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Positive effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy mouse and fecal microbiome analysis using full-length 16S-23S rRNA metagenome sequencing

Soomin Jeon, Hyaekang Kim, Jina Kim, Jinchul Jo, Donghyeok Seol, Youngseok Choi, Seoae Cho, and Heebal Kim Corresponding Author(s): Heebal Kim, Seoul National University

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Prof. Heebal Kim Seoul National University Seoul Korea (South), Republic of

Re: Spectrum01815-21 (Positive effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy mouse and fecal microbiome analysis using full-length 16S-23S rRNA metagenome sequencing)

Dear Prof. Heebal Kim:

Thank you for submitting your manuscript to Microbiology Spectrum. As you will see your paper is very close to acceptance. Please modify the manuscript along the lines I have recommended. As these revisions are quite minor, I expect that you should be able to turn in the revised paper in less than 30 days, if not sooner. If your manuscript was reviewed, you will find the reviewers' comments below.

When submitting the revised version of your paper, please provide (1) point-by-point responses to the issues I raised in your cover letter, and (2) a PDF file that indicates the changes from the original submission (by highlighting or underlining the changes) as file type "Marked Up Manuscript - For Review Only". Please use this link to submit your revised manuscript. Detailed instructions on submitting your revised paper are below.

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Thank you for the privilege of reviewing your work. Below you will find instructions from the Microbiology Spectrum editorial office and comments generated during the review.

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Sincerely.

Jan Claesen

Editor, Microbiology Spectrum

Reviewer comments:

Reviewer #3 (Public repository details (Required)):

The metagenome sequencing data (16S-23S rRNA) should be submitted to GenBank if it is not submitted yet.

Reviewer #3 (Comments for the Author):

Manuscript: Spectrum01815-21 Positive effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy mouse and fecal microbiome analysis using full-length 16S-23S rRNA metagenome sequencing

The aim of the paper is to study effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy mouse and fecal microbiome analysis using full-length 16S-23S rRNA metagenome sequencing.

In the manuscript, the authors studied a bacterial strain Lactobacillus acidophilus EG004 with a positive effect on cognitive ability using a healthy animal model. The authors experimentally verified improved cognitive ability by cognitive behavioral tests. The authors performed full 16S-23S rRNA sequencing and provided gut microbiome composition at a species level. The provided microbiome composition consisted of candidate microbial groups as a biomarker that shows positive effects on cognitive ability. Therefore, their study suggests a new perspective for probiotic strain use applicable for medicine.

The uniqueness of the text is 90% by AntyPlagiarism.net.

The manuscript is written well. English is proper, well understandable.

Reviewer has some comments:

- Line 74 most of researches were should be most of the researches was.
- Line 73 many researches should be many pieces of research.
- Line 82 industrialization process should be industrialization processes.
- Line 105 for the sentence Autism, Alzheimer's disease, and Parkinson's disease (7-9) add additional citation (Danilenko et al., 2021) and add to the References Danilenko, V.N., Devyatkin, A.V., Marsova, M.V., Shibilova, M.U., Ilyasov, R.A., Shmyrev, V.I., 2021b. Common inflammatory mechanisms in COVID-19 and Parkinson's diseases: the role of microbiome and probiotics in their prevention. Journal of Inflammation Research 14, (In press). doi: 10.2147/JIR.S333887.

Line 108 -to the sentence - the neural pathways of the brain-gut axis (10). - add additional citation (Fetissov et al., 2019). and add to the References - Fetissov, S.O., Averina, O.V., Danilenko, V.N., 2019. Neuropeptides in the microbiota-brain axis and feeding behavior in autism spectrum disorder. Nutrition 61, 43-48. doi: 10.1016/j.nut.2018.10.030.

- Line 112 Second, the second suggestion should be Second, the suggestion
- Line 113 microbiome affect brain should be microbiome affects brain.
- Line 113 metabolic pathway should be metabolic pathways.
- Line 127 remove one dot.
- Line 153 The averages daily should be The averages of daily.
- Line 168 In the comparison of should be The comparison of.
- Line 194 light room should be lightroom.
- Line 195 remove italics of the word group.
- Line 226 comparison should be comparative.
- Line 236 familiae should be families.
- Line 275 whole genome should be whole-genome.
- Line 308 recognition test and passive avoidance task should be recognition tests and passive avoidance tasks.
- Line 321 were should be was.
- Line 343 factor should be factors.
- Line 350 purpose should be purposes.
- Line 370 these evidences should be this evidence.
- Line 398 negative effect should be negative effects.
- Line 408 to provide should be provide.
- Line 413 These analyses were not covered to identification of a biological factor caused should be These analyses were not covered in the identification of a biological factor that caused.
- Line 416 probiotics ingestion should be probiotic ingestion.
- Line 444 by should be at.
- Line 442 with should be at.
- Line 453 add space after dot.
- Line 457 from probiotics intake should be after probiotic intake.
- Line 459 room condition should be room conditions.
- Line 472 rodent's habit should be rodents' habits.
- Line 478 entered should be that entered.
- Line 486 preference should be preferences.
- Line 516 After 1 minute for adaptation should be After 1 minute of adaptation.
- Line 531 time taken should be time is taken.
- Line 554 correction should be corrections.
- Line 789 statistic should be statistics.

The metagenome sequencing data (16S-23S rRNA) should be submitted to GenBank.

Please check English by professional translator one more times.

In further authors should study details of biological factors and molecular mechanisms that caused improved cognitive ability in mice after treatment with L. acidophilus EG004 strain.

No other comments.

A minor revision is required.

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- Figures: Editable, high-resolution, individual figure files are required at revision, TIFF or EPS files are preferred

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1 Title

- 2 Positive effect on cognitive ability of *Lactobacillus acidophilus* EG004 in healthy
- 3 mouse and fecal microbiome analysis using full-length 16S-23S rRNA
- 4 metagenome sequencing

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6 Running title

7 Positive effect on cognitive ability of Lactobacillus acidophilus EG004

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9 **Authors**

- 10 Soomin Jeon^{a,†}, Hyaekang Kim^{a,†}, Jina Kim^a, Donghyeok Seol^{a,b}, Jinchul Jo^a,
- 11 Youngseok Choi^a, Seoae Cho^b, Heebal Kim^{a,b,d,*}
- ^aDepartment of Agricultural Biotechnology and Research Institute of Agriculture
- and Life Sciences, Seoul National University, Seoul, Republic of Korea
- ^beGnome, Inc, Seoul, Republic of Korea
- ^dInterdisciplinary Program in Bioinformatics, Seoul National University, Seoul,
- 16 Republic of Korea
- ^{*}Corresponding authors

18	† Soomin Jeon and Hyaekang Kim contributed equally to this work. Author order
19	was determined retroalphabetically.
20	
21	Soomin Jeon
22	Email: soty23@snu.ac.kr
23	Hyaekang Kim
24	Email:hkim458@snu.ac.kr
25	Jina Kim
26	Email:jinak750@gmail.com
27	Donghyeok Seol
28	Email:sdh1621@snu.ac.kr
29	Jinchul Jo
30	Email: macjoo2000@snu.ac.kr
31	Youngseok Choi
32	Email:seok1213neo@snu.ac.kr
33	Seoae Cho

Email:seoae@egenome.co.kr 34 Heebal Kim 35 Email: heebal@snu.ac.kr 36 37 *Correspondence 38 Heebal Kim, Department of Agricultural Biotechnology and Research Institute of 39 Agriculture and Life Sciences, Seoul National University, Seoul, Republic of 40 Korea 41 Email: heebal@snu.ac.kr 42 Tel.: +82-2-880-4822 43 Fax: +82-2-883-8812 44 45 **Word count** 46 Abstract; 249 words 47 Text; 4245 words (excluding materials and methods) and 6903 words (including 48 materials and methods) 49

Abstract

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The concept of the 'Gut-brain axis' has risen. Many types of research demonstrated the effect and mechanism of the GBA. Although many studies have been reported, most of the studies are focused on neurodegenerative disease and it is still not clear what type of bacterial strains have positive effects on the brain. Therefore, we designed an experiment to discover a strain that positively affects cognitive ability using healthy mice. The experimental group consisted of a control group and three probiotic consumption groups, Lactobacillus acidophilus, Lacticaseibacillus paracasei, and Lacticaseibacillus rhamnosus, which are verified to have beneficial effects for host health as the gut microbiome. Cognitive ability was measured by 4 cognitive-behavioral tests and the group fed on L. acidophilus showed the most improved cognitive ability. To provide an understanding of the altered microbial composition effect on the brain, we performed full 16S-23S rRNA sequencing using Nanopore, and OTUs were identified at a species level. In the group fed on L. acidophilus, the intestinal bacterial ratio of Firmicutes and Proteobacteria phyla increased and the bacterial proportions of 16 species were significantly different from those of the control group. We estimated that the positive results on the cognitive behavioral tests were due to the increased proportion of L. acidophilus EG004 strain in the subjects' intestines since the strain is capable of producing butyrate and therefore modulating neurotransmitters and neurotrophic factors. We expect that our new strain expands the industrial field of L. acidophilus and helps understand the mechanism of the brain-gut axis.

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Importance

In recent, the concept of 'gut-brain axis' has risen that microbes in the GI tract affect brain by

modulating signal molecules. Although many researches were reported in a short period, a signaling mechanism and effect of a specific bacterial strain are still unclear. Besides, since most of researches were focused on neurodegenerative disease, the study with a healthy animal model is still insufficient. In this study, we provide a bacterial strain (*Lactobacillus acidophilus* EG004) with a positive effect on cognitive ability using a healthy animal model. We experimentally verified improved cognitive ability by cognitive behavioral tests. We performed full 16S-23S rRNA sequencing using nanopore MinION, and provided gut microbiome composition at a species level. The provided microbiome composition consisted of candidate microbial groups as a biomarker that shows positive effects on cognitive ability. Therefore, our study suggests a new perspective for probiotic strain use applicable for various industrialization process.

Keywords

Lactobacillus acidophilus, gut microbiome, gut-brain axis, cognitive ability, Nanopore sequencing

Introduction

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The human body is a complex community that habituates various bacteria. Among the bacterial communities in the human body, the gastrointestinal tract is the best bacterial community that has the most abundant and various bacteria (1). In 2006, having been released research that obesity is associated with bacterial composition in the gut, a study for gut microbiome began in earnest (2). The gut microbiome is defined as the collective genomes of microorganisms that live in the gastrointestinal tract. Functions of the gut microbiome have been reported such as nutrient metabolism and regulation of the immune system for the host (3). Microbial composition in the gut is altered by environmental factors like age, diet, stress, and lifestyle, and the change in microbial composition can induce physical changes in the host (4). In recent, the gut microbiome's effects on the brain have been proved and the concept of the braingut axis has risen to the surface (5). The brain-gut axis is a complex system involving the enteric nervous system and central nervous system including the brain and spinal cord, and it works with bidirectional communication between the central and the enteric nervous system (6). Although the brain is located apart from the gut, the gut microbiome can affect the brain by stimulating the enteric nervous system and vagus nerve. Thus, dysbiosis of the gut microbiome often causes brain diseases. The recent experimental results described that gut microbiome dysbiosis was observed in patients with Autism, Alzheimer's disease, and Parkinson's disease (7-9). At the same time, studies on the mechanisms to understand the brain-gut axis have been conducted. First, it was suggested that the microbial-derived metabolites are the main components acting on the neural pathways of the brain-gut axis (10). The most well-studied substances are short-chain fatty acids (SCFA) such as acetate, propionate, and butyrate, which are produced in the process of decomposing non-digestible fibers and carbohydrates (11). It promotes indirect signaling to

the brain by modulation and induction of neurotransmitter and neurotrophic factors like γ aminobutyric acid (GABA) and Brain-derived neurotrophic factor (BNDF). Second, the second
suggestion was that the gut microbiome affect brain function by regulating metabolic pathway
(12). Previous research reported that the level of tryptophan metabolites including serotonin and
indolepyruvate was altered by the gut microbiome. These metabolites have roles in the
functioning of the gut-brain axis such as signaling and anti-oxidant. Third, the gut microbiome
may affect the brain by immune pathway (13). Interferon (IFN), Tumor necrosis factor (TNF),
and Interleukin are well-known immune factors. According to recent studies, the amount of the
immune factors is regulated by the intestinal microflora. These immune factors affect brain
function by stimulating and activating the hypothalamic-pituitary-adrenal axis. Finally, it was
suggested that gut microbes directly influence the brain by altering the fatty acid composition of
the brain (14). Several studies have been reported on the correlation between intestinal
microbes and the brain, but the specific mechanism of the brain-gut axis is still not clear.

Probiotics are defined as bacteria that have positive effects on the host body (15). Probiotics have been widely used as a health supplement since it has various beneficial functions to host's health with high adhesion property to the intestine and low side effect. Most probiotics include bacteria genera that are gram-positive, facultative anaerobic and rod-shaped.. *Lacticaseibacillus rhamnosus* (*Lcb. rhamnosus*) is one of the longest-studied probiotic species, and many strains such as LGG and GR-1 belonging to this genus are commercially available. It is well known that *Lcb. rhamnosus* has positive effects on diarrhea, acute gastroenteritis, and atopic dermatitis (16-18). Recently, its neurobehavioral effects such as anxiety and depression relief have been reported (19). *Lacticaseibacillus paracasei* (*Lcb. paracasei*) is one of the representative probiotic species, and it has been studied to be effective in treating ulcerative colitis and allergic rhinitis

(20, 21). In a recent study, an effect on age-related cognitive decline and a stress relief effect was reported with several strains of this species (22). *Lactobacillus acidophilus* (*L. acidophilus*) is another representative probiotic strain. This strain lowers cholesterol levels and has beneficial health effects such as antibacterial effects against harmful bacteria like *Streptococcus mutans* and *Salmonella typhimurium* (23, 24).

In this study, we aimed to present a new strain that has an enhancing effect on cognitive ability through the brain-gut axis and provide an additional understanding of the brain-gut axis. Three probiotic strains, *L. acidophilus*, *Lcb. paracasei*, and *Lcb. rhamnosus*, which have previously demonstrated beneficial effects to the host as one of the gut-microbiome strains, were used to confirm their positive effects on cognitive ability. Full 16S and 23S rRNA sequencing was performed to annotate the gut microbiome at a species level for downstream analysis. We expect our results to provide an understanding of the role of the gut microbiome.

Results

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Bacterial and animal treatments

Three probiotic strains, L. acidophilus EG004, Lcb. paracasei EG005, and Lcb. rhamnosus EG006, have been identified by the molecular method. These strains were clustered with available L. acidophilus, Lcb. paracasei, and Lcb. rhamnosus strains, respectively, in a phylogenetic tree of 16S rRNA gene (Figure S1). Probiotic strains were consumed by mice for 8 weeks with assessments of cognitive ability (Figure 1). The averages daily water intake per subject were similar between groups (Figure 2A). Daily probiotic intakes were maintained constantly and the average amount of L. acidophilus group, Lcb. paracasei group, and Lcb. rhamnosus group were calculated as $(7.82E09 \pm 1.95E09)$, $(4.37E10\pm 5.17E09)$, and (3.74E10±3.98E09) CFUs (Figure 2B). To identify the additional effect of probiotics, the body weights of mice were measured every week (Figure 2C and S2). Patterns of weight gain in the 4 groups were similar for 8 weeks. The mean body weight gains of the control group showed the highest value, which was 9.08 g. Lcb. paracasei group showed a significant difference from the control group with P-value under 0.05 in the second measurement, but the difference was immediately recovered. Similar to weekly weight change, statistical significance was not found in accumulated weight between experimental groups for 8 weeks.

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Cognitive behavioral tests

Spontaneous alternation test was conducted to assess spatial learning and short-term memory. Although the average number of the total entries to each arm in *Lcb. paracasei* group

was slightly low, the difference between groups was not found (Figure 3A). In the comparison of the mouse ratio showed spontaneous alternation for the first 3 entries, *L. acidophilus* group showed the highest value as 75.0%. (Table S1). In spontaneous alternation, the average values of probiotics-fed groups were higher than the value of the vehicle-fed group (Figure 3B). Among the 4 experimental groups, *L. acidophilus* group showed the highest alternation ratio. Wilcoxon rank-sum test was performed to identify statistical significance, but there was no statistical difference between the experimental groups and control group.

Novel object recognition (NOR) test was performed to evaluate long-term and explicit memory using 4 different features (Figure 3C, 3D, and Table S1). *L. acidophilus* group exhibited the highest average ratio of mouse that touched the novel object before the familiar object, whereas *Lcb. rhamnosus* group showed the lowest value under the control group. At discrimination ratio comparison, the three probiotics-fed groups showed higher average values than the control, and *L. acidophilus* group showed the highest values. To identify if there is a significant difference, Wilcoxon rank-sum test was performed. When compared to the vehicle-fed group, *L. acidophilus* and *Lcb. Paracasei* groups displayed statistically significant differences with the adjusted P-value of 0.037. To identify animal behavior detail, the number of objects touch and the total time of object observation in each group were compared. In a comparison of object touch, statistical differences were significant in *L. acidophilus* and *Lcb. Paracasei* groups with P-values of 0.031 and 0.042, respectively. Also, *L. acidophilus* group had a significant difference between the time taken to observe the familiar object and the novel object.

Passive avoidance task was conducted to measure long-term and implicit memory. Stepthrough latency was used to compare the mean difference between the experimental groups. Most of the subjects were transferred into a darkroom for a minute on day 1 (Figure 3E). Only 3 animals took over 100 seconds to get into the darkroom. The difference between the experimental group and the control was not found on day 1. When compared to the latency time on day 1, the average latency time increased on day 2, and unexpectedly, 26 animals stayed in the light room for over 300 seconds (Figure 3F). *Lcb. rhamnosus* group presented the highest average latency time, followed by *L. acidophilus* group while the control group showed the lowest average (Table S1). The Mann-Whitney U test was conducted to check the mean difference, the P-values of *L. acidophilus* and *Lcb. rhamnosus* groups were less than 0.05 compared to the control group. The adjusted P values of both groups were 0.040.

To assess spatial learning and long-term memory, forced alternation was conducted. Memory was evaluated by forced alternation (%), the number of arms that the mouse entered, and the percentage of mice in a group that entered the novel arm as their first entry. While the total number of the entries into each arm was diverse, there was no significant difference between the experimental groups and control (Figure 3G). *L. acidophilus* group scored the highest ratio of mice entered the novel arm as their first entry (Table S1). Forced alternation values of *L. acidophilus* and *Lcb. rhamnosus* groups were higher than the value of the control group (Figure 3H). Forced alternation of *Lcb. rhamnosus* group and the control group had a significant difference with the adjusted P-value of 0.038.

Full 16S-23S rRNA sequencing and biological diversity

Metagenome sequencing was performed with *L. acidophilus* and control groups, which showed the most improvement in cognitive ability. We compared the microbial composition of

both groups. Gut microbial component information annotated at a species level was completely constructed by sequencing the entire 16S-23S rRNA of the mouse stool (Table 1). Averagely, 323870.0±84085.5 reads were generated from 10 stool samples. The total number of identified OTU was 252401.6±56284.7 in *L. acidophilus* group and 259945.6±78526.0 in the control group. The produced OTUs were annotated as a total of 528.4±90.4 species in *L. acidophilus* group and 539.8±55.4 species in the control group. To check the sufficiency of the sequencing depth for the analysis, a rarefaction curve was created (Figure 4A).

