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Investigating the day-to-day impact of hypoglycaemia in adults with type 1 or type 2 diabetes: design and validation protocol of the Hypo-METRICS application.

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Investigating the day-to-day impact of hypoglycaemia in adults with type 1 or type 2 diabetes: design and validation protocol of the Hypo-METRICS application.

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Abstract

Introduction: Hypoglycaemia is a frequent adverse event and major barrier for achieving optimal blood glucose levels in people with type 1 or type 2 diabetes using insulin. The Hypo-RESOLVE (Hypoglycaemia – Redefining SOLutions for better liVEs) consortium aims to further our understanding of the day-to-day impact of hypoglycaemia. The Hypo-METRICS (Hypoglycaemia MEasurement, ThResholds and ImpaCtS) application (app) is a novel app for smartphones. This app is developed as part of the Hypo-RESOLVE project, using ecological momentary assessment methods that will minimise re-call bias and allow for robust investigation of the day-to-day impact of hypoglycaemia. In this paper, the development and planned psychometric analyses of the app are described.

Methods and analysis: The three phases of development of the Hypo-METRICS app are: 1) establish a working group – comprising diabetologists, psychologists and people with diabetes – to define the problem and identify relevant areas of daily functioning; 2) develop app items, with user-testing, and app platform implementation; and 3) plan a large-scale, multi-country study including interviews with users and psychometric validation. The app includes seven modules (29 unique items) assessing: self-report of hypoglycaemic episodes (during the day and night respectively), sleep quality, well-being/cognitive function, social interactions, fear of hypo-/hyperglycaemia, and work/productivity. The app is designed for use within three fixed time intervals per day (morning, afternoon and evening). The first version was released mid-2020 for use in an international clinical observational longitudinal study (Hypo-METRICS study). As part of this study, semi-structured user-experience interviews and psychometric analyses will be conducted.

Ethics and dissemination: Use of the novel Hypo-METRICS app in a multi-country clinical study has received ethical approval in each of the five countries involved.

Keywords: hypoglycaemia, diabetes, quality of life, daily functioning, smartphone application, patient reported outcomes

Article summary

Strengths and limitations of this study

- The development of the Hypo-METRICS app is based on a strong multidisciplinary collaboration between psychologists, diabetologists and people with diabetes.
- The Hypo-METRICS app was designed for adults (aged ≥ 18 years) with diabetes using insulin; adaptations may be required for other groups.
- The Hypo-METRICS app will be used in a European multi-country clinical study, which will enable its psychometric properties to be examined.
- As the app is designed to require the user to complete multiple daily assessments, there is a risk of participant burden. Acceptability and user experience will be explored in the study.
- Use of the novel Hypo-METRICS app in conjunction with continuous glucose monitoring (CGM) will enable a detailed investigation of the day-to-day impact of hypoglycaemia on various areas of daily life, with minimal recall bias, and will yield a more thorough understanding of variation over time.

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Introduction:

Hypoglycaemia (low blood glucose) is an important and often burdensome side effect of insulin therapy for people with type 1 or type 2 diabetes (T1DM/T2DM) [1]. The reported frequency of severe hypoglycaemic episodes (where assistance of others is needed for recovery) has been estimated at 0.2-3.2 episodes per person per year among adults with T1DM and at 0.1-0.7 episodes per person per year in adults with insulin-treated T2DM [2]. Self-treated episodes are much more common, yet their prevalence is harder to quantify due to inconsistencies in definitions (symptom-based versus glucose level-based) and the fact that they can be overlooked [3]. The weekly prevalence has been estimated at 1-2 episodes in T1DM and 0.3-0.7 in T2DM [2]. Hypoglycaemia can be life-threatening [4], is increasingly being associated with a higher risk of future complications and cardiovascular events [4-11], and negatively impacts on psychological well-being [12] and quality of life (QoL) [4]. In order to manage their risk of hypoglycaemia, people with diabetes often adapt their diabetes management (e.g., reduce insulin doses, avoid physical activity, increase caloric intake), which can negatively impact on their HbA1c, or adapt their lifestyle (e.g., avoid being alone or situations in which hypoglycaemia may occur or cause embarrassment), which can negatively impact on their quality of life [13]. Hypoglycaemia is commonly seen as major barrier for achieving optimal blood glucose levels [14].

Many studies focusing on the personal impact of hypoglycaemia have not examined the temporal relationship between hypoglycaemia and its impact on sleep, mood, cognition, energy levels, social interactions and work-productivity, in non-clinical, real-life settings. The impact of hypoglycaemic episodes has typically been assessed retrospectively, with people self-reporting the typical or average impact over several weeks or months [15], which may be prone to under- or over-estimation due to reduced recall [16, 17]. Furthermore, retrospective assessments, by definition, cannot assess the immediate effect of each hypoglycaemic episode, including within-person fluctuations over time.

Ecological momentary assessment (EMA) offers the opportunity to overcome some of these limitations and complement insights from retrospective assessments. EMA is a method of collecting data (typically using portable devices such as smartphones) in real-world environments ("ecological"), addressing a current or very recent state ("momentary"), measured either randomly, at specific times, or in relation to specific events, with multiple assessments to follow variation over time and across situations [18]. Continuous glucose monitoring (CGM) can be considered an objective EMA assessment, capturing episodes of hypoglycaemia 24/7, including those of which the person with diabetes is otherwise unaware [19]. Self-reported EMAs can be used to assess an individual's current thoughts, feelings and behaviours, as well as the contextual factors that may affect them. For these self-reported factors, EMA methods may minimise recall bias, maximise ecological validity and document variation over time [18], providing an opportunity for timely assessment of constructs like sleep, mood, cognition, energy levels, social interactions and work-productivity, particularly when assessed via smartphones (or similar portable devices) [20], in parallel with glucose levels assessed using CGM.

EMA research is urgently needed to improve our understanding of the impact of hypoglycaemia on day-to-day life. To address this need, the Hypo-METRICS (Hypoglycaemia MEasurement, ThResholds and ImpaCts) application (app) was developed. This paper describes the process of development of the app and the planned psychometric analyses.

Methods and analyses

This study is part of the Innovative Medicines Initiative 2-funded Hypo-RESOLVE (Hypoglycaemia – Redefining SOLUTIONS for better liVEs) project [21]. The three phases of the development and planned psychometric analysis of the Hypo-METRICS app are summarised in Table 1.

Table 1: Overview of Hypo-METRICS app development phases and activities

Phase	Activities
Phase 1: Defining the problem	<i>Establish working group and liaise with Patient Advisory Committee</i>
	<i>Conduct targeted literature review</i>
	<i>Develop conceptual framework</i>
Phase 2: Hypo-METRICS app: design and development	<i>Establish general principles for design of the Hypo-METRICS app</i>
	<i>Develop items and response options</i>
	<i>Conduct user-testing and debriefing of Hypo-METRICS app content</i>
	<i>Select app platform and design app</i>
Phase 3: Hypo-METRICS app: planning psychometric validation	<i>Design study and key study details</i>
	<i>Develop psychometric analysis plan</i>

Phase 1: Defining the problem

Establish working group and liaise with Patient Advisory Committee

A working group with expertise in questionnaire development and validation, medical psychology, and endocrinology was established. The role of this group was to define the conceptual framework for the Hypo-METRICS app content, and identify relevant domains for inclusion in the app.

Patient and Public Involvement: During the two-year development period, the working group worked collaboratively with the Hypo-RESOLVE Patient Advisory Committee (PAC) and sought monthly input from the wider Hypo-RESOLVE consortium. The PAC members played a key role in setting the agenda, participating in discussions about the content to be included in the app, and providing in-depth feedback on multiple versions of the items as they were developed. In addition to the PAC members, an independent group of people with diabetes without prior knowledge to the project was also invited to test the app content (see below).

Conduct targeted literature review

A targeted literature review was conducted to identify literature focused on the impact of hypoglycaemia. The review served to identify aspects of life and constructs (e.g., emotional well-being), that were: 1) relevant to the potential or known personal impact of hypoglycaemia, and 2) subject to temporal fluctuation (day-to-day changes).

The construct of “quality of life” (QoL) was used as a starting point to identify relevant areas of daily life [22]. QoL has been defined as a subjective, dynamic, and multi-dimensional construct; consisting of physical, psychological and social aspects [22]. The World Health Organisation (WHO) specifies six broad domains of

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4 QoL, including 24 more specific facets [23]. It is important to emphasize that the goal was not to develop an
5 app that measures QoL as a whole (or the impact of hypoglycaemia on QoL), but to use this construct to
6 identify areas of life (in the literature) relevant to the *daily*, personal impact of hypoglycaemia.
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10 Based on the literature review, the following areas of daily life were regarded as relevant for inclusion in the
11 app. First, hypoglycaemia can impair sleep quality and sleep duration due to the biological consequences of
12 hypoglycaemia and the sleep interruption resulting from managing [24-26] or fear of [27] night-time
13 episodes. Second, hypoglycaemia can affect physical functioning in several ways; the most frequently
14 reported include feeling tired and less energetic [28, 29]. Third, hypoglycaemia can be associated with
15 negative emotions including decreased happiness [28], and increased irritability [29], anxiety [28] and
16 depressive symptoms [8]. Fourth, hypoglycaemia can negatively impact cognitive functioning with reduced
17 alertness [29] decreased memory [30] and lower concentration [31]. Fifth, hypoglycaemia has been
18 associated with higher levels of fear of hypoglycaemia, potentially impairing QoL [32, 33]. Concerns regarding
19 hyperglycaemia (as a key risk factor for vascular complications) are also relevant due to these potentially
20 leading to more hypoglycaemic episodes through repeated insulin correction doses being given in an attempt
21 to avoid high glucose levels [34]. Sixth, qualitative studies in people with diabetes found that fear of
22 hypoglycaemia contributed to avoiding participation in, or disruption to, usual daily activities, such as social
23 activities, driving, sports, or work, and that this had a negative impact on QoL [35]. Rigid routines, like
24 intensive glucose monitoring and meal-planning, may limit the ability to engage in social activities [35], and
25 hypoglycaemic events were also described as being socially embarrassing [35]. Finally, it has been found that
26 hypoglycaemic episodes have substantial economic consequences, causing a loss of productivity amounting
27 to between \$15.26 to \$93.47 (2009 USD) per self-treated hypoglycaemic episode and 8.3 to 15.9 hours of
28 lost work time per month [36]. Productivity losses have been reported to be highest for those individuals
29 who experienced nocturnal episodes [36].
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36 **Develop conceptual framework**

37 Combining the outcomes of the working group discussions and the results from earlier studies into the impact
38 of hypoglycaemia, a conceptual framework was developed (Figure 1). It represents the overall constructs
39 relevant to the personal impact of hypoglycaemia (inner circle) and the specific areas of daily functioning to
40 be assessed in the Hypo-METRICS app (outer circle).
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45 **Phase 2: Hypo-METRICS app: design and development**

