines (cytokine storm), resulting in lung edema, reduced gas exchange, and ultimately leading to acute respiratory distress syndrome and multiorgan failure.<sup>28-35</sup> Repurposing approved drugs that have already been tested in humans—and for which detailed information is available on their pharmacology, formulation, dose, and potential toxicity—provides a fast and safe approach for off-label use of potentially life-saving therapeutics. The scientific premise for the use of E2 and P4 in hospitalized patients, and its relevance to COVID-19 pathogenesis, is based on a large body of published literature reviewed recently.<sup>25</sup> In fact, two clinical trials are testing E2 (ClinicalTrials.gov identifier NCT04359329) or P4 (ClinicalTrials.gov identifier NCT04365127) individually in COVID-19 patients. While this protocol was in review, the trial with P4 reported that in men hospitalized with moderate to severe COVID-19, a 5 day progesterone treatment resulted in three fewer days of supplemental oxygen need and 2.5 fewer days of hospital stay as compared with control subjects.<sup>36</sup> The present study has been reviewed by the US Food and Drug Administration for Investigational New Drug authorization since August 2020, which has delayed its beginning.

The advantage of repurposing E2 and P4 is the depth of knowledge regarding their clinical efficacy and toxicity that has accumulated from over half a century of clinical and basic research. Hormone therapy using estrogens and progestogens is used by millions of women for contraception or the prevention of menopausal symptoms. There is no acute toxicity. The risk of DVT or pulmonary embolism associated with estradiol and progesterone treatment exists but is minimal. First, the risk of DVT is associated with chronic hormone therapy, over months or years, not following acute hormone therapy of several days. Most importantly, the risk is minimal because of parenteral estradiol administration, which does not alter clotting factors. DVT risk occurs mainly following orally administered estrogens, and synthetic progestins, because of first-pass liver metabolism producing high liver exposures to hormones, increasing

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2  
3 hepatic production of clotting and inflammatory factors.<sup>37</sup> Additionally, the DVT risks are usually  
4 associated with the use of conjugated equine estrogens alone or associated with a synthetic progestin, like  
5 medroxyprogesterone acetate.<sup>37</sup> There is no documented risk associated with natural E2 given  
6 systemically (IM, SC) and no documented risk with the use of natural P4. Finally, the pathogenesis of  
7 thrombosis in COVID-19 is a consequence of the immuno-inflammation,<sup>38</sup> and is expected to be  
8 ameliorated by the E2 and P4 treatment.  
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## 15 16 **TRIAL STATUS**

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19 This trial is currently recruiting subjects.  
20  
21

## 22 **Competing interests**

23  
24  
25 PI and Co-Is do not have any conflict of interest to disclose.  
26  
27  
28

## 29 **Authors' Contributions**

30  
31 FMJ- study design, management, analysis, interpretation of data; writing of the report; and decision to  
32 submit the report for publication. DL-clinical trial preparatory activities, data management, statistical  
33 analysis, interpretation of data and writing of the report. KB- Recruitment of patients, interpretation of data  
34 and writing of the report. VF- interpretation of data and writing of the report. JL- statistical analysis,  
35 interpretation and writing of the report. MS- visualization and writing of the report.  
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9

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Table 1. World Health Organization 9 point Ordinal Scale for Clinical Improvement

Patient State	Descriptor	Score
<i>Uninfected</i>	No clinical or virological evidence of infection	0
<i>Ambulatory</i>	No limitation of activities	1
	Limitation of activities	2
<i>Hospitalized mild disease</i>	Hospitalized, no oxygen therapy	3
	Oxygen by mask or nasal prongs	4
<i>Hospitalized Severe Disease</i>	Non-invasive ventilation or high-flow oxygen	5
	Intubation and mechanical ventilation	6
	Ventilation + additional organ support- pressors, Renal Replacement Therapy (RRT), Extracorporeal Membrane Oxygenation (ECMO)	7
<i>Dead</i>	Death	8

\* Adapted from Ref 26

Table 2. Sample size calculations

Treatment	Percent leading to a scale 1-2	Sample Size
SOC	60%	32
SOC + E2 + P4	90%	32
SOC	60%	22
SOC + E2 + P4	95%	22
SOC	70%	62
SOC + E2 + P4	90%	62
SOC	70%	36
SOC + E2 + P4	95%	36

Table 3. Interim analysis sample size calculation for a binary endpoint

Stage	1	2
Information rate	50%	100%
Efficacy boundary (z-value scale)	2.963	1.969
Overall power	0.1641	0.8000
Number of subjects	61.8	123.7
Cumulative alpha spent	0.0031	0.0500
Two-sided local significance level	0.0031	0.0490
Lower efficacy boundary (t)	-0.377	-0.172
Upper efficacy boundary (t)	0.277	0.148

Sequential analysis with a maximum of 2 looks (group sequential design). The sample size was calculated for a two-sample test for rates (two-sided),  $H_0: \pi(1) - \pi(2) = 0$ ,  $H_1$ : treatment rate  $\pi(1) = 0.9$ , control rate  $\pi(2) = 0.7$ . (t): approximate treatment effect scale