Alpha diversity was calculated to compare species richness within a group (Figure 4B). In the comparison of the two groups, no significant difference was found in Chao1 Shannon indexes. Beta diversity was measured to compare the diversity of the microbial community between the two groups (Figure 4C and D). It was confirmed that both beta diversity evaluations (Bray-Curtis and Unifrac distance) had significant differences.

Microbial composition

In the comparison analysis of microbial compositions, taxonomies with significantly different ratios were found between *L. acidophilus* group and the control group. At the phylum level, Bacteroidota accounted for the highest proportion in both groups, followed by Firmicutes (Figure 4E). Significant differences between the two groups were found in 2 of the 12 phyla (Firmicutes, Proteobacteria), all of which were high in *L. acidophilus* group. At the class level, Bacteroidia showed the highest proportion in both groups. Also, the proportion of Bacilli and Gammaproteobacteria classes were increased in *L. acidophilus* group when compared to the control group (Figure S3). At the order level, Bacteroidales showed the highest percentage in

both groups, and Lactobacillales and Enterobacterales orders were found to exhibit higher proportions in L. acidophilus group. At the family level, Muribaculaceae showed the highest proportion in both groups. It was found that 2 familiae (Lactobacillaceae and Enterobacteriaceae) showed increased proportions in L. acidophilus group, while a decreased percentage was observed in one family (Ruminococcaceae). In the Genus comparison, Muribaculum genus showed the highest ratio in the two groups, and 12 genera showed differences between groups. Three genera showed an increased proportion in the experimental group, whereas 9 genera showed higher mean values in the control group. The genus increased in L. acidophilus group were Lactobacillus, Staphylococcus A, and Escherichia, whereas the genera decreased in L. acidophilus group were Bacteroides F, Desulfotomaculum, Lachnobacterium, Bittarella, Agathobacter, Roseburia, Bariatricus, and Lachnospirarea. At the Species level, Muribaculum intestinale was found to account for the largest proportion, with over 50% in both groups. Following M. intestinale, the species Lactobacillus acidophilus, Lactobacillus johnsonii, Lactobacillus B murinus, and Lactobacillus H reuteri were found with a high proportion in L. acidophilus group, while Lactobacillus_B murinus, Bacteroides_B vulgatus, Faecalibaculum rodentium, and Kineothrix alysoides species showed a high proportion in the control group. No unique bacterial species were found in either of the two groups. Seventeen species showed differences between groups, and it was confirmed that the proportions of L. acidophilus and E. flexneri were increased in L. acidophilus group (Figure 4F).

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Functional profiling and correlation analysis

Functional profiling was performed at the KEGG level 3 to estimate the effect of the

differential composition of intestinal microbes on the mice (Figure 5). By calculating the LDA score, it was confirmed that the two groups showed significantly different patterns in 9 categories. All nine categories were predicted to be more activated in *L. acidophilus* group. The Phosphotransferase system (PTS) scored the highest, followed by *Staphylococcus aureus* infection, Synthesis and degradation of ketone bodies.

To further estimate the influence of the altered gut microbiota, Spearman's correlation analysis of cognitive-behavioral abilities and bacterial OTUs, and fermentation products were performed (Figure 6). *L. acidophilus* and *E. flexneri* showed a positive correlation with all assessments of cognitive abilities, while the other 14 OTUs presented a negative correlation. In particular, step-through latency at Day 2 and Step latency difference for 2 days of the PAT results showed a significant negative correlation with the *Gemella massiliensis* (r = -0.8379, p = 0.03248 and r = -0.8182, p = 0.0376) and *Desulfotomaculum nigrificans* (r = -0.8781, p = 0.01914 and r = -0.8450, p = 0.03225).

To provide evidence to indirectly infer the mechanism of action of the gut microbiome, the concentration of SCFA in the microbial culture was measured (Table S2). Lactic acid and acetic acid were found in three microbial cultures. Lactic acid was identified in the highest concentration in *Lcb. paracasei* EG005, and acetic acid was included in the highest concentration in *L. acidophilus* EG004 culture. Propionate and butyrate were not within detectable ranges.

Comparative analysis of genetic contents in bacterial whole genome sequences

To identify its safety and functionality, several genetic factors were detected. Fourteen genomic islands, two prophage regions, one CRISPR region, and three bacteriocins were found

in the genome of *L. acidophilus* EG004. In *Lcb. paracasei* EG005, 29 genomic islands, 7 prophage regions, 3 CRISPR regions, and 2 bacteriocins were detected. In the case of *Lcb. rhamnosus* EG006, 23 genomic islands, 8 prophage regions, 3 CRISPR regions, and 1 bacteriocin were found in the genome. To estimate a genetic factor related to cognitive ability, protein annotation was conducted (Figure 7A). Protein metabolism, Carbohydrates, Amino acids and derivatives showed high proportions, but there was a difference in order by bacterial strains. Protein metabolism had the highest proportion in *L. acidophilus* EG004 and carbohydrates presented the highest proportion in *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006. In a subcategory comparison of predicted functional sequences, a difference of genetic contents was found (Figure 7B). CDSs related to Fatty acids were found in the genomes of *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006. Genes of 3 subcategories (Aromatic amino acids and derivatives, Alanine, serine, and glycine, and Proline and 4-hydroxyproline) were detected in *Lcb. rhamnosus* EG006, while genes of 3 other categories in Amino Acids in Derivatives were contained in only *L. acidophilus* EG004.

Discussion

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As interest in Gut-Brain Axis has increased, many types of research in this criterion have been published. However, it is still unclear about the integral mechanism and which strain has a positive or negative effect. Therefore, we aimed to develop a new strain that has a positive effect on the host's cognition, and we found 3 strains that caused positive effects in 4 different cognitive tests (Figure 3). Lcb. paracasei group showed improved cognitive ability in the novel object recognition test. A previous study indicated that this bacterium prevents age-related cognitive decline and improves cognitive ability (22). Other strain, Lcb. rhamnosus, displayed improved cognitive ability in passive avoidance task and forced alternation test. Several studies demonstrated that Lcb. rhamnosus consumption could increase cognitive ability (25, 26). Similar to previous studies, we experimentally confirmed that Lcb. paracasei and Lcb. rhamnosus could enhance cognitive function. On the other hand, although it is indicated that L. acidophilus strain has a neuroprotective effect against traumatic brain injury, there was no experimental research related to its cognitive ability (27, 28). In our study, we identified that L. acidophilus group presented the highest classical measured values as well as incidental measured values in novel object recognition test and passive avoidance task. This indicates that L. acidophilus is capable of improving cognitive ability comparable to that of previously reported strains. Our results will help further broaden the industrial field of L. acidophilus. In addition, although probiotic consumptions were carried out as the same method, three experimental groups showed improved cognitive ability in different tests. It implies that different probiotic strains affect cognitive ability by different mechanisms.

To understand the effect of the gut microbiome on the brain as our secondary goal, we performed gut microbiome analysis of *L. acidophilus* group, which showed the best cognitive improvement, along with the control group, The difference of species richness was not found in the comparison of alpha diversity, whereas the difference was found in the comparison of beta diversity (Figure 4B, 4C, and 4D). It represents that the number of OTUs constituting the two gut microbial communities is similar, but the composition of the OTUs is different. In the comparison of the two communities, significant differences were observed at all taxonomic levels except for the bacteria kingdom, which were mostly *L. acidophilus*. Naturally, *L. acidophilus* group was confirmed to show a significant increase in *L. acidophilus* abundance and ultimately show a high ratio of *L. acidophilus*. This indicates that a large amount of *L. acidophilus* is capable of safely reaching the intestines without being affected by digestive juices such as gastric acid and pancreatic enzymes.

We estimated that the positive effect on cognitive ability due to the increased proportion of *L. acidophilus* in the intestines was based on two rationales: modulation of neurotransmitters and neurotrophic factors and production of SCFAs. First, *L. acidophilus* modulates several types of neurotransmitters in the intestine. Microbial-derived intermediates, which affect the brain through gut epithelial and blood-brain barriers, are such as GABA (γ-aminobutyric acid), glutamate, dopamine, noradrenaline, serotonin (5-Hydroxytryptamine; 5-HT), and Brain-derived neurotrophic factor (BNDF). These neurotransmitters are synthesized from various amino acids. GABA and glutamate are produced from the gut microbiome such as *Bifidobacterium* and *Lactobacillus* (29). Glutamate has a role as a neurotransmitter by itself, and it is used at GABA synthesis (30). Dopamine and Noradrenaline are synthesized from specific amino acids such as tyrosine and phenylalanine (31). L-Tryptophan is a well-known precursor of serotonin (32).

Therefore, altered amino acid composition by the gut microbiome seems to affect the host's neurotransmitter synthesis. In the comparison of the functional protein genes, L. acidophilus EG004 showed a higher composition of the gene related to amino acid metabolism, than Lcb. paracasei EG005 and Lcb. rhamnosus EG006 showed (Figure 7A). Changes in intestinal amino acid composition caused by ingested L. acidophilus may have led to differences in cognitive ability. It has been proven that L. acidophilus consumption produces and up-regulates neurotransmitter and neurotrophic factor including GABA and serotonin (33-36). Thus, it is estimated that increased L. acidophilus EG004 in the gut modulates neurotransmitters and affects the animal's nerve system. Second, SCFAs, fermentation products of L. acidophilus, positively apply to brain function. For example, acetate, one of the short-chain fatty acids (SCFAs), promotes the activation of the parasympathetic nervous system (37). Also, it is indicated that acetate improved cognitive ability and neurogenesis in the hippocampus with increasing BDNF and IGF-1 levels as a glatiramer acetate form (38). Likewise, butyrate, a famous HDAC inhibitor, has been used for a pharmacological purpose since lower global histone acetylation is a common phenomenon observed in many neurodegenerative diseases (39). Its therapeutic effect on neurodegenerative diseases including Parkinson's disease was verified, showing enhancement of neurotrophic factors and improvement in learning and memorizing (40). However, SCFAs are not produced until non-digestible carbohydrates reach the small intestine to be broken down by microbial metabolism, so it is not fully produced by the human digestive enzymes without specific microbes. L. acidophilus is a representative species that produces SCFAs through nondigestive carbohydrates, and it can be assumed that the intake of L. acidophilus EG004 caused the increase in SCFAs of the experimental mice's gut. The result of SCFA measurement in bacterial culture raises the possibility of this assumption (Table S2). Although it is different from

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the metabolism in the gut since the SCFAs were measured in the medium to which glucose is the main energy source, it indirectly estimates its SCFA-producing ability. The result of functional profiling in our study also upholds this (Figure 5B). In the analysis of functional profiling, activation of genes of synthesis and degradation of ketone bodies was predicted by comparing it with control. The ketone body is one of the main fuels of the brain like lactate and butyrate, which is the main product of L. acidophilus, and is also capable of replacing glucose as an alternative fuel. Similar to butyrate mentioned earlier, ketone bodies modulate the brain with anti-oxidant reaction, energy supply, regulation of deacetylation activity, and regulation of the immune system. In recent studies, it is indicated that the increase of ketone body's concentration induces an alleviation effect on brain diseases such as epilepsy, Alzheimer's disease, and Parkinson's disease as well as memory improvement (41-43). Based on these evidences, ingested L. acidophilus EG004 in our experimental group seems to have produced SCFAs and modulated neurotransmitters, and L. acidophilus-derived metabolite would have raised cognitive ability. Although we did not measure microbial-derived metabolites, previous researches demonstrated that probiotic consumption leads to an increase of microbial-derived metabolites in the intestines.

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Among detected species with the ratio difference, several species were indicated as important factors in the research of brain disease. *Adlercreutzia equolifaciens* is equol (phytoestrogen) producing bacteria, which obstructs microglial function. In previous studies, a higher ratio of *A. equolifaciens* was found in the gut of patients with Alzheimer's disease and Autism spectrum disorder (44, 45). In other studies, *Roseburia hominis* and *Bacteroides_F pectinophilus* were detected with a higher ratio in the patients with Alzheimer's disease than the normal persons (46, 47). When comparing gut microbiome between the Parkinson's disease group and normal group, *Soleaferrea massiliensis* was more frequently discovered in the patient

group (48). Interestingly, those strains that showed a high ratio from the previous studies of brain disease patients were found to show a lower ratio in *L. acidophilus* group when compared to the control group (Figure 4F). Decreased bacterial ratio related to brain diseases seems to positively affect cognitive ability and we believe that it is due to *L. acidophilus* consumption. As antibacterial activity is the essential property of probiotics, such activity of *L. acidophilus* against harmful and pathogenic bacteria has been reported. In our previous study, we proved that *L. acidophilus* EG004 is capable of demonstrating the antimicrobial activity (49). Therefore, we suggest that the antibacterial activity of *L. acidophilus* EG004 was the potential reason for cognitive ability enhancement.

In functional profiling analysis, we offered explainable factors for the microbial effect on the brain. Three KEGG categories were related to toxic chemical degradation: Dioxin degradation, Xylene degradation, and Caprolactam degradation (Figure 5B). Dioxin, a neurotoxin, can raise autism and neurodegenerative disease (50, 51). Xylene inhibits normal protein synthesis of neuronal function and induces instability in the neuronal membrane. When it is inhaled, psychological deficits can be caused (52, 53). These chemicals are noxious to the brain, so activation of these chemical degradations would have diminished negative effect in *L. acidophilus* group. Besides, two KEGG categories related to the immune system were found. One of them is *Staphylococcus aureus* infection, which is known to cause brain abscess. Since there have been many studies demonstrating that *L. acidophilus* has antimicrobial activity against *S. aureus*, activation of this category is thought to be due to an increase in the amount of *L. acidophilus*. The function of renal cell carcinoma was predicted in the experimental group. As it involves not only tumor suppressor genes such as VHL, GH, and BHD, but also oncogenes such

as MET and PRCC-TFE3, it seems to be necessary to confirm the exact mechanism and side effects.

The purpose of this study was to develop a new strain that can improve cognitive ability and to provide an underlying biological mechanism affecting the brain by the gut microbiome. It is necessary to measure metabolite changes in order to provide an understanding of the mechanism of altered cognitive ability. However, altered metabolite from animal body was not fully identified. To overcome this limitation, we conducted the metagenome analysis, correlation analysis between cognitive ability and gut microbiome, measurement of SCFA producing ability, and whole-genome comparison analysis. These analyses were not covered to identification of a biological factor caused improved cognitive ability, but presented a group of genes and mechanisms that can infer the process. Although we did not provide direct evidence of phenotype changes caused by probiotics ingestion, we hope that our findings will help infer the process of the brain-gut axis.

Materials and Methods

Animals

4-week-old male C57BL/6 mice (n = 48, average weight 26g) were gained from YoungBio (Seongnam, Korea). All mice were housed in a group of four per cage under standard controlled laboratory conditions (temperature of 20±5°C, humidity of 55~60%) on a 12-h light/dark cycle (light on at 7:00 a.m.). Each group was constituted of 12 mice, and it was nurtured by distributing 4 mice to 3 cages. Twelve cages were located at random. All animals received *ad libitum* access to food. All animal experiments were performed following protocols approved by the Institutional Animal Care and Use Committee (IACUC) of Seoul National University, and the permission number is SNU-190607-4-3.

Bacterial treatment

The bacterial strains were isolated from fermented dairy foods. When identifying the braingut axis effect, the important factors to be considered were viability and adherence capacity. Therefore, we selected the species that are known to have adherence capacity in the GI tract, as well as the potential for gut-brain axis effect. To identify species of each strain, 16S rRNA genes were sequenced by Macrogen Inc. (Seoul, Korea) with 27F and 1492R primers. Obtained sequences were compared with sequences in the NCBI database using BLAST. The experiment was constituted with 4 groups; 3 experimental groups were fed on autoclaved tap water mixed with *L. acidophilus* EG004, *Lcb. paracasei* EG005, and *Lcb. rhamnosus* EG006, and a control group was fed on sterilized tap water. Each group consisted of 12 mice. Bacteria to delivery were

freshly cultivated every day. Probiotic colonies were sub-cultured into 5ml MRS broth for 8 hours. After the sub-culture, 3 probiotic strains were inoculated in 500 ml MRS broth for 16 hours. Cultivated cells were spun down by centrifugation with 4,000 rpm for 10 min. The supernatant was removed, and the pellet was suspended by 0.85 % NaCl solution. Re-suspended cells were centrifuged by 4,000 rpm for 10 min to remove medium ingredients. The washing process was conducted twice. Washed cells were dissolved into autoclaved tap water. The final cell concentration of vehicles was about 1.0E9 CFU/ml. To estimate the probiotics amount per day per subject, daily water intake and probiotic concentration in vehicles were recorded. Cell viability of probiotics was measured by serial dilution and spreading in MRS agar plate. The probiotics amount per day per subject was calculated as an average of daily water intake per subject, by multiplying the average of daily probiotic concentration.

Animal treatment

The animal experiment was designed to minimize animal stress. All animal treatment was described in Figure 1 by timeline. Four weeks old mice were allowed to habituate freely for acclimatization for 1 week. After a week, tap water and water mixed with probiotics were delivered every day. Water intake was monitored every day and body weight was measured every week. Evaluations of cognitive ability were conducted after 4 weeks from probiotics intake. Behavioral tests were conducted at least 2 days after the weight-measurement day to minimize the stress effect. Animals were carried to a behavioral test room to assimilate room condition and were allowed to relax for 6 hours before any behavioral test. In order to reduce the variance of feeding time, the experimental order of the mice was distributed evenly. All apparatus and

objects for the behavioral tests were cleaned with 70 % ethanol and dried after every trial to remove odors and any clues. The mice were sacrificed at the end of 13 weeks after the evaluations of the cognitive behavior. Preliminary experiments were conducted to obtain appropriate experimental values under our experimental environmental conditions. The three to five experimental conditions referring to published results were tested in our laboratory, and the experimental conditions showing a value similar to the average value of the previous studies were determined.

Y maze (Spontaneous alternation; SA)

Short-term spatial memory was assessed with a Y maze apparatus. SA was used to measure rodent's habit to explore a new environment. The Y maze consisted of 3 identical arms that cross each other with 120° (JEUNGDO Bio & Plant Co., Ltd., Korea). Mice are laid in the middle of the Y maze facing a corner, not an arm. Each animal was allowed to freely navigate all three arms for 5 minutes and the animal's entries to any arm were recorded. An arm entry was determined as any instance when the whole body of the mouse entered the arm and navigated at least 70% of the space. The spatial memory was evaluated by spontaneous alternation, the number of arm entries, and the ratio of mice per group entered spontaneous alternation during the first three entries. Spontaneous alternation was calculated as shown below.

