

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (<u>http://bmjopen.bmj.com</u>).

If you have any questions on BMJ Open's open peer review process please email <u>info.bmjopen@bmj.com</u>

BMJ Open

BMJ Open

Quantitative coronary angiography versus intravascular ultrasound guidance for drug-eluting stent implantation (GUIDE-DES): study protocol for a randomised controlled trial

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-052215
Article Type:	Protocol
Date Submitted by the Author:	18-Apr-2021
Complete List of Authors:	Lee, Pil Hyung; Asan Medical Center, Cardiology Hong, Soon Jun; Korea University Anam Hospital, Department of Cardiology Kim, Hyun-Sook Yoon, Young won Lee, Jong-Young Oh, Seung-Jin Kang, Soo-Jin; Asan Medical Center, Cardiology Kim, Young-Hak; Asan Medical Center, Cardiology Park, Seong-Wook; Asan Medical Center, Cardiology Lee, Seung-Whan; Asan Medical Center, Cardiology Lee, Cheol Whan; Asan Medical Center, Cardiology
Keywords:	Coronary heart disease < CARDIOLOGY, Coronary intervention < CARDIOLOGY, Ischaemic heart disease < CARDIOLOGY

SCHOLARONE[™] Manuscripts

BMJ Open

Protocol Paper

Quantitative coronary angiography versus intravascular ultrasound

guidance for drug-eluting stent implantation (GUIDE-DES): study protocol

for a randomised controlled trial

Pil Hyung Lee¹, MD; Soon Jun Hong², MD; Hyun-Sook Kim³, MD; Young won Yoon⁴, MD; Jong-Young Lee⁵, MD; Seung-Jin Oh⁶, MD; Soo-Jin Kang¹, MD, Young-Hak Kim¹, MD; Seong-Wook Park¹, MD, Seung-Whan Lee¹, MD; Cheol-Whan Lee¹; The GUIDE-DES Trial Research Group

¹Division of Cardiology, Department of Internal Medicine, Asan Medical Center, University of Ulsan College of Medicine, Seoul, Korea; ²Department of Cardiology, Cardiovascular Center, Korea University Anam Hospital, Seoul, Korea; ³Department of Cardiology, Hallym University Sacred Heart Hospital, Anyang, Korea; ⁴Division of Cardiology, Gangnam Severance Hospital, Yonsei University College of Medicine, Seoul, Korea; ⁵Division of Cardiology, Department of Internal Medicine, Kangbuk Samsung Hospital, Sungkyunkwan University School of Medicine, Seoul, Korea; ⁶Department of Cardiology, National Health Insurance Service Ilsan Hospital, Gyeonggi-do, Korea

Keywords: coronary artery disease, intravascular ultrasound, percutaneous coronary

intervention, quantitative coronary angiography

Total word count: 3,976

Address for correspondence:

Drs. Cheol Whan Lee and Seung-Whan Lee

Division of Cardiology, Department of Internal Medicine, Asan Medical Center, University

of Ulsan, 88, Olympic-ro 43-gil, Songpa-gu, Seoul 138-736, Korea

Tel: +82-2-3010-3150; Fax: +82-2-486-5918

E-mail: cheolwlee@amc.seoul.kr and seungwlee@amc.seoul.kr

ABSTRACT

Introduction: Angiography remains the gold standard for guiding percutaneous coronary intervention (PCI). However, it is prone to suboptimal stent results due to the visual estimation of coronary measurements. Although the benefit of intravascular ultrasound (IVUS)–guided PCI is becoming increasingly recognised, IVUS is not affordable for many catheterisation laboratories. Thus, a more practical and standardised angiography-based approach is necessary to support stent implantation.

Methods and analysis: The Quantitative Coronary Angiography versus Intravascular Ultrasound Guidance for Drug-Eluting Stent Implantation (GUIDE-DES) trial is a randomised, investigator-initiated, multi-centre, open-label trial comparing the quantitative coronary angiography (QCA)–guided PCI strategy with IVUS-guided PCI in all-comer patients with significant coronary artery disease. A total of 1,528 patients will be randomised to either group at a 1:1 ratio. A novel PCI protocol for the QCA-guided group will be provided to all participating operators, while the PCI optimisation criteria will be predefined for both strategies. The primary endpoint is the 12-month cumulative incidence of target-lesion failure defined as a composite of cardiac death, target-vessel myocardial infarction, or ischaemia-driven target-lesion revascularisation. Clinical follow-up assessments are scheduled at 1, 6, and 12 months for all patients enrolled in the study.

Ethics and dissemination: Ethics approval for this study was granted by the Institutional Review Board of Asan Medical Center (no. 2017-0060). The study findings will be published in peer-reviewed journal articles and disseminated through public forums and academic conference presentations. Cost-effectiveness and secondary imaging analyses will be shared in secondary papers.

Clinical Trial Registration: Clinicaltrials.gov (identifier: NCT02978456)

Article Summary

Strengths and limitations of this study

- For the first time, the GUIDE-DES trial will evaluate the potential of standardized QCAbased PCI algorithm into clinical context.
- > A practical protocol of QCA-guided PCI has been developed for the trial.
- The trial uses a pragmatic design with inclusion criteria designed to capture a broad range of real-world patients with diverse clinical and anatomical features.
- > Bias in event ascertainment may not be ruled out given the open-label trial design.

INTRODUCTION

Intravascular ultrasound (IVUS) is a useful tool for assessing pre-intervention lesion characteristics and optimising stent implantation.¹ Randomised trials evaluating the utility of IVUS for guiding percutaneous coronary intervention (PCI) with drug-eluting stents (DES) reported conflicting results. Some studies showed better outcomes in patients undergoing IVUS-guided PCI than in those undergoing angiography-guided PCI,²⁻⁷ while others showed comparable outcomes between the two strategies.⁸⁻¹⁰ In a meta-analysis of these trials, IVUSguided PCI, by using established criteria for optimising stent deployment, was associated with a reduction in major adverse cardiac events.¹¹⁻¹⁴ However, in these trials, angiography guidance was based on visual estimation, and high-pressure post-dilation with a noncompliant balloon was not routinely used after DES implantation. The visual assessment of coronary artery lesions has a high degree of variability, leading to improper stent sizing with suboptimal stent expansion.¹⁵ Although the benefit of IVUS-guided PCI is increasingly recognised, its adoption remains low worldwide.¹⁶ The real barrier to implementing an IVUS program in daily PCI practice is its high cost.¹⁷ IVUS is not affordable for many catheterisation laboratories and patients, particularly in developing countries. Thus, a more practical and standardised algorithmic approach to supporting coronary measurement is necessary. On-line quantitative coronary angiography (QCA) is available at every catheterisation laboratory and enables a reliable assessment of lumen diameter without any additional cost.^{18,19} Coronary sizing by on-site QCA may overcome the limitations of visual estimation and aid in deploying the proper DES size.

It is well established that post-procedural minimal lumen diameter determined by angiography, which correlates with the final minimal stent area (MSA) on IVUS, is the key determinant of DES failure.^{20,21} Undersizing lumen diameter by visual estimation often leads to the selection of a smaller DES, and the lack of high-pressure post-dilatation with a non-

 compliant balloon is frequently related to post-procedural residual stenosis.²² DES failure is attributable not to the angiography guidance itself but rather to the suboptimal results associated with underestimated stent sizing by visual estimation and lack of adequate high-pressure post-dilatation. We hypothesised that choosing the appropriate DES size by a novel on-site QCA-based algorithm and routine incorporation of high-pressure post-dilation with an adequately sized non-compliant balloon may attenuate the disadvantage of the traditional angiography-guided PCI.

METHODS AND ANALYSIS

Study overview and objectives

The Quantitative Coronary Angiography versus Intravascular Ultrasound GUIDancE for Drug-Eluting Stent Implantation (GUIDE-DES) trial is a prospective, multi-centre, openlabelled, randomised comparison trial. This trial is investigator-initiated with grant support from Biotronik (Bülach, Switzerland). Otherwise, the company will not be involved in any aspect of the study process, including the protocol development, site selection, data collection, or data analysis. This study is based on the principles outlined in the Declaration of Helsinki. The primary aim of the trial is to determine whether an on-site QCA-based strategy for PCI guidance is valid for preventing device-oriented events compared with the IVUS-based PCI strategy in all-comer patients who require revascularisation therapy for significant coronary artery disease. The primary analysis will be a noninferiority comparison of the two strategies for the primary end point of target-lesion failure. The study design is shown in **Figure 1**.

Study population and randomisation

BMJ Open

All consecutive patients with significant native coronary artery disease suitable for DES implantation will be screened for study entry. Patients meeting all the eligibility criteria and providing written informed consent will be included in the study. We will not impose restrictions regarding the clinical diagnosis (angina or acute myocardial infarction) or location, length, or numbers of lesions to validate the QCA-based PCI algorithm in various PCI-indicated patients. However, we will exclude bypass graft lesions, for which QCA is less established, and lesions where IVUS delivery is deemed to be impaired (extreme angulation or tortuosity, heavy calcification proximal to or within the target lesion). Detailed information on the inclusion and exclusion criteria is provided in **Table 1**.

Table 1. Inclusion and exclusion criteria

Inclusion Criteria					
1.	Man or woman at least 18 years of age				
2	Typical chest pain or objective evidence of myocardial ischaemia				
3.	Significant stenotic lesions in native coronary arteries* suitable for DES implantation				
4	The patient or guardian agrees to the study protocol and the schedule of clinical follow-up				
	and provides written informed consent as approved by the appropriate Institutional				
	Review Board/Ethical Committee of the respective clinical site.				
Exc	lusion Criteria				
	Angiographic exclusion criteria:				
	1) Bypass graft lesions				
1	2) Lesions in which impaired delivery of IVUS is expected:				
	- Extreme angulation (\geq 90°) proximal to or within the target lesion.				
	- Excessive tortuosity (two \geq 45° angles) proximal to or within the target lesion.				

Page 7 of 45

BMJ Open

1
2 3
4
5 6
7
8 9
10
11 12
13 14
15
16 17
18
19 20
21
22 23
24 25 26 27
25 26
27 28
28 29
30 31
32
33 34
34 35
36 37
38 39
40
41 42
43
44 45
46
47 48
49 50
51
52 53
54
55 56
57
58 59

	- Heavy calcification proximal to or within the target lesion.		
2	Previous PCI within 6 months before the index procedure		
3	Previous bioresorbable vascular scaffold implantation		
4	Left ventricular ejection fraction < 30%		
	Hypersensitivity or contraindication to the device material and its degradants and cobalt,		
5 chromium, nickel, platinum, tungsten, acrylic and fluoro polymers th			
	adequately pre-medicated.		
6	Persistent thrombocytopenia (platelet count < 100,000/µL)		
7	Any history of haemorrhagic stroke or intracranial haemorrhage, transient ischemic attack,		
/	or ischemic stroke within the past 6 months		
8	A known intolerance to antiplatelet agents (aspirin, clopidogrel, prasugrel, or ticagrelor)		
	Any surgery requiring discontinuation of aspirin and/or use of a P2Y12 inhibitor planned		
9	within 12 months after the procedure.		
	A diagnosis of cancer (other than superficial squamous or basal cell skin cancer) in the		
10			
	past 3 years or current treatment for the active cancer.		
	Any clinically significant abnormality identified at the screening visit, physical		
11	examination, laboratory tests, or electrocardiogram which, in the judgment of the		
	investigator, would preclude safe completion of the study.		
10	A hepatic disease or biliary tract obstruction, or significant hepatic enzyme elevation		
12	(alanine transaminase or aspartate transaminase > 3 times the upper limit of normal).		
13	Life expectancy < 1 year for any non-cardiac or cardiac causes		
14	Unwillingness or inability to comply with the procedures described in this protocol.		
15	Pregnant, breast-feeding, or child-bearing potential.		

DES, drug-eluting stent; IVUS, intravascular ultrasound; PCI, percutaneous coronary intervention. *At least 70% diameter stenosis on visual estimation, or 50–69% diameter stenosis with objective evidence of ischaemia (positive noninvasive stress test or fractional flow reserve ≤ 0.8)

for peer teriew only

Page 9 of 45

BMJ Open

Each patient will receive oral and written information and be required to provide written informed consent at the time of enrolment. Patients will be randomised in a 1:1 ratio to undergo a QCA-guided strategy or an IVUS-guided strategy immediately after the guidewire crosses the culprit lesion. The allocation of the study participants will proceed through an Interactive Web Response System with a permutated block size of six. A total of 1,528 patients will be enrolled from six high-volume PCI centres in Korea.

Study procedure

QCA-guided strategy

A PCI protocol for the QCA-guided group is summarised in Figure 2, and a representative case is illustrated in Supplemental Figure 1. An algorithm for the reference segments' diameter adjustment in this trial was developed based on the previous reports comparing lumen measurements between QCA and IVUS.^{18,23} Applying this, angiograms of vessels that are adequately filled with contrast should be obtained after administering intracoronary nitroglycerin (250–500 µg). The best image that corresponds to the lesion location with the least foreshortening should be selected. Lumen diameters are measured at the optimal proximal and distal reference segments by on-site QCA using the automatic calibration software embedded in the angiography systems. If multiple measurements of QCA are performed in different views, it is recommended that the largest value be used for the target diameter calculation. The following formula derives the adjusted QCA value (target diameter) to guide stent selection and deployment: Adjusted QCA value = measured QCA value + 5–10% of the measured QCA value. Specifically, the percentage number multiplied for the adjustment varies according to the measured QCA value: 10% for QCA values ≤ 3.5 mm, 9% for 3.6 mm, 8% for 3.7 mm, 7% for 3.8 mm, 6% for 3.9 mm, and 5% for QCA values \geq 4.0 mm. A simple calculation table that can practically be used in the catheterisation

lab will be provided to the participating centres (**Supplemental Table 1**). For diffuse disease without a normal-looking segment for the QCA measurement at a bifurcation site, the use of Finet's formula is recommended to estimate the reference lumen diameter of the main branch if applicable (**Supplemental Figure 2**).²⁴

The stent size is then selected based on the calculated target diameter of the distal reference segment. The stent length is visually estimated with the aid of a radiopaque guidewire tip (30 mm) for long lesions or an uninflated balloon (15 or 20 mm) for short lesions. During stent deployment, the stent balloon should be inflated up to the pressure corresponding to the distal reference segment's target diameter. Post-stenting optimisation is mandatory using a non-compliant balloon of proper size considering the target diameter of the proximal and distal reference segment. Proximal and distal edge optimisation is performed in which the radiopaque marker of a non-compliant balloon is positioned over the stent edge and the balloon dilated up to the target diameters (Supplemental Figure 3). Multiple balloon dilations within the stent should be performed until adequate stent expansion is achieved, preferably assessed by stent boost subtract imaging. If the result is considered suboptimal, the use of a step-up approach with upsizing post-dilations (previous ballooning size + about 0.2 mm) is recommended. In patients receiving additional stent implantation to treat a dissection at the distal stent edge, post-dilation of the overlapping zone with a balloon adequately sized to the proximal stent is needed to eliminate inter-stent malapposition at the overlapping site. The ideal final result would be a harmonious appearance between the reference segment and the stent without dissection and minimal residual stenosis (<10%) on angiography.²⁵

IVUS-guided strategy

Page 11 of 45

BMJ Open

IVUS can be iteratively used at any step of PCI. After the intracoronary injection of nitroglycerin, the 40-MHz IVUS catheter (Boston Scientific Corporation, Natick, MA, USA) is advanced more than 5 mm distal to the target lesion and withdrawn at a motorised pullback speed of 0.5 mm/s. Balloon dilatation at the target lesion is allowed to facilitate the IVUS catheter passage if necessary. Stent size and length are determined by the online IVUS measurements. The stent size nearest the distal reference segment's lumen diameter is selected, and the stent length is decided by measuring the distance from the distal to proximal reference sites. During stent deployment, the stent balloon should be inflated up to the pressure corresponding to the mid-wall (or lumen) diameter of the distal reference segment. Adjunctive high-pressure balloon dilation using a noncompliant balloon is left to the operator's discretion based on the IVUS findings. The IVUS criteria for stent optimisation in this trial are as follows: 1) in-stent minimal lumen cross-sectional area > distal reference segment's lumen cross-sectional area; 2) complete stent apposition; and 3) no significant edge dissection (media dissection, dissection angle $\geq 60^{\circ}$, or dissection length > 2 mm).^{3,26,27} If the IVUS-defined optimal criteria are not met, additional procedures are needed.