## FIGURE LEGENDS

### Figure 1. Study design.

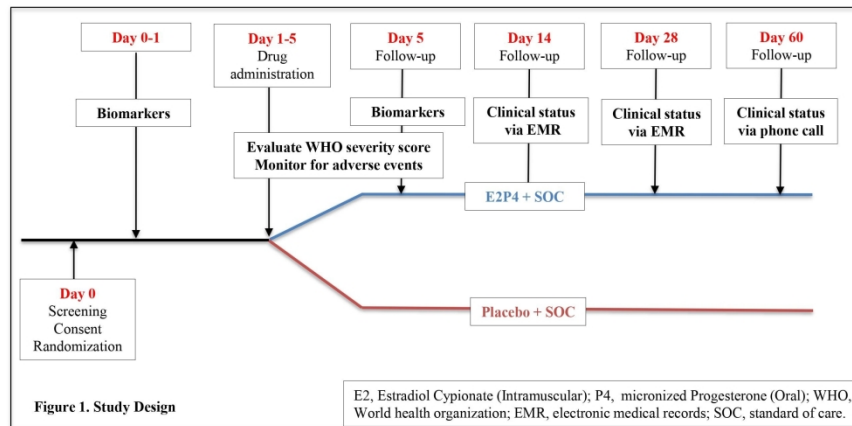
E2 5mg IM injection will be administered once on day 1 and P4 200mg will be administered daily PO day 1-5. Clinical status follow up via electronic medical records (EMR) review will be performed day 14<sup>th</sup> and day 28<sup>th</sup>. Clinical status for the end of study visit will be on day 60 via telephone and EMR review.

### Figure 2. Schedule of safety assessments.

Study subjects will be monitored for symptoms daily while receiving the study drugs day 1-5. Relevant safety assessments otherwise will be performed via chart review on day 14 and 28<sup>th</sup>. End of study visit safety assessment will be on day 60 via telephone and EMR review.

For peer review only





31 Figure 1. Study design.

32 E2 5mg IM injection will be administered once on day 1 and P4 200mg will be administered daily PO day 1-  
33 5. Clinical status follow up via electronic medical records (EMR) review will be performed day 14th and day  
34 28th. Clinical status for the end of study visit will be on day 60 via telephone and EMR review.

35  
36 254x190mm (300 x 300 DPI)

Surveillance	Days following initiation of treatment								
	0	1	2	3	4	5	14	28	60
Pregnancy test*	X								
Injection site		X	X	X	X	X			
E2 side effects		X	X	X	X	X	X		
P4 side effects		X	X	X	X	X	X		
DVT symptoms		X	X	X	X	X	X	X	X
MI symptoms		X	X	X	X	X	X	X	X
Stroke symptoms		X	X	X	X	X	X	X	X
Fluid retention**		X	X	X	X	X	X		

\* Women in reproductive age \*\* Patients with renal impairment

**Figure 2. Schedule of safety assessments**

Figure 2. Schedule of safety assessments.

Study subjects will be monitored for symptoms daily while receiving the study drugs day 1-5. Relevant safety assessments otherwise will be performed via chart review on day 14 and 28th. End of study visit safety assessment will be on day 60 via telephone and EMR review.

254x190mm (300 x 300 DPI)

# BMJ Open

## Acute Estradiol and Progesterone Therapy in Hospitalized Adults to Reduce COVID-19 Severity: A Randomized Control Trial

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<b>Primary Subject Heading</b>:	Infectious diseases
Secondary Subject Heading:	Infectious diseases, Pharmacology and therapeutics
Keywords:	COVID-19, Sex steroids & HRT < DIABETES & ENDOCRINOLOGY, General endocrinology < DIABETES & ENDOCRINOLOGY, INFECTIOUS DISEASES

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3 **Acute Estradiol and Progesterone Therapy in Hospitalized Adults to Reduce COVID-19 Severity:**  
4 **A Randomized Control Trial**  
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**ABSTRACT**

**Introduction:** As of October 2021, COVID-19, has killed more than 4 million people globally, including over 720,000 in the United States (US). Apart from corticosteroids, most available therapeutic options are at best marginally efficient in reducing disease severity and are extremely expensive. The systematic investigation of clinically approved drugs is a priority to determine what does mitigate disease severity. Estradiol (E2) and progesterone (P4) produce a state of anti-inflammatory immune responses and immune tolerance, and enhanced antibody production. The goal of this trial is to evaluate the efficacy of a short E2 and P4 therapy, in addition to standard of care (SOC), in mitigating disease severity in COVID-19 hospitalized patients.