Spontaneous alternation [%] =
$$\frac{\text{Number of spontaneous alternation}}{\text{Total number of arm entries } - 2} \times 100$$

Novel object recognition test (NOR)

Based on the concept that mice tend to prefer a new object over a familiar one, a novel object recognition test (NOR test) was performed in an open field (40×40×40 cm (W×D×H), JEUNGDO Bio & Plant Co., Ltd., Korea). Two objects for this test were selected showing similar preference through the preference test. The test consisted of Sample trial (T1; 10 min), Interval time (IT; 60 min), and Novel object trial (T2; 5 min). In T1, 2 identical objects were located at 1/3 and 2/3 diagonal of the open field, respectively. The animal was laid facing the wall with the same distance to two objects, and was allowed to explore objects for 10 min. After exploration, the mouse came back to the cage and had a rest. In T2, objects were positioned at the same position as T1, but one of the objects was changed to a novel object. To measure the time taken to interact with objects, all experiment processes were recorded, and the exploration time was measured by Movavi software with 3 decimal places. It was recognized as significant only when the mouse approached facing the objects within 2.5 cm. Cases that the mouse climbed objects and individuals with exploration time less than 2 seconds were excluded. The results were presented as a discrimination ratio, the number of object touches, and the ratio of mouse that touched the novel object first before it touched the familiar object. The discrimination ratio was defined as the below equation.

Discrimination ratio [%] =
$$\frac{\text{Novel object interaction time}}{\text{Novel object interaction time} + \text{Familar object interaction time}} \times 100$$

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Passive avoidance task (PAT)

The passive avoidance task is designed to evaluate inhibitory avoidance memory according to rodent habit that a mouse prefers dark environment naturally. Shuttle box (41×21×30 cm (W×D×H), JEUNGDO Bio & Plant Co., Ltd., Korea) is an apparatus made for the passive

avoidance task and consists of a bright chamber and a dark chamber which are separated by a sliding door. The floor of the chambers is made of stainless-steel grids to flow current. The test was conducted for 2 days; Acquisition (Day 1) and Test (Day 2). On day 1, a subject was put in the bright chamber facing the wall across the closed sliding door. After the mouse explored the bright chamber for 1 minute, and the moment the mouse was away from the door for over 100 mm, facing the wall not the door, the door was opened so that the mouse could freely enter and move around the dark chamber. Latency time was measured until the mouse entered the dark chamber completely. The door was closed when the animal entered the dark compartment wholly including its tail, and 0.25 mA electric shock was provided to the paws by steel grid for 3 seconds. To memorize the situation, the mouse was kept in the dark chamber for 30 seconds after the shock and returned to the home cage for 24 hours. On day 2, the mouse was laid again into the bright chamber. After 1 minute for adaptation, the sliding door was opened when the mouse faced the wall like day 1. Latency time was measured again until the mouse entered the dark chamber. If the animal rather stayed in the bright chamber for more than 300 seconds (which was the cut-off time), the experiment was completed. All experimental processes were recorded and the time was measured by the Movavi program with 3 decimal places.

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Y maze (Forced alternation; FA)

Forced alternation was assessed with the same Y maze as described above. This test consisted of 3 phases; Training trial (T1; 5 min), Interval time (IT; 60 min), and Test trial (T2; 5 min). A mouse was placed at a starting arm of Y maze facing the wall. The subject freely explored the maze during T1, while an entry was blocked with white expanded polystyrene. After

the learning trial, the mouse was returned to the home cage and rested for 1 hour. In T2, the mouse was again placed into the starting arm without the plate blocking the novel entry, and explored all three arms. All movements of mice were recorded through video. Forced alternation was evaluated by the ratio of time spent in the novel arm compared to the whole experimental time, time taken to first enter the novel arm, and the percentage of mice per group that entered the novel arm as their first entry. The case that the mouse passed at 2/3 of the arms was admitted as a valid entrance. An individual that showed no navigation of the maze or that had entered the arms less than 5 times was excluded.

Feces collection and cognitive ability evolution

After all cognitive assessments had been completed, 2-3 stool samples were taken from each experimental subject. Sterilized stainless-steel tweezers were used for fecal picking, tweezers were washed with 70% alcohol and dried sufficiently before collecting new samples. The fresh samples were immediately enclosed into a 1.5ml Eppendorf tube and were put on ice. Then, it was stored at -80 degrees Celsius until used for 16S rRNA sequencing.

In order to determine the group that showed the best increase in cognitive ability, a score was assigned to the cognitive ability evaluation item. The items used for evaluation are spontaneous alternation, group ratio of SA, discrimination ratio, group ratio of NOR, step latency at day 2, forced alternation, and group ratio of FA (Table S2). Scores were given in ascending order of ranking (1-4 points), and the group with the highest total was selected as the group with the highest cognitive ability increase.

Statistics

Data were analyzed by R studio. Ineligible data were cut based on the requirements mentioned above. Data normality was assessed using the Shapiro-Wilks test and homogeneity of variance was assessed using Levene's test. Wilcoxon rank-sum test and independence t-test was used to evaluate statistical significance between experimental groups. P-values were adjusted by the FDR method for multiple testing correction. Statistical significance was set as P-value under 0.05. All data are expressed as mean \pm SEM.

Full 16S-23S rRNA sequencing

To characterize the microbial community associated with measured cognitive assessment, metagenome sequencing of the 16S-23S rRNA gene was carried out by Oxford Nanopore MinION. Metagenome sequencing was performed for the control group and *L. acidophilus* group, which showed a significant difference from the control in the cognitive ability evaluation. Among the 12 stored stool samples of each group, 5 samples with sufficient amount for sequencing were selected. For library construction, gDNA was extracted from fecal samples using AccuPrep® Stool DNA extraction Kit (Bioneer, Daejeon, South Korea). To identify the quality of extracted gDNA, A260/A280 and A260/A230 absorbance were used with 0.7 % agarose gel electrophoresis. After performing quality control, selected samples were used for the library construction. Stool samples were lysed and bacterial cells were disrupted by Zirconia/Silica Beads and proteinase K. The sequencing library was prepared by 16S-26S rRNA PCR amplification with Nanopore Ligation Kit (SQK-LSK109, Nanopore, Oxford, UK) following the manufacturer's instructions. Purification and quality checks were conducted using

agencourt AMPure XP cleanup (Beckman Coulter, CA, USA), Quant-iTTM PicoGreenTM dsDNA Assay Kit (Invitrogen, Ireland), and 0.7% agarose gel. The PCR products were diluted and endrepaired using NEBNext FFPE Repair Mix (New England BioLabs, Ipswich, USA). The amplicon was Nick-repaired using NEBNext End repair/dA-tailing Module (New England BioLabs), prior to adapter ligation by NEBNext Quick Ligation Module (New England BioLabs). The sequencing library was loaded on primed Flongle flow cell according to Nanopore protocol. Sequencing was performed by MinION MK1b. Sequencing data was acquired by MinKNOW software (19.12.5) without live base-calling.

Metagenome analysis

Raw data were obtained as fast5 files. Base-calling was carried out by Guppy 4.0.11 with 2,000 chunk size and 4 base callers (54). Porechop version 3 was executed for trimming adapter sequences (https://github.com/rrwick/Porechop). To annotate bacterial taxonomy, trimmed sequences were aligned with reference data from GTDB using Minimap2 (55). In Operational Taxonomic Unit (OTU) identification, only results with more than 2,500 matching bases and more than 3,500 bases including gaps in mapping were used. To normalize abundance data, the TMM (The trimmed mean of M-values) method was used by the edgeR package of R software (56). To characterize each group, biological diversity was calculated through the physeq package of R software (57). A rarefaction curve was constructed to check the saturation of genome sequencing. To compare species richness, alpha diversity was calculated as chao1 and Shannon indexes. To compare between groups, beta diversity was calculated using Bray-Curtis dissimilarity and Unifrac distance. P-value was calculated by the Adonis test. For detection of

unequal features, Wilcoxon rank-sum test was performed in each taxonomic level with 0.95 confidence level. To compare functional profile, PICRUSt2 was performed (58). Correlation between cognitive ability and bacterial OTUs was inferred by Spearman's rank correlation analysis. P values were adjusted by FDR method.

SCFA identification in bacterial culture

To identify the amount of short-chain fatty acids (SCFAs), high-performance liquid chromatography (HPLC) was performed using Ultimate3000 (Thermo Dionex, USA) and Aminex 87H column (300x10mm, Bio-Rad, USA). Bacterial cultures of EG004, EG005, and EG006 were inoculated for 24 hours. After cultivation, the samples were filtered with 0.45 μm of a membrane filter. The filtered sample of 10μL was injected into the HPLC.

Whole-genome sequencing and assembly of EG005 and EG006

To identify probiotic safety and potential secondary metabolite producing ability, whole-genome sequencing of *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006 was performed. For library construction, DNA was extracted from cultured bacterial cells. After performing quality control, gDNA was used for the library construction. Bacterial cells were lysed by lysozyme for gram-positive bacteria, and removed RNA and protein to isolate DNA. Quality control for gDNA was conducted by 260/280, 260/230 absorbance with 0.8% agarose gel. Genomic DNA was fragmented to a target length of 20Kb using g-Tube (Covaris, MA, USA) and Short DNA fragments <5 kb are depleted by SRE (Circulomics, MD, USA). The fragments were Endprepared, Nick-Repaired, and then ligated with Nanopore adapter. After every enzyme reaction,

the DNA samples were purified using AMPure XP beads (Beckman Coulter, CA, USA) and QC with Quant-iTTM PicoGreenTM dsDNA Assay Kit. The sequencing library was loaded on primed Flongle flow cell according to Nanopore protocol. Sequencing was performed on a MinION by MinKNOW software.

Base-calling from raw data was conducted by Guppy Basecaller v4.0.15 with filtering with an average basecall Phred quality score. Adapter sequences were trimmed by PoreChop v0.2.4. Genome assembly was conducted by Canu. Assembled contigs were polished by Nanopolish and racon, and pilon. Circlator circularized each contig and detect replication origin. Assembled contig was assessed by BUSCO 3.0.2. The complete sequence of *L. acidophilus* EG004 that is deposited in the NCBI database with accession number PRJNA657145 was used.

Comparative analysis of bacterial genome sequences

To check safety and functionality as probiotics, genetic factors were identified by whole-genome sequences. Virulence factor and prophage gene were detected by VirulenceFinder 2.0 and PHASTER, respectively. IslandViewer4 identified genomic island and crisprfinder searched CRISPR region. Bacteriocin detection was conducted by BAGLE4. To compare functional gene contents, protein prediction was performed by the RAST server. Predicted protein sequences were classified by the SEED system. Categorized protein sequences showed as the proportion in the total predicted sequences.

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788 **Tables**

789

Table 1. Metagenomic sequencing statistic of L. acidophilus group and control

	The number of samples	Total number of reads	Estimated base (Mb)	N50	Total number of counts	Total number of OTUs
LAª	5	312,384±31,8 87	1,434±143	4,872±90	252401.6±25, 171	528.4±40
W^b	5	335,356±45,8 14	1,485.6±21 5	4,748±40	259945.6±35, 117	539.8±25
Total	10	323,870±37,6 04	1,459.8±17	4810±72	256173.6±28, 860	534.1±32

^a: *L. acidophilus* group, ^b: control group. There was no significant difference between groups. All values were presented as average ± standard error of the mean. Fecal samples compiled after 8 weeks of probiotic ingestion were used for metagenome sequencing.

794 Figure legends

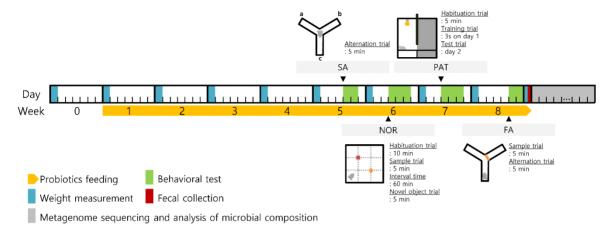


Figure 1. Schematic diagram of the study to discover a new probiotic strain with improved cognitive ability

The diagram displays the experimental schedule by day and week for identifying probiotic strain with improved cognitive ability. Cognitive ability was measured once a week by four behavioral tests. The diagram of each experiment shows the first position of the animal.

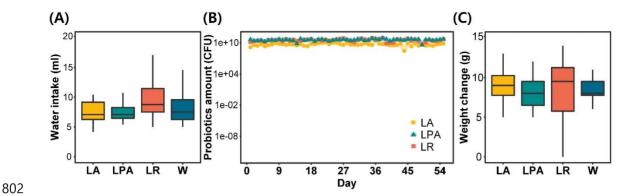


Figure 2. Measurement of additional effect after probiotic consumption

Experimental groups are expressed in abbreviations. LA: *L. acidophilus* group, LPA: *Lcb. Paracasei* group, LR: *Lcb. Rhamnosus group*, and W: tap water-fed group (control). (A) The average daily water intake. All groups showed a similar average. (B) The change of daily intaken probiotic amount by timeline. *L. acidophilus* was ingested in smaller amounts compared to the other two strains. (C) The average body weight change for 8 weeks. All groups showed similar averages.

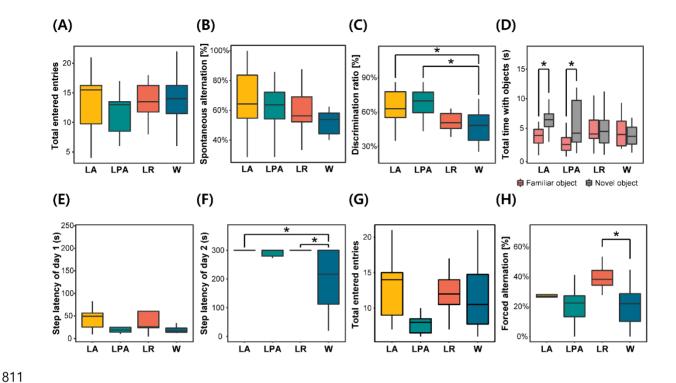


Figure 3. Results of cognitive behavioral tests

Experimental groups are expressed in abbreviations. LA: *L. acidophilus* group, LPA: *Lcb. Paracasei* group, LR: *Lcb. rhamnosus* group, and W: the group fed on tap water (control). (A) Total arm entries during spontaneous alternation test. (B) Spontaneous alternation. This is the representative value of spontaneous alternation test. (C) Discrimination ratio. It is the representative value of the novel object recognition test. (D) Comparison of the total time to observe two objects. (E) Step-through latency of day 1. (F) Step-through latency of day 2. This is the representative result of the passive avoidance task. (G) Total arm entries during forced alternation test. (H) Forced alternation. This result is a representative value of forced alternation. All comparison of average between experimental groups was measured by Wilcoxon rank-sum test. Significant difference is presented with symbol (Adjusted P-value* < 0.05).

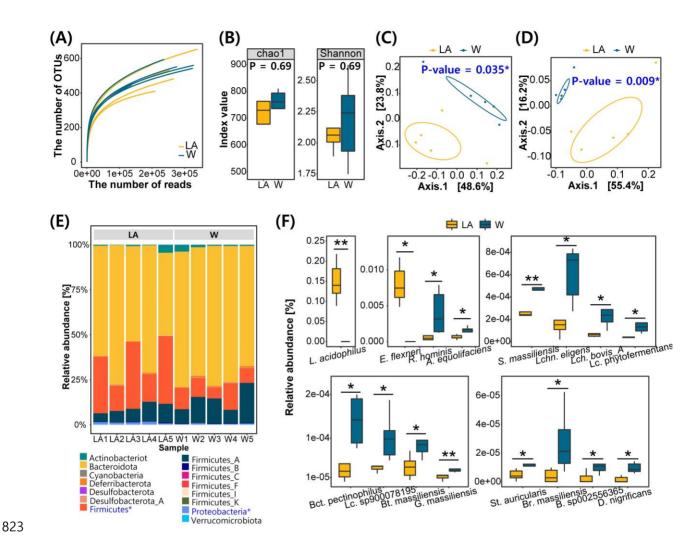


Figure 4. Results of metagenomics sequencing

Experimental groups are expressed in abbreviations. LA: *L. acidophilus group* and W: the group fed on tap water (control). (**A**) Rarefaction curve of metagenome sequencing. (**B**) Alpha-diversity of the *L. acidophilus* group and control. (**C**) Beta-diversity using Bray-Cutis distance between the *L. acidophilus* group and control. (**D**) Beta-diversity using Unifrac distance between both groups. (**E**) Comparison of microbial composition at the phylum level. The blue-colored phylum with the (*) symbol showed a significant difference compared to the two experimental groups. (**F**) Comparison of microbial composition at the species level. L. acidophilus: *Lactobacillus*

acidophilus, E. flexneri: Escherichia flexneri, R. hominis: Roseburia hominis, A. equolifaciens:

Adlercreutzia equolifaciens, S. massiliensis: Soleaferrea massiliensis, Lchn. Eligens:

Lachnospira eligens, Lch. Bovis_A: Lachnobacterium bovis_A, Lc. Phytofermentans:

Lachnoclostridium phytofermentans, Bct. Pectinophilus: Bacteroides_F pectinophilus, Lc.

Sp900078195: Lachnoclostridium sp900078195, Bt. Massiliensis: Bittarella massiliensis, G.

massiliensis: Gemella massiliensis, St. auricularis: Staphylococcus auricularis, Br. Massiliensis:

Bariatricus massiliensis, B. sp002556365: Bacillus_AW sp002556365, D. nigrificans:

Desulfotomaculum nigrificans. All comparisons of average between experimental groups were measured by independence t-test. Significant difference is presented with symbol (Adjusted P-value* < 0.05, P-value** < 0.01).

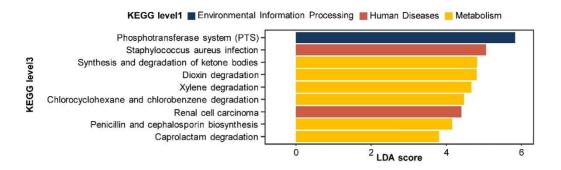


Figure 5. Results of functional profiling

Predictive functional profiling of microbiome. All predicted functions have a positive LDA score

for the L. acidophilus. group

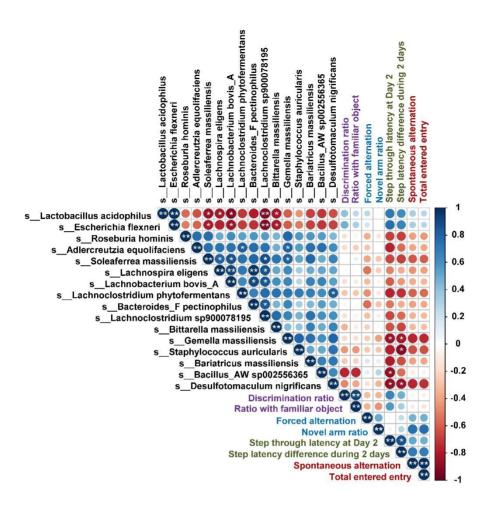
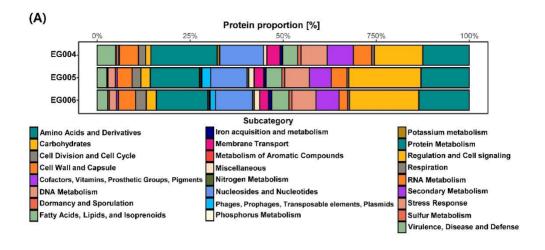


Figure 6. Spearman's rank correlation analysis

Correlation analysis was conducted to detect association among bacterial OTUs, measured cognitive abilities, and fermentation products. The color intensity and circle size show the strength of the correlation. Red color represents a negative correlation, and blue color is a positive correlation. Only circles with adjusted P-value under 0.01 are illustrated in the matrix. Results of cognitive ability evaluation were classified by 4 colors: NOR (purple), FA (blue), PAT (deep green), and SA (brown). Significant P values indicated by the symbol * (<0.05) and ** (<0.01).