Study stent and medical treatment

Biodegradable polymer sirolimus-eluting stents (Orsiro or Orsiro Mission, Bülach, Switzerland, Biotronik) will be used in both trial arms. Optimal angioplasty requires compliance with precise guidelines for adjunctive pharmacological therapy. Unless pretreated, all patients should be administered aspirin 300 mg and P2Y12 inhibitors (clopidogrel 600 mg, ticagrelor 180 mg, prasugrel 60 mg) before PCI. Unfractionated heparin must be administered before and during the procedure to maintain an activated clotting time greater than 250 seconds. According to the clinical indication and procedural complexity, dual antiplatelet agents will be prescribed for at least 6–12 months following PCI at the discretion of the attending physician, and either aspirin (100 mg once daily) or clopidogrel (75 mg once daily) will be continued indefinitely thereafter.

Other pharmacological treatments must be optimised early after randomisation in accordance with the established standards of practice.^{28,29} Statins should be prescribed in all patients during the study period. Beta-blockers, calcium channel blockers, or long-acting nitrates alone or in combination can be used as anti-ischemic therapy. An angiotensin-converting enzyme inhibitor or an angiotensin-receptor blocker is considered for secondary prevention. Blood pressure and diabetic control are emphasised. Patients should receive counselling about smoking cessation, weight control, and regular exercise.

Study endpoints and follow-up

 The primary endpoint is the 12-month cumulative incidence of target-lesion failure defined as a composite of cardiac death, target-vessel myocardial infarction, or ischaemia-driven targetlesion revascularisation. Secondary endpoints are the rates of all-cause death, myocardial infarction, definite or probable stent thrombosis, stroke, target-lesion revascularisation, and any revascularisation at 12 months and procedural success (**Table 2**). A cost-effectiveness comparison of QCA- versus IVUS-guided DES implantation will be performed independently. Procedural success is defined as the achievement of final in-stent residual stenosis of less than 30% by QCA of at least one stent at the intended target lesion and successful withdrawal of the delivery system for all target lesions without the occurrence of cardiac death, target-vessel myocardial infarction, or repeat target-lesion revascularisation during the hospital stay. All deaths will be considered cardiac unless an unequivocal noncardiac cause can be established. Specifically, any unexpected death even in patients with coexisting potentially fatal non-cardiac disease (e.g., cancer, infection) is classified as cardiac. The diagnosis of periprocedural myocardial infarction is based on the diagnostic

BMJ Open

criteria from the Society for Cardiovascular Angiography and Interventions.³⁰ The diagnosis of spontaneous myocardial infarction is based on criteria proposed by the Third Universal Definition of Myocardial Infarction.³¹ Stroke is defined as focal loss of neurologic function caused by an ischemic or haemorrhagic event, with residual symptoms lasting at least 24 hours or leading to death. Target-lesion revascularisation is defined as any repeat PCI of the target lesion or bypass surgery of the target vessel performed for restenosis or other complication of the target lesion.

Table 2. Primary and secondary endpoints

Primary endpoint

• Target-lesion failure (composite of cardiac death, target vessel myocardial infarction, or

elien

ischaemia-driven target lesion revascularisation) at 12 months after randomisation

Secondary endpoints

- Procedural success
- Death at 12 months
- Myocardial infarction at 12 months
- Stent thrombosis (definite/probable) at 12 months
- Stroke at 12 months
- Target-lesion revascularisation at 12 months
- Any revascularisation at 12 months
- Economic (cost effectiveness) analysis at 12 months

BMJ Open

Clinical follow-up assessments will be scheduled via clinical visits or telephone interviews at 1, 6, and 12 months for all patients enrolled in the study. Medical history will be obtained, while a physical examination and basic laboratory tests will be performed at each visit. Data collected during the follow-up visits will include ischemic symptoms, bleeding complications, and major adverse cardiac events, including re-hospitalisation and recatheterisation. Angiographic and IVUS images will be collected at the core laboratory of Asan Medical Center and analysed offline by experts blinded to clinical data.

Statistical analysis

 This trial will test the hypothesis that QCA-guided PCI is non-inferior to IVUS-guided PCI concerning the primary end point of cardiac death, target-vessel myocardial infarction, or ischaemia-driven target vessel revascularisation at 12 months. Based on previous reports of real-world patients without restrictions regarding the clinical diagnosis; lesion number, severity, or location; or number of stents used,^{32 33} we estimated that the incidence of the primary endpoint 12 months after the index procedure would be 8% in the IVUS-guided PCI group. Using a noninferiority margin of 3.5% in accordance with the noninferiority margins used in contemporary trials of DES and considering a 5% of attrition rate, we estimated that with a total of 1,528 patients, the study would provide 80% power to show noninferiority on the basis of the likelihood-score method by Farrington and Manning at a one-sided 0.025 level.^{34,35}

The analyses will be performed according to the intention-to-treat principle. A secondary per-protocol analysis will be performed to assess the effect of treatment crossovers or unanticipated problems that could dilute treatment differences of interest. Continuous variables will be presented as mean and standard deviation, while categorical variables will be shown as numbers and percentages. Intergroup differences will be evaluated by Student's

Page 15 of 45

BMJ Open

t-test or the Wilcoxon rank sum test for continuous variables and by Pearsons's x^2 test or Fisher's exact test for categorical variables as appropriate. Cumulative event rates and survival curves will be generated using the Kaplan-Meier method, while intergroup differences will be compared by the log-rank test. Follow-up will be censored at the date of the last follow-up or at 1 year, whichever comes first. Cox's proportional hazards regression analyses will be conducted to estimate the risk associated with the QCA-guided PCI strategy relative to that with the IVUS-guided PCI strategy. The proportional hazards assumption about the assigned treatments will be tested with the Schoenfeld residuals test. A two-sided P value < 0.05 will indicate significance. SAS software version 9.3 (SAS Institute, Cary, NC, USA) will be used for all the statistical analyses.

Trial organisation

The members of the executive committee include the principal investigators of the investigating centres and the persons who organised this study. The committee approved the final trial design and protocol issued to the Data and Safety Monitoring Board (DSMB) and the clinical sites. The committee will be responsible for reviewing the final results, determining the methods of presentation and publication, and selecting secondary projects and publications by members of the steering committee. An independent DSMB committee, headed by Sung Cheol Yun, will receive information on rates of death, myocardial infarction, and major bleeding and will make recommendations based on the analyses of safety data, protocol deviation, IVUS failures, and 30-day follow-up reports. The DSMB chairperson will notify the data coordinating centre of any safety or compliance issues. The committee will also provide confidential recommendations as necessary of study termination based on the safety stopping rules determined at the study onset or when a clinically significant result is identified in safety analyses of the data. This study will not be stopped early based on

BMJ Open

efficacy results. The executive committee has right to the final decision to stop the study prematurely based on DSMB recommendations. All DSMB reports will remain strictly confidential, but will be made available to the regulatory body upon request. The centralised Clinical Events Committee (CEC) is made up of interventional and non-interventional cardiologists who are not participants in the study. The CEC develops specific criteria used to categorise clinical events in the study that are based on the protocol. At the trial onset, the CEC will establish clear rules outlining the minimum amount of data required and the algorithm followed to classify a clinical event. All members of the CEC will be blinded to the primary results of the trial. Data coordination and site management services will be performed by the Clinical Research Center of Asan Medical Center, Seoul, Korea.

Patient and public involvement

No patient involved.

r revic Ethical approval and dissemination

The study protocol was approved by the internal review board of Asan Medical Center, Seoul, Korea (no. 2017-0060) and each participating centre. The current protocol version is 3.2 date 11 March 2021. The GUIDE-DES trial has been registered at ClinicalTrials.gov (study identifier no. NCT02978456). The authors are solely responsible for this study's design and conduct, all study analyses, manuscript drafting and editing, and final manuscript contents. The study findings will be published in peer-reviewed journal articles and disseminated through public forums and academic conference presentations. Costeffectiveness and secondary imaging analyses will be shared in secondary papers.

DISCUSSION

With IVUS guidance, acute stent placement can be optimised toward more significant stent expansion and fewer stent edge problems based on the reliable information about vessel size, plaque burden, suboptimal stent deployment, and procedure-related complications. To date, 10 randomised trials have compared IVUS-guided DES implantation with conventional angiographical guidance. In the IVUS group of one trial, the achievement of a minimum stent cross-sectional area greater than the distal reference lumen with IVUS guidance was associated with a 2.9% rate of 1-year major adverse cardiac events versus 5.8% (P = 0.007) with angiography guidance.³ Another large-scale trial showed that by achieving an MSA > 5.0 mm² and avoiding geographic miss, IVUS guidance significantly reduced the rate of target-vessel failure at 1 year.⁷ However, despite the accumulating evidence supporting the use of IVUS to improve outcomes after PCI, its use continues to be infrequent worldwide, mostly because of the inaccessibility related to high device cost or image interpretation inexperience.³⁶ Thus, an overlooked unmet need regarding PCI is to find a way to improve outcomes of DES in a typical circumstance when IVUS is not available.

QCA guidance: from core to the catheterisation laboratory

Previous randomised trials did not provide an objective guide or definition for stent optimisation for the angiography-guided group. Using visual assessment, interventionists tend to choose undersized stents and perform less aggressive post-dilation, leading to suboptimal immediate results and an increased risk of target-lesion failure.³⁷ QCA has been used to provide quantitative measures of angiography, mostly in clinical studies. The advantage of QCA over visual estimation is that its measurements are objective and relatively reproducible. Furthermore, QCA is easy to use without co-registration or additional cost and is available at every catheterisation laboratory. Unfortunately, it is not commonly used to

BMJ Open

guide PCI in real-world practice. This trial will test the utility of real-time QCA guidance for PCI with a goal of incorporating core laboratory experiences into daily clinical practice.

In the PROSPECT substudy, there was a strong correlation between minimal lumen diameters on QCA and IVUS, with underestimation in relatively small arteries (<3.8 mm) and overestimation in larger arteries (>3.8 mm) with an excellent correlation (r = 0.89, p < 0.890.001).²³ Optical coherence tomography (OCT) accurately measures lumen diameters because it produces high-resolution images that are identical to the actual values. The OPUS-CLASS study showed that QCA underestimates lumen diameters by 5% compared with OCT, whereas IVUS overestimates lumen diameters by 8% compared with OCT.¹⁸ Therefore in the present study, we planned to differentially adjust the measured QCA values by 5-10% to estimate the reference segment's lumen diameter. Inadequate filling of the vessels with contrast media and coronary artery spasms lead to underestimation of the accurate lumen dimensions. Thus, taking images of vessels filled with contrast medium after nitroglycerin injection is recommended to overcome measurement errors. QCA should be repeated if the coronary lumen dimension increases after pre-dilation of severely stenotic lesions. The American College of Cardiology/American Heart Association guideline recommends a minimum residual percent diameter stenosis of <10% by visual estimation after stent implantation, and this criterion as stent optimisation was adopted in the QCA-guided arm in our study. The concept of "the bigger, the better" remains valid in the DES era. Contemporary thin-strut DES may have weaker radial strength and greater recoil with a smaller lumen area, requiring the need for high-pressure post-dilation to achieve optimal PCI results.³⁷ Stent boost subtract imaging allows clear visualisation of the stents and reliable detection of stent underexpansion.³⁸ Routine high-pressure post-dilation, preferably guided by stent boost subtract imaging, will likely lead to minimal residual diameter stenosis with a low risk of edge problems.^{22,39} The GUIDE-DES trial will explore whether incorporating

BMJ Open

these angiography-based technical considerations into a standardised PCI algorithm may be an acceptable alternative to IVUS-guided PCI in terms of device-oriented PCI outcomes.

Future implications

The success of using QCA for real-time PCI guidance may have significant future implications along with the development of artificial intelligence technologies. A robust deep learning model has already been proposed to automatically segment the major vessels on coronary angiography.⁴⁰ With this technique, the image processing time can be minimised with less manual correction, allowing immediate QCA analysis on the operator screen in the catheterisation room. Thus, diagnosis with 3-D QCA could be utilised for PCI by combining the 2-D QCA of multiple angiograms.⁴¹ Further investigations of IVUS-based machine learning algorithms may lead to outcomes similar to those with IVUS guidance after QCA-guided PCI.

CONCLUSION

The GUIDE-DES trial is the first randomised controlled study to explore the potential use of a standardised QCA-based PCI algorithm in the clinical context. Because DES failure frequently depends on the immediate suboptimal stent results based on the visual estimation of angiography guidance, our study's results may significantly impact many catheterisation laboratories where IVUS is not available.

Acknowledgments: We thank all members of this trial group for their ideas, suggestions, participation, and general assistance. This paper was edited for language by Editage (www.editage.co.kr).

Author Contributions: PHL, SWL, and CWL developed the trial concept and wrote the protocol and the manuscript of the protocol publication. SJH, HSK, YWY, JYL, SJO, SJK, YHK, and SWP helped to develop the trial concept and revised the manuscript critically for important intellectual content.

Sources of Funding: This study is funded by an unrestricted grant from Biotronik, Bülach, Switzerland (G1709). Conflict of interest: None

	REFERENCES
1.	Hibi K, Kimura K, Umemura S. Clinical utility and significance of intravascular
	ultrasound and optical coherence tomography in guiding percutaneous coronary
	interventions. Circ J 2015;79:24-33.
2.	Chieffo A, Latib A, Caussin C, et al. A prospective, randomized trial of intravascula
	ultrasound guided compared to angiography guided stent implantation in complex
	coronary lesions: the AVIO trial. Am Heart J 2013;165:65-72.
3.	Hong SJ, Kim BK, Shin DH, et al. Effect of Intravascular Ultrasound-Guided vs
	Angiography-Guided Everolimus-Eluting Stent Implantation: The IVUS-XPL
	Randomized Clinical Trial. JAMA 2015;314:2155-63.
ŀ.	Tian NL, Gami SK, Ye F, et al. Angiographic and clinical comparisons of
	intravascular ultrasound- versus angiography-guided drug-eluting stent implantatio
	for patients with chronic total occlusion lesions: two-year results from a randomise
	AIR-CTO study. EuroIntervention 2015;10:1409-17.
5.	Tan Q, Wang Q, Liu D, et al. Intravascular ultrasound-guided unprotected left main
	coronary artery stenting in the elderly. Saudi Med J 2015;36:549-53.
5.	Mariani J, Jr., Guedes C, Soares P, et al. Intravascular ultrasound guidance to
	minimize the use of iodine contrast in percutaneous coronary intervention: the
	MOZART (Minimizing cOntrast utiliZation With IVUS Guidance in coRonary
	angioplasTy) randomized controlled trial. JACC Cardiovasc Interv 2014;7:1287-9
7.	Zhang J, Gao X, Kan J, et al. Intravascular Ultrasound Versus Angiography-Guide
	Drug-Eluting Stent Implantation: The ULTIMATE Trial. J Am Coll Cardiol
	2018;72:3126-37.

3	
4	
5	
6	
7	
/ 0	
8	
9	
10	
11	
12	
13	
14	
15	
16	
16 17	
17	
18	
19	
20	
21	
22	
23	
24	
25	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
25	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
44 45	
46	
47	
48	
49	
50	
51	
52	
52	
54	
55	
56	
57	
58	
59	
60	
0.0	

> Jakabcin J, Spacek R, Bystron M, et al. Long-term health outcome and mortality evaluation after invasive coronary treatment using drug eluting stents with or without the IVUS guidance. Randomized control trial. HOME DES IVUS. *Catheter Cardiovasc Interv* 2010;75:578-83.