**Methods and analysis:** Phase 2, randomized, double blind, placebo-controlled, single center trial. Patients hospitalized for confirmed COVID-19, with scores 3 to 5 on the 9-point World Health Organization (WHO) ordinal scale are randomized between two arms: 1) Estradiol Cypionate (IM) and micronized Progesterone (PO), in addition to SOC, and 2) Placebo, in addition to SOC. The primary outcome is the proportion of patients improving to scores 1 or 2 on the WHO scale through day 28. Secondary outcomes include length of hospital stay, duration of mechanical ventilation, cause of death, readmission rates, change in inflammatory biomarkers between admission and occurrence of primary endpoint, and adverse events. Study sample size will be up to 120 participants. The trial is currently recruiting subjects.

**Ethics and dissemination:** The sponsor of this study is the Center of Excellence in Sex-Based Biology & Medicine at Tulane University, New Orleans, Louisiana, USA. Ethical approval was obtained from the Tulane institutional review board (IRB) on May 14<sup>th</sup> 2021. The study was reviewed by the US Food and Drug Administration and granted Investigational New Drug (IND) #152499. Results of the study will be submitted for publication in a peer-reviewed journal.

**Clinical Trial Registration:** NCT04865029, ClinicalTrials.gov

## STRENGTHS AND LIMITATIONS OF THIS STUDY

1. The trial will include men and women.
2. E2 and P4 decrease production of proinflammatory cytokines and enhance B-cell-mediated antibody production.
3. The advantage of repurposing E2 and P4 comes from decades of accumulated knowledge regarding their clinical efficacy and toxicity.
4. E2 and P4 are inexpensive and available in hospitals worldwide.
5. A randomized, placebo-controlled trial is an efficient way to determine if a short systemic E2 and P4 therapy, administered early to hospitalized COVID-19 patients in addition to SOC can reduce the severity of outcomes compared to SOC alone.

## INTRODUCTION

As of October 2021, coronavirus diseases 2019 (COVID-19), has already killed over 4 million people worldwide.<sup>1</sup> Although the vaccination campaign is ramping up in the United States (US), vaccination hesitancy represents up to 25-30% of the population,<sup>2</sup> and hospitalizations and deaths are still high. In Brazil and India, the daily death toll is more than twice as high as it was in 2020.<sup>1</sup> Apart from corticosteroids,<sup>3</sup> most available therapeutic options are at best marginally efficient in reducing disease severity and mortality and are extremely expensive.<sup>4-6</sup> Therefore, the systematic investigation of clinically approved drugs is still a priority in order to determine what compounds could be repurposed to mitigate disease severity, and invest resources to go to full-scale production. Our current understanding of the disease is that COVID-19 deaths result from an inappropriate immune response with outpouring of pro-inflammatory chemokines leading to lung infiltration and hyperactivation of monocytes and macrophages producing pro-inflammatory cytokines (cytokine storm), resulting in lung edema, reduced gas exchange, and ultimately leading to acute respiratory distress syndrome and multiorgan failure.<sup>7-14</sup> Men with COVID-19 have a uniformly more severe outcome than women. In series from China, Europe and the U.S., COVID-19 mortality was consistently 1.5 to 2-fold higher in men than in women, suggesting that female biological sex is protecting women from COVID-19 mortality.<sup>15-20</sup> It is established that women exhibit heightened immune responses to viral infections compared to men,<sup>21</sup> which is at least partially due to the genetic benefit of gene dosage in X-linked immune-response genes. Ovarian steroids, however, also play a protective role. The analysis of electronic health records of over 68,000 COVID-19 patients revealed that estrogen therapy is associated with more than 50% reduction in mortality.<sup>22</sup> The main female steroids, 17 $\beta$ -estradiol (E2) and progesterone (P4) exhibit potent immuno-modulatory and anti-inflammatory actions via estrogen and progesterone receptors expressed in all immune cells, including epithelial cells, macrophages, dendritic cells, CD4+ and CD8+ lymphocytes, and B cells.<sup>21, 23</sup> P4 also acts partially via the glucocorticoid receptor. Together E2 and P4 produce a state of decreased innate immune cells production of proinflammatory cytokines, enhanced T cells anti-inflammatory responses and immune tolerance, and enhanced B-cell-mediated antibody production.<sup>21, 24, 25</sup> The scientific premise of



1  
2  
3 this trial is that in hospitalized COVID-19 patients, a short and early E2 and P4 therapy, in addition to  
4 standard of care (SOC; the National Institutes of Health (NIH) COVID-19 Treatment Guidelines Panel  
5 recommends the use of dexamethasone for up to 10 days or until hospital Discharge as SOC for the  
6 treatment of hospitalized COVID-19 patients), will prevent or mitigate the cytokine storm, and prevent  
7 severe outcomes, and without side effects.<sup>25</sup> Therefore, it will provide steroid immunomodulation without  
8 immunosuppression. The advantage of repurposing E2 and P4 is the depth of knowledge regarding their  
9 clinical efficacy and toxicity that has accumulated from decades of clinical and basic studies. E2 and P4  
10 are widely available in hospitals, inexpensive, manufacturable to scale, and can be prescribed  
11 immediately.  
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## 24 **METHODS AND ANALYSIS**