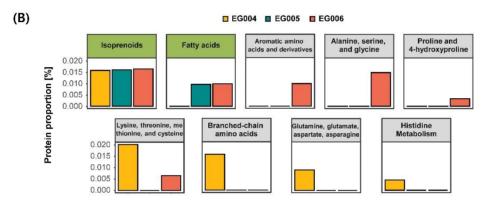
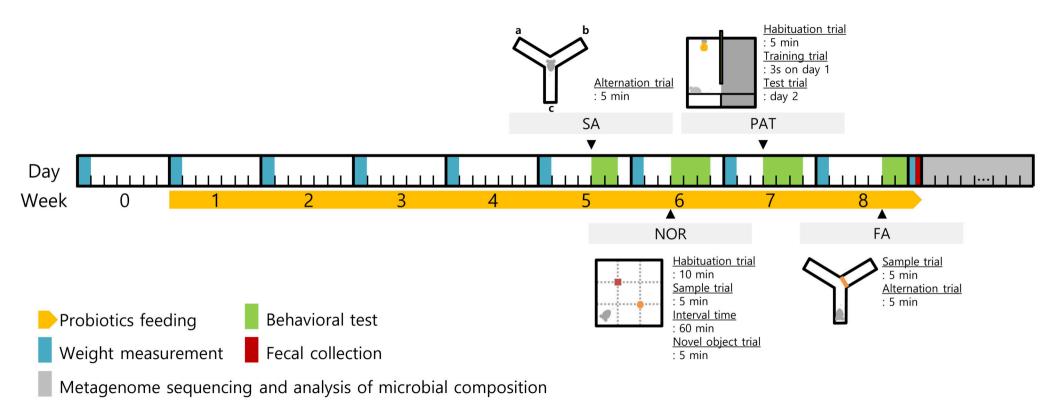
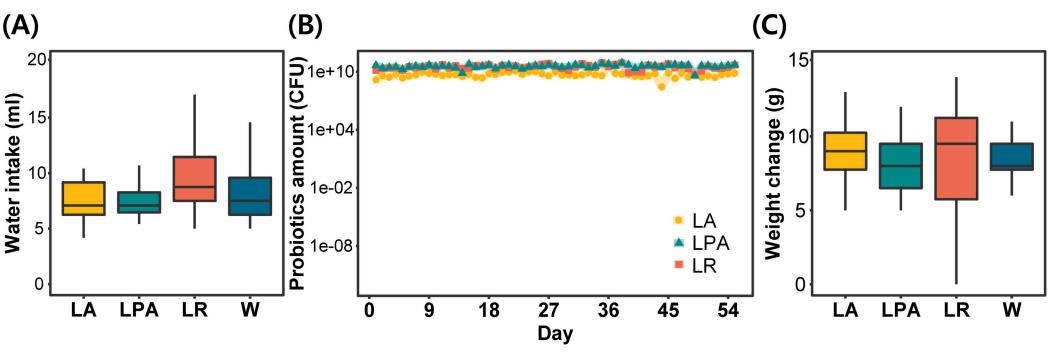
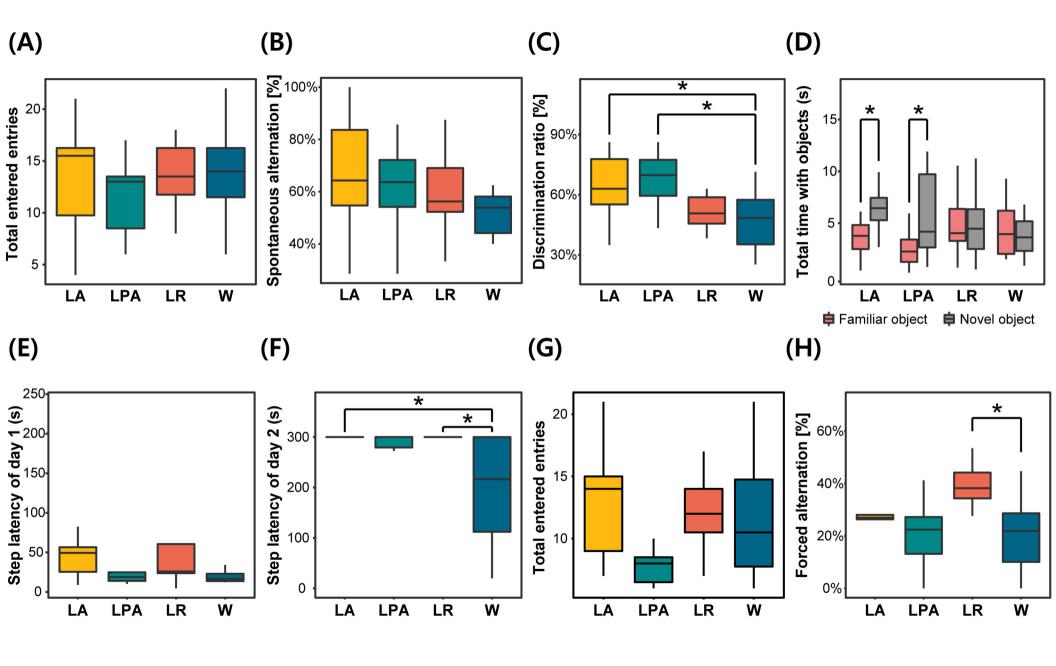


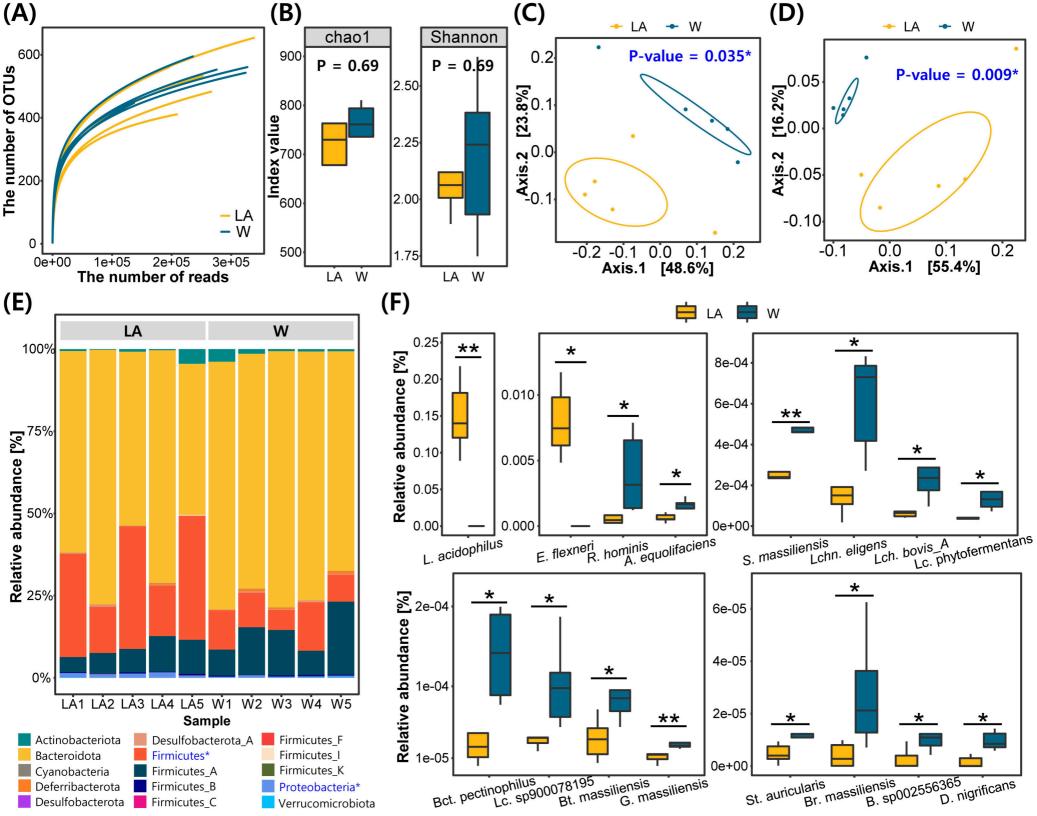
Figure 7. Genomic comparison of 3 probiotic strains

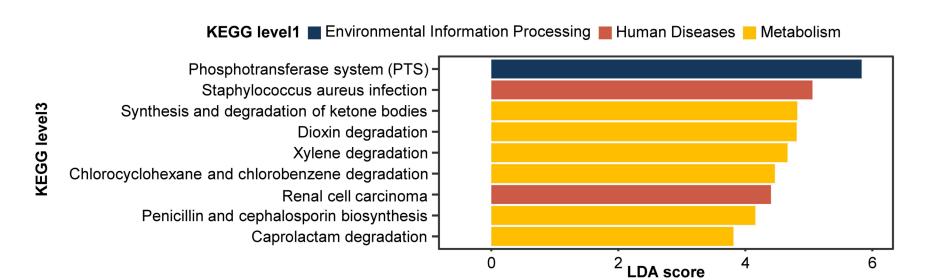
(A) Functional classification of protein coding sequences. All predicted protein sequences were classified by categories by SEED system. (B) Subcategories in [Fatty Acids, Lipids, and Isoprenolds] and [Amino Acids and Derivatives]. [Fatty Acids, Lipids, and Isoprenolds] subcategory showed yellow-green colored head and [Amino Acids and Derivatives] category presented light gray colored head.

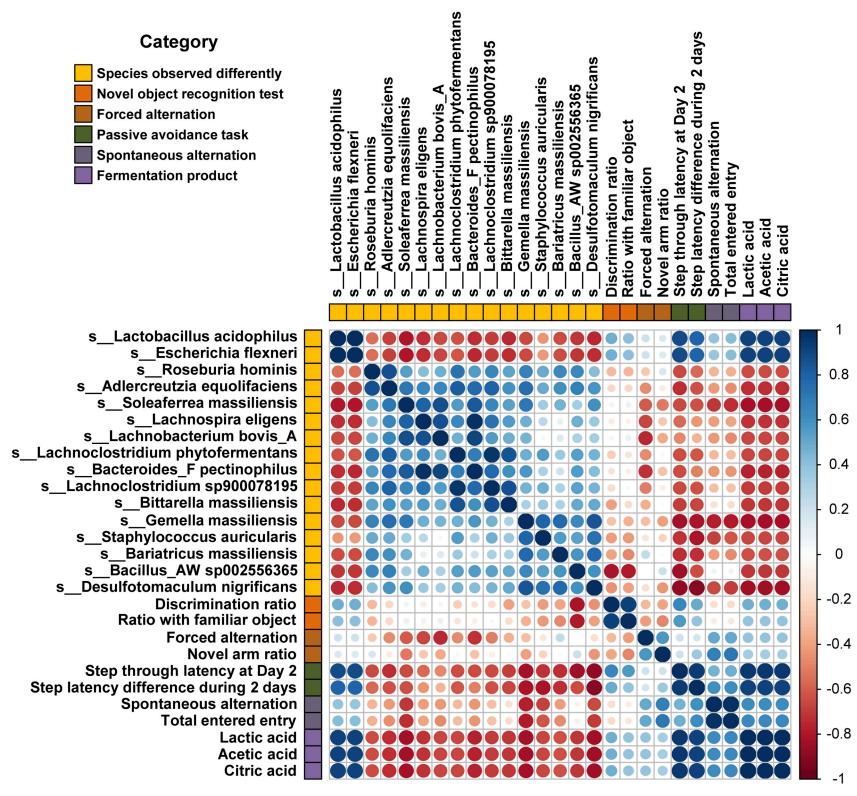


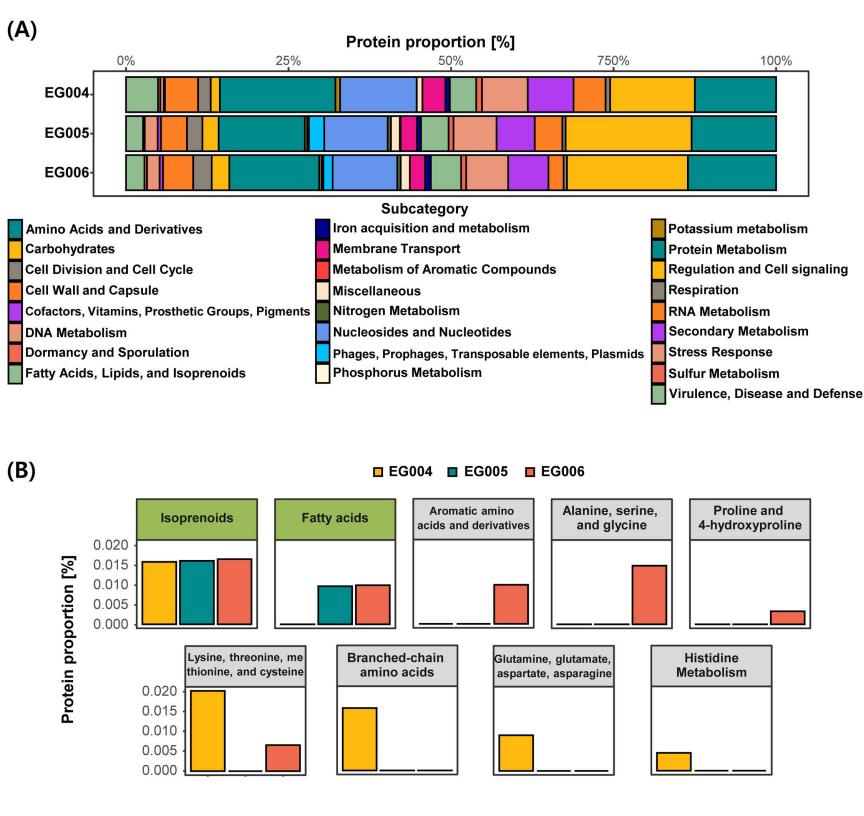














Dept. of Agricultural Biotechnology and Research Institute of Agriculture and Life Sciences Seoul National University San 56-1 Daehak-dong, Gwanak-gu, Seoul 151-742 Republic of Korea

Brief Rebuttal to the remarks of the reviewer3

Comment #1 of the reviewer3: The metagenome sequencing data (16S-23S rRNA) should be submitted to GenBank if it is not submitted yet.

Amendment for comment #1

We thank the reviewer for raising this issue. As the reviewer's comment, we have finished uploading the metagenome sequencing data and whole-genome sequence data of *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006. For readers and other researchers' access to data, we added a 'Data availability' session with NCBI accession numbers (*Line 636-640, page 31-32*). Circularized genomes of the three probiotics were added with annotation information in the supplementary information (*Supplementary_data, page 5-7*). We expect that this will give more credit to our research and provide a new application to other researchers.

Comment #2 of the reviewer3: The aim of the paper is to study effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy mouse and fecal microbiome analysis using full-length 16S-23S rRNA metagenome sequencing.

In the manuscript, the authors studied a bacterial strain Lactobacillus acidophilus EG004 with a positive effect on cognitive ability using a healthy animal model. The authors experimentally verified improved cognitive ability by cognitive behavioral tests. The authors performed full 16S-23S rRNA sequencing and provided gut microbiome composition at a species level. The provided microbiome composition consisted of candidate microbial groups as a biomarker that shows positive effects on cognitive ability. Therefore, their study suggests a new perspective for probiotic strain use applicable for medicine.

The uniqueness of the text is 90% by AntyPlagiarism.net.

The manuscript is written well. English is proper, well understandable.

Reviewer has some comments:

- Line 74 most of researches were should be most of the researches was.
- Line 73 many researches should be many pieces of research.
- Line 82 industrialization process should be industrialization processes.
- Line 105 for the sentence Autism, Alzheimer's disease, and Parkinson's disease (7-9) add additional citation (Danilenko et al., 2021) and add to the References Danilenko, V.N., Devyatkin, A.V., Marsova, M.V., Shibilova, M.U., Ilyasov, R.A., Shmyrev, V.I., 2021b. Common inflammatory mechanisms in COVID-19 and Parkinson's diseases: the role of microbiome and probiotics in their prevention. Journal of Inflammation Research 14, (In press). doi: 10.2147/JIR.S333887.
- Line 108 -to the sentence the neural pathways of the brain-gut axis (10). add additional citation (Fetissov et al., 2019). and



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add to the References - Fetissov, S.O., Averina, O.V., Danilenko, V.N., 2019. Neuropeptides in the microbiota-brain axis and feeding behavior in autism spectrum disorder. Nutrition 61, 43-48. doi: 10.1016/j.nut.2018.10.030.

- Line 112 Second, the second suggestion should be Second, the suggestion
- Line 113 microbiome affect brain should be microbiome affects brain.
- Line 113 metabolic pathway should be metabolic pathways.
- Line 127 remove one dot.
- Line 153 The averages daily should be The averages of daily.
- Line 168 In the comparison of should be The comparison of.
- Line 194 light room should be lightroom.
- Line 195 remove italics of the word group.
- Line 226 comparison should be comparative.
- Line 236 familiae should be families.
- Line 275 whole genome should be whole-genome.
- Line 308 recognition test and passive avoidance task should be recognition tests and passive avoidance tasks.
- Line 321 were should be was.
- Line 343 factor should be factors.
- Line 350 purpose should be purposes.
- Line 370 these evidences should be this evidence.
- Line 398 negative effect should be negative effects.
- Line 408 to provide should be provide.
- Line 413 These analyses were not covered to identification of a biological factor caused should be These analyses were not
 covered in the identification of a biological factor that caused.
- Line 416 probiotics ingestion should be probiotic ingestion.
- Line 444 by should be at.
- Line 442 with should be at.
- Line 453 add space after dot.
- Line 457 from probiotics intake should be after probiotic intake.
- Line 459 room condition should be room conditions.
- Line 472 rodent's habit should be rodents' habits.
- Line 478 entered should be that entered.
- Line 486 preference should be preferences.
- Line 516 After 1 minute for adaptation should be After 1 minute of adaptation.
- Line 531 time taken should be time is taken.
- Line 554 correction should be corrections.
- Line 789 statistic should be statistics.

Please check English by professional translator one more times.

In further authors should study details of biological factors and molecular mechanisms that caused improved cognitive ability in mice after treatment with L. acidophilus EG004 strain.

Amendment for comment #2

Thank you for reading carefully and giving us kind advice. This is the kindest comment we've ever received. Based on the reviewer's comments, we revised the manuscript. However, the paper the reviewer recommended was not found because the paper was not published yet. So, we added another paper that indicated the relationship between the gut microbiome and Parkinson's disease (Danilenko VN, Stavrovskaya AV, Voronkov DN, Gushchina AS, Marsova MV, Yamshchikova NG, Ol'shansky AS, Ivanov M, Ivanov M, Illarioshkin SNJAoC, Neurology E. 2020. The use of a pharmabiotic based on the Lactobacillus fermentum U-21 strain to modulate the neurodegenerative process in an experimental model of Parkinson disease). We expect it to help the readers understand our contents. To deliver accurately, grammatical errors have been corrected throughout the entire manuscript again. As the reviewer mentioned, we are designing a further



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study including measurement of metabolite changes to understand the biological mechanism accurately. We hope to report positive results again in the near future.

