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# BMJ Open

**“We weren't checked in on, nobody spoke to us”: Understanding ethnic minority NHS staff concerns during COVID-19 to develop a dedicated survey module**

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3 **“We weren’t checked in on, nobody spoke to us”**: Understanding ethnic  
4 **minority NHS staff concerns during COVID-19 to develop a dedicated**  
5 **survey module**  
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6  
7 module addressing questions specifically for ethnic minority populations with input from  
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12  
13

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38  
39

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51

## 52 **Figures**

53  
54  
55 Figure 1: Thematic Experiences of Ethnic Minority Healthcare Staff During COVID-19  
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## 58 **Supplementary Materials**

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1. Interview guide for the focus groups
2. Survey module created based on the focus groups

For peer review only

## ABSTRACT

### Objective

To examine the multi-faceted, lived-experience impact of COVID-19 on ethnic minority healthcare staff to co-create a module of questions for follow-up online surveys on the wellbeing of healthcare staff during the pandemic.

### Design

A cross-sectional design using two online focus groups among ethnic minority healthcare workers who worked in care or supportive roles in a hospital, community health or GP surgery setting for at least 12 months.

### Participants

Thirteen healthcare workers (11 female) aged 26 to 62 years from diverse ethnic minority backgrounds, ten working in clinical roles.

### Results

Five primary thematic domains emerged: 1) *viral vulnerability*, centring around perceived individual risk and vulnerability perceptions; 2) *risk assessment*, comprising of pressures to comply, perception of a tick-box exercise, and issues with risk and resource stratification; 3) *interpersonal relations in the workplace*, highlighting deficient consultation of ethnic minority staff, cultural insensitivity, need for support, and collegiate judgement; 4) *lived experience of racial inequality*, consisting of job insecurity and the exacerbation of systemic racism and its emotional burden; 5) *community attitudes*, including public prejudice and judgement, and patient appreciation.

## Conclusions

Our novel study has shown ethnic minority NHS staff have experienced COVID-19 in a complex, multi-dimensional manner. Future research should enumerate the extent to which these varied thematic experiences are shared among ethnic minority NHS workers so that more empathetic and supportive management and related occupational practices can be instituted.

## ARTICLE SUMMARY

### Strengths and limitations of this study

- This is one of the first studies to examine qualitatively the experiences of ethnic minority healthcare staff during the COVID-19 pandemic.
- It provides a basis for the creation of a survey module to examine the experiences of ethnic minority healthcare staff across north-west London.
- Due to the self-selected nature of the sample, the experiences of participants may not be representative of the ethnic minority healthcare workforce as a whole.
- Given its exploratory nature, the sample size was potentially insufficient to achieve data saturation.

**KEYWORDS:** COVID-19; Mental Health; Occupational Health; Health and Safety

## INTRODUCTION

COVID-19 has adversely impacted the occupational roles and physical and mental wellbeing of healthcare staff<sup>1</sup>. Many have experienced disrupted support structures, redeployment to areas outside their professional training<sup>2</sup>—sometimes engaging in tasks that transgress individual moral consciences and values<sup>3</sup>—limited resources and vital medical equipment<sup>4</sup>, and unprecedented patient service demand amid rapidly changing care guidelines<sup>5</sup>. Staff are not only vulnerable to contracting the virus but at risk of work stress, moral injury, and mental ill-health, including clinical depression, post-traumatic stress disorder, substance misuse, and suicide<sup>6</sup>.

Ethnic minority care staff have been disproportionately affected by the pandemic's clinical impact<sup>7</sup>. The impact is multi-faceted: economic, social, attitudinal and cultural, as well as occupational, physical and psychological<sup>8 9</sup>. Understanding these broader impacts can facilitate a more holistic and empathetic understanding of the lived experiences of COVID-19 among health care staff from ethnic minorities, generating greater awareness of health-seeking behaviour in and outside the workplace, and perceived impediments to seeking and receiving support<sup>10 11</sup>. Increased understanding can also inform public health campaigns addressing, for example, disinclination to accept vaccines<sup>12-14</sup>.

The MeCare study in Northwest (NW) London, collaborating with partners in the larger *NHS Check* study<sup>15 16</sup>, is longitudinally examining the mental health and wellbeing of National Health Service (NHS) staff (including general practitioners) in NW London—where 49% of NHS staff are from ethnic minority backgrounds<sup>17</sup>—and exploring how to support staff during pandemics. This paper reports on the co-creation of a module of questions targeted towards staff from ethnic minority backgrounds as part of follow-up online surveys.

## METHOD

### Participants

We explored the experiences of ethnic minority healthcare workers selected through purposive sampling. Participants were recruited through Patient and Public Involvement (PPI) networks and promotional materials circulated among NHS trusts. Participants were adults from an ONS<sup>18</sup> ethnic minority background who worked in care or supportive roles in a hospital, community health or GP surgery setting for at least 12 months.

### Data collection

Two 2-hour online focus groups—shown to generate idea diversity comparable to in-person equivalents<sup>19</sup>—were held using Microsoft Teams (MT) and facilitated by RAP, with participants offered the choice of having their session cameras live or not. Both groups followed the study interview guide (Supplementary File 1), which was reactively adapted, in terms of questioning order and prompts, and field notes were taken on key points.

### Data analysis

Discussions were transcribed verbatim using MTs' automatic caption generation software and manually checked for errors. RAP, VB and JJ undertook independent thematic analysis using the template analysis approach<sup>20</sup>, combining deductive and inductive analyses. The study team subsequently met to reach consensus on emergent themes and sub-themes. To ensure the veracity of data interpretation, the findings and draft survey questions were validated by member checking with a sample of group participants<sup>21</sup>.

### Patient and public involvement

Participants were a sample of the MeCare study's target population and recruited through PPI representatives. JN and SJ are public partners of the Applied Research Collaboration in NW London and provided feedback on data interpretation.

## RESULTS

The final sample included 13 healthcare workers (11 female) with a mean age of 42.7 years (SD = 10.3 years). Ethnically the sample was comprised of: Eastern European (n = 1), Indian (n = 2), Mixed White and Black Caribbean (n = 1), Caribbean (n = 1), Mixed White and Asian (n = 1), African (n = 2), Arab (n = 1), Bangladeshi (n = 1), Asian Other (n = 2), and Other, in this instance Mixed North African and Eastern European, (n = 1). Ten worked in clinical roles; three administrative.

The five primary thematic domains that emerged were: viral vulnerability, risk assessment, interpersonal relationships in the workplace, lived experience of racial inequality, and community attitudes (Figure 1).

**Figure 1:** Themes and subthemes identified through thematic analysis

### 1) Viral vulnerability

#### a) Perceived individual risk

Participants were sensitive to their increased risk of infection compared to non-ethnic minority colleagues in the same roles. They discussed the impact of this heightened risk on their emotional wellbeing, reporting personal anxiety and emotional distress.

P12: "I think most upsetting was the initial kind of response and risk stratifications and the deaths of people I knew or knew by indirect means."

Participants also expressed frustration that individual vulnerability was insufficiently acknowledged within their teams and organisations, a shortcoming one participant suggested

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3 was associated with the fact that it was ethnic minorities rather than the non-minority  
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5 population who were affected.  
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11 P2: “If the prevalence and incidence was affecting the White majority  
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13 population more than the Black population, maybe something could be done  
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15 differently.”  
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## 20 21 b) Vulnerability misperceptions 22

23  
24 Several participants expressed frustration about fundamental misunderstandings  
25  
26 regarding the reasons for increased infection risk among ethnic minorities: in public  
27  
28 discourse and government reports<sup>22</sup>, differences in viral vulnerability were frequently  
29  
30 attributed to individual factors (personal hygiene practices, individual obesity). Participants  
31  
32 felt insufficient attention was paid to structural determinants, from exposure differentials to  
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34 unconscious racism.  
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41 P3: “That research was basically saying that [ethnic minorities] are at more  
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43 risk because they are obese, they have got Type 2 diabetes, they’re living in  
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45 overcrowded situations and all that. [...] They did not consider that this  
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47 could be just because we are exposed more.”  
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53 P13: “It's just being aware that it's not entirely biological, the risk that they  
54  
55 face. Some of the reasons why we saw people from BAME [Black, Asian  
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3 and minority ethnic] background suffer a lot more was because of their  
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5 social circumstances as well.”  
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## 10 11 **2) Risk assessment**

### 12 13 14 a) Tick-box exercise

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16 Many participants felt risk assessment exercises had not been treated seriously by  
17 their managers and organisations. Although some felt their employer accommodated their  
18 identified increased risk, many reported not seeing tangible protective actions for vulnerable  
19 ethnic minority staff. By not emphasising the importance of completing risk assessments, and  
20 taking minimal action following them, management made staff feel the assessment was a  
21 tick-box exercise rather than a considered strategy to reduce staff risk.  
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34 P3: “I wasn’t able to understand what the point of that risk assessment  
35 was and if somebody was found to be high risk population, whether  
36 something different was done for that person.”  
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### 44 b) Pressure to comply

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46 Despite awareness of ethnic minority communities’ COVID-19 vulnerability,  
47 participants reported feeling under pressure to continue frontline working. This arose both  
48 from managers and an innate sense of duty, with a sense of guilt associated with not seeing  
49 in-person patients.  
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3 P11: “I did feel quite guilty about not being at work seeing patients. [...]   
4  
5 Every Thursday for a while [my neighbours] were all standing outside   
6  
7 clapping and I didn’t go outside. I stayed inside and hid because I felt like a   
8  
9 complete fraud.”   
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16 Participants frequently felt they had minimal choice but to continue working in high-   
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18 risk positions.   
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23 P5: “You kind of feel you're obligated to follow through your duties, but   
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25 there still is that major risk of putting yourself or your health at risk.”   
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31 In terms of extrinsic pressure, the demands on NHS frontline staff due to surges in   
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33 COVID-19 cases<sup>23</sup>, combined with staffing shortages<sup>24</sup> and the high proportion of ethnic   
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35 minority NHS staff<sup>17</sup>, limited the alternatives to positioning ethnic minority staff in frontline   
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37 care provision. Consequently, many reported no choice but to maintain their position on high-   
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39 risk wards—even when Personal Protective Equipment (PPE) was in short supply—and   
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41 being pressured to return to work shortly after COVID-19 diagnoses.   
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P5: “If you pull out all the people on the frontline, then who's going to   
actually do the work? Who's gonna fill in those gaps?”

P12: “They were desperate to get people on the ground and there was this   
whole thing about ‘it's a respiratory virus and it only lasts 2 weeks max’. So,

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3 many members of staff were harassed, told 'you have to go back, your two  
4 weeks are up'."

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11 P4: "Your personal risk doesn't matter, the managers are managers, they're  
12 there to get the job done, to apply the pressure coming from above. So, if  
13 you're a nurse in a unit that is short-staffed and you turn up to work and  
14 they don't have [the necessary PPE], you either get on with your job  
15 knowing that you're at high risk, or you become a problem."

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26 c) Stratified risk and resources

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28 Several participants identified flaws in the risk and resultant resources stratification,  
29 feeling the organisation's workplace hierarchy was imposed on the risk assessment. They  
30 contended the distribution of PPE was based on professional hierarchy rather than individual  
31 vulnerability.

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41 P4: "The distribution of PPE seems to have followed a hierarchical  
42 structure. So, medics are walking around with 3M rubber fit-sealed  
43 masks, although they don't spend that much time in COVID areas, while  
44 some of the Filipino nurses are struggling to find a 3M mask that fits  
45 them. And then when it comes to risk assessing the domestic staff,  
46 they're an afterthought."

### 3) Interpersonal relations in the workplace

#### a) Deficient consultation

The perception of blame for increased vulnerability to COVID-19 being ascribed to ethnic minority communities and a disregard for the role of structural factors fuelled belief in a lack of understanding of ethnic minority experiences. Moreover, the view that attempts to remedy this deficiency were lacking reinforced the sense that larger racial issues were disregarded and thereby unsolved. Participants reported ethnic minorities were excluded from conversations concerning them—such as the construction of risk assessments—and felt the impacts of their vulnerability on their wellbeing were disregarded within organisations.

P1: “We weren't checked in on, nobody spoke to us to see if we were okay, how we felt about it. It just wasn't really made a big deal of. It wasn't something that mattered.”

P2: “The issue of not being heard, as somebody from the BAME community. 'Cause I felt like, up to now, nobody's really asked me ‘What do you want? What can we do to make you feel protected?’ So, I'm aware that the risk assessment was imposed by other people from other organisations. [...] It was again that issue of them discussing about us without us.”

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3 b) Cultural insensitivity  
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6 The disconnect between ethnic and non-ethnic minority staff was associated with  
7  
8 difficulties sharing concerns with non-minority supervisors. Several participants cited  
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10 cultural differences and the associated lack of understanding of ethnic minority staff's  
11  
12 experiences, needs, and problems as the principal barrier to discussing concerns with non-  
13  
14 ethnic minority managers. Indeed, it was suggested that a common background with one's  
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16 supervisor would facilitate communication and increase feelings of being supported.  
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23 P4: "I was staying in the trust hotel and went out for a walk [...] I was  
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25 subsequently stopped and searched by police, assaulted. Trying to explain  
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27 that to my manager resulted in the eye-rolling from them, and them not  
28  
29 really understanding the consequences of that."  
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36 P3: "It is always much easier to explain to people who are from your own  
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38 cultural background or somebody who has experienced the same kind of  
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40 thing rather than actually going to your manager who has got no idea what  
41  
42 you're talking about."  
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48 For example, the difficulty obtaining hair caps, needed by ethnic minority staff who do  
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50 not wash their hair daily, highlighted the impact of under-representation of such staff in  
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52 senior positions and ethnic minority staff's ability to perform their jobs.  
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3 c) Need for support  
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6 Several participants stated they did not feel adequately supported by their employer and  
7 their manager during the pandemic. Some felt particularly unsupported when their supervisor  
8 was from a non-ethnic minority background, making it difficult to know their concerns related  
9 to ethnicity were heard. For participants who felt they had organisational support, it was seen  
10 as improving their wellbeing and may have mitigated the effects of racial injustices they were  
11 increasingly aware of and subjected to during the pandemic.  
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23 P3: “Will [ethnic minority staff] feel more comfortable and more supported  
24 if they have somebody appointed that they can approach if they have any  
25 issues? [...] Will they feel more comfortable if that person is from the same  
26 cultural background?”  
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46 P11: “I think people were quite protective of me [because I’m ethnic  
47 minority] and I personally didn’t find that paternalistic or patronising. I felt  
48 cared for.”  
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d) Collegiate judgement

Several participants were wary of special treatment being accorded to them, fearing it might negatively impact how they are viewed by non-ethnic minority colleagues. It was suggested some ethnic minority staff may have been reluctant to be risk-stratified and made to work from home for fear of being negatively judged by team members.

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3 P11: “There were a significant minority of people who, although my  
4 recommendation was that they should work from home, they chose to not  
5 follow guidance and they wanted to see patients face to face. [...] I suspect  
6 that might have been because they were worried about their position in the  
7 team and about bullying.”  
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18 Participants also noted that, in some cases, the special attention given to ethnic minority  
19 individuals was experienced as discrimination and a cause for bullying.  
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26 P11: “There were a lot of people who were BAME who did feel that  
27 there was a spotlight being shone upon them. [...] A lot of them didn't  
28 feel that that was positive. They felt that it could be seen as quite  
29 discriminatory, as them being given special privileges.”  
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38 Moreover, several participants were defensive of the reasons for doing their jobs,  
39 emphasising their interest in, and passion for, their work and denying ulterior motives, such  
40 as hopes to receive special treatment.  
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48 P9: “It’s work that the BAME group tends to have interest in, and we  
49 do it with a love for it, rather than wanting to be specially treated. I  
50 think sometimes when there's a lot of talk about BAME groups, it can  
51 be a little bit patronising because you feel that's a career that you've  
52 always wanted to do.”  
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6 This further highlights the fear of one's job performance being judged by colleagues  
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8 based on ethnicity.  
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#### 10 11 12 13 14 **4) Lived experience of racial inequality**

##### 15 16 17 a) Exacerbation of systemic racism and its emotional burden

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19 There was a strong sense that existing structural workplace inequality and the  
20 emotional burden of racial injustice were exacerbated during the pandemic. Participants felt  
21 ethnic minority staff have a distinct disadvantage in career advancement, noting they had to  
22 work harder than non-minority counterparts to progress their careers. Crucially, several  
23 participants felt that increased COVID-19 vulnerability compounded career advancement  
24 challenges, and that the pandemic provided an opportunity for unconscious bias among  
25 management to manifest in career advancement opportunities given to non-ethnic minority  
26 staff.  
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41 P11: "I think that there's something similar [to being female] about being  
42 BAME compared to being White, where you know you have to work so  
43 much harder to get where you want to go to. [...] Then there's COVID  
44 affecting us in a more serious way, [...] it's another disadvantage."  
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53 P4: "There are at least a few cases of people in the same staff group occupying  
54 two bandings. And unfortunately, BAME staff at one, slightly lower, band. And  
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3 management have taken it upon themselves to use the chaos that's going on to  
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5 promote the same types of people that always get promoted."  
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11 Additionally, participants noted the emotional burden of the pandemic is especially  
12  
13 high among ethnic minority staff and that the Black Lives Matter movement<sup>25</sup> compounded  
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15 feelings of racial injustice evoked in the workplace during the pandemic and highlighted  
16  
17 structural, societal problems.  
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23 P1: "All of the Black Lives Matter stuff that was going on, and George Floyd and  
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25 the protests, the whole attitude around them in the workplace was just  
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27 exacerbating people's feelings and made it very difficult. It felt like you were  
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29 being attacked from all sides."  
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#### 36 b) Job insecurity 37

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39 Participants also reported the perception that working from home may threaten their job  
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41 due to racial inequality in the workplace and that managers were less trusting of ethnic  
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43 minority staff working from home than non-ethnic minority counterparts.  
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49 P11: "I found that the drawback [of working from home] was I wasn't  
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51 getting my face seen [at work]. [...] I actually almost felt that my job was  
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53 threatened, I became very anxious and very insecure about my place within  
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55 the team."  
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3 P9: “[At the time I was working from home] I had a colleague I was  
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6 working with who felt that that pressure [from managers] was given to the  
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8 ethnic minority group because we were not trusted to be doing what we  
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10 should be doing.”  
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## 16 **5) Community attitudes**

