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# BMJ Open

## **Determinants of continued maternal care-seeking during pregnancy, birth and postnatal period and associated neonatal survival outcomes in Kenya and Uganda: analysis of national data.**

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3 **Determinants of continued maternal care-seeking during pregnancy, birth and**  
4 **postnatal period and associated neonatal survival outcomes in Kenya and Uganda:**  
5 **analysis of national data.**  
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**Abstract**

**Objectives:** To examine how maternal and sociodemographic factors determine maternal care-seeking behaviour from pregnancy to postnatal period in Kenya and Uganda and to estimate associated neonatal survival outcomes.

**Design:** A cross-sectional study using data from Uganda and Kenya Demographic and Health Surveys, 2014-2016, we employed multinomial regression to examine how maternal and sociodemographic factors predict continuity of care-seeking. Associated neonatal survival outcomes were assessed using binary logistic regression.

**Setting:** Kenya and Uganda

**Participants:** Mothers aged 15-49 years old who delivered last live-born baby within 1-59 months prior to the commencement of the survey.

**Main outcome measure:** Care-seeking behaviour and associated neonatal survival outcomes.

**Results:** Overall, 57% of mothers had 4 or more antenatal care contacts, of which 73% and 41% had facility births and postnatal care, respectively. Parental education was associated with higher uptake of care in over 8 out of 10 care classes; relative risk ratios, RRR ranged from 2.1–8.6 (95% confidence intervals [95% CI] 1.2–16.0). Similarly, exposure to mass media, desire to have a baby and being married were associated with higher care-seeking. However, care-seeking reduced if a husband made maternal care-seeking decisions. Transportation problems and living in rural versus urban were associated with low care utilization, RRR ranged from 0.6 – 0.7 (95% CI 0.5–0.9) and 0.4 – 0.6 (95% CI 0.3–0.8) respectively. The two *lowest* care-seeking categories indicated the highest odds for neonatal mortality, aOR 3.8 (95% CI 1.7–8.6). 22% neonatal deaths were attributable to inadequate maternal care attendance.

**Conclusion:** Reviews of large-scale studies could enable optimal standard classification for ranking maternal care-seeking behaviours. This in turn can be used by care providers to promptly identify a mother's care-seeking level on the basis of previous care history or a brief interview and subsequently adopt new strategies to close care-seeking gaps.

### Strengths and limitations of this study

- The nationally representativeness of the data and the large sample size of the study allowed for valid stratified analysis with implications for national policy developments to improve neonatal survival outcomes for countries in SSA region.
- Recall bias may not be completely eliminated from the study since the data was collected retrospectively through interviews.
- However, by selecting the most recent births and owing to the fact that childbirth is a special event not easily forgettable, the study findings reflect the reality with considerable validity.
- The study was based on maternal attendance to care and not the actual obstetric care received thus aspects related to lack of drugs and inadequate facilities were not captured in our study.

## Introduction

In 2019, close to 7000 newborns worldwide died within their first 28 days of life (neonatal period), as per the United Nations Inter-Agency Group for Child Mortality Estimation,[1]. Roughly three-quarters of these deaths occurred during childbirth and the first week of neonatal period,[1, 2] and the major causes included infections such as sepsis and pneumonia, birth complications and prematurity-related problems such as asphyxia and low birthweight, [3]. Comprehensive antenatal care (ANC), skilled birth attendance and postnatal (afterbirth) care (PNC) have long been recognized as key strategies that profoundly contribute to newborn survival,[4,5]. In 2015, 64% of women globally had 4 or more ANC contacts,[6]. and prevalence of health facility births was 80% in 2019,[7]. In high income countries such as in Sweden where neonatal death rate is among the lowest globally (1.4 deaths per 1000 live births, in 2019), almost all mothers obtain comprehensive ANC, facility births and PNC services,[8]. However, in sub-Saharan African (SSA) and southeast Asian countries where over 70 percent of all neonatal deaths occur [9], utilization of the components of care is relatively low and vary substantially,[10-12].

Accordingly, since 2005, the World Health Organization (WHO) has been advocating for the implementation of continuum of care strategy, a concept that promotes continual access to care from pre-pregnancy to the first few weeks of after childbirth,[13,14]. While several SSA countries including Kenya and Uganda report over 80% coverage of at-least-one ANC contact with skilled provider,[15,16], late initiation of ANC visits, lower health facility births and very low PNC utilization still pose enormous challenges. A Lancet study reported that prevalence of early initiation of ANC contact (<14 weeks gestation) was only 24% in SSA, much lower compared to 85% in high income countries,[17]. The challenge in a number of SSA countries, however, is that despite the removal of user fees for all maternal and child health service in many countries, a number of sociodemographic factors and maternal characteristics still remain critical determinants of care utilization that hinder or motivate choices and preferences in maternal care-seeking,[18].

Andersen and Newman behavioural model of utilization of health care services has widely been used to identify factors that influence care-seeking behaviour,[19]. The model outlines three main factors that interact to predict utilization of care and they included societal, individual and health system determinants,[19]. See diagrammatic details in [Supplementary file 1](#). The model has been employed by studies to examine utilization of the different

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3 components of maternal and newborn care such as ANC,[20,21], childbirth,[22] or PNC,[23].  
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5 However, very few studies in SSA have assessed how factors in the Andersen and Newman  
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7 model modify care-seeking behaviour along the continuum of care from pregnancy to  
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9 postnatal period, and even much fewer within the context of free maternity policy.

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12 A recent community-based study in Ethiopia showed that women with higher education,  
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14 married women, and those with autonomy in health care decision were likely to complete  
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16 continuum of care,[24]. Whereas the study provided critical findings, it considered only 1  
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18 ANC visit and not the WHO or Ministry of Health (MoH) recommended number of  
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20 contacts,[24]. Another similar study by Oh et al. in 2013 in Gambia also found a number of  
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22 factors associated with maternal care-seeking continuum and early ANC visits,[25].  
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24 However, the study lacked PNC estimates for facilities deliveries,[25]. Another sub-  
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26 nationally study in Tanzania found, among other factors, knowledge or experience of  
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28 pregnancy danger signs was associated with higher care-seeking,[26]. A 2019 Cochrane  
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30 review of several qualitative studies found that influence by others, illness-free pregnancy,  
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32 financial dependence, and selective use of ANC as potential barriers to continual maternal  
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34 care utilization,[27]. The few existing studies on continuum of care-seeking in SSA are very  
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36 informative but limited in one way or another, and none to our knowledge examined  
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38 associated neonatal survival outcomes.

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40 Kenya and Uganda are among the 10 countries in SSA countries with most neonatal  
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42 deaths,[28], and despite free maternity policy in both countries and relatively higher gross  
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44 domestic product (GDP) than some countries in the East Africa region such as Rwanda,  
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46 neonatal mortality rates have declined much more slower compared to Rwanda,[29,30].  
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48 Thus, this study aims to examine how sociodemographic and maternal factors influence care-  
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50 seeking behaviour in the care-seeking continuum from pregnancy, childbirth to postnatal  
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52 period in Kenya and Uganda. A secondary aim was to estimate the impact of levels of  
53  
54 continued maternal care-seeking on neonatal survival.

## 55 **Methods**

### 56 **Study settings**

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58 Kenya and Uganda have closely comparable demographics. The total population in Kenya  
59  
60 and Uganda as of 2016-2019 was about 90 million,[31,32]. More than 70 % of the  
populations live in the rural areas with agriculture as their main source of



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3 livelihood,[31,33,34]. The sex ratio is approximately 1:1,[31,35] and general life expectancy  
4 at birth in 2016 was similar in both countries, for females it was 64 and 67 years in Uganda  
5 and Kenya, respectively,[36]. Maternal mean age at first childbirth is 19–20 years. Neonatal  
6 mortality rates in both countries were about 22 deaths per 1000 live births in 2016,[30]. Like  
7 a number of countries in SSA, Kenya and Uganda provide free maternal care services in  
8 primary level health facilities,[37].  
9

### 15 **Data source and study design**

16 We obtained the cross-sectional, population-representative, demographic and health survey  
17 (DHS) datasets for Kenya 2014 and Uganda 2016 after a formal request to the DHS  
18 secretariat. DHS collects maternal and child health data across the whole country in a two-  
19 stage cluster sampling procedure. The DHS uses standard procedures and protocols that  
20 ensure complete anonymity of the respondents and adherence to international ethical  
21 standards for research. We utilized the data for the most recent live births, 1-59 months prior  
22 to the surveys. More details on data collection procedure can be accessed from DHS  
23 methodology and manuals,[38,39].  
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### 32 **Patient and public involvement**

33 A number of researchers and sexual and reproductive health education colleagues with  
34 experiences in low-middle income settings as well as health practitioners in direct working  
35 contact with maternity care in Kenya and Uganda were consulted during the design of this  
36 study.  
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### 42 **Study variables**

#### 43 **Outcome variables**

44 *Care-seeking continuum* was the primary outcome variable. It constituted a combination of  
45 the number of ANC visits, health facility birth and at least one PNC contact within 28 days  
46 postpartum (after birth). Continuum of care-seeking was categorized into 12 classes on the  
47 basis of relative adherence to basic (modified) WHO and MoH recommendations for care  
48 from pregnancy to postnatal period prior to 2016, that is, before the current WHO  
49 recommendation of 8 ANC visits. Since data for both countries were collected prior to the  
50 new WHO 2016 ANC recommendations, we used previous Focused ANC recommendations.  
51 A mother with a combination of 4 or more visits, health facility birth (skilled birth) and at  
52 least 1 PNC contact was classified in the *highest* category of care-seeking and those with  
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least/no amount of care were categorized as *lowest* class. The intermediate categories were classified on the basis of optimal and perceived descending-level of care-seeking behaviour as *higher, high, moderately high, slightly high, moderately low, moderately lower, very low, strategic-lowest, 'unstrategic'-lowest, and 2<sup>nd</sup> lowest*, as shown in Table 1 below.

**Table 1:** Classification of continuum of care-seeking classes during antenatal period, childbirth and within 28 days postnatal period in Kenya and Uganda.

	≥ 4 ANC visits	2-3 ANC visits	1 ANC visit and 1 <sup>st</sup> contact in 3 <sup>rd</sup> trimester	1 ANC visit and 1 <sup>st</sup> contact in 1 <sup>st</sup> or 2 <sup>nd</sup> trimester	0 ANC visit
<b>Health facility birth</b>					
PNC - Yes	Highest	Higher	'Strategic' - lowest	'Unstrategic' - lowest	2 <sup>nd</sup> lowest
PNC - No	High	Moderate high			Lowest
<b>Birth outside of health facility</b>					
PNC - Yes	Slightly high	Moderately lower			
PNC - No	Moderately low	Very low			

*ANC – Antenatal care, PNC – Postnatal care, 1<sup>st</sup> – first, 2<sup>nd</sup> - Second*

The first component of classification was in accordance with the number of ANC visits a mother had, the second level was on the basis of whether or not a mother delivered at the health facility and the last part of continuum of care was whether or not a mother had PNC visit. For those who had only 1 ANC visit, further divisions were made to account for timing of the visit, either first/second trimester or in the third trimester (last 3 months of pregnancy).

*Neonatal mortality* was a secondary outcome variable that was dichotomized into 'yes' (died) and 'no' (lived) depending on whether the neonate lived or not. The predictor variables for this outcome variable were the modified classes of care-seeking continuum discussed as the primary outcomes above.

### Independent variables

These constituted sociodemographic factors and maternal characteristics that were examined across all care-seeking continuum categories of the primary outcome variable. They included variables that the modified Andersen and Newman behavioural model for care utilization identified as predictors of care-seeking behaviour,[19]. Further, the categorization of these variables was also informed by a number of maternal and child health studies previously conducted in SSA. They included *maternal age* and *place of residence* that were categorized

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3 into 15–24, 25–34 and 35–49 years, and rural or urban respectively,[40]. *Marital status* was  
4 dichotomized into single or married,[41]. A mother having a *problem with longer*  
5 *distance/transportation to nearest health facility* was classified as 'yes' if it was a problem  
6 and 'no' if it was not,[42]. *Desire to have a newborn child, whether or not mother was told*  
7 *about pregnancy complications*,[43] and *having exposure to mass media*,[44] were all  
8 categorized as 'yes' and 'no'. The variable *who ultimately makes maternal care-seeking*  
9 *decisions* was categorized as respondent (woman) alone, husband alone or joint decision,[45].  
10 *Education* was categorized as no education, primary education and secondary or higher,[46].  
11 *Parity* (number of children ever born) was categorized as primiparity (for first time mothers)  
12 para 2-3 (for those with 2-3 children) and para 4+,[40]. *Wealth status* was classified into poor  
13 (poor/poorest), middle and rich(rich/richest),[46,47]. The wealth status in DHS is indexed  
14 based on household cumulative living standards taking into account assets possessed, water  
15 and sanitation facilities. Place of residence was classified into rural and urban,[47].  
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### 27 **Mapping the predictor – outcome relationship using directed acyclic graphs**

28 Prior to the analysis, the directed acyclic graphs (DAGs) by Textor and colleagues,[48] were  
29 used to map the predictors of both care-seeking behaviours and neonatal mortality on the  
30 basis of existing peer-reviewed evidence and to identify any confounding bias in our models.  
31 [Supplementary file 2](#), Diagrams 1.a and b illustrate the process. For Diagram 1.b, the lower  
32 levels of care-seeking are represented by a lack of a care component(s) that is/are major non-  
33 causal risk factor for neonatal mortality.  
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### 41 **Data analysis**

42 We used cross-tabulations to examine the distribution of mothers across variables and  
43 variable categories in the different levels of care-seeking continuum. We also investigated  
44 correlations between ANC visits and proportions of health facility childbirths and PNC visits.  
45 Multinomial logistic regression models examined the associations between sociodemographic  
46 and maternal factors and continued care-seeking at different care-seeking classes/categories,  
47 with the *lowest* class as the reference group.  
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54 Binary logistic regression was used to determine the odds ratios for the associations between  
55 the various classes of care-seeking continuum and neonatal mortality, with the *highest* class  
56 as the reference group. Further, the resulting significant adjusted odds ratios were then used  
57 to estimated attributable risk fraction (AR) and population attributable risk fraction (PAR) to  
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determine proportion of neonatal deaths that would be prevented if mothers in a given lower level of care-seeking continuum had sought care at the *highest* class. For plausible analysis, owing to fewer numbers in certain neonatal mortality strata, certain classes with closer characterization were merged together. The *slightly high* class was merged with *moderately low*, and *very low* was combined with *moderately low*. Similarly *strategic* - and '*unstrategic*' - *lowest classes* were also joined together. These resulted into 9 categories that were used in the binary logistic regression model to investigate the associations between different classes of care-seeking continuum and neonatal mortality. We used Stata version 16 (College Station, TX: Stata Press) and Microsoft Excel for analysis and to generate graphical summaries of results. Sampling weights were applied, and we accounted for complex sampling design recommended by the DHS methodology guide.

### Estimating attributable neonatal mortality risk proportions associated with low levels of care-seeking continuum.

The attributable risk proportions and population attributable neonatal mortality risk proportion (PAR) were obtained by the formulas  $AR = [(OR-1)/OR] * 100$  and  $PAR = Pe * [(OR-1)/OR] * 100$  respectively, where OR is the statistically significant adjusted odds ratio associated with that care-seeking class and *Pe* is the proportion of the total mortalities in that given care-seeking class.

### Results

Table 2 and Figure 1 indicate that over 95% of mothers had at least 1 ANC visit and about 56% had 4 or more ANC contacts in Kenya and Uganda. Of those who had 4 or more ANC visits, 73% gave birth at a health facility and about 41% had newborn PNC check-up within 28 days after birth as shown in Table 2.

**Table 2:** Distribution of mothers by continuum of care-seeking classes during antenatal period, childbirth and within 28 days postnatal in Kenya and Uganda, using demographic and health survey 2014-2016 data.

	≥ 4ANC visits, n=13888	2-3 ANC visits, n=8744	1 ANC visit and 1 <sup>st</sup> contact in 3 <sup>rd</sup> trimester n=533	1 ANC visit and 1 <sup>st</sup> contact in 1 <sup>st</sup> or 2 <sup>nd</sup> trimester n=240	0 ANC visit, n=1095
<b>Health facility birth (%)</b>					
PNC - Yes	4961(35.7)	2355(26.9)	76(14.2)	39(16.3)	68(6.2)

PNC - No	5179(37.3)	2782(31.8)	133(25.0)	80(33.3)	106(9.6)
<b>Birth outside health facility</b>					
PNC - Yes	752(5.4)	632(7.2)	56(10.5)	7(2.9)	121(11.1)
PNC - No	2996(21.6)	2975(34.0)	268(50.3)	114(47.5)	800(73.1)

The scatter plot in Figure 2 shows a positive correlation between number of antenatal care visits and both proportions of facility births and PNC visits. Further, Figure 3 shows that a

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3 single early ANC visit in the 1<sup>st</sup> or 2<sup>nd</sup> trimester increased the likelihood of health facility  
4 childbirth as opposed to late ANC visit in the 3<sup>rd</sup> trimester.  
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8 Table 3 shows the distribution of maternal and sociodemographic characteristics by care-  
9 seeking behaviour from pregnancy to postnatal period. Majority ( $\geq 39\%$ ) of the mothers  
10 were between 25–34 years of age in all care-seeking categories. Overall, 70% of the mothers  
11 lived in a rural setting and 37 % of all women had problems with distance to the nearest  
12 health facility. Roughly 30 % and 55% of those who had highest and lowest care-seeking  
13 tendencies respectively, indicated distance as a hindrance to care-seeking. Half of all the  
14 mothers had primary education. About 40% of highest care-seekers had secondary or higher  
15 education while 59% of lowest care-seekers had no formal education. Similar trends were  
16 observed among their husbands/partners.  
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**Table 3.** Distribution of maternal and sociodemographic factors, by continuum of care-seeking classes combining antenatal care (ANC) visits, delivery and postnatal care (PNC) in Kenya and Uganda, using demographic and health survey 2014-2016 data.

	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visit in 3 <sup>rd</sup> trimester, n=533	1 ANC visit, in 1 <sup>st</sup> or 2 <sup>nd</sup> trimester, n=240	0 ANC visit, n=1095	
Maternal & sociodemographic characteristics	Highest (Health facility birth & PNC). n(%)	High (Health facility birth, no PNC). n(%)	Slightly high (No health facility birth, PNC). n(%)	Mod-low (No health facility birth, no PNC). n(%)	Higher (Health facility delivery, PNC). n(%)	Mod- high (Health facility birth, no PNC). n(%)	Mod-lower (No health facility birth, PNC). n(%)	Very low (No health facility birth, no PNC). n (%)	Strategic-lowest ( <sup>a</sup> Some facility births and <sup>b</sup> some PNC). n(%)	'Unstrategic'-lowest ( <sup>c</sup> Some facility births and <sup>d</sup> some PNC). n (%)	2 <sup>nd</sup> lowest (Health facility birth, <sup>e</sup> some PNC). n(%)	Lowest (No health facility births, <sup>f</sup> some PNC) n(%)
<b>Maternal age (Years)</b>												
15-24	1625(32.8)	1680(32.4)	213(28.3)	815(27.2)	832(35.3)	1001(36.0)	159(25.2)	778(26.2)	189(34.9)	86(34.8)	75(42.6)	227(24.5)
25-34	2406(48.5)	2513(48.5)	315(46.6)	1410(47.1)	1064(45.2)	1240(44.6)	303(47.9)	1385(46.6)	211(39.0)	100(40.5)	62(35.2)	417(44.9)
35-49	930(18.7)	986(19.1)	189(25.1)	771(25.7)	459(19.5)	541(19.4)	170(26.9)	812(27.3)	141(26.1)	61(24.7)	39(22.2)	284(30.6)
<b>Place of residence</b>												
Urban	1669(33.6)	2096(40.5)	164(21.8)	483(16.1)	677(28.8)	956(34.4)	122(19.3)	409(13.8)	122(22.6)	57(23.1)	54(30.7)	105(11.3)
Rural	3292(66.4)	3083(59.5)	588(78.2)	2513(83.9)	1678(71.3)	1826(65.6)	510(80.7)	2566(86.2)	419(77.4)	190(76.9)	122(69.3)	823(88.7)
<b>Distance to nearest health facility is a problem</b>												
No	3490(70.3)	1561(66.5)	472(62.8)	876(52.3)	1580(67.1)	810(62.9)	379(60.1)	862(50.5)	192(58.9)	85(50.9)	80(66.7)	211(44.5)
Yes (A big problem)	1471(29.7)	785(33.5)	280(37.2)	798(47.7)	775(32.9)	477(37.1)	252(39.9)	844(49.5)	134(41.1)	82(49.1)	40(33.3)	263(55.5)

Some – a proportion within that specific category: <sup>a</sup>39%, <sup>b</sup>25%, <sup>c</sup>50%, <sup>d</sup>19%, <sup>e</sup>39%, <sup>f</sup>13%. 1<sup>st</sup> – first, 2<sup>nd</sup> – second, Mod – Moderately

	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visit in 3 <sup>rd</sup> trimester, n=533	1 ANC visit, in 1 <sup>st</sup> or 2 <sup>nd</sup> trimester, n=240	0 ANC visit, n=1095	
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<b>Maternal education level</b>												
No education	435(8.8)	389(7.5)	167(22.2)	811(27.1)	241(10.2)	271(9.8)	140(22.2)	726(24.4)	123(22.7)	94(38.1)	33(18.8)	551(59.4)
Primary	2535(51.1)	2721(52.5)	486(64.6)	1789(59.7)	1369(58.2)	1645(59.1)	399(63.1)	1960(65.9)	332(61.4)	127(51.4)	99(56.3)	336(36.2)
Secondary & higher	1991(40.1)	2069(40.0)	99(13.2)	396(13.2)	745(31.6)	866(31.1)	93(14.7)	289(9.7)	86(15.9)	26(10.5)	44(25.0)	41(4.4)
<b>Partner/husband education level</b>												
No education	255(6.1)	128(6.5)	118(16.9)	276(19.1)	142(7.4)	68(6.5)	98(16.8)	259(17.5)	45(17.3)	33(25.4)	14(18.7)	228(54.2)
Primary	1860(44.6)	991(50.3)	401(57.5)	815(56.5)	1011(52.4)	590(56.2)	348(59.8)	938(63.5)	149(57.3)	69(53.1)	44(58.7)	154(36.6)
Secondary & higher	2059(49.3)	851(43.2)	179(25.6)	352(24.4)	777(40.2)	392(37.3)	136(23.4)	280(19.0)	66(25.4)	28(21.5)	17(22.7)	39(9.3)
<b>Knowledge about pregnancy, birth complications (only Kenya)</b>												
No	537(32.8)	319(39.1)	348(47.4)	286(63.4)	341(45.6)	250(53.7)	349(55.6)	351(66.9)	127(71.4)	41(55.4)	--	--
Yes	1099(67.2)	496(60.9)	386(52.6)	165(36.6)	407(54.4)	216(46.3)	279(44.4)	174(33.1)	51(28.6)	33(44.6)	--	--



	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visit in 3 <sup>rd</sup> trimester, n=533	1 ANC visit, in 1 <sup>st</sup> or 2 <sup>nd</sup> trimester, n=240	0 ANC visit, n=1095	
Maternal & sociodemographic characteristics	Highest (Health facility birth & PNC). n(%)	High (Health facility birth, no PNC). n(%)	Slightly high (No health facility birth, PNC). n(%)	Mod-low (No health facility birth, no PNC). n(%)	Higher (Health facility delivery, PNC). n(%)	Mod- high (Health facility birth, no PNC). n(%)	Mod-lower (No health facility birth, PNC). n(%)	Very low (No health facility birth, no PNC). n(%)	Strategic-lowest (Some facility births and some PNC). n(%)	Unstrategic-lowest (Some facility births and some PNC). n(%)	2 <sup>nd</sup> lowest (Health facility birth, some PNC). n(%)	Lowest (No health facility births, some PNC). n(%)
Some – a proportion within that specific category: <sup>a</sup> 39%, <sup>b</sup> 25%, <sup>c</sup> 50%, <sup>d</sup> 19%, <sup>e</sup> 39%, <sup>f</sup> 13%. 1 <sup>st</sup> – first, 2 <sup>nd</sup> – second Mod – Moderately												
<b>Desire to have a child,</b>												
No	142(8.7)	215(9.2)	97(12.9)	206(12.3)	249(10.6)	153(11.9)	120(19.0)	257(15.1)	72(22.1)	33(19.8)	18(15)	78(16.5)
Yes	1496(91.3)	2132(90.8)	654(87.1)	1469(87.7)	2106(89.4)	1133(80.1)	512(81.0)	1449(84.9)	254(77.9)	134(80.2)	102(85)	396(83.5)
<b>Who ultimately makes care-seeking decisions</b>												
Respondent alone (woman)	1337(32.7)	548(28.6)	229(36.6)	461(32.2)	600(31.9)	343(33.0)	172(33.0)	416(28.9)	75(30.6)	35(28.0)	24(33.3)	81(21.3)
Both	1776(43.5)	834(43.4)	236(37.8)	600(41.9)	834(44.3)	437(42.1)	186(35.7)	612(42.4)	87(35.5)	56(44.8)	23(31.9)	168(44.1)
Husband alone	973(23.8)	537(28.0)	160(25.0)	370(25.9)	448(23.8)	258(24.9)	163(31.3)	414(28.7)	83(33.9)	34(27.2)	25(34.7)	132(34.7)
<b>Parity</b>												
Primiparous	1293(26.1)	1388(26.8)	96(12.8)	324(10.8)	555(23.6)	715(25.7)	68(10.8)	295(9.9)	100(18.5)	51(20.6)	56(31.8)	113(12.2)
Para 2-3	1877(37.8)	2113(40.8)	287(38.2)	988(33.0)	855(36.3)	1061(38.1)	219(34.7)	906(30.5)	100(18.5)	78(31.6)	43(24.4)	236(25.4)

	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visit in 3 <sup>rd</sup> trimester, n=533	1 ANC visit, in 1 <sup>st</sup> or 2 <sup>nd</sup> trimester, n=240	0 ANC visit, n=1095	
Maternal & sociodemographic characteristics	Highest (Health facility birth & PNC). n(%)	High (Health facility birth, no PNC). n(%)	Slightly high (No health facility birth, PNC). n(%)	Mod-low (No health facility birth, no PNC). n(%)	Higher (Health facility delivery, PNC). n(%)	Mod- high (Health facility birth, no PNC). n(%)	Mod-lower (No health facility birth, PNC). n(%)	Very low (No health facility birth, no PNC). n(%)	Strategic-lowest (Some facility births and some PNC). n(%)	Unstrategic-lowest (Some facility births and some PNC). n(%)	2 <sup>nd</sup> lowest (Health facility birth, some PNC). n(%)	Lowest (No health facility births, some PNC). n(%)
Para 4+	1791(36.1)	1678(32.4)	369(49.0)	1684(56.2)	945(40.1)	1006(36.2)	345(54.6)	1774(59.6)	151(27.9)	118(47.8)	77(43.8)	579(62.4)
<i>Some – a proportion within that specific category: <sup>a</sup>39%, <sup>b</sup>25%, <sup>c</sup>50%, <sup>d</sup>19%, <sup>e</sup>39%, <sup>f</sup>13%. 1<sup>st</sup> – first, 2<sup>nd</sup> – second Mod – Moderately</i>												
<b>Wealth status</b>												
Poor	1785(36.0)	1739(33.6)	497(66.1)	1953(65.2)	994(42.2)	1181(42.5)	446(70.6)	2108(70.9)	339(62.7)	152(61.5)	83(47.2)	792(85.3)
Middle	854(17.2)	1031(19.9)	132(17.6)	556(18.5)	457(19.4)	565(20.3)	127(20.1)	498(16.7)	99(18.3)	41(16.6)	31(17.6)	66(7.1)
Rich	2322(46.8)	2409(46.5)	123(16.4)	487(16.3)	904(38.4)	1036(37.2)	59(9.3)	369(12.4)	103(19.0)	54(21.9)	62(35.2)	70(7.6)
<b>Marital status</b>												
Single	859(17.3)	880(17.0)	123(16.4)	435(14.5)	464(19.7)	403(20.6)	109(17.3)	458(15.4)	143(26.4)	57(23.1)	64(36.4)	163(17.6)
Married	4102(82.7)	4299(83.0)	629(83.6)	2561(85.5)	1891(80.3)	1558(79.5)	523(82.7)	2517(84.6)	398(73.6)	190(76.9)	112(63.6)	765(82.4)
<i>Some – a proportion within that specific category: <sup>a</sup>39%, <sup>b</sup>25%, <sup>c</sup>50%, <sup>d</sup>19%, <sup>e</sup>39%, <sup>f</sup>13%. 1<sup>st</sup> – first, 2<sup>nd</sup> – second Mod – Moderately</i>												