46 **Establish general principles for design of the Hypo-METRICS app**

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48 Phase 2 involved the development of the specific questions for the app. A group of items listed within an
49 area is referred to as a "module". For practical reasons, the conceptual framework titles were not used as
50 module names, although each of the specific areas of daily life from the conceptual framework is represented
51 across the modules. To start, a set of general principles for the app was developed. The app design process
52 involved developing item content, response options (e.g., check-box responses or labels for the scales) and
53 response scales (numerical scales). An iterative approach was used involving multiple meetings between the
54 main working group, PAC members and the wider Hypo-RESOLVE consortium, followed by refinement of the
55 app, and circulation to stakeholders for feedback. After initial consensus regarding the app items, three user-
56 testing sessions, involving 15 people with diabetes who had not been involved in the development phase,
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4 were held at King's College London in March 2019. The purpose was to refine and ensure the feasibility of
5 the app items (see below). Once the app content was finalized it was implemented into a smartphone
6 platform provided by uMotif Limited (London, UK).
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8 In the early phases of the app development, the following general principles were defined. It was agreed that
9 the Hypo-METRICS app should:
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- 11 1. be suitable for use in clinical studies targeting adults (>18 years) with T1DM or T2DM to examine the
12 potential direct impact of hypoglycaemia on daily life.
- 13 2. be suitable to capture information about self-reported hypoglycaemia.
- 14 3. be suitable for administration via a smartphone, providing user-friendly access and optimized for use on
15 both iOS and Android devices.
- 16 4. include only relevant domains, with a view to minimising burden on participants, non-completion of
17 specific items or timepoints, or study attrition.
- 18 5. be suitable for multiple assessments per day, to ensure data collection as close as possible to
19 hypoglycaemic episodes as they occur (thereby minimising recall bias) and at other times as demanded
20 by a study protocol (in the absence of preceding hypoglycaemia).
- 21 6. be optimised for collecting and storing data in accordance with data protection regulations to ensure
22 confidentiality of participant information.
- 23 7. be using recommended language related to diabetes and people with diabetes (i.e., non-judgemental
24 and non-stigmatising) [37, 38]
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30 **Develop items and response options**

31 When developing app items, the working group considered that it might be difficult for the person with
32 diabetes to determine whether and to what extent (un)recognised hypoglycaemia impacted on a certain area
33 of life. For example, mood can be concurrently impacted by hypoglycaemia and many other factors, and
34 separating these can be challenging [39]. Therefore, it was decided that the majority of app questions should
35 be phrased in a general way rather than being attributed to hypoglycaemia specifically. The questions would
36 instead be asked frequently (three times daily) in a general manner (e.g., "How is your mood right now?"),
37 thereby enabling responses to be linked later with either person reported hypoglycaemia (PRH) or CGM-
38 detected hypoglycaemia, to investigate correlations with hypoglycaemia in its different manifestations
39 (symptomatic and asymptomatic). Asking general questions routinely, regardless hypoglycaemia, allows for
40 a comparison between days (or nights) with versus without hypoglycaemia.
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45 Another consideration for item development was the number of daily assessments. Existing literature does
46 not provide clear consensus on the optimal number of assessments (called "check-ins" in the Hypo-METRICS
47 app) or sampling frequency [40, 41]. Building the app with three daily "check-ins" was a consensus decision
48 based on a compromise between capturing as much variation over the day as possible, while allowing for use
49 of the app in studies with longer durations (i.e. multiple weeks), wherein it is key to minimise participant
50 burden, as this could impact on completion rates and attrition.
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53 The frequency with which each app module or items are presented to the respondent throughout a given
54 day was determined by: a) the amount of variation expected throughout the day in the construct being
55 measured, and b) the feasibility of responding to certain items at certain times of day; for example, the work-
56 related items were only presented in the evening-check-in (after work-hours), while mood was assessed at
57 every check-in.
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4 Two modules: “self-report of hypos while asleep” in the morning check-in and “self-report of daytime hypos”
5 in the evening check-in, were developed with a branching option. This means that respondents are only asked
6 to respond to items in these modules if they have already reported a particular experience, e.g.
7 hypoglycaemia while asleep. In this instance, respondents would be asked additional questions specific to
8 each event (i.e., time reference, detection and management). These modules also consist of questions not
9 specific to single episodes but to hypoglycaemia overall across the day or night (e.g., loss of sleep due to
10 hypoglycaemia and worries about going back to sleep). An additional item was developed for these two
11 modules to assess how psychologically bothersome hypoglycaemia was overall. To expand the investigation
12 of hypoglycaemia’s impact on daily activities an overall item was included in the evening check-in asking,
13 “How long was it before you were feeling your ‘usual self’ again?”
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18 While some modules were assessed with single items (e.g., social interactions), others were assessed with
19 multiple items (e.g., mood and cognitive function). The number of items selected to measure each construct
20 was dependent on the complexity and dimensionality of the concept. The items were developed as questions
21 (e.g., “How anxious do you feel right now?”) instead of potentially leading statements (“I’m feeling anxious”).
22 The goal was to use short and precise sentences and avoid double-barrelled statements (e.g., “I woke up
23 feeling fresh and rested”). Negatively phrased items which could be leading for participants were avoided
24 when possible (e.g. “How is your mood right now?” instead of “How depressed do you feel?”). The aim was
25 to use non-academic, everyday language; for example, instead of asking about “sleep quality”, participants
26 were asked how they slept and how they felt when they woke up. The time-attribution for each item was
27 qualified with use of “right now”, “last night”, “today”, “later today”, and “while asleep”. For items about
28 event timing, only approximate time-points were requested to reduce the participant recall burden. The item
29 order was modified slightly between the check-ins to minimise the risk of developing response habits and
30 participants just “clicking through” [42]. Several of these decisions were informed by experts in questionnaire
31 development within the consortium.
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36 Response scales were another integral aspect of item development. When considering the number of
37 points/options on a response scale, it has been suggested that the quality of measurement does not seem to
38 improve beyond 7-11 points on a numerical scale [43]. It was decided to use an 11-point numerical rating
39 scale, (0-10) to maximize sensitivity to (even minor) changes and to minimise floor and ceiling effects. Other
40 app-based EMA studies have similarly used 11-points scales [44-46]. To ensure both daily minor variations
41 and the more extreme and rare cases of variation were captured, both unipolar (e.g., “not at all – extremely”)
42 and bipolar (e.g., “extremely bad – extremely well”) response options were used. Numbers in the middle of
43 the scale were not labelled.
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49 **Conduct user-testing and debriefing of Hypo-METRICS app content**

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51 A group of people with diabetes without prior knowledge of the app, was invited to provide their feedback
52 on the draft items and response options. Participants were recruited via local diabetes clinics (King’s College
53 Hospital for people with T1DM and a UK general practitioner clinic for people with T2DM). The user-testing
54 occurred in parallel to the item development process and was an integral part of finalising the app content.
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57 A total of 7 people with T1DM (4 women, 3 men, aged 19-55 years) and 8 with T2DM (4 women, 4 men, aged
58 59-72 years) using multiple daily insulin injections (at least 2 per day) participated in the user-testing sessions.
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All participants with T1DM experienced hypoglycaemia multiple times per week, while the reported experience in those with T2DM ranged from less than once per month to multiple times per week. Overall, participants expressed good awareness of hypoglycaemia, although four of the people with T2DM reported that since they did not experience hypoglycaemia frequently, their partners often (1 participant) or sometimes (3 participants) would recognize a hypoglycaemic episode before they did.

The overall feedback on the item content was positive, and participants expressed the importance of addressing the day-to-day impact of hypoglycaemia. Participants reported that completion of the app items three times per day was a feasible task. A selection of the feedback is provided in Table 2.

Table 2: Feedback from user-testing sessions and the changes implemented in the app

Suggested change from PPI session:	Changes implemented in the Hypo-METRICS app:
For the items asking, "At what time did this/these happen?" (referring to the hypoglycaemic events), there was an option to "Add extra timepoints if more than once". Participants suggested to add an extra item instead asking, "How many hypos did you have?". Further, there was a wish for more clarity on how to classify multiple events versus long-standing ones.	We did as was suggested and removed the "Add extra timepoints" option, and included an item asking, "How many hypos did you have?" both in the morning and evening check-in. Further, we added an "Add another hypo" function, so participants could respond to the hypoglycaemia-specific items for each event. We wanted participants to judge the difference between multiple and long-standing events themselves, to learn more about how the events are perceived from the participants' perspective; thus, no changes were implemented on this point.
For the items "During the night, did you have a hypo OR take action to prevent a hypo?" and "Did you have a hypo today OR did you prevent a hypo today?" there was uncertainty about what is meant by "preventing". E.g., some participants were in doubt if this included having a snack before bed "just in case" rather than preventing an imminent hypoglycaemic event.	We decided to add "...prevent a hypo that was about to happen" to emphasize that we are not trying to capture the "just in case" snacks or insulin reductions, but instead events that were just about to happen, and most likely would have happened if the participant had not taken corrective action.
For the item "How anxious/relaxed do you feel right now?" with the bidirectional 11-point response scale "Extremely relaxed (0)" – "Extremely anxious (10)", participants felt that these did not necessarily belong on the same scale.	We decided to change this item to "How anxious do you feel right now?" with a unidirectional 11-point response scale "Not at all (0)" – "Extremely (10)". We similarly adjusted other items to make response scales similar.
There was disagreement about the use of the word "burden" in the item "How much of a burden was hypoglycaemia last night?", as it was perceived as overly strong language	We adjusted the wording of the question to "How bothersome was hypoglycaemia last night?"
The items "How long did your hypo(s) (on average) prevent you from doing your usual activity" and "How long was it (on average) before you were feeling your "usual self" again?" caused some confusion, and participants said these would need extra clarification. Further it was suggested not to ask on average, but for each event.	The first item was removed from the app and replaced by several items recommended by health economic experts within the Hypo-RESOLVE consortium to better capture the effect of hypoglycaemia on work and productivity. The last item was changed to "Overall... How long was it before you were feeling your "usual self" again?".
Since the item "Did your hypo(s) today negatively impact your social activities?" was placed right after the work-related items, participants were in doubt if the item was asking in relation to work or any activities during the day.	The item was separated from the work-related items and adjusted to "How well did you get along with other people today?". The new wording more accurately captures the intention of the question.
For the cognitive function items asking, "How is your concentration/memory/attention right now?" participants said they found it difficult to answer these items in the morning check-in since they had not done anything in the	We changed the items into "How alert do you feel right now?", "How well are you able to concentrate right now?" and "How easy was it for you to remember things today?", and decided to only ask the latter item in the evening check-in, so that participants

Suggested change from PPI session:	Changes implemented in the Hypo-METRICS app:
morning to really concentrate on or remember. Similarly, it was unclear what memory we are referring to (short term, long term or for specific tasks). Further the difference between concentration and attention caused uncertainty.	could reflect on their day in order to make an assessment of whether they experienced any memory difficulties.
A number of functionalities were suggested to include in the app including: <ul style="list-style-type: none"> - A “question progress bar” to see how many questions remain in each check-in - A “study progress bar” to see how many days of the study they have left - A text field entry field so participants could provide more context - A “large text” feature - A “snooze” function, so a reminder notification is sent out later. 	Unfortunately, the app platform did not support progress bars for question or study progress. For some items, we included an option with free-text field entry but decided not to include free-text options for all items, to minimise participant burden and to avoid large amount of qualitative data that would require extensive analysis. A diary function in the app would allow participant to write additional notes during the study. For the large-text option, we provided a description for how to adjust this in the smartphone settings. The app platform did not support “snooze” functions.

After an iterative design process, including debriefing of items and response options with potential users, a total of 29 unique items were selected to best represent the conceptual framework (Figure 1), and were presented in the app via seven modules (Table 3).