> 9. Kim JS, Kang TS, Mintz GS, et al. Randomized comparison of clinical outcomes between intravascular ultrasound and angiography-guided drug-eluting stent implantation for long coronary artery stenoses. *JACC Cardiovasc Interv* 2013;6:369-76.

 Kim BK, Shin DH, Hong MK, et al. Clinical Impact of Intravascular Ultrasound-Guided Chronic Total Occlusion Intervention With Zotarolimus-Eluting Versus Biolimus-Eluting Stent Implantation: Randomized Study. *Circ Cardiovasc Interv* 2015;8:e002592.

 Steinvil A, Zhang YJ, Lee SY, et al. Intravascular ultrasound-guided drug-eluting stent implantation: An updated meta-analysis of randomized control trials and observational studies. *Int J Cardiol* 2016;216:133-9.

- Elgendy IY, Mahmoud AN, Elgendy AY, et al. Outcomes With Intravascular
 Ultrasound-Guided Stent Implantation: A Meta-Analysis of Randomized Trials in the
 Era of Drug-Eluting Stents. *Circ Cardiovasc Interv* 2016;9:e003700.
- Shin DH, Hong SJ, Mintz GS, et al. Effects of Intravascular Ultrasound-Guided Versus Angiography-Guided New-Generation Drug-Eluting Stent Implantation: Meta-Analysis With Individual Patient-Level Data From 2,345 Randomized Patients. JACC Cardiovasc Interv 2016;9:2232-9.
- Bavishi C, Sardar P, Chatterjee S, et al. Intravascular ultrasound-guided vs angiography-guided drug-eluting stent implantation in complex coronary lesions: Meta-analysis of randomized trials. *Am Heart J* 2017;185:26-34.

BMJ Open

2 3	15.	Campbell PT, Mahmud E, Marshall JJ. Interoperator and intraoperator (in)accuracy of
4 5		
6 7		stent selection based on visual estimation. Catheter Cardiovasc Interv 2015;86:1177-
8 9		83.
10 11	16.	Smilowitz NR, Mohananey D, Razzouk L, et al. Impact and trends of intravascular
12 13		imaging in diagnostic coronary angiography and percutaneous coronary intervention
14 15 16		in inpatients in the United States. Catheter Cardiovasc Interv 2018;92:E410-E5.
17 18	17.	Mintz GS, Guagliumi G. Intravascular imaging in coronary artery disease. Lancet
19 20		2017;390:793-809.
21 22	18.	Kubo T, Akasaka T, Shite J, et al. OCT compared with IVUS in a coronary lesion
23 24 25		assessment: the OPUS-CLASS study. JACC Cardiovasc Imaging 2013;6:1095-104.
25 26 27	19.	Sotomi Y, Onuma Y, Suwannasom P, et al. Is quantitative coronary angiography
28 29		reliable in assessing the lumen gain after treatment with the everolimus-eluting
30 31		bioresorbable polylactide scaffold? <i>EuroIntervention</i> 2016;12:e998-e1008.
32 33 34	20.	Mercado N, Boersma E, Wijns W, et al. Clinical and quantitative coronary
35 36		angiographic predictors of coronary restenosis: a comparative analysis from the
37 38		balloon-to-stent era. J Am Coll Cardiol 2001;38:645-52.
39 40	21.	Cassese S, Byrne RA, Tada T, et al. Incidence and predictors of restenosis after
41 42 43		coronary stenting in 10 004 patients with surveillance angiography. Heart
44 45		2014;100:153-9.
46 47	22.	Romagnoli E, Sangiorgi GM, Cosgrave J, et al. Drug-eluting stenting: the case for
48 49		post-dilation. JACC Cardiovasc Interv 2008;1:22-31.
50 51 52	23.	Goto K, Mintz GS, Litherland C, et al. Lumen Measurements From Quantitative
52 53 54		Coronary Angiography and IVUS: A PROSPECT Substudy. JACC Cardiovasc
55 56		Imaging 2016;9:1011-3.
57 58		
59 60		23

24. Finet G, Gilard M, Perrenot B, et al. Fractal geometry of arterial coronary bifurcations: a quantitative coronary angiography and intravascular ultrasound analysis. *EuroIntervention* 2008;3:490-8.

- 25. Levine GN, Bates ER, Blankenship JC, et al. 2011 ACCF/AHA/SCAI Guideline for Percutaneous Coronary Intervention. A report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines and the Society for Cardiovascular Angiography and Interventions. *J Am Coll Cardiol* 2011;58:e44-122.
- 26. Choi SY, Witzenbichler B, Maehara A, et al. Intravascular ultrasound findings of early stent thrombosis after primary percutaneous intervention in acute myocardial infarction: a Harmonizing Outcomes with Revascularization and Stents in Acute Myocardial Infarction (HORIZONS-AMI) substudy. *Circ Cardiovasc Interv* 2011;4:239-47.
- 27. Cheneau E, Leborgne L, Mintz GS, et al. Predictors of subacute stent thrombosis: results of a systematic intravascular ultrasound study. *Circulation* 2003;108:43-7.
- 28. Amsterdam EA, Wenger NK, Brindis RG, et al. 2014 AHA/ACC guideline for the management of patients with non-ST-elevation acute coronary syndromes: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines. *Circulation* 2014;130:e344-426.
- 29. Fihn SD, Gardin JM, Abrams J, et al. 2012

ACCF/AHA/ACP/AATS/PCNA/SCAI/STS guideline for the diagnosis and management of patients with stable ischemic heart disease: executive summary: a report of the American College of Cardiology Foundation/American Heart Association task force on practice guidelines, and the American College of Physicians, American Association for Thoracic Surgery, Preventive Cardiovascular

BMJ Open

2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
42 43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
55 54	
55	
56	
57	
58	
59	
60	

Nurses Association, Society for Cardiovascular Angiography and Interventions, and Society of Thoracic Surgeons. *Circulation* 2012;126:3097-137.

- 30. Moussa ID, Klein LW, Shah B, et al. Consideration of a new definition of clinically relevant myocardial infarction after coronary revascularization: an expert consensus document from the Society for Cardiovascular Angiography and Interventions (SCAI). *Catheter Cardiovasc Interv* 2014;83:27-36.
- 31. Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. *Circulation* 2012;126:2020-35.
- 32. Silber S, Windecker S, Vranckx P, et al. Unrestricted randomised use of two new generation drug-eluting coronary stents: 2-year patient-related versus stent-related outcomes from the RESOLUTE All Comers trial. *Lancet* 2011;377:1241-7.
- 33. Park DW, Kim YH, Song HG, et al. Outcomes after unrestricted use of everolimuseluting and sirolimus-eluting stents in routine clinical practice: a multicenter, prospective cohort study. *Circ Cardiovasc Interv* 2012;5:365-71.
- 34. Farrington CP, Manning G. Test statistics and sample size formulae for comparative binomial trials with null hypothesis of non-zero risk difference or non-unity relative risk. *Stat Med* 1990;9:1447-54.
- 35. Ellis SG, Kereiakes DJ, Metzger DC, et al. Everolimus-Eluting Bioresorbable Scaffolds for Coronary Artery Disease. *N Engl J Med* 2015;373:1905-15.
- 36. Elgendy IY, Ha LD, Elbadawi A, et al. Temporal Trends in Inpatient Use of Intravascular Imaging Among Patients Undergoing Percutaneous Coronary Intervention in the United States. *JACC Cardiovasc Interv* 2018;11:913-5.
- 37. de Ribamar Costa J, Jr., Mintz GS, Carlier SG, et al. Intravascular ultrasound assessment of drug-eluting stent expansion. *Am Heart J* 2007;153:297-303.

- Mishell JM, Vakharia KT, Ports TA, et al. Determination of adequate coronary stent expansion using StentBoost, a novel fluoroscopic image processing technique. *Catheter Cardiovasc Interv* 2007;69:84-93.
 Brodie BR, Cooper C, Jones M, et al. Is adjunctive balloon postdilatation necessary after coronary stent deployment? Final results from the POSTIT trial. *Catheter Cardiovasc Interv* 2003;59:184-92.
 Yang S, Kweon J, Roh JH, et al. Deep learning segmentation of major vessels in Xray coronary angiography. *Sci Rep* 2019;9:16897.
 Tu S, Xu L, Ligthart J, et al. In vivo comparison of arterial lumen dimensions
 - assessed by co-registered three-dimensional (3D) quantitative coronary angiography, intravascular ultrasound and optical coherence tomography. *Int J Cardiovasc Imaging* 2012;28:1315-27.

3	
4	
5	
6	
6 7	
8	
9	
10	
11	
12	
13	
14	
12 13 14 15 16 17	
15	
16	
17	
18	
19	
20	
21	
22	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	

60

FIGURE LEGENDS

Figure 1. Study flow chart

BVS, bioresorbable vascular scaffold; CAD, coronary artery disease; DES, drug-eluting

stent; ID-TLR, ischaemia-driven target-lesion revascularisation; IVUS, intravascular

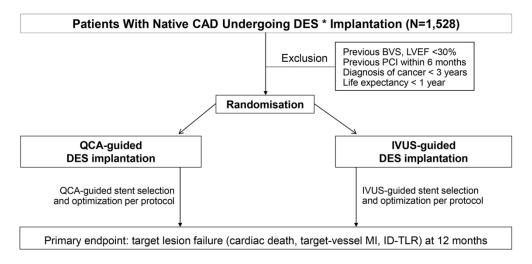
ultrasound; LVEF, left ventricular ejection fraction; MI, myocardial infarction; PCI,

percutaneous coronary intervention; QCA, quantitative coronary angiography

*Sirolimus-eluting Orsiro or Orsiro Mission stents were used in this trial.

Figure 2. Outline of the QCA-guided PCI strategy

PCI, percutaneous coronary intervention; QCA, quantitative coronary angiography



Study flow chart

Design by angiogram

- obtain the best angiographic images adequately filled with contrast media

- identify the landing zones (normal or normal-looking area)

Sizing by QCA

- measure the lumen diameter at the reference segments by QCA
- calculate the adjusted QCA diameter (target diameter)
- = measured QCA value + $5 \sim 10\%$ of the measured QCA value

Finish by post-dilation

- Stent selection & deployment: choose the stent size to reach the target diameter of the distal reference segment and inflate the stent balloon up to the target diameter

- Stent optimization at its edge and within the stent: high-pressure post-dilation to achieve minimal residual stenosis (diameter stenosis<10%) assessed by stent boost imaging

Outline of the QCA-guided PCI strategy

76x50mm (300 x 300 DPI)

Supplemental Material Online

This Supplementary data has been provided by the authors to give readers additional information about their work.

Supplemental Table 1. Adjusted QCA values (target diameters) of the reference segments derived from the QCA measurements

Supplemental Figure 1. Representative case of QCA-guided PCISupplemental Figure 2. Estimation of the main branch size without normal-looking areaSupplemental Figure 3. Stent edge optimization

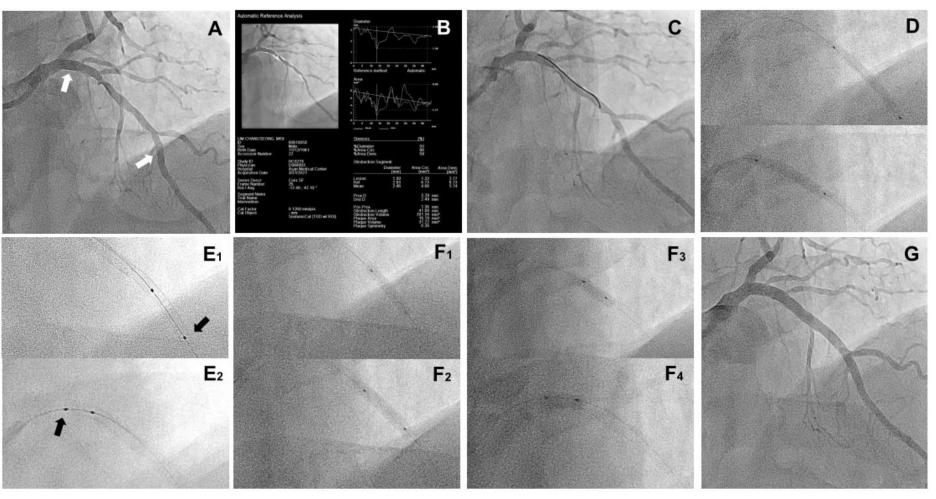
Topper terrer on the only

1	
2	
3	
4	
5	
6	
7 8	
8	
0	
8 9 10 11	
10	
11	
12	
12	
13	
14	
15	
16	
17	
17	
18	
19	
20	
21	
21 22 23	
23	
24	
27	
25	
26	
27	
28	
20	
29	
30	
31	
32	
33	
34	
35	
35 36	
50	
37 38	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
53	
54	
55	
56	
57	
58	
50	

59 60 **Supplemental Table 1.** Adjusted QCA values (target diameters) of the reference segments derived from the QCA measurements

Measured value	Target diameter	Measured value	Target diameter
≤ 3.5mm	+ 10%	3.6–3.9mm	+ 6~9%
2.0	2.2	3.6	3.92
2.1	2.31	3.7	4.0
2.2	2.42	3.8	4.07
2.3	2.53	3.9	4.13
2.4	2.64	≥ 4.0mm	+ 5%
2.5	2.75	4.0	4.2
2.6	2.86	4.1	4.31
2.7	2.97	4.2	4.41
2.8	3.08	4.3	4.52
2.9	3.19	4.4	4.62
3.0	3.3	4.5	4.73
3.1	3.41	4.6	4.83
3.2	3.52	4.7	4.94
3.3	3.63	4.8	5.04
3.4	3.74	4.9	5.15
3.5	3.85	5.0	5.25

BMJ Open



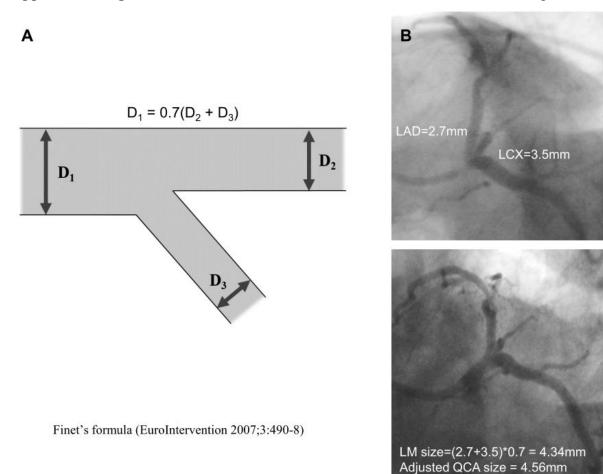
Supplemental Figure 1. Representative case of QCA-guided PCI

A) Baseline angiogram and identification of the distal and proximal reference segments (arrows), B) QCA measurement of reference diameters (distal reference 2.49mm, proximal reference 3.29mm) and calculation of the adjusted QCA sizes (target diameters: distal reference 2.74mm, proximal reference 3.62mm), C) Estimation of lesion length using 30mm radiopaque tip of the guidewire (about 49mm), D) Stent selection (Orsiro Mission 2.5×26mm stent, Orsiro Mission

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

BMJ Open

3.0×26mm stent) and delivery, followed by balloon inflation up to target diameter of the distal reference segment (distal stent, ballooning up to 2.76mm at 15atm; proximal stent, ballooning up to 3.34mm at 16atm), E) Positioning the radiopaque maker of noncompliant balloons over stent edges guided by stent boost imaging (arrows: E1, distal stent edge; E2, proximal stent edge), F) Multiple high-pressure balloon dilation using NC balloons to achieve minimal residual stenosis guided by stent boost imaging: distal stent edge (F1: FORCETM NC [2.75×15mm], ballooning up to 2.75mm at 12atm), in-stent (F2: FORCETM NC [2.75×15mm], ballooning up to 3.11mm at 26atm; F3: NEONTM NC [3.5×10 mm], ballooning up to 3.44mm at 10atm), and proximal stent edge (F4: NEONTM NC [3.5×10 mm], ballooning up to 3.65mm at 18atm), G) Final angiogram with minimal residual stenosis and smooth transition between the stent edges and the reference segments. Atm, IS COTOMARY atmosphere; NC, noncompliant; PCI, percutaneous coronary intervention; QCA, quantitative coronary angiography.