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5 Table 4 shows the results of the multinomial regressions for the associations between  
6 independent factors and different classes of care-seeking continuum from pregnancy to  
7 childbirth and 28 days postnatal, *lowest* class being reference category. Maternal primary or  
8 higher education levels compared to no formal education, were significantly associated with  
9 higher care-seeking behaviour in almost all care-seeking categories except among those who  
10 had only 1 ANC visit in first/second trimester; relative risk ratios RRRs ranged from 2.1–  
11 8.6, (95% confidence intervals [95% CI] 1.2–16.0). Similarly, trends were observed among  
12 those with husbands having primary education and above; RRRs ranged from 2.1– 6.8 (95%  
13 CI 1.2–11.1). Findings show, the higher the level of education, the higher the care-seeking  
14 tendency. Exposure to mass media (radio/television) and desire to have a child were also  
15 generally associated with higher care-seeking tendency. However, those who had only 1 or  
16 no ANC visits, the desire for a child was not a statistically significant factor for care-seeking.  
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27 Distance to the health facility was largely a demotivating factor to care-seeking. In 7 care-  
28 seeking categories, the RRRs ranged from 0.5 – 0.7 (95% CI 0.5–0.9), whereas the remaining  
29 4 categories the association was marginally not statistically significant; RRRs ranged from  
30 0.7 – 1.0 (95% CI 0.5–1.5). Higher parity versus primiparous was not associated with care-  
31 seeking except in a few care-seeking categories in para 4+, i.e., in the *highest, moderately*  
32 *low* and *very low*.  
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39 Maternal age was not significantly associated with care-seeking at any level of care-seeking  
40 continuum, RRRs ranged from 0.5 – 1.2 (95% CI 0.4–2.0). Living in a rural area versus  
41 urban was associated with about 50% lower care-seeking tendency in 7 categories.  
42 Remaining care-seeking categories indicated no significant results. Care-seeking was also  
43 notably hindered when the husband/partner rather than the woman made decisions for  
44 maternal care-seeking. Other factors such as wealth status and marital status also variably and  
45 to a small extent influenced care-seeking behaviour.  
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**Table 4:** Multinomial logistic regression showing relative risk ratios (RRR) for the associations between maternal and socio-demographic factors and maternal continuum of care-seeking behaviour in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Maternal & sociodemographic characteristics	Highest (≥4 ANC visits, health facility birth & PNC).	Higher (2-3 ANC visits, Health facility delivery, PNC)	High (≥4 ANC visits, health facility birth, no PNC).	Mod- high (2-3 ANC visits, health facility birth, no PNC).	Slightly high (≥4 ANC visits, No health facility birth, PNC).	Mod-low (≥4 ANC visits, No health facility birth, no PNC).	Mod-lower (2-3 ANC visits, no health facility birth, PNC).	Very low (2-3 ANC visits, No health facility birth, no PNC).	Strategic-lowest (1 ANC visit, 1 <sup>st</sup> contact in 3 <sup>rd</sup> trimester, <sup>a</sup> some facility births and <sup>b</sup> some PNC)	'Unstrategic'-lowest (1 ANC visit, 1 <sup>st</sup> or 2 <sup>nd</sup> trimester, <sup>c</sup> some facility births and <sup>d</sup> some PNC)	2 <sup>nd</sup> lowest (No ANC visit, health facility birth, <sup>e</sup> some PNC).
Versus lowest care-seeking level, 95% Confidence Interval (95%CI)											
<b>Maternal education level</b>											
No education	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Primary	3.2 (2.4-4.3)	3.2 (2.4-4.4)	3.4 (2.5-4.7)	2.8 (2.0-3.9)	2.2 (1.5-3.0)	2.3 (1.7-3.1)	2.2 (1.5-3.1)	2.8 (2.1-3.8)	2.1 (1.4-3.3)	1.2 (0.7-2.0)	2.6 (1.3-5.4)
Secondary & higher	8.6 (4.6-16.0)	7.4 (3.9-13.9)	7.4 (3.9-14.1)	5.2 (2.7-10.1)	2.7 (1.4-5.3)	3.4 (1.8-6.4)	4.2 (2.1-8.3)	3.3 (1.7-6.2)	2.7 (1.2-6.0)	1.3 (0.5-3.3)	3.0 (1.0-9.4)
<b>Partner's education level</b>											
No education	Ref.		Ref.	Ref.		Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Primary	3.3 (2.4-4.5)	3.4 (2.5-4.8)	3.6 (2.6-5.0)	4.5 (3.1-6.7)	2.6 (1.8-3.7)	2.1 (1.6-2.9)	2.6 (1.8-3.7)	2.6 (1.9-3.5)	2.4 (1.5-3.9)	2.1 (1.2-3.7)	1.7 (0.8-3.7)
Secondary & higher	6.0 (3.9-9.3)	5.1(3.3-8.1)	5.9 (3.7-9.3)	6.8(4.1-11.1)	3.5(2.1-5.7)	2.8(1.8-4.4)	3.1(1.9-5.2)	2.7(1.7-4.2)	3.4(1.8-6.2)	2.6(1.2-5.4)	1.6(0.6-4.1)
<b>Distance to nearest health facility is a problem</b>											
No	Ref.	Ref.	Ref.	Ref.		Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Yes (A big problem)	0.6 (0.5-0.7)	0.6 (0.5-0.8)	0.7 (0.5-0.8)	0.7 (0.5-0.9)	0.6 (0.5-0.8)	0.8 (0.6-1.0)	0.7 (0.5-0.9)	0.8 (0.7-1.0)	0.7 (0.5-1.0)	1.0 (0.7-1.5)	0.5 (0.3-0.9)
<b>Desired to have a child</b>											
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Yes	1.9 (1.4-2.7)	1.7 (1.2-2.4)	2.1 (1.4-2.9)	1.5 (1.1-2.2)	1.7 (1.2-2.6)	1.9 (1.3-2.7)	1.2 (0.8-1.7)	1.7 (1.2-2.4)	1.2 (0.7-1.8)	0.9 (0.5-1.7)	1.1 (0.5-2.3)
<b>Mass media exposure</b>											
No	Ref.	Ref.		Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Yes	2.7 (2.1-3.5)	2.3 (1.8-2.9)	2.3 (1.8-2.9)	2.3 (1.7-3.0)	2.5 (1.9-3.3)	1.8 (1.4-2.3)	2.5 (1.9-3.4)	1.5 (1.2-2.0)	2.0 (1.4-2.8)	1.2 (0.8-1.9)	2.0 (1.1-3.7)
Some – a proportion within that specific category: <sup>a</sup> 39%, <sup>b</sup> 25%, <sup>c</sup> 50%, <sup>d</sup> 19%, <sup>e</sup> 39%. 1 <sup>st</sup> – first, 2 <sup>nd</sup> – second Mod – Moderately											

<b>Maternal &amp; sociodemographic characteristics</b>	<b>Highest</b> (≥4 ANC visits, health facility birth & PNC).	<b>Higher</b> (2-3 ANC visits, Health facility delivery, PNC)	<b>High</b> (≥4 ANC visits, health facility birth, no PNC).	<b>Mod- high</b> (2-3 ANC visits, health facility birth, no PNC).	<b>Slightly high</b> (≥4 ANC visits, No health facility birth, PNC).	<b>Mod-low</b> (≥4 ANC visits, No health facility birth, no PNC).	<b>Mod-lower</b> (2-3 ANC visits, no health facility birth, PNC).	<b>Very low</b> (2-3 ANC visits, No health facility birth, no PNC).	<b>Strategic-lowest</b> (1 ANC visit, 1 <sup>st</sup> contact in 3 <sup>rd</sup> trimester, <sup>a</sup> some facility births and <sup>b</sup> some PNC)	<b>'Unstrategic'-lowest</b> (1 ANC visit, 1 <sup>st</sup> contact in 1 <sup>st</sup> or 2 <sup>nd</sup> trimester, <sup>c</sup> some facility births and <sup>d</sup> some PNC)	<b>2<sup>nd</sup> lowest</b> (No ANC visit, health facility birth, <sup>e</sup> some PNC).
Versus lowest care-seeking level, 95%CI											
<b>Told about pregnancy complications (only for Kenya)</b>											
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	-	-
Yes*	2.5 (1.6-4.1)	1.4 (0.9-2.4)	1.9 (1.2-3.1)	1.1 (0.7-1.8)	1.4 (0.9-2.2)	0.7 (0.4-1.2)	1.0 (0.6-1.6)	0.6 (0.7-1.0)	0.5 (0.3-0.9)		-
<b>Who ultimately makes care-seeking decisions</b>											
Respondent alone(woman)	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Both partner /respondent	0.6 (0.4-0.8)	0.6 (0.6-0.8)	0.6 (0.5-0.9)	0.5 (0.4-0.7)	0.5 (0.3-0.7)	0.6 (0.4-0.8)	0.5 (0.4-0.7)	0.7 (0.5-0.9)	0.5 (0.4-0.8)	0.7 (0.4-1.3)	0.4 (0.2-0.8)
Husband (partner) alone	0.5 (0.4-0.7)	0.5 (0.4-0.7)	0.7 (0.5-0.9)	0.5 (0.3-0.7)	0.5 (0.3-0.7)	0.5 (0.3-0.7)	0.7 (0.5-0.9)	0.6 (0.5-0.9)	0.7 (0.5-1.1)	0.6 (0.3-1.1)	0.6 (0.3-1.2)
<b>Maternal age</b>											
15-24	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
25-34	1.1 (0.8-1.5)	0.9 (0.6-1.3)	0.8 (0.6-1.1)	0.7 (0.5-1.1)	1.1 (0.7-1.6)	0.7 (0.5-1.0)	1.1 (0.8-1.7)	0.8 (0.5-1.1)	0.6 (0.4-1.1)	0.6 (0.4-1.2)	0.5 (0.2-1.1)
35-49	1.1 (0.8-1.7)	0.9 (0.6-1.4)	0.9 (0.6-1.3)	0.7 (0.4-1.1)	1.2 (0.7-2.0)	0.7 (0.4-1.0)	1.2 (0.7-2.0)	0.9 (0.6-1.4)	0.8 (0.5-1.6)	0.8 (0.4-1.7)	0.5 (0.2-1.4)
<b>Place of residence</b>											
Urban	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Rural	0.5 (0.4-0.8)	0.5 (0.4-0.8)	0.4 (0.3-0.6)	0.6 (0.4-0.8)	0.5 (0.3-0.8)	0.9 (0.6-1.4)	0.5 (0.3-0.7)	0.9 (0.6-1.3)	0.6 (0.4-1.0)	0.4 (0.2-0.7)	0.5 (0.3-1.1)
<b>Marital status</b>											
Single	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Married	3.6 (2.4-5.4)	3.2 (2.9-5.0)	3.8 (2.4-5.9)	3.8 (2.3-6.2)	1.1 (0.7-1.7)	4.4 (2.7-7.1)	1.0 (0.6-1.5)	3.9 (1.9-4.6)	1.4 (0.8-2.6)	2.5 (1.0-6.1)	1.6 (0.6-4.2)
Some – a proportion within that specific category: <sup>a</sup> 39%, <sup>b</sup> 25%, <sup>c</sup> 50%, <sup>d</sup> 19%, <sup>e</sup> 39%. 1 <sup>st</sup> – first, 2 <sup>nd</sup> – second. Mod – Moderately											

<b>Maternal &amp; sociodemographic characteristics</b>	<b>Highest</b> (≥4 ANC visits, health facility birth & PNC).	<b>Higher</b> (2-3 ANC visits, Health facility delivery, PNC)	<b>High</b> (≥4 ANC visits, health facility birth, no PNC).	<b>Mod- high</b> (2-3 ANC visits, health facility birth, no PNC).	<b>Slightly high</b> (≥4 ANC visits, No health facility birth, PNC).	<b>Mod-low</b> (≥4 ANC visits, No health facility birth, no PNC).	<b>Mod-lower</b> (2-3 ANC visits, no health facility birth, PNC).	<b>Very low</b> (2-3 ANC visits, No health facility birth, no PNC).	<b>Strategic-lowest</b> (1 ANC visit, 1 <sup>st</sup> contact in 3 <sup>rd</sup> trimester, <sup>a</sup> some facility births and <sup>b</sup> some PNC)	<b>'Unstrategic'-lowest</b> (1 ANC visit, 1 <sup>st</sup> contact in 1 <sup>st</sup> or 2 <sup>nd</sup> trimester, <sup>c</sup> some facility births and <sup>d</sup> some PNC)	<b>2<sup>nd</sup> lowest</b> (No ANC visit, health facility birth, <sup>e</sup> some PNC).
<b>Wealth status</b>											
Versus lowest care-seeking level, 95%CI											
Poor	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Middle	1.9 (1.3-2.9)	2.1 (1.4-3.2)	2.3 (1.5-3.5)	2.0 (1.3-3.1)	1.4 (0.9-2.2)	2.0 (1.3-3.1)	1.4 (0.9-2.2)	1.6 (1.1-2.5)	1.8 (1.1-3.0)	2.9 (1.5-5.4)	2.0 (1.0-4.5)
Rich	1.9 (1.2-2.8)	1.7 (1.1-2.6)	1.7 (1.1-2.6)	1.7 (1.1-2.6)	0.6 (0.4-0.9)	1.2 (0.8-1.8)	0.3 (0.2-0.5)	0.8 (0.6-1.3)	0.9 (0.5-1.6)	1.6 (0.8-3.1)	2.2 (1.0-4.5)
<b>Parity</b>											
Primiparous	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Para 2-3	0.7 (0.5-1.1)	0.9 (0.6-1.3)	0.8 (0.5-1.3)	0.9 (0.5-1.4)	1.3 (0.8-2.2)	1.4 (0.9-2.2)	1.7 (1.0-2.8)	1.6 (1.0-2.5)	0.9 (0.5-1.7)	1.0 (0.5-2.1)	0.8 (0.3-1.7)
Para 4+	0.5 (0.3-0.9)	0.7 (0.4-1.2)	0.7 (0.4-1.2)	0.8 (0.5-1.4)	0.9 (0.5-1.6)	1.8 (1.1-2.9)	1.3 (0.7-2.2)	1.9 (1.2-3.3)	1.3 (0.6-2.6)	0.9(0.4-2.1)	1.0 (0.4-2.8)
<i>Some – a proportion within that specific category: <sup>a</sup>39%, <sup>b</sup>25%, <sup>c</sup>50%, <sup>d</sup>19%, <sup>e</sup>39%. 1<sup>st</sup> – first, 2<sup>nd</sup> – second. Mod – Moderately</i>											

Table 5 presents the odds ratios for the associations between continued care-seeking categories and neonatal mortality. Figure 4 shows a forest plots of adjusted odds ratios (aOR) from Table 5, where *2<sup>nd</sup> lowest* and *lowest* categories versus *highest* were associated with about 4-folds odds of neonatal mortality, aOR 3.8, 95% CI 1.7–8.6. The *higher* category indicated no significant odds for mortality, aOR 1.3 (95% CI 0.7-2.2) while *high* class showed borderline statistically significant association with neonatal mortality, aOR 1.5 (95% CI 1.0-2.3). *Moderately high and very low/moderately low* levels of care-seeking also showed significant higher odds of neonatal death; aOR ranged 1.6 – 2.2 for the two classes. However, the remaining two categories (*Slightly high/moderately lower* and *Strategic/unstrategic-lowest*) did not indicate any statistically significant association with mortality.

**Table 5:** Crude and adjusted odds ratios for the association between classes of care-seeking behaviour in continuum of care and neonatal mortality in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Classes of care-seeking behaviour	Crude odds ratio	AOR* (95% CI)
<b>Highest</b> ( $\geq 4$ ANC visits, health facility birth & yes PNC).	Ref.	Ref.
<b>Higher</b> (2-3 ANC visits, Health facility birth & yes PNC).	1.5(1.0-2.4)	1.3(0.7-2.2)
<b>High</b> ( $\geq 4$ ANC visits Health facility birth & no PNC).	1.5(1.0-2.2)	1.5(1.0-2.3)
<b>Moderately high</b> (2-3 ANC visits, health facility birth & no PNC).	2.4(1.6-3.7)	2.2(1.4-3.4)
<b>Slightly high/moderately lower</b> ( $\geq 2$ ANC visit, no health facility birth & yes PNC).	0.4(0.1-1.2)	0.5(0.2-1.3)
<b>Very low/moderately low</b> ( $\geq 2$ ANC visit, no health facility birth & no PNC).	1.6(1.1-2.3)	1.6(1.1-2.4)
<b>Strategic/ unstrategic' lowest (3<sup>rd</sup> lowest),</b> (1 ANC visit, some (39% in this category) facility births and some (25% in this category) PNC	1.7(0.8-3.7)	1.9(0.7-5.3)
<b>2<sup>nd</sup> lowest</b> (No ANC visit, facility births and some (39% in this category) PNC.	5.8(2.9-11.6)	3.8(1.7-8.6)
<b>Lowest</b> (No ANC visit, no facility births and some (13% in this category) PNC.	4.0(2.3-6.9)	3.8(2.1-6.8)
*adjusted/restricted to birthweight $\geq 2500$ g and singleton births		

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4 Figure 5 shows that 22% neonatal deaths were attributable to inadequate maternal care-  
5 seeking in the Kenya and Uganda. Insufficient care seeking among *lowest* and *2<sup>nd</sup> lowest*  
6 care-seekers accounted for almost 3-quarters (75%) of neonatal deaths in those groups. More  
7 than 9% of neonatal deaths in Kenya and Uganda could be attributable to home births, no  
8 PNC visits and inadequate ANC visits.  
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## Discussion

Although 95% of mothers initiated the first ANC visit in Kenya and Uganda, only 20% completed recommended (modified) care attendance of 4 or more ANC visits, health facility birth and at least 1 PNC visit within 28 days after birth. Despite the free maternity policies in first level facilities in Uganda and Kenya, a number of factors still exert profound influence on care-seeking behaviour along the continuum of care that consequently impact neonatal survival. Overall, being educated indicated the highest odds of continual care-seeking, and parental education was 2–9 times associated with care-seeking in almost all care-seeking categories. The higher the education level, the higher tendency to seek care. Our results concur with other studies that have shown associations between education and uptake of ANC,[49,50], institutional birth,[49,51] and PNC,[52]. Further, consistent with our findings, studies have reported higher utilization of obstetric care among mothers exposed to mass media,[53], those that desired to have a child,[50] and those who were married,[54].

Conversely, a husband as the main or joint decision maker concerning maternal health care-seeking was a significant demotivating factor to care-seeking among the women in Kenya and Uganda. A study in Nepal, a similar social setting reported that a complex balance between women's autonomy and husband's involvement can enhance maternity care-seeking,[55]. However, gender inequality, negative sociocultural factors and women's financial marginalization tend to hinder women's autonomy in health care decisions,[56,57]. Also, congruent with our findings, a systematic review in Africa by Dahab et al. reported lack of women autonomy in health decisions and distance to the health facility as major hindrance to maternity care-seeking,[58]. This is exacerbated by poor infrastructure in rural areas,[50]. The positive correlations between ANC and facility birth and PNC indicate that even the first contact with health personnel can improve continued care utilization and these findings concur with other studies,[59,60].

The 2<sup>nd</sup> lowest and lowest care-seeking category accounted for 75% of within-category neonatal deaths each, and a total of 7.3% deaths in the total population. Even though these two lowest categories had the highest within-category attributable mortality risks, they contributed relatively lower country-wide attributable deaths partly because there were rather fewer mothers in these categories. However, the mothers in the very low/moderately low category had home births and no PNC and although these mothers received some considerable better care that halved within-category deaths relative to lowest class, it

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3 accounted for 9 % of neonatal deaths in the entire population due to the fact that most  
4 mothers were in this category.  
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8 The far-reaching impacts of maternal and sociodemographic factors on maternal care-seeking  
9 continuum necessitate both short and long-term solutions with overarching implications for  
10 policy improvements. It may be noted that the 2030 Sustainable Development Goals (SDG)  
11 4, 5 and 10 that focus on inclusive education and gender equality and reducing inequalities  
12 resonate closely with most of the long - and short - term recommendations emanating from  
13 our findings. In the long-term, strengthening child and adult education especially “weaker”  
14 female education with purposeful emphasis on maternity care-seeking should be integrated  
15 into the educational curriculum. A recent systematic review in SSA recommended female  
16 education as a strong enabling factor for ANC visits,[20]. Inculcating knowledge and skills to  
17 empower women’s health-decision making and supportive social systems to ensure  
18 completion of the care-seeking continuum are critical.  
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29 Given the findings in Figure 4, the results in the first 3 care-seeking classes and last 2 classes  
30 seem to corroborate theoretical expectations in the hierarchy of inadequate care-seeking  
31 consequences. However, the odds for neonatal mortality in *class 4* and *class 6* were not  
32 statistically significant, although these two categories would be expected to indicate  
33 significant higher deaths. Perhaps these mothers experienced no or minimal complications  
34 and therefore did not seek hospital delivery. Notably, *class 4* mothers were over 4 times  
35 fewer than their counterparts in similar ANC categories. It is common for mothers who  
36 deliver outside health facilities not to seek PNC,[61], and that accounts for the relatively few  
37 numbers in *class 4*. Similarly, *class 6* were from ANC strata with the lowest counts and that  
38 could have influenced the outcome of our mortality analysis. The aOR for *class 4* was  
39 reasonably well below 1.0 and even though not statistically significant, it indicated that PNC  
40 could be very protective, when comparisons are made with *high*, *moderately high* and  
41 *moderately low/very low* categories that had similar number of ANC visits but no PNC. PNC  
42 is critical for neonatal survival, but our findings show it is the least attended-to component of  
43 care continuum. WHO and other studies agree that PNC is a crucial phase yet most neglected  
44 part of care,[62,63]. We recommend strategies that enhance PNC utilization in Kenya and  
45 Uganda. One such strategy would be to emphasize PNC right from the first ANC contact,  
46 which has not been the case. PNC attendance exists only in the checklists for fourth ANC  
47 visit in the focused ANC recommendations in both Kenya and Uganda,[64,65]. This implies  
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3 that majority of mothers with less than 4 ANC visits get very limited information to induce  
4 PNC attendance.  
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8 Although it was not possible for our study to determine attributable mortality risks for each  
9 specific care component, nonetheless, we can deduce that over 22 % of neonatal deaths in  
10 Kenya and Uganda could be avoided through basic maternal and newborn care attendance.  
11 We can also conclusively reason that if Kenya and Uganda would fully implement the current  
12 WHO recommendations of 8 ANC visits, facility births and ensure PNC, then much higher  
13 proportions of neonatal deaths would be eliminated.  
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20 Problems with distance to the health facility are compounded for an unsupported mother in  
21 labour or with a newborn trying to reach the health facility. Strategies at family, community  
22 and at health facility levels could be aligned to support care-seeking especially PNC. At first  
23 ANC visit when most mothers in Kenya and Uganda seek contact with health care, telephone  
24 communication with husband/partner, guardians ought to be initiated with focus on care-  
25 seeking support to the mother and the newborn. Telephone communication is readily  
26 available in East Africa, prompting telephone reminders to mothers and their spouses to seek  
27 full maternity care ought to be an established strategy in these health care systems. In case of  
28 emergencies, mothers in remote areas could have a direct call-line to the nearest health  
29 facility and where possible home visits could be planned. Deploying health personnel that  
30 live nearer to the new mother-to-be could be cost-effective. Scaling up sustainable mhealth  
31 infrastructure to cover remote maternity care is another feasible strategy that can be explored.  
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43 Studies in Kenya and Uganda reported increased utilization of ANC and delivery services  
44 due to free maternity policy,[66-68]. Reports evaluating impacts of free maternity policies in  
45 Kenya and Uganda highlight increase of ANC coverage and health facility births but almost  
46 no mention is made of the impact on PNC,[69,70]. Other studies have reported that free  
47 maternity policy increased mainly facility births,[71,72]. The universal health policy in  
48 Uganda and the *Linda mama* strategy,[73] in Kenya advocate for universal access to quality  
49 maternity health services but do not offer transportation for poor mothers or health providers  
50 in/to remote areas, yet most mothers are rural dwellers.  
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58 Another worthwhile strategy to improve care utilization would be to develop an evidence-  
59 based standard framework for ranking or classifying mothers on the basis of their care-  
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3 seeking behaviour or levels of the sort used in this present study. Thereafter, appropriate  
4 communication strategies could be adopted to enhance continual maternal care utilization.  
5 For first-time mothers, at first ANC visit, conducting a brief interview using a brief evidence-  
6 based standard questionnaire that takes into account major sociodemographic and maternal  
7 factors determinants of care-seeking could help classify a mother. For non-first-time  
8 mothers, history of maternal care-seeking could be used. This strategy would require further  
9 reviews and research using large population-based data combining countries with free  
10 maternity policies in low- and middle-income countries and capturing details of care  
11 components at each care contact.  
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### 20 **Methodological considerations**

21 The large sample size of the maternal and child data of the latest Kenya and Uganda DHS,  
22 which are nationally representative allowed for valid stratified analysis for deeper  
23 understanding of neonatal health and survival. Like many cross-sectional surveys, recall bias  
24 may not be completely eliminated from the study. Nonetheless, by selecting the most recent  
25 live births for analysis and owing to the fact that childbirth is a special occurrence that  
26 mothers may not easily forget within a short period of time, our findings are of considerable  
27 reflect the reality of maternal care and associated neonatal survival in these countries.  
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36 A strength to our study was the use of directed acyclic graphs that enabled us to explicitly  
37 map the predictor-outcome relationship for well guided analysis and identification of possible  
38 confounders. Our study could not examine other factors such as poor attitude of nurses and  
39 lack of information on health care services which have been found by both quantitative and  
40 qualitative studies to hinder care utilization in low- and middle-income countries,[74,75].  
41 Another limitation to our study was that inadequate facilities and drugs have also been  
42 associated with poor care-seeking, but our data did not capture these specific aspects,[76].  
43 Due to very small numbers in some strata in categories with 1 or no ANC visits such as in,  
44 we combined certain strata to allow for reasonable analysis. This may have slightly reduced  
45 or obscured the effect of especially absence-of-care in those specific categories. However,  
46 such mixed effects were almost negligible in the *lowest* class.  
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### 56 **Conclusion**

57 Large-scale population-based research and reviews could enable optimal standard  
58 classification for ranking maternal care-seeking behaviours. This in turn can be used by care  
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3 providers to promptly identify a mother's care-seeking level and subsequently adopt new  
4 strategies to close the gaps in the maternal care-seeking continuum. Health workers could be  
5 trained to equally emphasize attendance to all components of care including PNC which is  
6 underutilized.  
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11  
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13 study.  
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### 16 **Competing interest statement**

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18 No competing interests were reported by the authors.  
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### 22 **Ethics approval statement**

23  
24 ICF Macro International, the primary data collector obtained written consent from willing  
25 participants and Ethical approval from respective countries. The participants remain  
26 anonymous and are practically impossible to trace. The ICF Macro international and  
27 MEASURE DHS abide fully by the international guidelines for epidemiological studies as  
28 required by the Council of International Organization of Medical Science (CIOMS) and  
29 National guidelines from respective countries for research involving human subjects. Also,  
30 DHS measures comply with the United States Department of Health and Human Services  
31 regulations for protection of human subjects. DHS data collection process and storage  
32 guaranteed the anonymity and confidentiality of participants. Datasets are publicly available  
33 at <https://dhsprogram.com/data/available-datasets.cfm> and permission for access and use for  
34 this study was obtained after sending a request to the DHS secretariat. Secondary research  
35 such as the present study, that use publicly available data that is not linked to any individual  
36 or community are exempted from the requirement of ethics approval.  
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53

### 54 **Authors' contributions**

55  
56 MOA conceptualized, designed, obtained data for the study, conducted analysis, drafted and  
57 reviewed the manuscript. BOA and AA interpreted the results and conducted critical review  
58 of the manuscript. The final draft was agreed upon by all authors.  
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## Figure legends

**Figure 1:** Proportions of antenatal care visits by number of ANC contacts in Kenya and Uganda, using demographic and health survey 2014-2016 data.

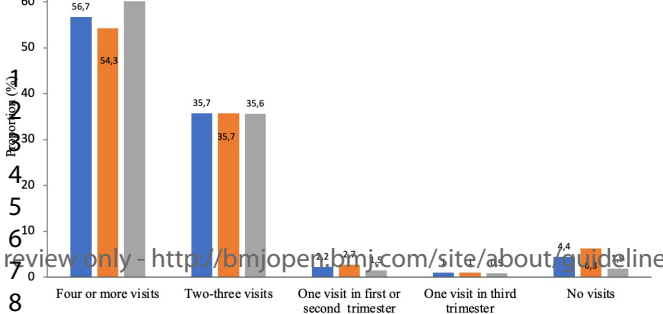
**Figure 2.** A scatter plot showing correlation between number of antenatal care visits and proportions of facility births and postnatal care visits in Kenya and Uganda, using demographic and health survey 2014-2016 data.

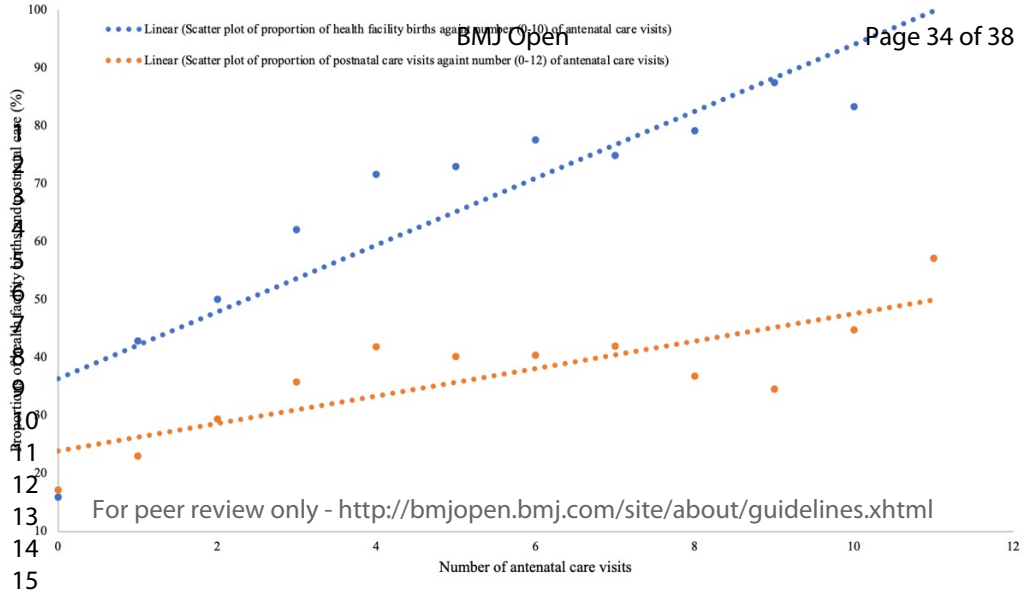
**Figure 3.** Proportion of hospital and home births by number of antenatal care visits in Kenya and Uganda, using demographic and health survey 2014-2016 data.

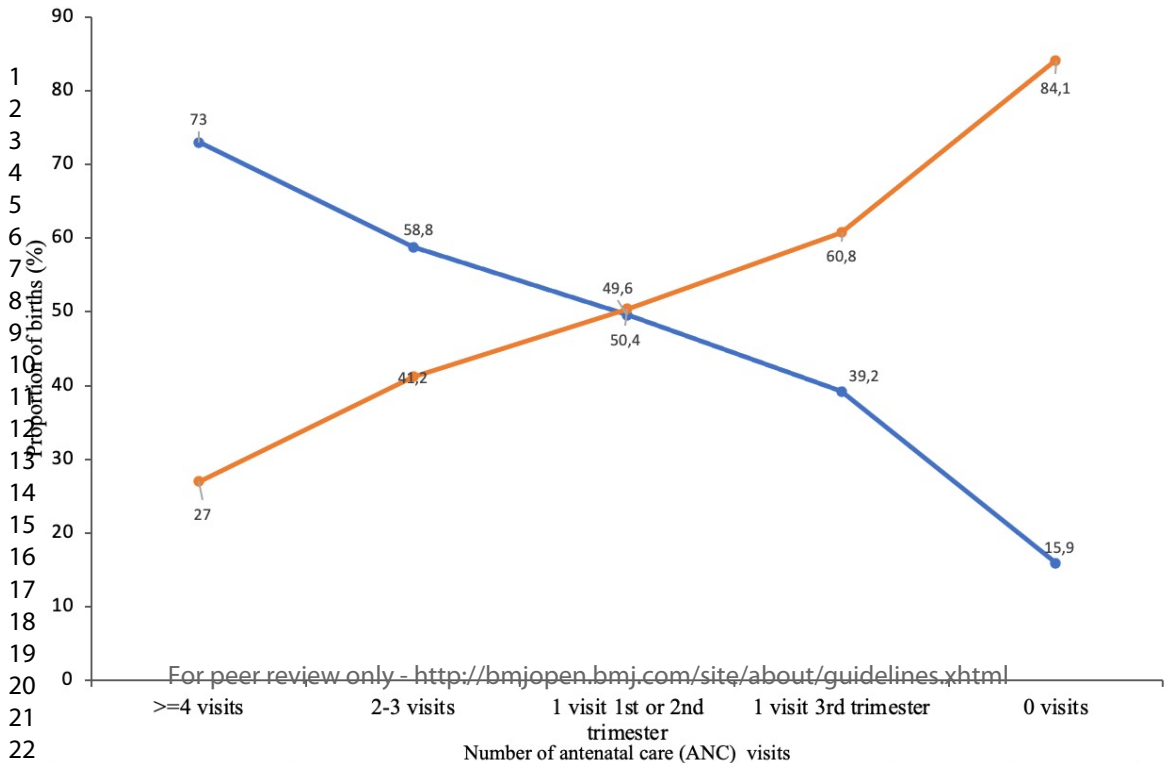
**Figure 4:** A forest plot showing adjusted odds ratios between continued care-seeking behavioral classes/levels and neonatal mortality, using Kenya and Uganda, 2014-2016 demographic and health survey data.