### 17 18 a) Public prejudice and judgement 19

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21 The racial injustice experienced by ethnic minority staff in their workplace also  
22  
23 occurred in the community, where participants reported witnessing racist slurs against East  
24  
25 Asians and anticipating an increase in such attitudes towards themselves.  
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31 P10: “I’ve felt a lot more worried about having sort of racial attacks or  
32  
33 racial comments pointed in my direction, given some of the narrative  
34  
35 around COVID, such as the ‘Chinese Virus?’”  
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41 Additionally, the ethnicity-based judgements participants reported receiving from the  
42  
43 public reinforced their understanding that ethnic minority staff were seen as less than non-  
44  
45 ethnic minority colleagues in society.  
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51 P5: “You feel like although you’re coming to do something positive but  
52  
53 at the same time other people might be making all sorts of judgments as  
54  
55 to what you’re doing.”  
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3 P5: “One of my neighbours said I shouldn't be going outside, so, I said  
4  
5 ‘No, I work for the NHS’ and automatically his response was ‘Oh! Are  
6  
7 you a cleaner?’.”  
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13 b) Patient appreciation  
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16 Despite racial prejudices experienced in the community, participants noted patients  
17  
18 showed an increased recognition of the value ethnic minority communities bring to the NHS  
19  
20 and felt this increased patient appreciation was reflective of a broader attitudinal shift towards  
21  
22 their key role.  
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28 P6: “[Patients] were very happy to be seen, happy to be taken care of, they  
29  
30 were politer. They really appreciated what we were doing.”  
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36 P1: “I think there was a shift in [patients’] attitude towards NHS staff in  
37  
38 general, which was refreshing.”  
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44 In contrast, others were sceptical of whether this recognition was indicative of a real  
45  
46 attitudinal shift, recalling past NHS criticism<sup>26</sup>. Moreover, participants highlighted a  
47  
48 disconnect between the public’s appreciation of the NHS, expressed in weekly street  
49  
50 clapping, and practical acknowledgement of the risk to which NHS staff are regularly  
51  
52 exposed.  
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3 P13: “When people would clap for the NHS I would think ‘Oh my God  
4 they’re clapping. What are they talking about? Do they even know what  
5 happens inside?’.”  
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## 10 11 12 13 **DISCUSSION** 14

15  
16 This exploratory group process examined the lived experiences of healthcare staff from  
17 ethnic minority backgrounds during COVID-19 to develop an ethnically empathetic module  
18 for follow-up survey stages in the MeCare study (Supplementary File 2). The five primary  
19 themes that emerged from our research have highlighted key areas of concern and neglect  
20 that need to be enumerated and investigated further to appreciate the extent to which they are  
21 shared by ethnic minority staff.  
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30 These concerns are consistent with research regarding the depression, anxiety, and  
31 stress associated with perceived COVID-19 risk and the pandemic’s impact on ethnic  
32 minority communities<sup>27-29</sup>. The perceived pressure to work despite individual risk can  
33 significantly impact staff’s work performance and mental health. For example,  
34 presenteeism—individuals presenting at work but operating sub-optimally due to health  
35 issues—can have serious consequences if it leads to poor, slow, or incorrect decision-  
36 making<sup>30</sup>. Moreover, Shah et al.<sup>31</sup> reported that during the pandemic, ethnic minority staff  
37 faced dilemmas around fulfilling their duty and continuing to deliver patient clinical care or  
38 exercising mitigating actions to avoid high-risk environments.  
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51 Ethnic minority staff’s mental health is likely to also be impacted by a disconnect  
52 between ethnic minority and non-minority staff. Indeed, post-trauma social support from  
53 managers influences staff’s long-term mental health status<sup>32 33</sup>, and discussion of ethnic  
54 minority staff’s experiences during COVID-19 with non-minority supervisors can help foster  
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3 improved attitudes towards mental health in the workplace<sup>34</sup>. The disconnect was manifested  
4  
5 in fear of bullying, which is consistent with evidence that ethnic minority staff are more  
6  
7 likely than non-minority colleagues to experience staff bullying<sup>35 36</sup>. Additionally,  
8  
9 participants' sense that the pandemic exacerbated existing emotional burdens regarding  
10  
11 systemic racism is consistent with an interplay between racism and the pandemic<sup>37</sup>. This may  
12  
13 also contribute to longer-term mental ill-health—including the psychological consequences  
14  
15 of trauma exposure<sup>32 38 39</sup>—among ethnic minority staff<sup>40</sup>. On a positive note, however, the  
16  
17 public's acknowledgement of work undertaken by staff during the pandemic helps promote  
18  
19 staff's resilience, thereby protecting their mental health<sup>41</sup>.  
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25 Participants' accounts also suggest a perception that nurses and domestic staff—who  
26  
27 are more likely to be from ethnic minority backgrounds<sup>42</sup> and are particularly vulnerable to  
28  
29 infection due to their physical proximity to patients when providing care<sup>43</sup>—struggled to  
30  
31 secure adequate PPE, which was more easily available for senior staff. The perception that  
32  
33 PPE distribution was based on professional hierarchy is important. Previous research has  
34  
35 found that, among healthcare workers, the incidence of anxiety was highest among non-  
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37 medical healthcare staff, possibly because they had less first-hand information about the  
38  
39 disease and less training on infection control measures and PPE use<sup>44</sup>. Additionally, adequate  
40  
41 consultation of ethnic minority staff is especially important given they are already less likely  
42  
43 than non-minority counterparts to voice their opinions and raise concerns about inadequate  
44  
45 PPE, increasing the likelihood of their needs being overlooked<sup>36 45</sup>.  
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51 Racial inequalities were also reported in career advancement and treatment by  
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53 managers. This is consistent with reports that ethnic minority staff have been restricted to  
54  
55 certain NHS roles due to inequalities in career development and workplace and societal  
56  
57 discrimination, rendering them more likely to work in critical specialties and services during  
58  
59 the pandemic<sup>46</sup>. Moreover, ethnic minority staff are also more likely than non-ethnic minority  
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3 staff to experience excessive scrutiny and punishment<sup>47</sup> and discrimination<sup>48</sup> from their  
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5 managers.  
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8  
9 In terms of study limitations, the sample was self-selected and, as such, participants  
10 were likely to feel more strongly about their experience of the pandemic compared to the  
11 ethnic minority healthcare workforce as a whole. However, participants were purposively  
12 sought for their opinions to gain broad insights into this neglected area to inform further  
13 inquiry, rather than generating representative data. Second, there is the possibility that the  
14 facilitator's race (non-minority ethnic) biased the data by inhibiting open discussion<sup>49</sup>.  
15  
16 However, the facilitator has over 20 years' experience of health research in diverse settings  
17 including low- and middle-income countries, and JJ, who was present in both focus groups, is  
18 minority ethnic. In addition, discussions were frank and unimpeded, and evidence indicates  
19 the impacts of the interviewer's ethnicity are minimal<sup>50</sup>. Third, the sample size was  
20 potentially insufficient to achieve thematic saturation as this was an exploratory exercise to  
21 highlight largely unexamined thematic areas that are currently being further researched. To  
22 minimize the possibility of the authors' invalid interpretation of the data, the study used  
23 respondent validation among a sample of group participants.  
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41 Our novel study has clearly shown that ethnic minority NHS staff have experienced  
42 COVID-19 in a complex, multi-dimensional manner. The physical and psychological impact  
43 of the virus has been overlaid by wider occupational, attitudinal (including anticipatory  
44 racism) and socio-cultural experiences. A need exists to understand the extent to which these  
45 varied experiences are shared among ethnic minority NHS workers so more empathetic and  
46 supportive management and related occupational practices can be instituted.  
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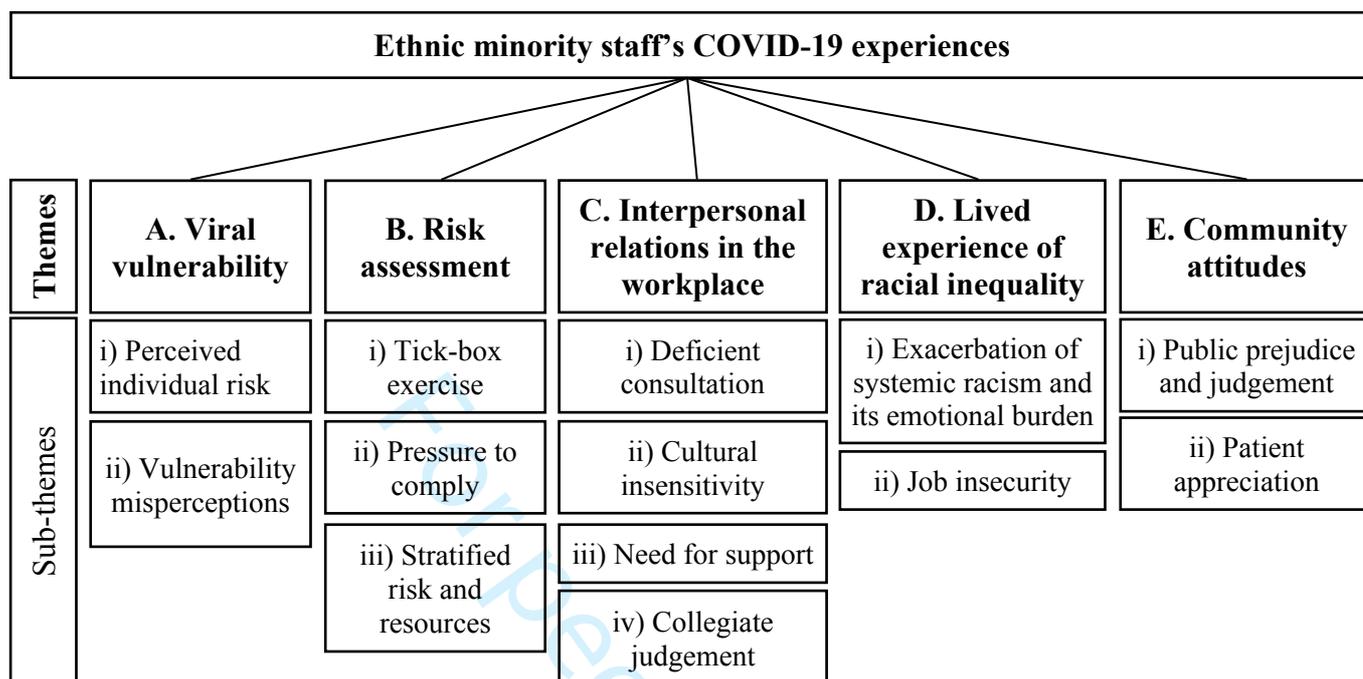
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**Figure 1:** Themes and subthemes identified through thematic analysis

## Focus group question guide

### General

1. In general terms, how do you think being from BAME backgrounds has impacted upon your experiences during COVID?

### Inside work experiences

2. Thinking of your experiences in your workplace, during COVID have you felt that you were treated differently from non-BAME staff? Is that by management and / or fellow workers? Why do you think that? Can you provide examples?
3. How do you think that your background has influenced your interactions with other staff members? What form has that taken? Why do you think that?
4. Did the way you were treated by your colleagues change during COVID compared to “normal times” (i.e., pre-COVID times)? If yes, how did it change? Can you give examples?
5. Did you notice any differences in the experiences of BAME and non-BAME staff during COVID? If yes, what form did they take?
6. Do you feel that you were given tasks/responsibilities that put you more at risk of contracting COVID than your non-BAME colleagues? If so, how did you respond in these situations? What was the subsequent response of the line manager requesting these tasks?
7. Do you feel others are responding to an aspect of your background in your dealings with them? If yes, what aspect do you think that is? (e.g., your ethnic group, physical appearance, culture, faith, etc).
8. Do you feel that your BAME background has affected the way you are treated by patients during COVID? If yes, please explain.
9. How well did you feel supported by your colleagues generally during COVID? If supported, how? If not supported, what support was absent and why do you think that was the case?

### Outside work experiences

10. How has your home life changed during COVID? If yes, how exactly?
11. Has this affected your work life? If yes, how exactly?
12. Has there been an impact upon your children and other family members arising from their BAME background? If yes, please explain.
13. Have you experienced any changes in public life (e.g., on public transport) that you think is linked to your BAME background? If yes, please explain.
14. Has your work life affected your home life in any way? Please explain how.

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15. If asking other BAME colleagues about their COVID experiences, what are the important questions to ask them?

16. Is there anything we didn't talk about which you think it would be useful to know?

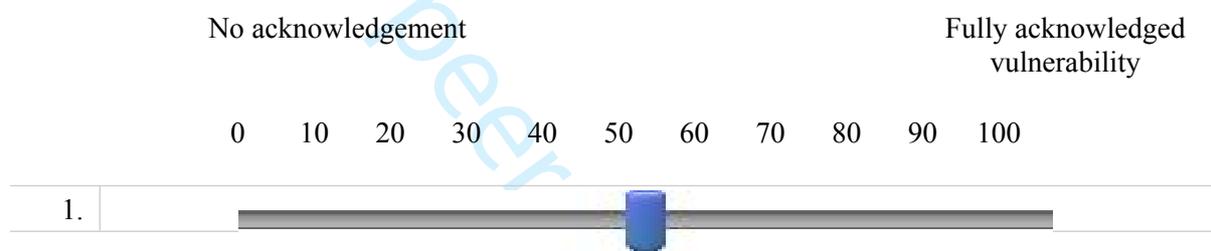
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3 **MeCareNWL survey module on the experiences of healthcare staff from minority**  
4 **ethnic backgrounds**  
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8 Q1 Do you feel your employer acknowledged BAME staff's increased vulnerability to  
9 COVID-19?  
10

- 11  Yes  
12  
13  No  
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15  
16  Don't know  
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20 Q1a To what extent do you feel your employer has acknowledged BAME staff's increased  
21 vulnerability to COVID-19?  
22



33 Q2 Do you feel your employer has accommodated BAME staff's increased risk from  
34 COVID-19?  
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- 36  Yes  
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38  No  
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3 Q2b What do you feel your employer **could have done** to accommodate BAME staff's  
4 increased risk from COVID-19?  
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25 Q3 Did your employer take actions that made you feel safer in terms of your vulnerability to  
26 the virus?  
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- 28  Yes  
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30  No  
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32  Not sure  
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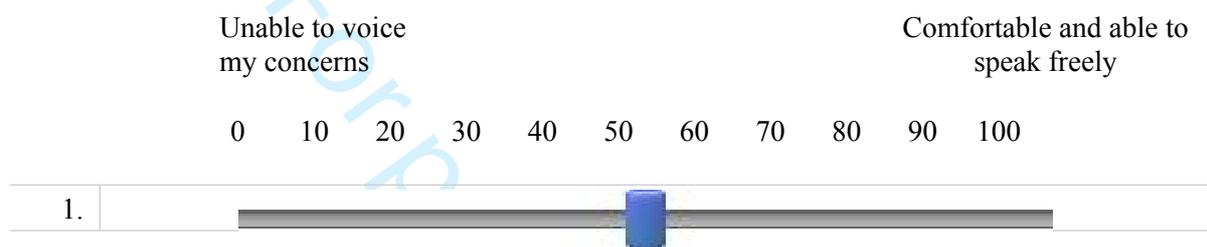
36  
37 Q3a What actions did your employer take that made you feel safer in terms of your  
38 vulnerability to the virus? (please select all that apply)  
39

- 40  Allowed me to work from home  
41  
42  Provided me with adequate PPE  
43  
44  Moved me to work in lower-risk wards / away from the front line  
45  
46  Other (please state) \_\_\_\_\_  
47  
48  
49  
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52  
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1  
2  
3 Q4 Do you feel able to speak to your manager about concerns regarding your heightened  
4 vulnerability to COVID-19 due to your ethnicity?  
5

- 6  Yes  
7  
8  No  
9  
10  Don't know  
11  
12  
13  
14

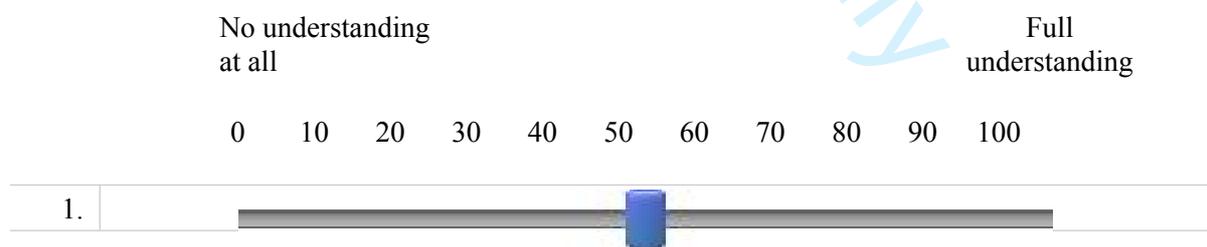
15 Q4a To what extent do you feel able to speak to your manager about concerns regarding your  
16 vulnerability to COVID-19?  
17



25  
26  
27  
28 Q5 Did you report any concerns related to your health vulnerability associated with your  
29 ethnicity to your managers?  
30

- 31  Yes  
32  
33  No  
34  
35  Don't know  
36  
37  
38  
39

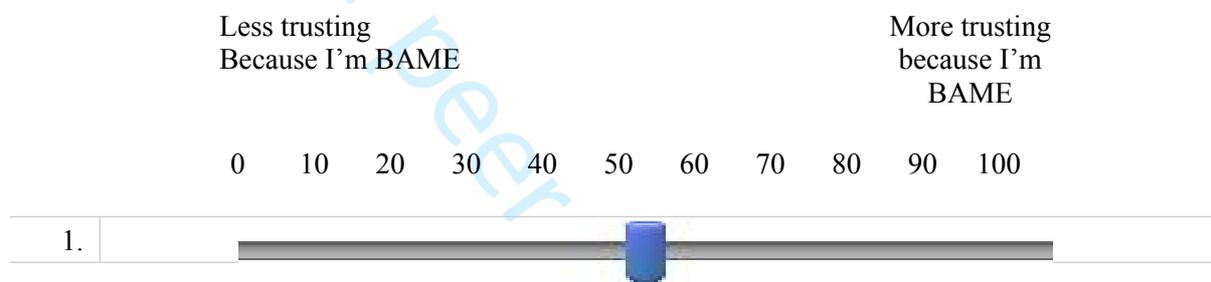
40 Q5a To what extent did you feel that your manager understood your concerns related to your  
41 health vulnerability associated with your ethnicity?  
42



Q6 If you worked from home during COVID-19, do you feel that your BAME status affected how much your manager trusted you while you were working from home?

- Yes
- No
- Don't know
- Didn't work from home

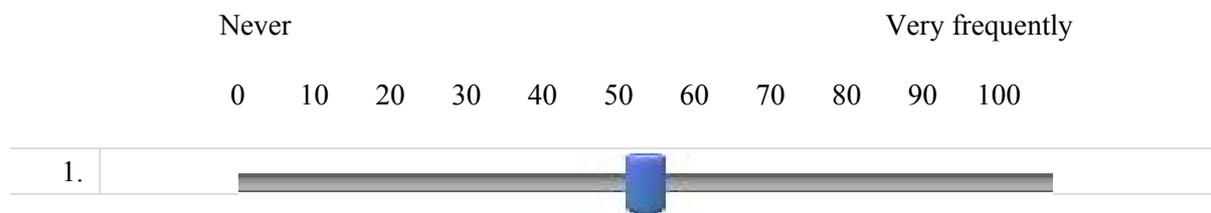
Q6a To what extent do you feel that your manager's trust of you while working from home is due to your ethnicity?



Q7 Do you feel that you have had to take risks greater than you are comfortable with?