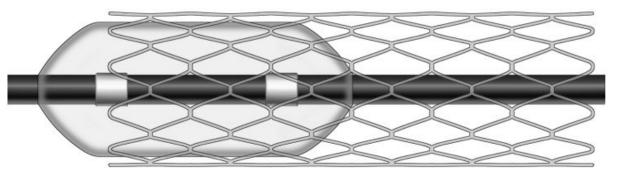
Supplemental Figure 2. Estimation of the main branch size without normal-looking area

A) If there is no reference zone of the main branch at a bifurcation site, its size is estimated by Finet's formula. B) Angiograms estimating diameter of the LM coronary artery without normal-looking area: distal LM diameter = (diameter of the proximal LAD + diameter of the proximal LCX) \times 0.7. Right upper panel, LM coronary artery stenosis without normal-looking area. Right lower panel, angiogram after LM coronary artery stenting based on the adjusted QCA size.

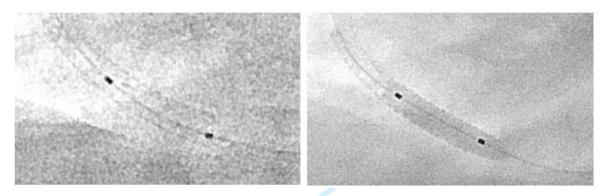
D, diameter; LAD, left anterior descending coronary artery; LCX, left circumflex coronary artery; LM, left main; QCA, quantitative coronary angiography.

Supplemental Figure 3. Positioning the radiopaque maker of noncompliant balloon over stent edge for optimization of the stent edges

Α



в



A) Schematic illustration, B) Stent boost image. Post-dilations of the proximal and distal stent edges are separately performed up to each target diameters using high-pressure noncompliant balloons.



Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and

provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRITreporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Gøtzsche PC, Altman DG, Mann H, Berlin J, Dickersin K, Hróbjartsson A,

Schulz KF, Parulekar WR, Krleža-Jerić K, Laupacis A, Moher D. SPIRIT 2013 Explanation and

Elaboration: Guidance for protocols of clinical trials. BMJ. 2013;346:e7586

Reporting Item

Page

Number

Administrative

information

Title

#1 Descriptive title identifying the study design, population, 1
 interventions, and, if applicable, trial acronym

Page 37 of 45

BMJ Open

1 2 3 4 5 6 7 8 9 10 11 12 13 14	Trial registration	<u>#2a</u>	Trial identifier and registry name. If not yet registered, name of intended registry	2
	Trial registration:	<u>#2b</u>	All items from the World Health Organization Trial	2
	data set		Registration Data Set	
	Protocol version	<u>#3</u>	Date and version identifier	16
15 16 17	Funding	<u>#4</u>	Sources and types of financial, material, and other support	20
18 19			Support	
20 21 22	Roles and	<u>#5a</u>	Names, affiliations, and roles of protocol contributors	1,20
22 23 24	responsibilities:			
25 26	contributorship			
27 28 29 30 31 32 33 34 35 36	Roles and	<u>#5b</u>	Name and contact information for the trial sponsor	5
	responsibilities:			
	sponsor contact			
	information			
37 38 39	Roles and	<u>#5c</u>	Role of study sponsor and funders, if any, in study	5
40 41	responsibilities:		design; collection, management, analysis, and	
42 43	sponsor and funder		interpretation of data; writing of the report; and the	
44 45			decision to submit the report for publication, including	
46 47 48			whether they will have ultimate authority over any of	
49 50			these activities	
51 52 53	Roles and	<u>#5d</u>	Composition, roles, and responsibilities of the	15
54 55	responsibilities:		coordinating centre, steering committee, endpoint	
56 57 58	committees		adjudication committee, data management team, and	
59 60	For	r peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2 3			other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	
4 5 7 8 9 10 11 12	Introduction			
	Background and	<u>#6a</u>	Description of research question and justification for	4
	rationale		undertaking the trial, including summary of relevant	
13 14			studies (published and unpublished) examining benefits	
15 16 17			and harms for each intervention	
18 19	Background and	<u>#6b</u>	Explanation for choice of comparators	5
20 21 22	rationale: choice of			
22 23 24	comparators			
25 26 27 28	Objectives	47	Specific chiestives or hypotheses	14
	Objectives	<u>#7</u>	Specific objectives or hypotheses	14
29 30 31	Trial design	<u>#8</u>	Description of trial design including type of trial (eg,	5
32 33			parallel group, crossover, factorial, single group),	
34 35			allocation ratio, and framework (eg, superiority,	
36 37			equivalence, non-inferiority, exploratory)	
38 39 40	Methods:			
41 42	Participants,			
43 44 45	interventions, and			
46 47 48 49 50	outcomes			
	Study setting	<u>#9</u>	Description of study settings (eg, community clinic,	9
51 52 53			academic hospital) and list of countries where data will be	
55 54 55			collected. Reference to where list of study sites can be	
56 57			obtained	
58 59 60	Fe	or peer rev	riew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Eligibility criteria	<u>#10</u>	Inclusion and exclusion criteria for participants. If	6-7
3 4			applicable, eligibility criteria for study centres and	
5 6			individuals who will perform the interventions (eg,	
7 8 9 10			surgeons, psychotherapists)	
11 12	Interventions:	<u>#11a</u>	Interventions for each group with sufficient detail to allow	9-10
13 14	description		replication, including how and when they will be	
15 16 17			administered	
18 19 20	Interventions:	<u>#11b</u>	Criteria for discontinuing or modifying allocated	11
20 21 22	modifications		interventions for a given trial participant (eg, drug dose	
23 24			change in response to harms, participant request, or	
25 26 27			improving / worsening disease)	
28 29	Interventions:	<u>#11c</u>	Strategies to improve adherence to intervention protocols,	9-11
30 31	adherance		and any procedures for monitoring adherence (eg, drug	
32 33 34			tablet return; laboratory tests)	
35 36				
37 38	Interventions:	<u>#11d</u>	Relevant concomitant care and interventions that are	11-12
39 40	concomitant care		permitted or prohibited during the trial	
41 42 43	Outcomes	<u>#12</u>	Primary, secondary, and other outcomes, including the	12-13
43 44 45			specific measurement variable (eg, systolic blood	
46 47			pressure), analysis metric (eg, change from baseline, final	
48 49			value, time to event), method of aggregation (eg, median,	
50 51			proportion), and time point for each outcome. Explanation	
52 53 54			of the clinical relevance of chosen efficacy and harm	
55 56			outcomes is strongly recommended	
57 58				
59 60	Fc	or peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Participant timeline	<u>#13</u>	Time schedule of enrolment, interventions (including any	14
3 4			run-ins and washouts), assessments, and visits for	
5 6 7			participants. A schematic diagram is highly recommended	
7 8 9			(see Figure)	
10 11 12	Sample size	<u>#14</u>	Estimated number of participants needed to achieve	14
13 14			study objectives and how it was determined, including	
15 16 17			clinical and statistical assumptions supporting any sample	
17 18 19			size calculations	
20 21 22	Recruitment	<u>#15</u>	Strategies for achieving adequate participant enrolment to	15-16
23 24			reach target sample size	
25 26 27	Methods:			
28 29	Assignment of			
30 31 32	interventions (for			
33 34	controlled trials)			
33 34 35 36 37	controlled trials) Allocation: sequence	<u>#16a</u>	Method of generating the allocation sequence (eg,	9
33 34 35 36 37 38 39		<u>#16a</u>	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any	9
33 34 35 36 37 38 39 40 41	Allocation: sequence	<u>#16a</u>	O	9
 33 34 35 36 37 38 39 40 41 42 43 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any	9
 33 34 35 36 37 38 39 40 41 42 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a	9
 33 34 35 36 37 38 39 40 41 42 43 44 45 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg,	9
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that	9
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 	Allocation: sequence generation		computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign	9 9
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 	Allocation: sequence generation		computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 	Allocation: sequence generation Allocation		computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions Mechanism of implementing the allocation sequence (eg,	

1			sealed envelopes), describing any steps to conceal the	
2 3 4			sequence until interventions are assigned	
5 6 7	Allocation:	<u>#16c</u>	Who will generate the allocation sequence, who will enrol	9
, 8 9	implementation		participants, and who will assign participants to	
10 11			interventions	
12 13 14	Blinding (masking)	<u>#17a</u>	Who will be blinded after assignment to interventions (eg,	9
15 16			trial participants, care providers, outcome assessors, data	
17 18 19			analysts), and how	
20 21 22	Blinding (masking):	<u>#17b</u>	If blinded, circumstances under which unblinding is	9
22 23 24	emergency		permissible, and procedure for revealing a participant's	
25 26	unblinding		allocated intervention during the trial	
27 28	Matha das Data			
29 30 31 32 33 34 35 36 37	Methods: Data			
	collection,			
	management, and			
	analysis			
38 39	Data collection plan	<u>#18a</u>	Plans for assessment and collection of outcome,	14
40 41 42			baseline, and other trial data, including any related	
43 44			processes to promote data quality (eg, duplicate	
45 46			measurements, training of assessors) and a description	
47 48			of study instruments (eg, questionnaires, laboratory tests)	
49 50 51			along with their reliability and validity, if known. Reference	
52 53			to where data collection forms can be found, if not in the	
54 55			protocol	
56 57				
58 59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Page 42 of 45

1 2	Data collection plan:	<u>#18b</u>	Plans to promote participant retention and complete	14
3 4	retention		follow-up, including list of any outcome data to be	
5 6 7			collected for participants who discontinue or deviate from	
7 8 9 10			intervention protocols	
11 12	Data management	<u>#19</u>	Plans for data entry, coding, security, and storage,	14
13 14			including any related processes to promote data quality	
15 16 17			(eg, double data entry; range checks for data values).	
17 18 19			Reference to where details of data management	
20 21			procedures can be found, if not in the protocol	
22 23	Statistics: outcomes	#20a	Statistical methods for analysing primary and secondary	14-15
24 25 26		<u></u>	outcomes. Reference to where other details of the	1110
20 27 28			statistical analysis plan can be found, if not in the protocol	
29 30				
31 32	Statistics: additional	<u>#20b</u>	Methods for any additional analyses (eg, subgroup and	14-15
33 34 35	analyses		adjusted analyses)	
36 37	Statistics: analysis	<u>#20c</u>	Definition of analysis population relating to protocol non-	14
38 39	population and		adherence (eg, as randomised analysis), and any	
40 41 42	missing data		statistical methods to handle missing data (eg, multiple	
42 43 44			imputation)	
45 46	Methods: Monitoring			
47 48	Methods. Monitoring			
49 50	Data monitoring:	<u>#21a</u>	Composition of data monitoring committee (DMC);	15-16
51 52 53	formal committee		summary of its role and reporting structure; statement of	
54 55			whether it is independent from the sponsor and	
56 57			competing interests; and reference to where further	
58 59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Page 43 of 45

BMJ Open

1 2			details about its charter can be found, if not in the	
3 4			protocol. Alternatively, an explanation of why a DMC is	
5 6 7			not needed	
8 9	Data monitoring:	<u>#21b</u>	Description of any interim analyses and stopping	15-16
10 11	interim analysis		guidelines, including who will have access to these	
12 13			interim results and make the final decision to terminate	
14 15 16 17			the trial	
18 19	Harms	<u>#22</u>	Plans for collecting, assessing, reporting, and managing	15-16
20 21			solicited and spontaneously reported adverse events and	
22 23			other unintended effects of trial interventions or trial	
24 25 26			conduct	
27 28 29	Auditing	<u>#23</u>	Frequency and procedures for auditing trial conduct, if	15-16
30 31			any, and whether the process will be independent from	
32 33			investigators and the sponsor	
34 35	Ethics and			
36 37 38	dissemination			
39 40				
41 42	Research ethics	<u>#24</u>	Plans for seeking research ethics committee / institutional	16
43 44 45	approval		review board (REC / IRB) approval	
46 47	Protocol	<u>#25</u>	Plans for communicating important protocol modifications	16
48 49	amendments		(eg, changes to eligibility criteria, outcomes, analyses) to	
50 51			relevant parties (eg, investigators, REC / IRBs, trial	
52 53 54			participants, trial registries, journals, regulators)	
55 56				
57 58				
59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Consent or assent	<u>#26a</u>	Who will obtain informed consent or assent from potential	6,9
		trial participants or authorised surrogates, and how (see	
		Item 32)	
Consent or assent:	<u>#26b</u>	Additional consent provisions for collection and use of	6,9
ancillary studies		participant data and biological specimens in ancillary	
		studies, if applicable	
Confidentiality	<u>#27</u>	How personal information about potential and enrolled	16
		participants will be collected, shared, and maintained in	
		order to protect confidentiality before, during, and after	
		the trial	
Declaration of	<u>#28</u>	Financial and other competing interests for principal	20
interests		investigators for the overall trial and each study site	
Data access	<u>#29</u>	Statement of who will have access to the final trial	16
		dataset, and disclosure of contractual agreements that	
		limit such access for investigators	
Ancillary and post	<u>#30</u>	Provisions, if any, for ancillary and post-trial care, and for	15-16
trial care		compensation to those who suffer harm from trial	
		participation	
Dissemination policy:	<u>#31a</u>	Plans for investigators and sponsor to communicate trial	16
trial results		results to participants, healthcare professionals, the	
		public, and other relevant groups (eg, via publication,	
		reporting in results databases, or other data sharing	
		arrangements), including any publication restrictions	
Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	
	Consent or assent: ancillary studies Confidentiality Declaration of interests Data access Data access Ancillary and post trial care Dissemination policy: trial results	Consent or assent: ancillary studies#26bConfidentiality#27Declaration of interests#28Data access#29Ancillary and post trial care#30Dissemination policy: trial results#31a	trial participants or authorised surrogates, and how (see Item 32)Consent or assent:#26Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicableConfidentiality#27How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trialDeclaration of interests#28Financial and other competing interests for principal investigators for the overall trial and each study siteData access#29Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigatorsAncillary and post trial care#30Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participationDissemination policy:#31aPlans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing

Dissemination policy:	<u>#31b</u>	Authorship eligibility guidelines and any intended use of	16
authorship		professional writers	
Dissemination policy:	<u>#31c</u>	Plans, if any, for granting public access to the full	16
reproducible		protocol, participant-level dataset, and statistical code	
research			
Appendices			
Informed consent	<u>#32</u>	Model consent form and other related documentation	NA
materials		given to participants and authorised surrogates	
Biological specimens	<u>#33</u>	Plans for collection, laboratory evaluation, and storage of	NA
		biological specimens for genetic or molecular analysis in	
		the current trial and for future use in ancillary studies, if	
		applicable	
None The SPIRIT Expla	anation	and Elaboration paper is distributed under the terms of the C	Creative
Commons Attribution Li	icense (CC-BY-NC. This checklist can be completed online using	
https://www.goodreport	<u>s.org/</u> , a		
Penelope.ai			
Fo	r peer revi	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	
	authorship Dissemination policy: reproducible research Appendices Informed consent materials Biological specimens None The SPIRIT Expla Commons Attribution Li https://www.goodreport	authorship Dissemination policy: #31c reproducible research Appendices Informed consent #32 materials Biological specimens #33 None The SPIRIT Explanation Commons Attribution License of https://www.goodreports.org/, a Penelope.ai	Dissemination policy: #31c Plans, if any, for granting public access to the full reproducible protocol, participant-level dataset, and statistical code research Appendices Informed consent #32 Informed consent #32 Model consent form and other related documentation given to participants and authorised surrogates Biological specimens #33 Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable None The SPIRIT Explanation and Elaboration paper is distributed under the terms of the C Commons Attribution License CC-BY-NC. This checklist can be completed online using https://www.goodreports.org/, a tool made by the EQUATOR Network in collaboration with

BMJ Open

Quantitative coronary angiography versus intravascular ultrasound guidance for drug-eluting stent implantation (GUIDE-DES): study protocol for a randomised controlled non-inferiority trial