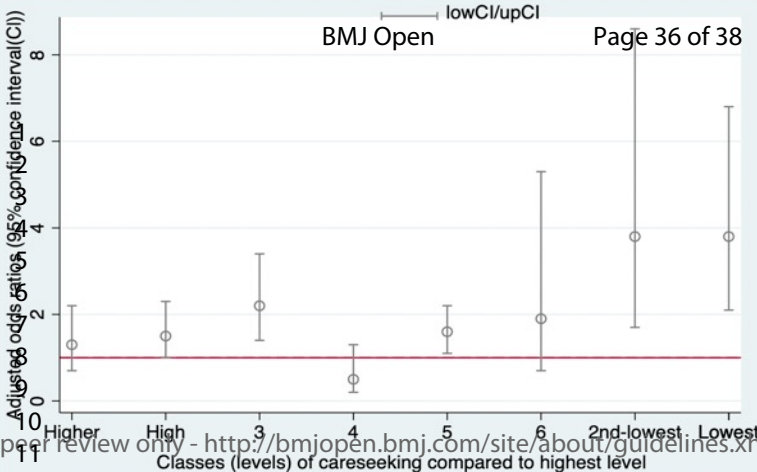
**Figure 5:** Attributable and population attributable neonatal mortality risk proportion for lower categories of care-seeking in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Overall Kenya Uganda









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○ Adjusted odds ratios. 3-moderately high, 4 slightly high/moderately lower, 5-very low/moderately low, 6- 3rd lowest

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1 Lowest, (No ANC visit, no health facility birth and a few PNC visits)



1

2 2nd lowest, (No ANC visit, health facility birth and a few PNC visits)



3

4 Moderately high, (2-3 ANC visits, Health facility birth, no PNC visit)



5

6 Very low/moderately low, (2 or more ANC visits, home birth, no PNC visit)



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8

9 *ANC - Antenatal care*  
*PNC - Postnatal care*

10 Attributable risk proportions (%)

11

## Supplementary file 1.

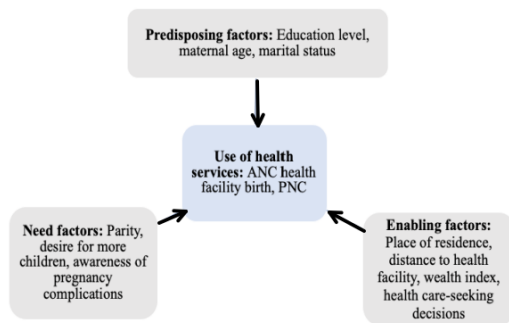


Figure showing behavioral model of utilization of health care services, modified from Andersen and Newman model.



Supplementary file 2.

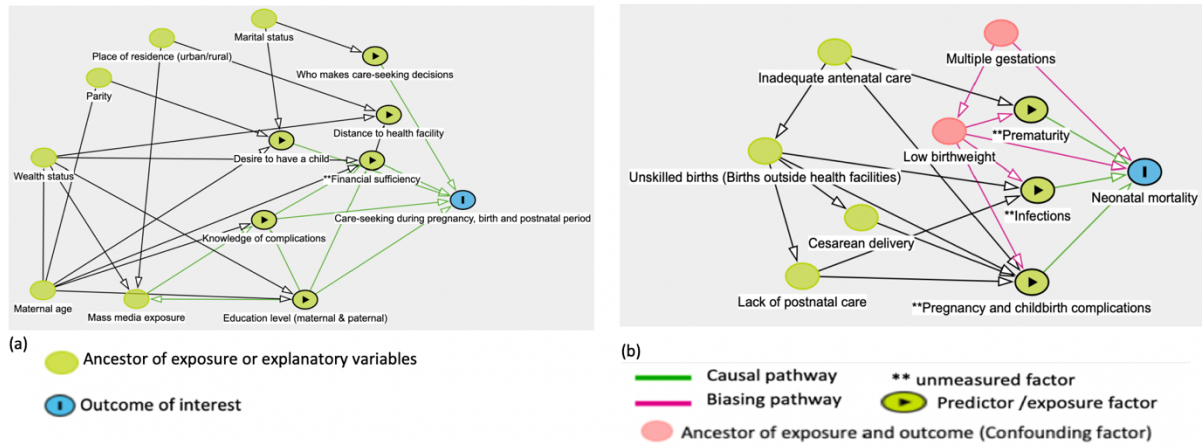


Figure 1: Directed acyclic graphs showing the predictor–outcome relationship for both care-seeking and neonatal survival in Kenya and Uganda, (Developed from [www.dagitty.net](http://www.dagitty.net), using DAGitty version 3.0.)

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# BMJ Open

**Determinants of continued maternal care-seeking during pregnancy, birth and postnatal and associated neonatal survival outcomes in Kenya and Uganda: analysis of cross-sectional, demographic and health survey data.**

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3 1 **Determinants of continued maternal care-seeking during pregnancy, birth and**  
4 2 **postnatal and associated neonatal survival outcomes in Kenya and Uganda: analysis of**  
5 3 **cross-sectional, demographic and health survey data.**  
6 4

7 4  
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## 1 Abstract

2 **Objectives:** To examine how maternal and sociodemographic factors determine continued  
3 maternal care-seeking behaviour from pregnancy to postnatal period in Kenya and Uganda  
4 and to estimate associated neonatal survival outcomes.

5 **Method:** Using population-based cross-sectional survey data for Uganda and Kenya, 2014-  
6 2016, we employed multinomial regression to examine how maternal and sociodemographic  
7 factors predict continuity of care-seeking. Associated neonatal survival outcomes were  
8 assessed using binary logistic regression.

9 **Results:** Overall, 57% of mothers had 4 or more antenatal care contacts, of which 73% and  
10 41% had facility births and postnatal care, respectively. Maternal and paternal education  
11 versus no education were associated with continued care-seeking in majority of care-seeking  
12 classes; relative risk ratios, RRR ranged from 2.1–8.0 (95% confidence intervals [95% CI]  
13 1.1–16.3). Similarly, exposure to mass media was generally associated with continued care-  
14 seeking, RRRs ranged from 1.8– 3.2 (95% CI 1.2–5.4). However, care-seeking tendency  
15 reduced if a husband made major maternal care-seeking decisions. Transportation problems  
16 and living in rural versus urban were largely associated with lower continued care utilization,  
17 RRR ranged from 0.4 – 0.7 (95% CI 0.3–0.9). The two *lowest* care-seeking categories with  
18 no ANC and no PNC indicated the highest odds for neonatal mortality, aOR 4.2 (95% CI<sub>range</sub>  
19 1.6-10.9). 23% neonatal deaths were attributable to inadequate maternal care attendance.

20 **Conclusion:** Strategies such as mobile health (mHealth) specifically for promoting continued  
21 maternal care utilization up to postnatal could be integrated in the existing structures.  
22 Another strategy would be to develop and employ a brief standard questionnaire to determine  
23 a mother's continued care-seeking level during the first ANC visit and use the information to  
24 close the care-seeking gaps where most needed. Strengthening the community health  
25 workers system to be integral part of promoting continued maternal care-seeking could  
26 enhance care-seeking as a stand-alone strategy or as a component of both previously  
27 suggested strategies above.

28 **Keywords:** Continued care-seeking behaviour, neonatal mortality.

### Strengths and limitations of this study

- The nationally representativeness of the data and the large sample size of the study allowed for valid stratified analysis with implications for national policy developments to improve neonatal survival outcomes for countries in sub-Saharan Africa region.
- Recall bias may not be completely eliminated from the study since the data was collected retrospectively through interviews.
- However, by selecting the most recent births and owing to the fact that childbirth is a special event not easily forgettable, the study findings reflect the reality with considerable validity.
- The study was based on maternal attendance to care and not the actual obstetric services received thus aspects related to lack of drugs, inadequate facilities or quality of care were not captured in our study.

## 1 Introduction

2 In 2019, close to 7000 newborns worldwide died within their first 28 days of life (neonatal  
3 period), as per the United Nations Inter-Agency Group for Child Mortality Estimation [1].  
4 Roughly three-quarters of these deaths occurred during childbirth and the first week of  
5 neonatal period [1, 2] and the major causes included infections such as sepsis and pneumonia,  
6 birth complications and prematurity-related problems such as asphyxia and low birthweight  
7 [3]. Comprehensive antenatal care (ANC), skilled birth attendance and postnatal (afterbirth)  
8 care (PNC) have long been recognized as key strategies that profoundly contribute to  
9 newborn survival [4, 5]. In 2015, 64% of women globally had 4 or more ANC contacts [6]  
10 and prevalence of health facility births was 80% in 2019 [7]. In high income countries such  
11 as in Sweden where neonatal death rate is among the lowest globally (1.4 deaths per 1000  
12 live births, in 2019), almost all mothers obtain comprehensive ANC, facility births and PNC  
13 services [8]. However, in sub-Saharan African (SSA) and southeast Asian countries where  
14 over 70 percent of all neonatal deaths occur [9], utilization of the components of care is  
15 relatively low and vary substantially [10-12].

16  
17 Accordingly, since 2005, the World Health Organization (WHO) has been advocating for the  
18 implementation of continuum of care strategy [13], a concept that promotes continual access  
19 to care from pre-pregnancy to the first few weeks of after childbirth [13,14]. While several  
20 SSA countries including Kenya and Uganda report over 80% coverage of at-least-one ANC  
21 contact with skilled provider [15,16], late initiation of ANC visits, lower health facility births  
22 and very low PNC utilization still pose enormous challenges. A Lancet study reported that  
23 prevalence of early initiation of ANC contact (<14 weeks gestation) was only 24% in SSA,  
24 much lower compared to 85% in high income countries [17]. The challenge in a number of  
25 SSA countries, however, is that despite the removal of user fees for all maternal and child  
26 health service in many countries, a number of sociodemographic factors and maternal  
27 characteristics still remain critical determinants of care utilization that hinder or motivate  
28 choices and preferences in maternal care-seeking [18].

29  
30 Andersen and Newman behavioral model of utilization of health care services has widely  
31 been used to identify factors that influence care-seeking behavior [19]. The model outlines  
32 three main factors that interact to predict utilization of care and they included societal,  
33 individual and health system determinants [19]. See diagrammatic details in [Supplementary](#)  
34 [file 1](#). The model has been employed by studies to examine utilization of the different

1 components of maternal and newborn care such as ANC [20, 21], childbirth [22] or PNC  
2 [23]. However, very few studies in SSA have assessed how factors in the Andersen and  
3 Newman model modify care-seeking behavior along the continuum of care from pregnancy  
4 to postnatal period, and even much fewer within the context of free maternity policy.

5  
6 A recent community-based study in Ethiopia showed that women with higher education,  
7 married women, and those with autonomy in health care decision were likely to complete  
8 continuum of care [24]. Whereas the study provided critical findings, it considered only 1  
9 ANC visit and not the WHO or Ministry of Health (MoH) recommended number of contacts  
10 [24]. Another similar study by Oh et al. in 2013 in Gambia also found a number of factors  
11 associated with maternal care-seeking continuum and early ANC visits [25]. However, the  
12 study lacked PNC estimates for facilities deliveries [25]. Another sub-nationally study in  
13 Tanzania found, among other factors, knowledge or experience of pregnancy danger signs  
14 was associated with higher care-seeking [26]. A 2019 Cochrane review of several qualitative  
15 studies found that influence by others, illness-free pregnancy, financial dependence, and  
16 selective use of ANC as potential barriers to continual maternal care utilization [27]. The few  
17 existing studies on continuum of care-seeking in SSA are very informative but limited in one  
18 way or another, and none to our knowledge examined associated neonatal survival outcomes.

19  
20 Kenya and Uganda are among the 10 countries in SSA countries with most neonatal deaths  
21 [28] and despite relatively free or subsidized maternity policy in both countries and relatively  
22 higher gross domestic product (GDP) than some countries in the East Africa region such as  
23 Rwanda, neonatal mortality rates have declined much slower compared to Rwanda [29, 30].  
24 Thus, this study aims to examine how sociodemographic and maternal factors influence care-  
25 seeking behaviour in the care-seeking continuum from pregnancy, childbirth to postnatal  
26 period in Kenya and Uganda. A secondary aim was to estimate the impact of levels of  
27 continued maternal care-seeking on neonatal survival.

## 28 29 **Methods**

### 30 **Study settings**

31 Kenya and Uganda have closely comparable demographics and are in relatively similar state  
32 of maternal health care policy and pathway towards achieving universal coverage. The total  
33 population in Kenya and Uganda as of 2016-2019 was about 90 million [31, 32]. More than  
34 70 % of the populations live in the rural areas with agriculture as their main source of



1 livelihood [31, 33, 34]. The sex ratio is approximately 1:1 [31, 35] and general life  
2 expectancy at birth in 2016 was similar in both countries, for females it was 64 and 67 years  
3 in Uganda and Kenya, respectively [36]. Maternal mean age at first childbirth is 19–20 years.  
4 Neonatal mortality rates in both countries were about 22 deaths per 1000 live births in 2016  
5 [30]. Like a number of countries in SSA, Kenya and Uganda provide free maternal care  
6 services in primary level health facilities [37]. Although the goal of the free maternity  
7 programmes in Kenya and Uganda is to eliminate all maternity-related costs, however, due to  
8 inadequate or slow distribution of funding in some health facilities, certain hidden costs such  
9 as for ultrasound, access to hospital card and laboratory services among others are still  
10 incurred out-of-pocket [38–42]. Additionally, indirect expenses such as costs of transportation  
11 to the health facility are still challenges common among poor households [39]. Further, in  
12 Kenya, prior to June 2013 maternal services were partly free and partly subsidized [43].

#### 14 **Data source and study design**

15 We obtained the cross-sectional, population-representative, demographic and health survey  
16 (DHS) datasets for Kenya 2014 and Uganda 2016 after a formal request to the DHS  
17 secretariat. DHS collects sociodemographic, maternal and child health data across the whole  
18 country in a two-stage cluster sampling procedure. The DHS uses standard procedures and  
19 protocols that ensure complete anonymity of the respondents and adherence to international  
20 ethical standards for research. We utilized the data for the most recent live births, 1–59  
21 months prior to the surveys. More details on data collection procedure can be accessed from  
22 DHS methodology and manuals [44, 45].

#### 24 **Study variables**

##### 25 **Outcome variables**

26 *Care-seeking continuum* was the primary outcome variable. It constituted a combination of  
27 the number of ANC visits, health facility birth and at least one PNC contact within 28 days  
28 postpartum (after birth). Continuum of care-seeking was categorized into 15 classes based on  
29 relative adherence to basic (modified) WHO and MoH recommendations for care attendance  
30 from pregnancy to postnatal period prior to 2016, that is, before the current WHO  
31 recommendation of 8 ANC visits. Since data for both countries were collected prior to the  
32 new WHO 2016 ANC recommendations, we used previous Focused ANC recommendations.  
33 A mother with a combination of 4 or more visits, health facility birth (skilled birth) and at  
34 least 1 PNC contact was classified in the *highest* category of care-seeking and those with

1 least/no amount of care were categorized as *lowest* class. The intermediate categories were  
 2 classified on the basis of optimal and perceived descending-level of care-seeking behavior as  
 3 *higher, high, moderately high, slightly high, moderately low, moderately lower, very low, 7<sup>th</sup>*  
 4 *lowest, 6<sup>th</sup> lowest, 5<sup>th</sup> lowest, 4<sup>th</sup> lowest, 3<sup>rd</sup> lowest, 2<sup>nd</sup> lowest and lowest*, as shown in Table 1  
 5 below.

7 **Table 1:** Classification of continuum of care-seeking classes during antenatal period,  
 8 childbirth and within 28 days postnatal period in Kenya and Uganda.

	$\geq 4$ ANC visits	2-3 ANC visits	1 ANC visit	0 ANC visit
<b>Health facility births</b>				
PNC - Yes	Highest	Higher	7 <sup>th</sup> lowest	–
PNC - No	High	Moderate high	6 <sup>th</sup> lowest	3 <sup>rd</sup> lowest
<b>Birth outside of health facility</b>				
PNC - Yes	Slightly high	Moderately lower	5 <sup>th</sup> lowest	2 <sup>nd</sup> lowest
PNC - No	Moderately low	Very low	4 <sup>th</sup> lowest	Lowest

*ANC – Antenatal care, PNC – Postnatal care, 1<sup>st</sup> – first, 2<sup>nd</sup> – Second...*

11 The first component of classification was in accordance with the number of ANC visits a  
 12 mother had, the second level was on the basis of whether or not a mother delivered at the  
 13 health facility and the last part of continuum of care was whether or not a mother had PNC  
 14 visit within 28 days postpartum.

16 *Neonatal mortality* was a secondary outcome variable that was dichotomized into 'yes' (died)  
 17 and 'no' (lived) depending on whether the neonate lived or not. The predictor variables for  
 18 this outcome variable were the modified classes of care-seeking continuum discussed as the  
 19 primary outcomes above.

### 21 Independent variables

22 These constituted sociodemographic factors and maternal characteristics that were examined  
 23 across all care-seeking continuum categories of the primary outcome variable. They included  
 24 variables that the modified Andersen and Newman behavioral model for care utilization  
 25 identified as predictors of care-seeking behavior [19]. Further, the categorization of these  
 26 variables was also informed by a number of maternal and child health studies previously  
 27 conducted in SSA. They included *maternal age* which was initially grouped as 15-19, 20-24,  
 28 25-29, 30-34, 35-39, 40-44, 45-49 years old and we recategorized it into 15–24, 25–34 and

1 35–49 years years old while *place of residence* remained as *rural* and *urban* [46]. *Marital*  
2 *status* was dichotomized into single or married [47]. A mother having a *problem with longer*  
3 *distance/transportation to nearest health facility* was classified as ‘yes’ if it was a problem  
4 and ‘no’ if it was not [48]. *Desire to have a newborn child, whether or not mother was told*  
5 *about pregnancy complications* [49] and *having exposure to mass media* [50] were all  
6 categorized as ‘yes’ and ‘no’. The variable *who ultimately makes maternal care-seeking*  
7 *decisions* was categorized as respondent (woman) alone, husband alone or joint decision [51].  
8 *Education* was categorized as no education, primary education and secondary or higher [52].  
9 *Parity* (number of children ever born) was categorized as primiparous (for first time mothers)  
10 para 2-3 (for those with 2-3 children) and para 4+ [46]. *Wealth status* was classified into poor  
11 (poor/poorest), middle and rich(rich/richest) [52, 53]. The wealth status in DHS is indexed  
12 based on household cumulative living standards taking into account assets possessed, water  
13 and sanitation facilities. Place of residence was classified into rural and urban [53].  
14

### 15 **Mapping the predictor – outcome relationship using directed acyclic graphs**

16 Prior to the analysis, the directed acyclic graphs (DAGs) by Textor and colleagues [54] were  
17 used to map the predictors of both care-seeking behaviors and neonatal mortality on the basis  
18 of existing peer-reviewed evidence and to identify any confounding bias in our models.  
19 [Supplementary file 2](#), Diagrams 1.a and b illustrate the process. For Diagram 1.b, the lower  
20 levels of care-seeking are represented by a lack of a care component(s) that is/are major non-  
21 causal risk factor for neonatal mortality.  
22

### 23 **Data analysis**

24 We used cross-tabulations to examine the distribution of mothers across variables and  
25 variable categories in the different levels of care-seeking continuum. We also investigated  
26 correlations between ANC visits and proportions of health facility childbirths and PNC visits.  
27 Multinomial logistic regression models examined the associations between sociodemographic  
28 and maternal factors and continued care-seeking at different care-seeking classes/categories,  
29 with the *lowest* class as the reference group. The independent variables were mutually  
30 adjusted for each other.  
31

32 Binary logistic regression was used to determine the odds ratios (OR) for the associations  
33 between the various classes of care-seeking continuum and neonatal mortality. For plausible  
34 and valid analysis, 9 classes with satisfactory data were used in the overall mortality analysis

1 with the *highest* class as the reference group. Low birthweight babies and multiple gestations  
2 are strong independent risk factors for neonatal death, [55, 56], thus were excluded in the  
3 mortality analysis to obtain adjusted OR. The rest of the classes were not used owing to fewer  
4 numbers in certain neonatal mortality strata. Similarly, country-specific analysis resulted into  
5 elimination of more strata with fewer numbers. Further, the resulting significant adjusted  
6 odds ratios were used to estimate attributable risk fraction (AR) and population attributable  
7 risk fraction (PAR) for both countries combined. This was to determine proportion of  
8 neonatal deaths that would be prevented if mothers in a given lower level of care-seeking  
9 continuum had sought care at the *highest* class. We used Stata version 16 (College Station,  
10 TX: Stata Press) and Microsoft Excel for analysis and to generate graphical summaries of  
11 results. Sampling weights were applied, and we accounted for complex sampling design  
12 recommended by the DHS methodology guide. Missing data due to nonresponse were mostly  
13 negligible compared to the sub-population samples sizes and relatively randomly spread  
14 across the variable subgroups; they were nevertheless omitted in our analysis. For the  
15 variable “Knowledge about pregnancy, birth complications” where data was missing for  
16 Uganda, the analysis was only performed for Kenya where plausible.

### 18 **Estimating attributable neonatal mortality risk proportions associated with low levels of 19 care-seeking continuum.**

21 The attributable risk proportions (AR) and population attributable neonatal mortality risk  
22 proportion (PAR) were obtain by the formulas  $AR = [(OR-1)/OR] * 100$  and  $PAR = Pe * [(OR-1)/OR] * 100$   
23 respectively, where OR is the statistically significant adjusted odds  
24 ratio associated with that care-seeking class and  $Pe$  is the proportion of the total mortalities in  
25 that given care-seeking class.

### 27 **Patient and public involvement**

28 Patients or the public were not involved in the design or recruitment and conduct or in  
29 reporting or dissemination plans of this study.

### 30 **Results**

31 Table 2 and Figure 1 indicate that over 95% of mothers had at least 1 ANC visit and about  
32 56% had 4 or more ANC contacts in Kenya and Uganda. Of those who had 4 or more ANC  
33 visits, 73% gave birth at a health facility and about 41% had newborn PNC check-up within  
34 28 days after birth as shown in Table 2.

**Table 2:** Distribution of mothers by continuum of care-seeking classes during antenatal period, childbirth and within 28 days postnatal in Kenya and Uganda, using demographic and health survey 2014-2016 data, N=24502.

	<b>≥ 4 ANC visits, n=13888</b>	<b>2-3 ANC visits, n=8744</b>	<b>1 ANC visit, N=775</b>	<b>0 ANC visit, N=1095</b>
<b>Health facility births</b>				
PNC - Yes	4961(35.7)	2355(26.9)	115	68(6.2)
PNC - No	5179(37.3)	2782(31.8)	213	106(9.6)
<b>Birth outside of health facility</b>				
PNC - Yes	752(5.4)	632(7.2)	63	121(11.1)
PNC - No	2996(21.6)	2975(34.0)	384	800(73.1)
<i>ANC – Antenatal care, PNC – Postnatal care, 1<sup>st</sup> – first, 2<sup>nd</sup> – Second...</i>				

The scatter plot in Figure 2 shows a positive correlation between number of antenatal care visits and both proportions of facility births and PNC visits. Further, Figure 3 shows that a single early ANC visit in the 1<sup>st</sup> or 2<sup>nd</sup> trimester increased the likelihood of health facility childbirth as opposed to late ANC visit in the 3<sup>rd</sup> trimester.

Table 3 shows the distribution of maternal and sociodemographic characteristics by care-seeking behaviour from pregnancy to postnatal period. Majority (≥ 46%) of the mothers were between 25–34 years of age in all care-seeking categories. Overall, about 71% of the mothers lived in a rural setting and 37 % of all women had problems with distance to the nearest health facility. Roughly 30 % and 57% of those who had highest and lowest care-seeking tendencies respectively, indicated distance could be a hindrance to care-seeking. Slightly over half of all the mothers had primary education. About 40% of highest care-seekers had secondary or higher education while 60% of lowest care-seekers had no formal education. Similar trends were observed among their husbands/partners (education).

**Table 3.** Distribution of maternal and sociodemographic factors, by continuum of care-seeking classes combining antenatal care (ANC) visits, delivery and postnatal care (PNC) in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Variables	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visits, n=775				0 ANC visit, n=1027		
	Highest (facility birth & PNC). n (%)	High (facilit y birth, no PNC). n (%)	Slightly high (no facility birth, PNC). n (%)	Mod- low (no facility birth, no PNC). n (%)	Higher (facility birth, PNC). n (%)	Mod- high (facility birth, no PNC). n (%)	Mod- lower (no facility birth, PNC). n (%)	Very low (no facility birth, no PNC). n (%)	7 <sup>th</sup> lowest (facilit y birth, PNC) n (%)	6 <sup>th</sup> lowest (facility birth, no PNC) n (%)	5 <sup>th</sup> lowest (no facility birth, PNC) n (%)	4 <sup>th</sup> lowest (no facility birth, no PNC) n (%)	3 <sup>rd</sup> lowest (facilit y birth, no PNC) n (%)	2 <sup>nd</sup> lowest (no facility birth, PNC) n (%)	Lowes t (no facility birth, no PNC) n (%)
<b>Maternal age (Years)</b>															
15-24	1625 (32.8)	1680 (32.4)	213 (28.3)	815 (27.2)	832 (35.3)	1001 (36.0)	159 (25.2)	778 (26.2)	51 (44.4)	77 (36.2)	20 (31.8)	123 (32.0)	43 (40.6)	36 (29.7)	189 (23.6)
25-34	2406 (48.5)	2513 (48.5)	315 (46.6)	1410 (47.1)	1064 (45.2)	1240 (44.6)	303 (47.9)	1385 (46.6)	35 (30.4)	91 (42.7)	17 (27.0)	160 (41.7)	41 (38.7)	52 (43.0)	360 (45.0)
35-49	930 (18.7)	986 (19.1)	189 (25.1)	771 (25.7)	459 (19.5)	541 (19.4)	170 (26.9)	812 (27.3)	29 (25.2)	45 (21.1)	26 (41.2)	101 (26.3)	22 (20.7)	33 (27.3)	251 (31.4)
<b>Place of residence</b>															
Urban	1669 (33.6)	2096 (40.5)	164 (21.8)	483 (16.1)	677 (28.8)	956 (34.4)	122 (19.3)	409 (13.8)	30 (26.1)	79 (37.1)	14 (22.2)	52 (13.5)	33 (31.1)	18 (14.9)	85 (10.6)
Rural	3292 (66.4)	3083 (59.5)	588 (78.2)	2513 (83.9)	1678 (71.3)	1826 (65.6)	510 (80.7)	2566 (86.2)	85 (73.9)	134 (62.9)	49 (77.8)	332 (86.5)	73 (68.9)	103 (85.1)	715 (89.4)
<b>Distance to nearest health facility is a big problem</b>															
No	3490 (70.3)	1561 (66.5)	472 (62.8)	876 (52.3)	1580 (67.1)	810 (62.9)	379 (60.1)	862 (50.5)	74 (64.4)	60 (63.2)	36 (57.1)	97 (47.1)	34 (68)	62 (51.2)	148 (42.8)
Yes	1471 (29.7)	785 (33.5)	280 (37.2)	798 (47.7)	775 (32.9)	477 (37.1)	252 (39.9)	844 (49.5)	41 (35.6)	35 (36.8)	27 (42.9)	109 (52.9)	16 (32.0)	59 (48.8)	198 (57.2)
<b>Maternal education level</b>															
No education	435 (8.8)	389 (7.5)	167 (22.2)	811 (27.1)	241 (10.2)	271 (9.8)	140 (22.2)	726 (24.4)	15 (13.0)	42 (19.7)	18 (28.6)	139 (36.2)	20 (18.9)	63 (52.0)	486 (60.8)
Primary	2535 (51.1)	2721 (52.5)	486 (64.6)	1789 (59.7)	1369 (58.2)	1645 (59.1)	399 (63.1)	1960 (65.9)	73 (63.5)	124 (58.2)	37 (58.7)	218 (56.8)	57 (53.8)	52 (43.0)	280 (35.0)
<i>Mod- Moderately.</i>															



	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visits, n=775				0 ANC visit, n=1027		
<b>Variables</b>	<b>Highest</b> <i>(facility birth &amp; PNC). n (%)</i>	<b>High</b> <i>(facility birth, no PNC). n (%)</i>	<b>Slightly high</b> <i>(no facility birth, PNC). n (%)</i>	<b>Mod-low</b> <i>(no facility birth, no PNC). n (%)</i>	<b>Higher</b> <i>(facility birth, PNC). n (%)</i>	<b>Mod-high</b> <i>(facility birth, no PNC). n (%)</i>	<b>Mod-lower</b> <i>(no facility birth, PNC). n (%)</i>	<b>Very low</b> <i>(no facility birth, no PNC). n (%)</i>	<b>7<sup>th</sup> lowest</b> <i>(facility birth, PNC) n (%)</i>	<b>6<sup>th</sup> lowest</b> <i>(facility birth, no PNC) n (%)</i>	<b>5<sup>th</sup> lowest</b> <i>(no facility birth, PNC) n (%)</i>	<b>4<sup>th</sup> lowest</b> <i>(no facility birth, no PNC) n (%)</i>	<b>3<sup>rd</sup> lowest</b> <i>(facility birth, no PNC) n (%)</i>	<b>2<sup>nd</sup> lowest</b> <i>(no facility birth, PNC) n (%)</i>	<b>Lowest</b> <i>(no facility birth, no PNC) n (%)</i>
Secondary and higher	1991 (40.1)	2069 (40.0)	99 (13.2)	396 (13.2)	745 (31.6)	866 (31.1)	93 (14.7)	289 (9.7)	27 (23.5)	47 (22.1)	8 (12.7)	27 (7.0)	29 (27.3)	6 (5.0)	34 (4.3)
<b>Partner/husband education level</b>															
No education	255 (6.1)	128 (6.5)	118 (16.9)	276 (19.1)	142 (7.4)	68 (6.5)	98 (16.8)	259 (17.5)	7 (8.4)	17 (23.0)	13 (23.2)	39 (23.8)	4 (13.8)	52 (49.5)	173 (55.0)
Primary	1860 (44.6)	991 (50.3)	401 (57.5)	815 (56.5)	1011 (52.4)	590 (56.2)	348 (59.8)	938 (63.5)	46 (55.4)	39 (52.7)	32 (57.1)	96 (58.5)	19 (65.5)	43 (41.0)	109 (35.3)
Secondary & higher	2059 (49.3)	851 (43.2)	179 (25.6)	352 (24.4)	777 (40.2)	392 (37.3)	136 (23.4)	280 (19.0)	30 (36.1)	18 (24.3)	11 (19.6)	29 (17.7)	6 (20.7)	10 (9.5)	27 (8.7)
<b>Knowledge about pregnancy, birth complications (only Kenya)</b>															
No	537 (32.8)	319 (39.1)	348 (47.4)	286 (63.4)	341 (45.6)	250 (53.7)	349 (55.6)	351 (66.9)	29 (64.4)	23 (50.0)	44 (69.8)	63 (75.9)	-	-	-
Yes	1099 (67.2)	496 (60.9)	386 (52.6)	165 (36.6)	407 (54.4)	216 (46.3)	279 (44.4)	174 (33.1)	16 (35.6)	23 (50.0)	19 (30.2)	20 (24.1)	-	-	-
<b>Desire to have a child,</b>															
No	142 (8.7)	215 (9.2)	97 (12.9)	206 (12.3)	249 (10.6)	153 (11.9)	120 (19.0)	257 (15.1)	24 (20.9)	22 (23.2)	18 (28.6)	40 (19.4)	7 (14.0)	29 (24.0)	48 (13.9)
Yes	1496 (91.3)	2132 (90.8)	654 (87.1)	1469 (87.7)	2106 (89.4)	1133 (80.1)	512 (81.0)	1449 (84.9)	91 (79.1)	73 (76.8)	45 (71.4)	166 (80.6)	43 (86.0)	92 (76.0)	298 (86.1)
<b>Who ultimately makes care-seeking decisions</b>															
Respondent alone	1337 (32.7)	548 (28.6)	229 (36.6)	461 (32.2)	600 (31.9)	343 (33.0)	172 (33.0)	416 (28.9)	28 (34.2)	14 (19.4)	16 (36.4)	49 (30.2)	10 (35.7)	18 (22.2)	61 (20.7)
<i>Mod- Moderately.</i>															