Table 3: Items per module, and completion timepoints ('check-ins')

Module names and items	Conceptual framework domain	Completion timepoints ('Check-ins')		
		Morning	Afternoon	Evening
Sleep quality module (2 items)				
1. How well did you sleep?	Sleep quality	x		
2. When you woke up how did you feel?	Sleep quality	x		
General well-being module (7 items)				
3. How is your mood right now?	Mood	x	x	x
4. How anxious do you feel right now?	Anxiety	x	x	x
5. How is your energy level right now?	Energy levels	x	x	x
6. How irritable do you feel right now?	Mood	x	x	x
7. How alert do you feel right now?	Cognitive function	x	x	x
8. How easy was it for you to remember things today?	Cognitive function			x
9. How well are you able to concentrate right now?	Cognitive function	x	x	x
Fear of hypo-/hyperglycaemia module (4 items)				
10. How worried are you about having a hypo later today?	Fear	x	x	
11. How worried are you about having high blood glucose later today?	Fear	x	x	
12. How worried are you about having a hypo while asleep?	Fear			x
13. How worried are you about having high blood glucose while asleep?	Fear			x
Social interactions module (1 item)				
14. How well did you get along with other people today?	Social interactions			x
Work and productivity module (4 items)				

15. How many hours did you work today?	Work/productivity			x
16. How many hours did you miss from work for ANY reason today? [this includes health issues, vacation, holiday, etc.]	Work/productivity			x
17. How many hours did you miss from activities other than work today for ANY reason (e.g. study, housework, shopping, family or leisure activities)?	Leisure activities			x
18. How productive were you while working today? (Work/productivity			x
Self-report of hypos while asleep module* (8 items)				
19. During the night, did you have a hypo OR take action to prevent a hypo that was about to happen?***	NA	x		
20. How many hypos did you have?	NA	x		
21. At what time did this happen?	NA	x		
22. How did you detect your hypo or a hypo that was about to happen? (Select all that apply)	NA	x		
23. What happened? (Select all that apply)	NA	x		
24. Overall: How bothersome was hypoglycaemia for you last night?	Burden	x		
25. Overall: How much sleep did you lose due to hypoglycaemia?	Sleep quality	x		
26. Overall: How worried were you about going back to sleep?	Sleep quality	x		
Self-report of daytime hypos module* (7 items)				
27. Today, did you have a hypo OR take action to prevent a hypo that was about to happen?***	NA			x
20.1 How many hypos did you have?	NA			x
21.1 At what time did this happen?	NA			x
22.1 How did you detect your hypo or a hypo that was about to happen?	NA			x
23.1 What happened?	NA			x
28. Overall: How bothersome was hypoglycaemia for you today?	Burden			x
29. Overall: How long was it before you were feeling your "usual self" again?	Daily living / usual activities			x

* Several of these items are not part of the conceptual framework, but were included to capture details about the hypoglycaemic episodes

** These items have branching: if a hypo is reported, the items below are presented to the participant for completion.

Select app platform and design app

After the items and response options were finalised, they were implemented into a software platform provided by “uMotif Limited” with a data capture application that can be used on iOS and Android compatible smartphones [47]. “uMotif Limited” was chosen due to its high data security and confidentiality policies that comply with current EU General Data Protection Regulation (GDPR) laws and has been used in other patient-centred data capture studies [48, 49]. In order to maximise feasibility, participants could complete check-ins at predefined time-intervals: from 06:00-12:00 (morning), 12:00-18:00 (afternoon) and 18:00-24:00 (evening). The app was further configured to provide automated notifications (at predefined times of day: 07:00 hours, 15:00 hours and 21:00 hours) inviting participants to complete check-ins in the morning,

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afternoon and evening, respectively. The wide time intervals were chosen to increase the likelihood of completion.

Phase 3: Hypo-METRICS app: planning psychometric validation

Phase 3 is focused on the planned investigation of the psychometric properties of the Hypo-METRICS app for the measurement of the day-to-day personal impact of hypoglycaemia.

Design study and key study details

The Hypo-METRICS app has been implemented for the first time in the Hypo-METRICS clinical study, a large, prospective multi-country study starting October 2020 and led by the Hypo-RESOLVE consortium [21]. Briefly, participants are asked to complete three daily check-ins (morning, afternoon, evening) on their smartphone for 10 weeks, while wearing a blinded CGM to measure glucose values throughout the day and night. This study will enable largescale testing and psychometric analysis of the Hypo-METRICS app.

The target population for this study will be 600 adults (aged 18-85 years) with T1DM or insulin-treated T2DM. Participants will be recruited from eight specialist diabetes centres across five countries (Austria, Denmark, France, The Netherlands, United Kingdom). The Hypo-METRICS app was developed in English and afterwards translated from English into the four other languages. The translation plan was developed and based on the principles for translating Patient-Reported Outcomes as described by Wild et al [50]. After providing informed consent, participants will attend a baseline visit (physically or online), where training in use of the app will be provided.

Develop psychometric analysis plan

With the development of a new instrument, it is important to examine its validity and reliability [51]. Using data from the Hypo-METRICS clinical study, including user-experience interviews with a subset of participants, the latent structure, internal consistency, construct validity, feasibility and acceptability, and completion rates of the app will be explored.

Latent structure, internal consistency and construct validity: The examination of the validity and reliability of the Hypo-METRICS app will start with an investigation of the latent structure of the app to examine whether items can be grouped in factors. A multilevel factor analysis will be conducted to avoid violating assumptions of independency between the repeated measurements [52]. Further, internal consistency of items listed under each latent factor will be investigated using McDonald's ω [53]. Lastly, construct validity will be examined by analysing the correlations between the items or factor scores from the Hypo-METRICS app and validated self-report questionnaires [53]. These questionnaires assess either constructs where a moderate to strong relationship (convergent validity) or weak relationship (discriminant validity) with the app items is expected. Although the app items and the validated questionnaires focus on different time frames, moderate correlations are still expected as they address the same constructs.

Feasibility and Acceptability (via user-experience interviews): Although the content (items and response options) of the Hypo-METRICS app has been tested by people with diabetes, the finalized Hypo-METRICS app (i.e., following integration into the "uMotif Limited" platform) has not yet undergone full user-testing. Semi-structured interviews will be undertaken with approximately twenty participants of the Hypo-METRICS study

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4 to explore the acceptability and feasibility of the app, and their experiences of using the app in their daily
5 lives.
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7 *Completion rates:* An analysis of completion rates and patterns of missing data from the clinical study will be
8 performed. The proportion of check-ins and items not submitted together with the number of skipped items
9 (i.e., where participants have submitted the check-in but “skipped” an item) will be examined. Using
10 multilevel analyses, factors that predict completion (e.g., day of study, time for check-in, age, sex, type of
11 diabetes and more) will be determined. Distribution of responses, including how long after the notification
12 the participants on average respond and the distribution of responses for each item, will similarly be
13 examined. This analysis may help to refine future versions of the app and to determine the types of
14 studies/contexts suitable for use of the app.
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20 **Ethics and dissemination**

21 Ethical considerations are pertinent to this work. The participants are not required to provide personal
22 information when registering to use the Hypo-METRICS app; instead they will use study-specific email
23 addresses (e.g. participantnumber@gmail.com) and can enter their study number instead of their real name.
24 The participant requires access to a smartphone (iOS or Android system) and either WIFI or mobile data for
25 entering responses.
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28 For analytic purposes, all data will be handled as pseudonymised data. “uMotif Limited” will only process
29 encrypted data. Data are stored securely in accordance with GDPR at all times. The Hypo-METRICS clinical
30 study has received ethical approval at the lead site and in all five European countries.
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33 There is a risk that the completion of items (and additional questionnaires used for validation purposes)
34 required for the study may over-burden participants or cause discomfort. In these situations, the participants
35 can opt to skip questions and/or seek assistance from the healthcare professional at their local recruitment
36 centre.
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38 The results from the psychometric analyses and the semi-structured interviews will be submitted to peer-
39 reviewed and open access journals, and further presented at both national and international conferences.
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44 **Discussion**

45 Hypoglycaemia is an important complication of insulin treatment among people with diabetes. In this paper,
46 the systematic development of the Hypo-METRICS app, tailored to determine the impact of hypoglycaemia
47 on daily functioning, is described. The iterative design process, involving multidisciplinary teamwork between
48 psychologists and diabetologists in close collaboration with people with diabetes, was key to the app
49 development. The feedback from user-testing with people with diabetes (who had not been involved in the
50 item development) was overall positive. They found it manageable to complete the questions across the
51 planned three daily check-ins. In this paper, we also present the planned psychometric validation work that
52 will be carried out with data from a multi-country clinical study, where the Hypo-METRICS app will be used
53 for the first time by a large number of participants over a 10-week study period. This study will further allow
54 for in-depth interviews with a subset of participants who have used the app.
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4 It is anticipated that the Hypo-METRICS app will minimise recall bias, maximize ecological validity, document
5 variation over time and allow for a more in-depth understanding of the day-to-day impact of hypoglycaemia.
6 The app includes seven modules (29 unique items) assessing: self-report of hypoglycaemic episodes (during
7 the day and night respectively), sleep quality, well-being/cognitive function, social interactions, fear of hypo-
8 /hyperglycaemia, and work/productivity. Once the Hypo-METRICS app has undergone psychometric analysis,
9 the authors anticipate that it will provide a novel tool for researchers to more accurately examine the impact
10 of hypoglycaemia. The Hypo-METRICS app may be used as a key outcome in clinical trials evaluating new
11 glucose lowering medications or new diabetes technology, but it can perhaps also be used in clinical settings
12 to further optimize diabetes care and outcomes for individuals with diabetes. It must be emphasized that the
13 Hypo-METRICS app has been developed for adults with diabetes (using insulin) in the UK, Denmark, the
14 Netherlands, Austria, and France, and that adaptations will be required for its use in other groups (e.g., youth
15 with diabetes, pregnant women with diabetes) and other countries.
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28

- 29 - *Dutch site*: Evertine Abbink (MD, PhD), Namam Ali (MD, PhD Student) and Ash Abbink (student); affiliated
30 with Department of Internal Medicine of the Radboud University Medical Centre in Nijmegen, the
31 Netherlands.
- 32 - *Austrian site*: Monika Cigler (MD, PhD), Daniel Hochfellner (MD), Amra Simić (MA in English) and Tina
33 Pöttler; affiliated with Medical University of Graz, Austria.
- 34 - *French site*: Jérôme Place (MSc), Al Masri Manal (CRA), Omar Diouri (PhD) and Anne-Marie Marteil-
35 Oudrer (MD); affiliated with Montpellier University Hospital, France.
- 36 - *Danish site*: Mette Valdersdorf Jensen (PhD student, M.P.H.), affiliated with University of Southern
37 Denmark; and Stine Tving Kjøller (Research Nurse) affiliated with Nordsjællands Hospital, Hillerød
38 Denmark.
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44 Author contributions:

45 All authors were involved in the conceptualization of the app. US, MB, NZ, PD, CH, JS, PC and FP developed
46 the app with expertise input and advice from AB, DP, BG, GN, RM, UPB and SAA. NZ and PC conducted the
47 user-testing of app items and response options. US, MB, JS, CH and FP produced the first manuscript draft.
48 US, MB and FP planned the psychometric analyses and semi-structured interviews with advice from JS, CH,
49 ZM, NZ and PC. All authors reviewed the manuscript at multiple stages and provided feedback. All authors
50 approved the final draft of the manuscript.
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52
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Competing interest statement

UPB has received grants and personal fees from Novo Nordisk and personal fees from AstraZeneca, Bristol-Meyers Squibb, Sanofi and Zealand Pharma.