- Yes
- No
- Don't know

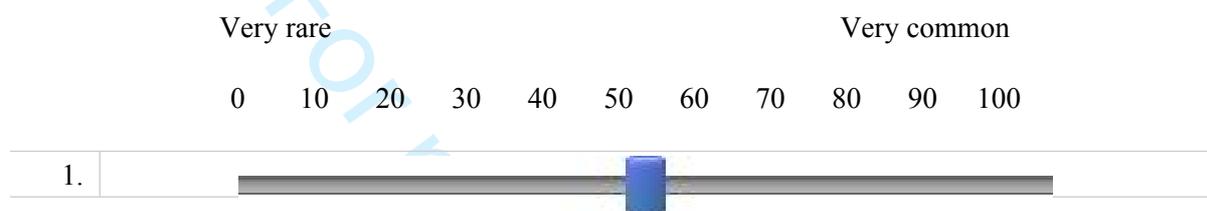
Q7a How frequently did you feel you had to take risks greater than you were comfortable with in your workplace?



Q8 Did BAME staff have to work in high-risk areas in your Trust / local authority / department / practice?

- Yes
- No
- Don't know

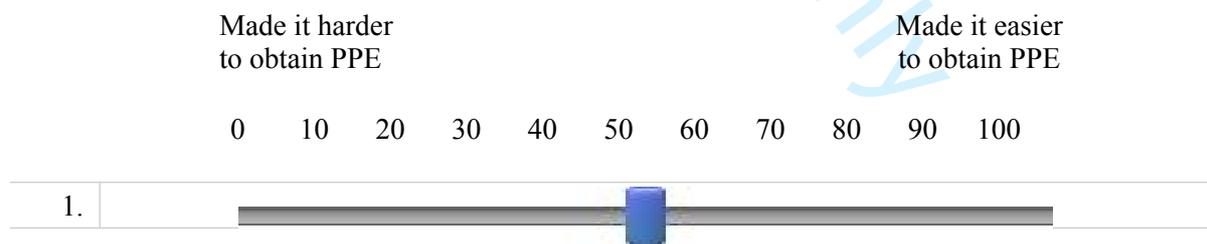
Q8a How common do you feel that the experience of BAME staff working in high-risk areas was in your Trust / local authority / department / practice?



Q9 Do you feel that your ethnic background played a role in your ability to obtain PPE?

- Yes
- No
- Don't know

Q9a To what extent do you feel that your ethnic background has played a role in your ability to obtain PPE?



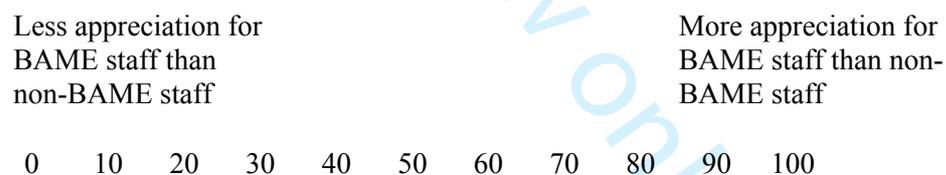
1  
2  
3 Q10 Within your workplace, which of the following provided you with a source of support  
4 when needed? (please select all that apply)  
5

- 6  Manager  
7  
8  BAME colleagues  
9  
10  Non-BAME colleagues  
11  
12  Trust staff support service  
13  
14  No source of support  
15  
16  Other (please specify) \_\_\_\_\_  
17  
18  
19  
20  
21

22 Q11 Did you feel that patients' level of appreciation for you and other BAME staff was  
23 different from their level of appreciation for non-BAME staff?  
24

- 25  Yes  
26  
27  No  
28  
29  Don't know  
30  
31  
32

33 Q11a How do you feel that patient's level of appreciation for staff differed between BAME  
34 staff and non-BAME staff?  
35



46  
47  
48 Q12 Did you **expect** to experience increased prejudice associated with your ethnic  
49 background as a result of COVID-19 **in your workplace**?  
50

- 51  Yes  
52  
53  No  
54  
55  Don't know  
56  
57  
58  
59  
60

1  
2  
3 Q13 Did you **actually** experience increased prejudice associated with your ethnic background  
4 as a result of COVID-19 **in your workplace**?

5  
6  
7  Yes

8  
9  No

10  
11  
12  Don't know

13  
14  
15 Q14 Did you **expect** to experience increased prejudice associated with your ethnic  
16 background as a result of COVID-19 **in the community**?

17  
18  
19  Yes

20  
21  
22  No

23  
24  
25  Don't know

26  
27 Q15 Did you **actually** experience increased prejudice associated with your ethnic background  
28 as a result of COVID-19 **in the community**?

29  
30  
31  Yes

32  
33  
34  No

35  
36  
37  Don't know

38  
39 Q16 What practical suggestions do you have that would help you feel **heard** by  
40 management?

41  
42  
43 \_\_\_\_\_

44  
45 Q17 What practical suggestions do you have that would help you feel **safer** in your  
46 workplace?

47  
48  
49 \_\_\_\_\_

## COREQ (COnsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

| Topic  | Item No. | Guide Questions/Description  | Reported on Page No. |
|--|----------|--|----------------------|
| <b>Domain 1: Research team and reflexivity</b> |          |  |                      |
| <i>Personal characteristics</i>                |          |  |                      |
| Interviewer/facilitator                        | 1        | Which author/s conducted the interview or focus group?   |                      |
| Credentials                                    | 2        | What were the researcher's credentials? E.g. PhD, MD   |                      |
| Occupation                                     | 3        | What was their occupation at the time of the study?  |                      |
| Gender   | 4        | Was the researcher male or female?   |                      |
| Experience and training                        | 5        | What experience or training did the researcher have?   |                      |
| <i>Relationship with participants</i>          |          |  |                      |
| Relationship established                       | 6        | Was a relationship established prior to study commencement?  |                      |
| Participant knowledge of the interviewer       | 7        | What did the participants know about the researcher? e.g. personal goals, reasons for doing the research   |                      |
| Interviewer characteristics                    | 8        | What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic                |                      |
| <b>Domain 2: Study design</b>                  |          |  |                      |
| <i>Theoretical framework</i>                   |          |  |                      |
| Methodological orientation and Theory          | 9        | What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis |                      |
| <i>Participant selection</i>                   |          |  |                      |
| Sampling                                       | 10       | How were participants selected? e.g. purposive, convenience, consecutive, snowball   |                      |
| Method of approach                             | 11       | How were participants approached? e.g. face-to-face, telephone, mail, email  |                      |
| Sample size                                    | 12       | How many participants were in the study?   |                      |
| Non-participation                              | 13       | How many people refused to participate or dropped out? Reasons?  |                      |
| <i>Setting</i>                                 |          |  |                      |
| Setting of data collection                     | 14       | Where was the data collected? e.g. home, clinic, workplace   |                      |
| Presence of non-participants                   | 15       | Was anyone else present besides the participants and researchers?  |                      |
| Description of sample                          | 16       | What are the important characteristics of the sample? e.g. demographic data, date  |                      |
| <i>Data collection</i>                         |          |  |                      |
| Interview guide                                | 17       | Were questions, prompts, guides provided by the authors? Was it pilot tested?  |                      |
| Repeat interviews                              | 18       | Were repeat interviews carried out? If yes, how many?  |                      |
| Audio/visual recording                         | 19       | Did the research use audio or visual recording to collect the data?  |                      |
| Field notes                                    | 20       | Were field notes made during and/or after the interview or focus group?  |                      |
| Duration                                       | 21       | What was the duration of the interviews or focus group?  |                      |
| Data saturation                                | 22       | Was data saturation discussed?   |                      |
| Transcripts returned                           | 23       | Were transcripts returned to participants for comment and/or   |                      |

| Topic                                  | Item No. | Guide Questions/Description  | Reported on Page No. |
|--|----------|--|----------------------|
|  |          | correction?  |                      |
| <b>Domain 3: analysis and findings</b> |          |  |                      |
| <i>Data analysis</i>                   |          |  |                      |
| Number of data coders                  | 24       | How many data coders coded the data?   |                      |
| Description of the coding tree         | 25       | Did authors provide a description of the coding tree?  |                      |
| Derivation of themes                   | 26       | Were themes identified in advance or derived from the data?  |                      |
| Software                               | 27       | What software, if applicable, was used to manage the data?   |                      |
| Participant checking                   | 28       | Did participants provide feedback on the findings?   |                      |
| <i>Reporting</i>                       |          |  |                      |
| Quotations presented                   | 29       | Were participant quotations presented to illustrate the themes/findings?<br>Was each quotation identified? e.g. participant number |                      |
| Data and findings consistent           | 30       | Was there consistency between the data presented and the findings?   |                      |
| Clarity of major themes                | 31       | Were major themes clearly presented in the findings?   |                      |
| Clarity of minor themes                | 32       | Is there a description of diverse cases or discussion of minor themes?   |                      |

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

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# BMJ Open

## **“We weren't checked in on, nobody spoke to us”: An exploratory qualitative analysis of ethnic minority NHS staff concerns during COVID-19**

|                                 |   |
|---------------------------------|---|
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| Article Type:                   | Original research   |
| Date Submitted by the Author:   | 28-Sep-2021   |
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| <b>Primary Subject Heading</b>: | Mental health   |
| Secondary Subject Heading:      | Health services research, Qualitative research  |
| Keywords:                       | COVID-19, MENTAL HEALTH, Health & safety < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, OCCUPATIONAL & INDUSTRIAL MEDICINE  |
|                                 |   |

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**“We weren't checked in on, nobody spoke to us”: An exploratory  
qualitative analysis of ethnic minority NHS staff concerns during COVID-  
19**

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3 **Statement of ethics approval:** The MeCare study received ethical approval from the Health  
4  
5 Research Authority (IRAS Project ID 290383), which included permission to co-create a  
6  
7 module addressing questions specifically for ethnic minority populations with input from  
8  
9 Patient and Public Involvement (PPI) colleagues, project partners and other experts by  
10  
11 experience. Participants gave their informed consent before taking part.  
12  
13

14  
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21 publication are those of the authors and not necessarily those of the National Institute for  
22  
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24  
25

26  
27 **Competing interests:** None declared.  
28  
29

30 **Data sharing statement:** No additional data are available.  
31  
32

33 **Author contributions:** JJ, RAP, VB, and DN conceptualised the study. RAP conducted the  
34  
35 focus groups. JJ, RAP, and VB analysed the data. JJ wrote the draft with support from RAP,  
36  
37 VB, and DN. RAP, VB, and DN managed the overall design of the study.  
38  
39

40 **Non-author contributors:** John Norton and Sandra Jayacodi, partners of the National  
41  
42 Institute for Health Research ARC Northwest London PPI Initiative, verified data  
43  
44 interpretation and reviewed the final manuscript.  
45  
46

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48  
49 and sharing their experiences.  
50  
51

## 52 **Figures**

53  
54  
55 Figure 1: Thematic Experiences of Ethnic Minority Healthcare Staff During COVID-19  
56  
57

## 58 **Supplementary Materials**

59  
60

1. Interview guide for the focus groups
2. Survey module created based on the focus groups

For peer review only

## ABSTRACT

### Objective

To examine the multi-faceted, lived-experience impact of COVID-19 on ethnic minority healthcare staff to co-create a module of questions for follow-up online surveys on the wellbeing of healthcare staff during the pandemic.

### Design

A cross-sectional design using two online focus groups among ethnic minority healthcare workers who worked in care or supportive roles in a hospital, community health or GP surgery setting for at least 12 months.

### Participants

Thirteen healthcare workers (11 female) aged 26 to 62 years from diverse ethnic minority backgrounds, ten working in clinical roles.

### Results

Five primary thematic domains emerged: 1) *viral vulnerability*, centring around perceived individual risk and vulnerability perceptions; 2) *risk assessment*, comprising of pressures to comply, perception of a tick-box exercise, and issues with risk and resource stratification; 3) *interpersonal relations in the workplace*, highlighting deficient consultation of ethnic minority staff, cultural insensitivity, need for support, and collegiate judgement; 4) *lived experience of racial inequality*, consisting of job insecurity and the exacerbation of systemic racism and its emotional burden; 5) *community attitudes*, including public prejudice and judgement, and patient appreciation.

## Conclusions

Our novel study has shown ethnic minority NHS staff have experienced COVID-19 in a complex, multi-dimensional manner. Future research should enumerate the extent to which these varied thematic experiences are shared among ethnic minority NHS workers so that more empathetic and supportive management and related occupational practices can be instituted.

## ARTICLE SUMMARY

### Strengths and limitations of this study

- This is one of the first studies to examine qualitatively the experiences of ethnic minority healthcare staff during the COVID-19 pandemic.
- Due to the self-selected nature of the sample, the experiences of participants may not be representative of the ethnic minority healthcare workforce as a whole.
- Female healthcare workers were disproportionately represented in our sample.
- Given its exploratory nature, the sample size was potentially insufficient to achieve data saturation.

**KEYWORDS:** COVID-19; Mental Health; Occupational Health; Health and Safety

## INTRODUCTION

COVID-19 has adversely impacted the occupational roles and physical and mental wellbeing of healthcare staff<sup>1</sup>. Many have experienced disrupted support structures, redeployment to areas outside their professional training<sup>2</sup>—sometimes engaging in tasks that transgress individual moral consciences and values<sup>3</sup>—limited resources and vital medical equipment<sup>4</sup>, and unprecedented patient service demand amid rapidly changing care guidelines<sup>5</sup>. Staff are not only vulnerable to contracting the virus but at risk of work stress, moral injury, and mental ill-health, including clinical depression, post-traumatic stress disorder, substance misuse, and suicide<sup>6</sup>.

Ethnic minority care staff have been disproportionately affected by the pandemic's clinical impact<sup>7</sup>. The impact is multi-faceted: economic, social, attitudinal and cultural, as well as occupational, physical and psychological<sup>8 9</sup>. Understanding these broader impacts can facilitate a more holistic and empathetic understanding of the lived experiences of COVID-19 among health care staff from ethnic minorities, generating greater awareness of health-seeking behaviour in and outside the workplace, and perceived impediments to seeking and receiving support<sup>10 11</sup>. Increased understanding can also inform public health campaigns addressing, for example, disinclination to accept vaccines<sup>12-14</sup>.

The MeCare study in Northwest (NW) London, collaborating with partners in the larger *NHS Check* study<sup>15 16</sup>, is longitudinally examining the mental health and wellbeing of National Health Service (NHS) staff (including general practitioners) in NW London—where 49% of NHS staff are from ethnic minority backgrounds<sup>17</sup>—and exploring how to support staff during pandemics. This paper reports on the co-creation of a module of questions targeted towards staff from ethnic minority backgrounds as part of follow-up online surveys.

## METHOD

### Participants

We explored the experiences of ethnic minority healthcare workers selected through purposive sampling. Participants were recruited through Patient and Public Involvement (PPI) networks and promotional materials circulated among NHS trusts. Participants were adults from an ONS<sup>18</sup> ethnic minority background who worked in care or supportive roles in a hospital, community health or GP surgery setting for at least 12 months.

Before beginning, the purpose of the focus group, the purpose of recording the sessions, the anonymisation of responses for analysis and publication were reiterated. Participants were made aware that the sessions were to be recorded to facilitate subsequent analysis and informed that by attending they were agreeing to the recording of their contribution. The recordings were only to be available to the research team and were to be destroyed after they had been transcribed. Participants were also reminded that they could withdraw their participation at any time from the sessions with no consequences but that their responses up to that withdrawal point would be retained. No participants withdrew from the online meeting or afterwards; however, two participants decided not to participate on the day of the sessions: one because of IT connectivity challenges, the other because of a work emergency. Participants were offered the opportunity to ask any questions before providing their informed consent orally before taking part.

### Data collection

Two 2-hour focus groups of 6 and 7 participants were held online—shown to generate idea diversity comparable to in-person equivalents<sup>19</sup>—using Microsoft Teams (MT) and facilitated by RAP, with participants offered the choice of having their session cameras live or not. VB was present at the beginning of both sessions to introduce the research and JJ was

1  
2  
3 present as a note-taker throughout both sessions. Field notes were taken by JJ on key points  
4  
5 and the conversations were recorded using MT's software, from which manuscripts were  
6  
7 produced. Both groups followed the study interview guide (Supplementary File 1), which  
8  
9 was reactively adapted, in terms of questioning order and prompts. The interview guide was  
10  
11 developed based on existing research and news reports on the experiences of ethnic minority  
12  
13 healthcare staff in the UK during the pandemic, and upon guidance from an expert team at  
14  
15 King's College London and independently reviewed and refined by RP, VB and JJ. In  
16  
17 conducting the group discussions, RAP ensured each participant was able to understand and  
18  
19 respond to each question, if they so wished. Participants also had the opportunity to respond  
20  
21 to each other's comments and responses.  
22  
23  
24

### 25 26 27 **Data analysis**

28  
29 Discussions were transcribed verbatim and managed using MTs' automatic caption  
30  
31 generation software and manually checked for errors. RAP, VB and JJ undertook  
32  
33 independent content analysis using the template analysis approach<sup>20</sup>, under a constructivist  
34  
35 qualitative research paradigm, combining deductive and inductive analyses. The study team  
36  
37 subsequently met to reach consensus on emergent themes and sub-themes. To ensure the  
38  
39 veracity of data interpretation, the findings and draft survey questions were validated by  
40  
41 member checking with a sample of group participants<sup>21</sup>.  
42  
43  
44

### 45 46 47 **Patient and public involvement**

48  
49 Participants were recruited through PPI representatives and derived separately from  
50  
51 the MeCare study's target population, they were not direct study participants. JN and SJ are  
52  
53 public partners of the Applied Research Collaboration in NW London and provided feedback  
54  
55 on data interpretation.  
56  
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## RESULTS

The final sample included 13 healthcare workers (11 female) with a mean age of 42.7 years (SD = 10.3 years). Ethnically the sample was comprised of: Eastern European (n = 1), Indian (n = 2), Mixed White and Black Caribbean (n = 1), Caribbean (n = 1), Mixed White and Asian (n = 1), African (n = 2), Arab (n = 1), Bangladeshi (n = 1), Asian Other (n = 2), and Other, in this instance Mixed North African and Eastern European, (n = 1). Eleven worked in clinical roles in primary and secondary care settings (one GP, three consultants, one nurse, one clinical lead at an urgent care team, two hospital doctors, one pharmacist, one patient housing officer, and one psychological wellbeing practitioner); two worked in administrative roles (one hospital administrator and one assistant improvement manager).

The five primary thematic domains that emerged were: viral vulnerability, risk assessment, interpersonal relationships in the workplace, lived experience of racial inequality, and community attitudes (Figure 1).

**Figure 1:** Themes and sub-themes identified through thematic analysis.

### 1) Viral vulnerability

#### a) Perceived individual risk

Participants were sensitive to their increased risk of infection compared to non-ethnic minority colleagues in the same roles. They discussed the impact of this heightened risk on their emotional wellbeing, reporting personal anxiety and emotional distress.