	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visits, n=775				0 ANC visit, n=1027		
<b>Variables</b>	<b>Highest</b> (facility birth & PNC). n (%)	<b>High</b> (facilit y birth, no PNC). n (%)	<b>Slightly</b> <b>high</b> (no facility birth, PNC). n (%)	<b>Mod- low</b> (no facility birth, no PNC). n (%)	<b>Higher</b> (facility birth, PNC). n (%)	<b>Mod- high</b> (facility birth, no PNC). n (%)	<b>Mod- lower</b> (no facility birth, PNC). n (%)	<b>Very</b> <b>low</b> (no facility birth, no PNC). n (%)	<b>7<sup>th</sup></b> <b>lowest</b> (facilit y birth, PNC) n (%)	<b>6<sup>th</sup></b> <b>lowest</b> (facility birth, no PNC) n (%)	<b>5<sup>th</sup></b> <b>lowest</b> (no facility birth, PNC) n (%)	<b>4<sup>th</sup></b> <b>lowest</b> (no facility birth, no PNC) n (%)	<b>3<sup>rd</sup></b> <b>lowest</b> (facilit y birth, no PNC) n (%)	<b>2<sup>nd</sup></b> <b>lowest</b> (no facility birth, PNC) n (%)	<b>Lowes t</b> (no facility birth, no PNC) n (%)
Both	1776 (43.5)	834 (43.4)	236 (37.8)	600 (41.9)	834 (44.3)	437 (42.1)	186 (35.7)	612 (42.4)	32 (39.0)	36 (50.0)	13 (29.6)	56 (34.6)	8 (28.6)	31 (38.3)	134 (45.6)
Husband alone	973 (23.8)	537 (28.0)	160 (25.0)	370 (25.9)	448 (23.8)	258 (24.9)	163 (31.3)	414 (28.7)	22 (26.8)	22 (30.6)	15 (34.1)	57 (35.2)	10 (35.7)	32 (39.5)	99 (33.7)
<b>Parity</b>															
Primiparo us	1293 (26.1)	1388 (26.8)	96 (12.8)	324 (10.8)	555 (23.6)	715 (25.7)	68 (10.8)	295 (9.9)	32 (27.8)	53 (24.9)	10 (15.9)	53 (13.8)	28 (26.4)	13 (10.7)	98 (12.3)
Para 2-3	1877 (37.8)	2113 (40.8)	287 (38.2)	988 (33.0)	855 (36.3)	1061 (38.1)	219 (34.7)	906 (30.5)	28 (24.4)	72 (33.8)	16 (25.4)	108 (28.1)	33 (31.1)	36 (29.8)	200 (25.0)
Para 4+	1791 (36.1)	1678 (32.4)	369 (49.0)	1684 (56.2)	945 (40.1)	1006 (36.2)	345 (54.6)	1774 (59.6)	55 (47.8)	88 (41.3)	37 (58.7)	223 (58.1)	45 (42.5)	72 (59.5)	502 (62.7)
<b>Wealth status</b>															
Poor	1785 (36.0)	1739 (33.6)	497 (66.1)	1953 (65.2)	994 (42.2)	1181 (42.5)	446 (70.6)	2108 (70.9)	52 (45.2)	106 (49.8)	50 (47.2)	285 (74.2)	43 (68.3)	103 (85.1)	684 (85.5)
Middle	854 (17.2)	1031 (19.9)	132 (17.6)	556 (18.5)	457 (19.4)	565 (20.3)	127 (20.1)	498 (16.7)	24 (20.9)	44 (20.7)	20 (18.9)	60 (15.6)	10 (15.9)	15 (12.4)	51 (6.4)
Rich	2322 (46.8)	2409 (46.5)	123 (16.4)	487 (16.3)	904 (38.4)	1036 (37.2)	59 (9.3)	369 (12.4)	39 (33.9)	63 (29.6)	36 (34.9)	39 (10.29)	10 (15.9)	3 (2.5)	65 (8.1)
<b>Marital status</b>															
Single	859 (17.3)	880 (17.0)	123 (16.4)	435 (14.5)	464 (19.7)	403 (20.6)	109 (17.3)	458 (15.4)	33 (28.7)	55 (25.8)	19 (30.2)	90 (23.4)	38 (35.9)	39 (32.2)	123 (15.4)
Married	4102 (82.7)	4299 (83.0)	629 (83.6)	2561 (85.5)	1891 (80.3)	1558 (79.5)	523 (82.7)	2517 (84.6)	82 (72.3)	158 (74.2)	44 (69.8)	294 (76.6)	68 (64.1)	82 (67.8)	677 (84.6)
<b>Mass media exposure</b>															
<i>Mod- Moderately.</i>															



	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visits, n=775				0 ANC visit, n=1027		
<b>Variables</b>	<b>Highest</b> <i>(facility birth &amp; PNC). n (%)</i>	<b>High</b> <i>(facility birth, no PNC). n (%)</i>	<b>Slightly high</b> <i>(no facility birth, PNC). n (%)</i>	<b>Mod-low</b> <i>(no facility birth, no PNC). n (%)</i>	<b>Higher</b> <i>(facility birth, PNC). n (%)</i>	<b>Mod-high</b> <i>(facility birth, no PNC). n (%)</i>	<b>Mod-lower</b> <i>(no facility birth, PNC). n (%)</i>	<b>Very low</b> <i>(no facility birth, no PNC). n (%)</i>	<b>7<sup>th</sup> lowest</b> <i>(facility birth, PNC). n (%)</i>	<b>6<sup>th</sup> lowest</b> <i>(facility birth, no PNC). n (%)</i>	<b>5<sup>th</sup> lowest</b> <i>(no facility birth, PNC). n (%)</i>	<b>4<sup>th</sup> lowest</b> <i>(no facility birth, no PNC). n (%)</i>	<b>3<sup>rd</sup> lowest</b> <i>(facility birth, no PNC). n (%)</i>	<b>2<sup>nd</sup> lowest</b> <i>(no facility birth, PNC). n (%)</i>	<b>Lowest</b> <i>(no facility birth, no PNC). n (%)</i>
No	804 (16.2)	484 (20.6)	733 (14.1)	521 (18.7)	204 (27.1)	993 (33.2)	176 (27.9)	1105 (37.1)	26 (22.6)	56 (26.3)	17 (27.0)	170 (44.3)	27 (25.5)	61 (50.4)	508 (36.6)
Yes	4157 (83.8)	1871 (79.4)	4446 (85.9)	2261 (81.3)	548 (72.9)	2001 (66.8)	456 (72.1)	1870 (62.9)	89 (77.4)	157 (72.7)	46 (73.0)	214 (55.7)	79 (74.5)	60 (49.6)	291 (36.4)
<i>Mod- Moderately</i>															

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2  
3 1 Table 4 shows the results of the multinomial regressions for the associations between  
4  
5 2 independent factors and different classes of care-seeking continuum from pregnancy to  
6  
7 3 childbirth and 28 days postnatal, *lowest* class being reference category. Maternal primary or  
8  
9 4 higher education levels compared to no formal education, were significantly associated with  
10  
11 5 higher care-seeking behaviour in almost all care-seeking categories except among those who  
12  
13 6 had 1 ANC visit/facility birth/no PNC (*6<sup>th</sup> lowest*) or less; relative risk ratios RRRs ranged  
14  
15 7 from 2.1– 8.0, (95% confidence intervals [95% CI] 1.1–16.3). Similarly, trends were  
16  
17 8 observed among those with husbands having primary education and above; RRRs ranged  
18  
19 9 from 2.1– 6.4 (95% CI 1.3–10.6). Generally, the higher the level of education, the higher the  
20  
21 10 care-seeking tendency. Exposure to mass media (radio/television) was generally associated  
22  
23 11 with higher care-seeking tendency; RRRs ranged from 1.8– 3.2 (95% CI 1.2–5.4). There was  
24  
25 12 minimal indication that desire to have a child improves care-seeking, although high RRR to  
26  
27 13 seek care were observed among those who had 2 or more ANC visits, but findings were not  
28  
29 14 statistically significant except in the *high* category.

30  
31 16 Problem with distance to the health facility (versus no problem) was largely a demotivating  
32  
33 17 factor to care-seeking. In 6 care-seeking categories, the RRRs ranged from 0.6 – 0.7 (95% CI  
34  
35 18 0.5–0.9), whereas in the remaining categories, *very low* to *lowest*, the association was  
36  
37 19 marginally not statistically significant; RRRs ranged from 0.6 – 1.1 (95% CI 0.3–1.4). Higher  
38  
39 20 parity versus primiparous was not associated with care-seeking except in a few care-seeking  
40  
41 21 categories among those who had 2–3 ANC visits. Generally, being told about pregnancy and  
42  
43 22 birth complications significantly increased the tendency to seek care in Kenya.

44  
45 24 Older maternal age compared to young age was generally not significantly associated with  
46  
47 25 care-seeking at all levels of care-seeking continuum, RRRs ranged from 0.4 – 0.9 (95% CI  
48  
49 26 0.3–1.7), except marginally significant in *moderately high* and *7<sup>th</sup> lowest* classes. Living in a  
50  
51 27 rural area versus urban was significantly associated with lower care-seeking tendency in 9  
52  
53 28 categories. The remaining care-seeking categories indicated lower tendency but not  
54  
55 29 significant results. Care-seeking was also notably hindered when the husband/partner rather  
56  
57 30 than the woman made major decisions for maternal care-seeking in about 9 care-seeking  
58  
59 31 categories. Being married showed variably and inconsistent associations with care-seeking, in  
60  
32 most care-seeking classes, there was no significant association with care-seeking when  
33 compared to single mothers. Compared to the poor, the middle wealth status only showed  
34 significant higher care-seeking tendency in the first 4 higher care-seeking classes and 2 other

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1 random classes, the rest were not statistically significant. Additionally, being rich indicated  
2 almost no significant association with care-seeking. Figure 4 summarizes in a forest plot,  
3 selected (extremes) results from table 4.

For peer review only

**Table 4:** Multinomial logistic regression showing relative risk ratios (RRR) for the associations between maternal and socio-demographic factors and maternal continuum of care-seeking behaviour in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Variables	Highest (≥4 ANC visits, facility birth & PNC).	Higher (2-3 ANC visits, facility birth, PNC).	High (≥4 ANC visits, facility birth, no PNC).	Mod-high (2-3 ANC visits, facility birth, no PNC).	Slightly high (≥4 ANC visits, no facility birth, PNC).	Mod-low (≥4 ANC visits, no facility birth, no PNC).	Mod-lower (2-3 ANC visits, no facility birth, PNC).	Very low (2-3 ANC visits, no facility birth, no PNC).	7 <sup>th</sup> lowest (1 ANC visit, facility birth, PNC)	6 <sup>th</sup> lowest (1 ANC visit, facility birth, no PNC)	5 <sup>th</sup> lowest (1 ANC visit, no facility birth, PNC)	4 <sup>th</sup> lowest (1 ANC visit, no facility birth, no PNC)	3 <sup>rd</sup> lowest (No ANC visit, facility birth, no PNC)	2 <sup>nd</sup> lowest (No ANC visits, no facility birth, PNC)
Versus lowest (no ANC, no facility birth, no PNC) care-seeking level, 95% Confidence Interval (95%CI)														
<b>Maternal education level</b>														
No education	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Primary	3.2 (2.3-4.4)	3.2 (2.2,4.6)	3.4 (2.4-4.9)	2.7 (1.9-4.0)	2.1 (1.5-3.1)	2.3 (1.6-3.2)	2.1 (1.5-3.2)	2.8 (2.0-4.0)	2.6 (1.2-5.7)	1.5 (0.7-3.0)	1.7 (0.8-3.8)	1.7 (1.0-2.8)	2.6 (0.8-9.0)	0.9 (0.5-1.6)
Secondary & higher	8.0 (4.0-16.3)	6.9 (3.3-14.1)	6.9 (3.4,14.2)	4.9 (2.3-10.2)	2.5 (1.2-5.4)	3.1 (1.5-6.4)	3.9 (1.8-8.4)	2.9 (1.7-4.9)	3.4 (1.1-10.7)	1.7 (0.5-5.4)	2.1 (0.6-8.2)	1.5 (0.5-4.1)	4.3 (0.8,23.0)	0.6 (0.1-2.9)
<b>Partner/husband education level</b>														
No education	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Primary	3.3 (2.4-4.7)	3.4 (2.4-5.0)	3.6 (2.5-5.3)	4.7 (3.1-7.1)	2.6 (1.8-3.9)	2.1 (1.5-3.1)	2.7 (1.8-4.0)	2.7 (1.9-3.8)	3.4 (1.3-8.7)	1.9 (0.9-4.1)	1.9 (0.8-4.4)	2.5 (1.5-4.4)	–	1.2 (0.7-2.2)
Secondary & higher	6.4 (3.8-10.6)	5.5 (3.2-9.2)	6.2 (3.7-10.6)	7.2 (4.1-12.7)	3.7 (2.1-6.5)	3.0 (1.8-5.1)	3.4 (1.9-6.0)	2.9 (1.7-4.9)	6.0 (2.1-17.4)	2.3 (0.9-6.1)	2.1 (0.7-6.3)	3.3 (1.5-6.9)	–	1.2 (0.5-3.0)
<b>Distance to nearest health facility is a big problem</b>														
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Yes	0.6 (0.5-0.8)	0.6 (0.5-0.8)	0.7 (0.5-0.9)	0.7 (0.5-0.9)	0.6 (0.5-0.8)	0.8 (0.6-1.0)	0.7 (0.5-0.9)	0.8 (0.6-1.1)	0.7 (0.4-1.2)	0.6 (0.3-1.0)	0.7 (0.4-1.3)	1.1 (0.7-1.6)	0.6 (0.3-1.4)	0.8 (0.5-1.3)
<b>Desire to have a child,</b>														
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
<i>Mod- Moderately. Predictor variables mutually adjusted for each other.</i>														

Variables	Highest (≥4 ANC visits, facility birth & PNC).	Higher (2-3 ANC visits, facility birth, PNC).	High (≥4 ANC visits, facility birth, no PNC).	Mod-high (2-3 ANC visits, facility birth, no PNC).	Slightly high (≥4 ANC visits, no facility birth, PNC).	Mod-low (≥4 ANC visits, no facility birth, no PNC).	Mod-lower (2-3 ANC visits, no facility birth, PNC).	Very low (2-3 ANC visits, no facility birth, no PNC).	7 <sup>th</sup> lowest (1 ANC visit, facility birth, PNC)	6 <sup>th</sup> lowest (1 ANC visit, facility birth, no PNC)	5 <sup>th</sup> lowest (1 ANC visit, no facility birth, PNC)	4 <sup>th</sup> lowest (1 ANC visit, no facility birth, no PNC)	3 <sup>rd</sup> lowest (No ANC visit, facility birth, no PNC)	2 <sup>nd</sup> lowest (No ANC visits, no facility birth, PNC)
Versus lowest (no ANC, no facility birth, no PNC) care-seeking level, 95% Confidence Interval (95%CI)														
Yes	1.5 (1.0-2.2)	1.3 (0.9-2.0)	1.6 (1.1-2.4)	1.2 (0.8-1.8)	1.3 (0.8-2.0)	1.4 (1.0-2.2)	0.9 (0.5-1.3)	1.3 (0.9-2.0)	0.7 (0.3-1.4)	0.8 (0.4-1.7)	0.5 (0.2-1.2)	1.0 (0.6-1.8)	0.5 (0.2-1.7)	0.4 (0.2-0.7)
<b>Mass media exposure</b>														
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Yes	3.2 (2.4-4.2)	2.7 (2.0-3.6)	2.7 (2.0-3.6)	2.7 (2.0-3.7)	3.0 (2.2-4.1)	2.1 (1.6-2.8)	3.0 (2.2-4.2)	1.8 (1.4-2.4)	2.9 (1.6-5.4)	1.6 (0.9-3.0)	3.2 (1.6-6.3)	1.5 (1.0-2.4)	2.0 (0.8-4.8)	2.0 (1.2-3.2)
<b>Told about pregnancy, birth complications (only Kenya) Versus very low care-seeking level</b>														
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	-	-	-	-	-	-	-
Yes	2.6 (2.0-3.2)	1.7 (1.3-2.2)	2.1 (1.7-2.8)	1.1 (1.0-1.7)	2.0 (1.6-2.5)	1.2 (0.9-1.6)	1.5 (1.2-1.9)	-	-	-	-	-	-	-
<b>Who ultimately makes care-seeking decisions</b>														
Respondent alone	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Both	0.5 (0.4-0.8)	0.6 (0.4-0.8)	0.6 (0.4-0.9)	0.5 (0.4-0.8)	0.5 (0.3-0.7)	0.5 (0.4-0.8)	0.5 (0.3-0.7)	0.7 (0.5-0.9)	0.5 (0.3-0.9)	1.3 (0.6-2.5)	0.4 (0.2-0.9)	0.5 (0.3-0.9)	0.4 (0.1-1.0)	0.9 (0.5-1.7)
Husband alone	0.5 (0.4-0.7)	0.5 (0.4-0.7)	0.7 (0.5-0.9)	0.5 (0.3-0.7)	0.5 (0.3-0.7)	0.5 (0.3-0.7)	0.7 (0.4-1.0)	0.7 (0.5-0.9)	0.5 (0.3-1.0)	1.1 (0.5-2.5)	0.6 (0.3-1.4)	0.7 (0.4-1.2)	0.7 (0.3-1.8)	1.1 (0.6-2.2)
<b>Maternal age (Years)</b>														
15-24	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
25-34	0.9 (0.6-1.3)	0.7 (0.5-1.1)	0.6 (0.4-1.0)	0.6 (0.4-0.9)	0.9 (0.5-1.3)	0.6 (0.4-0.9)	0.9 (0.6-1.5)	0.6 (0.4-1.0)	0.4 (0.2-0.8)	0.8 (0.3-1.7)	0.4 (0.2-1.2)	0.5 (0.3-0.9)	0.5 (0.2-1.3)	0.5 (0.1-1.0)
35-49	0.8 (0.5-1.3)	0.6 (0.4-1.1)	0.6 (0.4-1.0)	0.5 (0.3-0.8)	0.9 (0.5-1.5)	0.5 (0.3-0.8)	0.8 (0.5-1.5)	0.6 (0.4-1.1)	0.4 (0.1-0.9)	0.9 (0.3-2.4)	0.8 (0.3-2.3)	0.6 (0.3-1.2)	0.3 (0.1-1.4)	0.3 (0.1-0.7)
<b>Place of residence</b>														
<i>Mod- Moderately. Predictor variables mutually adjusted for each other</i>														

<b>Variables</b>	<b>Highest</b> (≥4 ANC visits, facility birth & PNC).	<b>Higher</b> (2-3 ANC visits, facility birth, PNC).	<b>High</b> (≥4 ANC visits, facility birth, no PNC).	<b>Mod- high</b> (2-3 ANC visits, facility birth, no PNC).	<b>Slightly high</b> (≥4 ANC visits, no facility birth, PNC).	<b>Mod- low</b> (≥4 ANC visits, no facility birth, no PNC).	<b>Mod- lower</b> (2-3 ANC visits, no facility birth, PNC).	<b>Very low</b> (2-3 ANC visits, no facility birth, no PNC).	<b>7<sup>th</sup> lowest</b> (1 ANC visit, facility birth, PNC)	<b>6<sup>th</sup> lowest</b> (1 ANC visit, facility birth, no PNC)	<b>5<sup>th</sup> lowest</b> (1 ANC visit, no facility birth, PNC)	<b>4<sup>th</sup> lowest</b> (1 ANC visit, no facility birth, no PNC)	<b>3<sup>rd</sup> lowest</b> (No ANC visit, facility birth, no PNC)	<b>2<sup>nd</sup> lowest</b> (No ANC visits, no facility birth, PNC)
<i>Versus lowest (no ANC, no facility birth, no PNC) care-seeking level, 95% Confidence Interval (95%CI)</i>														
Urban	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Rural	0.4 (0.2-0.6)	0.4 (0.2-0.6)	0.3 (0.2-0.5)	0.4 (0.3-0.7)	0.4 (0.2-0.6)	0.7 (0.4-1.1)	0.4 (0.2-0.6)	0.7 (0.4-1.1)	0.3 (0.2-0.7)	0.2 (0.1-0.4)	0.4 (0.2-1.0)	0.6 (0.3-1.2)	0.5 (0.2-1.5)	0.4 (0.2-0.8)
<b>Marital status</b>														
Single	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Married	1.9 (1.1-3.3)	1.7 (1.0-3.0)	2.0 (1.2-3.5)	2.0 (1.1-3.7)	0.6 (0.3-1.0)	2.4 (1.3-4.3)	0.5 (0.3-1.0)	1.6 (0.9-2.8)	1.5 (0.5-4.5)	1.9 (0.6-6.9)	0.3 (0.1-0.6)	1.9 (0.7-5.3)	1.0 (0.2-4.5)	0.2 (0.1-0.5)
<b>Wealth status</b>														
Poor	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Middle	1.8 (1.1-3.0)	2.0 (1.2-3.3)	2.2 (1.3-3.6)	1.9 (1.1-3.2)	1.3 (0.8-2.2)	1.9 (1.2-3.2)	1.3 (0.8-2.2)	1.5 (0.9-2.6)	2.7 (1.3-5.6)	2.6 (1.2-5.9)	1.4 (0.6-3.3)	1.8 (0.9-3.4)	1.7 (0.5-5.4)	0.9 (0.4-2.1)
Rich	1.3 (0.8-2.0)	1.2 (0.7-1.8)	1.2 (0.8-1.9)	1.1 (0.7-1.8)	0.4 (0.2-0.7)	0.8 (0.5-1.3)	0.2 (0.1-0.3)	0.6 (0.4-0.9)	1.1 (1.5-2.4)	1.3 (0.6-2.9)	0.3 (0.1-1.1)	0.4 (0.2-0.9)	1.2 (0.4-3.6)	0.1 (0.0-0.4)
<b>Parity</b>														
Primiparous	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Para 2-3	0.9 (0.6-1.5)	1.1 (0.6-1.8)	1.1 (0.6-1.7)	1.1 (0.6-1.8)	1.6 (0.9-2.8)	1.7 (1.0-2.9)	2.0 (1.1-3.6)	1.9 (1.1-3.2)	1.1 (0.5-2.7)	0.9 (0.3-2.3)	0.9 (0.3-2.6)	1.5 (0.7-3.3)	1.9 (0.5-6.8)	2.7 (1.0-7.6)
Para 4+	0.7 (0.4-1.2)	0.9 (0.5-1.6)	0.9 (0.5-1.6)	1.1 (0.6-1.9)	2.2 (0.6-2.2)	2.3 (1.3-4.0)	1.6 (0.8-3.1)	2.5 (1.4-4.5)	1.9 (0.7-5.2)	0.9 (0.3-2.7)	0.9 (0.3-3.1)	2.1 (0.9-5.1)	1.0 (0.2-4.9)	2.8 (0.9-8.4)
<i>Mod- Moderately. Predictor variables mutually adjusted for each other</i>														

Table 5 presents the odds ratios for the associations between continued care-seeking categories and neonatal mortality, with the *highest* category as the reference class. Figure 5 shows a forest plot of adjusted odds ratios (aOR) for overall results in Table 5. Overall, 3<sup>rd</sup> lowest and lowest categories were associated with about 4-folds odds of neonatal mortality, aOR 4.2, (95% CI<sub>range</sub> 1.6–10.9). For joint Kenya and Uganda, *moderately high and very low* levels of care-seeking also showed significant higher odds of neonatal death; aOR ranged 1.9 – 2.4 for the two classes. However, the remaining two categories (4<sup>th</sup> lowest and *moderately low*) did not indicate any statistically significant association with mortality. For Kenya only, *lowest, very low, moderately low, moderately high, and high* versus *highest* were all significantly associated with neonatal deaths and neonates in the *lowest* class were 6 times likely to die. For Uganda, only *very low* category was significantly associated with neonatal death, aOR 1.7 (95% CI 1.1-2.7) and *lowest* class showed higher odds but was marginally not significant aOR 2.5 (95% CI 1.0-6.0). We observe that the proportion of Ugandan mothers seeking continued care at highest level were more than twice (33.8%) that of Kenya (13.4%)

**Table 5:** Crude and adjusted odds ratios for the association between classes of care-seeking behavior in continuum of care and neonatal mortality in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Classes of care-seeking behaviour	Overall Crude odds ratio (95% CI) n=22538	Overall aOR* (95% CI)	Proportion of the total in Kenya (%) n=12579	Kenya only aOR* (95% CI)	Proportion of the total in Uganda (%) n=9959	Uganda only aOR* (95% CI)
<b>Highest</b> ( $\geq 4$ ANC visits, health facility birth, yes PNC).	Ref.	Ref.	(13.4)	Ref.	(33.8)	Ref.
<b>Higher</b> (2-3 ANC visits, Health facility birth, yes PNC). Mis=47	1.5 (1.0-2.4)	1.3 (0.7-2.2)	(6.1)	1.4 (0.4-4.2)	(16.3)	0.9 (0.5-1.5)
<b>High</b> ( $\geq 4$ ANC visits, Health facility birth, no PNC). Mis=72	1.5 (1.0-2.2)	1.5 (1.0-2.3)	(29.8)	2.9 (1.4-6.0)	(15.6)	1.0 (0.6-1.7)
<b>Moderately high</b> (2-3 ANC visits, health facility birth and no PNC). Mis=33	2.4 (1.6-3.7)	2.2 (1.4-3.4)	(16.0)	3.4 (1.6-7.4)	(8.4)	1.6 (0.9-2.7)
<b>Moderately low</b> ( $\geq 4$ ANC visits, no facility birth, no PNC). Mis=44	1.3 (0.8-2.1)	1.3 (0.8-2.2)	(14.5)	2.6 (1.2-5.9)	(12.4)	0.8 (0.4-1.4)
<b>Very low</b> (2-3 ANC visits, no facility birth, no PNC). Mis=48	1.9 (1.3-2.8)	1.9 (1.3-2.9)	(14.7)	2.8 (1.3-6.2)	(12.0)	1.7 (1.1-2.7)
<b>4<sup>th</sup> lowest</b> (1 ANC visit, no health facility births, no PNC) Mis=2	2.2 (0.7-6.7)	2.2 (0.7-7.3)	(2.1)	–	(1.2)	–
<b>3<sup>rd</sup> lowest</b> (No ANC, health facility births and no PNC). Mis=2	7.8 (3.5-17.5)	4.2 (1.6-10.9)	(0.5)	–	(0.4)	–
<b>Lowest</b> (No ANC, no facility births and no PNC.). Mis=17	4.5 (2.5-7.8)	4.2 (2.3-7.8)	(5.6)	6.0 (2.6-13.6)	(1.5)	2.5 (1.0-6.5)

\*adjusted/restricted to birthweight  $\geq 2500$  g and singleton births. Mis – Missing: Due to non-response, proportionally(relatively random) distributed across all strata.



1 Still in Table 5, in combined country findings, comparing *higher* and *moderately high* classes  
2 both with 2-3 ANC visits and facility childbirth, the only difference is lack of PNC  
3 attendance in the *moderately high* class indicating that lack of PNC contributes significantly  
4 to neonatal deaths, aOR 2.2 (95% CI 1.4-3.4). Similarly, in Kenya with 16% of mothers in  
5 this (*moderately high*) category, aOR 3.4 (95% CI 1.6-7.4). In Uganda only about 8% of  
6 mothers were in this category. It can generally be observed that care-seeking tendencies are  
7 higher in Uganda compared to Kenya, with mothers seeking care at *highest* level more than  
8 doubles that of Kenya (33.8% versus 13.4%). Similarly, at *lowest* level Uganda is more than  
9 thrice lower than Kenya (1.5% versus 5.6%)

10  
11 Figure 6 below shows that overall, for both Kenya and Uganda, 23% neonatal deaths were  
12 attributable to inadequate maternal care-seeking during pregnancy, childbirth and 28 days  
13 postnatal period in the Kenya and Uganda. Insufficient care seeking within *lowest* and 3<sup>rd</sup>  
14 *lowest* care-seekers accounted for almost 3-quarters (75%) of neonatal deaths in those groups.  
15 About 9% of neonatal deaths in Kenya and Uganda could be attributable to home births, no  
16 PNC visits and inadequate ANC visits.

## 17 18 **Discussion**

19 Although 95% of mothers initiated the first ANC visit in Kenya and Uganda, only about 20%  
20 completed recommended (modified) care attendance of 4 or more ANC visits, health facility  
21 birth and at least 1 PNC visit within 28 days after birth. Despite the relatively free or  
22 subsidized maternity costs in first level facilities in Uganda and Kenya, several factors still  
23 exert profound influence on care-seeking behaviour along the continuum of care that  
24 consequently impact neonatal survival. Overall, being educated indicated the highest odds of  
25 continual care-seeking, and parental education was 2–8 times associated with continued care-  
26 seeking in most of the care-seeking categories. The higher the education level, the higher  
27 tendency to seek care. Our results concur with other studies that have shown associations  
28 between education and uptake of ANC [57, 58], institutional birth [57, 59] and PNC [60].  
29 Further, consistent with our findings, studies have reported higher utilization of obstetric care  
30 among mothers exposed to mass media [61]. Being told of pregnancy complications also  
31 improved care-seeking (in Kenya). Over 23% of neonatal deaths in Kenya and Uganda would  
32 be prevented if mothers adhered to recommended care attendance. Desire to have a child,  
33 parity and being married did not show any consistent associations with continued care-



1 seeking behaviour. Advance maternal age indicated lower tendency to seek care, but the  
2 findings were not statistically significant.