November 29, 2021

Prof. Heebal Kim Seoul National University Seoul Korea (South), Republic of

Re: Spectrum01815-21R1 (Positive effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy mouse and fecal microbiome analysis using full-length 16S-23S rRNA metagenome sequencing)

Dear Prof. Heebal Kim:

Thank you for submitting your manuscript to Microbiology Spectrum. As you will see your paper is very close to acceptance. Please modify the manuscript along the lines recommended by Reviewer 3 (see below). As these revisions are quite minor, I expect that you should be able to turn in the revised paper in less than 30 days, if not sooner. If your manuscript was reviewed, you will find the reviewers' comments below.

When submitting the revised version of your paper, please provide (1) point-by-point responses to the issues I raised in your cover letter, and (2) a PDF file that indicates the changes from the original submission (by highlighting or underlining the changes) as file type "Marked Up Manuscript - For Review Only". Please use this link to submit your revised manuscript. Detailed instructions on submitting your revised paper are below.

Link Not Available

Thank you for the privilege of reviewing your work. Below you will find instructions from the Microbiology Spectrum editorial office and comments generated during the review.

The ASM Journals program strives for constant improvement in our submission and publication process. Please tell us how we can improve your experience by taking this quick <u>Author Survey</u>.

Sincerely.

Jan Claesen

Editor, Microbiology Spectrum

Reviewer comments:

Reviewer #3 (Comments for the Author):

Reviewer comments

Manuscript: Spectrum01815-21R1 Positive effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy mouse and fecal microbiome analysis using full-length 16S-23S rRNA metagenome sequencing

The manuscript was improved but I have some guestions:

Line 54 - Cognition is one of the functions of the brain. The authors should write in the Manuscript the idea that they study bacterial strain that has positive effects on brain function, which can be recognized through changes in cognitive processes. Line 68 - In the annotation, you do not say a word about strains EG005 and EG006. Why? Also, add into the discussion part more information about comparison and differences in the action of these three strains. Explain the reasons for these differences.

Line 130 - will be better if you use the word - healing effects

Line 150 - what kind of molecular method? add the explanation into the text.

Line 390 - you wrote - that the antibacterial activity of L. acidophilus EG004 was the potential reason for cognitive ability enhancement. - how it is possible? Why do you assume this?

Line 407 - Line 54 - Cognition is one of the functions of the brain. The authors should write in the Manuscript the idea that they study bacterial strain that has positive effects on brain function, which can be recognized through changes in cognitive processes.

Line 421 - why male?

Line 605 - Why is EG004 do not present here?

Line 623 - Add here the information from Data availability - The complete sequences of Lcb. paracasei EG005 and Lcb. rhamnosus EG006 is available in the NCBI database with accession numbers, SAMN23227569 and SAMN23227570, respectively. The metagenomic sequences are available in the NCBI database under the accession number PRJNA781018. Please answer my question and add information to the Manuscript.

I added the PDF file with highlighted comments. No other comments. A minor revision is required.

Preparing Revision Guidelines

To submit your modified manuscript, log onto the eJP submission site at https://spectrum.msubmit.net/cgi-bin/main.plex. Go to Author Tasks and click the appropriate manuscript title to begin the revision process. The information that you entered when you first submitted the paper will be displayed. Please update the information as necessary. Here are a few examples of required updates that authors must address:

- point-by-point responses to the issues I raised in your cover letter
- Upload a compare copy of the manuscript (without figures) as a "Marked-Up Manuscript" file.
- Each figure must be uploaded as a separate file, and any multipanel figures must be assembled into one file.
- Manuscript: A .DOC version of the revised manuscript
- Figures: Editable, high-resolution, individual figure files are required at revision, TIFF or EPS files are preferred

For complete guidelines on revision requirements, please see the journal Submission and Review Process requirements at https://journals.asm.org/journal/Spectrum/submission-review-process. **Submissions of a paper that does not conform to Microbiology Spectrum guidelines will delay acceptance of your manuscript.** "

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Thank you for submitting your paper to Microbiology Spectrum.

1 Title

- 2 Positive effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy
- 3 mouse and fecal microbiome analysis using full-length 16S-23S rRNA
- 4 metagenome sequencing

5

6 Running title

- 7 Positive effect on cognitive ability of *L. acidophilus*
- 8 Authors
- 9 Soomin Jeon^{a,†}, Hyaekang Kim^{a,†}, Jina Kim^a, Donghyeok Seol^{a,b}, Jinchul Jo^a,
- 10 Youngseok Choi^a, Seoae Cho^b, Heebal Kim^{a,b,d,*}
- ^aDepartment of Agricultural Biotechnology and Research Institute of Agriculture
- and Life Sciences, Seoul National University, Seoul, Republic of Korea
- ^beGnome, Inc, Seoul, Republic of Korea
- ^dInterdisciplinary Program in Bioinformatics, Seoul National University, Seoul,
- 15 Republic of Korea
- ^{*}Corresponding authors
- [†] Soomin Jeon and Hyaekang Kim contributed equally to this work. Author order

18	was determined retroalphabetically.		
19			
20	Soomin Jeon		
21	Email: soty23@snu.ac.kr		
22	Hyaekang Kim		
23	Email:hkim458@snu.ac.kr		
24	Jina Kim		
25	Email:jinak750@gmail.com		
26	Donghyeok Seol		
27	Email:sdh1621@snu.ac.kr		
28	Jinchul Jo		
29	Email: macjoo2000@snu.ac.kr		
30	Youngseok Choi		
31	Email:seok1213neo@snu.ac.kr		
32	Seoae Cho		
33	Email:seoae@egenome.co.kr		

Heebal Kim 34 Email: heebal@snu.ac.kr 35 36 *Correspondence 37 Heebal Kim, Department of Agricultural Biotechnology and Research Institute of 38 Agriculture and Life Sciences, Seoul National University, Seoul, Republic of 39 Korea 40 Email: heebal@snu.ac.kr 41 Tel.: +82-2-880-4822 42 Fax: +82-2-883-8812 43 44 **Word count** 45 Abstract; 249 words 46 Text; 4,245 words (excluding materials and methods) and 6,952 words (including 47 materials and methods) 48

Abstract

The concept of the 'Gut-brain axis' has risen. Many types of research demonstrated the effect and						
mechanism of the GBA. Although many studies have been reported, most of the studies are						
focused on neurodegenerative disease and it is still not clear what type of bacterial strains have						
positive effects on the brain. Therefore, we designed an experiment to discover a strain that						
positively affects cognitive ability using healthy mice. The experimental group consisted of a						
control group and three probiotic consumption groups, Lactobacillus acidophilus,						
Lacticaseibacillus paracasei, and Lacticaseibacillus rhamnosus, which are verified to have						
beneficial effects for host health as the gut microbiome. Cognitive ability was measured by 4						
cognitive-behavioral tests and the group fed on L. acidophilus showed the most improved						
cognitive ability. To provide an understanding of the altered microbial composition effect on the						
brain, we performed full 16S-23S rRNA sequencing using Nanopore, and OTUs were identified						
at a species level. In the group fed on L. acidophilus, the intestinal bacterial ratio of Firmicutes						
and Proteobacteria phyla increased and the bacterial proportions of 16 species were significantly						
different from those of the control group. We estimated that the positive results on the cognitive						
behavioral tests were due to the increased proportion of L. acidophilus EG004 strain in the						
subjects' intestines since the strain is capable of producing butyrate and therefore modulating						
neurotransmitters and neurotrophic factors. We expect that our new strain expands the industrial						
field of L. acidophilus and helps understand the mechanism of the brain-gut axis.						

Importance

71 In recent, the concept of 'gut-brain axis' has risen that microbes in the GI tract affect brain by

modulating signal molecules. Although many pieces of research were reported in a short period, a signaling mechanism and effect of a specific bacterial strain are still unclear. Besides, since most of the researches was focused on neurodegenerative disease, the study with a healthy animal model is still insufficient. In this study, we provide a bacterial strain (*Lactobacillus acidophilus* EG004) with a positive effect on cognitive ability using a healthy animal model. We experimentally verified improved cognitive ability by cognitive behavioral tests. We performed full 16S-23S rRNA sequencing using Nanopore MinION, and provided gut microbiome composition at a species level. The provided microbiome composition consisted of candidate microbial groups as a biomarker that shows positive effects on cognitive ability. Therefore, our study suggests a new perspective for probiotic strain use applicable for various industrialization processes.

Keywords

- Lactobacillus acidophilus, gut microbiome, gut-brain axis, cognitive ability, Nanopore
- 86 sequencing

Introduction

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The human body is a complex community that habituates various bacteria. Among the bacterial communities in the human body, the gastrointestinal tract is the best bacterial community that has the most abundant and various bacteria (1). In 2006, having been released research that obesity is associated with bacterial composition in the gut, a study for gut microbiome began in earnest (2). The gut microbiome is defined as the collective genomes of microorganisms that live in the gastrointestinal tract. Functions of the gut microbiome have been reported such as nutrient metabolism and regulation of the immune system for the host (3). Microbial composition in the gut is altered by environmental factors like age, diet, stress, and lifestyle, and the change in microbial composition can induce physical changes in the host (4). In recent, the gut microbiome's effects on the brain have been proved and the concept of the braingut axis has risen to the surface (5). The brain-gut axis is a complex system involving the enteric nervous system and central nervous system including the brain and spinal cord, and it works with bidirectional communication between the central and the enteric nervous system (6). Although the brain is located apart from the gut, the gut microbiome can affect the brain by stimulating the enteric nervous system and vagus nerve. Thus, dysbiosis of the gut microbiome often causes brain diseases. The recent experimental results described that gut microbiome dysbiosis was observed in patients with Autism, Alzheimer's disease, and Parkinson's disease (7-10). At the same time, studies on the mechanisms to understand the brain-gut axis have been conducted. First, it was suggested that the microbial-derived metabolites are the main components acting on the neural pathways of the brain-gut axis (11, 12). The most well-studied substances are shortchain fatty acids (SCFA) such as acetate, propionate, and butyrate, which are produced in the process of decomposing non-digestible fibers and carbohydrates (13). It promotes indirect signaling to the brain by modulation and induction of neurotransmitter and neurotrophic factors like γ -aminobutyric acid (GABA) and Brain-derived neurotrophic factor (BNDF). Second, the suggestion was that the gut microbiome affects brain function by regulating metabolic pathways (14). Previous research reported that the level of tryptophan metabolites including serotonin and indolepyruvate was altered by the gut microbiome. These metabolites have roles in the functioning of the gut-brain axis such as signaling and anti-oxidant. Third, the gut microbiome may affect the brain by immune pathway (15). Interferon (IFN), Tumor necrosis factor (TNF), and Interleukin are well-known immune factors. According to recent studies, the amount of the immune factors is regulated by the intestinal microflora. These immune factors affect brain function by stimulating and activating the hypothalamic-pituitary-adrenal axis. Finally, it was suggested that gut microbes directly influence the brain by altering the fatty acid composition of the brain (16). Several studies have been reported on the correlation between intestinal microbes and the brain, but the specific mechanism of the brain-gut axis is still not clear.

Probiotics are defined as bacteria that have positive effects on the host body (17). Probiotics have been widely used as a health supplement since it has various beneficial functions to host's health with high adhesion property to the intestine and low side effect. Most probiotics include bacteria genera that are gram-positive, facultative anaerobic and rod-shaped. *Lacticaseibacillus rhamnosus* (*Lcb. rhamnosus*) is one of the longest-studied probiotic species, and many strains such as LGG and GR-1 belonging to this genus are commercially available. It is well known that *Lcb. rhamnosus* has positive effects on diarrhea, acute gastroenteritis, and atopic dermatitis (18-20). Recently, its neurobehavioral effects such as anxiety and depression relief have been reported (21). *Lacticaseibacillus paracasei* (*Lcb. paracasei*) is one of the representative probiotic species, and it has been studied to be effective in treating ulcerative colitis and allergic rhinitis

(22, 23). In a recent study, an effect on age-related cognitive decline and a stress relief effect was reported with several strains of this species (24). *Lactobacillus acidophilus* (*L. acidophilus*) is another representative probiotic strain. This strain lowers cholesterol levels and has beneficial health effects such as antibacterial effects against harmful bacteria like *Streptococcus mutans* and *Salmonella typhimurium* (25, 26).

In this study, we aimed to present a new strain that has an enhancing effect on cognitive ability through the brain-gut axis and provide an additional understanding of the brain-gut axis. Three probiotic strains, *L. acidophilus*, *Lcb. paracasei*, and *Lcb. rhamnosus*, which have previously demonstrated beneficial effects to the host as one of the gut-microbiome strains, were used to confirm their positive effects on cognitive ability. Full 16S and 23S rRNA sequencing was performed to annotate the gut microbiome at a species level for downstream analysis. We expect our results to provide an understanding of the role of the gut microbiome.

Results

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Bacterial and animal treatments

Three probiotic strains, L. acidophilus EG004, Lcb. paracasei EG005, and Lcb. rhamnosus EG006, have been identified by the molecular method. These strains were clustered with available L. acidophilus, Lcb. paracasei, and Lcb. rhamnosus strains, respectively, in a phylogenetic tree of 16S rRNA gene (Figure S1). Probiotic strains were consumed by mice for 8 weeks with assessments of cognitive ability (Figure 1). The averages of daily water intake per subject were similar between groups (Figure 2A). Daily probiotic intakes were maintained constantly and the average amount of L. acidophilus group, Lcb. paracasei group, and Lcb. rhamnosus group were calculated as $(7.82E09 \pm 1.95E09)$, $(4.37E10\pm 5.17E09)$, and (3.74E10±3.98E09) CFUs (Figure 2B). To identify the additional effect of probiotics, the body weights of mice were measured every week (Figure 2C and S2). Patterns of weight gain in the 4 groups were similar for 8 weeks. The mean body weight gains of the control group showed the highest value, which was 9.08 g. Lcb. paracasei group showed a significant difference from the control group with P-value under 0.05 in the second measurement, but the difference was immediately recovered. Similar to weekly weight change, statistical significance was not found in accumulated weight between experimental groups for 8 weeks.

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Cognitive behavioral tests

Spontaneous alternation test was conducted to assess spatial learning and short-term memory. Although the average number of the total entries to each arm in *Lcb. paracasei* group

was slightly low, the difference between groups was not found (Figure 3A). The comparison of the mouse ratio showed spontaneous alternation for the first 3 entries, *L. acidophilus* group showed the highest value as 75.0%. (Table S1). In spontaneous alternation, the average values of probiotics-fed groups were higher than the value of the vehicle-fed group (Figure 3B). Among the 4 experimental groups, *L. acidophilus* group showed the highest alternation ratio. Wilcoxon rank-sum test was performed to identify statistical significance, but there was no statistical difference between the experimental groups and control group.

Novel object recognition (NOR) test was performed to evaluate long-term and explicit memory using 4 different features (Figure 3C, 3D, and Table S1). *L. acidophilus* group exhibited the highest average ratio of mouse that touched the novel object before the familiar object, whereas *Lcb. rhamnosus* group showed the lowest value under the control group. At discrimination ratio comparison, the three probiotics-fed groups showed higher average values than the control, and *L. acidophilus* group showed the highest values. To identify if there is a significant difference, Wilcoxon rank-sum test was performed. When compared to the vehicle-fed group, *L. acidophilus* and *Lcb. paracasei* groups displayed statistically significant differences with the adjusted P-value of 0.037. To identify animal behavior detail, the number of objects touch and the total time of object observation in each group were compared. In a comparison of object touch, statistical differences were significant in *L. acidophilus* and *Lcb. paracasei* groups with P-values of 0.031 and 0.042, respectively. Also, *L. acidophilus* group had a significant difference between the time taken to observe the familiar object and the novel object.

Passive avoidance task was conducted to measure long-term and implicit memory. Stepthrough latency was used to compare the mean difference between the experimental groups. Most of the subjects were transferred into a darkroom for a minute on day 1 (Figure 3E). Only 3 animals took over 100 seconds to get into the darkroom. The difference between the experimental group and the control was not found on day 1. When compared to the latency time on day 1, the average latency time increased on day 2, and unexpectedly, 26 animals stayed in the lightroom for over 300 seconds (Figure 3F). *Lcb. rhamnosus* group presented the highest average latency time, followed by *L. acidophilus* group while the control group showed the lowest average (Table S1). The Mann-Whitney U test was conducted to check the mean difference, the P-values of *L. acidophilus* and *Lcb. rhamnosus* groups were less than 0.05 compared to the control group. The adjusted P values of both groups were 0.040.

To assess spatial learning and long-term memory, forced alternation was conducted. Memory was evaluated by forced alternation (%), the number of arms that the mouse entered, and the percentage of mice in a group that entered the novel arm as their first entry. While the total number of the entries into each arm was diverse, there was no significant difference between the experimental groups and control (Figure 3G). *L. acidophilus* group scored the highest ratio of mice entered the novel arm as their first entry (Table S1). Forced alternation values of *L. acidophilus* and *Lcb. rhamnosus* groups were higher than the value of the control group (Figure 3H). Forced alternation of *Lcb. rhamnosus* group and the control group had a significant difference with the adjusted P-value of 0.038.

Full 16S-23S rRNA sequencing and biological diversity

Metagenome sequencing was performed with *L. acidophilus* and control groups, which showed the most improvement in cognitive ability. We compared the microbial composition of

both groups. Gut microbial component information annotated at a species level was completely constructed by sequencing the entire 16S-23S rRNA of the mouse stool (Table 1). Averagely, 323870.0±84085.5 reads were generated from 10 stool samples. The total number of identified OTU was 252401.6±56284.7 in *L. acidophilus* group and 259945.6±78526.0 in the control group. The produced OTUs were annotated as a total of 528.4±90.4 species in *L. acidophilus* group and 539.8±55.4 species in the control group. To check the sufficiency of the sequencing depth for the analysis, a rarefaction curve was created (Figure 4A).

Alpha diversity was calculated to compare species richness within a group (Figure 4B). In the comparison of the two groups, no significant difference was found in Chao1 Shannon indexes. Beta diversity was measured to compare the diversity of the microbial community between the two groups (Figure 4C and D). It was confirmed that both beta diversity evaluations (Bray-Curtis and Unifrac distance) had significant differences.