### 25 **Study design, randomization, intervention and study calendar**

26  
27 Phase 2, randomized, double blind, placebo-controlled, single center trial designed to determine to what  
28 extent a short systemic E2 and P4 therapy, administered early to hospitalized COVID-19 positive patients  
29 of both sexes, in addition to SOC, can reduce the severity of symptoms and outcomes compared to SOC  
30 alone.  
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37 Hospitalized patients with COVID-19 with scores 3-5 on the 9-point World Health Organization (WHO)  
38 ordinal scale<sup>26</sup> are randomized to a 5-day treatment between two arms:  
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40

- 41 1. Estradiol Cypionate (Depo-Estradiol) 5mg via intramuscular injection on day 1 and micronized  
42 progesterone 200mg administered orally, daily for 5 days, in addition to SOC.  
43
- 44 2. IM and oral placebos in addition to SOC.  
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48 We chose E2 administration via IM injection to avoid first-pass liver metabolism and change in coagulation  
49 markers while we chose P4 oral preparation due to ease of administration and low side effect profile. Drug  
50 will be supplied by Tulane Medical Center Research Pharmacy. The manufacturers of the study drugs are:  
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54 Pfizer for E2 (NDC: 0009-0271-01) and VIRTUS for P4 (NDC: 69543-375-10).  
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5 An independent biostatistician will provide a random number table to the unblinded pharmacist for  
6 randomization. The random number table is generated based on the total number of subjects to be enrolled  
7 in a 1:1 ratio for placebo vs E2/P4. The unblinded pharmacist will use the random number table in sequential  
8 order for randomization assignment as subjects are enrolled. The research team will remain blinded to the  
9 randomization assignment throughout the study. Unblinding will only be permissible in case of adverse  
10 events.  
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17 Study design and visit schedule are shown in **Figure 1**.

### 18 19 20 21 22 **Study implementation, recruitment, population and eligibility criteria**

23  
24 Participants are recruited at admission by the medical staff of the Department of General Internal Medicine  
25 and Geriatrics at Tulane Medical Center (Tertiary care academic hospital), under the leadership of Dr.  
26 Bateman (Co-Investigator) who identifies participants meeting study eligibility criteria from their  
27 admission list. Dr. Bateman assesses eligibility at screening visit, and notifies the research coordinators of  
28 eligible participants. Eligible subjects are enrolled by the research coordinators to partake in a randomized  
29 scheme to receive the 5-day active treatment or placebo. The Research Pharmacist at Tulane Medical Center  
30 assigns participants to the intervention. We will recruit up to 120 participants hospitalized at Tulane  
31 Medical Center with mild to severe COVID-19 (WHO ordinal scale score 3-5, **Table 1**) confirmed by  
32 SARS-CoV-2 PCR test .  
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#### 43 ***Inclusion Criteria:***

- 44  
45 1. Hospitalization for COVID-19 (WHO Ordinal scale score 3-5, **Table 1**) confirmed by SARS-  
46 CoV-2 PCR at Tulane Medical Center in the Department of General Internal Medicine and  
47 Geriatrics.  
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- 50  
51 2. Respiratory symptoms (fever, shortness of breath or cough) or abnormal lung exam or chest  
52 imaging characteristic of mild to severe COVID-19 pneumonia.  
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3. Patient and/or legally authorized representative (LAR) agrees to comply with study procedures and the collection of blood samples per protocol.
4. Patient and/or LAR agrees to be placed on prophylactic dose of anticoagulation for prevention of deep venous thrombosis (DVT) (if necessary).
5. Patient or legally authorized representative has signed informed consent.
6. Women of childbearing age with a negative pregnancy test on admission.

***Exclusion Criteria:***

1. Patient under 18 years of age
2. Critically-ill COVID-19 (respiratory failure requiring intubation and mechanical ventilation, shock, multi-organ failure).
3. Pregnant women confirmed by pregnancy test.
4. Women within six weeks of postpartum.
5. Patient included in another COVID-19 trial (excluding hydroxychloroquine and dexamethasone).
6. Women already treated by estrogen and or progestogen therapy two weeks prior to admission.
7. Men already treated by testosterone therapy prior to admission.
8. History of breast or endometrial cancer.
9. Abnormal genital bleeding.
10. Active or recent (e.g., within the past year) stroke or myocardial infarction.
11. History of blood clots including deep vein thrombosis related to clotting disease, or pulmonary emboli (prior to hospitalization).
12. History of liver dysfunction or disease.
13. Patients with end-stage renal disease
14. Patients taking inhibitors of CYP3A4 such as erythromycin, clarithromycin, ketoconazole, itraconazole, and ritonavir.