1  
2  
3 P12: “I think most upsetting was the initial kind of response and risk  
4 stratifications and the deaths of people I knew or knew by indirect means.”  
5  
6  
7  
8  
9

10  
11 Participants also expressed frustration that individual vulnerability was insufficiently  
12 acknowledged within their teams and organisations, a shortcoming one participant suggested  
13 was associated with the fact that it was ethnic minorities rather than the non-minority  
14 population who were affected.  
15  
16  
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20  
21  
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25 P2: “If the prevalence and incidence was affecting the White majority  
26 population more than the Black population, maybe something could be done  
27 differently.”  
28  
29  
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#### 38 b) Vulnerability misperceptions

39  
40 Several participants expressed frustration about fundamental misunderstandings  
41 regarding the reasons for increased infection risk among ethnic minorities: in public  
42 discourse and government reports, differences in viral vulnerability were frequently attributed  
43 to individual factors (personal hygiene practices, individual obesity). Participants felt  
44 insufficient attention was paid to structural determinants, from exposure differentials to  
45 unconscious racism.  
46  
47  
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58 P3: “That research was basically saying that [ethnic minorities] are at more  
59 risk because they are obese, they have got Type 2 diabetes, they’re living in  
60

1  
2  
3 overcrowded situations and all that. [...] They did not consider that this  
4  
5 could be just because we are exposed more.”  
6  
7  
8  
9

10  
11 P13: “It's just being aware that it's not entirely biological, the risk that they  
12  
13 face. Some of the reasons why we saw people from BAME [Black, Asian  
14  
15 and minority ethnic] background suffer a lot more was because of their  
16  
17 social circumstances as well.”  
18  
19  
20  
21  
22

## 23 **2) Risk assessment**

### 24 a) Tick-box exercise

25  
26  
27  
28  
29 Many participants felt risk assessment exercises had not been treated seriously by  
30  
31 their managers and organisations. Although some felt their employer accommodated their  
32  
33 identified increased risk, many reported not seeing tangible protective actions for vulnerable  
34  
35 ethnic minority staff. By not emphasising the importance of completing risk assessments, and  
36  
37 taking minimal action following them, management made staff feel the assessment was a  
38  
39 tick-box exercise rather than a considered strategy to reduce staff risk.  
40  
41  
42  
43  
44  
45

46  
47 P3: “I wasn't able to understand what the point of that risk assessment  
48  
49 was and if somebody was found to be high risk population, whether  
50  
51 something different was done for that person.”  
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3 b) Pressure to comply  
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6 Despite awareness of ethnic minority communities' COVID-19 vulnerability,  
7  
8 participants reported feeling under pressure to continue frontline working. This arose both  
9  
10 from managers and an innate sense of duty, with a sense of guilt associated with not seeing  
11  
12 in-person patients among higher-risk staff members who were shielding and had moved to  
13  
14 remote working.  
15  
16

17  
18  
19  
20  
21 P11: "I did feel quite guilty about not being at work seeing patients. [...]

22  
23 Every Thursday for a while [my neighbours] were all standing outside  
24  
25 clapping and I didn't go outside. I stayed inside and hid because I felt like a  
26  
27 complete fraud."  
28  
29

30  
31  
32  
33 Other participants who were still working in person frequently felt they had little choice  
34  
35 but to continue working in high-risk positions.  
36  
37

38  
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40  
41 P5: "You kind of feel you're obligated to follow through your duties, but  
42  
43 there still is that major risk of putting yourself or your health at risk."  
44  
45

46  
47  
48  
49 In terms of extrinsic pressure, the demands on NHS frontline staff limited the  
50  
51 alternatives to positioning ethnic minority staff in frontline care provision. Consequently,  
52  
53 many reported no choice but to maintain their position on high-risk wards—even when  
54  
55 Personal Protective Equipment (PPE) was in short supply—and being pressured to return to  
56  
57 work shortly after COVID-19 diagnoses.  
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5  
6 P5: “If you pull out all the people on the frontline, then who's going to  
7  
8 actually do the work? Who's gonna fill in those gaps?”  
9

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13  
14 P12: “They were desperate to get people on the ground and there was this  
15  
16 whole thing about ‘it's a respiratory virus and it only lasts 2 weeks max’. So,  
17  
18 many members of staff were harassed, told ‘you have to go back, your two  
19  
20 weeks are up’.”  
21  
22

23  
24  
25  
26 P4: “Your personal risk doesn't matter, the managers are managers, they're  
27  
28 there to get the job done, to apply the pressure coming from above. So, if  
29  
30 you're a nurse in a unit that is short-staffed and you turn up to work and  
31  
32 they don't have [the necessary PPE], you either get on with your job  
33  
34 knowing that you're at high risk, or you become a problem.”  
35  
36  
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40

#### 41 c) Stratified risk and resources

42  
43  
44 Several participants identified flaws in the risk and resultant resources stratification,  
45  
46 feeling the organisation's workplace hierarchy was imposed on the risk assessment. They  
47  
48 contended the distribution of PPE was based on professional hierarchy rather than individual  
49  
50 vulnerability.  
51

52  
53  
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55  
56 P4: “The distribution of PPE seems to have followed a hierarchical  
57  
58 structure. So, medics are walking around with 3M rubber fit-sealed  
59  
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3 masks, although they don't spend that much time in COVID areas, while  
4  
5 some of the Filipino nurses are struggling to find a 3M mask that fits  
6  
7 them. And then when it comes to risk assessing the domestic staff,  
8  
9 they're an afterthought.”  
10  
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### 16 **3) Interpersonal relations in the workplace**

#### 17 18 a) Deficient consultation

19  
20  
21 The perception of blame for increased vulnerability to COVID-19 being ascribed to  
22  
23 ethnic minority communities and a disregard for the role of structural factors fuelled belief in  
24  
25 a lack of understanding of ethnic minority experiences. Moreover, the view that attempts to  
26  
27 remedy this deficiency were lacking reinforced the sense that larger racial issues were  
28  
29 disregarded and thereby unsolved. Participants reported ethnic minorities were excluded from  
30  
31 conversations concerning them—such as the construction of risk assessments—and felt the  
32  
33 impacts of their vulnerability on their wellbeing were disregarded within organisations.  
34  
35  
36  
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39

40  
41 P1: “We weren't checked in on, nobody spoke to us to see if we were  
42  
43 okay, how we felt about it. It just wasn't really made a big deal of. It  
44  
45 wasn't something that mattered.”  
46  
47  
48  
49

50  
51 P2: “The issue of not being heard, as somebody from the BAME  
52  
53 community. 'Cause I felt like, up to now, nobody's really asked me  
54  
55 ‘What do you want? What can we do to make you feel protected?’ So,  
56  
57 I'm aware that the risk assessment was imposed by other people from  
58  
59  
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1  
2  
3 other organisations. [...] It was again that issue of them discussing about  
4  
5 us without us.”  
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9

## 10 11 b) Cultural insensitivity 12

13  
14 The disconnect between ethnic and non-ethnic minority staff was associated with  
15  
16 difficulties sharing concerns with non-minority supervisors. Several participants cited  
17  
18 cultural differences and the associated lack of understanding of ethnic minority staff's  
19  
20 experiences, needs, and problems as the principal barrier to discussing concerns with non-  
21  
22 ethnic minority managers. Indeed, it was suggested that a common background with one's  
23  
24 supervisor would facilitate communication and increase feelings of being supported.  
25  
26  
27  
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30

31 P4: “I was staying in the trust hotel and went out for a walk [...] I was  
32  
33 subsequently stopped and searched by police, assaulted. Trying to explain  
34  
35 that to my manager resulted in the eye-rolling from them, and them not  
36  
37 really understanding the consequences of that.”  
38  
39  
40  
41  
42

43 P3: “It is always much easier to explain to people who are from your own  
44  
45 cultural background or somebody who has experienced the same kind of  
46  
47 thing rather than actually going to your manager who has got no idea what  
48  
49 you're talking about.”  
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3 For example, the difficulty obtaining hair caps, needed by ethnic minority staff who do  
4 not wash their hair daily, highlighted the impact of under-representation of such staff in  
5 senior positions and ethnic minority staff's ability to perform their jobs.  
6  
7  
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10  
11 c) Need for support  
12

13 Several participants stated they did not feel adequately supported by their employer and  
14 their manager during the pandemic. Some felt particularly unsupported when their supervisor  
15 was from a non-ethnic minority background, making it difficult to know their concerns related  
16 to ethnicity were heard. For participants who felt they had organisational support, it was seen  
17 as improving their wellbeing and may have mitigated the effects of racial injustices they were  
18 increasingly aware of and subjected to during the pandemic.  
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29

30 P3: "Will [ethnic minority staff] feel more comfortable and more supported  
31 if they have somebody appointed that they can approach if they have any  
32 issues? [...] Will they feel more comfortable if that person is from the same  
33 cultural background?"  
34  
35  
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42

43 P11: "I think people were quite protective of me [because I'm ethnic  
44 minority] and I personally didn't find that paternalistic or patronising. I felt  
45 cared for."  
46  
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48  
49  
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51  
52

53 d) Collegiate judgement  
54

55 Several participants were wary of special treatment being accorded to them, fearing it  
56 might negatively impact how they are viewed by non-ethnic minority colleagues. It was  
57  
58  
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1  
2  
3 suggested some ethnic minority staff may have been reluctant to be risk-stratified and made  
4  
5 to work from home for fear of being negatively judged by team members.  
6  
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10  
11 P11: “There were a significant minority of people who, although my  
12  
13 recommendation was that they should work from home, they chose to not  
14  
15 follow guidance and they wanted to see patients face to face. [...] I suspect  
16  
17 that might have been because they were worried about their position in the  
18  
19 team and about bullying.”  
20  
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23  
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26 Participants also noted that, in some cases, the special attention given to ethnic minority  
27  
28 individuals was experienced as discrimination and a cause for bullying.  
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31  
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34 P11: “There were a lot of people who were BAME who did feel that  
35  
36 there was a spotlight being shone upon them. [...] A lot of them didn't  
37  
38 feel that that was positive. They felt that it could be seen as quite  
39  
40 discriminatory, as them being given special privileges.”  
41  
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46 Moreover, several participants were defensive of the reasons for doing their jobs,  
47  
48 emphasising their interest in, and passion for, their work and denying ulterior motives, such  
49  
50 as hopes to receive special treatment.  
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56 P9: “It's work that the BAME group tends to have interest in, and we  
57  
58 do it with a love for it, rather than wanting to be specially treated. I  
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3 think sometimes when there's a lot of talk about BAME groups, it can  
4  
5 be a little bit patronising because you feel that's a career that you've  
6  
7 always wanted to do.”  
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12  
13 This further highlights the fear of one's job performance being judged by colleagues  
14  
15 based on ethnicity.  
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#### 21 **4) Lived experience of racial inequality**

##### 23 a) Exacerbation of systemic racism and its emotional burden

24  
25  
26  
27 There was a strong sense that existing structural workplace inequality and the  
28  
29 emotional burden of racial injustice were exacerbated during the pandemic. Participants felt  
30  
31 ethnic minority staff have a distinct disadvantage in career advancement, noting they had to  
32  
33 work harder than non-minority counterparts to progress their careers. Crucially, several  
34  
35 participants felt that increased COVID-19 vulnerability compounded career advancement  
36  
37 challenges, and that the pandemic provided an opportunity for unconscious bias among  
38  
39 management to manifest in career advancement opportunities given to non-ethnic minority  
40  
41 staff.  
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49 P11: “I think that there's something similar [to being female] about being  
50  
51 BAME compared to being White, where you know you have to work so  
52  
53 much harder to get where you want to go to. [...] Then there's COVID  
54  
55 affecting us in a more serious way, [...] it's another disadvantage.”  
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3 P4: “There are at least a few cases of people in the same staff group occupying  
4 two bandings. And unfortunately, BAME staff at one, slightly lower, band. And  
5  
6 management have taken it upon themselves to use the chaos that’s going on to  
7  
8 promote the same types of people that always get promoted.”  
9  
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16 Additionally, participants noted the emotional burden of the pandemic is especially  
17  
18 high among ethnic minority staff and that the Black Lives Matter movement compounded  
19  
20 feelings of racial injustice evoked in the workplace during the pandemic and highlighted  
21  
22 structural, societal problems.  
23  
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30 P1: “All of the Black Lives Matter stuff that was going on, and George Floyd and  
31  
32 the protests, the whole attitude around them in the workplace was just  
33  
34  
35 exacerbating people's feelings and made it very difficult. It felt like you were  
36  
37  
38  
39 being attacked from all sides.”  
40  
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#### 46 b) Job insecurity

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49 Participants also reported the perception that working from home may threaten their job  
50  
51 due to racial inequality in the workplace and that managers were less trusting of ethnic  
52  
53 minority staff working from home than non-ethnic minority counterparts.  
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3 P11: “I found that the drawback [of working from home] was I wasn't  
4  
5 getting my face seen [at work]. [...] I actually almost felt that my job was  
6  
7 threatened, I became very anxious and very insecure about my place within  
8  
9 the team.”  
10  
11  
12  
13  
14

15 P9: “[At the time I was working from home] I had a colleague I was  
16  
17 working with who felt that that pressure [from managers] was given to the  
18  
19 ethnic minority group because we were not trusted to be doing what we  
20  
21 should be doing.”  
22  
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24  
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## 28 **5) Community attitudes**

### 29 a) Public prejudice and judgement

30  
31  
32 The racial injustice experienced by ethnic minority staff in their workplace also  
33  
34 occurred in the community, where participants reported witnessing racist slurs against East  
35  
36 Asians and anticipating an increase in such attitudes towards themselves.  
37  
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44 P10: “I've felt a lot more worried about having sort of racial attacks or  
45  
46 racial comments pointed in my direction, given some of the narrative  
47  
48 around COVID, such as the ‘Chinese Virus’.”  
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54 Additionally, the ethnicity-based judgements participants reported receiving from the  
55  
56 public reinforced their understanding that ethnic minority staff were seen as less than non-  
57  
58 ethnic minority colleagues in society.  
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6 P5: "You feel like although you're coming to do something positive but  
7  
8 at the same time other people might be making all sorts of judgments as  
9  
10 to what you're doing."

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15  
16 P5: "One of my neighbours said I shouldn't be going outside, so, I said  
17  
18 'No, I work for the NHS' and automatically his response was 'Oh! Are  
19  
20 you a cleaner?'"  
21  
22

#### 23 24 25 26 b) Patient appreciation 27

28  
29 Despite racial prejudices experienced in the community, participants noted patients  
30  
31 showed an increased recognition of the value ethnic minority communities bring to the NHS  
32  
33 and felt this increased patient appreciation was reflective of a broader attitudinal shift towards  
34  
35 their key role.  
36  
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41  
42 P6: "[Patients] were very happy to be seen, happy to be taken care of, they  
43  
44 were politer. They really appreciated what we were doing."  
45  
46  
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49  
50 P1: "I think there was a shift in [patients'] attitude towards NHS staff in  
51  
52 general, which was refreshing."  
53  
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56  
57 In contrast, others were sceptical of whether this recognition was indicative of a real  
58  
59 attitudinal shift, recalling past NHS criticism. Moreover, participants highlighted a disconnect  
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3 between the public's appreciation of the NHS, expressed in weekly street clapping, and  
4  
5 practical acknowledgement of the risk to which NHS staff are regularly exposed.  
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10  
11 P13: "When people would clap for the NHS I would think 'Oh my God  
12  
13 they're clapping. What are they talking about? Do they even know what  
14  
15 happens inside?'"  
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## 21 **DISCUSSION**

22  
23  
24 This exploratory group process examined the lived experiences of healthcare staff from  
25  
26 ethnic minority backgrounds during COVID-19 to develop an ethnically empathetic module  
27  
28 for follow-up survey stages in the MeCare study (Supplementary File 2). The five primary  
29  
30 themes that emerged from our research have highlighted key areas of concern and neglect  
31  
32 that need to be enumerated and investigated further to appreciate the extent to which they are  
33  
34 shared by ethnic minority staff.  
35  
36  
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38  
39 These concerns are consistent with research regarding the depression, anxiety, and  
40  
41 stress associated with perceived COVID-19 risk and the pandemic's impact on ethnic  
42  
43 minority communities<sup>22-24</sup>. The perceived pressure to work despite individual risk can  
44  
45 significantly impact staff's work performance and mental health. For example,  
46  
47 presenteeism—individuals presenting at work but operating sub-optimally due to health  
48  
49 issues—can have serious consequences if it leads to poor, slow, or incorrect decision-  
50  
51 making<sup>25</sup>. Moreover, Shah et al.<sup>26</sup> reported that during the pandemic, ethnic minority staff  
52  
53 faced dilemmas around fulfilling their duty and continuing to deliver patient clinical care or  
54  
55 exercising mitigating actions to avoid high-risk environments. This is especially relevant  
56  
57 given the elevated extrinsic pressure on ethnic minority NHS frontline staff amid surges in  
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3 COVID-19 cases<sup>27</sup>, combined with staffing shortages<sup>28</sup> and the high proportion of ethnic  
4  
5 minority NHS staff<sup>17</sup>.  
6  
7

8 Ethnic minority staff's mental health is likely to also be impacted by a disconnect  
9  
10 between ethnic minority and non-minority staff. Indeed, post-trauma social support from  
11  
12 managers influences staff's long-term mental health status<sup>29 30</sup>, and discussion of ethnic  
13  
14 minority staff's experiences during COVID-19 with non-minority supervisors can help foster  
15  
16 improved attitudes towards mental health in the workplace<sup>31</sup>. The disconnect was manifested  
17  
18 in fear of bullying, which is consistent with evidence that ethnic minority staff are more  
19  
20 likely than non-minority colleagues to experience staff bullying<sup>32 33</sup>. Additionally,  
21  
22 participants' sense that the pandemic exacerbated existing emotional burdens regarding  
23  
24 systemic racism is consistent with an interplay between racism and the pandemic<sup>34</sup>. This may  
25  
26 also contribute to longer-term mental ill-health—including the psychological consequences  
27  
28 of trauma exposure<sup>29 35 36</sup>—among ethnic minority staff<sup>37</sup>. On a positive note, however, the  
29  
30 public's acknowledgement of work undertaken by staff during the pandemic helps promote  
31  
32 staff's resilience, thereby protecting their mental health<sup>38</sup>.  
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39 Participants' accounts also suggest a perception that nurses and domestic staff—who  
40  
41 are more likely to be from ethnic minority backgrounds<sup>39</sup> and are particularly vulnerable to  
42  
43 infection due to their physical proximity to patients when providing care<sup>40</sup>—struggled to  
44  
45 secure adequate PPE, which was more easily available for senior staff. The perception that  
46  
47 PPE distribution was based on professional hierarchy is important. Previous research has  
48  
49 found that, among healthcare workers, the incidence of anxiety was highest among non-  
50  
51 medical healthcare staff, possibly because they had less first-hand information about the  
52  
53 disease and less training on infection control measures and PPE use<sup>41</sup>. Additionally, adequate  
54  
55 consultation of ethnic minority staff is especially important given they are already less likely  
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3 than non-minority counterparts to voice their opinions and raise concerns about inadequate  
4  
5 PPE, increasing the likelihood of their needs being overlooked<sup>33 42</sup>.  
6  
7