Patient consent

Not required for the Hypo-METRICS app development.

Ethics approval

Not required for the Hypo-METRICS app development. The Hypo-METRICS clinical study has received ethical approval at the lead site from the South Central Oxford B Research Ethics Committee (20/SC/0112) and in all five European countries.

Data sharing statement

Data from the clinical study is currently being collected and are not available for access until end of the study.

The Hypo-METRICS app items is available upon request. Please contact Uffe Søholm (usoeholm@health.sdu.dk) to request the latest version.

Figure caption:

Figure 1: Conceptual framework of the key areas of daily functioning that might be impacted by hypoglycaemia

Figure 2: Sample of screenshots of the Hypo-METRICS app on the uMotif Limited platform.

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Figure 1: Conceptual framework of the key areas of daily functioning that might be impacted by hypoglycaemia

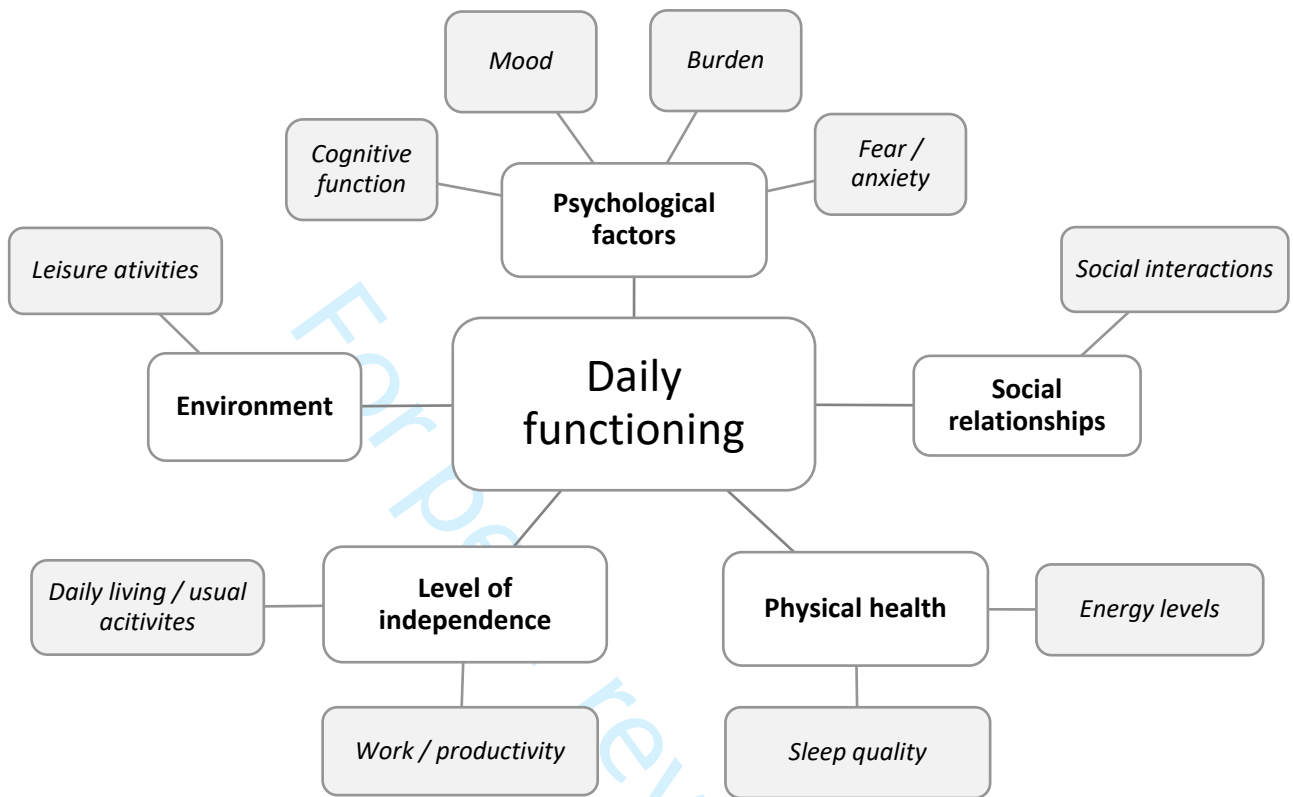
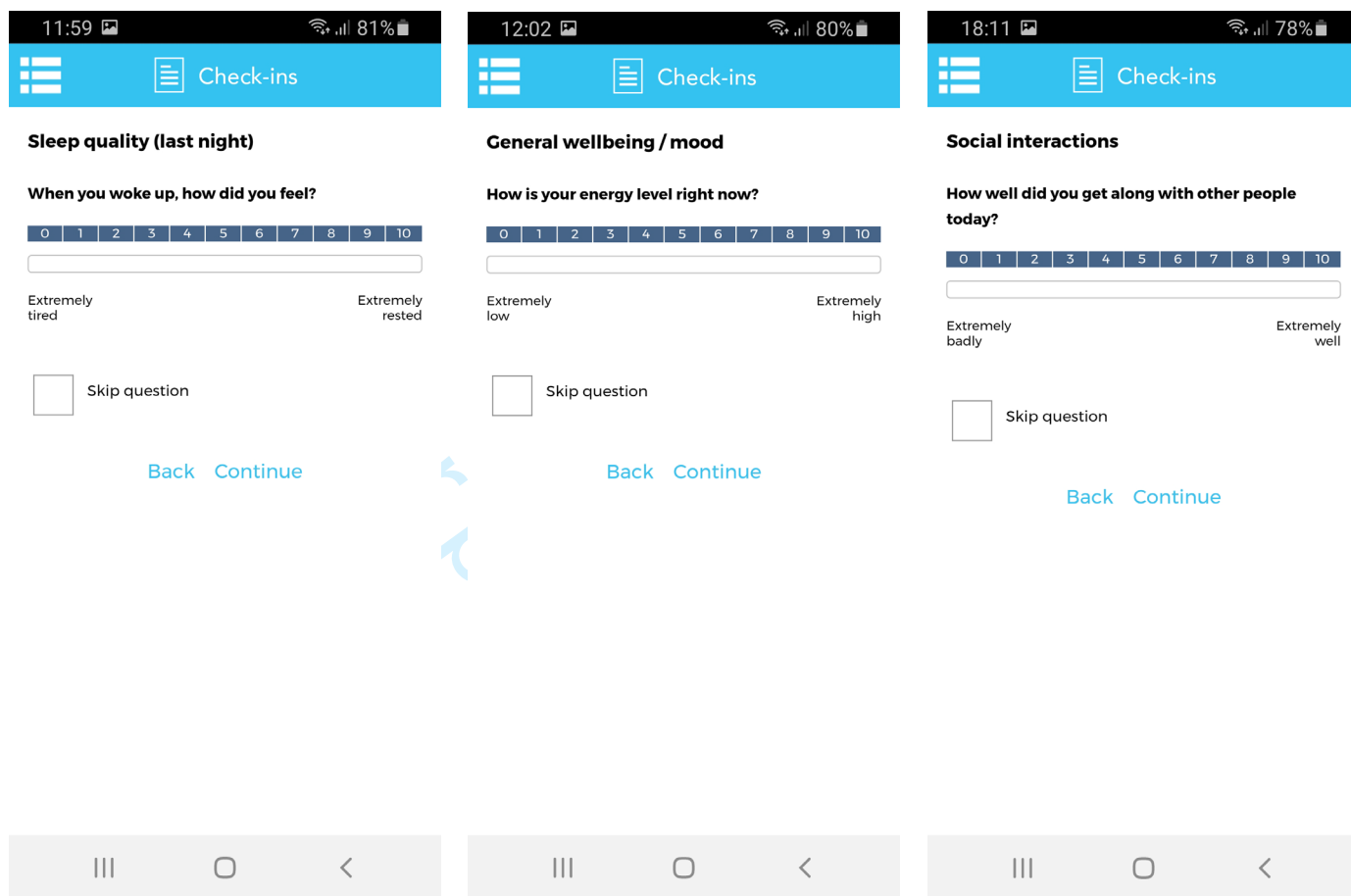


Figure 2: Sample of screenshots of the Hypo-METRICS app on the uMotif Limited platform.



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Investigating the day-to-day impact of hypoglycaemia in adults with type 1 or type 2 diabetes: design and validation protocol of the Hypo-METRICS application.

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Investigating the day-to-day impact of hypoglycaemia in adults with type 1 or type 2 diabetes: design and validation protocol of the Hypo-METRICS application.

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Abstract

Introduction: Hypoglycaemia is a frequent adverse event and major barrier for achieving optimal blood glucose levels in people with type 1 or type 2 diabetes using insulin. The Hypo-RESOLVE (Hypoglycaemia – Redefining SOLutions for better liVEs) consortium aims to further our understanding of the day-to-day impact of hypoglycaemia. The Hypo-METRICS (Hypoglycaemia MEasurement, ThResholds and ImpaCtS) application (app) is a novel app for smartphones. This app is developed as part of the Hypo-RESOLVE project, using ecological momentary assessment methods that will minimise re-call bias and allow for robust investigation of the day-to-day impact of hypoglycaemia. In this paper, the development and planned psychometric analyses of the app are described.

Methods and analysis: The three phases of development of the Hypo-METRICS app are: 1) establish a working group – comprising diabetologists, psychologists and people with diabetes – to define the problem and identify relevant areas of daily functioning; 2) develop app items, with user-testing, and app platform implementation; and 3) plan a large-scale, multi-country study including interviews with users and psychometric validation. The app includes seven modules (29 unique items) assessing: self-report of hypoglycaemic episodes (during the day and night respectively), sleep quality, well-being/cognitive function, social interactions, fear of hypo-/hyperglycaemia, and work/productivity. The app is designed for use within three fixed time intervals per day (morning, afternoon and evening). The first version was released mid-2020 for use (in conjunction with continuous glucose monitoring and activity tracking) in the Hypo-METRICS study; an international observational longitudinal study. As part of this study, semi-structured user-experience interviews and psychometric analyses will be conducted.

Ethics and dissemination: Use of the novel Hypo-METRICS app in a multi-country clinical study has received ethical approval in each of the five countries involved (Oxford B Research Ethics Committee, CMO Region Arnhem-Nijmegen, Ethikkommission der Medizinischen Universität Graz, Videnskabetisk Komite for Region Hovedstaden and the Comité de Protection Des Personnes SUD Mediterranee IV). The results from the study will be published in peer review journals and presented at national and international conferences.

Keywords: hypoglycaemia, diabetes, quality of life, daily functioning, smartphone application, patient reported outcomes

Article summary

Strengths and limitations of this study

- The development of the Hypo-METRICS app is based on a strong multidisciplinary collaboration between psychologists, diabetologists and people with diabetes.
- The Hypo-METRICS app was designed for a specific target population (adults aged ≥ 18 years with diabetes using insulin) and adaptations may be required for other groups.
- The Hypo-METRICS app will be used in a European multi-country clinical study, which will enable its psychometric properties to be examined.
- As the app is designed to require the user to complete multiple daily assessments, there is a risk of participant burden and dropout, which require further investigation.
- Use of the novel Hypo-METRICS app in conjunction with continuous glucose monitoring (CGM) will enable a detailed investigation of the day-to-day impact of hypoglycaemia on various areas of daily life, with minimal recall bias, and will yield a more thorough understanding of variation over time.