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Conversely, a husband as the main or joint decision maker concerning maternal health care-seeking was generally a significant demotivating factors to care-seeking among the women in Kenya and Uganda. Although our study could not examine this further, other studies have shown that gender inequality, negative sociocultural factors and women's financial marginalization tend to hinder women's independent decision making in health care especially in low-and middle-income settings [62, 63]. Over 80% of the mothers in this study were married and over 70% lived in rural areas, meaning most women are housewives with subsistence farming as source of livelihood. Thus maternal dependency on the husbands to seek care revolves mainly around financial support for repeated transportation and minor hospital expenses and this can hinder a woman's decision to seek care. This partly explains why being married did not indicate consistent significance to care-seeking.

Also, congruent with our findings, a systematic review in Africa by Dahab et al. reported lack of women autonomy in health decisions as major hindrance to maternity care-seeking [64]. However, a study in Nepal, a similar social setting reported that a complex balance between women's independence in maternity decision making and husband's involvement can enhance maternity care-seeking [65]. Living in rural compared to urban and longer distance to the nearest health facility largely indicated lower tendency to care-seeking, this was especially true (significant) among relatively high care-seeking classes. However, the associations were not statistically significant among mostly lower care-seekers. In agreement with most of our findings, two systematic reviews also found longer distance to health facility [64] and rural residency [58] as factors that impede care-seeking. Being rich did not show any significant association with higher tendency to seek care as would be expected, however, the use of cumulative living standard and assets possessed to determine wealth status does not translate to having liquid cash, readily available to support care-seeking. Further research on a valid method to determine wealth status that incorporates monetary availability could be explored.

The far-reaching impacts of maternal and sociodemographic factors on maternal care-seeking continuum necessitate both short and long-term solutions with overarching implications for policy improvements. The 2030 Sustainable Development Goals (SDG) 4, 5 and 10 that

1 focus on inclusive education and gender equality and reducing inequalities resonate closely  
2 with most of the recommendations emanating from our findings. In the long-term,  
3 strengthening education for all with purposeful emphasis on maternity care-seeking should be  
4 integrated into the educational curriculum. A recent systematic review in SSA recommended  
5 female education as a strong enabling factor for ANC visits [20]. Improving knowledge and  
6 skills for all will inculcate women-led maternal health-decision making and create a  
7 supportive social environment that would enhance completion of the care-seeking continuum.  
8 In the short-term, health promotion for maternal care seeking among pregnant or nursing  
9 mothers will improve utilization and consequently greater neonatal survival.

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11 The positive correlations between ANC and facility birth and PNC found in figure 2 indicate  
12 that even the first contact with health personnel can improve continued care utilization and  
13 these findings concur with other studies [66, 67]. The *3<sup>rd</sup> lowest* and *lowest* categories with  
14 no ANC, no PNC and only facility birth in the *3<sup>rd</sup> lowest* accounted for 76% of within-  
15 category neonatal deaths each, and a total of 7.0% deaths in the total population. Even though  
16 these two *lowest* categories had the highest within-category attributable mortality risks, they  
17 contributed relatively lower population attributable deaths partly because there were rather  
18 fewer mothers in these categories. In comparison, the mothers in the *very low* and *moderately*  
19 *high* categories with 2-3 ANC visits, no PNC plus facility birth only in the *moderately high*  
20 class accounted for relatively lower within-category deaths each (50%), however they  
21 accounted for more neonatal deaths in Kenya/Uganda population (16%) since relatively more  
22 mothers were in this category.

23  
24 Given the findings in Figure 5, the results of the first 3 care-seeking classes (*higher, high,*  
25 *moderately high*) and last 2 classes (*3<sup>rd</sup> lowest, lowest*) seem to corroborate theoretical  
26 expectations in the 'hierarchy' of consequences of inadequate care-seeking. However, the  
27 odds for neonatal mortality in class 4 (*moderately low*) and class 6 (*4<sup>th</sup> lowest*) were not  
28 statistically significant for neonatal deaths as would be expected. Notably, in table 5, the  
29 *moderately low* with  $\geq 4$  ANC visits and no facility birth and no PNC showed significant  
30 association with neonatal death in Kenya but not Uganda. A possible explanation would be  
31 that the quality of ANC given in Uganda was perhaps better and protective than in Kenya.  
32 We could not deduce any possible explanations from our findings for why the odds ratio in

1 the 4<sup>th</sup> lowest compared to the highest class was not statistical significance despite the low  
2 level of care received.

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Further, in table 5, the only difference in care-seeking between *higher* and *moderately high* categories (versus *highest* class) is lack of PNC in the latter class. Thus, the statistical significance in the odds for mortality in the *moderately high* class and not in the *higher* class reveal that PNC could be very protective and is critical for neonatal survival. Our findings show that PNC is the least attended-to component of care continuum. WHO and other studies also agree that PNC is a crucial phase yet most neglected part of care [68, 69]. We recommend strategies that enhance PNC utilization in Kenya and Uganda. One such strategy would be to emphasize PNC right from the first ANC contact, which has not been the case. PNC attendance existed only in the checklists for fourth ANC visit in the focused ANC recommendations in both Kenya and Uganda [70, 71]. This implied that majority of mothers with less than 4 ANC visits got very limited information that could induce PNC attendance. The current WHO guidelines for 8 ANC visits recommends emphasis on continuity of care including PNC, however it is not clear on how PNC utilization would be promoted during ANC visits in non-midwife-led continuity of care models such as Kenya and Uganda and other LMIC if it is not clearly specified [72]. The twice higher proportion of Uganda women in the *highest* category than Kenya could be attributable to the fact that Uganda abolition of user fees in 2001 took place much earlier than in Kenya (2013).

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Although it was not possible for our study to determine attributable mortality risks for each specific care component, nonetheless, we can deduce that over 23 % of neonatal deaths in Kenya and Uganda could be avoided through basic maternal and newborn care recommendations prior to 2016. We can also reason that if Kenya and Uganda would fully implement the current WHO recommendations of 8 ANC visits, it would lead to higher rates of facility births and ensure PNC as indicated in figure 2, then much higher proportions of neonatal deaths would be eliminated.

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For mothers with problems with distance to the nearest health facility, strengthening, structuring, and funding the community health workers strategy to engage families, community and health facilities could help align care-seeking continuum especially for PNC that is currently poorly attended. The Village health workers (VHTs) in parts of Uganda for example have achieved profound improvement in promoting maternal care-seeking [73].

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3 1 However, high attrition rate is a major challenge to community health workers (CHW)  
4 2 programs such as VHTs in Uganda due to poor governmental support (74). Given the readily  
5 3 available telephone communication in East Africa, the integration of mobile health or mHealth  
6 4 programme specifically for maternal care-seeking in the existing mHealth structure in Kenya  
7 5 [75] and Uganda [76] is another viable approach. A cost-free two-way mHealth messaging  
8 6 approach could facilitate follow up, counter sociodemographic barriers, and profoundly  
9 7 improve continued care-seeking. Engaging the CHW in this endeavor would be feasible with  
10 8 minimal extra investment.  
11 9

12 10 Studies in Kenya and Uganda reported increased utilization of ANC and delivery services  
13 11 due to free maternity policy [77-79]. Reports evaluating impacts of free maternity policies in  
14 12 Kenya and Uganda highlight increase of ANC coverage and health facility births but almost  
15 13 no mention is made of the impact on PNC [40, 80]. Other studies have reported that free  
16 14 maternity policy increased mainly facility births [81, 82]. The universal health policy in  
17 15 Uganda and the *Linda mama* strategy [83] in Kenya advocate for universal access to quality  
18 16 maternity health services but do not offer transportation for poor mothers or health providers  
19 17 in/to remote areas, yet most mothers are rural dwellers. Additionally, there are hidden  
20 18 hospital charges due to underfunding or delayed distribution of funds [39, 41, 43].  
21 19

22 20 Another worthwhile strategy to improve continued maternity care utilization among mothers  
23 21 would be to develop a standard questionnaire or a protocol for estimating the level of  
24 22 continued care-seeking based on a brief interview of the mother at first ANC visit. The  
25 23 results could be used to determine the degree of follow-up that can be employed to close the  
26 24 care-seeking gap. Such questionnaires have previously been used in to assess health seeking  
27 25 behaviour in sexual transmitted diseases for example [84]. It could be based on identified  
28 26 cluster of items including sociodemographic factors that impact care-seeking behaviours that  
29 27 after prolonged testing and validation could be shortened using factor analysis. Previous  
30 28 maternity history of care-seeking continuum could also be used to improve such a standard.  
31 29 Poor care-seeking mothers can then be enrolled in a messaging list or maternity mHealth  
32 30 programme. This can be a less-costly health promotion strategy that could easily be  
33 31 integrated in ANC setup in low-resourced health care settings.  
34 32  
35 33  
36 34

## 1 **Methodological considerations**

2 The large sample size of the maternal and child data of the latest Kenya and Uganda DHS,  
3 which are nationally representative allowed for valid stratified analysis for deeper  
4 understanding of neonatal health and survival. The study is thus externally valid and  
5 generalizable in other similar settings. Like many cross-sectional surveys, recall bias may  
6 not be completely eliminated from the study. Nonetheless, by selecting the most recent live  
7 births for analysis and because childbirth is a special occurrence that mothers may not easily  
8 forget within a short period of time, our findings considerably reflect the reality of maternal  
9 care and associated neonatal survival in these countries.

10  
11 A strength to our study was the use of directed acyclic graphs that enabled us to explicitly  
12 map the predictor-outcome relationship for well guided analysis and identification of possible  
13 confounders. Our study could not examine other factors such as poor attitude of nurses and  
14 lack of information on health care services offered which have been found by both  
15 quantitative and qualitative studies to hinder care utilization in low- and middle-income  
16 countries [85, 86]. Another limitation to our study was that inadequate facilities and drugs  
17 have also been associated with poor care-seeking, but our data did not capture these specific  
18 aspects [87]. In addition, the cross-sectional survey design of the DHS dataset does not allow  
19 collection of data on quality of care. Our study did not incorporate factors such as intimate  
20 partner violence (IPV) which prevalent in many countries, IPV is known to be associated  
21 with poor care-seeking behaviour [88]. Further studies can investigate this.

## 22 23 **Conclusion**

24 Further multi-country large-scale population-based research and systematic reviews could  
25 enable development and use of a brief standard questionnaire to determine a mother's  
26 continued care-seeking level during the first ANC visit and use the information to close the  
27 care-seeking gaps where it's most needed. This is especially viable in LMIC with limited  
28 health workforce. Similar standard questionnaires have been used previously in other areas to  
29 assess care-seeking behaviour [89, 90]. The use of mobile health (mHealth) specifically for  
30 promoting continued maternal care utilization up to postnatal can be integrated in the existing  
31 structures. Strengthening the existing community health workers system to be integral part of  
32 promoting continued maternal care-seeking could enhance care-seeking as a stand-alone  
33 strategy or as a component of the above suggested strategies.

## 1 **Acknowledgments**

2 Much appreciation to the DHS program and partners for availing the datasets for this study.

## 4 **Competing interest statement**

5 No competing interests were reported by the authors.

## 7 **Ethics and dissemination**

8 DHS data collection process and storage guaranteed the anonymity and confidentiality of  
9 participants. Datasets are publicly available and permission for access and use was obtained  
10 after sending a request to the DHS secretariat.

## 12 **Funding**

13 No funds were obtained from any entity or industry by the authors of this study.

## 15 **Authors' contributions**

16 MOA conceptualized, designed, obtained data for the study, conducted analysis, interpreted  
17 the results, drafted, and reviewed the manuscript. BOA and AA interpreted the results and  
18 conducted critical review of the manuscript. The final draft was agreed upon by all authors.

## 20 **Data availability statement**

21 DHS data used in this study are available for the public upon request. De-identified data was  
22 accessed from: <https://dhsprogram.com/Data/>.



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## Figures and supplementary files

### Figure legends

**Figure 1:** Proportions of antenatal care visits by number of ANC contacts in Kenya and Uganda, using demographic and health survey 2014-2016 data.

**Figure 2.** A scatter plot showing correlation between number of antenatal care visits and proportions of facility births and postnatal care visits in Kenya and Uganda, using demographic and health survey 2014-2016 data.

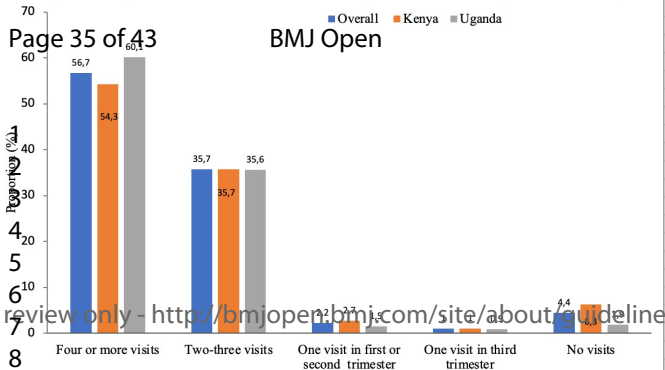
**Figure 3.** Proportion of hospital and home births by number of antenatal care visits in Kenya and Uganda, using demographic and health survey 2014-2016 data.

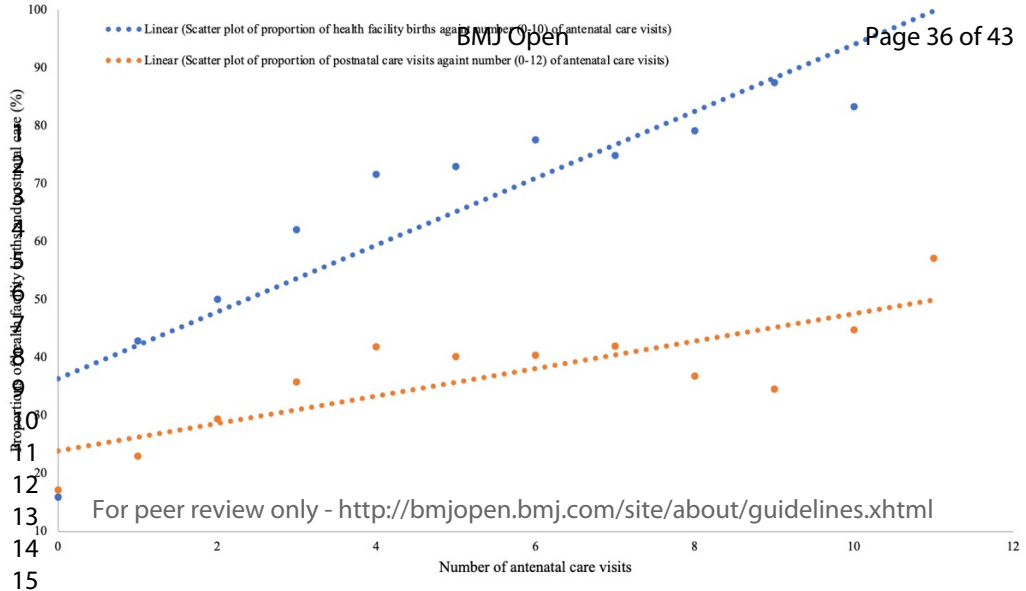
**Figure 4:** A forest plot showing relative risk ratios (RRR) for the associations between maternal and socio-demographic factors and maternal continuum of care-seeking behaviour in Kenya and Uganda, using demographic and health survey 2014-2016 data.

**Figure 5:** A forest plot showing adjusted odds ratios between continued care-seeking behavioral classes/levels and neonatal mortality, using Kenya and Uganda, 2014-2016 demographic and health survey data.

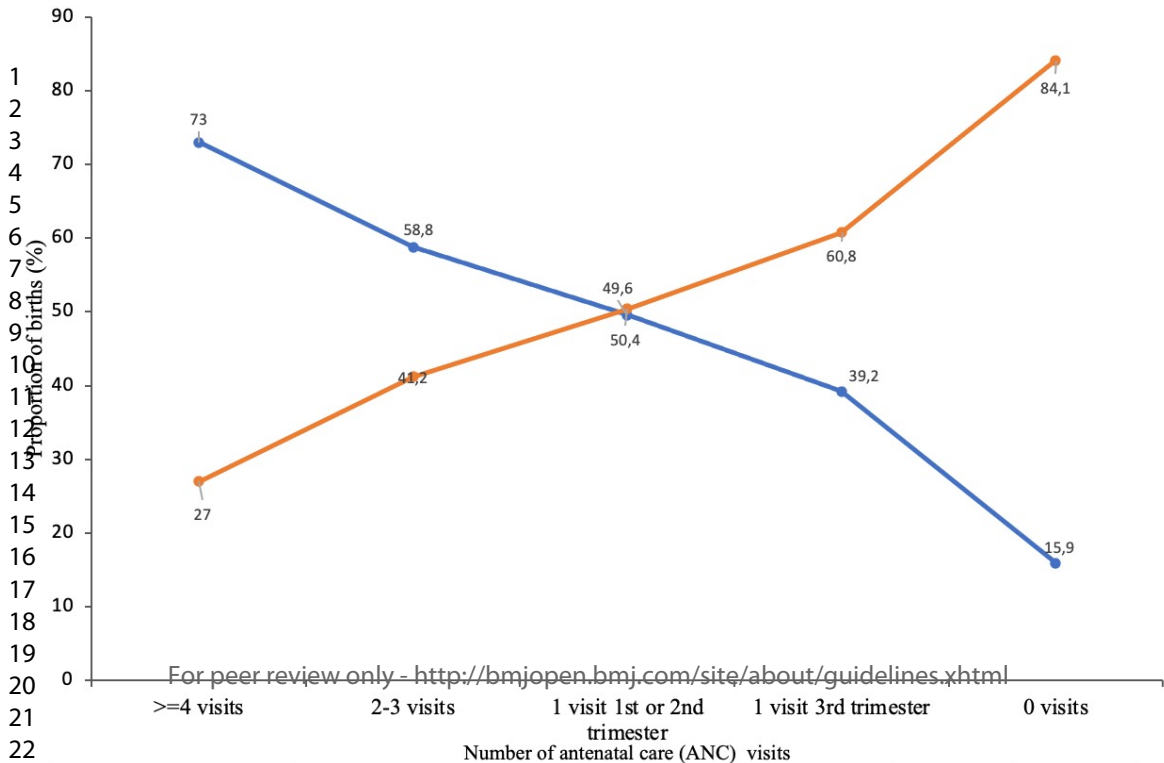
**Figure 6:** Attributable and population attributable neonatal mortality risk proportion for lower categories of care-seeking in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Overall Kenya Uganda



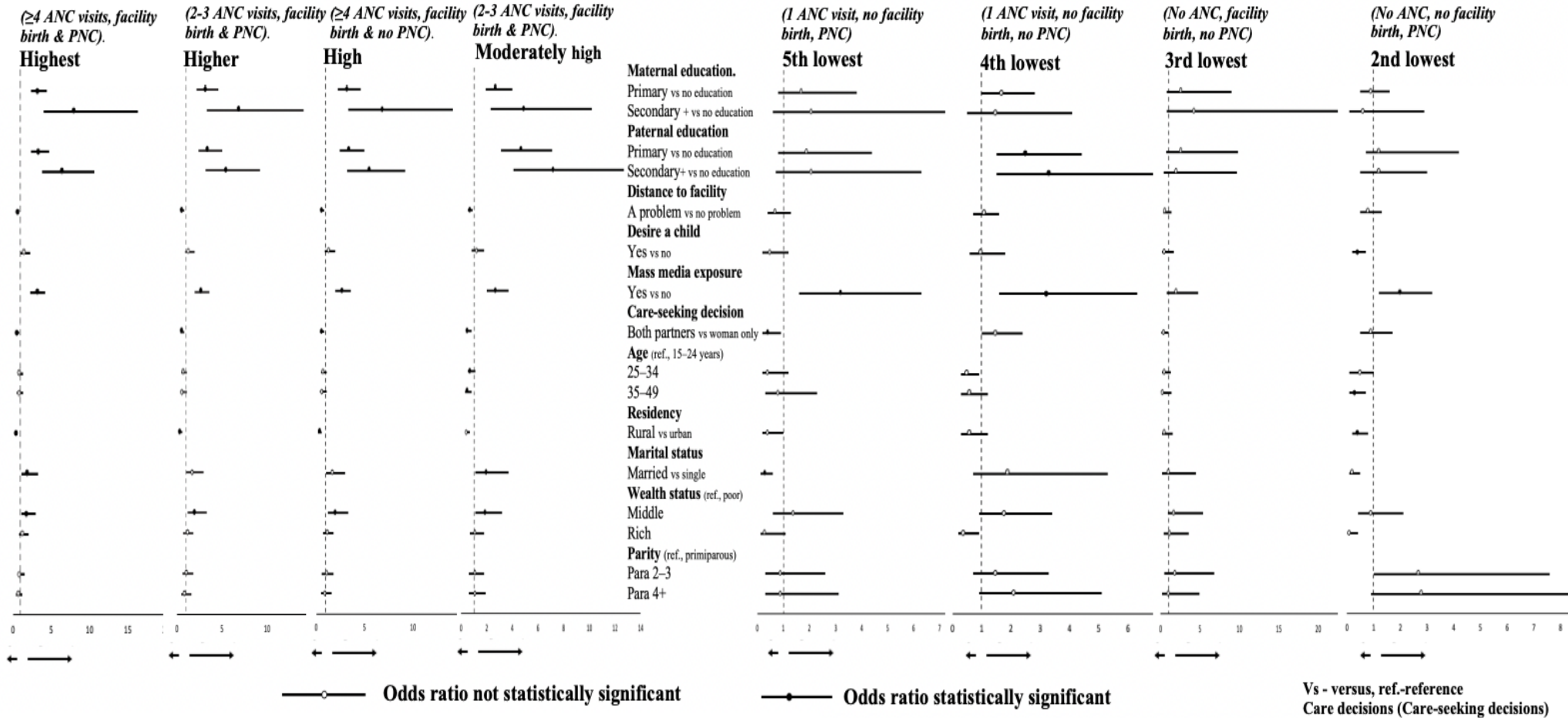


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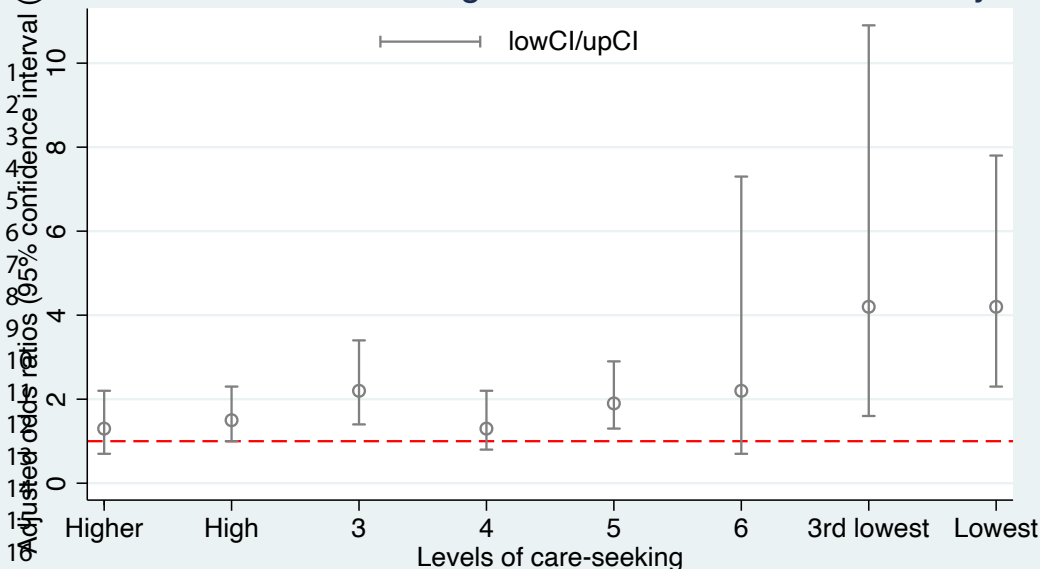


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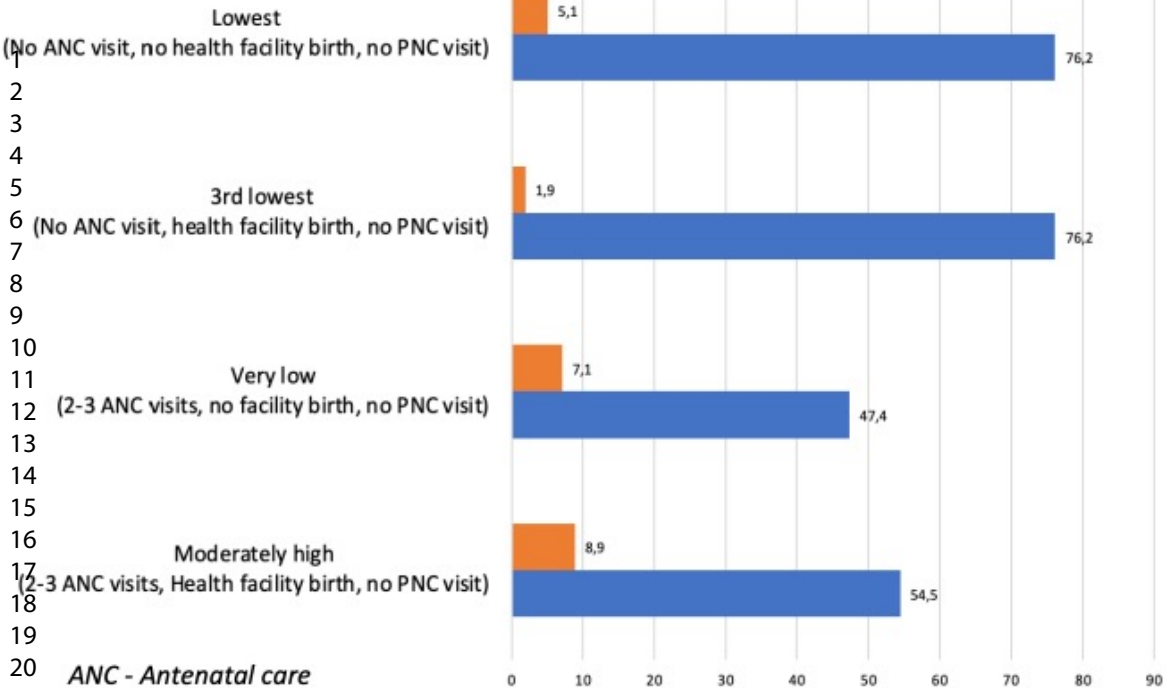


# Levels of care-seeking and odds of neonatal mortality



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3-moderately high, 4-moderately low, 5-very low, 6-4th lowest



ANC - Antenatal care

PNC - Postnatal care

Supplementary file 1.

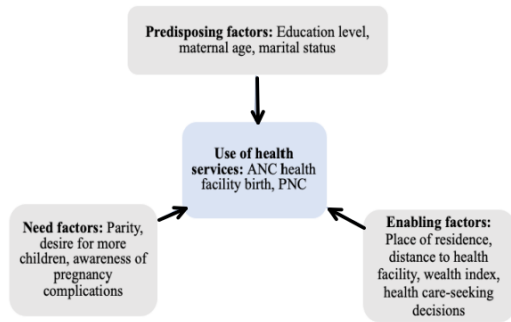


Figure showing behavioral model of utilization of health care services, modified from Andersen and Newman model.

Supplementary file 2.

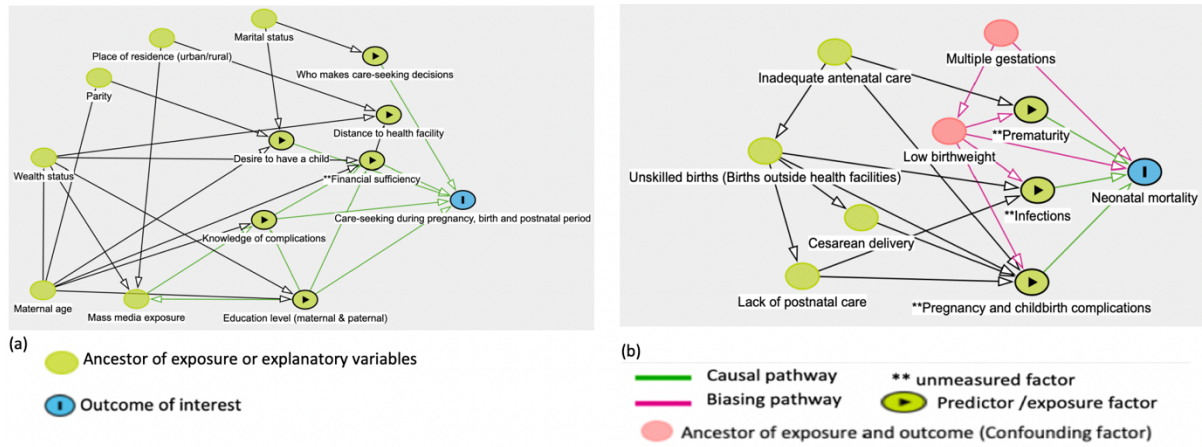


Figure 1: Directed acyclic graphs showing the predictor–outcome relationship for both care-seeking and neonatal survival in Kenya and Uganda, (Developed from [www.dagitty.net](http://www.dagitty.net), using DAGitty version 3.0.)

peer review only

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60STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract <a href="#">Page 1, line 3. Page 2, line 5.</a> (b) Provide in the abstract an informative and balanced summary of what was done and what was found. <a href="#">Page 2, lines 1-28.</a>
<b>Introduction</b>		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported <a href="#">Pages 4, lines 1-34. And page 5 lines 1-27.</a>
Objectives	3	State specific objectives, including any prespecified hypotheses. <a href="#">Page 5, Lines 24-27.</a>
<b>Methods</b>		
Study design	4	Present key elements of study design early in the paper. <a href="#">Page 6, lines 14-22.</a>
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection. <a href="#">Page 5 line 31-34, Page 6 lines 1-22.</a>
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. <a href="#">Page 6, lines 15-22.</a>
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable. <a href="#">Page 6, lines 25-34. Page 7, lines 1-28. Page 8, lines 1-21</a>
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group. <a href="#">Page 6, lines 15-22. Page 7, lines 1-28. Page 8, lines 1-20.</a>
Bias	9	Describe any efforts to address potential sources of bias. <a href="#">Page 8, lines 15-30. Page 9, lines 1-16.</a>
Study size	10	Explain how the study size was arrived at. <i>N/A. Described for primary data collector, <a href="#">Page 6, 15-22</a></i>
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why. <a href="#">Page 6, lines 26-34, Page 7, lines 1-28. Page 8, lines 1-21. Page 8. Page 9, 1-16.</a>
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding. <a href="#">Page 8, lines 24-34. Page 9, lines 1-2.</a> (b) Describe any methods used to examine subgroups and interactions. <a href="#">Page 8, lines 24-34. Page 9, lines 4-5.</a> (c) Explain how missing data were addressed, <a href="#">Page 9, lines 11-15</a> (d) If applicable, describe analytical methods taking account of sampling strategy. <a href="#">Page 9, 11-12.</a> (e) Describe any sensitivity analyses, <a href="#">Subgroup analysis- Page 9, line 3-5.</a>
<b>Results</b>		
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed. <a href="#">Page 10, table 2, lines 5-18, Pages 11, 12, 13, 14 table 4. Page 20 table 5</a> (b) Give reasons for non-participation at each stage. <a href="#">Page 9, line 10-16. Page 20 table 5</a> (c) Consider use of a flow diagram- <i>N/A</i>
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and

		information on exposures and potential confounders. <a href="#">Page 9-14, table 2 and table 4</a>
		(b) Indicate number of participants with missing data for each variable of interest. <a href="#">Page 20, table 5, Page 9, lines 10-15.</a>
Outcome data	15*	Report numbers of outcome events or summary measures. <a href="#">Page 6, lines 26-34. Page 7, lines 1-18. Page 20 table 5.</a>
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included. <a href="#">Page 17-19, table 4. Page 20, table 5. Page 20, lines 1-14. Page 21, lines 4-5.</a> (b) Report category boundaries when continuous variables were categorized. <a href="#">N/A</a> (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period. <a href="#">Attributable risk fraction (difference between absolute risks in two groups) Page 9, lines 20-24 and Figure 6.</a>
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses. <a href="#">Page 9, lines 4-5. Subgroup analysis- Page 20 table 5</a>
<b>Discussion</b>		
Key results	18	Summarise key results with reference to study objectives. <a href="#">Page 21, lines 19-33. Page 22, lines 1-19. Page 23, lines 1-22.</a>
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias. <a href="#">Page 25, lines 1-21.</a>
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence. <a href="#">Discussion section, pages 21-25</a>
Generalisability	21	Discuss the generalisability (external validity) of the study results. <a href="#">Page 26, lines 4-5</a>
<b>Other information</b>		
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based. <a href="#">Page 27, line 14</a>

\*Give information separately for exposed and unexposed groups.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

# BMJ Open

**Determinants of continued maternal care-seeking during pregnancy, birth and postnatal and associated neonatal survival outcomes in Kenya and Uganda: analysis of cross-sectional, demographic and health survey data.**

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<b>Primary Subject Heading</b>:	Global health
Secondary Subject Heading:	Reproductive medicine
Keywords:	EPIDEMIOLOGY, PUBLIC HEALTH, Community child health < PAEDIATRICS

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3 1 **Determinants of continued maternal care-seeking during pregnancy, birth and**  
4 2 **postnatal and associated neonatal survival outcomes in Kenya and Uganda: analysis of**  
5 3 **cross-sectional, demographic and health survey data.**  
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7 4  
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## 1 **Abstract**

2 **Objectives:** To examine how maternal and sociodemographic factors determine continued  
3 care-seeking behaviour from pregnancy to postnatal period in Kenya and Uganda and to  
4 determine associated neonatal survival outcomes.