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-052215.R1
Article Type:	Protocol
Date Submitted by the Author:	17-Sep-2021
Complete List of Authors:	Lee, Pil Hyung; Asan Medical Center, Cardiology Hong, Soon Jun; Korea University Anam Hospital, Department of Cardiology Kim, Hyun-Sook Yoon, Young won Lee, Jong-Young Oh, Seung-Jin Kang, Soo-Jin; Asan Medical Center, Cardiology Kim, Young-Hak; Asan Medical Center, Cardiology Park, Seong-Wook; Asan Medical Center, Cardiology Lee, Seung-Whan; Asan Medical Center, Cardiology Lee, Cheol Whan; Asan Medical Center, Cardiology
Primary Subject Heading :	Cardiovascular medicine
Secondary Subject Heading:	Cardiovascular medicine, Patient-centred medicine
Keywords:	Coronary heart disease < CARDIOLOGY, Coronary intervention < CARDIOLOGY, Ischaemic heart disease < CARDIOLOGY

SCHOLARONE[™] Manuscripts

BMJ Open

Quantitative coronary angiography versus intravascular ultrasound guidance for drug-eluting stent implantation (GUIDE-DES): study protocol for a randomised controlled non-inferiority trial

Pil Hyung Lee¹, MD; Soon Jun Hong², MD; Hyun-Sook Kim³, MD; Young won Yoon⁴, MD; Jong-Young Lee⁵, MD; Seung-Jin Oh⁶, MD; Soo-Jin Kang¹, MD, Young-Hak Kim¹, MD; Seong-Wook Park¹, MD, Seung-Whan Lee¹, MD; Cheol-Whan Lee¹; The GUIDE-DES Trial Research Group

¹Division of Cardiology, Department of Internal Medicine, Asan Medical Center, University of Ulsan College of Medicine, Seoul, Korea; ²Department of Cardiology, Cardiovascular Center, Korea University Anam Hospital, Seoul, Korea; ³Department of Cardiology, Hallym University Sacred Heart Hospital, Anyang, Korea; ⁴Division of Cardiology, Gangnam Severance Hospital, Yonsei University College of Medicine, Seoul, Korea; ⁵Division of Cardiology, Department of Internal Medicine, Kangbuk Samsung Hospital, Sungkyunkwan University School of Medicine, Seoul, Korea; ⁶Department of Cardiology, National Health Insurance Service Ilsan Hospital, Gyeonggi-do, Korea

Keywords: coronary artery disease, intravascular ultrasound, percutaneous coronary

intervention, quantitative coronary angiography

Total word count: 3,735

Address for correspondence:

Drs. Cheol Whan Lee and Seung-Whan Lee

Division of Cardiology, Department of Internal Medicine, Asan Medical Center, University

of Ulsan, 88, Olympic-ro 43-gil, Songpa-gu, Seoul 138-736, Korea

Tel: +82-2-3010-3150; Fax: +82-2-486-5918

E-mail: cheolwlee@amc.seoul.kr and seungwlee@amc.seoul.kr

ABSTRACT

Introduction: Angiography remains the gold standard for guiding percutaneous coronary intervention (PCI). However, it is prone to suboptimal stent results due to the visual estimation of coronary measurements. Although the benefit of intravascular ultrasound (IVUS)–guided PCI is becoming increasingly recognised, IVUS is not affordable for many catheterisation laboratories. Thus, a more practical and standardised angiography-based approach is necessary to support stent implantation.

Methods and analysis: The Quantitative Coronary Angiography versus Intravascular Ultrasound Guidance for Drug-Eluting Stent Implantation (GUIDE-DES) trial is a randomised, investigator-initiated, multi-centre, open-label, non-inferiority trial comparing the quantitative coronary angiography (QCA)–guided PCI strategy with IVUS-guided PCI in all-comer patients with significant coronary artery disease. A novel, standardized, QCA-based PCI protocol for the QCA-guided group will be provided to all participating operators, while the PCI optimisation criteria will be predefined for both strategies. A total of 1,528 patients will be randomised to either group at a 1:1 ratio. The primary endpoint is the 12-month cumulative incidence of target-lesion failure defined as a composite of cardiac death, target-vessel myocardial infarction, or ischaemia-driven target-lesion revascularisation. Clinical follow-up assessments are scheduled at 1, 6, and 12 months for all patients enrolled in the study.

Ethics and dissemination: Ethics approval for this study was granted by the Institutional Review Board of Asan Medical Center (no. 2017-0060). Informed consent will be obtained from every participant. The study findings will be published in peer-reviewed journal articles and disseminated through public forums and academic conference presentations. Cost-effectiveness and secondary imaging analyses will be shared in secondary papers.

BMJ Open

4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16 17	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
- 38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	

60

Clinical Trial Registration: Clinicaltrials.gov (identifier: NCT02978456)

Article Summary

Strengths and limitations of this study

- For the first time, the GUIDE-DES trial will evaluate the potential of standardized QCAbased PCI algorithm into clinical context.
- > A practical protocol of QCA-guided PCI has been developed for the trial.
- The trial uses a pragmatic design with inclusion criteria designed to capture a broad range of real-world patients with diverse clinical and anatomical features.
- Bias in event ascertainment may not be ruled out given the open-label trial design.

INTRODUCTION

Intravascular ultrasound (IVUS) is a useful tool for assessing pre-intervention lesion characteristics and optimising stent implantation.¹ Randomised trials evaluating the utility of IVUS for guiding percutaneous coronary intervention (PCI) with drug-eluting stents (DES) over the conventional angiography-based PCI reported conflicting results. Some studies showed better outcomes in patients undergoing IVUS-guided PCI than in those undergoing angiography-guided PCI.²⁻⁷ while others showed comparable outcomes between the two strategies.⁸⁻¹⁰ In a meta-analysis of these trials, IVUS-guided PCI, by using established criteria for optimising stent deployment, was associated with a reduction in major adverse cardiac events.¹¹⁻¹⁴ However, in these trials, angiography guidance was based on visual estimation, and high-pressure post-dilation with a non-compliant balloon was not routinely used after DES implantation. The visual assessment of coronary artery lesions has a high degree of variability, leading to improper stent sizing with suboptimal stent expansion.¹⁵ Although the benefit of IVUS-guided PCI is increasingly recognised, its adoption remains low worldwide.¹⁶ The real barrier to implementing an IVUS program in daily PCI practice is its high cost.¹⁷ IVUS is not affordable for many catheterisation laboratories and patients, particularly in developing countries. Thus, a more practical and standardised algorithmic approach to supporting coronary measurement is necessary. On-line quantitative coronary angiography (QCA) is available at every catheterisation laboratory and enables a reliable assessment of lumen diameter without any additional cost.^{18,19} Coronary sizing by on-site QCA may overcome the limitations of visual estimation and aid in deploying the proper DES size.

It is well established that post-procedural minimal lumen diameter determined by angiography, which correlates with the final minimal stent area (MSA) on IVUS, is the key determinant of DES failure.^{20,21} Undersizing lumen diameter by visual estimation often leads

BMJ Open

to the selection of a smaller DES, and the lack of high-pressure post-dilatation with a noncompliant balloon is frequently related to post-procedural residual stenosis.²² DES failure is attributable not to the angiography guidance itself but rather to the suboptimal results associated with underestimated stent sizing by visual estimation and lack of adequate highpressure post-dilatation. We hypothesised that choosing the appropriate DES size by a novel on-site QCA-based algorithm and routine incorporation of high-pressure post-dilation with an adequately sized non-compliant balloon may attenuate the disadvantage of the traditional angiography-guided PCI.

METHODS AND ANALYSIS

Study overview and objectives

The Quantitative Coronary Angiography versus Intravascular Ultrasound GUIDancE for Drug-Eluting Stent Implantation (GUIDE-DES) trial is a prospective, multi-centre, openlabelled, randomised comparison trial. This trial is investigator-initiated with grant support from Biotronik (Bülach, Switzerland). Otherwise, the company will not be involved in any aspect of the study process, including the protocol development, site selection, data collection, or data analysis. This study is based on the principles outlined in the Declaration of Helsinki. The primary aim of the trial is to determine whether an on-site QCA-based strategy for PCI guidance is valid for preventing device-oriented events compared with the IVUS-based PCI strategy in all-comer patients who require revascularisation therapy for significant coronary artery disease. The primary analysis will be a non-inferiority comparison of the two strategies for the primary end point of target-lesion failure. The study design is shown in **Figure 1**.

Study population and randomisation

All consecutive patients with significant native coronary artery disease suitable for DES implantation will be screened for study entry. Patients meeting all the eligibility criteria and providing written informed consent will be included in the study. We will not impose restrictions regarding the clinical diagnosis (chronic or acute coronary syndrome) or location, length, or numbers of lesions to validate the QCA-based PCI algorithm in various PCI-indicated patients. However, we will exclude bypass graft lesions, for which QCA is less established, and lesions where IVUS delivery is deemed to be impaired (extreme angulation or tortuosity, heavy calcification proximal to or within the target lesion). Detailed information on the inclusion and exclusion criteria is provided in **Table 1**.

Table 1. Inclusion and exclusion criteria	

Inclusion Criteria			
1.	Man or woman at least 18 years of age		
2	Typical chest pain or objective evidence of myocardial ischaemia		
3.	Significant stenotic lesions in native coronary arteries [*] suitable for DES implantation		
4	The patient or guardian agrees to the study protocol and the schedule of clinical follow-up and provides written informed consent as approved by the appropriate Institutional Review Board/Ethical Committee of the respective clinical site.		
Exclusion Criteria			
1	 Angiographic exclusion criteria: 1) Bypass graft lesions 2) Lesions in which impaired delivery of IVUS is expected: - Extreme angulation (≥90°) proximal to or within the target lesion. 		

1	
ว	
2	
3	
4	
4 5	
5	
6	
6 7	
'	
8	
9	
10	
10	
11	
12	
12	
13	
14	
1 Г	
15	
16	
17	
10	
18	
19	
20	
20	
21	
22	
- วว	
9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30	
24	
25	
26	
26	
27	
28	
20	
29	
30	
31	
21	
32	
33	
24	
34 35	
35	
36 37	
27	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	
60	

	- Excessive tortuosity (two \geq 45° angles) proximal to or within the target lesion.
	- Heavy calcification proximal to or within the target lesion.
2	Previous PCI within 6 months before the index procedure
3	Previous bioresorbable vascular scaffold implantation
4	Left ventricular ejection fraction < 30%
	Hypersensitivity or contraindication to the device material and its degradants and cobalt,
5	chromium, nickel, platinum, tungsten, acrylic and fluoro polymers that cannot be
	adequately pre-medicated.
6	Persistent thrombocytopenia (platelet count < 100,000/µL)
7	Any history of haemorrhagic stroke or intracranial haemorrhage, transient ischemic attack,
	or ischemic stroke within the past 6 months
8	A known intolerance to antiplatelet agents (aspirin, clopidogrel, prasugrel, or ticagrelor)
	Any surgery requiring discontinuation of aspirin and/or use of a P2Y12 inhibitor planned
9	within 12 months after the procedure.
10	A diagnosis of cancer (other than superficial squamous or basal cell skin cancer) in the
10	past 3 years or current treatment for the active cancer.
	Any clinically significant abnormality identified at the screening visit, physical
11	examination, laboratory tests, or electrocardiogram which, in the judgment of the
	investigator, would preclude safe completion of the study.
12	A hepatic disease or biliary tract obstruction, or significant hepatic enzyme elevation
12	(alanine transaminase or aspartate transaminase > 3 times the upper limit of normal).
13	Life expectancy < 1 year for any non-cardiac or cardiac causes
14	Unwillingness or inability to comply with the procedures described in this protocol.
15	Pregnant, breast-feeding, or child-bearing potential.
[

DES, drug-eluting stent; IVUS, intravascular ultrasound; PCI, percutaneous coronary intervention. *At least 70% diameter stenosis on visual estimation, or 50–69% diameter stenosis with objective evidence of ischaemia (positive noninvasive stress test or fractional flow reserve ≤ 0.8)

for peer teriew only

Page 9 of 45

BMJ Open

Each patient will receive oral and written information and be required to provide written informed consent at the time of enrolment. Patients will be randomised in a 1:1 ratio to undergo a QCA-guided strategy or an IVUS-guided strategy immediately after the guidewire crosses the culprit lesion. The allocation of the study participants will proceed through an Interactive Web Response System with a permutated block size of six. A total of 1,528 patients will be enrolled from six high-volume PCI centres in Korea.

Study procedure

QCA-guided strategy

A PCI protocol for the QCA-guided group is summarised in Figure 2, and a representative case is illustrated in Supplemental Figure 1. An algorithm for the reference segments' diameter adjustment in this trial was developed based on the previous reports comparing lumen measurements between QCA and IVUS.^{18,23} Applying this, angiograms of vessels that are adequately filled with contrast should be obtained after administering intracoronary nitroglycerin (250–500 µg). The best image that corresponds to the lesion location with the least foreshortening should be selected. Lumen diameters are measured at the optimal proximal and distal reference segments by on-site QCA using the automatic calibration software embedded in the angiography systems. If multiple measurements of QCA are performed in different views, it is recommended that the largest value be used for the target diameter calculation. The following formula derives the adjusted QCA value (target diameter) to guide stent selection and deployment: Adjusted QCA value = measured QCA value + 5–10% of the measured QCA value. Specifically, the percentage number multiplied for the adjustment varies according to the measured QCA value: 10% for QCA values ≤ 3.5 mm, 9% for 3.6 mm, 8% for 3.7 mm, 7% for 3.8 mm, 6% for 3.9 mm, and 5% for QCA values \geq 4.0 mm. A simple calculation table that can practically be used in the catheterisation

lab will be provided to the participating centres (**Supplemental Table 1**). For diffuse disease without a normal-looking segment for the QCA measurement at a bifurcation site, the use of Finet's formula is recommended to estimate the reference lumen diameter of the main branch if applicable (**Supplemental Figure 2**).²⁴

The stent size is then selected based on the calculated target diameter of the distal reference segment. The stent length is visually estimated with the aid of a radiopaque guidewire tip (30 mm) for long lesions or an uninflated balloon (15 or 20 mm) for short lesions. During stent deployment, the stent balloon should be inflated up to the pressure corresponding to the distal reference segment's target diameter. Post-stenting optimisation is mandatory using a non-compliant balloon of proper size considering the target diameter of the proximal and distal reference segment. Proximal and distal edge optimisation is performed in which the radiopaque marker of a non-compliant balloon is positioned over the stent edge and the balloon dilated up to the target diameters (Supplemental Figure 3). Multiple balloon dilations within the stent should be performed until adequate stent expansion is achieved, preferably assessed by stent boost subtract imaging. If the result is considered suboptimal, the use of a step-up approach with upsizing post-dilations (previous ballooning size + about 0.2 mm) is recommended. In patients receiving additional stent implantation to treat a dissection at the distal stent edge, post-dilation of the overlapping zone with a balloon adequately sized to the proximal stent is needed to eliminate inter-stent malapposition at the overlapping site. This QCA-based PCI algorithm is applicable to main epicardial arteries and side branches and can also be used for the 2-stent technique. The ideal final result would be a harmonious appearance between the reference segment and the stent without dissection and minimal residual stenosis (<10%) on angiography.²⁵

IVUS-guided strategy

Page 11 of 45

BMJ Open

IVUS can be iteratively used at any step of PCI. After the intracoronary injection of nitroglycerin, the 40-MHz IVUS catheter (Boston Scientific Corporation, Natick, MA, USA) is advanced more than 5 mm distal to the target lesion and withdrawn at a motorised pullback speed of 0.5 mm/s. Balloon dilatation at the target lesion is allowed to facilitate the IVUS catheter passage if necessary. Stent size and length are determined by the online IVUS measurements. The stent size nearest the distal reference segment's lumen diameter is selected, and the stent length is decided by measuring the distance from the distal to proximal reference sites. During stent deployment, the stent balloon should be inflated up to the pressure corresponding to the mid-wall (or lumen) diameter of the distal reference segment. Adjunctive high-pressure balloon dilation using a noncompliant balloon is left to the operator's discretion based on the IVUS findings. It is mandatory to perform IVUS after PCI to assess stent optimisation. The IVUS criteria for stent optimisation in this trial are as follows: 1) in-stent minimal lumen cross-sectional area > distal reference segment's lumen cross-sectional area; 2) complete stent apposition; and 3) no significant proximal or distal edge dissection (media dissection, dissection angle $\geq 60^{\circ}$, or dissection length > 2 mm).^{3,26,27} If the IVUS-defined optimal criteria are not met, additional procedures are needed.