8 Racial inequalities were also reported in career advancement and treatment by  
9  
10 managers. This is consistent with reports that ethnic minority staff have been restricted to  
11  
12 certain NHS roles due to inequalities in career development and workplace and societal  
13  
14 discrimination, rendering them more likely to work in critical specialties and services during  
15  
16 the pandemic<sup>43</sup>. Moreover, ethnic minority staff are also more likely than non-ethnic minority  
17  
18 staff to experience excessive scrutiny and punishment<sup>44</sup> and discrimination<sup>45</sup> from their  
19  
20 managers.  
21  
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25 In terms of study limitations, an important limitation was that the sample was self-  
26  
27 selected and, as such, participants were likely to feel more strongly about their experience of  
28  
29 the pandemic compared to the ethnic minority workforce as a whole. However, participants  
30  
31 were purposively sought for their opinions to gain broad insights into this neglected area to  
32  
33 inform further inquiry, rather than generating representative data. The MeCare module that  
34  
35 this research informed will provide an opportunity to collect data from a broader range of  
36  
37 ethnic minority staff and enable exploration of whether these experiences were representative  
38  
39 and resonate with ethnic minority staff.  
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44 Second, female healthcare workers were disproportionately represented in our sample,  
45  
46 potentially omitting specifically male experiences during the pandemic. Third, there is the  
47  
48 possibility that the facilitator's race (non-minority ethnic) biased the data by inhibiting open  
49  
50 discussion<sup>46</sup>. However, the facilitator has over 20 years' experience of health research in  
51  
52 diverse settings including low- and middle-income countries, and JJ, who was present in both  
53  
54 focus groups, is minority ethnic. In addition, discussions were frank and unimpeded, and  
55  
56 evidence indicates the impacts of the interviewer's ethnicity are minimal<sup>47</sup>. Fourth, the  
57  
58 sample size was potentially insufficient to achieve thematic saturation as this was an  
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3 exploratory exercise to highlight largely unexamined thematic areas that are currently being  
4  
5 further researched. To minimize the possibility of the authors' invalid interpretation of the  
6  
7 data, the study used respondent validation among a sample of group participants.  
8  
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10  
11 Our novel study has clearly shown that ethnic minority NHS staff have experienced  
12  
13 COVID-19 in a complex, multi-dimensional manner. The physical and psychological impact  
14  
15 of the virus has been overlaid by wider occupational, attitudinal (including anticipatory  
16  
17 racism) and socio-cultural experiences. A need exists to understand the extent to which these  
18  
19 varied experiences are shared among ethnic minority NHS workers so more empathetic and  
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21 supportive management and related occupational practices can be instituted.  
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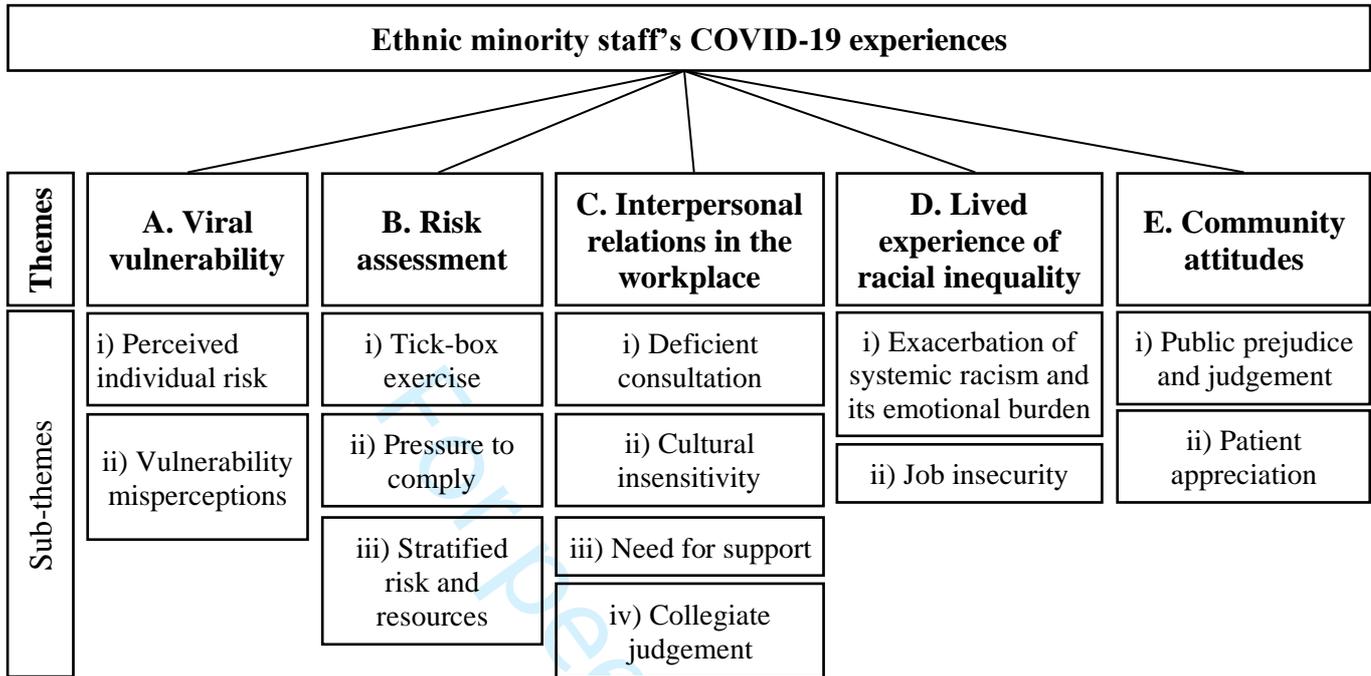
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**Figure 1:** Themes and subthemes identified through thematic analysis



## Focus group question guide

### General

1. In general terms, how do you think being from BAME backgrounds has impacted upon your experiences during COVID?

### Inside work experiences

2. Thinking of your experiences in your workplace, during COVID have you felt that you were treated differently from non-BAME staff? Is that by management and / or fellow workers? Why do you think that? Can you provide examples?
3. How do you think that your background has influenced your interactions with other staff members? What form has that taken? Why do you think that?
4. Did the way you were treated by your colleagues change during COVID compared to “normal times” (i.e., pre-COVID times)? If yes, how did it change? Can you give examples?
5. Did you notice any differences in the experiences of BAME and non-BAME staff during COVID? If yes, what form did they take?
6. Do you feel that you were given tasks/responsibilities that put you more at risk of contracting COVID than your non-BAME colleagues? If so, how did you respond in these situations? What was the subsequent response of the line manager requesting these tasks?
7. Do you feel others are responding to an aspect of your background in your dealings with them? If yes, what aspect do you think that is? (e.g., your ethnic group, physical appearance, culture, faith, etc).
8. Do you feel that your BAME background has affected the way you are treated by patients during COVID? If yes, please explain.
9. How well did you feel supported by your colleagues generally during COVID? If supported, how? If not supported, what support was absent and why do you think that was the case?

### Outside work experiences

10. How has your home life changed during COVID? If yes, how exactly?
11. Has this affected your work life? If yes, how exactly?
12. Has there been an impact upon your children and other family members arising from their BAME background? If yes, please explain.
13. Have you experienced any changes in public life (e.g., on public transport) that you think is linked to your BAME background? If yes, please explain.
14. Has your work life affected your home life in any way? Please explain how.

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3 15. If asking other BAME colleagues about their COVID experiences, what are  
4 the important questions to ask them?  
5

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7 16. Is there anything we didn't talk about which you think it would be useful to know?  
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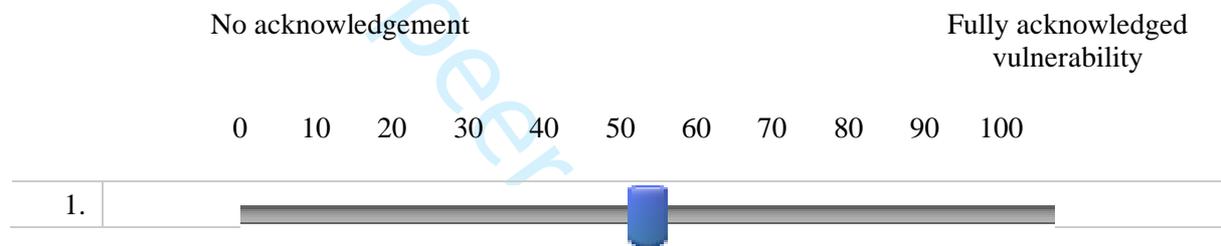
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3 **MeCareNWL survey module on the experiences of healthcare staff from minority**  
4 **ethnic backgrounds**  
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8 Q1 Do you feel your employer acknowledged BAME staff's increased vulnerability to  
9 COVID-19?  
10

- 11  Yes  
12  
13  No  
14  
15  
16  Don't know  
17  
18  
19

20 Q1a To what extent do you feel your employer has acknowledged BAME staff's increased  
21 vulnerability to COVID-19?  
22



33 Q2 Do you feel your employer has accommodated BAME staff's increased risk from  
34 COVID-19?  
35

- 36  Yes  
37  
38  No  
39  
40  
41  Don't know  
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3 Q2b What do you feel your employer **could have done** to accommodate BAME staff's  
4 increased risk from COVID-19?  
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- 7  1. \_\_\_\_\_  
8  
9  2. \_\_\_\_\_  
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11  3. \_\_\_\_\_  
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13  4. \_\_\_\_\_  
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15  5. \_\_\_\_\_  
16  
17  6. \_\_\_\_\_  
18  
19  7. \_\_\_\_\_  
20  
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23

24  
25 Q3 Did your employer take actions that made you feel safer in terms of your vulnerability to  
26 the virus?  
27

- 28  Yes  
29  
30  No  
31  
32  Not sure  
33  
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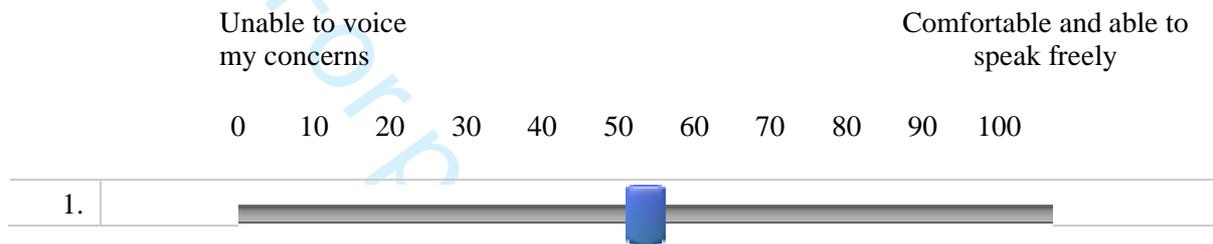
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37 Q3a What actions did your employer take that made you feel safer in terms of your  
38 vulnerability to the virus? (please select all that apply)  
39

- 40  
41  Allowed me to work from home  
42  
43  Provided me with adequate PPE  
44  
45  Moved me to work in lower-risk wards / away from the front line  
46  
47  Other (please state)  
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3 Q4 Do you feel able to speak to your manager about concerns regarding your heightened  
4 vulnerability to COVID-19 due to your ethnicity?  
5

- 6  Yes  
7  
8  No  
9  
10  Don't know  
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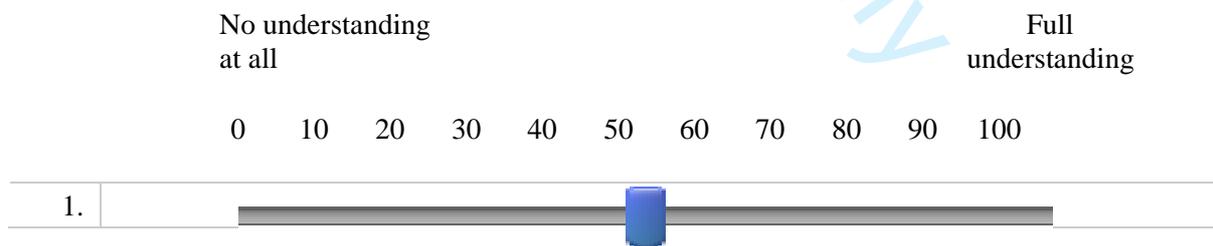
15 Q4a To what extent do you feel able to speak to your manager about concerns regarding your  
16 vulnerability to COVID-19?  
17



25  
26  
27  
28 Q5 Did you report any concerns related to your health vulnerability associated with your  
29 ethnicity to your managers?  
30

- 31  Yes  
32  
33  No  
34  
35  Don't know  
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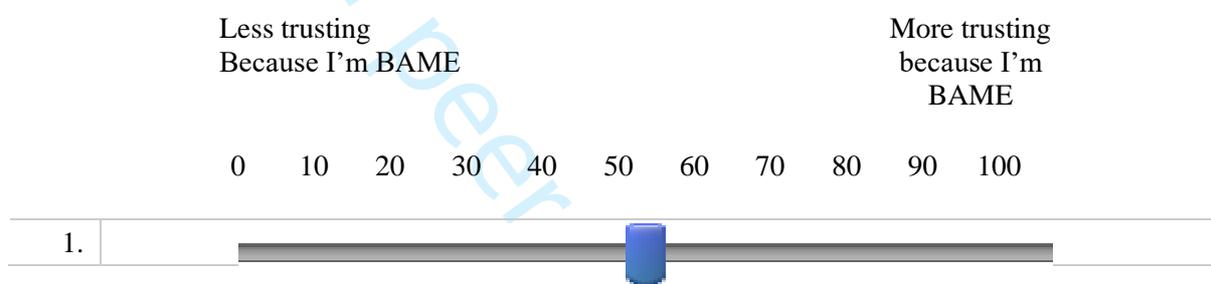
40 Q5a To what extent did you feel that your manager understood your concerns related to your  
41 health vulnerability associated with your ethnicity?  
42



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4 Q6 If you worked from home during COVID-19, do you feel that your BAME status affected  
5 how much your manager trusted you while you were working from home?  
6  
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- 8  Yes  
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10  No  
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12  Don't know  
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14  Didn't work from home  
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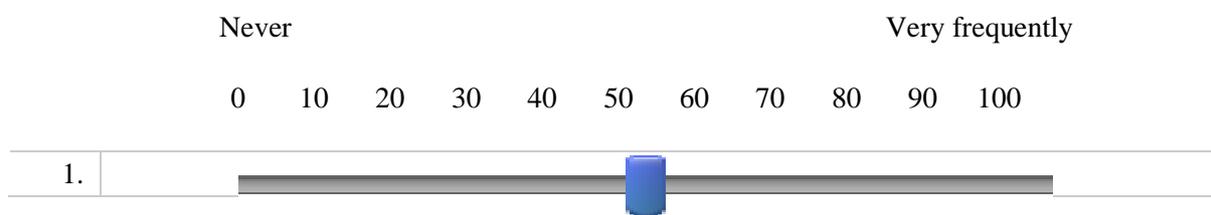
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19 Q6a To what extent do you feel that your manager's trust of you while working from home is  
20 due to your ethnicity?  
21



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31  
32  
33 Q7 Do you feel that you have had to take risks greater than you are comfortable with?  
34

- 35  Yes  
36  
37  No  
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39  Don't know  
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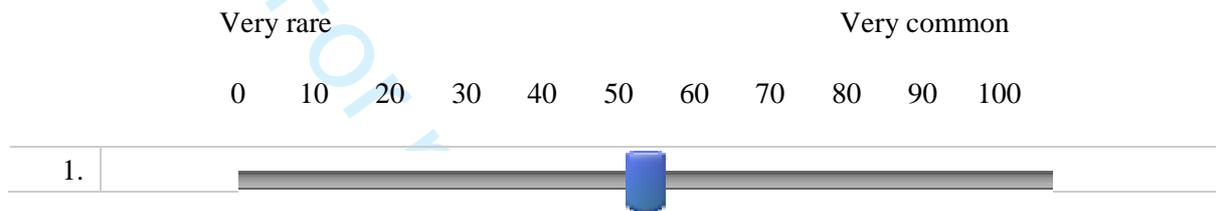
43  
44 Q7a How frequently did you feel you had to take risks greater than you were comfortable  
45 with in your workplace?  
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3 Q8 Did BAME staff have to work in high-risk areas in your Trust / local authority /  
4 department / practice?  
5

- 6  Yes  
7  
8  No  
9  
10  Don't know  
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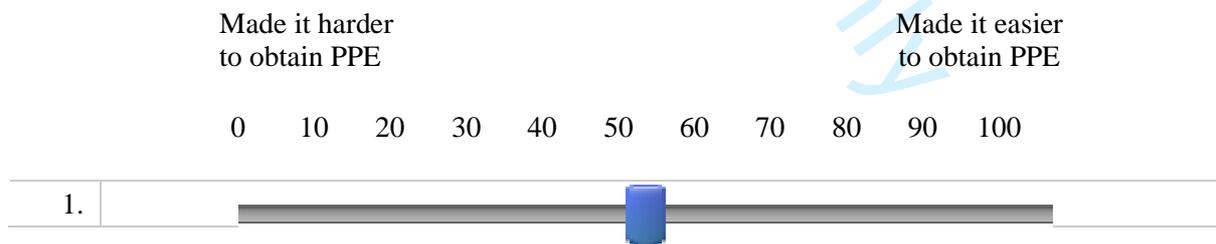
15 Q8a How common do you feel that the experience of BAME staff working in high-risk areas  
16 was in your Trust / local authority / department / practice?  
17



24  
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27  
28 Q9 Do you feel that your ethnic background played a role in your ability to obtain PPE?  
29

- 30  Yes  
31  
32  No  
33  
34  Don't know  
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39 Q9a To what extent do you feel that your ethnic background has played a role in your ability  
40 to obtain PPE?  
41



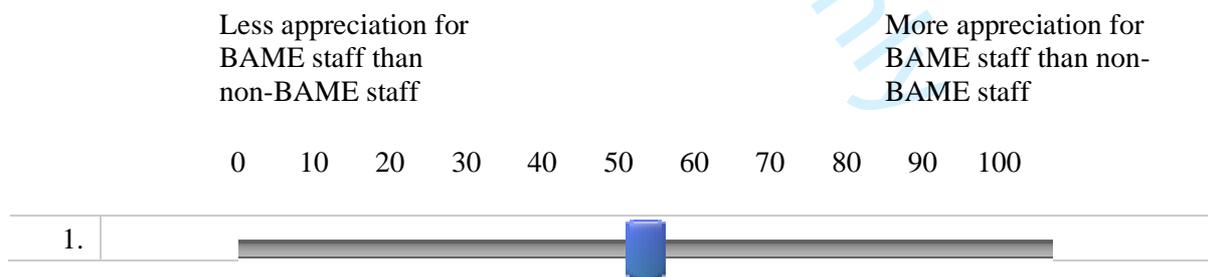
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3 Q10 Within your workplace, which of the following provided you with a source of support  
4 when needed? (please select all that apply)  
5

- 6  Manager  
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8  
9  BAME colleagues  
10  
11  
12  Non-BAME colleagues  
13  
14  
15  Trust staff support service  
16  
17  
18  No source of support  
19  
20  
21  Other (please specify)  
22  
23
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24  
25  
26 Q11 Did you feel that patients' level of appreciation for you and other BAME staff was  
27 different from their level of appreciation for non-BAME staff?  
28

- 29  
30  Yes  
31  
32  No  
33  
34  
35  Don't know  
36  
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38  
39 Q11a How do you feel that patient's level of appreciation for staff differed between BAME  
40 staff and non-BAME staff?  
41



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3  
4 Q12 Did you **expect** to experience increased prejudice associated with your ethnic  
5 background as a result of COVID-19 **in your workplace**?