Peer review only

Introduction:

Hypoglycaemia (low blood glucose) is an important and often burdensome side effect of insulin therapy for people with type 1 or type 2 diabetes (T1DM/T2DM) [1]. The reported frequency of severe hypoglycaemic episodes (where assistance of others is needed for recovery) has been estimated at 0.2-3.2 episodes per person per year among adults with T1DM and at 0.1-0.7 episodes per person per year in adults with insulin-treated T2DM [2]. Self-treated episodes are much more common, yet their prevalence is harder to quantify due to inconsistencies in definitions (symptom-based versus glucose level-based) and the fact that they can be overlooked [3]. The weekly prevalence has been estimated at 1-2 episodes in T1DM and 0.3-0.7 in T2DM [2]. Hypoglycaemia can be life-threatening [4], is increasingly being associated with a higher risk of future complications and cardiovascular events [4-11], and negatively impacts on psychological well-being [12] and quality of life (QoL) [4]. In order to manage their risk of hypoglycaemia, people with diabetes often adapt their diabetes management (e.g., reduce insulin doses, avoid physical activity, increase caloric intake), which can negatively impact on their HbA1c, or adapt their lifestyle (e.g., avoid being alone or situations in which hypoglycaemia may occur or cause embarrassment), which can negatively impact on their quality of life [13]. Hypoglycaemia is commonly seen as major barrier for achieving optimal blood glucose levels [14].

Many studies focusing on the personal impact of hypoglycaemia have not examined the temporal relationship between hypoglycaemia and its impact on sleep, mood, cognition, energy levels, social interactions and work-productivity, in non-clinical, real-life settings. The impact of hypoglycaemic episodes has typically been assessed retrospectively, with people self-reporting the typical or average impact over several weeks or months [15], which may be prone to under- or over-estimation due to reduced recall [16, 17]. Furthermore, retrospective assessments, by definition, cannot assess the immediate effect of each hypoglycaemic episode, including within-person fluctuations over time.

Ecological momentary assessment (EMA) offers the opportunity to overcome some of these limitations and complement insights from retrospective assessments. EMA is a method of collecting data (typically using portable devices such as smartphones) in real-world environments ("ecological"), addressing a current or very recent state ("momentary"), measured either randomly, at specific times, or in relation to specific events, with multiple assessments to follow variation over time and across situations [18]. Continuous glucose monitoring (CGM) can be considered an objective EMA assessment, capturing episodes of hypoglycaemia 24/7, including those of which the person with diabetes is otherwise unaware [19]. Self-reported EMAs can be used to assess an individual's current thoughts, feelings and behaviours, as well as the contextual factors that may affect them. For these self-reported factors, EMA methods may minimise recall bias, maximise ecological validity and document variation over time [18], providing an opportunity for timely assessment of constructs like sleep, mood, cognition, energy levels, social interactions and work-productivity, particularly when assessed via smartphones (or similar portable devices) [20], in parallel with glucose levels assessed using CGM.

EMA research is urgently needed to improve our understanding of the impact of hypoglycaemia on day-to-day life. To address this need, the Hypo-METRICS (Hypoglycaemia MEasurement, ThResholds and ImpaCts) application (app) was developed. This paper describes the process of development of the app and the planned psychometric analyses.

Methods and analyses

This study is part of the Innovative Medicines Initiative 2-funded Hypo-RESOLVE (Hypoglycaemia – Redefining SOLUTIONS for better liVEs) project [21]. The three phases of the development and planned psychometric analysis of the Hypo-METRICS app are summarised in Table 1.

Table 1: Overview of Hypo-METRICS app development phases and activities

Phase	Activities
Phase 1: Defining the problem	<i>Establish working group and liaise with Patient Advisory Committee</i>
	<i>Conduct targeted literature review</i>
	<i>Develop conceptual framework</i>
Phase 2: Hypo-METRICS app: design and development	<i>Establish general principles for design of the Hypo-METRICS app</i>
	<i>Develop items and response options</i>
	<i>Conduct user-testing and debriefing of Hypo-METRICS app content</i>
	<i>Select app platform and design app</i>
Phase 3: Hypo-METRICS app: planning psychometric validation	<i>Design study and key study details</i>
	<i>Develop psychometric analysis plan</i>

Phase 1: Defining the problem

Establish working group and liaise with Patient Advisory Committee

A working group with expertise in questionnaire development and validation, medical psychology, and endocrinology was established. The role of this group was to define the conceptual framework for the Hypo-METRICS app content, and identify relevant domains for inclusion in the app.

Patient and Public Involvement: During the two-year development period, the working group worked collaboratively with the Hypo-RESOLVE Patient Advisory Committee (PAC) and sought monthly input from the wider Hypo-RESOLVE consortium. The PAC members played a key role in setting the agenda, participating in discussions about the content to be included in the app, and providing in-depth feedback on multiple versions of the items as they were developed. In addition to the PAC members, an independent group of people with diabetes without prior knowledge to the project was also invited to test the app content (see below).

Conduct targeted literature review

A targeted literature review was conducted to identify literature focused on the impact of hypoglycaemia. The review served to identify aspects of life and constructs (e.g., emotional well-being), that were: 1) relevant to the potential or known personal impact of hypoglycaemia, and 2) subject to temporal fluctuation (day-to-day changes).

The construct of “quality of life” (QoL) was used as a starting point to identify relevant areas of daily life [22]. QoL has been defined as a subjective, dynamic, and multi-dimensional construct; consisting of physical, psychological and social aspects [22]. The World Health Organisation (WHO) specifies six broad domains of

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4 QoL, including 24 more specific facets [23]. It is important to emphasize that the goal was not to develop an
5 app that measures QoL as a whole (or the impact of hypoglycaemia on QoL), but to use this construct to
6 identify areas of life (in the literature) relevant to the *daily*, personal impact of hypoglycaemia.
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10 Based on the literature review, the following areas of daily life were regarded as relevant for inclusion in the
11 app. First, hypoglycaemia can impair sleep quality and sleep duration due to the biological consequences of
12 hypoglycaemia and the sleep interruption resulting from managing [24-26] or fear of [27] night-time
13 episodes. Second, hypoglycaemia can affect physical functioning in several ways; the most frequently
14 reported include feeling tired and less energetic [28, 29]. Third, hypoglycaemia can be associated with
15 negative emotions including decreased happiness [28], and increased irritability [29], anxiety [28] and
16 depressive symptoms [8]. Fourth, hypoglycaemia can negatively impact cognitive functioning with reduced
17 alertness [29] decreased memory [30] and lower concentration [31]. Fifth, hypoglycaemia has been
18 associated with higher levels of fear of hypoglycaemia, potentially impairing QoL [32, 33]. Concerns regarding
19 hyperglycaemia (as a key risk factor for vascular complications) are also relevant due to these potentially
20 leading to more hypoglycaemic episodes through repeated insulin correction doses being given in an attempt
21 to avoid high glucose levels [34]. Sixth, qualitative studies in people with diabetes found that fear of
22 hypoglycaemia contributed to avoiding participation in, or disruption to, usual daily activities, such as social
23 activities, driving, sports, or work, and that this had a negative impact on QoL [35]. Rigid routines, like
24 intensive glucose monitoring and meal-planning, may limit the ability to engage in social activities [35], and
25 hypoglycaemic events were also described as being socially embarrassing [35]. Finally, it has been found that
26 hypoglycaemic episodes have substantial economic consequences, causing a loss of productivity amounting
27 to between \$15.26 to \$93.47 (2009 USD) per self-treated hypoglycaemic episode and 8.3 to 15.9 hours of
28 lost work time per month [36]. Productivity losses have been reported to be highest for those individuals
29 who experienced nocturnal episodes [36].
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36 **Develop conceptual framework**

37 Combining the outcomes of the working group discussions and the results from earlier studies into the impact
38 of hypoglycaemia, a conceptual framework was developed (Figure 1). It represents the overall constructs
39 relevant to the personal impact of hypoglycaemia (inner circle) and the specific areas of daily functioning to
40 be assessed in the Hypo-METRICS app (outer circle).
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45 **Phase 2: Hypo-METRICS app: design and development**

46 **Establish general principles for design of the Hypo-METRICS app**

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48 Phase 2 involved the development of the specific questions for the app. A group of items listed within an
49 area is referred to as a “module”. For practical reasons, the conceptual framework titles were not used as
50 module names, although each of the specific areas of daily life from the conceptual framework is represented
51 across the modules. To start, a set of general principles for the app was developed. The app design process
52 involved developing item content, response options (e.g., check-box responses or labels for the scales) and
53 response scales (numerical scales). An iterative approach was used involving multiple meetings between the
54 main working group, PAC members and the wider Hypo-RESOLVE consortium, followed by refinement of the
55 app, and circulation to stakeholders for feedback. After initial consensus regarding the app items, three user-
56 testing sessions, involving 15 people with diabetes who had not been involved in the development phase,
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4 were held at King's College London in March 2019. The purpose was to refine and ensure the feasibility of
5 the app items (see below). Once the app content was finalized it was implemented into a smartphone
6 platform provided by uMotif Limited (London, UK).
7

8 In the early phases of the app development, the following general principles were defined. It was agreed that
9 the Hypo-METRICS app should:
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- 11 1. be suitable for use in clinical studies targeting adults (>18 years) with T1DM or T2DM to examine the
12 potential direct impact of hypoglycaemia on daily life.
- 13 2. be suitable to capture information about self-reported hypoglycaemia.
- 14 3. be suitable for administration via a smartphone, providing user-friendly access and optimized for use on
15 both iOS and Android devices.
- 16 4. include only relevant domains, with a view to minimising burden on participants, non-completion of
17 specific items or timepoints, or study attrition.
- 18 5. be suitable for multiple assessments per day, to ensure data collection as close as possible to
19 hypoglycaemic episodes as they occur (thereby minimising recall bias) and at other times as demanded
20 by a study protocol (in the absence of preceding hypoglycaemia).
- 21 6. be optimised for collecting and storing data in accordance with data protection regulations to ensure
22 confidentiality of participant information.
- 23 7. be using recommended language related to diabetes and people with diabetes (i.e., non-judgemental
24 and non-stigmatising) [37, 38]
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30 **Develop items and response options**