Microbial composition

In the comparative analysis of microbial compositions, taxonomies with significantly different ratios were found between *L. acidophilus* group and the control group. At the phylum level, Bacteroidota accounted for the highest proportion in both groups, followed by Firmicutes (Figure 4E). Significant differences between the two groups were found in 2 of the 12 phyla (Firmicutes, Proteobacteria), all of which were high in *L. acidophilus* group. At the class level, Bacteroidia showed the highest proportion in both groups. Also, the proportion of Bacilli and Gammaproteobacteria classes were increased in *L. acidophilus* group when compared to the control group (Figure S3). At the order level, Bacteroidales showed the highest percentage in

both groups, and Lactobacillales and Enterobacterales orders were found to exhibit higher proportions in L. acidophilus group. At the family level, Muribaculaceae showed the highest proportion in both groups. It was found that 2 families (*Lactobacillaceae* and *Enterobacteriaceae*) showed increased proportions in L. acidophilus group, while a decreased percentage was observed in one family (Ruminococcaceae). In the Genus comparison, Muribaculum genus showed the highest ratio in the two groups, and 12 genera showed differences between groups. Three genera showed an increased proportion in the experimental group, whereas 9 genera showed higher mean values in the control group. The genus increased in L. acidophilus group were Lactobacillus, Staphylococcus A, and Escherichia, whereas the genera decreased in L. acidophilus group were Bacteroides F, Desulfotomaculum, Lachnobacterium, Bittarella, Agathobacter, Roseburia, Bariatricus, and Lachnospirarea. At the Species level, Muribaculum intestinale was found to account for the largest proportion, with over 50% in both groups. Following M. intestinale, the species Lactobacillus acidophilus, Lactobacillus johnsonii, Lactobacillus B murinus, and Lactobacillus H reuteri were found with a high proportion in L. acidophilus group, while Lactobacillus B murinus, Bacteroides B vulgatus, Faecalibaculum rodentium, and Kineothrix alysoides species showed a high proportion in the control group. No unique bacterial species were found in either of the two groups. Seventeen species showed differences between groups, and it was confirmed that the proportions of L. acidophilus and E. flexneri were increased in L. acidophilus group (Figure 4F).

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Functional profiling and correlation analysis

Functional profiling was performed at the KEGG level 3 to estimate the effect of the

differential composition of intestinal microbes on the mice (Figure 5). By calculating the LDA score, it was confirmed that the two groups showed significantly different patterns in 9 categories. All nine categories were predicted to be more activated in *L. acidophilus* group. The Phosphotransferase system (PTS) scored the highest, followed by *Staphylococcus aureus* infection, Synthesis and degradation of ketone bodies.

To further estimate the influence of the altered gut microbiota, Spearman's correlation analysis of cognitive-behavioral abilities and bacterial OTUs, and fermentation products were performed (Figure 6). *L. acidophilus* and *E. flexneri* showed a positive correlation with all assessments of cognitive abilities, while the other 14 OTUs presented a negative correlation. In particular, step-through latency at Day 2 and Step latency difference for 2 days of the PAT results showed a significant negative correlation with the *Gemella massiliensis* (r = -0.8379, p = 0.03248 and r = -0.8182, p = 0.0376) and *Desulfotomaculum nigrificans* (r = -0.8781, p = 0.01914 and r = -0.8450, p = 0.03225).

To provide evidence to indirectly infer the mechanism of action of the gut microbiome, the concentration of SCFA in the microbial culture was measured (Table S2). Lactic acid and acetic acid were found in three microbial cultures. Lactic acid was identified in the highest concentration in *Lcb. paracasei* EG005, and acetic acid was included in the highest concentration in *L. acidophilus* EG004 culture. Propionate and butyrate were not within detectable ranges.

Comparative analysis of genetic contents in bacterial whole-genome sequences

To identify its safety and functionality, several genetic factors were detected. Fourteen genomic islands, two prophage regions, one CRISPR region, and three bacteriocins were found

in the genome of *L. acidophilus* EG004. In *Lcb. paracasei* EG005, 29 genomic islands, 7 prophage regions, 3 CRISPR regions, and 2 bacteriocins were detected (Figure S4-S6). In the case of *Lcb. rhamnosus* EG006, 23 genomic islands, 8 prophage regions, 3 CRISPR regions, and 1 bacteriocin were found in the genome. To estimate a genetic factor related to cognitive ability, protein annotation was conducted (Figure 7A). Protein metabolism, Carbohydrates, Amino acids and derivatives showed high proportions, but there was a difference in order by bacterial strains. Protein metabolism had the highest proportion in *L. acidophilus* EG004 and carbohydrates presented the highest proportion in *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006. In a subcategory comparison of predicted functional sequences, a difference of genetic contents was found (Figure 7B). CDSs related to Fatty acids were found in the genomes of *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006. Genes of 3 subcategories (Aromatic amino acids and derivatives, Alanine, serine, and glycine, and Proline and 4-hydroxyproline) were detected in *Lcb. rhamnosus* EG006, while genes of 3 other categories in Amino Acids in Derivatives were contained in only *L. acidophilus* EG004.

Discussion

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As interest in Gut-Brain Axis has increased, many types of research in this criterion have been published. However, it is still unclear about the integral mechanism and which strain has a positive or negative effect. Therefore, we aimed to develop a new strain that has a positive effect on the host's cognition, and we found 3 strains that caused positive effects in 4 different cognitive tests (Figure 3). Lcb. paracasei group showed improved cognitive ability in the novel object recognition test. A previous study indicated that this bacterium prevents age-related cognitive decline and improves cognitive ability (24). Other strain, Lcb. rhamnosus, displayed improved cognitive ability in passive avoidance task and forced alternation test. Several studies demonstrated that Lcb. rhamnosus consumption could increase cognitive ability (27, 28). Similar to previous studies, we experimentally confirmed that Lcb. paracasei and Lcb. rhamnosus could enhance cognitive function. On the other hand, although it is indicated that L. acidophilus strain has a neuroprotective effect against traumatic brain injury, there was no experimental research related to its cognitive ability (29, 30). In our study, we identified that L. acidophilus group presented the highest classical measured values as well as incidental measured values in novel object recognition tests and passive avoidance tasks. This indicates that L. acidophilus is capable of improving cognitive ability comparable to that of previously reported strains. Our results will help further broaden the industrial field of L. acidophilus. In addition, although probiotic consumptions were carried out as the same method, three experimental groups showed improved cognitive ability in different tests. It implies that different probiotic strains affect cognitive ability by different mechanisms.

To understand the effect of the gut microbiome on the brain as our secondary goal, we performed gut microbiome analysis of *L. acidophilus* group, which showed the best cognitive improvement, along with the control group, The difference of species richness was not found in the comparison of alpha diversity, whereas the difference was found in the comparison of beta diversity (Figure 4B, 4C, and 4D). It represents that the number of OTUs constituting the two gut microbial communities is similar, but the composition of the OTUs is different. In the comparison of the two communities, significant differences were observed at all taxonomic levels except for the bacteria kingdom, which was mostly *L. acidophilus*. Naturally, *L. acidophilus* group was confirmed to show a significant increase in *L. acidophilus* abundance and ultimately show a high ratio of *L. acidophilus*. This indicates that a large amount of *L. acidophilus* is capable of safely reaching the intestines without being affected by digestive juices such as gastric acid and pancreatic enzymes.

We estimated that the positive effect on cognitive ability due to the increased proportion of *L. acidophilus* in the intestines was based on two rationales: modulation of neurotransmitters and neurotrophic factors and production of SCFAs. First, *L. acidophilus* modulates several types of neurotransmitters in the intestine. Microbial-derived intermediates, which affect the brain through gut epithelial and blood-brain barriers, are such as GABA (γ-aminobutyric acid), glutamate, dopamine, noradrenaline, serotonin (5-Hydroxytryptamine; 5-HT), and Brain-derived neurotrophic factor (BNDF). These neurotransmitters are synthesized from various amino acids. GABA and glutamate are produced from the gut microbiome such as *Bifidobacterium* and *Lactobacillus* (31). Glutamate has a role as a neurotransmitter by itself, and it is used at GABA synthesis (32). Dopamine and Noradrenaline are synthesized from specific amino acids such as tyrosine and phenylalanine (33). L-Tryptophan is a well-known precursor of serotonin (34).

Therefore, altered amino acid composition by the gut microbiome seems to affect the host's neurotransmitter synthesis. In the comparison of the functional protein genes, L. acidophilus EG004 showed a higher composition of the gene related to amino acid metabolism, than Lcb. paracasei EG005 and Lcb. rhamnosus EG006 showed (Figure 7A). Changes in intestinal amino acid composition caused by ingested L. acidophilus may have led to differences in cognitive ability. It has been proven that L. acidophilus consumption produces and up-regulates neurotransmitter and neurotrophic factors including GABA and serotonin (35-38). Thus, it is estimated that increased L. acidophilus EG004 in the gut modulates neurotransmitters and affects the animal's nerve system. Second, SCFAs, fermentation products of L. acidophilus, positively apply to brain function. For example, acetate, one of the short-chain fatty acids (SCFAs), promotes the activation of the parasympathetic nervous system (39). Also, it is indicated that acetate improved cognitive ability and neurogenesis in the hippocampus with increasing BDNF and IGF-1 levels as a glatiramer acetate form (40). Likewise, butyrate, a famous HDAC inhibitor, has been used for pharmacological purposes since lower global histone acetylation is a common phenomenon observed in many neurodegenerative diseases (41). Its therapeutic effect on neurodegenerative diseases including Parkinson's disease was verified, showing enhancement of neurotrophic factors and improvement in learning and memorizing (42). However, SCFAs are not produced until non-digestible carbohydrates reach the small intestine to be broken down by microbial metabolism, so it is not fully produced by the human digestive enzymes without specific microbes. L. acidophilus is a representative species that produces SCFAs through nondigestive carbohydrates, and it can be assumed that the intake of L. acidophilus EG004 caused the increase in SCFAs of the experimental mice's gut. The result of SCFA measurement in bacterial culture raises the possibility of this assumption (Table S2). Although it is different from

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the metabolism in the gut since the SCFAs were measured in the medium to which glucose is the main energy source, it indirectly estimates its SCFA-producing ability. The result of functional profiling in our study also upholds this (Figure 5B). In the analysis of functional profiling, activation of genes of synthesis and degradation of ketone bodies was predicted by comparing it with control. The ketone body is one of the main fuels of the brain like lactate and butyrate, which is the main product of L. acidophilus, and is also capable of replacing glucose as an alternative fuel. Similar to butyrate mentioned earlier, ketone bodies modulate the brain with anti-oxidant reaction, energy supply, regulation of deacetylation activity, and regulation of the immune system. In recent studies, it is indicated that the increase of ketone body's concentration induces an alleviation effect on brain diseases such as epilepsy, Alzheimer's disease, and Parkinson's disease as well as memory improvement (43-45). Based on this evidence, ingested L. acidophilus EG004 in our experimental group seems to have produced SCFAs and modulated neurotransmitters, and L. acidophilus-derived metabolite would have raised cognitive ability. Although we did not measure microbial-derived metabolites, previous researches demonstrated that probiotic consumption leads to an increase of microbial-derived metabolites in the intestines.

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Among detected species with the ratio difference, several species were indicated as important factors in the research of brain disease. *Adlercreutzia equolifaciens* is equol (phytoestrogen) producing bacteria, which obstructs microglial function. In previous studies, a higher ratio of *A. equolifaciens* was found in the gut of patients with Alzheimer's disease and Autism spectrum disorder (46, 47). In other studies, *Roseburia hominis* and *Bacteroides_F pectinophilus* were detected with a higher ratio in the patients with Alzheimer's disease than the normal persons (48, 49). When comparing gut microbiome between the Parkinson's disease group and normal group, *Soleaferrea massiliensis* was more frequently discovered in the patient

group (50). Interestingly, those strains that showed a high ratio from the previous studies of brain disease patients were found to show a lower ratio in *L. acidophilus* group when compared to the control group (Figure 4F). Decreased bacterial ratio related to brain diseases seems to positively affect cognitive ability and we believe that it is due to *L. acidophilus* consumption. As antibacterial activity is the essential property of probiotics, such activity of *L. acidophilus* against harmful and pathogenic bacteria has been reported. In our previous study, we proved that *L. acidophilus* EG004 is capable of demonstrating the antimicrobial activity (51). Therefore, we suggest that the antibacterial activity of *L. acidophilus* EG004 was the potential reason for cognitive ability enhancement.

In functional profiling analysis, we offered explainable factors for the microbial effect on

the brain. Three KEGG categories were related to toxic chemical degradation: Dioxin degradation, Xylene degradation, and Caprolactam degradation (Figure 5B). Dioxin, a neurotoxin, can raise autism and neurodegenerative disease (52, 53). Xylene inhibits normal protein synthesis of neuronal function and induces instability in the neuronal membrane. When it is inhaled, psychological deficits can be caused (54, 55). These chemicals are noxious to the brain, so activation of these chemical degradations would have diminished negative effects in *L. acidophilus* group. Besides, two KEGG categories related to the immune system were found. One of them is *Staphylococcus aureus* infection, which is known to cause brain abscess. Since there have been many studies demonstrating that *L. acidophilus* has antimicrobial activity against *S. aureus*, activation of this category is thought to be due to an increase in the amount of *L.*

acidophilus. The function of renal cell carcinoma was predicted in the experimental group. As it

involves not only tumor suppressor genes such as VHL, GH, and BHD, but also oncogenes such

as MET and PRCC-TFE3, it seems to be necessary to confirm the exact mechanism and side effects.

The purpose of this study was to develop a new strain that can improve cognitive ability and provide an underlying biological mechanism affecting the brain by the gut microbiome. It is necessary to measure metabolite changes in order to provide an understanding of the mechanism of altered cognitive ability. However, altered metabolite from animal body was not fully identified. To overcome this limitation, we conducted the metagenome analysis, correlation analysis between cognitive ability and gut microbiome, measurement of SCFA producing ability, and whole-genome comparison analysis. These analyses were not covered in the identification of a biological factor that caused improved cognitive ability, but presented a group of genes and mechanisms that can infer the process. Although we did not provide direct evidence of phenotype changes caused by probiotic ingestion, we hope that our findings will help infer the process of the brain-gut axis.

Materials and Methods

Animals

4-week-old male C57BL/6 mice (n = 48, average weight 26g) were gained from YoungBio (Seongnam, Korea). All mice were housed in a group of four per cage under standard controlled laboratory conditions (temperature of 20±5°C, humidity of 55~60%) on a 12-h light/dark cycle (light on at 7:00 a.m.). Each group was constituted of 12 mice, and it was nurtured by distributing 4 mice to 3 cages. Twelve cages were located at random. All animals received *ad libitum* access to food. All animal experiments were performed following protocols approved by the Institutional Animal Care and Use Committee (IACUC) of Seoul National University, and the permission number is SNU-190607-4-3.

Bacterial treatment

The bacterial strains were isolated from fermented dairy foods. When identifying the braingut axis effect, the important factors to be considered were viability and adherence capacity. Therefore, we selected the species that are known to have adherence capacity in the GI tract, as well as the potential for gut-brain axis effect. To identify species of each strain, 16S rRNA genes were sequenced by Macrogen Inc. (Seoul, Korea) with 27F and 1492R primers. Obtained sequences were compared with sequences in the NCBI database using BLAST. The experiment was constituted with 4 groups; 3 experimental groups were fed on autoclaved tap water mixed with *L. acidophilus* EG004, *Lcb. paracasei* EG005, and *Lcb. rhamnosus* EG006, and a control group was fed on sterilized tap water. Each group consisted of 12 mice. Bacteria to delivery were

freshly cultivated every day. Probiotic colonies were sub-cultured into 5ml MRS broth for 8 hours. After the sub-culture, 3 probiotic strains were inoculated in 500 ml MRS broth for 16 hours. Cultivated cells were spun down by centrifugation at 4,000 rpm for 10 min. The supernatant was removed, and the pellet was suspended by 0.85 % NaCl solution. Re-suspended cells were centrifuged at 4,000 rpm for 10 min to remove medium ingredients. The washing process was conducted twice. Washed cells were dissolved into autoclaved tap water. The final cell concentration of vehicles was about 1.0E9 CFU/ml. To estimate the probiotics amount per day per subject, daily water intake and probiotic concentration in vehicles were recorded. Cell viability of probiotics was measured by serial dilution and spreading in MRS agar plate. The probiotics amount per day per subject was calculated as an average of daily water intake per subject, by multiplying the average of daily probiotic concentration.

Animal treatment

The animal experiment was designed to minimize animal stress. All animal treatment was described in Figure 1 by timeline. Four weeks old mice were allowed to habituate freely for acclimatization for 1 week. After a week, tap water and water mixed with probiotics were delivered every day. Water intake was monitored every day and body weight was measured every week. Evaluations of cognitive ability were conducted after 4 weeks after probiotic intake. Behavioral tests were conducted at least 2 days after the weight-measurement day to minimize the stress effect. Animals were carried to a behavioral test room to assimilate room conditions and were allowed to relax for 6 hours before any behavioral test. In order to reduce the variance of feeding time, the experimental order of the mice was distributed evenly. All apparatus and

objects for the behavioral tests were cleaned with 70 % ethanol and dried after every trial to remove odors and any clues. The mice were sacrificed at the end of 13 weeks after the evaluations of the cognitive behavior. Preliminary experiments were conducted to obtain appropriate experimental values under our experimental environmental conditions. The three to five experimental conditions referring to published results were tested in our laboratory, and the experimental conditions showing a value similar to the average value of the previous studies were determined.

Y maze (Spontaneous alternation; SA)

Short-term spatial memory was assessed with a Y maze apparatus. SA was used to measure rodents' habit to explore a new environment. The Y maze consisted of 3 identical arms that cross each other with 120° (JEUNGDO Bio & Plant Co., Ltd., Korea). Mice are laid in the middle of the Y maze facing a corner, not an arm. Each animal was allowed to freely navigate all three arms for 5 minutes and the animal's entries to any arm were recorded. An arm entry was determined as any instance when the whole body of the mouse entered the arm and navigated at least 70% of the space. The spatial memory was evaluated by spontaneous alternation, the number of arm entries, and the ratio of mice per group that entered spontaneous alternation during the first three entries. Spontaneous alternation was calculated as shown below.

Spontaneous alternation [%] =
$$\frac{\text{Number of spontaneous alternation}}{\text{Total number of arm entries } - 2} \times 100$$

Novel object recognition test (NOR)

Based on the concept that mice tend to prefer a new object over a familiar one, a novel object recognition test (NOR test) was performed in an open field (40×40×40 cm (W×D×H), JEUNGDO Bio & Plant Co., Ltd., Korea). Two objects for this test were selected showing similar preferences through the preference test. The test consisted of Sample trial (T1; 10 min), Interval time (IT; 60 min), and Novel object trial (T2; 5 min). In T1, 2 identical objects were located at 1/3 and 2/3 diagonal of the open field, respectively. The animal was laid facing the wall with the same distance to two objects, and was allowed to explore objects for 10 min. After exploration, the mouse came back to the cage and had a rest. In T2, objects were positioned at the same position as T1, but one of the objects was changed to a novel object. To measure the time taken to interact with objects, all experiment processes were recorded, and the exploration time was measured by Movavi software with 3 decimal places. It was recognized as significant only when the mouse approached facing the objects within 2.5 cm. Cases that the mouse climbed objects and individuals with exploration time less than 2 seconds were excluded. The results were presented as a discrimination ratio, the number of object touches, and the ratio of mouse that touched the novel object first before it touched the familiar object. The discrimination ratio was defined as the below equation.