6  
7  
8  Yes

9  
10  No

11  
12  Don't know

13  
14  
15  
16 Q13 Did you **actually** experience increased prejudice associated with your ethnic background  
17 as a result of COVID-19 **in your workplace**?

18  
19  Yes

20  
21  No

22  
23  Don't know

24  
25  
26  
27 Q14 Did you **expect** to experience increased prejudice associated with your ethnic  
28 background as a result of COVID-19 **in the community**?

29  
30  Yes

31  
32  No

33  
34  Don't know

35  
36  
37  
38 Q15 Did you **actually** experience increased prejudice associated with your ethnic background  
39 as a result of COVID-19 **in the community**?

40  
41  Yes

42  
43  No

44  
45  Don't know

46  
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48  
49 Q16 What practical suggestions do you have that would help you feel **heard** by  
50 management?

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3 Q17 What practical suggestions do you have that would help you feel **safer** in your  
4 workplace?  
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## COREQ (COnsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

| Topic  | Item No. | Guide Questions/Description  | Reported on Page No. |
|--|----------|--|----------------------|
| <b>Domain 1: Research team and reflexivity</b> |          |  |                      |
| <i>Personal characteristics</i>                |          |  |                      |
| Interviewer/facilitator                        | 1        | Which author/s conducted the interview or focus group?   |                      |
| Credentials                                    | 2        | What were the researcher's credentials? E.g. PhD, MD   |                      |
| Occupation                                     | 3        | What was their occupation at the time of the study?  |                      |
| Gender   | 4        | Was the researcher male or female?   |                      |
| Experience and training                        | 5        | What experience or training did the researcher have?   |                      |
| <i>Relationship with participants</i>          |          |  |                      |
| Relationship established                       | 6        | Was a relationship established prior to study commencement?  |                      |
| Participant knowledge of the interviewer       | 7        | What did the participants know about the researcher? e.g. personal goals, reasons for doing the research   |                      |
| Interviewer characteristics                    | 8        | What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic                |                      |
| <b>Domain 2: Study design</b>                  |          |  |                      |
| <i>Theoretical framework</i>                   |          |  |                      |
| Methodological orientation and Theory          | 9        | What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis |                      |
| <i>Participant selection</i>                   |          |  |                      |
| Sampling                                       | 10       | How were participants selected? e.g. purposive, convenience, consecutive, snowball   |                      |
| Method of approach                             | 11       | How were participants approached? e.g. face-to-face, telephone, mail, email  |                      |
| Sample size                                    | 12       | How many participants were in the study?   |                      |
| Non-participation                              | 13       | How many people refused to participate or dropped out? Reasons?  |                      |
| <i>Setting</i>                                 |          |  |                      |
| Setting of data collection                     | 14       | Where was the data collected? e.g. home, clinic, workplace   |                      |
| Presence of non-participants                   | 15       | Was anyone else present besides the participants and researchers?  |                      |
| Description of sample                          | 16       | What are the important characteristics of the sample? e.g. demographic data, date  |                      |
| <i>Data collection</i>                         |          |  |                      |
| Interview guide                                | 17       | Were questions, prompts, guides provided by the authors? Was it pilot tested?  |                      |
| Repeat interviews                              | 18       | Were repeat interviews carried out? If yes, how many?  |                      |
| Audio/visual recording                         | 19       | Did the research use audio or visual recording to collect the data?  |                      |
| Field notes                                    | 20       | Were field notes made during and/or after the interview or focus group?  |                      |
| Duration                                       | 21       | What was the duration of the interviews or focus group?  |                      |
| Data saturation                                | 22       | Was data saturation discussed?   |                      |
| Transcripts returned                           | 23       | Were transcripts returned to participants for comment and/or   |                      |

| Topic                                  | Item No. | Guide Questions/Description  | Reported on Page No. |
|--|----------|--|----------------------|
|  |          | correction?  |                      |
| <b>Domain 3: analysis and findings</b> |          |  |                      |
| <i>Data analysis</i>                   |          |  |                      |
| Number of data coders                  | 24       | How many data coders coded the data?   |                      |
| Description of the coding tree         | 25       | Did authors provide a description of the coding tree?  |                      |
| Derivation of themes                   | 26       | Were themes identified in advance or derived from the data?  |                      |
| Software                               | 27       | What software, if applicable, was used to manage the data?   |                      |
| Participant checking                   | 28       | Did participants provide feedback on the findings?   |                      |
| <i>Reporting</i>                       |          |  |                      |
| Quotations presented                   | 29       | Were participant quotations presented to illustrate the themes/findings?<br>Was each quotation identified? e.g. participant number |                      |
| Data and findings consistent           | 30       | Was there consistency between the data presented and the findings?   |                      |
| Clarity of major themes                | 31       | Were major themes clearly presented in the findings?   |                      |
| Clarity of minor themes                | 32       | Is there a description of diverse cases or discussion of minor themes?   |                      |

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

**Once you have completed this checklist, please save a copy and upload it as part of your submission. DO NOT include this checklist as part of the main manuscript document. It must be uploaded as a separate file.**

# BMJ Open

## **“We weren't checked in on, nobody spoke to us”: An exploratory qualitative analysis of two focus groups on the concerns of ethnic minority NHS staff during COVID-19**

|                                 |   |
|---------------------------------|---|
| Journal:                        | <i>BMJ Open</i>   |
| Manuscript ID                   | bmjopen-2021-053396.R2  |
| Article Type:                   | Original research   |
| Date Submitted by the Author:   | 25-Oct-2021   |
| Complete List of Authors:       | Jesuthasan, Jehanita; Imperial College London Faculty of Medicine, Department of Brain Sciences<br>Powell, Richard A; Imperial College London School of Public Health, Department of Primary Care and Public Health; NIHR Collaboration for Leadership in Applied Health Research and Care for Northwest London<br>Burmester, Victoria; Imperial College London Faculty of Medicine, Department of Brain Sciences<br>Nicholls, Dasha; Imperial College London Faculty of Medicine, Department of Brain Sciences; NIHR Collaboration for Leadership in Applied Health Research and Care for Northwest London |
| <b>Primary Subject Heading</b>: | Mental health   |
| Secondary Subject Heading:      | Health services research, Qualitative research  |
| Keywords:                       | COVID-19, MENTAL HEALTH, Health & safety < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, OCCUPATIONAL & INDUSTRIAL MEDICINE  |
|                                 |   |

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3 **“We weren't checked in on, nobody spoke to us”**: An exploratory  
4 **qualitative analysis of two focus groups on the concerns of ethnic minority**  
5  
6 **NHS staff during COVID-19**  
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2  
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6  
7 module addressing questions specifically for ethnic minority populations with input from  
8  
9 Patient and Public Involvement (PPI) colleagues, project partners and other experts by  
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12  
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25

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34  
35 focus groups. JJ, RAP, and VB analysed the data. JJ wrote the draft with support from RAP,  
36  
37 VB, and DN. RAP, VB, and DN managed the overall design of the study.  
38  
39

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41  
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43  
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48  
49 and sharing their experiences.  
50  
51

## 52 **Figures**

53

54  
55 Figure 1: Thematic Experiences of Ethnic Minority Healthcare Staff During COVID-19  
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## Supplementary Materials

1. Interview guide for the focus groups
2. Survey module created based on the focus groups

For peer review only

## ABSTRACT

### Objective

To gain exploratory insights into the multi-faceted, lived-experience impact of COVID-19 on a small sample of ethnic minority healthcare staff to co-create a module of questions for follow-up online surveys on the wellbeing of healthcare staff during the pandemic.

### Design

A cross-sectional design using two online focus groups among ethnic minority healthcare workers who worked in care or supportive roles in a hospital, community health or GP surgery setting for at least 12 months.

### Participants

Thirteen healthcare workers (11 female) aged 26 to 62 years from diverse ethnic minority backgrounds, eleven working in clinical roles.

### Results

Five primary thematic domains emerged: 1) *viral vulnerability*, centring around perceived individual risk and vulnerability perceptions; 2) *risk assessment*, comprising of pressures to comply, perception of a tick-box exercise, and issues with risk and resource stratification; 3) *interpersonal relations in the workplace*, highlighting deficient consultation of ethnic minority staff, cultural insensitivity, need for support, and collegiate judgement; 4) *lived experience of racial inequality*, consisting of job insecurity and the exacerbation of systemic racism and its emotional burden; 5) *community attitudes*, including public prejudice and judgement, and patient appreciation.

## Conclusions

Our novel study has shown ethnic minority NHS staff have experienced COVID-19 in a complex, multi-dimensional manner. Future research with a larger sample should further examine the complexity of these experiences and should enumerate the extent to which these varied thematic experiences are shared among ethnic minority NHS workers so that more empathetic and supportive management and related occupational practices can be instituted.

## ARTICLE SUMMARY

### Strengths and limitations of this study

- This is one of the first studies to examine qualitatively the experiences of ethnic minority healthcare staff during the COVID-19 pandemic.
- Due to the self-selected nature of the sample, the experiences of participants may not be representative of the ethnic minority healthcare workforce as a whole.
- Female healthcare workers were disproportionately represented in our sample.
- Given its exploratory nature, the sample size was potentially insufficient to achieve data saturation and to be representative of the diversity of experiences of ethnic minority staff in the NHS.

**KEYWORDS:** COVID-19; Mental Health; Occupational Health; Health and Safety

## INTRODUCTION

COVID-19 has adversely impacted the occupational roles and physical and mental wellbeing of healthcare staff<sup>1</sup>. Many have experienced disrupted support structures, redeployment to areas outside their professional training<sup>2</sup>—sometimes engaging in tasks that transgress individual moral consciences and values<sup>3</sup>—limited resources and vital medical equipment<sup>4</sup>, and unprecedented patient service demand amid rapidly changing care guidelines<sup>5</sup>. Staff are not only vulnerable to contracting the virus but at risk of work stress, moral injury, and mental ill-health, including clinical depression, post-traumatic stress disorder, substance misuse, and suicide<sup>6</sup>.

Ethnic minority care staff have been disproportionately affected by the pandemic's clinical impact<sup>7</sup>. The impact is multi-faceted: economic, social, attitudinal and cultural, as well as occupational, physical and psychological<sup>8 9</sup>. Understanding these broader impacts can facilitate a more holistic and empathetic understanding of the lived experiences of COVID-19 among health care staff from ethnic minorities, generating greater awareness of health-seeking behaviour in and outside the workplace, and perceived impediments to seeking and receiving support<sup>10 11</sup>. Increased understanding can also inform public health campaigns addressing, for example, disinclination to accept vaccines<sup>12-14</sup>.

The MeCare study in Northwest (NW) London, collaborating with partners in the larger *NHS Check* study<sup>15 16</sup>, is longitudinally examining the mental health and wellbeing of National Health Service (NHS) staff (including general practitioners) in NW London—where 49% of NHS staff are from ethnic minority backgrounds<sup>17</sup>—and exploring how to support staff during pandemics. This paper reports on the co-creation of a module of questions targeted towards staff from ethnic minority backgrounds as part of follow-up online surveys.

## METHOD

### Participants

We explored the experiences of ethnic minority healthcare workers selected through purposive sampling. Participants were recruited through Patient and Public Involvement (PPI) networks and promotional materials circulated among NHS trusts. Participants were adults from an ONS<sup>18</sup> ethnic minority background who worked in care or supportive roles in a hospital, community health or GP surgery setting for at least 12 months.

Before beginning, the purpose of the focus group, the purpose of recording the sessions, the anonymisation of responses for analysis and publication were reiterated. Participants were made aware that the sessions were to be recorded to facilitate subsequent analysis and informed that by attending they were agreeing to the recording of their contribution. The recordings were only to be available to the research team and were to be destroyed after they had been transcribed. Participants were also reminded that they could withdraw their participation at any time from the sessions with no consequences but that their responses up to that withdrawal point would be retained. No participants withdrew from the online meeting or afterwards; however, two participants decided not to participate on the day of the sessions: one because of IT connectivity challenges, the other because of a work emergency. Participants were offered the opportunity to ask any questions before providing their informed consent orally before taking part.

### Data collection

Two 2-hour focus groups of 6 and 7 participants were held online—shown to generate idea diversity comparable to in-person equivalents<sup>19</sup>—using Microsoft Teams (MT) and facilitated by RAP, with participants offered the choice of having their session cameras live or not. VB was present at the beginning of both sessions to introduce the research and JJ was

1  
2  
3 present as a note-taker throughout both sessions. Field notes were taken by JJ on key points  
4  
5 and the conversations were recorded using MT's software, from which manuscripts were  
6  
7 produced. Both groups followed the study interview guide (Supplementary File 1), which  
8  
9 was reactively adapted, in terms of questioning order and prompts. The interview guide was  
10  
11 developed based on existing research and news reports on the experiences of ethnic minority  
12  
13 healthcare staff in the UK during the pandemic, and upon guidance from an expert team at  
14  
15 King's College London and independently reviewed and refined by RP, VB and JJ. In  
16  
17 conducting the group discussions, RAP ensured each participant was able to understand and  
18  
19 respond to each question, if they so wished. Participants also had the opportunity to respond  
20  
21 to each other's comments and responses.  
22  
23  
24

## 25 26 27 **Data analysis**

28  
29 Discussions were transcribed verbatim and managed using MTs' automatic caption  
30  
31 generation software and manually checked for errors. RAP, VB and JJ undertook  
32  
33 independent content analysis using the template analysis approach<sup>20</sup>, under a constructivist  
34  
35 qualitative research paradigm, combining deductive and inductive analyses. The study team  
36  
37 subsequently met to reach consensus on emergent themes and sub-themes. To ensure the  
38  
39 veracity of data interpretation, the findings and draft survey questions were validated by  
40  
41 member checking with a sample of group participants<sup>21</sup>.  
42  
43  
44

## 45 46 47 **Patient and public involvement**

48  
49 Participants were recruited through PPI representatives and derived separately from  
50  
51 the MeCare study's target population, they were not direct study participants. JN and SJ are  
52  
53 public partners of the Applied Research Collaboration in NW London and provided feedback  
54  
55 on data interpretation.  
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## RESULTS

The final sample included 13 healthcare workers (11 female) with a mean age of 42.7 years (SD = 10.3 years). Ethnically the sample was comprised of: Eastern European (n = 1), Indian (n = 2), Mixed White and Black Caribbean (n = 1), Caribbean (n = 1), Mixed White and Asian (n = 1), African (n = 2), Arab (n = 1), Bangladeshi (n = 1), Asian Other (n = 2), and Other, in this instance Mixed North African and Eastern European, (n = 1). Eleven worked in clinical roles in primary and secondary care settings (one GP, three consultants, one nurse, one clinical lead at an urgent care team, two hospital doctors, one pharmacist, one patient housing officer, and one psychological wellbeing practitioner); two worked in administrative roles (one hospital administrator and one assistant improvement manager).

The five primary thematic domains that emerged were: viral vulnerability, risk assessment, interpersonal relationships in the workplace, lived experience of racial inequality, and community attitudes (Figure 1).

**Figure 1:** Themes and sub-themes identified through thematic analysis.

### 1) Viral vulnerability

#### a) Perceived individual risk

Participants were sensitive to their increased risk of infection compared to non-ethnic minority colleagues in the same roles. They discussed the impact of this heightened risk on their emotional wellbeing, reporting personal anxiety and emotional distress.

1  
2  
3 P12: “I think most upsetting was the initial kind of response and risk  
4 stratifications and the deaths of people I knew or knew by indirect means.”  
5  
6  
7  
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9

10  
11 Participants also expressed frustration that individual vulnerability was insufficiently  
12 acknowledged within their teams and organisations, a shortcoming one participant suggested  
13 was associated with the fact that it was ethnic minorities rather than the non-minority  
14 population who were affected.  
15  
16  
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18  
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20  
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22  
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24  
25 P2: “If the prevalence and incidence was affecting the White majority  
26 population more than the Black population, maybe something could be done  
27 differently.”  
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#### 38 b) Vulnerability misperceptions 39

40  
41 Several participants expressed frustration about fundamental misunderstandings  
42 regarding the reasons for increased infection risk among ethnic minorities: in public  
43 discourse and government reports, differences in viral vulnerability were frequently attributed  
44 to individual factors (personal hygiene practices, individual obesity). Participants felt  
45 insufficient attention was paid to structural determinants, from exposure differentials to  
46 unconscious racism.  
47  
48  
49  
50  
51  
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58 P3: “That research was basically saying that [ethnic minorities] are at more  
59 risk because they are obese, they have got Type 2 diabetes, they’re living in  
60

1  
2  
3 overcrowded situations and all that. [...] They did not consider that this  
4  
5 could be just because we are exposed more.”  
6  
7  
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10  
11 P13: “It's just being aware that it's not entirely biological, the risk that they  
12  
13 face. Some of the reasons why we saw people from BAME [Black, Asian  
14  
15 and minority ethnic] background suffer a lot more was because of their  
16  
17 social circumstances as well.”  
18  
19  
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21  
22

## 23 **2) Risk assessment**

### 24 a) Tick-box exercise

25  
26  
27  
28  
29 Many participants felt risk assessment exercises had not been treated seriously by  
30  
31 their managers and organisations. Although some felt their employer accommodated their  
32  
33 identified increased risk, many reported not seeing tangible protective actions for vulnerable  
34  
35 ethnic minority staff. By not emphasising the importance of completing risk assessments, and  
36  
37 taking minimal action following them, management made staff feel the assessment was a  
38  
39 tick-box exercise rather than a considered strategy to reduce staff risk.  
40  
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46  
47 P3: “I wasn't able to understand what the point of that risk assessment  
48  
49 was and if somebody was found to be high risk population, whether  
50  
51 something different was done for that person.”  
52  
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2  
3 b) Pressure to comply  
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6 Despite awareness of ethnic minority communities' COVID-19 vulnerability,  
7  
8 participants reported feeling under pressure to continue frontline working. This arose both  
9  
10 from managers and an innate sense of duty, with a sense of guilt associated with not seeing  
11  
12 in-person patients among higher-risk staff members who were shielding and had moved to  
13  
14 remote working.  
15  
16

17  
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20  
21 P11: "I did feel quite guilty about not being at work seeing patients. [...]

22  
23 Every Thursday for a while [my neighbours] were all standing outside  
24  
25 clapping and I didn't go outside. I stayed inside and hid because I felt like a  
26  
27 complete fraud."  
28  
29

30  
31  
32  
33 Other participants who were still working in person frequently felt they had little choice  
34  
35 but to continue working in high-risk positions.  
36  
37

38  
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40  
41 P5: "You kind of feel you're obligated to follow through your duties, but  
42  
43 there still is that major risk of putting yourself or your health at risk."  
44  
45

46  
47  
48  
49 In terms of extrinsic pressure, the demands on NHS frontline staff limited the  
50  
51 alternatives to positioning ethnic minority staff in frontline care provision. Consequently,  
52  
53 many reported no choice but to maintain their position on high-risk wards—even when  
54  
55 Personal Protective Equipment (PPE) was in short supply—and being pressured to return to  
56  
57 work shortly after COVID-19 diagnoses.  
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6 P5: "If you pull out all the people on the frontline, then who's going to  
7  
8 actually do the work? Who's gonna fill in those gaps?"  
9

10  
11  
12  
13  
14 P12: "They were desperate to get people on the ground and there was this  
15  
16 whole thing about 'it's a respiratory virus and it only lasts 2 weeks max'. So,  
17  
18 many members of staff were harassed, told 'you have to go back, your two  
19  
20 weeks are up'."