31 When developing app items, the working group considered that it might be difficult for the person with
32 diabetes to determine whether and to what extent (un)recognised hypoglycaemia impacted on a certain area
33 of life. For example, mood can be concurrently impacted by hypoglycaemia and many other factors, and
34 separating these can be challenging [39]. Therefore, it was decided that the majority of app questions should
35 be phrased in a general way rather than being attributed to hypoglycaemia specifically. The questions would
36 instead be asked frequently (three times daily) in a general manner (e.g., "How is your mood right now?"),
37 thereby enabling responses to be linked later with either person reported hypoglycaemia (PRH) or CGM-
38 detected hypoglycaemia, to investigate correlations with hypoglycaemia in its different manifestations
39 (symptomatic and asymptomatic). Asking general questions routinely, regardless hypoglycaemia, allows for
40 a comparison between days (or nights) with versus without hypoglycaemia.
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45 Another consideration for item development was the number of daily assessments. Existing literature does
46 not provide clear consensus on the optimal number of assessments (called "check-ins" in the Hypo-METRICS
47 app) or sampling frequency [40, 41]. Building the app with three daily "check-ins" was a consensus decision
48 based on a compromise between capturing as much variation over the day as possible, while allowing for use
49 of the app in studies with longer durations (i.e. multiple weeks), wherein it is key to minimise participant
50 burden, as this could impact on completion rates and attrition.
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53 The frequency with which each app module or items are presented to the respondent throughout a given
54 day was determined by: a) the amount of variation expected throughout the day in the construct being
55 measured, and b) the feasibility of responding to certain items at certain times of day; for example, the work-
56 related items were only presented in the evening-check-in (after work-hours), while mood was assessed at
57 every check-in.
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4 Two modules: “self-report of hypos while asleep” in the morning check-in and “self-report of daytime hypos”
5 in the evening check-in, were developed with a branching option. This means that respondents are only asked
6 to respond to items in these modules if they have already reported a particular experience, e.g.
7 hypoglycaemia while asleep. In this instance, respondents would be asked additional questions specific to
8 each event (i.e., time reference, detection and management). These modules also consist of questions not
9 specific to single episodes but to hypoglycaemia overall across the day or night (e.g., loss of sleep due to
10 hypoglycaemia and worries about going back to sleep). An additional item was developed for these two
11 modules to assess how psychologically bothersome hypoglycaemia was overall. To expand the investigation
12 of hypoglycaemia’s impact on daily activities an overall item was included in the evening check-in asking,
13 “How long was it before you were feeling your ‘usual self’ again?”
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18 While some modules were assessed with single items (e.g., social interactions), others were assessed with
19 multiple items (e.g., mood and cognitive function). The number of items selected to measure each construct
20 was dependent on the complexity and dimensionality of the concept. The items were developed as questions
21 (e.g., “How anxious do you feel right now?”) instead of potentially leading statements (“I’m feeling anxious”).
22 The goal was to use short and precise sentences and avoid double-barrelled statements (e.g., “I woke up
23 feeling fresh and rested”). Negatively phrased items which could be leading for participants were avoided
24 when possible (e.g. “How is your mood right now?” instead of “How depressed do you feel?”). The aim was
25 to use non-academic, everyday language; for example, instead of asking about “sleep quality”, participants
26 were asked how they slept and how they felt when they woke up. The time-attribution for each item was
27 qualified with use of “right now”, “last night”, “today”, “later today”, and “while asleep”. For items about
28 event timing, only approximate time-points were requested to reduce the participant recall burden. The item
29 order was modified slightly between the check-ins to minimise the risk of developing response habits and
30 participants just “clicking through” [42]. Several of these decisions were informed by experts in questionnaire
31 development within the consortium.
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36 Response scales were another integral aspect of item development. When considering the number of
37 points/options on a response scale, it has been suggested that the quality of measurement does not seem to
38 improve beyond 7-11 points on a numerical scale [43]. It was decided to use an 11-point numerical rating
39 scale, (0-10) to maximize sensitivity to (even minor) changes and to minimise floor and ceiling effects. Other
40 app-based EMA studies have similarly used 11-points scales [44-46]. To ensure both daily minor variations
41 and the more extreme and rare cases of variation were captured, both unipolar (e.g., “not at all – extremely”)
42 and bipolar (e.g., “extremely bad – extremely well”) response options were used. Numbers in the middle of
43 the scale were not labelled.
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49 **Conduct user-testing and debriefing of Hypo-METRICS app content**

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51 A group of people with diabetes without prior knowledge of the app, was invited to provide their feedback
52 on the draft items and response options. Participants were recruited via local diabetes clinics (King’s College
53 Hospital for people with T1DM and a UK general practitioner clinic for people with T2DM). The user-testing
54 occurred in parallel to the item development process and was an integral part of finalising the app content.
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57 A total of 7 people with T1DM (4 women, 3 men, aged 19-55 years) and 8 with T2DM (4 women, 4 men, aged
58 59-72 years) using multiple daily insulin injections (at least 2 per day) participated in the user-testing sessions.
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Participants met as two separate groups in two sessions to provide feedback on the app content; however participants only tested the questions as a paper-and-pencil version and not in the uMotif platform. All participants with T1DM experienced hypoglycaemia multiple times per week, while the reported experience in those with T2DM ranged from less than once per month to multiple times per week. Overall, participants expressed good awareness of hypoglycaemia, although four of the people with T2DM reported that since they did not experience hypoglycaemia frequently, their partners often (1 participant) or sometimes (3 participants) would recognize a hypoglycaemic episode before they did.

The overall feedback on the item content was positive, and participants expressed the importance of addressing the day-to-day impact of hypoglycaemia. Participants reported that completion of the app items three times per day was a feasible task. A selection of the feedback is provided in Table 2.

Table 2: Feedback from user-testing sessions and the changes implemented in the app

<i>Suggested change from PPI session:</i>	<i>Changes implemented in the Hypo-METRICS app:</i>
For the items asking, "At what time did this/these happen?" (referring to the hypoglycaemic events), there was an option to "Add extra timepoints if more than once". Participants suggested to add an extra item instead asking, "How many hypos did you have?". Further, there was a wish for more clarity on how to classify multiple events versus long-standing ones.	We did as was suggested and removed the "Add extra timepoints" option, and included an item asking, "How many hypos did you have?" both in the morning and evening check-in. Further, we added an "Add another hypo" function, so participants could respond to the hypoglycaemia-specific items for each event. We wanted participants to judge the difference between multiple and long-standing events themselves, to learn more about how the events are perceived from the participants' perspective; thus, no changes were implemented on this point.
For the items "During the night, did you have a hypo OR take action to prevent a hypo?" and "Did you have a hypo today OR did you prevent a hypo today?" there was uncertainty about what is meant by "preventing". E.g., some participants were in doubt if this included having a snack before bed "just in case" rather than preventing an imminent hypoglycaemic event.	We decided to add "...prevent a hypo <i>that was about to happen</i> " to emphasize that we are not trying to capture the "just in case" snacks or insulin reductions, but instead events that were just about to happen, and most likely would have happened if the participant had not taken corrective action.
For the item "How anxious/relaxed do you feel right now?" with the bidirectional 11-point response scale "Extremely relaxed (0)" – "Extremely anxious (10)", participants felt that these did not necessarily belong on the same scale.	We decided to change this item to "How anxious do you feel right now?" with a unidirectional 11-point response scale "Not at all (0)" – "Extremely (10)". We similarly adjusted other items to make response scales similar.
There was disagreement about the use of the word "burden" in the item "How much of a burden was hypoglycaemia last night?", as it was perceived as overly strong language	We adjusted the wording of the question to "How bothersome was hypoglycaemia last night?"
The items "How long did your hypo(s) (on average) prevent you from doing your usual activity" and "How long was it (on average) before you were feeling your "usual self" again?" caused some confusion, and participants said these would need extra clarification. Further it was suggested not to ask on average, but for each event.	The first item was removed from the app and replaced by several items recommended by health economic experts within the Hypo-RESOLVE consortium to better capture the effect of hypoglycaemia on work and productivity. The last item was changed to "Overall... How long was it before you were feeling your "usual self" again?".
Since the item "Did your hypo(s) today negatively impact your social activities?" was placed right after the work-related items, participants were in doubt if the item was asking in relation to work or any activities during the day.	The item was separated from the work-related items and adjusted to "How well did you get along with other people today?". The new wording more accurately captures the intention of the question.

Suggested change from PPI session:	Changes implemented in the Hypo-METRICS app:
For the cognitive function items asking, "How is your concentration/memory/attention right now?" participants said they found it difficult to answer these items in the morning check-in since they had not done anything in the morning to really concentrate on or remember. Similarly, it was unclear what memory we are referring to (short term, long term or for specific tasks). Further the difference between concentration and attention caused uncertainty.	We changed the items into "How alert do you feel right now?", "How well are you able to concentrate right now?" and "How easy was it for you to remember things today?", and decided to only ask the latter item in the evening check-in, so that participants could reflect on their day in order to make an assessment of whether they experienced any memory difficulties.
A number of functionalities were suggested to include in the app including: <ul style="list-style-type: none"> - A "question progress bar" to see how many questions remain in each check-in - A "study progress bar" to see how many days of the study they have left - A text field entry field so participants could provide more context - A "large text" feature - A "snooze" function, so a reminder notification is sent out later. 	Unfortunately, the app platform did not support progress bars for question or study progress. For some items, we included an option with free-text field entry but decided not to include free-text options for all items, to minimise participant burden and to avoid large amount of qualitative data that would require extensive analysis. A diary function in the app would allow participant to write additional notes during the study. For the large-text option, we provided a description for how to adjust this in the smartphone settings. The app platform did not support "snooze" functions.

After an iterative design process, including debriefing of items and response options with potential users, a total of 29 unique items were selected to best represent the conceptual framework (Figure 1), and were presented in the app via seven modules (Table 3).

Table 3: Items per module, and completion timepoints ('check-ins')

Module names and items	Conceptual framework domain	Completion timepoints ('Check-ins')		
		Morning	Afternoon	Evening
Sleep quality module (2 items)				
1. How well did you sleep?	Sleep quality	x		
2. When you woke up how did you feel?	Sleep quality	x		
General well-being module (7 items)				
3. How is your mood right now?	Mood	x	x	x
4. How anxious do you feel right now?	Anxiety	x	x	x
5. How is your energy level right now?	Energy levels	x	x	x
6. How irritable do you feel right now?	Mood	x	x	x
7. How alert do you feel right now?	Cognitive function	x	x	x
8. How easy was it for you to remember things today?	Cognitive function			x
9. How well are you able to concentrate right now?	Cognitive function	x	x	x
Fear of hypo-/hyperglycaemia module (4 items)				
10. How worried are you about having a hypo later today?	Fear	x	x	
11. How worried are you about having high blood glucose later today?	Fear	x	x	
12. How worried are you about having a hypo while asleep?	Fear			x
13. How worried are you about having high blood glucose while asleep?	Fear			x

Social interactions module (1 item)				
14. How well did you get along with other people today?	Social interactions			x
Work and productivity module (4 items)				
15. How many hours did you work today?	Work/productivity			x
16. How many hours did you miss from work for ANY reason today? [this includes health issues, vacation, holiday, etc.]	Work/productivity			x
17. How many hours did you miss from activities other than work today for ANY reason (e.g. study, housework, shopping, family or leisure activities)?	Leisure activities			x
18. How productive were you while working today? (Work/productivity			x
Self-report of hypos while asleep module* (8 items)				
19. During the night, did you have a hypo OR take action to prevent a hypo that was about to happen?***	NA	x		
20. How many hypos did you have?	NA	x		
21. At what time did this happen?	NA	x		
22. How did you detect your hypo or a hypo that was about to happen? (Select all that apply)	NA	x		
23. What happened? (Select all that apply)	NA	x		
24. Overall: How bothersome was hypoglycaemia for you last night?	Burden	x		
25. Overall: How much sleep did you lose due to hypoglycaemia?	Sleep quality	x		
26. Overall: How worried were you about going back to sleep?	Sleep quality	x		
Self-report of daytime hypos module* (7 items)				
27. Today, did you have a hypo OR take action to prevent a hypo that was about to happen?***	NA			x
20.1 How many hypos did you have?	NA			x
21.1 At what time did this happen?	NA			x
22.1 How did you detect your hypo or a hypo that was about to happen?	NA			x
23.1 What happened?	NA			x
28. Overall: How bothersome was hypoglycaemia for you today?	Burden			x
29. Overall: How long was it before you were feeling your "usual self" again?	Daily living / usual activities			x