Study stent and medical treatment

Biodegradable polymer sirolimus-eluting stents (Orsiro or Orsiro Mission, Bülach, Switzerland, Biotronik) will be used in both trial arms. Optimal angioplasty requires compliance with precise guidelines for adjunctive pharmacological therapy. Unless pretreated, all patients should be administered aspirin 300 mg and P2Y12 inhibitors (clopidogrel 600 mg, ticagrelor 180 mg, prasugrel 60 mg) before PCI. Unfractionated heparin must be administered before and during the procedure to maintain an activated clotting time greater than 250 seconds. According to the clinical indication and procedural complexity,

dual antiplatelet agents will be prescribed for at least 6–12 months following PCI at the discretion of the attending physician, and either aspirin (100 mg once daily) or clopidogrel (75 mg once daily) will be continued indefinitely thereafter.

Other pharmacological treatments must be optimised early after randomisation in accordance with the established standards of practice.^{28,29} Statins should be prescribed in all patients during the study period. Beta-blockers, calcium channel blockers, or long-acting nitrates alone or in combination can be used as anti-ischemic therapy. An angiotensin-converting enzyme inhibitor or an angiotensin-receptor blocker is considered for secondary prevention. Blood pressure and diabetic control are emphasised. Patients should receive counselling about smoking cessation, weight control, and regular exercise.

Study endpoints and follow-up

The primary endpoint is the 12-month cumulative incidence of target-lesion failure defined as a composite of cardiac death, target-vessel myocardial infarction, or ischaemia-driven targetlesion revascularisation. Secondary endpoints are the rates of all-cause death, myocardial infarction, definite or probable stent thrombosis, stroke, target-lesion revascularisation, and any revascularisation at 12 months and procedural success (**Table 2**). A cost-effectiveness comparison of QCA- versus IVUS-guided DES implantation will be performed independently. Procedural success is defined as the achievement of final in-stent residual stenosis of less than 30% by QCA of at least one stent at the intended target lesion and successful withdrawal of the delivery system for all target lesions without the occurrence of cardiac death, target-vessel myocardial infarction, or repeat target-lesion revascularisation during the hospital stay. All deaths will be considered cardiac unless an unequivocal noncardiac cause can be established. Specifically, any unexpected death even in patients with coexisting potentially fatal non-cardiac disease (e.g., cancer, infection) is classified as

BMJ Open

cardiac. The diagnosis of periprocedural myocardial infarction is based on the diagnostic criteria from the Society for Cardiovascular Angiography and Interventions.³⁰ The diagnosis of spontaneous myocardial infarction is based on criteria proposed by the Third Universal Definition of Myocardial Infarction.³¹ Stroke is defined as focal loss of neurologic function caused by an ischemic or haemorrhagic event, with residual symptoms lasting at least 24 hours or leading to death. Target-lesion revascularisation is defined as any repeat PCI of the target lesion or bypass surgery of the target vessel performed for restenosis or other complication of the target lesion.

Table 2. Primary and secondary endpoints

Primary endpoint

• Target-lesion failure (composite of cardiac death, target vessel myocardial infarction, or ischaemia-driven target lesion revascularisation) at 12 months after randomisation

Secondary endpoints

- Procedural success
- Death at 12 months
- Myocardial infarction at 12 months
- iez onz • Stent thrombosis (definite/probable) at 12 months
- Stroke at 12 months
- Target-lesion revascularisation at 12 months
- Any revascularisation at 12 months
- Economic (cost effectiveness) analysis at 12 months

Clinical follow-up assessments will be scheduled via clinical visits or telephone interviews at 1, 6, and 12 months for all patients enrolled in the study. Medical history will be obtained, while a physical examination and basic laboratory tests will be performed at each visit. Data collected during the follow-up visits will include ischemic symptoms, bleeding complications, and major adverse cardiac events, including re-hospitalisation and recatheterisation. Angiographic and IVUS images will be collected at the core laboratory of Asan Medical Center and analysed offline by experts blinded to clinical data.

Statistical analysis

 This trial will test the hypothesis that QCA-guided PCI is non-inferior to IVUS-guided PCI concerning the primary end point of cardiac death, target-vessel myocardial infarction, or ischaemia-driven target vessel revascularisation at 12 months. Based on previous reports of real-world patients without restrictions regarding the clinical diagnosis; lesion number, severity, or location; or number of stents used,^{32 33} we estimated that the incidence of the primary endpoint 12 months after the index procedure would be 8% in the IVUS-guided PCI group. Using a noninferiority margin of 3.5% in accordance with the noninferiority margins used in contemporary trials of DES and considering a 5% of attrition rate, we estimated that with a total of 1,528 patients, the study would provide 80% power to show noninferiority on the basis of the likelihood-score method by Farrington and Manning at a one-sided 0.025 level.^{34,35}

The analyses will be performed according to the intention-to-treat principle. A secondary per-protocol analysis will be performed to assess the effect of treatment crossovers or unanticipated problems that could dilute treatment differences of interest. Continuous variables will be presented as mean and standard deviation, while categorical variables will be shown as numbers and percentages. Intergroup differences will be evaluated by Student's

Page 15 of 45

BMJ Open

t-test or the Wilcoxon rank sum test for continuous variables and by Pearsons's x^2 test or Fisher's exact test for categorical variables as appropriate. Cumulative event rates and survival curves will be generated using the Kaplan-Meier method, while intergroup differences will be compared by the log-rank test. Follow-up will be censored at the date of the last follow-up or at 1 year, whichever comes first. Cox's proportional hazards regression analyses will be conducted to estimate the risk associated with the QCA-guided PCI strategy relative to that with the IVUS-guided PCI strategy. The proportional hazards assumption about the assigned treatments will be tested with the Schoenfeld residuals test. A two-sided P value < 0.05 will indicate significance. SAS software version 9.3 (SAS Institute, Cary, NC, USA) will be used for all the statistical analyses.

Trial organisation

The members of the executive committee include the principal investigators of the investigating centres and the persons who organised this study. The committee approved the final trial design and protocol issued to the Data and Safety Monitoring Board (DSMB) and the clinical sites. The committee will be responsible for reviewing the final results, determining the methods of presentation and publication, and selecting secondary projects and publications by members of the steering committee. An independent DSMB committee, headed by Sung Cheol Yun, will receive information on rates of death, myocardial infarction, and major bleeding and will make recommendations based on the analyses of safety data, protocol deviation, IVUS failures, and 30-day follow-up reports. The DSMB chairperson will notify the data coordinating centre of any safety or compliance issues. The committee will also provide confidential recommendations as necessary of study termination based on the safety stopping rules determined at the study onset or when a clinically significant result is identified in safety analyses of the data. This study will not be stopped early based on

efficacy results. The executive committee has right to the final decision to stop the study prematurely based on DSMB recommendations. All DSMB reports will remain strictly confidential, but will be made available to the regulatory body upon request. The centralised Clinical Events Committee (CEC) is made up of interventional and non-interventional cardiologists who are not participants in the study. The CEC develops specific criteria used to categorise clinical events in the study that are based on the protocol. At the trial onset, the CEC will establish clear rules outlining the minimum amount of data required and the algorithm followed to classify a clinical event. All members of the CEC will be blinded to the primary results of the trial. Data coordination and site management services will be performed by the Clinical Research Center of Asan Medical Center, Seoul, Korea.

Patient and public involvement

There was no patient or public involvement in this trial.

Ethical approval and dissemination

The study protocol was approved by the internal review board of Asan Medical Center, Seoul, Korea (no. 2017-0060) and each participating centre. The current protocol version is 3.2 date 11 March 2021. Informed consent will be obtained from every participant by study personnel. The GUIDE-DES trial has been registered at ClinicalTrials.gov (study identifier no. NCT02978456). The authors are solely responsible for this study's design and conduct, all study analyses, manuscript drafting and editing, and final manuscript contents. The study findings will be published in peer-reviewed journal articles and disseminated through public forums and academic conference presentations. Cost-effectiveness and secondary imaging analyses will be shared in secondary papers.

Current status

The anticipated duration of the study is approximately 48 months, including the enrolment period of 30 months and the follow-up period of 12 months. The first patient was enrolled on 23 February 2017, and 1,338 patients were recruited at the end of March 2021. Although patient inclusion has been delayed due to the ongoing COVID-19 outbreak, enrolment is expected to end by September 2021. The primary result of the GUIDE-DES trial will be available by late 2022 or early 2023.

DISCUSSION

With IVUS guidance, acute stent placement can be optimised toward more significant stent expansion and fewer stent edge problems based on the reliable information about vessel size, plaque burden, suboptimal stent deployment, and procedure-related complications. To date, 10 randomised trials have compared IVUS-guided DES implantation with conventional angiographical guidance. In the IVUS group of one trial, the achievement of a minimum stent cross-sectional area greater than the distal reference lumen with IVUS guidance was associated with a 2.9% rate of 1-year major adverse cardiac events versus 5.8% (P = 0.007) with angiography guidance.³ Another large-scale trial showed that by achieving an MSA > 5.0 mm² and avoiding geographic miss, IVUS guidance significantly reduced the rate of target-vessel failure at 1 year.⁷ However, despite the accumulating evidence supporting the use of IVUS to improve outcomes after PCI, its use continues to be infrequent worldwide, mostly because of the inaccessibility related to high device cost or image interpretation inexperience.³⁶ Thus, an overlooked unmet need regarding PCI is to find a way to improve outcomes of DES in a typical circumstance when IVUS is not available. An important step forward would be developing a method to overcome the drawback of conventional

angiography-guided PCI. Our study has incorporated QCA into clinical context and developed a novel size selection algorithm based on the QCA measurement, which standardizes the angiography-based PCI procedure to select an appropriately sized stent or balloon without significant intra- or interindividual variability.

Previous randomised trials did not provide an objective guide or definition for stent optimisation for the angiography-guided group. Using visual assessment, interventionists tend to choose undersized stents and perform less aggressive post-dilation, leading to suboptimal immediate results and an increased risk of target-lesion failure.³⁷ QCA has been used to provide quantitative measures of angiography, mostly in clinical studies. The advantage of QCA over visual estimation is that its measurements are objective and relatively reproducible. Furthermore, QCA is easy to use without co-registration or additional cost and is available at every catheterisation laboratory. Unfortunately, it is not commonly used to guide PCI in real-world practice. This trial will test the utility of real-time QCA guidance for PCI with a goal of incorporating core laboratory experiences into daily clinical practice.

In the PROSPECT substudy, there was a strong correlation between minimal lumen diameters on QCA and IVUS, with underestimation in relatively small arteries (<3.8 mm) and overestimation in larger arteries (>3.8 mm) with an excellent correlation (r = 0.89, p < 0.001).²³ Optical coherence tomography (OCT) accurately measures lumen diameters because it produces high-resolution images that are identical to the actual values. The OPUS-CLASS study showed that QCA underestimates lumen diameters by 5% compared with OCT, whereas IVUS overestimates lumen diameters by 8% compared with OCT.¹⁸ Therefore in the present study, we planned to differentially adjust the measured QCA values by 5–10% to estimate the reference segment's lumen diameter. Inadequate filling of the vessels with contrast media and coronary artery spasms lead to underestimation of the accurate lumen diameters. Thus, taking images of vessels filled with contrast medium after nitroglycerin

Page 19 of 45

BMJ Open

injection is recommended to overcome measurement errors. QCA should be repeated if the coronary lumen dimension increases after pre-dilation of severely stenotic lesions. The American College of Cardiology/American Heart Association guideline recommends a minimum residual percent diameter stenosis of <10% by visual estimation after stent implantation, and this criterion as stent optimisation was adopted in the QCA-guided arm in our study. The concept of "the bigger, the better" remains valid in the DES era. Contemporary thin-strut DES may have weaker radial strength and greater recoil with a smaller lumen area, requiring the need for high-pressure post-dilation to achieve optimal PCI results.³⁷ Stent boost subtract imaging allows clear visualisation of the stents and reliable detection of stent underexpansion.³⁸ Routine high-pressure post-dilation, preferably guided by stent boost subtract imaging, will likely lead to minimal residual diameter stenosis with a low risk of edge problems.^{22,39} The GUIDE-DES trial will explore whether incorporating these angiography-based technical considerations into a standardised PCI algorithm may be an acceptable alternative to IVUS-guided PCI in terms of device-oriented PCI outcomes.

The success of using QCA for real-time PCI guidance may have significant future implications along with the development of artificial intelligence technologies. A robust deep learning model has already been proposed to automatically segment the major vessels on coronary angiography.⁴⁰ With this technique, the image processing time can be minimised with less manual correction, allowing immediate QCA analysis on the operator screen in the catheterisation room. Thus, diagnosis with 3-D QCA could be utilised for PCI by combining the 2-D QCA of multiple angiograms.⁴¹ Further investigations of IVUS-based machine learning algorithms may lead to outcomes similar to those with IVUS guidance after QCA-guided PCI.

Acknowledgments: We thank all members of this trial group for their ideas, suggestions, participation, and general assistance. This paper was edited for language by Editage (www.editage.co.kr).

Author Contributions: PHL, SWL, and CWL developed the trial concept and wrote the protocol and the manuscript of the protocol publication. SJH, HSK, YWY, JYL, SJO, SJK, YHK, and SWP helped to develop the trial concept and revised the manuscript critically for important intellectual content.

Sources of Funding: This study is funded by an unrestricted grant from Biotronik, Bülach, Switzerland (G1709). Conflict of interest: None

	REFERENCES
1.	Hibi K, Kimura K, Umemura S. Clinical utility and significance of intravascular
	ultrasound and optical coherence tomography in guiding percutaneous coronary
	interventions. Circ J 2015;79:24-33.
2.	Chieffo A, Latib A, Caussin C, et al. A prospective, randomized trial of intravascula
	ultrasound guided compared to angiography guided stent implantation in complex
	coronary lesions: the AVIO trial. Am Heart J 2013;165:65-72.
3.	Hong SJ, Kim BK, Shin DH, et al. Effect of Intravascular Ultrasound-Guided vs
	Angiography-Guided Everolimus-Eluting Stent Implantation: The IVUS-XPL
	Randomized Clinical Trial. JAMA 2015;314:2155-63.
ŀ.	Tian NL, Gami SK, Ye F, et al. Angiographic and clinical comparisons of
	intravascular ultrasound- versus angiography-guided drug-eluting stent implantatio
	for patients with chronic total occlusion lesions: two-year results from a randomise
	AIR-CTO study. EuroIntervention 2015;10:1409-17.
5.	Tan Q, Wang Q, Liu D, et al. Intravascular ultrasound-guided unprotected left main
	coronary artery stenting in the elderly. Saudi Med J 2015;36:549-53.
5.	Mariani J, Jr., Guedes C, Soares P, et al. Intravascular ultrasound guidance to
	minimize the use of iodine contrast in percutaneous coronary intervention: the
	MOZART (Minimizing cOntrast utiliZation With IVUS Guidance in coRonary
	angioplasTy) randomized controlled trial. JACC Cardiovasc Interv 2014;7:1287-9
7.	Zhang J, Gao X, Kan J, et al. Intravascular Ultrasound Versus Angiography-Guide
	Drug-Eluting Stent Implantation: The ULTIMATE Trial. J Am Coll Cardiol
	2018;72:3126-37.