21  
22  
23  
24  
25  
26 P4: "Your personal risk doesn't matter, the managers are managers, they're  
27  
28 there to get the job done, to apply the pressure coming from above. So, if  
29  
30 you're a nurse in a unit that is short-staffed and you turn up to work and  
31  
32 they don't have [the necessary PPE], you either get on with your job  
33  
34 knowing that you're at high risk, or you become a problem."  
35  
36  
37  
38  
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40

#### 41 c) Stratified risk and resources

42  
43  
44 Several participants identified flaws in the risk and resultant resources stratification,  
45  
46 feeling the organisation's workplace hierarchy was imposed on the risk assessment. They  
47  
48 contended the distribution of PPE was based on professional hierarchy rather than individual  
49  
50 vulnerability.  
51

52  
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55  
56 P4: "The distribution of PPE seems to have followed a hierarchical  
57  
58 structure. So, medics are walking around with 3M rubber fit-sealed  
59  
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3 masks, although they don't spend that much time in COVID areas, while  
4  
5 some of the Filipino nurses are struggling to find a 3M mask that fits  
6  
7 them. And then when it comes to risk assessing the domestic staff,  
8  
9 they're an afterthought.”  
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### 16 **3) Interpersonal relations in the workplace**

#### 17 18 a) Deficient consultation

19  
20  
21 The perception of blame for increased vulnerability to COVID-19 being ascribed to  
22  
23 ethnic minority communities and a disregard for the role of structural factors fuelled belief in  
24  
25 a lack of understanding of ethnic minority experiences. Moreover, the view that attempts to  
26  
27 remedy this deficiency were lacking reinforced the sense that larger racial issues were  
28  
29 disregarded and thereby unsolved. Participants reported ethnic minorities were excluded from  
30  
31 conversations concerning them—such as the construction of risk assessments—and felt the  
32  
33 impacts of their vulnerability on their wellbeing were disregarded within organisations.  
34  
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41 P1: “We weren't checked in on, nobody spoke to us to see if we were  
42  
43 okay, how we felt about it. It just wasn't really made a big deal of. It  
44  
45 wasn't something that mattered.”  
46  
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48  
49

50  
51 P2: “The issue of not being heard, as somebody from the BAME  
52  
53 community. 'Cause I felt like, up to now, nobody's really asked me  
54  
55 ‘What do you want? What can we do to make you feel protected?’ So,  
56  
57 I'm aware that the risk assessment was imposed by other people from  
58  
59  
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1  
2  
3 other organisations. [...] It was again that issue of them discussing about  
4  
5 us without us.”  
6  
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8  
9

## 10 11 b) Cultural insensitivity 12

13  
14 The disconnect between ethnic and non-ethnic minority staff was associated with  
15  
16 difficulties sharing concerns with non-minority supervisors. Several participants cited  
17  
18 cultural differences and the associated lack of understanding of ethnic minority staff's  
19  
20 experiences, needs, and problems as the principal barrier to discussing concerns with non-  
21  
22 ethnic minority managers. Indeed, it was suggested that a common background with one's  
23  
24 supervisor would facilitate communication and increase feelings of being supported.  
25  
26  
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30

31 P4: “I was staying in the trust hotel and went out for a walk [...] I was  
32  
33 subsequently stopped and searched by police, assaulted. Trying to explain  
34  
35 that to my manager resulted in the eye-rolling from them, and them not  
36  
37 really understanding the consequences of that.”  
38  
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42

43 P3: “It is always much easier to explain to people who are from your own  
44  
45 cultural background or somebody who has experienced the same kind of  
46  
47 thing rather than actually going to your manager who has got no idea what  
48  
49 you're talking about.”  
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3 For example, the difficulty obtaining hair caps, needed by ethnic minority staff who do  
4 not wash their hair daily, highlighted the impact of under-representation of such staff in  
5 senior positions and ethnic minority staff's ability to perform their jobs.  
6  
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8  
9

10 c) Need for support  
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12

13 Several participants stated they did not feel adequately supported by their employer and  
14 their manager during the pandemic. Some felt particularly unsupported when their supervisor  
15 was from a non-ethnic minority background, making it difficult to know their concerns related  
16 to ethnicity were heard. For participants who felt they had organisational support, it was seen  
17 as improving their wellbeing and may have mitigated the effects of racial injustices they were  
18 increasingly aware of and subjected to during the pandemic.  
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30 P3: "Will [ethnic minority staff] feel more comfortable and more supported  
31 if they have somebody appointed that they can approach if they have any  
32 issues? [...] Will they feel more comfortable if that person is from the same  
33 cultural background?"  
34  
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43 P11: "I think people were quite protective of me [because I'm ethnic  
44 minority] and I personally didn't find that paternalistic or patronising. I felt  
45 cared for."  
46  
47  
48  
49  
50  
51  
52

53 d) Collegiate judgement  
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55

56 Several participants were wary of special treatment being accorded to them, fearing it  
57 might negatively impact how they are viewed by non-ethnic minority colleagues. It was  
58  
59  
60

1  
2  
3 suggested some ethnic minority staff may have been reluctant to be risk-stratified and made  
4  
5 to work from home for fear of being negatively judged by team members.  
6  
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10  
11 P11: “There were a significant minority of people who, although my  
12  
13 recommendation was that they should work from home, they chose to not  
14  
15 follow guidance and they wanted to see patients face to face. [...] I suspect  
16  
17 that might have been because they were worried about their position in the  
18  
19 team and about bullying.”  
20  
21  
22  
23  
24  
25

26 Participants also noted that, in some cases, the special attention given to ethnic minority  
27  
28 individuals was experienced as discrimination and a cause for bullying.  
29  
30  
31  
32  
33

34 P11: “There were a lot of people who were BAME who did feel that  
35  
36 there was a spotlight being shone upon them. [...] A lot of them didn't  
37  
38 feel that that was positive. They felt that it could be seen as quite  
39  
40 discriminatory, as them being given special privileges.”  
41  
42  
43  
44  
45

46 Moreover, several participants were defensive of the reasons for doing their jobs,  
47  
48 emphasising their interest in, and passion for, their work and denying ulterior motives, such  
49  
50 as hopes to receive special treatment.  
51  
52  
53  
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55

56 P9: “It's work that the BAME group tends to have interest in, and we  
57  
58 do it with a love for it, rather than wanting to be specially treated. I  
59  
60

1  
2  
3 think sometimes when there's a lot of talk about BAME groups, it can  
4  
5 be a little bit patronising because you feel that's a career that you've  
6  
7 always wanted to do.”  
8  
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10  
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12  
13 This further highlights the fear of one's job performance being judged by colleagues  
14  
15 based on ethnicity.  
16  
17

#### 21 **4) Lived experience of racial inequality**

##### 23 a) Exacerbation of systemic racism and its emotional burden

24  
25  
26  
27 There was a strong sense that existing structural workplace inequality and the  
28  
29 emotional burden of racial injustice were exacerbated during the pandemic. Participants felt  
30  
31 ethnic minority staff have a distinct disadvantage in career advancement, noting they had to  
32  
33 work harder than non-minority counterparts to progress their careers. Crucially, several  
34  
35 participants felt that increased COVID-19 vulnerability compounded career advancement  
36  
37 challenges, and that the pandemic provided an opportunity for unconscious bias among  
38  
39 management to manifest in career advancement opportunities given to non-ethnic minority  
40  
41 staff.  
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48  
49 P11: “I think that there's something similar [to being female] about being  
50  
51 BAME compared to being White, where you know you have to work so  
52  
53 much harder to get where you want to go to. [...] Then there's COVID  
54  
55 affecting us in a more serious way, [...] it's another disadvantage.”  
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58  
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1  
2  
3 P4: “There are at least a few cases of people in the same staff group occupying  
4 two bandings. And unfortunately, BAME staff at one, slightly lower, band. And  
5  
6 management have taken it upon themselves to use the chaos that’s going on to  
7  
8 promote the same types of people that always get promoted.”  
9  
10  
11  
12  
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15

16 Additionally, participants noted the emotional burden of the pandemic is especially  
17  
18 high among ethnic minority staff and that the Black Lives Matter movement compounded  
19  
20 feelings of racial injustice evoked in the workplace during the pandemic and highlighted  
21  
22 structural, societal problems.  
23  
24  
25  
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29

30 P1: “All of the Black Lives Matter stuff that was going on, and George Floyd and  
31  
32 the protests, the whole attitude around them in the workplace was just  
33  
34  
35 exacerbating people's feelings and made it very difficult. It felt like you were  
36  
37  
38  
39 being attacked from all sides.”  
40  
41  
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43  
44  
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#### 46 b) Job insecurity

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49 Participants also reported the perception that working from home may threaten their job  
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51 due to racial inequality in the workplace and that managers were less trusting of ethnic  
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53 minority staff working from home than non-ethnic minority counterparts.  
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3 P11: “I found that the drawback [of working from home] was I wasn't  
4  
5 getting my face seen [at work]. [...] I actually almost felt that my job was  
6  
7 threatened, I became very anxious and very insecure about my place within  
8  
9 the team.”  
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15 P9: “[At the time I was working from home] I had a colleague I was  
16  
17 working with who felt that that pressure [from managers] was given to the  
18  
19 ethnic minority group because we were not trusted to be doing what we  
20  
21 should be doing.”  
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## 28 **5) Community attitudes**

### 29 a) Public prejudice and judgement

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33 The racial injustice experienced by ethnic minority staff in their workplace also  
34  
35 occurred in the community, where participants reported witnessing racist slurs against East  
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37 Asians and anticipating an increase in such attitudes towards themselves.  
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44 P10: “I've felt a lot more worried about having sort of racial attacks or  
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46 racial comments pointed in my direction, given some of the narrative  
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48 around COVID, such as the ‘Chinese Virus’.”  
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54 Additionally, the ethnicity-based judgements participants reported receiving from the  
55  
56 public reinforced their understanding that ethnic minority staff were seen as less than non-  
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58 ethnic minority colleagues in society.  
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6 P5: "You feel like although you're coming to do something positive but  
7  
8 at the same time other people might be making all sorts of judgments as  
9  
10 to what you're doing."

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16 P5: "One of my neighbours said I shouldn't be going outside, so, I said  
17  
18 'No, I work for the NHS' and automatically his response was 'Oh! Are  
19  
20 you a cleaner?'"

#### 21 22 23 24 25 26 b) Patient appreciation

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29 Despite racial prejudices experienced in the community, participants noted patients  
30  
31 showed an increased recognition of the value ethnic minority communities bring to the NHS  
32  
33 and felt this increased patient appreciation was reflective of a broader attitudinal shift towards  
34  
35 their key role.  
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42 P6: "[Patients] were very happy to be seen, happy to be taken care of, they  
43  
44 were politer. They really appreciated what we were doing."

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49 P1: "I think there was a shift in [patients'] attitude towards NHS staff in  
50  
51 general, which was refreshing."  
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56  
57 In contrast, others were sceptical of whether this recognition was indicative of a real  
58  
59 attitudinal shift, recalling past NHS criticism. Moreover, participants highlighted a disconnect  
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3 between the public's appreciation of the NHS, expressed in weekly street clapping, and  
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5 practical acknowledgement of the risk to which NHS staff are regularly exposed.  
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11 P13: "When people would clap for the NHS I would think 'Oh my God  
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13 they're clapping. What are they talking about? Do they even know what  
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15 happens inside?'"  
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## 21 **DISCUSSION**

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24 This exploratory group process examined the lived experiences of a small sample of  
25  
26 healthcare staff from ethnic minority backgrounds during COVID-19 to develop an ethnically  
27  
28 empathetic module for follow-up survey stages in the MeCare study (Supplementary File 2).  
29  
30 The five primary themes that emerged from our research have highlighted key areas of  
31  
32 concern and neglect that need to be enumerated and investigated further to appreciate the  
33  
34 extent to which they are shared by ethnic minority staff across the NHS.  
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38  
39 These concerns are consistent with research regarding the depression, anxiety, and  
40  
41 stress associated with perceived COVID-19 risk and the pandemic's impact on ethnic  
42  
43 minority communities<sup>22-24</sup>. The perceived pressure to work despite individual risk can  
44  
45 significantly impact staff's work performance and mental health. For example,  
46  
47 presenteeism—individuals presenting at work but operating sub-optimally due to health  
48  
49 issues—can have serious consequences if it leads to poor, slow, or incorrect decision-  
50  
51 making<sup>25</sup>. Moreover, Shah et al.<sup>26</sup> reported that during the pandemic, ethnic minority staff  
52  
53 faced dilemmas around fulfilling their duty and continuing to deliver patient clinical care or  
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55 exercising mitigating actions to avoid high-risk environments. This is especially relevant  
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57 given the elevated extrinsic pressure on ethnic minority NHS frontline staff amid surges in  
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3 COVID-19 cases<sup>27</sup>, combined with staffing shortages<sup>28</sup> and the high proportion of ethnic  
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5 minority NHS staff<sup>17</sup>.  
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8 Ethnic minority staff's mental health is likely to also be impacted by a disconnect  
9  
10 between ethnic minority and non-minority staff. Indeed, post-trauma social support from  
11  
12 managers influences staff's long-term mental health status<sup>29 30</sup>, and discussion of ethnic  
13  
14 minority staff's experiences during COVID-19 with non-minority supervisors can help foster  
15  
16 improved attitudes towards mental health in the workplace<sup>31</sup>. The disconnect was manifested  
17  
18 in fear of bullying, which is consistent with evidence that ethnic minority staff are more  
19  
20 likely than non-minority colleagues to experience staff bullying<sup>32 33</sup>. Additionally,  
21  
22 participants' sense that the pandemic exacerbated existing emotional burdens regarding  
23  
24 systemic racism is consistent with an interplay between racism and the pandemic<sup>34</sup>. This may  
25  
26 also contribute to longer-term mental ill-health—including the psychological consequences  
27  
28 of trauma exposure<sup>29 35 36</sup>—among ethnic minority staff<sup>37</sup>. On a positive note, however, the  
29  
30 public's acknowledgement of work undertaken by staff during the pandemic helps promote  
31  
32 staff's resilience, thereby protecting their mental health<sup>38</sup>.  
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39 Participants' accounts also suggest a perception that nurses and domestic staff—who  
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41 are more likely to be from ethnic minority backgrounds<sup>39</sup> and are particularly vulnerable to  
42  
43 infection due to their physical proximity to patients when providing care<sup>40</sup>—struggled to  
44  
45 secure adequate PPE, which was more easily available for senior staff. The perception that  
46  
47 PPE distribution was based on professional hierarchy is important. Previous research has  
48  
49 found that, among healthcare workers, the incidence of anxiety was highest among non-  
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51 medical healthcare staff, possibly because they had less first-hand information about the  
52  
53 disease and less training on infection control measures and PPE use<sup>41</sup>. Additionally, adequate  
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55 consultation of ethnic minority staff is especially important given they are already less likely  
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3 than non-minority counterparts to voice their opinions and raise concerns about inadequate  
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5 PPE, increasing the likelihood of their needs being overlooked<sup>33 42</sup>.  
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8 Racial inequalities were also reported in career advancement and treatment by  
9  
10 managers. This is consistent with reports that ethnic minority staff have been restricted to  
11  
12 certain NHS roles due to inequalities in career development and workplace and societal  
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14 discrimination, rendering them more likely to work in critical specialties and services during  
15  
16 the pandemic<sup>43</sup>. Moreover, ethnic minority staff are also more likely than non-ethnic minority  
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18 staff to experience excessive scrutiny and punishment<sup>44</sup> and discrimination<sup>45</sup> from their  
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20 managers.  
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25 In terms of study limitations, an important limitation was that the sample was small and  
26  
27 self-selected. As such, the findings may not fully capture the complexity and multi-faceted  
28  
29 nature of ethnic minority healthcare staff during the COVID-19 pandemic, and participants  
30  
31 were likely to feel more strongly about their experience of the pandemic compared to the  
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33 ethnic minority workforce as a whole. However, participants were purposively sought for  
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35 their opinions to gain broad insights into this neglected area to inform further inquiry, rather  
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37 than generating representative data. The MeCare module that this research informed will  
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39 provide an opportunity to collect data from a broader range of ethnic minority staff and  
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41 enable exploration of whether these experiences were representative and resonate with ethnic  
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43 minority staff.  
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48 Second, female healthcare workers were disproportionately represented in our sample,  
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50 potentially omitting specifically male experiences during the pandemic. Third, there is the  
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52 possibility that the facilitator's race (non-minority ethnic) biased the data by inhibiting open  
53  
54 discussion<sup>46</sup>. However, the facilitator has over 20 years' experience of health research in  
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56 diverse settings including low- and middle-income countries, and JJ, who was present in both  
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58 focus groups, is minority ethnic. In addition, discussions were frank and unimpeded, and  
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3 evidence indicates the impacts of the interviewer's ethnicity are minimal<sup>47</sup>. Fourth, the  
4  
5 sample size was potentially insufficient to achieve thematic saturation as this was an  
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7 exploratory exercise to highlight largely unexamined thematic areas that are currently being  
8  
9 further researched. To minimize the possibility of the authors' invalid interpretation of the  
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11 data, the study used respondent validation among a sample of group participants.  
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15 Our novel study has clearly shown that ethnic minority NHS staff have experienced  
16  
17 COVID-19 in a complex, multi-dimensional manner. The physical and psychological impact  
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19 of the virus has been overlaid by wider occupational, attitudinal (including anticipatory  
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21 racism) and socio-cultural experiences. A need exists to understand the extent to which these  
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23 varied experiences are shared among ethnic minority NHS workers so more empathetic and  
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25 supportive management and related occupational practices can be instituted.  
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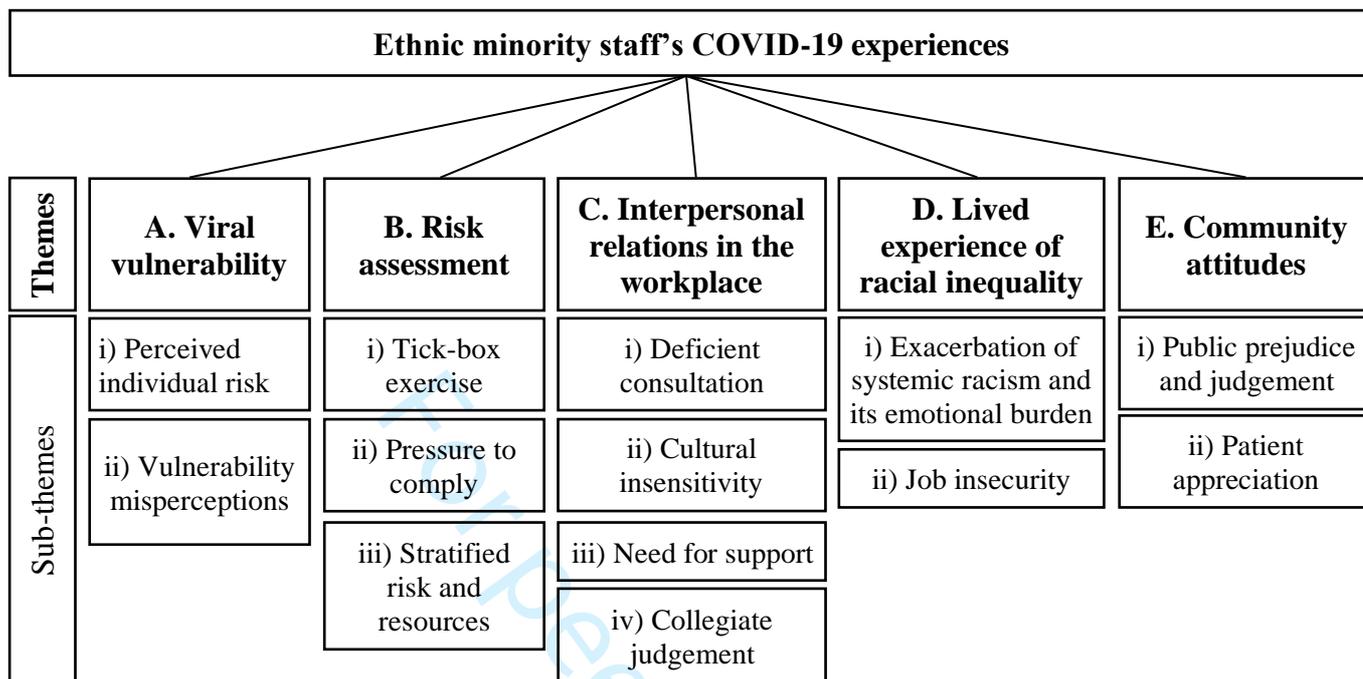
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**Figure 1:** Themes and subthemes identified through thematic analysis