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3 15. Patients taking St. John's Wort preparations (*Hypericum perforatum*), phenobarbital,  
4 carbamazepine, and rifampin.  
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7 16. Patients within 6 weeks of major orthopedic surgery.  
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### 10 11 **Safety measures and monitoring** 12

13 We will monitor each subject for adverse events/serious adverse events (AEs/SAEs) daily while the subjects  
14 are receiving daily therapy (Figure 2). If they develop a grade 3 or 4 AE, we will discontinue the study  
15 drug. No dose adjustments will be made. We will also follow-up in person or by telephone for all subjects  
16 for any adverse AEs/SAEs and mortality at Day 60.  
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19 AEs will be graded according to Common Terminology Criteria for Adverse Events (CTCAE) Version 5  
20 and taken care with the corresponding specialized clinical management of the SAE.  
21

22 Grade 1 AEs (asymptomatic or mild symptoms; clinical or diagnostic observations only; intervention not  
23 indicated) or Grade 2 AEs (moderate; minimal, local or noninvasive intervention indicated; limiting age-  
24 appropriate instrumental activity of daily living): such as breast tenderness, nausea, vomiting, bloating,  
25 stomach cramps, headaches, vaginal itching, abnormal uterine bleeding will not require discontinuation of  
26 treatment.  
27

28 Grade 3 SAEs like DVT and 4 (life-threatening) SAEs such as MI, stroke, anaphylaxis will be managed by  
29 treatment discontinuation (except SOC), and appropriate specialized clinical management of the SAE.  
30

31 The trial is a 5-day intervention during hospitalization and therefore we do not expect problems with  
32 adherence. The study team members who are administering the trial drugs will call the PI or the Co-Is in  
33 circumstances when subjects have questions or concerns to be addressed in a timely manner to improve  
34 adherence.  
35

36 Study Investigators have formed an independent Data Safety and Monitoring Board (DSMB) to monitor  
37 study safety. The DSMB consists of four board members who are clinicians and researchers with the  
38 experience necessary to interpret the data and ensure patient safety. Specifically, the board members are  
39 knowledgeable about COVID-19 and the treatment involved in this study. The DSMB includes, an  
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3 infectious disease specialist, an endocrinologist, a hematologist-oncologist, a women's health specialist and  
4 a biostatistician. The initial DSMB meeting occurred before the start of the trial to discuss the protocol and  
5 guidelines for monitoring the study. At this meeting, guidelines were defined for stopping the study for  
6 safety concerns (Study stopping rules) and where relevant, for efficacy based on plans specified in the  
7 protocol. The DSMB will meet on a quarterly basis. After each meeting, the DSMB will provide the PI with  
8 a letter describing the results of their review and their recommendations for the continuation of the study.  
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### 15 16 17 18 **Outcome Measures**

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20 For all randomized patients according to inclusion criteria section above, demographic and clinical  
21 variables, including sex, age, race/ethnicity, body mass index (BMI), symptoms, vital signs, blood pressure,  
22 respiratory rate, temperature, oxygen saturation, chronic comorbidities through diagnosis codes,  
23 medications, clinical course during hospitalization (described in primary outcome below) will be reviewed  
24 in the patients' medical charts. Laboratory measurements will include, when available, complete blood  
25 count (CBC), blood chemistry, liver function test, creatinine, blood urea nitrogen test (BUN), lactate,  
26 troponin, ferritin, C-reactive protein (CRP), procalcitonin, Brain-type natriuretic peptide (BNP), D-dimer,  
27 and interleukin-6. We will review the participants' medical records for up to 60 days after hospital discharge.  
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#### 37 **Primary outcome:**

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39 For all randomized patients with baseline inclusion criteria, WHO 9-point ordinal scale scores 3 to 5, the  
40 primary efficacy end point will be the proportion of patients who improve to scores 1 or 2 on the WHO  
41 ordinal scale (Table 1) through day 28.  
42  
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#### 45 **Secondary outcomes:**

46  
47 For all randomized patients, the following secondary outcomes will be assessed at day 14, 28 and 60.  
48  
49 Assessment at day 14 and 28 will be performed by electronic medical records review, while assessment at  
50 day 60 will be performed via telephone call directly to the study subjects.  
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- 54 1. Length of hospital stay
- 55 2. Duration of mechanical ventilation
- 56
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3. Date and cause of death
4. Readmission
5. Change in biological markers below between admission and occurrence of primary endpoint (2 values at least 2 days apart)
6. Grade 3 and 4 AEs
7. SAEs

#### Biological markers

Obtained from SOC:

Inflammation: neutrophil:lymphocyte ratio, CRP, ferritin, procalcitonin.

Hypercoagulability: D-dimers, fibrinogen

Tissue injury: troponin, Alanine transaminase (ALT), Aspartate transaminase (AST), Lactate dehydrogenase (LDH)

Metabolome, Proteome, peripheral blood mononuclear cells (PBMCs)

#### Data collection and management

The collection of routine blood samples and patient monitoring will be conducted as part of the SOC procedures that are utilized at Tulane Medical Center for COVID-19 patients. The collection of blood samples (CBC with differential, blood chemistry, inflammation markers) is to monitor disease severity and is independent from this protocol, but we will use the data in our analysis. Women of childbearing age will have a pregnancy test on admission.