Discrimination ratio [%] =
$$\frac{\text{Novel object interaction time}}{\text{Novel object interaction time} + \text{Familar object interaction time}} \times 100$$

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Passive avoidance task (PAT)

The passive avoidance task is designed to evaluate inhibitory avoidance memory according to rodent habit that a mouse prefers dark environment naturally. Shuttle box (41×21×30 cm (W×D×H), JEUNGDO Bio & Plant Co., Ltd., Korea) is an apparatus made for the passive

avoidance task and consists of a bright chamber and a dark chamber which are separated by a sliding door. The floor of the chambers is made of stainless-steel grids to flow current. The test was conducted for 2 days; Acquisition (Day 1) and Test (Day 2). On day 1, a subject was put in the bright chamber facing the wall across the closed sliding door. After the mouse explored the bright chamber for 1 minute, and the moment the mouse was away from the door for over 100 mm, facing the wall not the door, the door was opened so that the mouse could freely enter and move around the dark chamber. Latency time was measured until the mouse entered the dark chamber completely. The door was closed when the animal entered the dark compartment wholly including its tail, and 0.25 mA electric shock was provided to the paws by steel grid for 3 seconds. To memorize the situation, the mouse was kept in the dark chamber for 30 seconds after the shock and returned to the home cage for 24 hours. On day 2, the mouse was laid again into the bright chamber. After 1 minute of adaptation, the sliding door was opened when the mouse faced the wall like day 1. Latency time was measured again until the mouse entered the dark chamber. If the animal rather stayed in the bright chamber for more than 300 seconds (which was the cut-off time), the experiment was completed. All experimental processes were recorded and the time was measured by the Movavi program with 3 decimal places.

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Y maze (Forced alternation; FA)

Forced alternation was assessed with the same Y maze as described above. This test consisted of 3 phases; Training trial (T1; 5 min), Interval time (IT; 60 min), and Test trial (T2; 5 min). A mouse was placed at a starting arm of Y maze facing the wall. The subject freely explored the maze during T1, while an entry was blocked with white expanded polystyrene. After

the learning trial, the mouse was returned to the home cage and rested for 1 hour. In T2, the mouse was again placed into the starting arm without the plate blocking the novel entry, and explored all three arms. All movements of mice were recorded through video. Forced alternation was evaluated by the ratio of time spent in the novel arm compared to the whole experimental time, time is taken to first enter the novel arm, and the percentage of mice per group that entered the novel arm as their first entry. The case that the mouse passed at 2/3 of the arms was admitted as a valid entrance. An individual that showed no navigation of the maze or that had entered the arms less than 5 times was excluded.

Feces collection and cognitive ability evolution

After all cognitive assessments had been completed, 2-3 stool samples were taken from each experimental subject. Sterilized stainless-steel tweezers were used for fecal picking, tweezers were washed with 70% alcohol and dried sufficiently before collecting new samples. The fresh samples were immediately enclosed into a 1.5ml Eppendorf tube and were put on ice. Then, it was stored at -80 degrees Celsius until used for 16S rRNA sequencing.

In order to determine the group that showed the best increase in cognitive ability, a score was assigned to the cognitive ability evaluation item. The items used for evaluation are spontaneous alternation, group ratio of SA, discrimination ratio, group ratio of NOR, step latency at day 2, forced alternation, and group ratio of FA (Table S2). Scores were given in ascending order of ranking (1-4 points), and the group with the highest total was selected as the group with the highest cognitive ability increase.

Statistics

Data were analyzed by R studio. Ineligible data were cut based on the requirements mentioned above. Data normality was assessed using the Shapiro-Wilks test and homogeneity of variance was assessed using Levene's test. Wilcoxon rank-sum test and independence t-test was used to evaluate statistical significance between experimental groups. P-values were adjusted by the FDR method for multiple testing corrections. Statistical significance was set as P-value under 0.05. All data are expressed as mean \pm SEM.

Full 16S-23S rRNA sequencing

To characterize the microbial community associated with measured cognitive assessment, metagenome sequencing of the 16S-23S rRNA gene was carried out by Oxford Nanopore MinION. Metagenome sequencing was performed for the control group and *L. acidophilus* group, which showed a significant difference from the control in the cognitive ability evaluation. Among the 12 stored stool samples of each group, 5 samples with sufficient amount for sequencing were selected. For library construction, gDNA was extracted from fecal samples using AccuPrep® Stool DNA extraction Kit (Bioneer, Daejeon, South Korea). To identify the quality of extracted gDNA, A260/A280 and A260/A230 absorbance were used with 0.7 % agarose gel electrophoresis. After performing quality control, selected samples were used for the library construction. Stool samples were lysed and bacterial cells were disrupted by Zirconia/Silica Beads and proteinase K. The sequencing library was prepared by 16S-26S rRNA PCR amplification with Nanopore Ligation Kit (SQK-LSK109, Nanopore, Oxford, UK) following the manufacturer's instructions. Purification and quality checks were conducted using

agencourt AMPure XP cleanup (Beckman Coulter, CA, USA), Quant-iTTM PicoGreenTM dsDNA Assay Kit (Invitrogen, Ireland), and 0.7% agarose gel. The PCR products were diluted and endrepaired using NEBNext FFPE Repair Mix (New England BioLabs, Ipswich, USA). The amplicon was Nick-repaired using NEBNext End repair/dA-tailing Module (New England BioLabs), prior to adapter ligation by NEBNext Quick Ligation Module (New England BioLabs). The sequencing library was loaded on primed Flongle flow cell according to Nanopore protocol. Sequencing was performed by MinION MK1b. Sequencing data was acquired by MinKNOW software (19.12.5) without live base-calling.

Metagenome analysis

Raw data were obtained as fast5 files. Base-calling was carried out by Guppy 4.0.11 with 2,000 chunk size and 4 base callers (56). Porechop version 3 was executed for trimming adapter sequences (https://github.com/rrwick/Porechop). To annotate bacterial taxonomy, trimmed sequences were aligned with MIrROR (http://mirror.egnome.co.kr/) using Minimap2 (57). In Operational Taxonomic Unit (OTU) identification, only results with more than 2,500 matching bases and more than 3,500 bases including gaps in mapping were used. To normalize abundance data, the TMM (The trimmed mean of M-values) method was used by the edgeR package of R software (58). To characterize each group, biological diversity was calculated through the physeq package of R software (59). A rarefaction curve was constructed to check the saturation of genome sequencing. To compare species richness, alpha diversity was calculated as chao1 and Shannon indexes. To compare between groups, beta diversity was calculated using Bray-Curtis dissimilarity and Unifrac distance. P-value was calculated by the Adonis test. For detection of

unequal features, Wilcoxon rank-sum test was performed in each taxonomic level with 0.95 confidence level. To compare functional profile, PICRUSt2 was performed (60). Correlation between cognitive ability and bacterial OTUs was inferred by Spearman's rank correlation analysis. P values were adjusted by FDR method.

SCFA identification in bacterial culture

To identify the amount of short-chain fatty acids (SCFAs), high-performance liquid chromatography (HPLC) was performed using Ultimate3000 (Thermo Dionex, USA) and Aminex 87H column (300x10mm, Bio-Rad, USA). Bacterial cultures of EG004, EG005, and EG006 were inoculated for 24 hours. After cultivation, the samples were filtered with 0.45 μ m of a membrane filter. The filtered sample of 10μ L was injected into the HPLC.

Whole-genome sequencing and assembly of EG005 and EG006

To identify probiotic safety and potential secondary metabolite producing ability, whole-genome sequencing of *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006 was performed. For library construction, DNA was extracted from cultured bacterial cells. After performing quality control, gDNA was used for the library construction. Bacterial cells were lysed by lysozyme for gram-positive bacteria, and removed RNA and protein to isolate DNA. Quality control for gDNA was conducted by 260/280, 260/230 absorbance with 0.8% agarose gel. Genomic DNA was fragmented to a target length of 20Kb using g-Tube (Covaris, MA, USA) and Short DNA fragments <5 kb are depleted by SRE (Circulomics, MD, USA). The fragments were Endprepared, Nick-Repaired, and then ligated with Nanopore adapter. After every enzyme reaction,

the DNA samples were purified using AMPure XP beads (Beckman Coulter, CA, USA) and QC with Quant-iTTM PicoGreenTM dsDNA Assay Kit. The sequencing library was loaded on primed Flongle flow cell according to Nanopore protocol. Sequencing was performed on a MinION by MinKNOW software.

Base-calling from raw data was conducted by Guppy Basecaller v4.0.15 with filtering with an average basecall Phred quality score. Adapter sequences were trimmed by PoreChop v0.2.4. Genome assembly was conducted by Canu. Assembled contigs were polished by Nanopolish and racon, and pilon. Circlator circularized each contig and detect replication origin. Assembled contig was assessed by BUSCO 3.0.2. The complete sequence of *L. acidophilus* EG004 that is

deposited in the NCBI database with accession number PRJNA657145 was used.

Comparative analysis of bacterial genome sequences

Genetic map was generated by CGView server (61). To check safety and functionality as probiotics, genetic factors were identified by whole-genome sequences. Virulence factor and prophage gene were detected by VirulenceFinder 2.0 and PHASTER, respectively. IslandViewer4 identified genomic island and crisprfinder searched CRISPR region. Bacteriocin detection was conducted by BAGLE4. To compare functional gene contents, protein prediction was performed by the RAST server. Predicted protein sequences were classified by the SEED system. Categorized protein sequences showed as the proportion in the total predicted sequences.

Data availability

The complete sequences of Lcb. paracasei EG005 and Lcb. rhamnosus EG006 are available

in the NCBI database with accession numbers, SAMN23227569 and SAMN23227570, respectively. The metagenomic sequences are available in the NCBI database under the accession number PRJNA781018.

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Tables

Table 1. Metagenomic sequencing statistics of L. acidophilus group and control

	The number of samples	Total number of reads	Estimated base (Mb)	N50	Total number of counts	Total number of OTUs
LAª	5	312,384±31,8 87	1,434±143	4,872±90	252401.6±25, 171	528.4±40
W^b	5	335,356±45,8 14	1,485.6±21 5	4,748±40	259945.6±35, 117	539.8±25
Total	10	323,870±37,6 04	1,459.8±17	4810±72	256173.6±28, 860	534.1±32

^a: *L. acidophilus* group, ^b: control group. There was no significant difference between groups. All values were presented as average \pm standard error of the mean. Fecal samples compiled after 8 weeks of probiotic ingestion were used for metagenome sequencing.

809 Figure legends

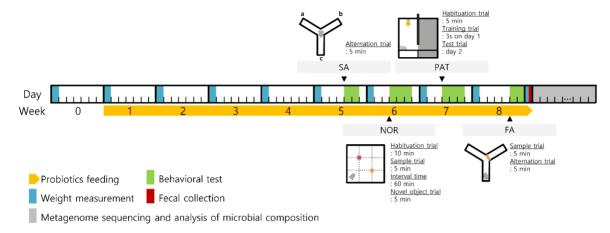


Figure 1. Schematic diagram of the study to discover a new probiotic strain with improved cognitive ability

The diagram displays the experimental schedule by day and week for identifying probiotic strain with improved cognitive ability. Cognitive ability was measured once a week by four behavioral tests. The diagram of each experiment shows the first position of the animal.

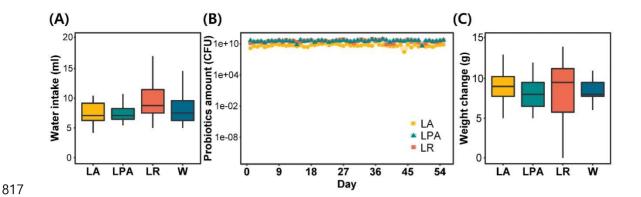


Figure 2. Measurement of additional effect after probiotic consumption

Experimental groups are expressed in abbreviations. LA: *L. acidophilus* group, LPA: *Lcb. Paracasei* group, LR: *Lcb. Rhamnosus group*, and W: tap water-fed group (control). (A) The average daily water intake. All groups showed a similar average. (B) The change of daily intaken probiotic amount by timeline. *L. acidophilus* was ingested in smaller amounts compared to the other two strains. (C) The average body weight change for 8 weeks. All groups showed similar averages.

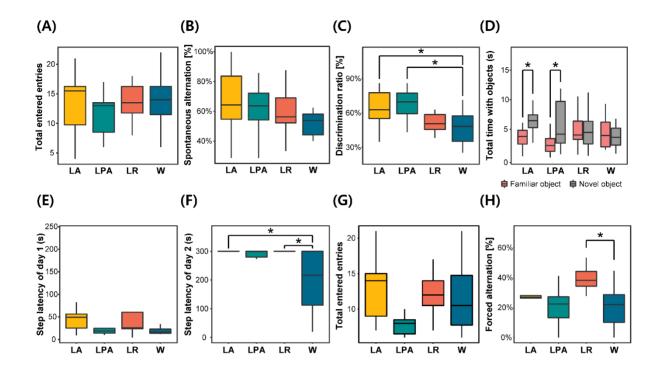


Figure 3. Results of cognitive behavioral tests

Experimental groups are expressed in abbreviations. LA: *L. acidophilus* group, LPA: *Lcb. Paracasei* group, LR: *Lcb. rhamnosus* group, and W: the group fed on tap water (control). (A) Total arm entries during spontaneous alternation test. (B) Spontaneous alternation. This is the representative value of spontaneous alternation test. (C) Discrimination ratio. It is the representative value of the novel object recognition test. (D) Comparison of the total time to observe two objects. (E) Step-through latency of day 1. (F) Step-through latency of day 2. This is the representative result of the passive avoidance task. (G) Total arm entries during forced alternation test. (H) Forced alternation. This result is a representative value of forced alternation. All comparison of average between experimental groups was measured by Wilcoxon rank-sum test. Significant difference is presented with symbol (Adjusted P-value* < 0.05).

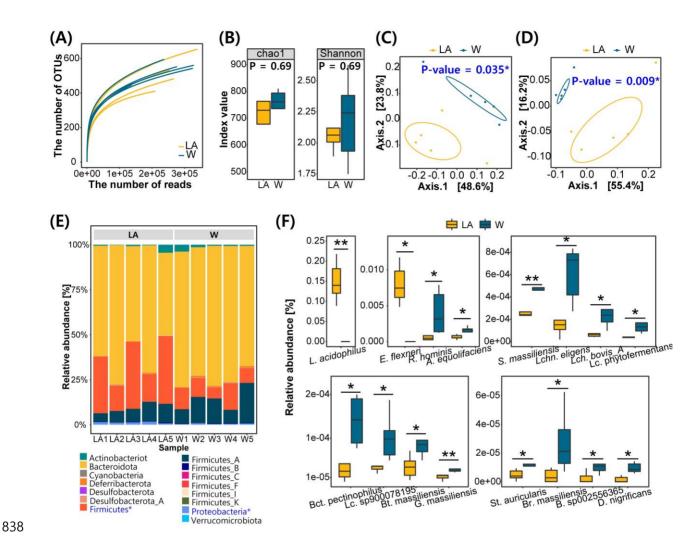


Figure 4. Results of metagenomics sequencing

Experimental groups are expressed in abbreviations. LA: *L. acidophilus group* and W: the group fed on tap water (control). (**A**) Rarefaction curve of metagenome sequencing. (**B**) Alpha-diversity of the *L. acidophilus* group and control. (**C**) Beta-diversity using Bray-Cutis distance between the *L. acidophilus* group and control. (**D**) Beta-diversity using Unifrac distance between both groups. (**E**) Comparison of microbial composition at the phylum level. The blue-colored phylum with the (*) symbol showed a significant difference compared to the two experimental groups. (**F**) Comparison of microbial composition at the species level. L. acidophilus: *Lactobacillus*

acidophilus, E. flexneri: Escherichia flexneri, R. hominis: Roseburia hominis, A. equolifaciens: Adlercreutzia equolifaciens, S. massiliensis: Soleaferrea massiliensis, Lchn. Eligens: Lachnospira eligens, Lch. Bovis_A: Lachnobacterium bovis_A, Lc. Phytofermentans: Lachnoclostridium phytofermentans, Bct. Pectinophilus: Bacteroides_F pectinophilus, Lc. Sp900078195: Lachnoclostridium sp900078195, Bt. Massiliensis: Bittarella massiliensis, G. massiliensis: Gemella massiliensis, St. auricularis: Staphylococcus auricularis, Br. Massiliensis: Bariatricus massiliensis, B. sp002556365: Bacillus_AW sp002556365, D. nigrificans: Desulfotomaculum nigrificans. All comparisons of average between experimental groups were measured by independence t-test. Significant difference is presented with symbol (Adjusted P-value* < 0.05, P-value** < 0.01).

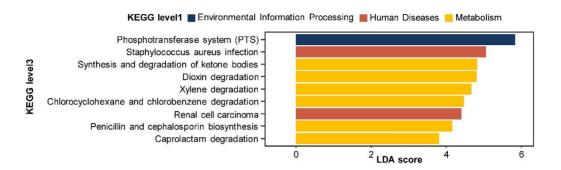


Figure 5. Results of functional profiling

Predictive functional profiling of microbiome. All predicted functions have a positive LDA score for the *L. acidophilus* group.

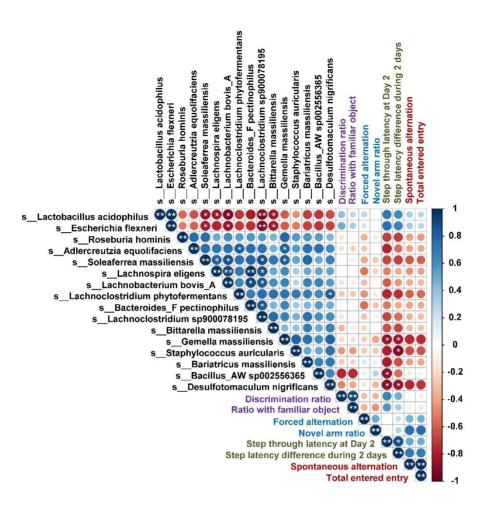
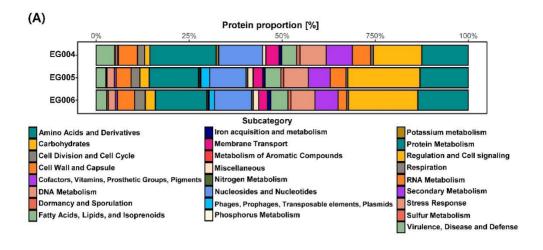


Figure 6. Spearman's rank correlation analysis

Correlation analysis was conducted to detect association among bacterial OTUs, measured cognitive abilities, and fermentation products. The color intensity and circle size show the strength of the correlation. Red color represents a negative correlation, and blue color is a positive correlation. Only circles with adjusted P-value under 0.01 are illustrated in the matrix. Results of cognitive ability evaluation were classified by 4 colors: NOR (purple), FA (blue), PAT (deep green), and SA (brown). Significant P values indicated by the symbol * (<0.05) and ** (<0.01).