## Focus group question guide

### General

1. In general terms, how do you think being from BAME backgrounds has impacted upon your experiences during COVID?

### Inside work experiences

2. Thinking of your experiences in your workplace, during COVID have you felt that you were treated differently from non-BAME staff? Is that by management and / or fellow workers? Why do you think that? Can you provide examples?
3. How do you think that your background has influenced your interactions with other staff members? What form has that taken? Why do you think that?
4. Did the way you were treated by your colleagues change during COVID compared to “normal times” (i.e., pre-COVID times)? If yes, how did it change? Can you give examples?
5. Did you notice any differences in the experiences of BAME and non-BAME staff during COVID? If yes, what form did they take?
6. Do you feel that you were given tasks/responsibilities that put you more at risk of contracting COVID than your non-BAME colleagues? If so, how did you respond in these situations? What was the subsequent response of the line manager requesting these tasks?
7. Do you feel others are responding to an aspect of your background in your dealings with them? If yes, what aspect do you think that is? (e.g., your ethnic group, physical appearance, culture, faith, etc).
8. Do you feel that your BAME background has affected the way you are treated by patients during COVID? If yes, please explain.
9. How well did you feel supported by your colleagues generally during COVID? If supported, how? If not supported, what support was absent and why do you think that was the case?

### Outside work experiences

10. How has your home life changed during COVID? If yes, how exactly?
11. Has this affected your work life? If yes, how exactly?
12. Has there been an impact upon your children and other family members arising from their BAME background? If yes, please explain.
13. Have you experienced any changes in public life (e.g., on public transport) that you think is linked to your BAME background? If yes, please explain.
14. Has your work life affected your home life in any way? Please explain how.

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3 15. If asking other BAME colleagues about their COVID experiences, what are  
4 the important questions to ask them?  
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7 16. Is there anything we didn't talk about which you think it would be useful to know?  
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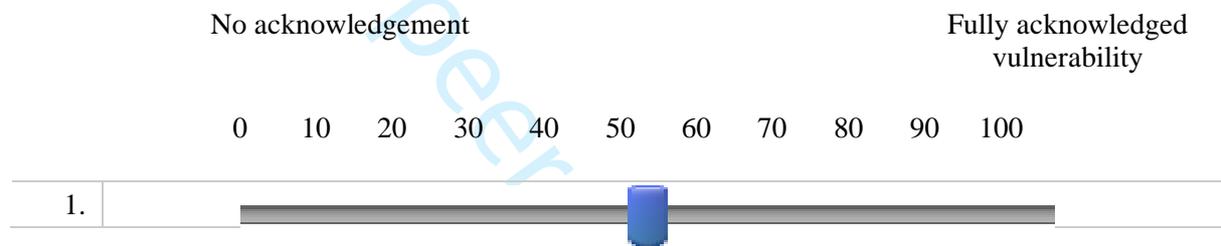
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3 **MeCareNWL survey module on the experiences of healthcare staff from minority**  
4 **ethnic backgrounds**  
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8 Q1 Do you feel your employer acknowledged BAME staff's increased vulnerability to  
9 COVID-19?  
10

- 11  Yes  
12  
13  No  
14  
15  
16  Don't know  
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18  
19

20 Q1a To what extent do you feel your employer has acknowledged BAME staff's increased  
21 vulnerability to COVID-19?  
22



33 Q2 Do you feel your employer has accommodated BAME staff's increased risk from  
34 COVID-19?  
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- 36  Yes  
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3 Q2b What do you feel your employer **could have done** to accommodate BAME staff's  
4 increased risk from COVID-19?  
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24  
25 Q3 Did your employer take actions that made you feel safer in terms of your vulnerability to  
26 the virus?  
27

- 28  Yes  
29  
30  No  
31  
32  Not sure  
33  
34  
35

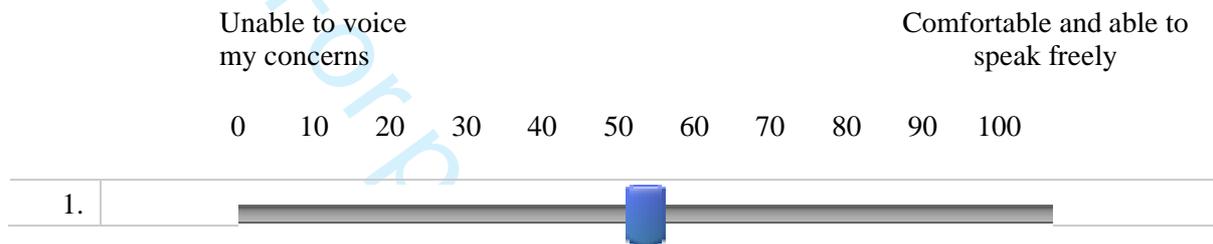
36  
37 Q3a What actions did your employer take that made you feel safer in terms of your  
38 vulnerability to the virus? (please select all that apply)  
39

- 40  
41  Allowed me to work from home  
42  
43  Provided me with adequate PPE  
44  
45  Moved me to work in lower-risk wards / away from the front line  
46  
47  Other (please state)  
48  
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3 Q4 Do you feel able to speak to your manager about concerns regarding your heightened  
4 vulnerability to COVID-19 due to your ethnicity?  
5

- 6  Yes  
7  
8  No  
9  
10  Don't know  
11  
12  
13

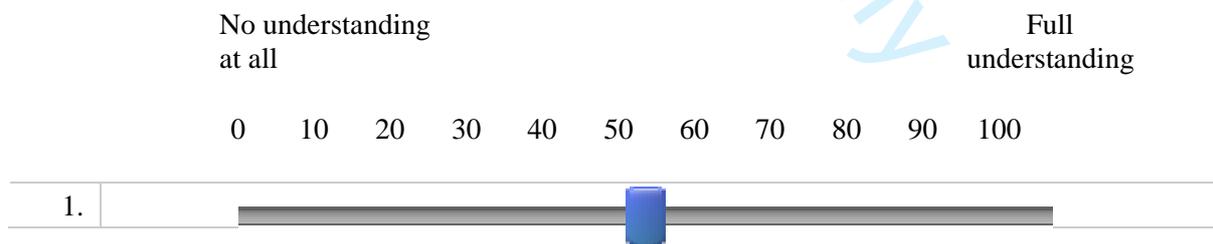
14  
15 Q4a To what extent do you feel able to speak to your manager about concerns regarding your  
16 vulnerability to COVID-19?  
17



25  
26  
27  
28 Q5 Did you report any concerns related to your health vulnerability associated with your  
29 ethnicity to your managers?  
30

- 31  Yes  
32  
33  No  
34  
35  Don't know  
36  
37  
38  
39

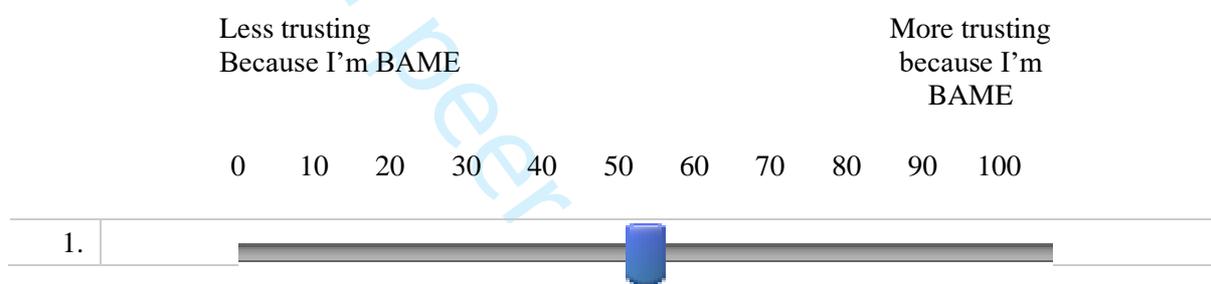
40 Q5a To what extent did you feel that your manager understood your concerns related to your  
41 health vulnerability associated with your ethnicity?  
42



Q6 If you worked from home during COVID-19, do you feel that your BAME status affected how much your manager trusted you while you were working from home?

- Yes
- No
- Don't know
- Didn't work from home

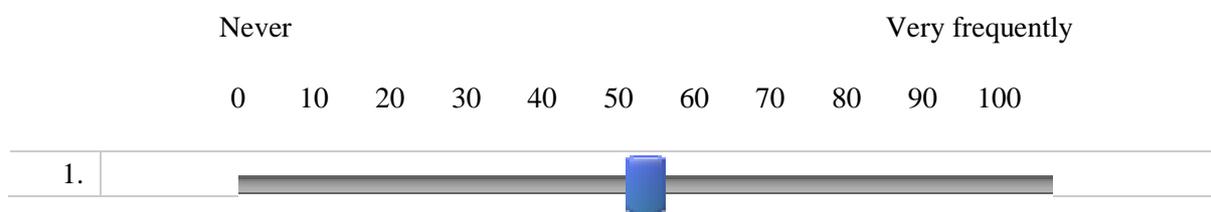
Q6a To what extent do you feel that your manager's trust of you while working from home is due to your ethnicity?



Q7 Do you feel that you have had to take risks greater than you are comfortable with?

- Yes
- No
- Don't know

Q7a How frequently did you feel you had to take risks greater than you were comfortable with in your workplace?



1  
2  
3 Q8 Did BAME staff have to work in high-risk areas in your Trust / local authority /  
4 department / practice?  
5

6  Yes

7  No

8  Don't know  
9  
10  
11  
12  
13  
14

15 Q8a How common do you feel that the experience of BAME staff working in high-risk areas  
16 was in your Trust / local authority / department / practice?  
17

18 Very rare

Very common

19 0 10 20 30 40 50 60 70 80 90 100  
20  
21  
22



24  
25  
26  
27  
28 Q9 Do you feel that your ethnic background played a role in your ability to obtain PPE?  
29

30  Yes

31  No

32  Don't know  
33  
34  
35  
36  
37  
38

39 Q9a To what extent do you feel that your ethnic background has played a role in your ability  
40 to obtain PPE?  
41

42 Made it harder  
43 to obtain PPE

Made it easier  
to obtain PPE

44 0 10 20 30 40 50 60 70 80 90 100  
45  
46  
47



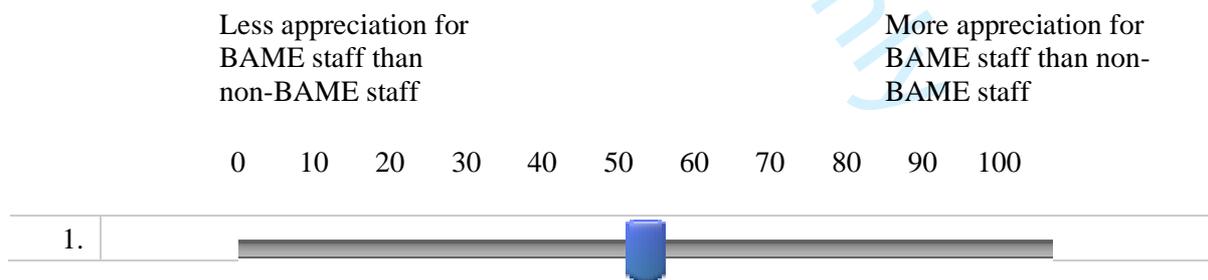
1  
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3 Q10 Within your workplace, which of the following provided you with a source of support  
4 when needed? (please select all that apply)  
5

- 6  Manager  
7  
8  
9  BAME colleagues  
10  
11  
12  Non-BAME colleagues  
13  
14  
15  Trust staff support service  
16  
17  
18  No source of support  
19  
20  
21  Other (please specify)  
22  
23
- 

24  
25  
26 Q11 Did you feel that patients' level of appreciation for you and other BAME staff was  
27 different from their level of appreciation for non-BAME staff?  
28

- 29  
30  Yes  
31  
32  No  
33  
34  
35  Don't know  
36  
37

38  
39 Q11a How do you feel that patient's level of appreciation for staff differed between BAME  
40 staff and non-BAME staff?  
41



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3  
4 Q12 Did you **expect** to experience increased prejudice associated with your ethnic  
5 background as a result of COVID-19 **in your workplace**?

6  
7  
8  Yes

9  
10  No

11  
12  Don't know

13  
14  
15  
16 Q13 Did you **actually** experience increased prejudice associated with your ethnic background  
17 as a result of COVID-19 **in your workplace**?

18  
19  Yes

20  
21  No

22  
23  Don't know

24  
25  
26  
27 Q14 Did you **expect** to experience increased prejudice associated with your ethnic  
28 background as a result of COVID-19 **in the community**?

29  
30  Yes

31  
32  No

33  
34  Don't know

35  
36  
37  
38 Q15 Did you **actually** experience increased prejudice associated with your ethnic background  
39 as a result of COVID-19 **in the community**?

40  
41  Yes

42  
43  No

44  
45  Don't know

46  
47  
48  
49 Q16 What practical suggestions do you have that would help you feel **heard** by  
50 management?

51  
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3 Q17 What practical suggestions do you have that would help you feel **safer** in your  
4 workplace?  
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For peer review only

## COREQ (COnsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

| Topic  | Item No. | Guide Questions/Description  | Reported on Page No. |
|--|----------|--|----------------------|
| <b>Domain 1: Research team and reflexivity</b> |          |  |                      |
| <i>Personal characteristics</i>                |          |  |                      |
| Interviewer/facilitator                        | 1        | Which author/s conducted the interview or focus group?   |                      |
| Credentials                                    | 2        | What were the researcher's credentials? E.g. PhD, MD   |                      |
| Occupation                                     | 3        | What was their occupation at the time of the study?  |                      |
| Gender   | 4        | Was the researcher male or female?   |                      |
| Experience and training                        | 5        | What experience or training did the researcher have?   |                      |
| <i>Relationship with participants</i>          |          |  |                      |
| Relationship established                       | 6        | Was a relationship established prior to study commencement?  |                      |
| Participant knowledge of the interviewer       | 7        | What did the participants know about the researcher? e.g. personal goals, reasons for doing the research   |                      |
| Interviewer characteristics                    | 8        | What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic                |                      |
| <b>Domain 2: Study design</b>                  |          |  |                      |
| <i>Theoretical framework</i>                   |          |  |                      |
| Methodological orientation and Theory          | 9        | What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis |                      |
| <i>Participant selection</i>                   |          |  |                      |
| Sampling                                       | 10       | How were participants selected? e.g. purposive, convenience, consecutive, snowball   |                      |
| Method of approach                             | 11       | How were participants approached? e.g. face-to-face, telephone, mail, email  |                      |
| Sample size                                    | 12       | How many participants were in the study?   |                      |
| Non-participation                              | 13       | How many people refused to participate or dropped out? Reasons?  |                      |
| <i>Setting</i>                                 |          |  |                      |
| Setting of data collection                     | 14       | Where was the data collected? e.g. home, clinic, workplace   |                      |
| Presence of non-participants                   | 15       | Was anyone else present besides the participants and researchers?  |                      |
| Description of sample                          | 16       | What are the important characteristics of the sample? e.g. demographic data, date  |                      |
| <i>Data collection</i>                         |          |  |                      |
| Interview guide                                | 17       | Were questions, prompts, guides provided by the authors? Was it pilot tested?  |                      |
| Repeat interviews                              | 18       | Were repeat interviews carried out? If yes, how many?  |                      |
| Audio/visual recording                         | 19       | Did the research use audio or visual recording to collect the data?  |                      |
| Field notes                                    | 20       | Were field notes made during and/or after the interview or focus group?  |                      |
| Duration                                       | 21       | What was the duration of the interviews or focus group?  |                      |
| Data saturation                                | 22       | Was data saturation discussed?   |                      |
| Transcripts returned                           | 23       | Were transcripts returned to participants for comment and/or   |                      |

| Topic                                  | Item No. | Guide Questions/Description  | Reported on Page No. |
|--|----------|--|----------------------|
|  |          | correction?  |                      |
| <b>Domain 3: analysis and findings</b> |          |  |                      |
| <i>Data analysis</i>                   |          |  |                      |
| Number of data coders                  | 24       | How many data coders coded the data?   |                      |
| Description of the coding tree         | 25       | Did authors provide a description of the coding tree?  |                      |
| Derivation of themes                   | 26       | Were themes identified in advance or derived from the data?  |                      |
| Software                               | 27       | What software, if applicable, was used to manage the data?   |                      |
| Participant checking                   | 28       | Did participants provide feedback on the findings?   |                      |
| <i>Reporting</i>                       |          |  |                      |
| Quotations presented                   | 29       | Were participant quotations presented to illustrate the themes/findings?<br>Was each quotation identified? e.g. participant number |                      |
| Data and findings consistent           | 30       | Was there consistency between the data presented and the findings?   |                      |
| Clarity of major themes                | 31       | Were major themes clearly presented in the findings?   |                      |
| Clarity of minor themes                | 32       | Is there a description of diverse cases or discussion of minor themes?   |                      |

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

**Once you have completed this checklist, please save a copy and upload it as part of your submission. DO NOT include this checklist as part of the main manuscript document. It must be uploaded as a separate file.**