An additional blood sample will be collected at admission and after 5 days of treatment (about 5-25 ml of blood at these two time points). This blood sample will be stored in Dr. Mauvais-Jarvis lab for 1) isolation of PBMC to assess the effect of treatment on immune cells populations by flow cytometry, and 2) PBMC gene expression by RNA-Seq, and 2) metabolomics profiling to determine metabolic and molecular signatures of the efficacy of treatment. De-identified serum and PBMC frozen samples will be transferred to the University of Michigan Metabolomics Core to perform untargeted metabolomic

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3 analyses. The serum and PBMC from collected blood samples will be used to perform multi-dimensional  
4 analysis in the Center for Translational Research in Infection and Inflammation at Tulane University  
5 School of Medicine. Blood samples will be stored for up to 3 years.  
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9 The majority of clinical data, including all blood sample collection and assessment of severity of illness,  
10 will occur while the patient is hospitalized, which should minimize patient nonadherence. The only  
11 information to be collected after discharge will be a telephone call to assess clinical status at day 60. All  
12 available data will be collected and assessed into our analysis. If patients choose to discontinue from the  
13 study after day 1 (when they will have already received estradiol if in the treatment group), we will  
14 separate the analysis from the treatment group as they will not have completed the progesterone therapy.  
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23 All data and research files will be de-identified and stored using the secure web application Research  
24 Electronic Data Capture (REDCap). REDCap is protected by a login as well as encryption. All data for  
25 this study will be electronic; there will be no paper records. Enrolled subjects will be assigned a  
26 sequential study ID (i.e. 001, 002, etc) that is linked to their medical record number. A link between the  
27 study ID numbers and medical record number will be kept in a separate file from the de-identified  
28 database and stored securely in REDCap. To promote data quality, two different individuals will perform  
29 double data entry. Subsequently, comparison of the two records will be done and any non-matching data  
30 will be correctly identified and entered. Only the IRB-approved study investigators will have access to the  
31 data and link. The PI will store all study research records and data post study closure, as required by NIH  
32 and University data retention policies.  
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## 45 **Statistical considerations**

### 46 *Sample size*

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48 Sample size calculations are based on 80% Power, using a two-sided Pearson's Chi-square Test for  
49 Proportion Differences at the 5% significance level. It is estimated that between 60% and 70% of  
50 hospitalized patients with mild or severe disease will improve clinically and become ambulatory after  
51 standard treatment (WHO ordinal scale score 1-2, **Table 1**). **Table 2** presents the sample sizes that are  
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3 required in each treatment group to detect a significant difference in the percent of hospitalized patient  
4 leading to an ambulatory disease (shift from category 3-5 to category 1-2 in 20%, 25%, 30% or 35% of  
5 hospitalized patients after treatment with estradiol (E2) and progesterone (P4)). Efforts will be made to  
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8  
9 recruit 60 patients in each treatment group in order to stratify by disease severity, age and gender.  
10

### 11 *Interim analysis*

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13 One and only interim analysis will be performed at 50% study completion (after 60<sup>th</sup> subject end of study  
14 visit) to determine to what extent a strong treatment efficacy warrants stopping the trial early. **Table 3**  
15 presents interim sample sizes and required Z test statistics for comparing two proportions at each of stage  
16  
17 of an O'Brien-Fleming alpha-spending sequential design.<sup>27</sup> Based on 80% power and an overall 5%  
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19 significance level, if the interim analysis for the first 60 enrolled patients results in differences that are  
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21 more than 2.96 standard deviations from 0, the trial will end, and treatment efficacy will be concluded.  
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26 Otherwise, the trial will enroll the total 120 patients.  
27

### 28 *Statistical Analysis*

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30 For all subjects who have completed the study, clinical outcomes and demographic characteristics will be  
31  
32 summarized and presented separately for the treatment group and the control group, as well as overall for  
33  
34 all patients. Categorical variables, such as the primary outcome, readmission, as well as race and sex will  
35  
36 be summarized with counts and percentages. Quantitative outcomes, such as length of stay, change in  
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38 biological markers, age and BMI will be summarized with means, medians, standard deviations, and  
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40 interquartile ranges. Univariate analyses will be performed as the primary method to assess the  
41  
42 unadjusted effect of treatment on the primary outcome and on readmission rate using the Pearson Chi-  
43  
44 square test. Differences in average length of stay and in change in biological markers will be assessed  
45  
46 with either a two-sample t test or the Mann-Whitney nonparametric test. Multivariate analyses will be  
47  
48 performed to compare treatment to control responses while adjusting for various co-factors. Multiple  
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50 logistic regression will be used as supportive method to test for significant differences in the proportion  
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52 with the primary outcome, between the active treatment and placebo groups, while adjusting for age, race,  
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54 sex, BMI and other potential co-factors. For the secondary outcomes, multiple logistic regression will also  
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3 be used to test for significant differences in the proportion readmits, between the active treatment and  
4 placebo groups, and multiple linear regression will be used to test for significant differences in average  
5 length of stay and changes in biological markers, between the treatment and SOC groups, while adjusting  
6 for potential influencing co factors.  
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### 11 **Patient and public involvement**

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14 No patients were involved in the design or implementation of this study.  
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## **ETHICS AND DISSEMINATION**

### **Ethics and safety approval**

Ethical approval was obtained from the institutional review board (IRB) at Tulane University Clinical Translational Unit on May 14<sup>th</sup> 2021. Future amendments to the protocol by the study investigators will be submitted to the IRB. Implementation of changes in the study will only be made after the IRB approval is received. Accordingly, trial participants, trial registries and trial staff will be informed in a timely manner. The study has been reviewed by the United States Food and Drug Administration and granted Investigational New Drug (IND) #152499.