3	
4	
5	
6	
7	
/	
8	
9	
10	
11	
12	
13	
14	
15	
16	
16 17	
17	
18	
19	
20	
21	
22	
23	
24	
25	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
25	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
44 45	
46	
47	
48	
49	
50	
51	
52	
53	
55 54	
55	
56	
57	
58	
59	
60	

> Jakabcin J, Spacek R, Bystron M, et al. Long-term health outcome and mortality evaluation after invasive coronary treatment using drug eluting stents with or without the IVUS guidance. Randomized control trial. HOME DES IVUS. *Catheter Cardiovasc Interv* 2010;75:578-83.

> 9. Kim JS, Kang TS, Mintz GS, et al. Randomized comparison of clinical outcomes between intravascular ultrasound and angiography-guided drug-eluting stent implantation for long coronary artery stenoses. *JACC Cardiovasc Interv* 2013;6:369-76.

 Kim BK, Shin DH, Hong MK, et al. Clinical Impact of Intravascular Ultrasound-Guided Chronic Total Occlusion Intervention With Zotarolimus-Eluting Versus Biolimus-Eluting Stent Implantation: Randomized Study. *Circ Cardiovasc Interv* 2015;8:e002592.

 Steinvil A, Zhang YJ, Lee SY, et al. Intravascular ultrasound-guided drug-eluting stent implantation: An updated meta-analysis of randomized control trials and observational studies. *Int J Cardiol* 2016;216:133-9.

- Elgendy IY, Mahmoud AN, Elgendy AY, et al. Outcomes With Intravascular
 Ultrasound-Guided Stent Implantation: A Meta-Analysis of Randomized Trials in the
 Era of Drug-Eluting Stents. *Circ Cardiovasc Interv* 2016;9:e003700.
- Shin DH, Hong SJ, Mintz GS, et al. Effects of Intravascular Ultrasound-Guided Versus Angiography-Guided New-Generation Drug-Eluting Stent Implantation: Meta-Analysis With Individual Patient-Level Data From 2,345 Randomized Patients. JACC Cardiovasc Interv 2016;9:2232-9.
- Bavishi C, Sardar P, Chatterjee S, et al. Intravascular ultrasound-guided vs angiography-guided drug-eluting stent implantation in complex coronary lesions: Meta-analysis of randomized trials. *Am Heart J* 2017;185:26-34.

BMJ Open

2 3	15.	Campbell PT, Mahmud E, Marshall JJ. Interoperator and intraoperator (in)accuracy of
4 5		
6 7		stent selection based on visual estimation. Catheter Cardiovasc Interv 2015;86:1177-
8 9		83.
10 11	16.	Smilowitz NR, Mohananey D, Razzouk L, et al. Impact and trends of intravascular
12 13		imaging in diagnostic coronary angiography and percutaneous coronary intervention
14 15 16		in inpatients in the United States. Catheter Cardiovasc Interv 2018;92:E410-E5.
17 18	17.	Mintz GS, Guagliumi G. Intravascular imaging in coronary artery disease. Lancet
19 20		2017;390:793-809.
21 22	18.	Kubo T, Akasaka T, Shite J, et al. OCT compared with IVUS in a coronary lesion
23 24 25		assessment: the OPUS-CLASS study. JACC Cardiovasc Imaging 2013;6:1095-104.
26 27	19.	Sotomi Y, Onuma Y, Suwannasom P, et al. Is quantitative coronary angiography
28 29		reliable in assessing the lumen gain after treatment with the everolimus-eluting
30 31		bioresorbable polylactide scaffold? <i>EuroIntervention</i> 2016;12:e998-e1008.
32 33 34	20.	Mercado N, Boersma E, Wijns W, et al. Clinical and quantitative coronary
35 36		angiographic predictors of coronary restenosis: a comparative analysis from the
37 38		balloon-to-stent era. J Am Coll Cardiol 2001;38:645-52.
39 40	21.	Cassese S, Byrne RA, Tada T, et al. Incidence and predictors of restenosis after
41 42 43		coronary stenting in 10 004 patients with surveillance angiography. Heart
44 45		2014;100:153-9.
46 47	22.	Romagnoli E, Sangiorgi GM, Cosgrave J, et al. Drug-eluting stenting: the case for
48 49		post-dilation. JACC Cardiovasc Interv 2008;1:22-31.
50 51 52	23.	Goto K, Mintz GS, Litherland C, et al. Lumen Measurements From Quantitative
52 53 54		Coronary Angiography and IVUS: A PROSPECT Substudy. JACC Cardiovasc
55 56		Imaging 2016;9:1011-3.
57 58		
59 60		23

24. Finet G, Gilard M, Perrenot B, et al. Fractal geometry of arterial coronary bifurcations: a quantitative coronary angiography and intravascular ultrasound analysis. *EuroIntervention* 2008;3:490-8.

- 25. Levine GN, Bates ER, Blankenship JC, et al. 2011 ACCF/AHA/SCAI Guideline for Percutaneous Coronary Intervention. A report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines and the Society for Cardiovascular Angiography and Interventions. *J Am Coll Cardiol* 2011;58:e44-122.
- 26. Choi SY, Witzenbichler B, Maehara A, et al. Intravascular ultrasound findings of early stent thrombosis after primary percutaneous intervention in acute myocardial infarction: a Harmonizing Outcomes with Revascularization and Stents in Acute Myocardial Infarction (HORIZONS-AMI) substudy. *Circ Cardiovasc Interv* 2011;4:239-47.
- 27. Cheneau E, Leborgne L, Mintz GS, et al. Predictors of subacute stent thrombosis: results of a systematic intravascular ultrasound study. *Circulation* 2003;108:43-7.
- 28. Amsterdam EA, Wenger NK, Brindis RG, et al. 2014 AHA/ACC guideline for the management of patients with non-ST-elevation acute coronary syndromes: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines. *Circulation* 2014;130:e344-426.
- 29. Fihn SD, Gardin JM, Abrams J, et al. 2012

ACCF/AHA/ACP/AATS/PCNA/SCAI/STS guideline for the diagnosis and management of patients with stable ischemic heart disease: executive summary: a report of the American College of Cardiology Foundation/American Heart Association task force on practice guidelines, and the American College of Physicians, American Association for Thoracic Surgery, Preventive Cardiovascular

BMJ Open

2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49 50	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	
60	

Nurses Association, Society for Cardiovascular Angiography and Interventions, and Society of Thoracic Surgeons. *Circulation* 2012;126:3097-137.

- 30. Moussa ID, Klein LW, Shah B, et al. Consideration of a new definition of clinically relevant myocardial infarction after coronary revascularization: an expert consensus document from the Society for Cardiovascular Angiography and Interventions (SCAI). *Catheter Cardiovasc Interv* 2014;83:27-36.
- 31. Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. *Circulation* 2012;126:2020-35.
- 32. Silber S, Windecker S, Vranckx P, et al. Unrestricted randomised use of two new generation drug-eluting coronary stents: 2-year patient-related versus stent-related outcomes from the RESOLUTE All Comers trial. *Lancet* 2011;377:1241-7.
- 33. Park DW, Kim YH, Song HG, et al. Outcomes after unrestricted use of everolimuseluting and sirolimus-eluting stents in routine clinical practice: a multicenter, prospective cohort study. *Circ Cardiovasc Interv* 2012;5:365-71.
- 34. Farrington CP, Manning G. Test statistics and sample size formulae for comparative binomial trials with null hypothesis of non-zero risk difference or non-unity relative risk. *Stat Med* 1990;9:1447-54.
- 35. Ellis SG, Kereiakes DJ, Metzger DC, et al. Everolimus-Eluting Bioresorbable Scaffolds for Coronary Artery Disease. *N Engl J Med* 2015;373:1905-15.
- 36. Elgendy IY, Ha LD, Elbadawi A, et al. Temporal Trends in Inpatient Use of Intravascular Imaging Among Patients Undergoing Percutaneous Coronary Intervention in the United States. *JACC Cardiovasc Interv* 2018;11:913-5.
- 37. de Ribamar Costa J, Jr., Mintz GS, Carlier SG, et al. Intravascular ultrasound assessment of drug-eluting stent expansion. *Am Heart J* 2007;153:297-303.

- Mishell JM, Vakharia KT, Ports TA, et al. Determination of adequate coronary stent expansion using StentBoost, a novel fluoroscopic image processing technique. *Catheter Cardiovasc Interv* 2007;69:84-93.
 Brodie BR, Cooper C, Jones M, et al. Is adjunctive balloon postdilatation necessary after coronary stent deployment? Final results from the POSTIT trial. *Catheter Cardiovasc Interv* 2003;59:184-92.
 Yang S, Kweon J, Roh JH, et al. Deep learning segmentation of major vessels in Xray coronary angiography. *Sci Rep* 2019;9:16897.
 Tu S, Xu L, Ligthart J, et al. In vivo comparison of arterial lumen dimensions
 - assessed by co-registered three-dimensional (3D) quantitative coronary angiography, intravascular ultrasound and optical coherence tomography. *Int J Cardiovasc Imaging* 2012;28:1315-27.

3	
4	
5	
6	
6 7	
8	
9	
10	
11	
12	
13	
11	
12 13 14 15 16 17	
15	
16	
17	
18	
19	
20	
- 21	
22	
23	
23	
24	
25	
26	
27	
28	
29	
30	
31	
21	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	

60

FIGURE LEGENDS

Figure 1. Study flow chart

BVS, bioresorbable vascular scaffold; CAD, coronary artery disease; DES, drug-eluting

stent; ID-TLR, ischaemia-driven target-lesion revascularisation; IVUS, intravascular

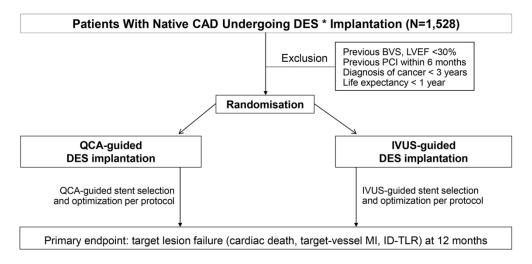
ultrasound; LVEF, left ventricular ejection fraction; MI, myocardial infarction; PCI,

percutaneous coronary intervention; QCA, quantitative coronary angiography

*Sirolimus-eluting Orsiro or Orsiro Mission stents were used in this trial.

Figure 2. Outline of the QCA-guided PCI strategy

PCI, percutaneous coronary intervention; QCA, quantitative coronary angiography



Study flow chart

Design by angiogram

- obtain the best angiographic images adequately filled with contrast media

- identify the landing zones (normal or normal-looking area)

Sizing by QCA

- measure the lumen diameter at the reference segments by QCA
- calculate the adjusted QCA diameter (target diameter)
- = measured QCA value + $5 \sim 10\%$ of the measured QCA value

Finish by post-dilation

- Stent selection & deployment: choose the stent size to reach the target diameter of the distal reference segment and inflate the stent balloon up to the target diameter

- Stent optimization at its edge and within the stent: high-pressure post-dilation to achieve minimal residual stenosis (diameter stenosis<10%) assessed by stent boost imaging

Outline of the QCA-guided PCI strategy

Supplemental Material Online

This Supplementary data has been provided by the authors to give readers additional information about their work.

Supplemental Table 1. Adjusted QCA values (target diameters) of the reference segments derived from the QCA measurements

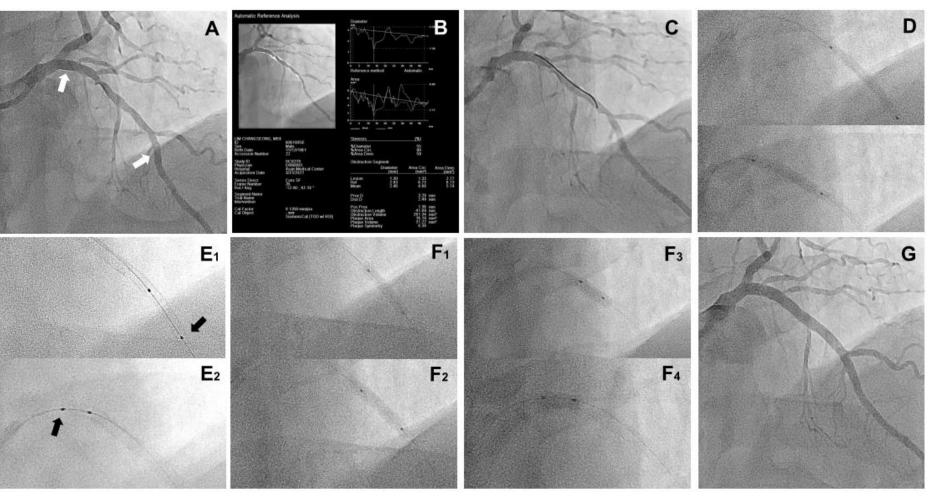
Supplemental Figure 1. Representative case of QCA-guided PCISupplemental Figure 2. Estimation of the main branch size without normal-looking areaSupplemental Figure 3. Stent edge optimization

rocerterier ont

1	
2	
3	
4	
5	
6	
7	
/	
8	
9	
10	
11	
12	
13	
15	
14	
15	
16	
10	
17	
18	
19	
12	
20	
21	
22	
22	
23	
24	
25	
26	
26	
27	
28	
20	
29	
30	
31	
22	
32	
33	
34	
25	
35 36	
36	
27	
38	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
50	

59 60 **Supplemental Table 1.** Adjusted QCA values (target diameters) of the reference segments derived from the QCA measurements

Measured value	Target diameter	Measured value	Target diameter
≤ 3.5mm	+ 10%	3.6–3.9mm	+ 6~9%
2.0	2.2	3.6	3.92
2.1	2.31	3.7	4.0
2.2	2.42	3.8	4.07
2.3	2.53	3.9	4.13
2.4	2.64	≥ 4.0mm	+ 5%
2.5	2.75	4.0	4.2
2.6	2.86	4.1	4.31
2.7	2.97	4.2	4.41
2.8	3.08	4.3	4.52
2.9	3.19	4.4	4.62
3.0	3.3	4.5	4.73
3.1	3.41	4.6	4.83
3.2	3.52	4.7	4.94
3.3	3.63	4.8	5.04
3.4	3.74	4.9	5.15
3.5	3.85	5.0	5.25

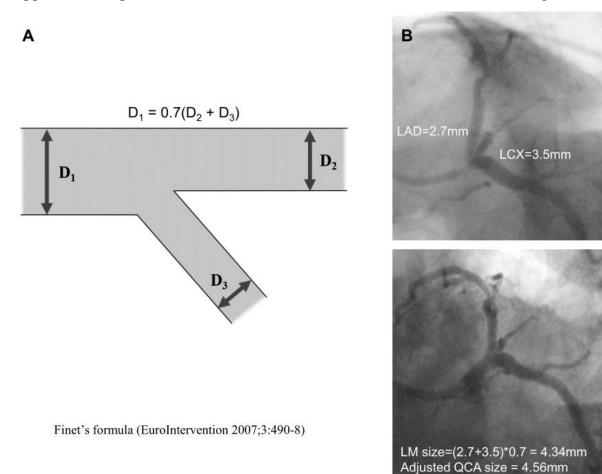


Supplemental Figure 1. Representative case of QCA-guided PCI

A) Baseline angiogram and identification of the distal and proximal reference segments (arrows), B) QCA measurement of reference diameters (distal reference 2.49mm, proximal reference 3.29mm) and calculation of the adjusted QCA sizes (target diameters: distal reference 2.74mm, proximal reference 3.62mm), C) Estimation of lesion length using 30mm radiopaque tip of the guidewire (about 49mm), D) Stent selection (Orsiro Mission 2.5×26mm stent, Orsiro Mission

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

3.0×26mm stent) and delivery, followed by balloon inflation up to target diameter of the distal reference segment (distal stent, ballooning up to 2.76mm at 15atm; proximal stent, ballooning up to 3.34mm at 16atm), E) Positioning the radiopaque maker of noncompliant balloons over stent edges guided by stent boost imaging (arrows: E1, distal stent edge; E2, proximal stent edge), F) Multiple high-pressure balloon dilation using NC balloons to achieve minimal residual stenosis guided by stent boost imaging: distal stent edge (F1: FORCETM NC [2.75×15mm], ballooning up to 2.75mm at 12atm), in-stent (F2: FORCETM NC [2.75×15mm], ballooning up to 3.11mm at 26atm; F3: NEONTM NC [3.5×10 mm], ballooning up to 3.44mm at 10atm), and proximal stent edge (F4: NEONTM NC [3.5×10 mm], ballooning up to 3.65mm at 18atm), G) Final angiogram with minimal residual stenosis and smooth transition between the stent edges and the reference segments. Atm, ma . s coronary intervenue. atmosphere; NC, noncompliant; PCI, percutaneous coronary intervention; QCA, quantitative coronary angiography.