* Several of these items are not part of the conceptual framework, but were included to capture details about the hypoglycaemic episodes

** These items have branching: if a hypo is reported, the items below are presented to the participant for completion.

Select app platform and design app

After the items and response options were finalised, they were implemented into a software platform provided by "uMotif Limited" with a data capture application that can be used on iOS and Android compatible smartphones [47] (see figure 2). "uMotif Limited" was chosen due to its high data security and confidentiality policies that comply with current EU General Data Protection Regulation (GDPR) laws and has been used in other patient-centred data capture studies [48, 49]. In order to maximise feasibility, participants could only complete check-ins at predefined time-intervals: from 06:00-12:00 (morning), 12:00-18:00 (afternoon) and

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4 18:00-24:00 (evening). Participants could self-initiate the check-ins but were not able to complete the
5 individual check-ins outside these time-intervals. The app was further configured to provide automated
6 notifications (at predefined times of day: 07:00 hours, 15:00 hours and 21:00 hours) inviting participants to
7 complete check-ins in the morning, afternoon and evening, respectively. The wide time intervals were chosen
8 to increase the likelihood of completion.
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11 **Phase 3: Hypo-METRICS app: planning psychometric validation**

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14 Phase 3 is focused on the planned investigation of the psychometric properties of the Hypo-METRICS app for
15 the measurement of the day-to-day personal impact of hypoglycaemia.
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17 **Design study and key study details**

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19 The Hypo-METRICS app has been implemented for the first time in the Hypo-METRICS clinical study, a large,
20 prospective multi-country study starting October 2020 and led by the Hypo-RESOLVE consortium [21]. Briefly,
21 participants are asked to complete three daily check-ins (morning, afternoon, evening) on their smartphone
22 for 10 weeks, while wearing a blinded CGM to measure glucose values throughout the day and night. This
23 study will enable largescale testing and psychometric analysis of the Hypo-METRICS app.
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26 The target population for this study is European adults with T1DM or insulin-treated T2DM, and the sample
27 of participants chosen to represent this population will consist of 600 adults (aged 18-85 years) recruited
28 from eight specialist diabetes centres across five countries (Austria, Denmark, France, The Netherlands,
29 United Kingdom). The Hypo-METRICS app was developed in English and afterwards translated from English
30 into the four other languages. The translation plan was developed and based on the principles for translating
31 Patient-Reported Outcomes as described by Wild et al [50]. After providing informed consent, participants
32 will attend a baseline visit (physically or online), where training in use of the app will be provided. Further
33 details on the Hypo-METRICS clinical study, including the full list of objectives, can be found here:
34 <https://www.clinicaltrials.gov/ct2/show/record/NCT04304963> [51].
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39 **Develop psychometric analysis plan**

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41 With the development of a new instrument, it is important to examine its validity and reliability [52]. Using
42 data from the Hypo-METRICS clinical study, including user-experience interviews with a subset of
43 participants, the latent structure, internal consistency, test-retest reliability, construct validity, feasibility and
44 acceptability, and completion rates of the app will be explored.
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46 *Latent structure, internal consistency, test-retest reliability, and construct validity:* The examination of the
47 validity and reliability of the Hypo-METRICS app will start with an investigation of the latent structure of the
48 app to examine whether items can be grouped in factors. A multilevel factor analysis will be conducted
49 separately for each of the three check-ins to avoid violating assumptions of independency between the
50 repeated measurements [53]. Further, internal consistency of items listed under each latent factor will be
51 investigated using McDonald's ω [54]. To explore test-retest reliability, factor scores will be aggregated and
52 compared (via correlation analysis) across two different weeks. To examine between- and within-person
53 variability on an item level, intraclass correlations (ICC) [55] and root mean square of successive differences
54 (RMSSD) [56] will be calculated. Lastly, construct validity will be examined by analysing the correlations
55 between the items or factor scores from the Hypo-METRICS app and validated self-report questionnaires
56 (listed in Table S1 in supplementary material) [54]. These questionnaires assess either constructs where a
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4 moderate to strong relationship (convergent validity) or weak relationship (discriminant validity) with the
5 app items is expected. Although the app items and the validated questionnaires focus on different time
6 frames, moderate correlations are still expected as they address the same constructs.
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9 *Feasibility and Acceptability (via user-experience interviews):* Although the content (items and response
10 options) of the Hypo-METRICS app has been tested by people with diabetes, the finalized Hypo-METRICS app
11 (i.e., following integration into the “uMotif Limited” platform) has not yet undergone full user-testing. Semi-
12 structured interviews will be undertaken with approximately twenty participants of the Hypo-METRICS study
13 to explore the acceptability and feasibility of the app, and their experiences of using the app in their daily
14 lives. Participants will be purposively sampled to ensure diversity on the following characteristics: type of
15 diabetes, sex, age and completion rate.
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18 *Completion rates:* An analysis of completion rates and patterns of missing data from the clinical study will be
19 performed on the full sample (n=600). The proportion of check-ins and items not submitted together with
20 the number of skipped items (i.e., where participants have submitted the check-in but “skipped” an item)
21 will be examined. Using multilevel analyses, factors that predict completion (e.g., day of study, time for check-
22 in, age, sex, type of diabetes and more) will be determined. Distribution of responses, including how long
23 after the notification the participants on average respond and the distribution of responses for each item,
24 will similarly be examined. This analysis may help to refine future versions of the app and to determine the
25 types of studies/contexts suitable for use of the app.
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30 **Ethics and dissemination**

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32 Ethical considerations are pertinent to this work. The participants are not required to provide personal
33 information when registering to use the Hypo-METRICS app; instead they will use study-specific email
34 addresses (e.g. participantnumber@gmail.com) and can enter their study number instead of their real name.
35 The participant requires access to a smartphone (iOS or Android system) and either WIFI or mobile data for
36 entering responses.
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39 For analytic purposes, all data will be handled as pseudonymised data. “uMotif Limited” will only process
40 encrypted data. Data are stored securely in accordance with GDPR at all times. The Hypo-METRICS clinical
41 study has received ethical approval at the lead site and in all five European countries.
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43
44 There is a risk that the completion of items (and additional questionnaires used for validation purposes)
45 required for the study may over-burden participants or cause discomfort. In these situations, the participants
46 can opt to skip questions and/or seek assistance from the healthcare professional at their local recruitment
47 centre.
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50 The results from the psychometric analyses and the semi-structured interviews will be submitted to peer-
51 reviewed and open access journals, and further presented at both national and international conferences.
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53
54 Ethical approval was not required for the Hypo-METRICS app development. The Hypo-METRICS clinical study
55 has received ethical approval at the lead site from the South Central Oxford B Research Ethics Committee
56 (20/SC/0112) and in the other European countries (in the Netherlands by CMO Region Arnhem-Nijmegen, in
57 Austria by Ethikkommission der Medizinischen Universität Graz, in Denmark by Videnskabetisk Komite for
58 Region Hovedstaden and in France by the Comité de Protection Des Personnes SUD Mediterranee IV).
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The study registration can be found here <https://www.clinicaltrials.gov/ct2/show/NCT04304963>.

Discussion

Hypoglycaemia is an important complication of insulin treatment among people with diabetes. In this paper, the systematic development of the Hypo-METRICS app, tailored to determine the impact of hypoglycaemia on daily functioning, is described. The iterative design process, involving multidisciplinary teamwork between psychologists and diabetologists in close collaboration with people with diabetes, was key to the app development. The feedback from user-testing with people with diabetes (who had not been involved in the item development) was overall positive. They found it manageable to complete the questions across the planned three daily check-ins. In this paper, we also present the planned psychometric validation work that will be carried out with data from a multi-country clinical study, where the Hypo-METRICS app will be used for the first time by a large number of participants over a 10-week study period. This study will further allow for in-depth interviews with a subset of participants who have used the app.

It is anticipated that the Hypo-METRICS app will minimise recall bias, maximize ecological validity, document variation over time and allow for a more in-depth understanding of the day-to-day impact of hypoglycaemia. The app includes seven modules (29 unique items) assessing: self-report of hypoglycaemic episodes (during the day and night respectively), sleep quality, well-being/cognitive function, social interactions, fear of hypo-/hyperglycaemia, and work/productivity. Once the Hypo-METRICS app has undergone psychometric analysis, the authors anticipate that it will provide a novel tool for researchers to more accurately examine the impact of hypoglycaemia. The Hypo-METRICS app may be used as a key outcome in clinical trials evaluating new glucose lowering medications or new diabetes technology, but it can perhaps also be used in clinical settings to further optimize diabetes care and outcomes for individuals with diabetes. It must be emphasized that the Hypo-METRICS app has been developed for adults with diabetes (using insulin) in the UK, Denmark, the Netherlands, Austria, and France, and that adaptations will be required for its use in other groups (e.g., youth with diabetes, pregnant women with diabetes) and other countries.

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- *Danish site*: Mette Valdersdorf Jensen (PhD student, M.P.H.), affiliated with University of Southern Denmark; and Stine Tving Kjøller (Research Nurse) affiliated with Nordsjællands Hospital, Hillerød Denmark.

Author contributions:

All authors were involved in the conceptualization of the app. US, MB, NZ, PD, CH, JS, PC and FP developed the app with expertise input and advice from AB, DP, BG, GN, RM, UPB and SAA. NZ and PC conducted the user-testing of app items and response options. US, MB, JS, CH and FP produced the first manuscript draft. US, MB and FP planned the psychometric analyses and semi-structured interviews with advice from JS, CH, ZM, NZ and PC. All authors reviewed the manuscript at multiple stages and provided feedback. All authors approved the final draft of the manuscript.

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Competing interest statement

UPB has received grants and personal fees from Novo Nordisk and personal fees from AstraZeneca, Bristol-Meyers Squibb, Sanofi and Zealand Pharma.

Patient consent

Not required for the Hypo-METRICS app development.

Data sharing statement

Data from the clinical study is currently being collected and are not available for access until end of the study.

The Hypo-METRICS app items is available upon request. Please contact Uffe Sørholm (usoeholm@health.sdu.dk) to request the latest version.

Figure caption:

Figure 1: Conceptual framework of the key areas of daily functioning that might be impacted by hypoglycaemia

Figure 2: Sample of screenshots of the Hypo-METRICS app on the uMotif Limited platform.