### **Informed consent**

The consent process will be administered to the patient (or legally authorized representative (LAR) in the case that the patient is unable to consent) prior to enrollment in the study. Adobe sign feature on iPad or smartphone will be used in order to minimize the safety concerns for study personnel conducting the consent procedures. There is also be a separate section in the consent that allows the subjects to opt in or out of additional blood collection for ancillary studies in the future that are directly related to the current study.

The forms and the consenting process have been reviewed and approved by the Tulane IRB.

### **Dissemination**

The data generated in this study will be presented at internal medicine, infections disease and endocrinology national or international conferences and published in a timely fashion. All final peer-reviewed manuscripts that arise from this proposal will be submitted to the digital archive PubMed Central. Results will be published in open-access journals when possible.

Study protocol and statistical analysis plans will be publically available. Participant-level data will not be shared

## Discussion

The pathogenesis of COVID-19 involves an inappropriate immune response, with outpouring of pro-inflammatory chemokines, leading to lung infiltration and hyperactivation of monocytes and macrophages producing pro-inflammatory cytokines (cytokine storm), resulting in lung edema, reduced gas exchange, and ultimately leading to acute respiratory distress syndrome and multiorgan failure.<sup>28-35</sup> Repurposing approved drugs that have already been tested in humans—and for which detailed information is available on their pharmacology, formulation, dose, and potential toxicity—provides a fast and safe approach for off-label use of potentially life-saving therapeutics. The scientific premise for the use of E2 and P4 in hospitalized patients, and its relevance to COVID-19 pathogenesis, is based on a large body of published literature reviewed recently.<sup>25</sup> In fact, two clinical trials are testing E2 (ClinicalTrials.gov identifier NCT04359329) or P4 (ClinicalTrials.gov identifier NCT04365127) individually in COVID-19 patients. While this protocol was in review, the trial with P4 reported that in men hospitalized with moderate to severe COVID-19, a 5 day progesterone treatment resulted in three fewer days of supplemental oxygen need and 2.5 fewer days of hospital stay as compared with control subjects.<sup>36</sup> The present study has been reviewed by the US Food and Drug Administration for Investigational New Drug authorization since August 2020, which has delayed its beginning.

The advantage of repurposing E2 and P4 is the depth of knowledge regarding their clinical efficacy and toxicity that has accumulated from over half a century of clinical and basic research. Hormone therapy using estrogens and progestogens is used by millions of women for contraception or the prevention of menopausal symptoms. There is no acute toxicity. The risk of DVT or pulmonary embolism associated with estradiol and progesterone treatment exists but is minimal. First, the risk of DVT is associated with chronic hormone therapy, over months or years, not following acute hormone therapy of several days. Most importantly, the risk is minimal because of parenteral estradiol administration, which does not alter clotting factors. DVT risk occurs mainly following orally administered estrogens, and synthetic progestins, because of first-pass liver metabolism producing high liver exposures to hormones, increasing

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3 hepatic production of clotting and inflammatory factors.<sup>37</sup> Additionally, the DVT risks are usually  
4 associated with the use of conjugated equine estrogens alone or associated with a synthetic progestin, like  
5 medroxyprogesterone acetate.<sup>37</sup> There is no documented risk associated with natural E2 given  
6 systemically (IM, SC) and no documented risk with the use of natural P4. Finally, the pathogenesis of  
7 thrombosis in COVID-19 is a consequence of the immuno-inflammation,<sup>38</sup> and is expected to be  
8 ameliorated by the E2 and P4 treatment.  
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## 15 16 **TRIAL STATUS**

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19 This trial is currently recruiting subjects.  
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## 21 22 **Competing interests**

23  
24  
25 PI and Co-Is do not have any conflict of interest to disclose.  
26  
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## 29 30 **Authors' Contributions**

31  
32 FMJ- study design, management, analysis, interpretation of data; writing of the report; and decision to  
33 submit the report for publication. DL-clinical trial preparatory activities, data management, statistical  
34 analysis, interpretation of data and writing of the report. KB- Recruitment of patients, interpretation of data  
35 and writing of the report. VF- interpretation of data and writing of the report. JL- statistical analysis,  
36 interpretation and writing of the report. MS- visualization and writing of the report.  
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Table 1. World Health Organization 9 point Ordinal Scale for Clinical Improvement