5 **Design:** A population-based analysis of cross-sectional data using multinomial and binary  
6 logistic regressions.

7 **Setting:** Countrywide, Kenya, and Uganda.

8 **Participants:** Most recent live births of 24,502 mothers within 1-59 months prior to the  
9 2014-2016 demographic and health surveys.

10 **Outcomes:** Care-seeking continuum and neonatal mortality.

11 **Results:** Overall, 57% of the mothers had 4 or more antenatal care contacts, of which 73%  
12 and 41% had facility births and postnatal care, respectively. Maternal/paternal education  
13 versus no education were associated with continued care-seeking in majority of care-seeking  
14 classes; relative risk ratios, RRR ranged from 2.1–8.0 (95% confidence intervals [95% CI]  
15 1.1–16.3). Similarly, exposure to mass media was generally associated with continued care-  
16 seeking, RRRs ranged from 1.8– 3.2 (95% CI 1.2–5.4). Care-seeking tendency reduced if a  
17 husband made major maternal care-seeking decisions. Transportation problems and living in  
18 rural versus urban were largely associated with lower continued care utilization, RRR ranged  
19 from 0.4 – 0.7 (95% CI 0.3–0.9). The two *lowest* care-seeking categories with no ANC and  
20 no PNC indicated the highest odds for neonatal mortality, aOR 4.2 (95% CI<sub>range</sub> 1.6-10.9).  
21 23% neonatal deaths were attributable to inadequate maternal care attendance.

22 **Conclusion:** Strategies such as mobile health specifically for promoting continued maternal  
23 care utilization up to postnatal could be integrated in the existing structures. Another strategy  
24 would be to develop and employ a brief standard questionnaire to determine a mother's  
25 continued care-seeking level during the first ANC visit and use the information to close the  
26 care-seeking gaps. Strengthening the community health workers system to be integral part of  
27 promoting continued care-seeking could enhance care-seeking as a stand-alone strategy or as  
28 a component of suggested strategies above.

29  
30 **Keywords:** Continued care-seeking behaviour, neonatal mortality.

### Strengths and limitations of this study

- The nationally representativeness of the data and the large sample size of the study allowed for valid stratified analysis with implications for national policy developments to improve neonatal survival outcomes for countries in sub-Saharan Africa region.
- Recall bias may not be completely eliminated from the study since the data was collected retrospectively through interviews.
- However, by selecting the most recent births and owing to the fact that childbirth is a special event not easily forgettable, the study findings reflect the reality with considerable validity.
- The study was based on maternal attendance to care and not the actual obstetric services received thus aspects related to lack of drugs, inadequate facilities or quality of care were not captured in our study.

## 1 Introduction

2 In 2019, close to 7000 newborns worldwide died within their first 28 days of life (neonatal  
3 period), as per the United Nations Inter-Agency Group for Child Mortality Estimation [1].  
4 Roughly three-quarters of these deaths occurred during childbirth and the first week of  
5 neonatal period [1, 2] and the major causes included infections such as sepsis and pneumonia,  
6 birth complications and prematurity-related problems such as asphyxia and low birthweight  
7 [3]. Comprehensive antenatal care (ANC), skilled birth attendance and postnatal (afterbirth)  
8 care (PNC) have long been recognized as key strategies that profoundly contribute to  
9 newborn survival [4, 5]. In 2015, 64% of women globally had 4 or more ANC contacts [6]  
10 and prevalence of health facility births was 80% in 2019 [7]. In high income countries such  
11 as in Sweden where neonatal death rate is among the lowest globally (1.4 deaths per 1000  
12 live births, in 2019), almost all mothers obtain comprehensive ANC, facility births and PNC  
13 services [8]. However, in sub-Saharan African (SSA) and southeast Asian countries where  
14 over 70 percent of all neonatal deaths occur [9], utilization of the components of care is  
15 relatively low and vary substantially [10-12].

16  
17 Accordingly, since 2005, the World Health Organization (WHO) has been advocating for the  
18 implementation of continuum of care strategy [13], a concept that promotes continual access  
19 to care from pre-pregnancy to the first few weeks of after childbirth [13,14]. While several  
20 SSA countries including Kenya and Uganda report over 80% coverage of at-least-one ANC  
21 contact with skilled provider [15,16], late initiation of ANC visits, lower health facility births  
22 and very low PNC utilization still pose enormous challenges. A Lancet study reported that  
23 prevalence of early initiation of ANC contact (<14 weeks gestation) was only 24% in SSA,  
24 much lower compared to 85% in high income countries [17]. The challenge in a number of  
25 SSA countries, however, is that despite the removal of user fees for all maternal and child  
26 health service in many countries, a number of sociodemographic factors and maternal  
27 characteristics still remain critical determinants of care utilization that hinder or motivate  
28 choices and preferences in maternal care-seeking [18].

29  
30 Andersen and Newman behavioral model of utilization of health care services has widely  
31 been used to identify factors that influence care-seeking behavior [19]. The model outlines  
32 three main factors that interact to predict utilization of care and they included societal,  
33 individual and health system determinants [19]. See diagrammatic details in [Supplementary](#)  
34 [file 1](#). The model has been employed by studies to examine utilization of the different

1 components of maternal and newborn care such as ANC [20, 21], childbirth [22] or PNC  
2 [23]. However, very few studies in SSA have assessed how factors in the Andersen and  
3 Newman model modify care-seeking behavior along the continuum of care from pregnancy  
4 to postnatal period, and even much fewer within the context of free maternity policy.

5  
6 A recent community-based study in Ethiopia showed that women with higher education,  
7 married women, and those with autonomy in health care decision were likely to complete  
8 continuum of care [24]. Whereas the study provided critical findings, it considered only 1  
9 ANC visit and not the WHO or Ministry of Health (MoH) recommended number of contacts  
10 [24]. Another similar study by Oh et al. in 2013 in Gambia also found a number of factors  
11 associated with maternal care-seeking continuum and early ANC visits [25]. However, the  
12 study lacked PNC estimates for facilities deliveries [25]. Another sub-nationally study in  
13 Tanzania found, among other factors, knowledge or experience of pregnancy danger signs  
14 was associated with higher care-seeking [26]. A 2019 Cochrane review of several qualitative  
15 studies found that influence by others, illness-free pregnancy, financial dependence, and  
16 selective use of ANC as potential barriers to continual maternal care utilization [27]. The few  
17 existing studies on continuum of care-seeking in SSA are very informative but limited in one  
18 way or another, and none to our knowledge examined associated neonatal survival outcomes.

19  
20 Kenya and Uganda are among the 10 countries in SSA countries with most neonatal deaths  
21 [28] and despite relatively free or subsidized maternity policy in both countries and relatively  
22 higher gross domestic product (GDP) than some countries in the East Africa region such as  
23 Rwanda, neonatal mortality rates have declined much slower compared to Rwanda [29, 30].  
24 Thus, this study aims to examine how sociodemographic and maternal factors influence care-  
25 seeking behaviour in the care-seeking continuum from pregnancy, childbirth to postnatal  
26 period in Kenya and Uganda. A secondary aim was to estimate the impact of levels of  
27 continued maternal care-seeking on neonatal survival.

## 28 29 **Methods**

### 30 **Study settings**

31 Kenya and Uganda have closely comparable demographics and are in relatively similar state  
32 of maternal health care policy and pathway towards achieving universal coverage. The total  
33 population in Kenya and Uganda as of 2016-2019 was about 90 million [31, 32]. More than  
34 70 % of the populations live in the rural areas with agriculture as their main source of

1 livelihood [31, 33, 34]. The sex ratio is approximately 1:1 [31, 35] and general life  
2 expectancy at birth in 2016 was similar in both countries, for females it was 64 and 67 years  
3 in Uganda and Kenya, respectively [36]. Maternal mean age at first childbirth is 19–20 years.  
4 Neonatal mortality rates in both countries were about 22 deaths per 1000 live births in 2016  
5 [30]. Like a number of countries in SSA, Kenya and Uganda provide free maternal care  
6 services in primary level health facilities [37]. Although the goal of the free maternity  
7 programmes in Kenya and Uganda is to eliminate all maternity-related costs, however, due to  
8 inadequate or slow distribution of funding in some health facilities, certain hidden costs such  
9 as for ultrasound, access to hospital card and laboratory services among others are still  
10 incurred out-of-pocket [38–42]. Additionally, indirect expenses such as costs of transportation  
11 to the health facility are still challenges common among poor households [39]. Further, in  
12 Kenya, prior to June 2013 maternal services were partly free and partly subsidized [43].

13

#### 14 **Data source and study design**

15 We obtained the cross-sectional, population-representative, demographic and health survey  
16 (DHS) datasets for Kenya 2014 and Uganda 2016 after a formal request to the DHS  
17 secretariat. DHS collects sociodemographic, maternal and child health data across the whole  
18 country in a two-stage cluster sampling procedure. The DHS uses standard procedures and  
19 protocols that ensure complete anonymity of the respondents and adherence to international  
20 ethical standards for research. We utilized the data for the most recent live births, 1–59  
21 months prior to the surveys. More details on data collection procedure can be accessed from  
22 DHS methodology and manuals [44, 45].

23

#### 24 **Study variables**

##### 25 **Outcome variables**

26 *Care-seeking continuum* was the primary outcome variable. It constituted a combination of  
27 the number of ANC visits, health facility birth and at least one PNC contact within 28 days  
28 postpartum (after birth). Continuum of care-seeking was categorized into 15 classes based on  
29 relative adherence to basic (modified) WHO and MoH recommendations for care attendance  
30 from pregnancy to postnatal period prior to 2016, that is, before the current WHO  
31 recommendation of 8 ANC visits. Since data for both countries were collected prior to the  
32 new WHO 2016 ANC recommendations, we used previous Focused ANC recommendations.  
33 A mother with a combination of 4 or more visits, health facility birth (skilled birth) and at  
34 least 1 PNC contact was classified in the *highest* category of care-seeking and those with



1 least/no amount of care were categorized as *lowest* class. The intermediate categories were  
 2 classified on the basis of optimal and perceived descending-level of care-seeking behavior as  
 3 *higher, high, moderately high, slightly high, moderately low, moderately lower, very low, 7<sup>th</sup>*  
 4 *lowest, 6<sup>th</sup> lowest, 5<sup>th</sup> lowest, 4<sup>th</sup> lowest, 3<sup>rd</sup> lowest, 2<sup>nd</sup> lowest and lowest*, as shown in Table 1  
 5 below.

7 **Table 1:** Classification of continuum of care-seeking classes during antenatal period,  
 8 childbirth and within 28 days postnatal period in Kenya and Uganda.

	$\geq 4$ ANC visits	2-3 ANC visits	1 ANC visit	0 ANC visit
<b>Health facility births</b>				
PNC - Yes	Highest	Higher	7 <sup>th</sup> lowest	–
PNC - No	High	Moderate high	6 <sup>th</sup> lowest	3 <sup>rd</sup> lowest
<b>Birth outside of health facility</b>				
PNC - Yes	Slightly high	Moderately lower	5 <sup>th</sup> lowest	2 <sup>nd</sup> lowest
PNC - No	Moderately low	Very low	4 <sup>th</sup> lowest	Lowest

*ANC – Antenatal care, PNC – Postnatal care, 1<sup>st</sup> – first, 2<sup>nd</sup> – Second...*

11 The first component of classification was in accordance with the number of ANC visits a  
 12 mother had, the second level was on the basis of whether or not a mother delivered at the  
 13 health facility and the last part of continuum of care was whether or not a mother had PNC  
 14 visit within 28 days postpartum.

16 *Neonatal mortality* was a secondary outcome variable that was dichotomized into 'yes' (died)  
 17 and 'no' (lived) depending on whether the neonate lived or not. The predictor variables for  
 18 this outcome variable were the modified classes of care-seeking continuum discussed as the  
 19 primary outcomes above.

### 21 **Independent variables**

22 These constituted sociodemographic factors and maternal characteristics that were examined  
 23 across all care-seeking continuum categories of the primary outcome variable. They included  
 24 variables that the modified Andersen and Newman behavioral model for care utilization  
 25 identified as predictors of care-seeking behavior [19]. Further, the categorization of these  
 26 variables was also informed by a number of maternal and child health studies previously  
 27 conducted in SSA. They included *maternal age* which was initially grouped as 15-19, 20-24,  
 28 25-29, 30-34, 35-39, 40-44, 45-49 years old and we recategorized it into 15–24, 25–34 and

1 35–49 years years old while *place of residence* remained as *rural* and *urban* [46]. *Marital*  
2 *status* was dichotomized into single or married [47]. A mother having a *problem with longer*  
3 *distance/transportation to nearest health facility* was classified as ‘yes’ if it was a problem  
4 and ‘no’ if it was not [48]. *Desire to have a newborn child, whether or not mother was told*  
5 *about pregnancy complications* [49] and *having exposure to mass media* [50] were all  
6 categorized as ‘yes’ and ‘no’. The variable *who ultimately makes maternal care-seeking*  
7 *decisions* was categorized as respondent (woman) alone, husband alone or joint decision [51].  
8 *Education* was categorized as no education, primary education and secondary or higher [52].  
9 *Parity* (number of children ever born) was categorized as primiparous (for first time mothers)  
10 para 2-3 (for those with 2-3 children) and para 4+ [46]. *Wealth status* was classified into poor  
11 (poor/poorest), middle and rich(rich/richest) [52, 53]. The wealth status in DHS is indexed  
12 based on household cumulative living standards taking into account assets possessed, water  
13 and sanitation facilities. Place of residence was classified into rural and urban [53].

### 15 **Mapping the predictor – outcome relationship using directed acyclic graphs**

16 Prior to the analysis, the directed acyclic graphs (DAGs) by Textor and colleagues [54] were  
17 used to map the predictors of both care-seeking behaviors and neonatal mortality on the basis  
18 of existing peer-reviewed evidence and to identify any confounding bias in our models.  
19 [Supplementary file 2](#), Diagrams 1.a and b illustrate the process. For Diagram 1.b, the lower  
20 levels of care-seeking are represented by a lack of a care component(s) that is/are major non-  
21 causal risk factor for neonatal mortality.

### 23 **Data analysis**

24 We used cross-tabulations to examine the distribution of mothers across variables and  
25 variable categories in the different levels of care-seeking continuum. We also investigated  
26 correlations between ANC visits and proportions of health facility childbirths and PNC visits.  
27 Multinomial logistic regression models examined the associations between sociodemographic  
28 and maternal factors and continued care-seeking at different care-seeking classes/categories,  
29 with the *lowest* class as the reference group. The independent variables were mutually  
30 adjusted for each other.

31  
32 Binary logistic regression was used to determine the odds ratios (OR) for the associations  
33 between the various classes of care-seeking continuum and neonatal mortality. For plausible  
34 and valid analysis, 9 classes with satisfactory data were used in the overall mortality analysis

1 with the *highest* class as the reference group. Low birthweight babies and multiple gestations  
2 are strong independent risk factors for neonatal death, [55, 56], thus were excluded in the  
3 mortality analysis to obtain adjusted OR. The rest of the classes were not used owing to fewer  
4 numbers in certain neonatal mortality strata. Similarly, country-specific analysis resulted into  
5 elimination of more strata with fewer numbers. Further, the resulting significant adjusted  
6 odds ratios were used to estimate attributable risk fraction (AR) and population attributable  
7 risk fraction (PAR) for both countries combined. This was to determine proportion of  
8 neonatal deaths that would be prevented if mothers in a given lower level of care-seeking  
9 continuum had sought care at the *highest* class. We used Stata version 16 (College Station,  
10 TX: Stata Press) and Microsoft Excel for analysis and to generate graphical summaries of  
11 results. Sampling weights were applied, and we accounted for complex sampling design  
12 recommended by the DHS methodology guide. Missing data due to nonresponse were mostly  
13 negligible compared to the sub-population samples sizes and relatively randomly spread  
14 across the variable subgroups; they were nevertheless omitted in our analysis. For the  
15 variable “Knowledge about pregnancy, birth complications” where data was missing for  
16 Uganda, the analysis was only performed for Kenya where plausible.

### 18 **Estimating attributable neonatal mortality risk proportions associated with low levels of 19 care-seeking continuum.**

21 The attributable risk proportions (AR) and population attributable neonatal mortality risk  
22 proportion (PAR) were obtain by the formulas  $AR = [(OR-1)/OR] * 100$  and  $PAR = Pe * [(OR-1)/OR] * 100$  respectively, where OR is the statistically significant adjusted odds  
23 ratio associated with that care-seeking class and  $Pe$  is the proportion of the total mortalities in  
24 that given care-seeking class.

### 27 **Public and Patient Involvement**

28 No patients or public were directly involved in this study.

### 30 **Results**

31 Table 2 and Figure 1 indicate that over 95% of mothers had at least 1 ANC visit and about  
32 56% had 4 or more ANC contacts in Kenya and Uganda. Of those who had 4 or more ANC  
33 visits, 73% gave birth at a health facility and about 41% had newborn PNC check-up within  
34 28 days after birth as shown in Table 2.

**Table 2:** Distribution of mothers by continuum of care-seeking classes during antenatal period, childbirth and within 28 days postnatal in Kenya and Uganda, using demographic and health survey 2014-2016 data, N=24502.

	<b>≥ 4 ANC visits, n=13888</b>	<b>2-3 ANC visits, n=8744</b>	<b>1 ANC visit, N=775</b>	<b>0 ANC visit, N=1095</b>
<b>Health facility births</b>				
PNC - Yes	4961(35.7)	2355(26.9)	115	68(6.2)
PNC - No	5179(37.3)	2782(31.8)	213	106(9.6)
<b>Birth outside of health facility</b>				
PNC - Yes	752(5.4)	632(7.2)	63	121(11.1)
PNC - No	2996(21.6)	2975(34.0)	384	800(73.1)
<i>ANC – Antenatal care, PNC – Postnatal care,</i>				

The scatter plot in Figure 2 shows a positive correlation between number of antenatal care visits and both proportions of facility births and PNC visits. Further, Figure 3 shows that a single early ANC visit in the 1<sup>st</sup> or 2<sup>nd</sup> trimester increased the likelihood of health facility childbirth as opposed to late ANC visit in the 3<sup>rd</sup> trimester.

Table 3 shows the distribution of maternal and sociodemographic characteristics by care-seeking behaviour from pregnancy to postnatal period. Majority ( $\geq 46\%$ ) of the mothers were between 25–34 years of age in all care-seeking categories. Overall, about 71% of the mothers lived in a rural setting and 37 % of all women had problems with distance to the nearest health facility. Roughly 30 % and 57% of those who had highest and lowest care-seeking tendencies respectively, indicated distance could be a hindrance to care-seeking. Slightly over half of all the mothers had primary education. About 40% of highest care-seekers had secondary or higher education while 60% of lowest care-seekers had no formal education. Similar trends were observed among their husbands/partners (education).

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**Table 3.** Distribution of maternal and sociodemographic factors, by continuum of care-seeking classes combining antenatal care (ANC) visits, delivery and postnatal care (PNC) in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Variables	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visits, n=775				0 ANC visit, n=1027		
	Highest (facility birth & PNC). n (%)	High (facility birth, no PNC). n (%)	Slightly high (no facility birth, PNC). n (%)	Mod-low (no facility birth, no PNC). n (%)	Higher (facility birth, PNC). n (%)	Mod-high (facility birth, no PNC). n (%)	Mod-lower (no facility birth, PNC). n (%)	Very low (no facility birth, no PNC). n (%)	7 <sup>th</sup> lowest (facility birth, PNC) n (%)	6 <sup>th</sup> lowest (facility birth, no PNC) n (%)	5 <sup>th</sup> lowest (no facility birth, PNC) n (%)	4 <sup>th</sup> lowest (no facility birth, no PNC) n (%)	3 <sup>rd</sup> lowest (facility birth, no PNC) n (%)	2 <sup>nd</sup> lowest (no facility birth, PNC) n (%)	Lowest (no facility birth, PNC) n (%)
<b>Maternal age (Years)</b>															
15-24	1625 (32.8)	1680 (32.4)	213 (28.3)	815 (27.2)	832 (35.3)	1001 (36.0)	159 (25.2)	778 (26.2)	51 (44.4)	77 (36.2)	20 (31.8)	123 (32.0)	43 (40.6)	36 (29.7)	189 (23.6)
25-34	2406 (48.5)	2513 (48.5)	315 (46.6)	1410 (47.1)	1064 (45.2)	1240 (44.6)	303 (47.9)	1385 (46.6)	35 (30.4)	91 (42.7)	17 (27.0)	160 (41.7)	41 (38.7)	52 (43.0)	360 (45.0)
35-49	930 (18.7)	986 (19.1)	189 (25.1)	771 (25.7)	459 (19.5)	541 (19.4)	170 (26.9)	812 (27.3)	29 (25.2)	45 (21.1)	26 (41.2)	101 (26.3)	22 (20.7)	33 (27.3)	251 (31.4)
<b>Place of residence</b>															
Urban	1669 (33.6)	2096 (40.5)	164 (21.8)	483 (16.1)	677 (28.8)	956 (34.4)	122 (19.3)	409 (13.8)	30 (26.1)	79 (37.1)	14 (22.2)	52 (13.5)	33 (31.1)	18 (14.9)	85 (10.6)
Rural	3292 (66.4)	3083 (59.5)	588 (78.2)	2513 (83.9)	1678 (71.3)	1826 (65.6)	510 (80.7)	2566 (86.2)	85 (73.9)	134 (62.9)	49 (77.8)	332 (86.5)	73 (68.9)	103 (85.1)	715 (89.4)
<b>Distance to nearest health facility is a big problem</b>															
No	3490 (70.3)	1561 (66.5)	472 (62.8)	876 (52.3)	1580 (67.1)	810 (62.9)	379 (60.1)	862 (50.5)	74 (64.4)	60 (63.2)	36 (57.1)	97 (47.1)	34 (68)	62 (51.2)	148 (42.8)
Yes	1471 (29.7)	785 (33.5)	280 (37.2)	798 (47.7)	775 (32.9)	477 (37.1)	252 (39.9)	844 (49.5)	41 (35.6)	35 (36.8)	27 (42.9)	109 (52.9)	16 (32.0)	59 (48.8)	198 (57.2)
<b>Maternal education level</b>															
No education	435 (8.8)	389 (7.5)	167 (22.2)	811 (27.1)	241 (10.2)	271 (9.8)	140 (22.2)	726 (24.4)	15 (13.0)	42 (19.7)	18 (28.6)	139 (36.2)	20 (18.9)	63 (52.0)	486 (60.8)
Primary	2535 (51.1)	2721 (52.5)	486 (64.6)	1789 (59.7)	1369 (58.2)	1645 (59.1)	399 (63.1)	1960 (65.9)	73 (63.5)	124 (58.2)	37 (58.7)	218 (56.8)	57 (53.8)	52 (43.0)	280 (35.0)
<i>Mod- Moderately.</i>															

	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visits, n=775				0 ANC visit, n=1027		
<b>Variables</b>	<b>Highest</b> (facility birth & PNC). n (%)	<b>High</b> (facilit y birth, no PNC). n (%)	<b>Slightly</b> <b>high</b> (no facility birth, PNC). n (%)	<b>Mod- low</b> (no facility birth, no PNC). n (%)	<b>Higher</b> (facility birth, PNC). n (%)	<b>Mod- high</b> (facility birth, no PNC). n (%)	<b>Mod- lower</b> (no facility birth, PNC). n (%)	<b>Very</b> <b>low</b> (no facility birth, no PNC). n (%)	<b>7<sup>th</sup></b> <b>lowest</b> (facilit y birth, PNC) n (%)	<b>6<sup>th</sup></b> <b>lowest</b> (facility birth, no PNC) n (%)	<b>5<sup>th</sup></b> <b>lowest</b> (no facility birth, PNC) n (%)	<b>4<sup>th</sup></b> <b>lowest</b> (no facility birth, no PNC) n (%)	<b>3<sup>rd</sup></b> <b>lowest</b> (facilit y birth, no PNC) n (%)	<b>2<sup>nd</sup></b> <b>lowest</b> (no facility birth, PNC) n (%)	<b>Lowes</b> <b>t</b> (no facility birth, no PNC) n (%)
Secondary and higher	1991 (40.1)	2069 (40.0)	99 (13.2)	396 (13.2)	745 (31.6)	866 (31.1)	93 (14.7)	289 (9.7)	27 (23.5)	47 (22.1)	8 (12.7)	27 (7.0)	29 (27.3)	6 (5.0)	34 (4.3)
<b>Partner/husband education level</b>															
No education	255 (6.1)	128 (6.5)	118 (16.9)	276 (19.1)	142 (7.4)	68 (6.5)	98 (16.8)	259 (17.5)	7 (8.4)	17 (23.0)	13 (23.2)	39 (23.8)	4 (13.8)	52 (49.5)	173 (55.0)
Primary	1860 (44.6)	991 (50.3)	401 (57.5)	815 (56.5)	1011 (52.4)	590 (56.2)	348 (59.8)	938 (63.5)	46 (55.4)	39 (52.7)	32 (57.1)	96 (58.5)	19 (65.5)	43 (41.0)	109 (35.3)
Secondary & higher	2059 (49.3)	851 (43.2)	179 (25.6)	352 (24.4)	777 (40.2)	392 (37.3)	136 (23.4)	280 (19.0)	30 (36.1)	18 (24.3)	11 (19.6)	29 (17.7)	6 (20.7)	10 (9.5)	27 (8.7)
<b>Knowledge about pregnancy, birth complications (only Kenya)</b>															
No	537 (32.8)	319 (39.1)	348 (47.4)	286 (63.4)	341 (45.6)	250 (53.7)	349 (55.6)	351 (66.9)	29 (64.4)	23 (50.0)	44 (69.8)	63 (75.9)	–	–	–
Yes	1099 (67.2)	496 (60.9)	386 (52.6)	165 (36.6)	407 (54.4)	216 (46.3)	279 (44.4)	174 (33.1)	16 (35.6)	23 (50.0)	19 (30.2)	20 (24.1)	–	–	–
<b>Desire to have a child,</b>															
No	142 (8.7)	215 (9.2)	97 (12.9)	206 (12.3)	249 (10.6)	153 (11.9)	120 (19.0)	257 (15.1)	24 (20.9)	22 (23.2)	18 (28.6)	40 (19.4)	7 (14.0)	29 (24.0)	48 (13.9)
Yes	1496 (91.3)	2132 (90.8)	654 (87.1)	1469 (87.7)	2106 (89.4)	1133 (80.1)	512 (81.0)	1449 (84.9)	91 (79.1)	73 (76.8)	45 (71.4)	166 (80.6)	43 (86.0)	92 (76.0)	298 (86.1)
<b>Who ultimately makes care-seeking decisions</b>															
Respondent alone	1337 (32.7)	548 (28.6)	229 (36.6)	461 (32.2)	600 (31.9)	343 (33.0)	172 (33.0)	416 (28.9)	28 (34.2)	14 (19.4)	16 (36.4)	49 (30.2)	10 (35.7)	18 (22.2)	61 (20.7)
<i>Mod- Moderately.</i>															