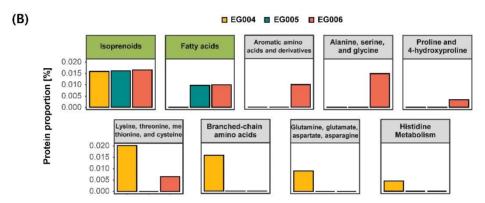
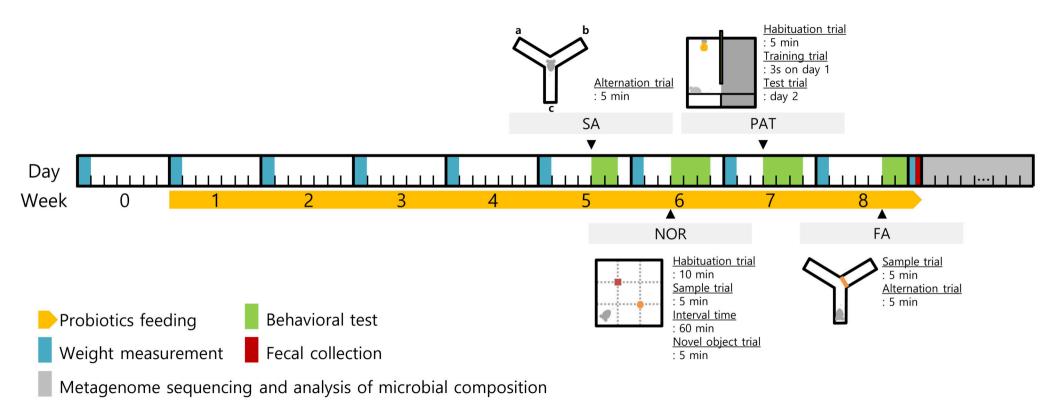
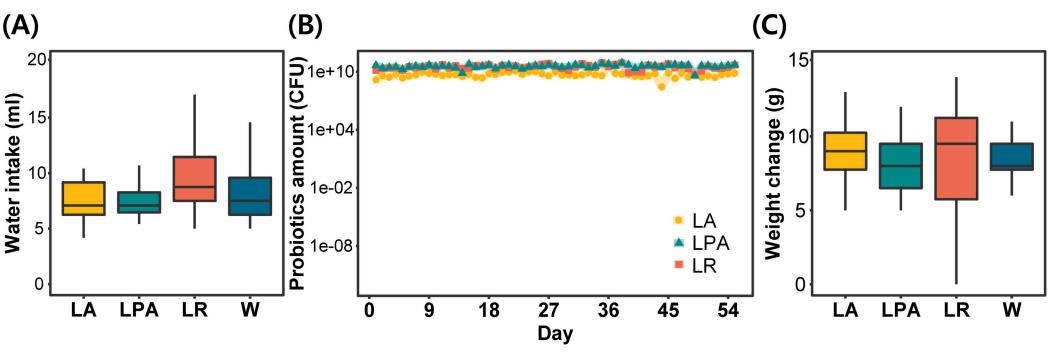
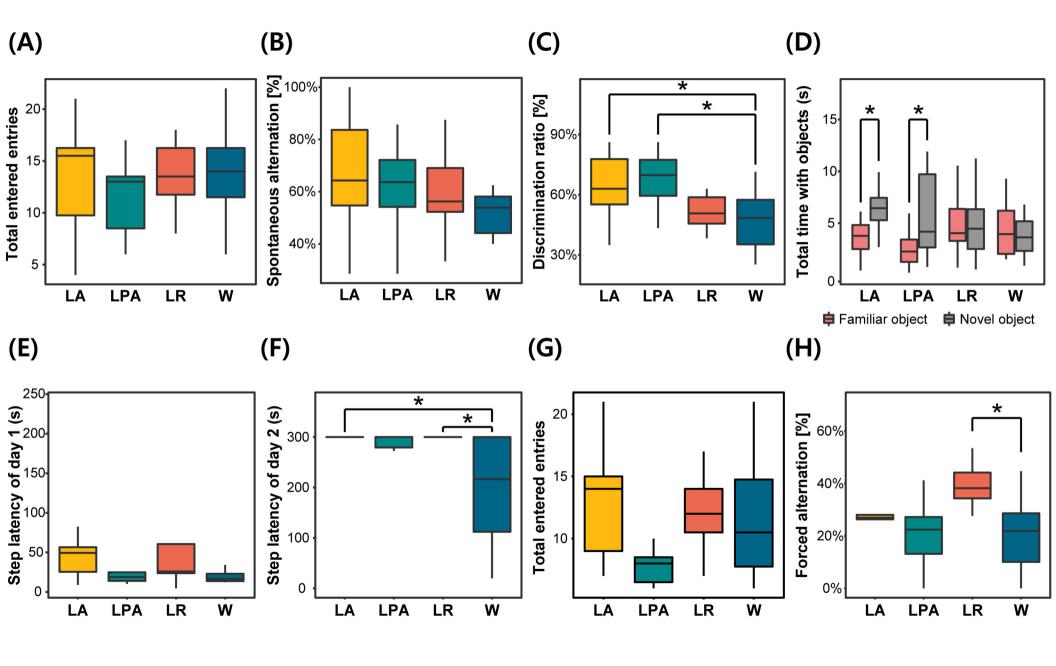


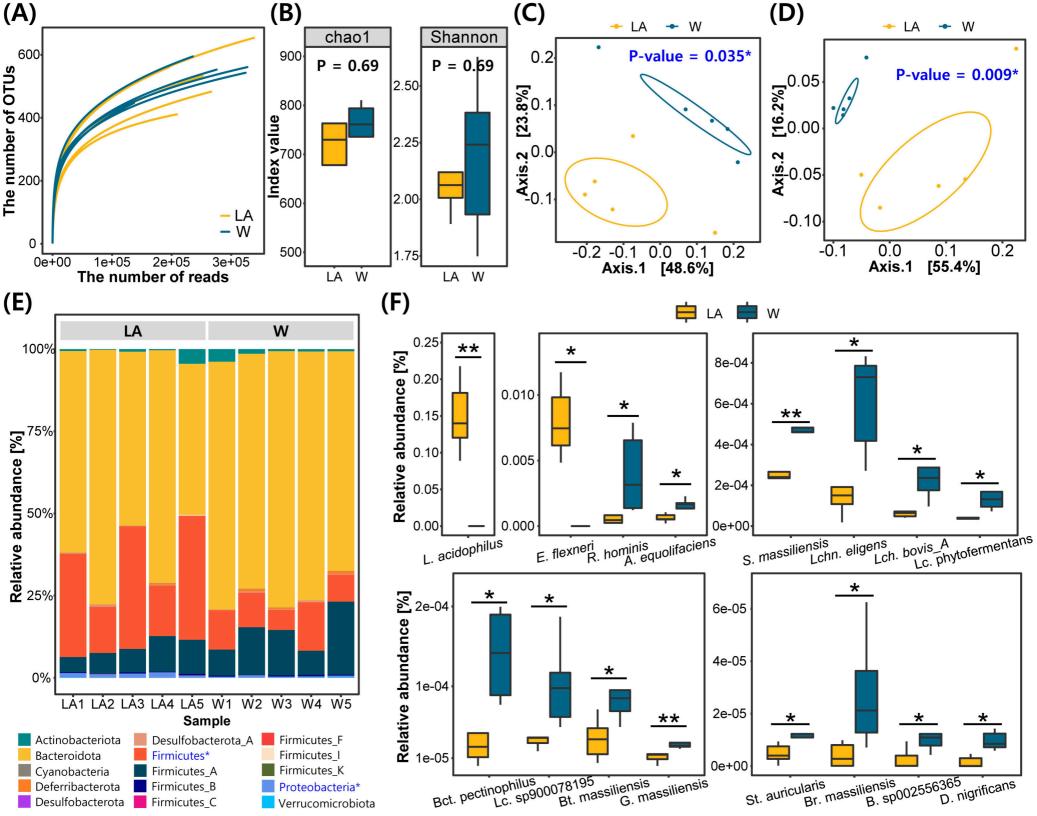
Figure 7. Genomic comparison of 3 probiotic strains

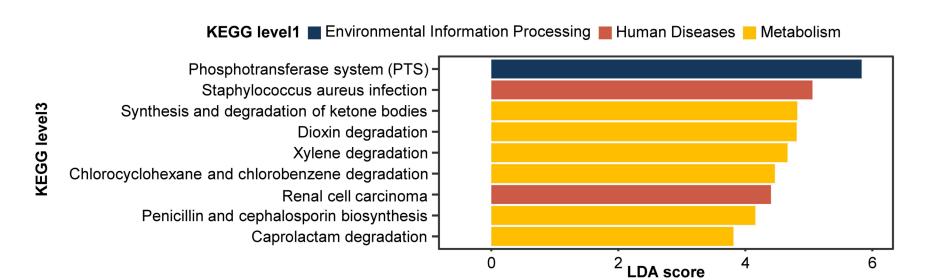
(A) Functional classification of protein coding sequences. All predicted protein sequences were classified by categories by SEED system. (B) Subcategories in [Fatty Acids, Lipids, and Isoprenolds] and [Amino Acids and Derivatives]. [Fatty Acids, Lipids, and Isoprenolds] subcategory showed yellow-green colored head and [Amino Acids and Derivatives] category presented light gray colored head.

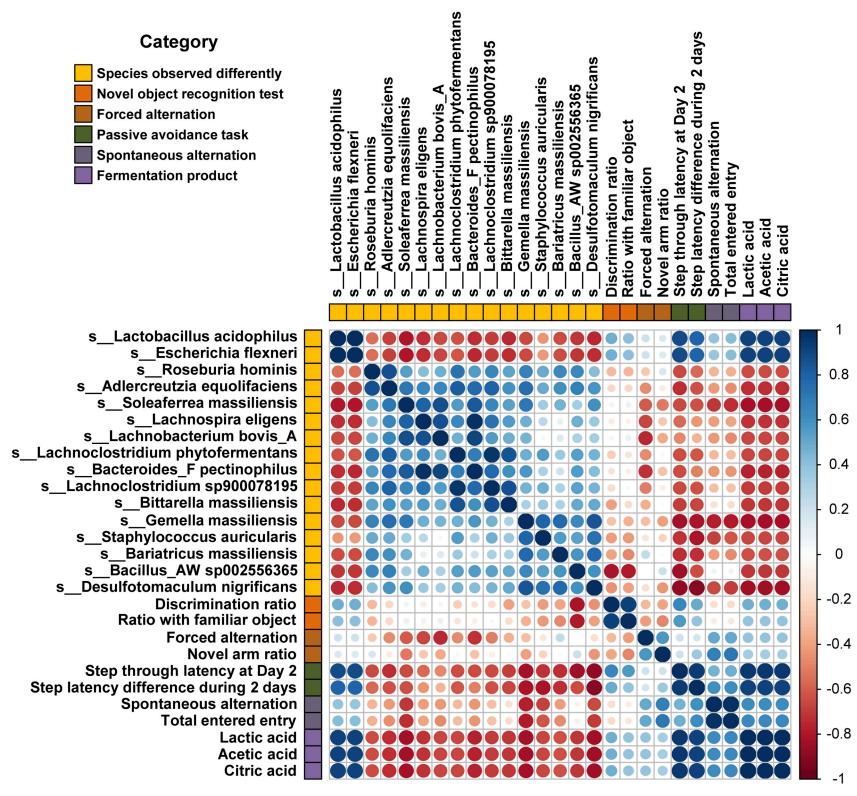


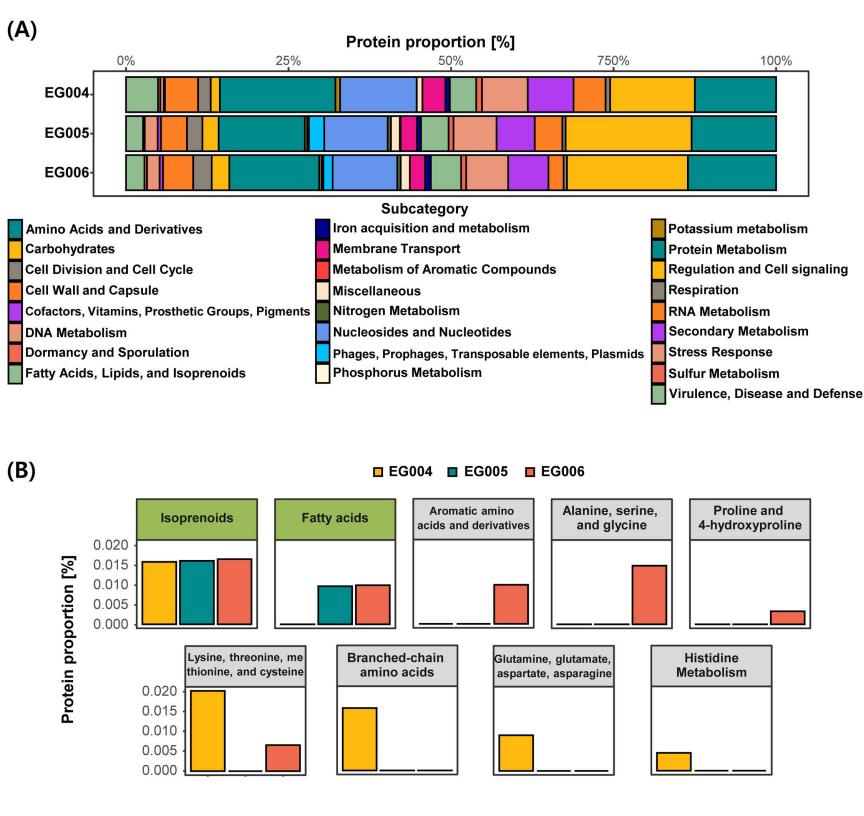












Brief Rebuttal to the remarks of the reviewer3

Comment #1 of the reviewer3: Line 54 - Cognition is one of the functions of the brain. The authors should write in the Manuscript the idea that they study bacterial strain that has positive effects on brain function, which can be recognized through changes in cognitive processes.

Amendment for comment #1

We appreciate the reviewer for pointing out the most important part of understanding the experimental design. The context has been added in the Abstract and Introduction parts, and it will help readers naturally understand the research aim (*Line 54-56*, *page 4*).

Comment #2 of the reviewer3: Line 68 - In the annotation, you do not say a word about strains EG005 and EG006. Why? Also, add into the discussion part more information about comparison and differences in the action of these three strains. Explain the reasons for these differences.

Amendment for comment #2

Thank you for your valuable advice. In order to focus on *L. acidophilus* EG004, the results of the other two strains were omitted in the abstract of the previous manuscript. To increase the overall understanding of the study, we have added results for *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006 to Abstract (*Line 58-60, page 4*). In addition, referring to the reviewer's advice, discussion was revised to provide comparative information on the effects after ingestion of the three strains (*Line 294-319, page 16-17, and Supplementary Table3*). This additional explanation will provide the reader with a richer understanding of the cognitive abilities of each Lactic acid bacteria.

Comment #3 of the reviewer3: Line 130 - will be better if you use the word - healing effects

Amendment for comment #3

Based on the reviewer comments, the word was modified to a more appropriate word (*Line 129-131*, page 7).



Comment #4 of the reviewer3: Line 150 - what kind of molecular method? add the explanation into the text.

Amendment for comment #4

Thanks for your kind comments. The previous expression as "molecular method" has been replaced by "16S rRNA sequencing" (*Line 149-150, page 9*). A detailed description of this method can be found in the Materials and methods section. We expect that this clear statement will help the reader's understanding.

Comment #5 of the reviewer3: Line 390 - you wrote - that the antibacterial activity of L. acidophilus EG004 was the potential reason for cognitive ability enhancement. - how it is possible? Why do you assume this?

Amendment for comment #5

We thank the reviewer for raising this issue. We assumed that the low levels of the microorganisms (such as *Adlercreutzia equolifaciens* and *Roseburia hominis*) were affected by ingested *L. acidophilus* EG004. The only difference was the intake of *L. acidophilus* between the control group and the *L. acidophilus* group. Based on the function of *L. acidophilus* indicated in previous studies, we estimated that *L. acidophilus* interfered with the habitat and growth of other microorganisms through preoccupation of habitat and antibacterial activity. However, we did not provide experimental evidence for the process in this study. We acknowledge that the current argument has some leaps and bounds. This may be misleading to readers. Accordingly, we omitted the detailed explanation of the presumed mechanism, leaving only the assumption that *L. acidophilus* may have been affected with toning down of suggestion (*Line 391-395*, *page 20*). The revised manuscript will be able to more accurately convey the effects of *L. acidophilus* to the reader.

Comment #6 of the reviewer3: Line 407 - Line 54 - Cognition is one of the functions of the brain. The authors should write in the Manuscript the idea that they study bacterial strain that has positive effects on brain function, which can be recognized through changes in cognitive processes.

Amendment for comment #6

Thanks for pointing out the most important part of understanding the experimental design (Line 54-56,

page 4 and Line 411-415, page 21). The content has been added to the Abstract and introduction so that the purpose of the study can be understood naturally.

Comment #7 of the reviewer3: Line 421 - why male?

Amendment for comment #7

We thank the reviewer for raising this issue. In an animal experiment, it is an ideal experiment by setting females and males as separate groups. However, our experiment was performed using only male subjects with consideration of some concerns.

• Simplification of the experimental variation affecting the interpretation of results¹

Prevention of statistical power loss due to small subsamples for each sex²

• Estimation that there is no difference between the intestinal environment and the brain-gut axis system between female and male

Male is mainly used in animal experiments for the brain-gut axis

Restrictions on money, time, and the skill level of the experimenter.

Ideally, it is appropriate to use both males and females, but in consideration of these concerns, male mice were used. In order to provide this specific information to readers, this information was added to the manuscript (*Line 426-430*, *page 22*). We believe that it will help the reader's understanding.

Comment #8 of the reviewer3: Line 605 - Why is EG004 do not present here?

Amendment for comment #8

Thank you for your valuable advice. Since *L. acidophilus* EG004 was previously sequenced using the PacBio platform, only *Lcb. paracasei* EG005 and *Lcb. rhamnosus* EG006 were newly sequenced for this study. The sequence information of *L. acidophilus* EG004 is mentioned in *Line 634-636* and *page 31*, and related papers were cited to provide the sequencing information to readers. Also, since the paragraph indicated by the reviewer is about sequence information of the three strains, the sentence was changed to



'Whole-genome sequencing of EG005 and EG006 and Whole-genome sequence of EG004' (*Line 614, page 30*).

Comment #9 of the reviewer3: Line 623 - Add here the information from Data availability - The complete sequences of Lcb. paracasei EG005 and Lcb. rhamnosus EG006 is available in the NCBI database with accession numbers, SAMN23227569 and SAMN23227570, respectively. The metagenomic sequences are available in the NCBI database under the accession number PRJNA781018.

Amendment for comment #9

Thank you for the reviewer's advice. Data availability information was added to the appropriate part (Whole-genome sequences of three probiotics; *Line 632-636*, *page 31*, and metagenomics data; *Line 586-587*, *page 30*).



References

- 1 Fields, R. D. J. N. NIH policy: mandate goes too far. 510, 340-340 (2014).
- 2 Richardson, S. S., Reiches, M., Shattuck-Heidorn, H., LaBonte, M. L. & Consoli, T. J. P. o. t. N. A. o. S. Opinion: focus on preclinical sex differences will not address women's and men's health disparities. 112, 13419-13420 (2015).

December 7, 2021

Prof. Heebal Kim Seoul National University Seoul Korea (South), Republic of

Re: Spectrum01815-21R2 (Positive effect on cognitive ability of Lactobacillus acidophilus EG004 in healthy mouse and fecal microbiome analysis using full-length 16S-23S rRNA metagenome sequencing)

Dear Prof. Heebal Kim:

Thanks for addressing the Reviewer's comments and congratulations on the acceptance of your manuscript for publication at Spectrum!

Your manuscript has been accepted, and I am forwarding it to the ASM Journals Department for publication. You will be notified when your proofs are ready to be viewed.

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Sincerely.

Jan Claesen Editor, Microbiology Spectrum

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Supplemental material: Accept