Patient State	Descriptor	Score
<i>Uninfected</i>	No clinical or virological evidence of infection	0
<i>Ambulatory</i>	No limitation of activities	1
	Limitation of activities	2
<i>Hospitalized mild disease</i>	Hospitalized, no oxygen therapy	3
	Oxygen by mask or nasal prongs	4
<i>Hospitalized Severe Disease</i>	Non-invasive ventilation or high-flow oxygen	5
	Intubation and mechanical ventilation	6
	Ventilation + additional organ support- pressors, Renal Replacement Therapy (RRT), Extracorporeal Membrane Oxygenation (ECMO)	7
<i>Dead</i>	Death	8

\* Adapted from Ref 26

Table 2. Sample size calculations

Treatment	Percent leading to a scale 1-2	Sample Size
SOC	60%	32
SOC + E2 + P4	90%	32
SOC	60%	22
SOC + E2 + P4	95%	22
SOC	70%	62
SOC + E2 + P4	90%	62
SOC	70%	36
SOC + E2 + P4	95%	36

Table 3. Interim analysis sample size calculation for a binary endpoint

Stage	1	2
Information rate	50%	100%
Efficacy boundary (z-value scale)	2.963	1.969
Overall power	0.1641	0.8000
Number of subjects	61.8	123.7
Cumulative alpha spent	0.0031	0.0500
Two-sided local significance level	0.0031	0.0490
Lower efficacy boundary (t)	-0.377	-0.172
Upper efficacy boundary (t)	0.277	0.148

Sequential analysis with a maximum of 2 looks (group sequential design). The sample size was calculated for a two-sample test for rates (two-sided),  $H_0: \pi(1) - \pi(2) = 0$ ,  $H_1$ ; treatment rate  $\pi(1) = 0.9$ , control rate  $\pi(2) = 0.7$ . (t): approximate treatment effect scale



## FIGURE LEGENDS

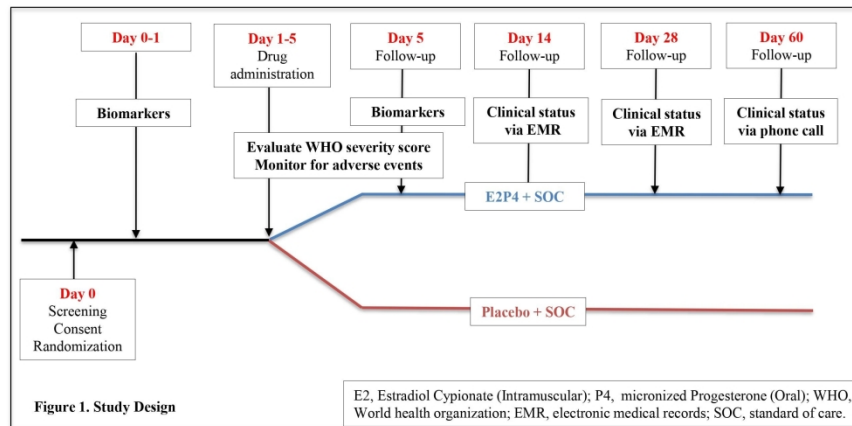
### **Figure 1. Study design.**

E2 5mg IM injection will be administered once on day 1 and P4 200mg will be administered daily PO day 1-5. Clinical status follow up via electronic medical records (EMR) review will be performed day 14<sup>th</sup> and day 28<sup>th</sup>. Clinical status for the end of study visit will be on day 60 via telephone and EMR review.

### **Figure 2. Schedule of safety assessments.**

Study subjects will be monitored for symptoms daily while receiving the study drugs day 1-5. Relevant safety assessments otherwise will be performed via chart review on day 14 and 28<sup>th</sup>. End of study visit safety assessment will be on day 60 via telephone and EMR review.

For peer review only



31 Figure 1. Study design.

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33 5. Clinical status follow up via electronic medical records (EMR) review will be performed day 14th and day  
34 28th. Clinical status for the end of study visit will be on day 60 via telephone and EMR review.

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36 254x190mm (300 x 300 DPI)

Surveillance	Days following initiation of treatment								
	0	1	2	3	4	5	14	28	60
Pregnancy test*	X								
Injection site		X	X	X	X	X			
E2 side effects		X	X	X	X	X	X		
P4 side effects		X	X	X	X	X	X		
DVT symptoms		X	X	X	X	X	X	X	X
MI symptoms		X	X	X	X	X	X	X	X
Stroke symptoms		X	X	X	X	X	X	X	X
Fluid retention**		X	X	X	X	X	X		

\* Women in reproductive age \*\* Patients with renal impairment

**Figure 2. Schedule of safety assessments**

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Study subjects will be monitored for symptoms daily while receiving the study drugs day 1-5. Relevant safety assessments otherwise will be performed via chart review on day 14 and 28th. End of study visit safety assessment will be on day 60 via telephone and EMR review.

254x190mm (300 x 300 DPI)