	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visits, n=775				0 ANC visit, n=1027		
<b>Variables</b>	<b>Highest</b> <i>(facility birth &amp; PNC). n (%)</i>	<b>High</b> <i>(facility birth, no PNC). n (%)</i>	<b>Slightly high</b> <i>(no facility birth, PNC). n (%)</i>	<b>Mod-low</b> <i>(no facility birth, no PNC). n (%)</i>	<b>Higher</b> <i>(facility birth, PNC). n (%)</i>	<b>Mod-high</b> <i>(facility birth, no PNC). n (%)</i>	<b>Mod-lower</b> <i>(no facility birth, PNC). n (%)</i>	<b>Very low</b> <i>(no facility birth, no PNC). n (%)</i>	<b>7<sup>th</sup> lowest</b> <i>(facility birth, PNC) n (%)</i>	<b>6<sup>th</sup> lowest</b> <i>(facility birth, no PNC) n (%)</i>	<b>5<sup>th</sup> lowest</b> <i>(no facility birth, PNC) n (%)</i>	<b>4<sup>th</sup> lowest</b> <i>(no facility birth, no PNC) n (%)</i>	<b>3<sup>rd</sup> lowest</b> <i>(facility birth, no PNC) n (%)</i>	<b>2<sup>nd</sup> lowest</b> <i>(no facility birth, PNC) n (%)</i>	<b>Lowest</b> <i>(no facility birth, no PNC) n (%)</i>
Both	1776 (43.5)	834 (43.4)	236 (37.8)	600 (41.9)	834 (44.3)	437 (42.1)	186 (35.7)	612 (42.4)	32 (39.0)	36 (50.0)	13 (29.6)	56 (34.6)	8 (28.6)	31 (38.3)	134 (45.6)
Husband alone	973 (23.8)	537 (28.0)	160 (25.0)	370 (25.9)	448 (23.8)	258 (24.9)	163 (31.3)	414 (28.7)	22 (26.8)	22 (30.6)	15 (34.1)	57 (35.2)	10 (35.7)	32 (39.5)	99 (33.7)
<b>Parity</b>															
Primiparous	1293 (26.1)	1388 (26.8)	96 (12.8)	324 (10.8)	555 (23.6)	715 (25.7)	68 (10.8)	295 (9.9)	32 (27.8)	53 (24.9)	10 (15.9)	53 (13.8)	28 (26.4)	13 (10.7)	98 (12.3)
Para 2-3	1877 (37.8)	2113 (40.8)	287 (38.2)	988 (33.0)	855 (36.3)	1061 (38.1)	219 (34.7)	906 (30.5)	28 (24.4)	72 (33.8)	16 (25.4)	108 (28.1)	33 (31.1)	36 (29.8)	200 (25.0)
Para 4+	1791 (36.1)	1678 (32.4)	369 (49.0)	1684 (56.2)	945 (40.1)	1006 (36.2)	345 (54.6)	1774 (59.6)	55 (47.8)	88 (41.3)	37 (58.7)	223 (58.1)	45 (42.5)	72 (59.5)	502 (62.7)
<b>Wealth status</b>															
Poor	1785 (36.0)	1739 (33.6)	497 (66.1)	1953 (65.2)	994 (42.2)	1181 (42.5)	446 (70.6)	2108 (70.9)	52 (45.2)	106 (49.8)	50 (47.2)	285 (74.2)	43 (68.3)	103 (85.1)	684 (85.5)
Middle	854 (17.2)	1031 (19.9)	132 (17.6)	556 (18.5)	457 (19.4)	565 (20.3)	127 (20.1)	498 (16.7)	24 (20.9)	44 (20.7)	20 (18.9)	60 (15.6)	10 (15.9)	15 (12.4)	51 (6.4)
Rich	2322 (46.8)	2409 (46.5)	123 (16.4)	487 (16.3)	904 (38.4)	1036 (37.2)	59 (9.3)	369 (12.4)	39 (33.9)	63 (29.6)	36 (34.9)	39 (10.29)	10 (15.9)	3 (2.5)	65 (8.1)
<b>Marital status</b>															
Single	859 (17.3)	880 (17.0)	123 (16.4)	435 (14.5)	464 (19.7)	403 (20.6)	109 (17.3)	458 (15.4)	33 (28.7)	55 (25.8)	19 (30.2)	90 (23.4)	38 (35.9)	39 (32.2)	123 (15.4)
Married	4102 (82.7)	4299 (83.0)	629 (83.6)	2561 (85.5)	1891 (80.3)	1558 (79.5)	523 (82.7)	2517 (84.6)	82 (72.3)	158 (74.2)	44 (69.8)	294 (76.6)	68 (64.1)	82 (67.8)	677 (84.6)
<b>Mass media exposure</b>															
<i>Mod- Moderately.</i>															

	4 or more ANC visits, n=13888				2-3 ANC visits, n=8744				1 ANC visits, n=775				0 ANC visit, n=1027		
<b>Variables</b>	<b>Highest</b> <i>(facility birth &amp; PNC). n (%)</i>	<b>High</b> <i>(facility birth, no PNC). n (%)</i>	<b>Slightly high</b> <i>(no facility birth, PNC). n (%)</i>	<b>Mod-low</b> <i>(no facility birth, no PNC). n (%)</i>	<b>Higher</b> <i>(facility birth, PNC). n (%)</i>	<b>Mod-high</b> <i>(facility birth, no PNC). n (%)</i>	<b>Mod-lower</b> <i>(no facility birth, PNC). n (%)</i>	<b>Very low</b> <i>(no facility birth, no PNC). n (%)</i>	<b>7<sup>th</sup> lowest</b> <i>(facility birth, PNC). n (%)</i>	<b>6<sup>th</sup> lowest</b> <i>(facility birth, no PNC). n (%)</i>	<b>5<sup>th</sup> lowest</b> <i>(no facility birth, PNC). n (%)</i>	<b>4<sup>th</sup> lowest</b> <i>(no facility birth, no PNC). n (%)</i>	<b>3<sup>rd</sup> lowest</b> <i>(facility birth, no PNC). n (%)</i>	<b>2<sup>nd</sup> lowest</b> <i>(no facility birth, PNC). n (%)</i>	<b>Lowest</b> <i>(no facility birth, no PNC). n (%)</i>
No	804 (16.2)	484 (20.6)	733 (14.1)	521 (18.7)	204 (27.1)	993 (33.2)	176 (27.9)	1105 (37.1)	26 (22.6)	56 (26.3)	17 (27.0)	170 (44.3)	27 (25.5)	61 (50.4)	508 (36.6)
Yes	4157 (83.8)	1871 (79.4)	4446 (85.9)	2261 (81.3)	548 (72.9)	2001 (66.8)	456 (72.1)	1870 (62.9)	89 (77.4)	157 (72.7)	46 (73.0)	214 (55.7)	79 (74.5)	60 (49.6)	291 (36.4)
<i>Mod- Moderately</i>															

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3 1 Table 4 shows the results of the multinomial regressions for the associations between  
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5 2 independent factors and different classes of care-seeking continuum from pregnancy to  
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7 3 childbirth and 28 days postnatal, *lowest* class being reference category. Maternal primary or  
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9 4 higher education levels compared to no formal education, were significantly associated with  
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11 5 higher care-seeking behaviour in almost all care-seeking categories except among those who  
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13 6 had 1 ANC visit/facility birth/no PNC (*6<sup>th</sup> lowest*) or less; relative risk ratios RRRs ranged  
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15 7 from 2.1– 8.0, (95% confidence intervals [95% CI] 1.1–16.3). Similarly, trends were  
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17 8 observed among those with husbands having primary education and above; RRRs ranged  
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19 9 from 2.1– 6.4 (95% CI 1.3–10.6). Generally, the higher the level of education, the higher the  
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21 10 care-seeking tendency. Exposure to mass media (radio/television) was generally associated  
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23 11 with higher care-seeking tendency; RRRs ranged from 1.8– 3.2 (95% CI 1.2–5.4). There was  
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25 12 minimal indication that desire to have a child improves care-seeking, although high RRR to  
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27 13 seek care were observed among those who had 2 or more ANC visits, but findings were not  
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29 14 statistically significant except in the *high* category.

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31 16 Problem with distance to the health facility (versus no problem) was largely a demotivating  
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33 17 factor to care-seeking. In 6 care-seeking categories, the RRRs ranged from 0.6 – 0.7 (95% CI  
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35 18 0.5–0.9), whereas in the remaining categories, *very low* to *lowest*, the association was  
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37 19 marginally not statistically significant; RRRs ranged from 0.6 – 1.1 (95% CI 0.3–1.4). Higher  
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39 20 parity versus primiparous was not associated with care-seeking except in a few care-seeking  
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41 21 categories among those who had 2–3 ANC visits. Generally, being told about pregnancy and  
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43 22 birth complications significantly increased the tendency to seek care in Kenya.

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45 24 Older maternal age compared to young age was generally not significantly associated with  
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47 25 care-seeking at all levels of care-seeking continuum, RRRs ranged from 0.4 – 0.9 (95% CI  
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49 26 0.3–1.7), except marginally significant in *moderately high* and *7<sup>th</sup> lowest* classes. Living in a  
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51 27 rural area versus urban was significantly associated with lower care-seeking tendency in 9  
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53 28 categories. The remaining care-seeking categories indicated lower tendency but not  
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55 29 significant results. Care-seeking was also notably hindered when the husband/partner rather  
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57 30 than the woman made major decisions for maternal care-seeking in about 9 care-seeking  
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59 31 categories. Being married showed variably and inconsistent associations with care-seeking, in  
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32 most care-seeking classes, there was no significant association with care-seeking when  
33 compared to single mothers. Compared to the poor, the middle wealth status only showed  
34 significant higher care-seeking tendency in the first 4 higher care-seeking classes and 2 other

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3 1 random classes, the rest were not statistically significant. Additionally, being rich indicated  
4 almost no significant association with care-seeking. Figure 4 summarizes in a forest plot,  
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6 3 selected (extremes) results from table 4.  
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1 **Table 4:** Multinomial logistic regression showing relative risk ratios (RRR) for the associations between maternal and socio-demographic  
2 factors and maternal continuum of care-seeking behaviour in Kenya and Uganda, using demographic and health survey 2014-2016 data.

Variables	Highest (≥4 ANC visits, facility birth & PNC).	Higher (2-3 ANC visits, facility birth, PNC).	High (≥4 ANC visits, facility birth, no PNC).	Mod-high (2-3 ANC visits, facility birth, no PNC).	Slightly high (≥4 ANC visits, no facility birth, PNC).	Mod-low (≥4 ANC visits, no facility birth, no PNC).	Mod-lower (2-3 ANC visits, no facility birth, PNC).	Very low (2-3 ANC visits, no facility birth, no PNC).	7 <sup>th</sup> lowest (1 ANC visit, facility birth, PNC)	6 <sup>th</sup> lowest (1 ANC visit, facility birth, no PNC)	5 <sup>th</sup> lowest (1 ANC visit, no facility birth, PNC)	4 <sup>th</sup> lowest (1 ANC visit, no facility birth, no PNC)	3 <sup>rd</sup> lowest (No ANC visit, facility birth, no PNC)	2 <sup>nd</sup> lowest (No ANC visits, no facility birth, PNC)
Versus lowest (no ANC, no facility birth, no PNC) care-seeking level, 95% Confidence Interval (95%CI)														
<b>Maternal education level</b>														
No education	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Primary	3.2 (2.3-4.4)	3.2 (2.2,4.6)	3.4 (2.4-4.9)	2.7 (1.9-4.0)	2.1 (1.5-3.1)	2.3 (1.6-3.2)	2.1 (1.5-3.2)	2.8 (2.0-4.0)	2.6 (1.2-5.7)	1.5 (0.7-3.0)	1.7 (0.8-3.8)	1.7 (1.0-2.8)	2.6 (0.8-9.0)	0.9 (0.5-1.6)
Secondary & higher	8.0 (4.0-16.3)	6.9 (3.3-14.1)	6.9 (3.4,14.2)	4.9 (2.3-10.2)	2.5 (1.2-5.4)	3.1 (1.5-6.4)	3.9 (1.8-8.4)	2.9 (1.7-4.9)	3.4 (1.1-10.7)	1.7 (0.5-5.4)	2.1 (0.6-8.2)	1.5 (0.5-4.1)	4.3 (0.8,23.0)	0.6 (0.1-2.9)
<b>Partner/husband education level</b>														
No education	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Primary	3.3 (2.4-4.7)	3.4 (2.4-5.0)	3.6 (2.5-5.3)	4.7 (3.1-7.1)	2.6 (1.8-3.9)	2.1 (1.5-3.1)	2.7 (1.8-4.0)	2.7 (1.9-3.8)	3.4 (1.3-8.7)	1.9 (0.9-4.1)	1.9 (0.8-4.4)	2.5 (1.5-4.4)	-	1.2 (0.7-2.2)
Secondary & higher	6.4 (3.8-10.6)	5.5 (3.2-9.2)	6.2 (3.7-10.6)	7.2 (4.1-12.7)	3.7 (2.1-6.5)	3.0 (1.8-5.1)	3.4 (1.9-6.0)	2.9 (1.7-4.9)	6.0 (2.1-17.4)	2.3 (0.9-6.1)	2.1 (0.7-6.3)	3.3 (1.5-6.9)	-	1.2 (0.5-3.0)
<b>Distance to nearest health facility is a big problem</b>														
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Yes	0.6 (0.5-0.8)	0.6 (0.5-0.8)	0.7 (0.5-0.9)	0.7 (0.5-0.9)	0.6 (0.5-0.8)	0.8 (0.6-1.0)	0.7 (0.5-0.9)	0.8 (0.6-1.1)	0.7 (0.4-1.2)	0.6 (0.3-1.0)	0.7 (0.4-1.3)	1.1 (0.7-1.6)	0.6 (0.3-1.4)	0.8 (0.5-1.3)
<b>Desire to have a child,</b>														
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
<i>Mod- Moderately. Predictor variables mutually adjusted for each other.</i>														

Variables	Highest (≥4 ANC visits, facility birth & PNC).	Higher (2-3 ANC visits, facility birth, PNC).	High (≥4 ANC visits, facility birth, no PNC).	Mod- high (2-3 ANC visits, facility birth, no PNC).	Slightly high (≥4 ANC visits, no facility birth, PNC).	Mod- low (≥4 ANC visits, no facility birth, no PNC).	Mod- lower (2-3 ANC visits, no facility birth, PNC).	Very low (2-3 ANC visits, no facility birth, no PNC).	7 <sup>th</sup> lowest (1 ANC visit, facility birth, PNC)	6 <sup>th</sup> lowest (1 ANC visit, facility birth, no PNC)	5 <sup>th</sup> lowest (1 ANC visit, no facility birth, PNC)	4 <sup>th</sup> lowest (1 ANC visit, no facility birth, no PNC)	3 <sup>rd</sup> lowest (No ANC visit, facility birth, no PNC)	2 <sup>nd</sup> lowest (No ANC visits, no facility birth, PNC)
Versus lowest (no ANC, no facility birth, no PNC) care-seeking level, 95% Confidence Interval (95%CI)														
Yes	1.5 (1.0-2.2)	1.3 (0.9-2.0)	1.6 (1.1-2.4)	1.2 (0.8-1.8)	1.3 (0.8-2.0)	1.4 (1.0-2.2)	0.9 (0.5-1.3)	1.3 (0.9-2.0)	0.7 (0.3-1.4)	0.8 (0.4-1.7)	0.5 (0.2-1.2)	1.0 (0.6-1.8)	0.5 (0.2-1.7)	0.4 (0.2-0.7)
<b>Mass media exposure</b>														
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Yes	3.2 (2.4-4.2)	2.7 (2.0-3.6)	2.7 (2.0-3.6)	2.7 (2.0-3.7)	3.0 (2.2-4.1)	2.1 (1.6-2.8)	3.0 (2.2-4.2)	1.8 (1.4-2.4)	2.9 (1.6-5.4)	1.6 (0.9-3.0)	3.2 (1.6-6.3)	1.5 (1.0-2.4)	2.0 (0.8-4.8)	2.0 (1.2-3.2)
<b>Told about pregnancy, birth complications (only Kenya) Versus very low care-seeking level</b>														
No	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	-	-	-	-	-	-	-
Yes	2.6 (2.0-3.2)	1.7 (1.3-2.2)	2.1 (1.7-2.8)	1.1 (1.0-1.7)	2.0 (1.6-2.5)	1.2 (0.9-1.6)	1.5 (1.2-1.9)	-	-	-	-	-	-	-
<b>Who ultimately makes care-seeking decisions</b>														
Respond-ent alone	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Both	0.5 (0.4-0.8)	0.6 (0.4-0.8)	0.6 (0.4-0.9)	0.5 (0.4-0.8)	0.5 (0.3-0.7)	0.5 (0.4-0.8)	0.5 (0.3-0.7)	0.7 (0.5-0.9)	0.5 (0.3-0.9)	1.3 (0.6-2.5)	0.4 (0.2-0.9)	0.5 (0.3-0.9)	0.4 (0.1-1.0)	0.9 (0.5-1.7)
Husband alone	0.5 (0.4-0.7)	0.5 (0.4-0.7)	0.7 (0.5-0.9)	0.5 (0.3-0.7)	0.5 (0.3-0.7)	0.5 (0.3-0.7)	0.7 (0.4-1.0)	0.7 (0.5-0.9)	0.5 (0.3-1.0)	1.1 (0.5-2.5)	0.6 (0.3-1.4)	0.7 (0.4-1.2)	0.7 (0.3-1.8)	1.1 (0.6-2.2)
<b>Maternal age (Years)</b>														
15-24	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
25-34	0.9 (0.6-1.3)	0.7 (0.5-1.1)	0.6 (0.4-1.0)	0.6 (0.4-0.9)	0.9 (0.5-1.3)	0.6 (0.4-0.9)	0.9 (0.6-1.5)	0.6 (0.4-1.0)	0.4 (0.2-0.8)	0.8 (0.3-1.7)	0.4 (0.2-1.2)	0.5 (0.3-0.9)	0.5 (0.2-1.3)	0.5 (0.1-1.0)
35-49	0.8 (0.5-1.3)	0.6 (0.4-1.1)	0.6 (0.4-1.0)	0.5 (0.3-0.8)	0.9 (0.5-1.5)	0.5 (0.3-0.8)	0.8 (0.5-1.5)	0.6 (0.4-1.1)	0.4 (0.1-0.9)	0.9 (0.3-2.4)	0.8 (0.3-2.3)	0.6 (0.3-1.2)	0.3 (0.1-1.4)	0.3 (0.1-0.7)
<b>Place of residence</b>														
<i>Mod- Moderately. Predictor variables mutually adjusted for each other</i>														

<b>Variables</b>	<b>Highest</b> (≥4 ANC visits, facility birth & PNC).	<b>Higher</b> (2-3 ANC visits, facility birth, PNC).	<b>High</b> (≥4 ANC visits, facility birth, no PNC).	<b>Mod- high</b> (2-3 ANC visits, facility birth, no PNC).	<b>Slightly high</b> (≥4 ANC visits, no facility birth, PNC).	<b>Mod- low</b> (≥4 ANC visits, no facility birth, no PNC).	<b>Mod- lower</b> (2-3 ANC visits, no facility birth, PNC).	<b>Very low</b> (2-3 ANC visits, no facility birth, no PNC).	<b>7<sup>th</sup> lowest</b> (1 ANC visit, facility birth, PNC)	<b>6<sup>th</sup> lowest</b> (1 ANC visit, facility birth, no PNC)	<b>5<sup>th</sup> lowest</b> (1 ANC visit, no facility birth, PNC)	<b>4<sup>th</sup> lowest</b> (1 ANC visit, no facility birth, no PNC)	<b>3<sup>rd</sup> lowest</b> (No ANC visit, facility birth, no PNC)	<b>2<sup>nd</sup> lowest</b> (No ANC visits, no facility birth, PNC)
<i>Versus lowest (no ANC, no facility birth, no PNC) care-seeking level, 95% Confidence Interval (95%CI)</i>														
Urban	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Rural	0.4 (0.2-0.6)	0.4 (0.2-0.6)	0.3 (0.2-0.5)	0.4 (0.3-0.7)	0.4 (0.2-0.6)	0.7 (0.4-1.1)	0.4 (0.2-0.6)	0.7 (0.4-1.1)	0.3 (0.2-0.7)	0.2 (0.1-0.4)	0.4 (0.2-1.0)	0.6 (0.3-1.2)	0.5 (0.2-1.5)	0.4 (0.2-0.8)
<b>Marital status</b>														
Single	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Married	1.9 (1.1-3.3)	1.7 (1.0-3.0)	2.0 (1.2-3.5)	2.0 (1.1-3.7)	0.6 (0.3-1.0)	2.4 (1.3-4.3)	0.5 (0.3-1.0)	1.6 (0.9-2.8)	1.5 (0.5-4.5)	1.9 (0.6-6.9)	0.3 (0.1-0.6)	1.9 (0.7-5.3)	1.0 (0.2-4.5)	0.2 (0.1-0.5)
<b>Wealth status</b>														
Poor	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Middle	1.8 (1.1-3.0)	2.0 (1.2-3.3)	2.2 (1.3-3.6)	1.9 (1.1-3.2)	1.3 (0.8-2.2)	1.9 (1.2-3.2)	1.3 (0.8-2.2)	1.5 (0.9-2.6)	2.7 (1.3-5.6)	2.6 (1.2-5.9)	1.4 (0.6-3.3)	1.8 (0.9-3.4)	1.7 (0.5-5.4)	0.9 (0.4-2.1)
Rich	1.3 (0.8-2.0)	1.2 (0.7-1.8)	1.2 (0.8-1.9)	1.1 (0.7-1.8)	0.4 (0.2-0.7)	0.8 (0.5-1.3)	0.2 (0.1-0.3)	0.6 (0.4-0.9)	1.1 (1.5-2.4)	1.3 (0.6-2.9)	0.3 (0.1-1.1)	0.4 (0.2-0.9)	1.2 (0.4-3.6)	0.1 (0.0-0.4)
<b>Parity</b>														
Primiparous	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.	Ref.
Para 2-3	0.9 (0.6-1.5)	1.1 (0.6-1.8)	1.1 (0.6-1.7)	1.1 (0.6-1.8)	1.6 (0.9-2.8)	1.7 (1.0-2.9)	2.0 (1.1-3.6)	1.9 (1.1-3.2)	1.1 (0.5-2.7)	0.9 (0.3-2.3)	0.9 (0.3-2.6)	1.5 (0.7-3.3)	1.9 (0.5-6.8)	2.7 (1.0-7.6)
Para 4+	0.7 (0.4-1.2)	0.9 (0.5-1.6)	0.9 (0.5-1.6)	1.1 (0.6-1.9)	2.2 (0.6-2.2)	2.3 (1.3-4.0)	1.6 (0.8-3.1)	2.5 (1.4-4.5)	1.9 (0.7-5.2)	0.9 (0.3-2.7)	0.9 (0.3-3.1)	2.1 (0.9-5.1)	1.0 (0.2-4.9)	2.8 (0.9-8.4)
<i>Mod- Moderately. Predictor variables mutually adjusted for each other</i>														



1 Table 5 presents the odds ratios for the associations between continued care-seeking  
 2 categories and neonatal mortality, with the *highest* category as the reference class. Figure 5  
 3 shows a forest plot of adjusted odds ratios (aOR) for overall results in Table 5. Overall, *3rd*  
 4 *lowest* and *lowest* categories were associated with about 4-folds odds of neonatal mortality,  
 5 aOR 4.2, (95% CI<sub>range</sub> 1.6–10.9). For joint Kenya and Uganda, *moderately high* and *very low*  
 6 levels of care-seeking also showed significant higher odds of neonatal death; aOR ranged 1.9  
 7 – 2.4 for the two classes. However, the remaining two categories (*4<sup>th</sup> lowest* and *moderately*  
 8 *low*) did not indicate any statistically significant association with mortality. For Kenya only,  
 9 *lowest*, *very low*, *moderately low*, *moderately high*, and *high* versus *highest* were all  
 10 significantly associated with neonatal deaths and neonates in the *lowest* class were 6 times  
 11 likely to die. For Uganda, only *very low* category was significantly associated with neonatal  
 12 death, aOR 1.7 (95% CI 1.1-2.7) and *lowest* class showed higher odds but was marginally not  
 13 significant aOR 2.5 (95% CI 1.0-6.0). We observe that the proportion of Ugandan mothers  
 14 seeking continued care at highest level were more than twice (33.8%) that of Kenya (13.4%)  
 15 **Table 5:** Crude and adjusted odds ratios for the association between classes of care-seeking  
 16 behavior in continuum of care and neonatal mortality in Kenya and Uganda, using  
 17 demographic and health survey 2014-2016 data.

Classes of care-seeking behaviour	Overall Crude odds ratio (95% CI) n=22538	Overall aOR* (95% CI)	Proportion of the total in Kenya (%) n=12579	Kenya only aOR* (95% CI)	Proportion of the total in Uganda (%) n=9959	Uganda only aOR* (95% CI)
<b>Highest</b> ( $\geq 4$ ANC visits, health facility birth, yes PNC).	Ref.	Ref.	(13.4)	Ref.	(33.8)	Ref.
<b>Higher</b> (2-3 ANC visits, Health facility birth, yes PNC). Mis=47	1.5 (1.0-2.4)	1.3 (0.7-2.2)	(6.1)	1.4 (0.4-4.2)	(16.3)	0.9 (0.5-1.5)
<b>High</b> ( $\geq 4$ ANC visits, Health facility birth, no PNC). Mis=72	1.5 (1.0-2.2)	1.5 (1.0-2.3)	(29.8)	2.9 (1.4-6.0)	(15.6)	1.0 (0.6-1.7)
<b>Moderately high</b> (2-3 ANC visits, health facility birth and no PNC). Mis=33	2.4 (1.6-3.7)	2.2 (1.4-3.4)	(16.0)	3.4 (1.6-7.4)	(8.4)	1.6 (0.9-2.7)
<b>Moderately low</b> ( $\geq 4$ ANC visits, no facility birth, no PNC). Mis=44	1.3 (0.8-2.1)	1.3 (0.8-2.2)	(14.5)	2.6 (1.2-5.9)	(12.4)	0.8 (0.4-1.4)
<b>Very low</b> (2-3 ANC visits, no facility birth, no PNC). Mis=48	1.9 (1.3-2.8)	1.9 (1.3-2.9)	(14.7)	2.8 (1.3-6.2)	(12.0)	1.7 (1.1-2.7)
<b>4<sup>th</sup> lowest</b> (1 ANC visit, no health facility births, no PNC) Mis=2	2.2 (0.7-6.7)	2.2 (0.7-7.3)	(2.1)	–	(1.2)	–
<b>3<sup>rd</sup> lowest</b> (No ANC, health facility births and no PNC). Mis=2	7.8 (3.5-17.5)	4.2 (1.6-10.9)	(0.5)	–	(0.4)	–
<b>Lowest</b> (No ANC, no facility births and no PNC.). Mis=17	4.5 (2.5-7.8)	4.2 (2.3-7.8)	(5.6)	6.0 (2.6-13.6)	(1.5)	2.5 (1.0-6.5)

\*adjusted/restricted to birthweight  $\geq 2500$  g and singleton births. Mis – Missing: Due to non-response, proportionally (relatively random) distributed across all strata.

1 Still in Table 5, in combined country findings, comparing *higher* and *moderately high* classes  
2 both with 2-3 ANC visits and facility childbirth, the only difference is lack of PNC  
3 attendance in the *moderately high* class indicating that lack of PNC contributes significantly  
4 to neonatal deaths, aOR 2.2 (95% CI 1.4-3.4). Similarly, in Kenya with 16% of mothers in  
5 this (*moderately high*) category, aOR 3.4 (95% CI 1.6-7.4). In Uganda only about 8% of  
6 mothers were in this category. It can generally be observed that care-seeking tendencies are  
7 higher in Uganda compared to Kenya, with mothers seeking care at *highest* level more than  
8 doubles that of Kenya (33.8% versus 13.4%). Similarly, at *lowest* level Uganda is more than  
9 thrice lower than Kenya (1.5% versus 5.6%)

10  
11 Figure 6 below shows that overall, for both Kenya and Uganda, 23% neonatal deaths were  
12 attributable to inadequate maternal care-seeking during pregnancy, childbirth and 28 days  
13 postnatal period in the Kenya and Uganda. Insufficient care seeking within *lowest* and 3<sup>rd</sup>  
14 *lowest* care-seekers accounted for almost 3-quarters (75%) of neonatal deaths in those groups.  
15 About 9% of neonatal deaths in Kenya and Uganda could be attributable to home births, no  
16 PNC visits and inadequate ANC visits.

## 17 18 **Discussion**

19 Although 95% of mothers initiated the first ANC visit in Kenya and Uganda, only about 20%  
20 completed recommended (modified) care attendance of 4 or more ANC visits, health facility  
21 birth and at least 1 PNC visit within 28 days after birth. Despite the relatively free or  
22 subsidized maternity costs in first level facilities in Uganda and Kenya, several factors still  
23 exert profound influence on care-seeking behaviour along the continuum of care that  
24 consequently impact neonatal survival. Overall, being educated indicated the highest odds of  
25 continual care-seeking, and parental education was 2–8 times associated with continued care-  
26 seeking in most of the care-seeking categories. The higher the education level, the higher  
27 tendency to seek care. Our results concur with other studies that have shown associations  
28 between education and uptake of ANC [57, 58], institutional birth [57, 59] and PNC [60].  
29 Further, consistent with our findings, studies have reported higher utilization of obstetric care  
30 among mothers exposed to mass media [61]. Being told of pregnancy complications also  
31 improved care-seeking (in Kenya). Over 23% of neonatal deaths in Kenya and Uganda would  
32 be prevented if mothers adhered to recommended care attendance. Desire to have a child,  
33 parity and being married did not show any consistent associations with continued care-

1 seeking behaviour. Advance maternal age indicated lower tendency to seek care, but the  
2 findings were not statistically significant.

3  
4 Conversely, a husband as the main or joint decision maker concerning maternal health care-  
5 seeking was generally a significant demotivating factors to care-seeking among the women in  
6 Kenya and Uganda. Although our study could not examine this further, other studies have  
7 shown that gender inequality, negative sociocultural factors and women's financial  
8 marginalization tend to hinder women's independent decision making in health care  
9 especially in low-and middle-income settings [62, 63]. Over 80% of the mothers in this study  
10 were married and over 70% lived in rural areas, meaning most women are housewives with  
11 subsistence farming as source of livelihood. Thus maternal dependency on the husbands to  
12 seek care revolves mainly around financial support for repeated transportation and minor  
13 hospital expenses and this can hinder a woman's decision to seek care. This partly explains  
14 why being married did not indicate consistent significance to care-seeking.

15  
16 Also, congruent with our findings, a systematic review in Africa by Dahab et al. reported lack  
17 of women autonomy in health decisions as major hindrance to maternity care-seeking [64].  
18 However, a study in Nepal, a similar social setting reported that a complex balance between  
19 women's independence in maternity decision making and husband's involvement can  
20 enhance maternity care-seeking [65]. Living in rural compared to urban and longer distance  
21 to the nearest health facility largely indicated lower tendency to care-seeking, this was  
22 especially true (significant) among relatively high care-seeking classes. However, the  
23 associations were not statistically significant among mostly lower care-seekers. In agreement  
24 with most of our findings, two systematic reviews also found longer distance to health facility  
25 [64] and rural residency [58] as factors that impede care-seeking. Being rich did not show  
26 any significant association with higher tendency to seek care as would be expected, however,  
27 the use of cumulative living standard and assets possessed to determine wealth status does  
28 not translate to having liquid cash, readily available to support care-seeking. Further research  
29 on a valid method to determine wealth status that incorporates monetary availability could be  
30 explored.