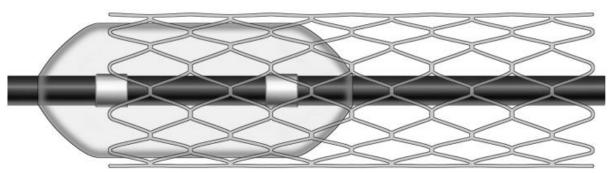
Supplemental Figure 2. Estimation of the main branch size without normal-looking area

A) If there is no reference zone of the main branch at a bifurcation site, its size is estimated by Finet's formula. B) Angiograms estimating diameter of the LM coronary artery without normal-looking area: distal LM diameter = (diameter of the proximal LAD + diameter of the proximal LCX) \times 0.7. Right upper panel, LM coronary artery stenosis without normal-looking area. Right lower panel, angiogram after LM coronary artery stenting based on the adjusted QCA size.

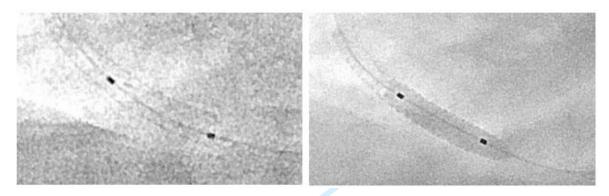
D, diameter; LAD, left anterior descending coronary artery; LCX, left circumflex coronary artery; LM, left main; QCA, quantitative coronary angiography.

Supplemental Figure 3. Positioning the radiopaque maker of noncompliant balloon over stent edge for optimization of the stent edges

Α



в



A) Schematic illustration, B) Stent boost image. Post-dilations of the proximal and distal stent edges are separately performed up to each target diameters using high-pressure noncompliant balloons.



Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and

provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRITreporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Gøtzsche PC, Altman DG, Mann H, Berlin J, Dickersin K, Hróbjartsson A,

Schulz KF, Parulekar WR, Krleža-Jerić K, Laupacis A, Moher D. SPIRIT 2013 Explanation and

Elaboration: Guidance for protocols of clinical trials. BMJ. 2013;346:e7586

Reporting Item

Page

Number

Administrative

information

Title

#1 Descriptive title identifying the study design, population, 1
 interventions, and, if applicable, trial acronym

Page 37 of 45

1 2 3 4 5	Trial registration	<u>#2a</u>	Trial identifier and registry name. If not yet registered, name of intended registry	2
5 6 7 8	Trial registration:	<u>#2b</u>	All items from the World Health Organization Trial	2
9 10	data set		Registration Data Set	
11 12 13 14	Protocol version	<u>#3</u>	Date and version identifier	16
15 16 17	Funding	<u>#4</u>	Sources and types of financial, material, and other support	20
18 19			Support	
20 21 22	Roles and	<u>#5a</u>	Names, affiliations, and roles of protocol contributors	1,20
22 23 24	responsibilities:			
25 26	contributorship			
27 28 29	Roles and	<u>#5b</u>	Name and contact information for the trial sponsor	5
30 31	responsibilities:			
32 33 34	sponsor contact			
35 36	information			
37 38 39	Roles and	<u>#5c</u>	Role of study sponsor and funders, if any, in study	5
40 41	responsibilities:		design; collection, management, analysis, and	
42 43	sponsor and funder		interpretation of data; writing of the report; and the	
44 45			decision to submit the report for publication, including	
46 47 48			whether they will have ultimate authority over any of	
49 50			these activities	
51 52 53	Roles and	<u>#5d</u>	Composition, roles, and responsibilities of the	15
54 55	responsibilities:		coordinating centre, steering committee, endpoint	
56 57 58	committees		adjudication committee, data management team, and	
59 60	For	r peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2 3			other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	
4 5 6 7	Introduction			
8 9 10	Background and	<u>#6a</u>	Description of research question and justification for	4
11 12	rationale		undertaking the trial, including summary of relevant	
13 14			studies (published and unpublished) examining benefits	
15 16 17			and harms for each intervention	
18 19	Background and	<u>#6b</u>	Explanation for choice of comparators	5
20 21 22	rationale: choice of			
22 23 24	comparators			
25 26	Objectives	47	Specific chiestives or hypotheses	14
27 28	Objectives	<u>#7</u>	Specific objectives or hypotheses	14
29 30 31	Trial design	<u>#8</u>	Description of trial design including type of trial (eg,	5
32 33			parallel group, crossover, factorial, single group),	
34 35			allocation ratio, and framework (eg, superiority,	
36 37			equivalence, non-inferiority, exploratory)	
38 39 40	Methods:			
41 42	Participants,			
43 44 45	interventions, and			
46 47 48	outcomes			
49 50	Study setting	<u>#9</u>	Description of study settings (eg, community clinic,	9
51 52 53			academic hospital) and list of countries where data will be	
55 54 55			collected. Reference to where list of study sites can be	
56 57			obtained	
58 59 60	Fe	or peer rev	riew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Eligibility criteria	<u>#10</u>	Inclusion and exclusion criteria for participants. If	6-7
3 4			applicable, eligibility criteria for study centres and	
5 6			individuals who will perform the interventions (eg,	
7 8 9 10			surgeons, psychotherapists)	
11 12	Interventions:	<u>#11a</u>	Interventions for each group with sufficient detail to allow	9-10
13 14	description		replication, including how and when they will be	
15 16 17			administered	
18 19 20	Interventions:	<u>#11b</u>	Criteria for discontinuing or modifying allocated	11
20 21 22	modifications		interventions for a given trial participant (eg, drug dose	
23 24			change in response to harms, participant request, or	
25 26 27			improving / worsening disease)	
28 29	Interventions:	<u>#11c</u>	Strategies to improve adherence to intervention protocols,	9-11
30 31	adherance		and any procedures for monitoring adherence (eg, drug	
32 33 34			tablet return; laboratory tests)	
35 36				
37 38	Interventions:	<u>#11d</u>	Relevant concomitant care and interventions that are	11-12
39 40	concomitant care		permitted or prohibited during the trial	
41 42 43	Outcomes	<u>#12</u>	Primary, secondary, and other outcomes, including the	12-13
43 44 45			specific measurement variable (eg, systolic blood	
46 47			pressure), analysis metric (eg, change from baseline, final	
48 49			value, time to event), method of aggregation (eg, median,	
50 51			proportion), and time point for each outcome. Explanation	
52 53 54			of the clinical relevance of chosen efficacy and harm	
55 56			outcomes is strongly recommended	
57 58				
59 60	Fc	or peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Participant timeline	<u>#13</u>	Time schedule of enrolment, interventions (including any	14
3 4			run-ins and washouts), assessments, and visits for	
5 6 7			participants. A schematic diagram is highly recommended	
7 8 9			(see Figure)	
10 11 12	Sample size	<u>#14</u>	Estimated number of participants needed to achieve	14
13 14			study objectives and how it was determined, including	
15 16 17			clinical and statistical assumptions supporting any sample	
17 18 19			size calculations	
20 21 22	Recruitment	<u>#15</u>	Strategies for achieving adequate participant enrolment to	15-16
23 24			reach target sample size	
25 26 27	Methods:			
28 29	Assignment of			
30 31 32	interventions (for			
33 34	controlled trials)			
33 34 35 36 37	controlled trials) Allocation: sequence	<u>#16a</u>	Method of generating the allocation sequence (eg,	9
33 34 35 36 37 38 39		<u>#16a</u>	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any	9
33 34 35 36 37 38 39 40 41	Allocation: sequence	<u>#16a</u>	O	9
 33 34 35 36 37 38 39 40 41 42 43 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any	9
 33 34 35 36 37 38 39 40 41 42 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a	9
 33 34 35 36 37 38 39 40 41 42 43 44 45 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg,	9
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that	9
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 	Allocation: sequence generation		computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 	Allocation: sequence	<u>#16a</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign	9 9
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 	Allocation: sequence generation		computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	
 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 	Allocation: sequence generation Allocation		computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions Mechanism of implementing the allocation sequence (eg,	

1			sealed envelopes), describing any steps to conceal the	
2 3 4			sequence until interventions are assigned	
5 6 7	Allocation:	<u>#16c</u>	Who will generate the allocation sequence, who will enrol	9
, 8 9	implementation		participants, and who will assign participants to	
10 11			interventions	
12 13 14	Blinding (masking)	<u>#17a</u>	Who will be blinded after assignment to interventions (eg,	9
15 16			trial participants, care providers, outcome assessors, data	
17 18 19			analysts), and how	
20 21 22	Blinding (masking):	<u>#17b</u>	If blinded, circumstances under which unblinding is	9
22 23 24	emergency		permissible, and procedure for revealing a participant's	
25 26	unblinding		allocated intervention during the trial	
27 28	Matha das Data			
29 30	Methods: Data			
31 32 33	collection,			
34 35	management, and			
36 37	analysis			
38 39	Data collection plan	<u>#18a</u>	Plans for assessment and collection of outcome,	14
40 41 42			baseline, and other trial data, including any related	
43 44			processes to promote data quality (eg, duplicate	
45 46			measurements, training of assessors) and a description	
47 48			of study instruments (eg, questionnaires, laboratory tests)	
49 50 51			along with their reliability and validity, if known. Reference	
52 53			to where data collection forms can be found, if not in the	
54 55			protocol	
56 57				
58 59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Page 42 of 45

1 2	Data collection plan:	<u>#18b</u>	Plans to promote participant retention and complete	14
3 4	retention		follow-up, including list of any outcome data to be	
5 6 7			collected for participants who discontinue or deviate from	
7 8 9 10			intervention protocols	
11 12	Data management	<u>#19</u>	Plans for data entry, coding, security, and storage,	14
13 14			including any related processes to promote data quality	
15 16 17			(eg, double data entry; range checks for data values).	
17 18 19			Reference to where details of data management	
20 21			procedures can be found, if not in the protocol	
22 23	Statistics: outcomes	#20a	Statistical methods for analysing primary and secondary	14-15
24 25 26		<u></u>	outcomes. Reference to where other details of the	1110
20 27 28			statistical analysis plan can be found, if not in the protocol	
29 30				
31 32	Statistics: additional	<u>#20b</u>	Methods for any additional analyses (eg, subgroup and	14-15
33 34 35	analyses		adjusted analyses)	
36 37	Statistics: analysis	<u>#20c</u>	Definition of analysis population relating to protocol non-	14
38 39	population and		adherence (eg, as randomised analysis), and any	
40 41 42	missing data		statistical methods to handle missing data (eg, multiple	
42 43 44			imputation)	
45 46	Methods: Monitoring			
47 48	Methods. Monitoring			
49 50	Data monitoring:	<u>#21a</u>	Composition of data monitoring committee (DMC);	15-16
51 52 53	formal committee		summary of its role and reporting structure; statement of	
54 55			whether it is independent from the sponsor and	
56 57			competing interests; and reference to where further	
58 59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Page 43 of 45

1 2			details about its charter can be found, if not in the	
3 4			protocol. Alternatively, an explanation of why a DMC is	
5 6 7			not needed	
8 9	Data monitoring:	<u>#21b</u>	Description of any interim analyses and stopping	15-16
10 11	interim analysis		guidelines, including who will have access to these	
12 13			interim results and make the final decision to terminate	
14 15 16 17			the trial	
18 19	Harms	<u>#22</u>	Plans for collecting, assessing, reporting, and managing	15-16
20 21			solicited and spontaneously reported adverse events and	
22 23			other unintended effects of trial interventions or trial	
24 25 26			conduct	
27 28 29	Auditing	<u>#23</u>	Frequency and procedures for auditing trial conduct, if	15-16
30 31			any, and whether the process will be independent from	
32 33			investigators and the sponsor	
34 35	Ethics and			
36 37 38	dissemination			
39 40				
41 42	Research ethics	<u>#24</u>	Plans for seeking research ethics committee / institutional	16
43 44 45	approval		review board (REC / IRB) approval	
46 47	Protocol	<u>#25</u>	Plans for communicating important protocol modifications	16
48 49	amendments		(eg, changes to eligibility criteria, outcomes, analyses) to	
50 51			relevant parties (eg, investigators, REC / IRBs, trial	
52 53 54			participants, trial registries, journals, regulators)	
55 56				
57 58				
59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Consent or assent	<u>#26a</u>	Who will obtain informed consent or assent from potential	6,9
3 4			trial participants or authorised surrogates, and how (see	
5 6 7			Item 32)	
8 9 10	Consent or assent:	<u>#26b</u>	Additional consent provisions for collection and use of	6,9
11 12	ancillary studies		participant data and biological specimens in ancillary	
13 14 15			studies, if applicable	
16 17	Confidentiality	<u>#27</u>	How personal information about potential and enrolled	16
18 19 20			participants will be collected, shared, and maintained in	
20 21 22			order to protect confidentiality before, during, and after	
22 23 24 25			the trial	
26 27	Declaration of	<u>#28</u>	Financial and other competing interests for principal	20
28 29 30	interests		investigators for the overall trial and each study site	
31 32 33	Data access	<u>#29</u>	Statement of who will have access to the final trial	16
34 35			dataset, and disclosure of contractual agreements that	
36 37 38			limit such access for investigators	
39 40	Ancillary and post	<u>#30</u>	Provisions, if any, for ancillary and post-trial care, and for	15-16
41 42	trial care		compensation to those who suffer harm from trial	
43 44 45			participation	
46 47	Dissemination policy:	<u>#31a</u>	Plans for investigators and sponsor to communicate trial	16
48 49 50	trial results		results to participants, healthcare professionals, the	
50 51 52			public, and other relevant groups (eg, via publication,	
53 54			reporting in results databases, or other data sharing	
55 56			arrangements), including any publication restrictions	
57 58				
59 60	Foi	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Dissemination policy:	<u>#31b</u>	Authorship eligibility guidelines and any intended use of	16
authorship		professional writers	
Dissemination policy:	<u>#31c</u>	Plans, if any, for granting public access to the full	16
reproducible		protocol, participant-level dataset, and statistical code	
research			
Appendices			
Informed consent	<u>#32</u>	Model consent form and other related documentation	NA
materials		given to participants and authorised surrogates	
Biological specimens	<u>#33</u>	Plans for collection, laboratory evaluation, and storage of	NA
		biological specimens for genetic or molecular analysis in	
		the current trial and for future use in ancillary studies, if	
		applicable	
None The SPIRIT Expla	anation	and Elaboration paper is distributed under the terms of the C	Creative
Commons Attribution Li	icense (CC-BY-NC. This checklist can be completed online using	
https://www.goodreport	<u>s.org/</u> , a		
Penelope.ai			
Fo	r peer revi	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	
	authorship Dissemination policy: reproducible research Appendices Informed consent materials Biological specimens None The SPIRIT Expla Commons Attribution Li https://www.goodreport	authorship Dissemination policy: #31c reproducible research Appendices Informed consent #32 materials Biological specimens #33 None The SPIRIT Explanation Commons Attribution License of https://www.goodreports.org/, a Penelope.ai	Dissemination policy: #31c Plans, if any, for granting public access to the full reproducible protocol, participant-level dataset, and statistical code research Appendices Informed consent #32 Informed consent #32 Model consent form and other related documentation given to participants and authorised surrogates Biological specimens #33 Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable None The SPIRIT Explanation and Elaboration paper is distributed under the terms of the C Commons Attribution License CC-BY-NC. This checklist can be completed online using https://www.goodreports.org/, a tool made by the EQUATOR Network in collaboration with