31  
32 The far-reaching impacts of maternal and sociodemographic factors on maternal care-seeking  
33 continuum necessitate both short and long-term solutions with overarching implications for  
34 policy improvements. The 2030 Sustainable Development Goals (SDG) 4, 5 and 10 that

1 focus on inclusive education and gender equality and reducing inequalities resonate closely  
2 with most of the recommendations emanating from our findings. In the long-term,  
3 strengthening education for all with purposeful emphasis on maternity care-seeking should be  
4 integrated into the educational curriculum. A recent systematic review in SSA recommended  
5 female education as a strong enabling factor for ANC visits [20]. Improving knowledge and  
6 skills for all will inculcate women-led maternal health-decision making and create a  
7 supportive social environment that would enhance completion of the care-seeking continuum.  
8 In the short-term, health promotion for maternal care seeking among pregnant or nursing  
9 mothers will improve utilization and consequently greater neonatal survival.

10  
11 The positive correlations between ANC and facility birth and PNC found in figure 2 indicate  
12 that even the first contact with health personnel can improve continued care utilization and  
13 these findings concur with other studies [66, 67]. The *3<sup>rd</sup> lowest* and *lowest* categories with  
14 no ANC, no PNC and only facility birth in the *3<sup>rd</sup> lowest* accounted for 76% of within-  
15 category neonatal deaths each, and a total of 7.0% deaths in the total population. Even though  
16 these two *lowest* categories had the highest within-category attributable mortality risks, they  
17 contributed relatively lower population attributable deaths partly because there were rather  
18 fewer mothers in these categories. In comparison, the mothers in the *very low* and *moderately*  
19 *high* categories with 2-3 ANC visits, no PNC plus facility birth only in the *moderately high*  
20 class accounted for relatively lower within-category deaths each (50%), however they  
21 accounted for more neonatal deaths in Kenya/Uganda population (16%) since relatively more  
22 mothers were in this category.

23  
24 Given the findings in Figure 5, the results of the first 3 care-seeking classes (*higher, high,*  
25 *moderately high*) and last 2 classes (*3<sup>rd</sup> lowest, lowest*) seem to corroborate theoretical  
26 expectations in the 'hierarchy' of consequences of inadequate care-seeking. However, the  
27 odds for neonatal mortality in class 4 (*moderately low*) and class 6 (*4<sup>th</sup> lowest*) were not  
28 statistically significant for neonatal deaths as would be expected. Notably, in table 5, the  
29 *moderately low* with  $\geq 4$  ANC visits and no facility birth and no PNC showed significant  
30 association with neonatal death in Kenya but not Uganda. A possible explanation would be  
31 that the quality of ANC given in Uganda was perhaps better and protective than in Kenya.  
32 We could not deduce any possible explanations from our findings for why the odds ratio in

1 the 4<sup>th</sup> lowest compared to the highest class was not statistical significance despite the low  
2 level of care received.

3  
4 Further, in table 5, the only difference in care-seeking between *higher* and *moderately high*  
5 categories (versus *highest* class) is lack of PNC in the latter class. Thus, the statistical  
6 significance in the odds for mortality in the *moderately high* class and not in the *higher* class  
7 reveal that PNC could be very protective and is critical for neonatal survival. Our findings  
8 show that PNC is the least attended-to component of care continuum. WHO and other studies  
9 also agree that PNC is a crucial phase yet most neglected part of care [68, 69]. We  
10 recommend strategies that enhance PNC utilization in Kenya and Uganda. One such strategy  
11 would be to emphasize PNC right from the first ANC contact, which has not been the case.  
12 PNC attendance existed only in the checklists for fourth ANC visit in the focused ANC  
13 recommendations in both Kenya and Uganda [70, 71]. This implied that majority of mothers  
14 with less than 4 ANC visits got very limited information that could induce PNC attendance.  
15 The current WHO guidelines for 8 ANC visits recommends emphasis on continuity of care  
16 including PNC, however it is not clear on how PNC utilization would be promoted during  
17 ANC visits in non-midwife-led continuity of care models such as Kenya and Uganda and  
18 other LMIC if it is not clearly specified [72]. The twice higher proportion of Uganda women  
19 in the *highest* category than Kenya could be attributable to the fact that Uganda abolition of  
20 user fees in 2001 took place much earlier than in Kenya (2013).

21  
22 Although it was not possible for our study to determine attributable mortality risks for each  
23 specific care component, nonetheless, we can deduce that over 23 % of neonatal deaths in  
24 Kenya and Uganda could be avoided through basic maternal and newborn care  
25 recommendations prior to 2016. We can also reason that if Kenya and Uganda would fully  
26 implement the current WHO recommendations of 8 ANC visits, it would lead to higher rates  
27 of facility births and ensure PNC as indicated in figure 2, then much higher proportions of  
28 neonatal deaths would be eliminated.

29  
30 For mothers with problems with distance to the nearest health facility, strengthening,  
31 structuring, and funding the community health workers strategy to engage families,  
32 community and health facilities could help align care-seeking continuum especially for PNC  
33 that is currently poorly attended. The Village health workers (VHTs) in parts of Uganda for  
34 example have achieved profound improvement in promoting maternal care-seeking [73].

1  
2  
3 1 However, high attrition rate is a major challenge to community health workers (CHW)  
4 2 programs such as VHTs in Uganda due to poor governmental support (74). Given the readily  
5 3 available telephone communication in East Arica, the integration of mobile health or mHealth  
6 4 programme specifically for maternal care-seeking in the existing mHealth structure in Kenya  
7 5 [75] and Uganda [76] is another viable approach. A cost-free two-way mHealth messaging  
8 6 approach could facilitate follow up, counter sociodemographic barriers, and profoundly  
9 7 improve continued care-seeking. Engaging the CHW in this endeavor would be feasible with  
10 8 minimal extra investment.  
11 9

12 10 Studies in Kenya and Uganda reported increased utilization of ANC and delivery services  
13 11 due to free maternity policy [77-79]. Reports evaluating impacts of free maternity policies in  
14 12 Kenya and Uganda highlight increase of ANC coverage and health facility births but almost  
15 13 no mention is made of the impact on PNC [40, 80]. Other studies have reported that free  
16 14 maternity policy increased mainly facility births [81, 82]. The universal health policy in  
17 15 Uganda and the *Linda mama* strategy [83] in Kenya advocate for universal access to quality  
18 16 maternity health services but do not offer transportation for poor mothers or health providers  
19 17 in/to remote areas, yet most mothers are rural dwellers. Additionally, there are hidden  
20 18 hospital charges due to underfunding or delayed distribution of funds [39, 41, 43].  
21 19

22 20 Another worthwhile strategy to improve continued maternity care utilization among mothers  
23 21 would be to develop a standard questionnaire or a protocol for estimating the level of  
24 22 continued care-seeking based on a brief interview of the mother at first ANC visit. The  
25 23 results could be used to determine the degree of follow-up that can be employed to close the  
26 24 care-seeking gap. Such questionnaires have previously been used in to assess health seeking  
27 25 behaviour in sexual transmitted diseases for example [84]. It could be based on identified  
28 26 cluster of items including sociodemographic factors that impact care-seeking behaviours that  
29 27 after prolonged testing and validation could be shortened using factor analysis. Previous  
30 28 maternity history of care-seeking continuum could also be used to improve such a standard.  
31 29 Poor care-seeking mothers can then be enrolled in a messaging list or maternity mHealth  
32 30 programme. This can be a less-costly health promotion strategy that could easily be  
33 31 integrated in ANC setup in low-resourced health care settings.  
34 32  
35 33  
36 34



## 1 **Methodological considerations**

2 The large sample size of the maternal and child data of the latest Kenya and Uganda DHS,  
3 which are nationally representative allowed for valid stratified analysis for deeper  
4 understanding of neonatal health and survival. The study is thus externally valid and  
5 generalizable in other similar settings. Like many cross-sectional surveys, recall bias may  
6 not be completely eliminated from the study. Nonetheless, by selecting the most recent live  
7 births for analysis and because childbirth is a special occurrence that mothers may not easily  
8 forget within a short period of time, our findings considerably reflect the reality of maternal  
9 care and associated neonatal survival in these countries.

10  
11 A strength to our study was the use of directed acyclic graphs that enabled us to explicitly  
12 map the predictor-outcome relationship for well guided analysis and identification of possible  
13 confounders. Our study could not examine other factors such as poor attitude of nurses and  
14 lack of information on health care services offered which have been found by both  
15 quantitative and qualitative studies to hinder care utilization in low- and middle-income  
16 countries [85, 86]. Another limitation to our study was that inadequate facilities and drugs  
17 have also been associated with poor care-seeking, but our data did not capture these specific  
18 aspects [87]. In addition, the cross-sectional survey design of the DHS dataset does not allow  
19 collection of data on quality of care. Our study did not incorporate factors such as intimate  
20 partner violence (IPV) which prevalent in many countries, IPV is known to be associated  
21 with poor care-seeking behaviour [88]. Further studies can investigate this.

## 22 **Conclusion**

23 Further multi-country large-scale population-based research and systematic reviews could  
24 enable development and use of a brief standard questionnaire to determine a mother's  
25 continued care-seeking level during the first ANC visit and use the information to close the  
26 care-seeking gaps where it's most needed. This is especially viable in LMIC with limited  
27 health workforce. Similar standard questionnaires have been used previously in other areas to  
28 assess care-seeking behaviour [89, 90]. The use of mobile health (mHealth) specifically for  
29 promoting continued maternal care utilization up to postnatal can be integrated in the existing  
30 structures. Strengthening the existing community health workers system to be integral part of  
31 promoting continued maternal care-seeking could enhance care-seeking as a stand-alone  
32 strategy or as a component of the above suggested strategies.



## 1 **Acknowledgments**

2 Much appreciation to the DHS programme and partners for availing the datasets for this  
3 study.

## 4 **Competing interest statement**

5 No competing interests were reported by the authors.

## 6 **Ethics and dissemination**

7 DHS data collection process and storage guaranteed the anonymity and confidentiality of  
8 participants. Datasets are publicly available and permission for access and use was obtained  
9 after sending to the request to the DHS secretariat.

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## 12 **Authors' contributions**

13 MOA conceptualized, designed, obtained data for the study, conducted analysis, interpreted  
14 the results, drafted, and reviewed the manuscript. BOA and AA interpreted the results and  
15 conducted critical review of the manuscript. The final draft was agreed upon by all authors.

16 **Data sharing statement:** <https://dhsprogram.com/data/available-datasets.cfm>

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3 **1 Figures and supplementary files**  
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5 **3 Figure legends**  
6 4

7 5 **Figure 1:** Proportions of antenatal care visits by number of ANC contacts in Kenya and  
8 6 Uganda, using demographic and health survey 2014-2016 data.  
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10 8 **Figure 2.** A scatter plot showing correlation between number of antenatal care visits and  
11 9 proportions of facility births and postnatal care visits in Kenya and Uganda, using  
12 10 demographic and health survey 2014-2016 data.  
13 11

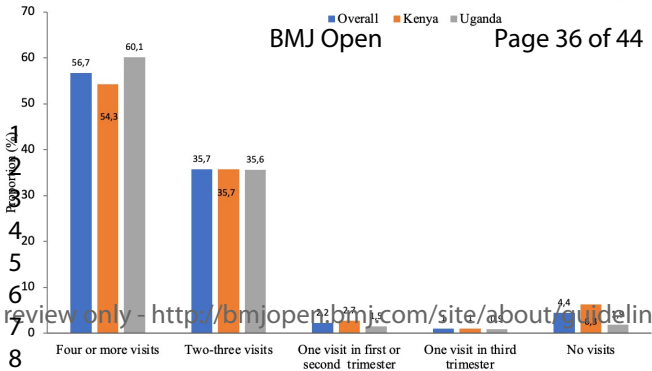
14 12 **Figure 3.** Proportion of hospital and home births by number of antenatal care visits in Kenya  
15 13 and Uganda, using demographic and health survey 2014-2016 data.  
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17 15 **Figure 4:** showing relative risk ratios (RRR) for the associations between maternal and  
18 16 socio-demographic factors and maternal continuum of care-seeking behaviour in Kenya and  
19 17 Uganda, using demographic and health survey 2014-2016 data  
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21 19 **Figure 5:** A forest plot showing adjusted odds ratios between  
22 20 continued care-seeking behavioral classes/levels and neonatal mortality,  
23 21 using Kenya and Uganda, 2014-2016 demographic and health survey data.  
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25 23 **Figure 6:** Attributable and population attributable neonatal mortality risk  
26 24 proportion for lower categories of care-seeking in Kenya and Uganda, using  
27 25 demographic and health survey 2014-2016 data  
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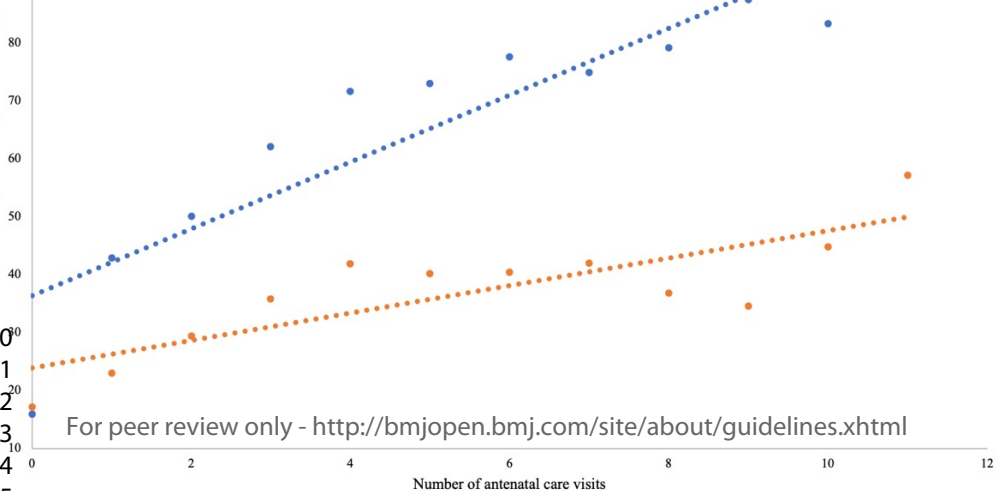


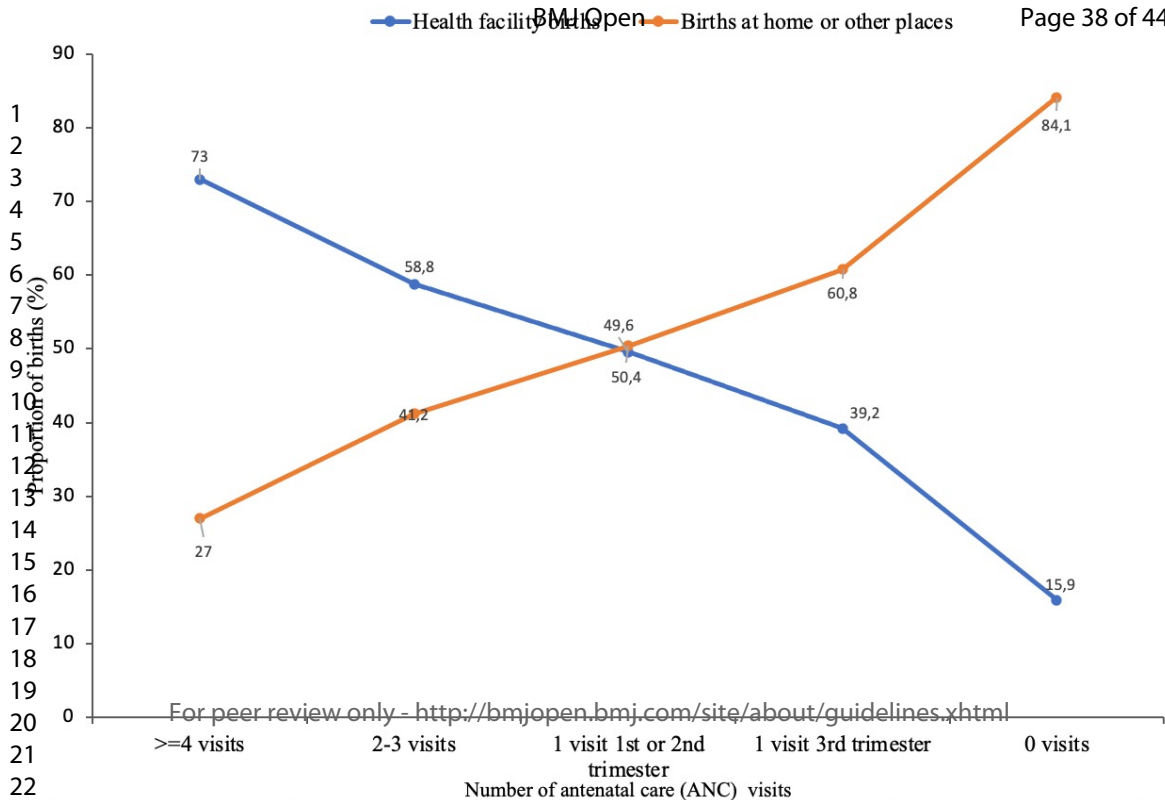


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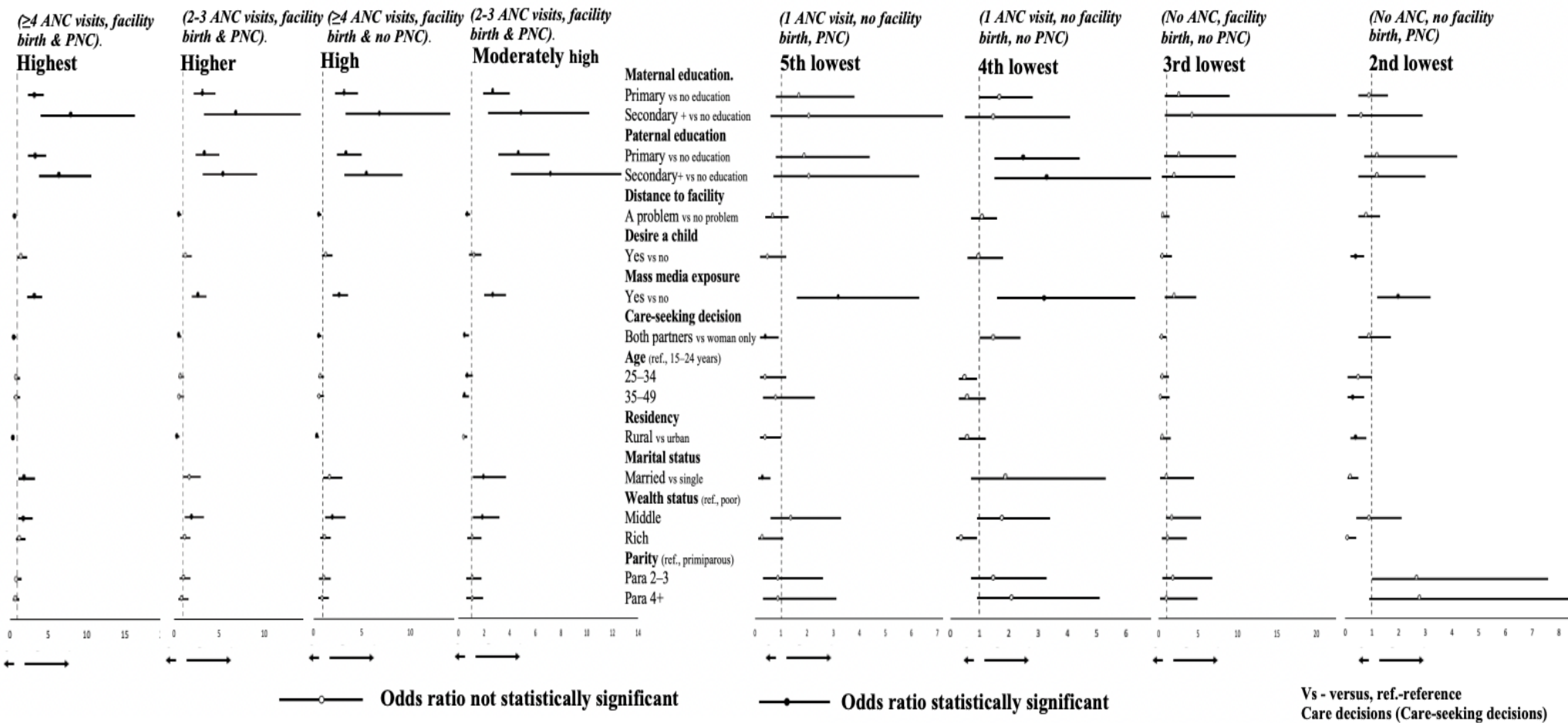
••• Linear (Scatter plot of proportion of health facility births against number (0-10) of antenatal care visits)

••• Linear (Scatter plot of proportion of postnatal care visits against number (0-12) of antenatal care visits)

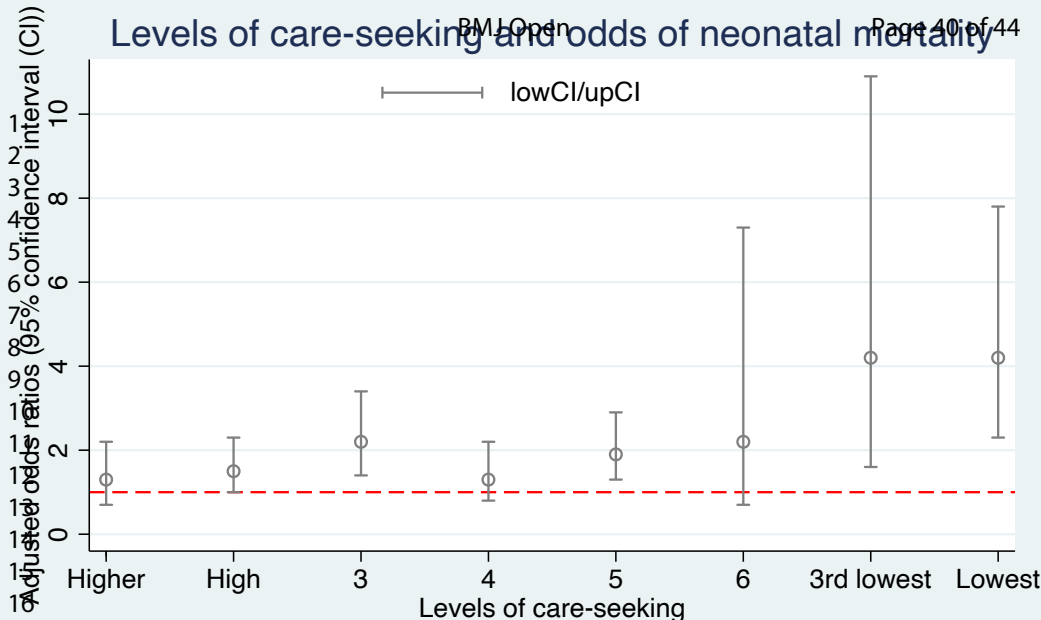




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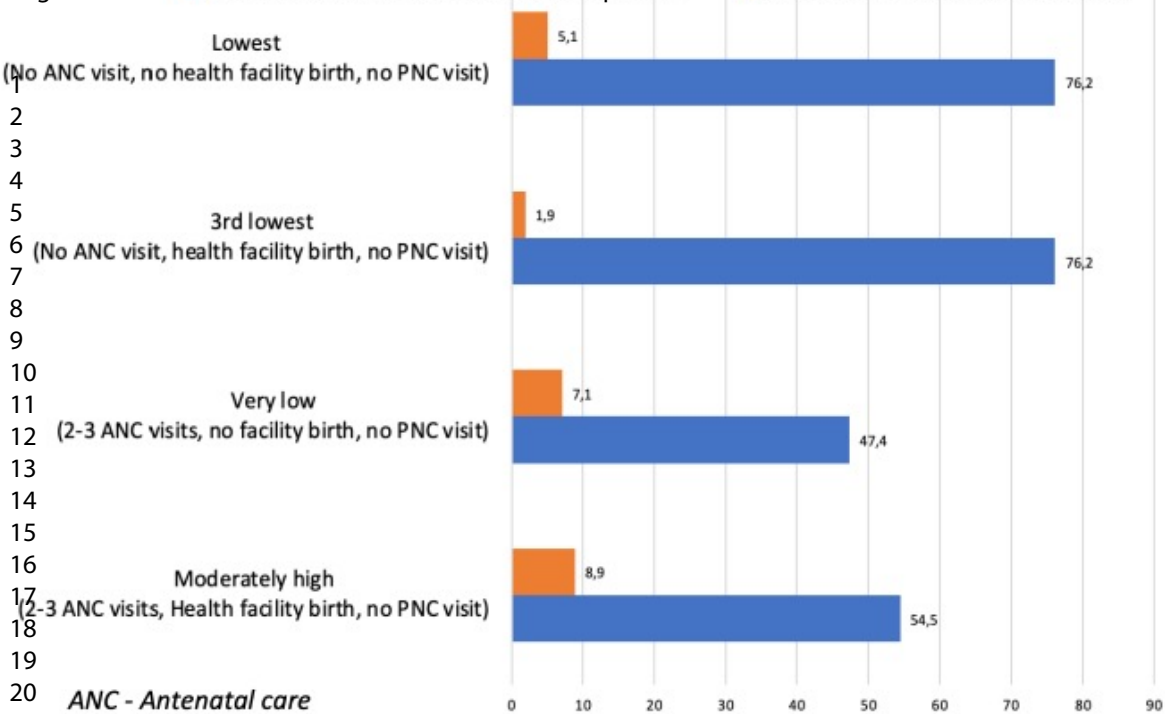


# Levels of care-seeking and odds of neonatal mortality



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3-moderately high, 4-moderately low, 5-very low, 6-4th lowest



ANC - Antenatal care

PNC - Postnatal care

## Supplementary file 1.

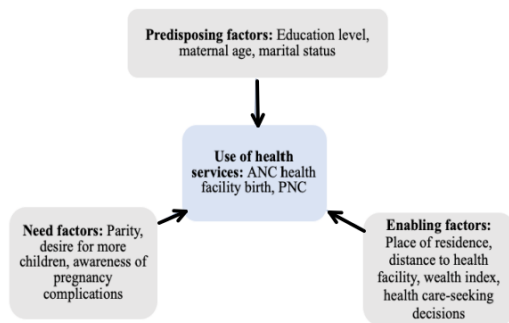


Figure showing behavioral model of utilization of health care services, modified from Andersen and Newman model.



Supplementary file 2.

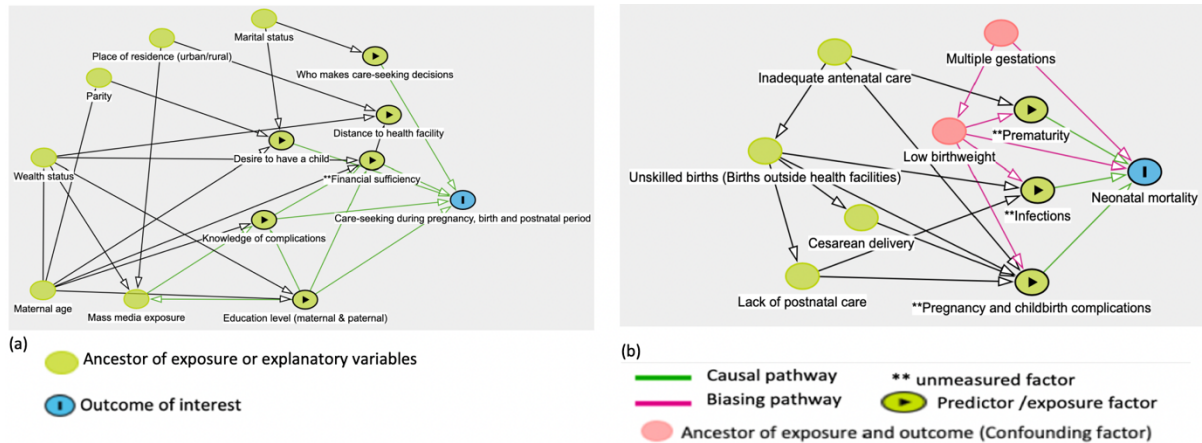


Figure 1: Directed acyclic graphs showing the predictor–outcome relationship for both care-seeking and neonatal survival in Kenya and Uganda, (Developed from [www.dagitty.net](http://www.dagitty.net), using DAGitty version 3.0.)

peer review only

STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract Page 1, line 3. Page 2, line 5. (b) Provide in the abstract an informative and balanced summary of what was done and what was found. Page 2, lines 1-28.
<b>Introduction</b>		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported Pages 4, lines 1-34. And page 5 lines 1-27.
Objectives	3	State specific objectives, including any prespecified hypotheses. Page 5, Lines 24-27.
<b>Methods</b>		
Study design	4	Present key elements of study design early in the paper. Page 6, lines 14-22.
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection. Page 5 line 31-34, Page 6 lines 1-22.
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Page 6, lines 15-22.
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable. Page 6, lines 25-34. Page 7, lines 1-28. Page 8, lines 1-21
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group. Page 6, lines 15-22. Page 7, lines 1-28. Page 8, lines 1-20.
Bias	9	Describe any efforts to address potential sources of bias. Page 8, lines 15-30. Page 9, lines 1-16.
Study size	10	Explain how the study size was arrived at. N/A. Described for primary data collector, Page 6, 15-22
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why. Page 6, lines 26-34, Page 7, lines 1-28. Page 8, lines 1-21. Page 8. Page 9, 1-16.
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding. Page 8, lines 24-34. Page 9, lines 1-2. (b) Describe any methods used to examine subgroups and interactions. Page 8, lines 24-34. Page 9, lines 4-5. (c) Explain how missing data were addressed, Page 9, lines 11-15 (d) If applicable, describe analytical methods taking account of sampling strategy. Page 9, 11-12. (e) Describe any sensitivity analyses, Subgroup analysis- Page 9, line 3-5.
<b>Results</b>		
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed. Page 10, table 2, lines 5-18, Pages 11, 12, 13, 14 table 4. Page 20 table 5 (b) Give reasons for non-participation at each stage. Page 9, line 10-16. Page 20 table 5 (c) Consider use of a flow diagram-N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and

		information on exposures and potential confounders. <a href="#">Page 9-14, table 2 and table 4</a>
		(b) Indicate number of participants with missing data for each variable of interest. <a href="#">Page 20, table 5, Page 9, lines 10-15.</a>
Outcome data	15*	Report numbers of outcome events or summary measures. <a href="#">Page 6, lines 26-34. Page 7, lines 1-18. Page 20 table 5.</a>
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included. <a href="#">Page 17-19, table 4. Page 20, table 5. Page 20, lines 1-14. Page 21, lines 4-5.</a> (b) Report category boundaries when continuous variables were categorized. <i>N/A</i> (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period. <a href="#">Attributable risk fraction (difference between absolute risks in two groups) Page 9, lines 20-24 and Figure 6.</a>
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses. <a href="#">Page 9, lines 4-5. Subgroup analysis- Page 20 table 5</a>
<b>Discussion</b>		
Key results	18	Summarise key results with reference to study objectives. <a href="#">Page 21, lines 19-33. Page 22, lines 1-19. Page 23, lines 1-22.</a>
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias. <a href="#">Page 25, lines 1-21.</a>
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence. <a href="#">Discussion section, pages 21-25</a>
Generalisability	21	Discuss the generalisability (external validity) of the study results. <a href="#">Page 26, lines 4-5</a>
<b>Other information</b>		
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based. <a href="#">Page 27, line 14</a>

\*Give information separately for exposed and unexposed groups.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).