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Figure 1: Conceptual framework of the key areas of daily functioning that might be impacted by hypoglycaemia

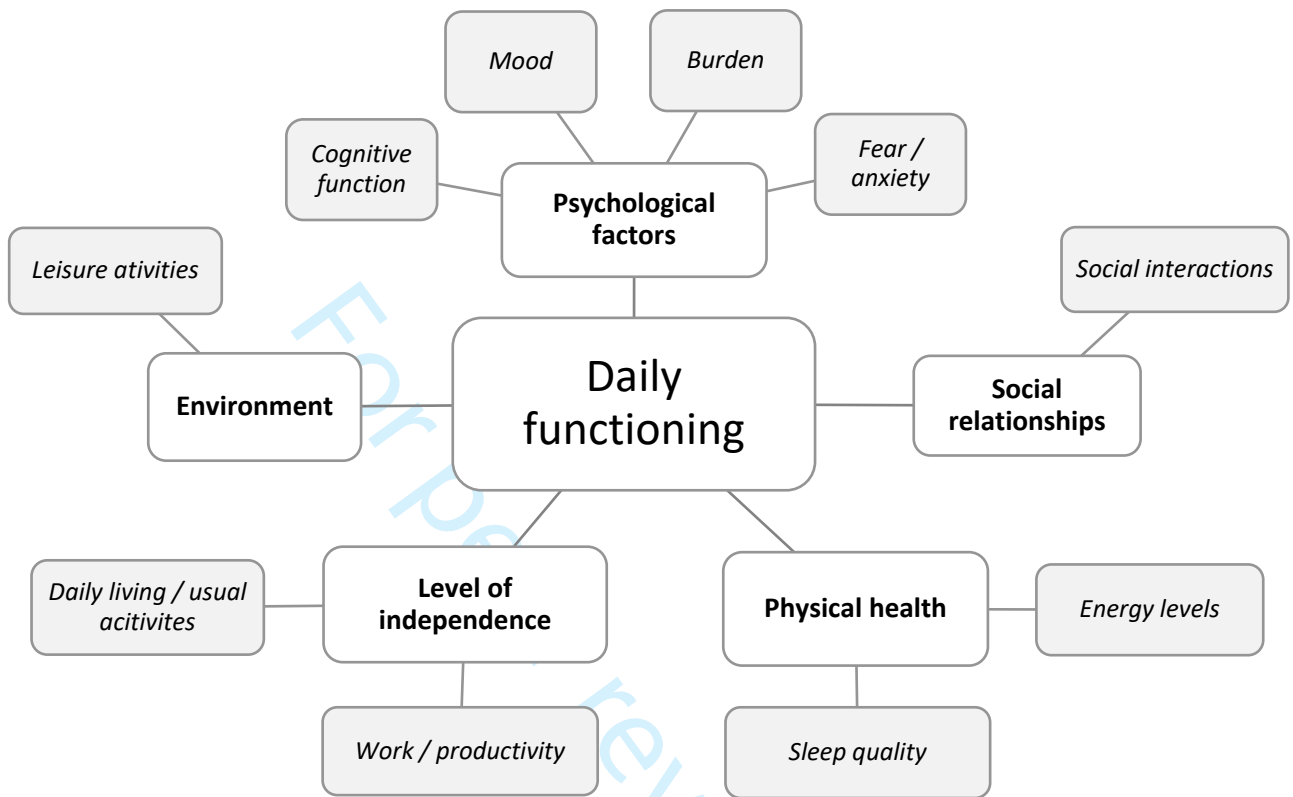


Figure 2: Sample of screenshots of the Hypo-METRICS app on the uMotif Limited platform.

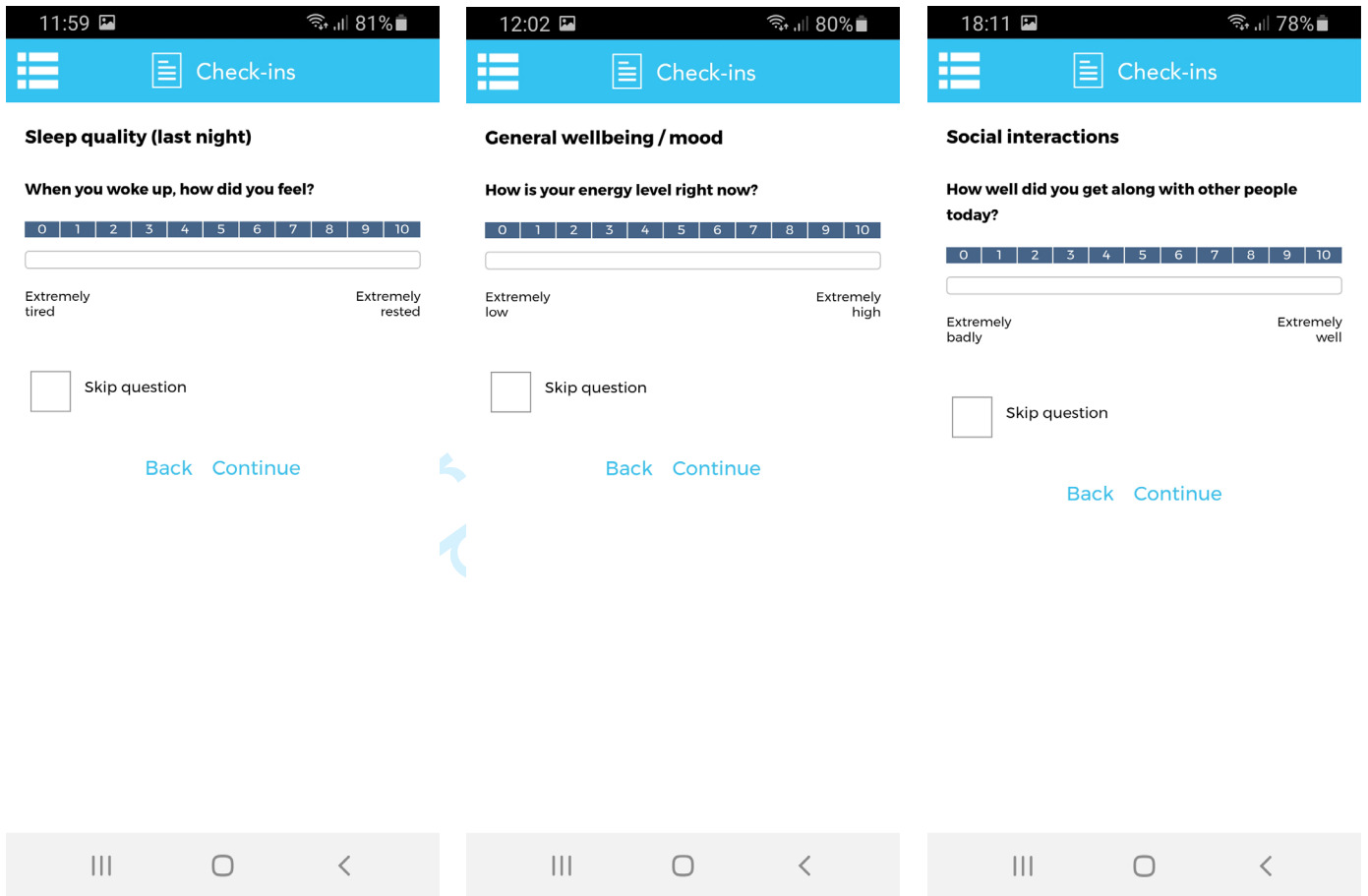


Table S1 – validated questionnaires used for assessment of construct validity

Construct measured	Validated questionnaires
Sleep quality / sleep disturbance	Patient-Reported Outcomes Measurement Information System (PROMIS) - Sleep Disturbance – Short Form 8b [2]
Depressive symptoms	Patient Health Questionnaire – 9 (PHQ-9) [1]
Anxiety symptoms	General Anxiety Disorder-7 (GAD-7) [2]
Vitality	Vitality subscale SF-36 [3]
Cognitive functioning	Perceived Deficit Questionnaire (PDQ-20) [4]
Fear of hypoglycaemia	Hypoglycaemic Fear Survey II (HFS-II) [5]
Diabetes Distress	Problem Areas In Diabetes (PAID-20) [6]
Diabetes-specific Quality of life	Dawn Impact of Diabetes Profile (DIDP) [7]
Work and productivity	Work Productivity and Activity Impairment Questionnaire: Specific Health Problem (WPAI:SHP) [8]

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Investigating the day-to-day impact of hypoglycaemia in adults with type 1 or type 2 diabetes: design and validation protocol of the Hypo-METRICS application.

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Investigating the day-to-day impact of hypoglycaemia in adults with type 1 or type 2 diabetes: design and validation protocol of the Hypo-METRICS application.

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Abstract

Introduction: Hypoglycaemia is a frequent adverse event and major barrier for achieving optimal blood glucose levels in people with type 1 or type 2 diabetes using insulin. The Hypo-RESOLVE (Hypoglycaemia – Redefining SOLUTIONS for better liVEs) consortium aims to further our understanding of the day-to-day impact of hypoglycaemia. The Hypo-METRICS (Hypoglycaemia MEasurement, ThResholds and ImpaCtS) application (app) is a novel app for smartphones. This app is developed as part of the Hypo-RESOLVE project, using ecological momentary assessment methods that will minimise re-call bias and allow for robust investigation of the day-to-day impact of hypoglycaemia. In this paper, the development and planned psychometric analyses of the app are described.

Methods and analysis: The three phases of development of the Hypo-METRICS app are: 1) establish a working group – comprising diabetologists, psychologists and people with diabetes – to define the problem and identify relevant areas of daily functioning; 2) develop app items, with user-testing, and app platform implementation; and 3) plan a large-scale, multi-country study including interviews with users and psychometric validation. The app includes seven modules (29 unique items) assessing: self-report of hypoglycaemic episodes (during the day and night respectively), sleep quality, well-being/cognitive function, social interactions, fear of hypo-/hyperglycaemia, and work/productivity. The app is designed for use within three fixed time intervals per day (morning, afternoon and evening). The first version was released mid-2020 for use (in conjunction with continuous glucose monitoring and activity tracking) in the Hypo-METRICS study; an international observational longitudinal study. As part of this study, semi-structured user-experience interviews and psychometric analyses will be conducted.

Ethics and dissemination: Use of the novel Hypo-METRICS app in a multi-country clinical study has received ethical approval in each of the five countries involved (Oxford B Research Ethics Committee, CMO Region Arnhem-Nijmegen, Ethikkommission der Medizinischen Universität Graz, Videnskabetisk Komite for Region Hovedstaden and the Comité de Protection Des Personnes SUD Mediterranee IV). The results from the study will be published in peer review journals and presented at national and international conferences.

Keywords: hypoglycaemia, diabetes, quality of life, daily functioning, smartphone application, patient reported outcomes

Article summary

Strengths and limitations of this study

- The development of the Hypo-METRICS app is based on a strong multidisciplinary collaboration between psychologists, diabetologists and people with diabetes.
- The Hypo-METRICS app was designed for a specific target population (adults aged ≥ 18 years with diabetes using insulin) and adaptations may be required for other groups.
- The Hypo-METRICS app will be used in a European multi-country clinical study, which will enable its psychometric properties to be examined.
- As the app is designed to require the user to complete multiple daily assessments, there is a risk of participant burden and dropout, which require further investigation.
- Use of the novel Hypo-METRICS app in conjunction with continuous glucose monitoring (CGM) will enable a detailed investigation of the day-to-day impact of hypoglycaemia on various areas of daily life, with minimal recall bias, and will yield a more thorough understanding of variation over time.

Peer review only

Introduction:

Hypoglycaemia (low blood glucose) is an important and often burdensome side effect of insulin therapy for people with type 1 or type 2 diabetes (T1DM/T2DM) [1]. The reported frequency of severe hypoglycaemic episodes (where assistance of others is needed for recovery) has been estimated at 0.2-3.2 episodes per person per year among adults with T1DM and at 0.1-0.7 episodes per person per year in adults with insulin-treated T2DM [2]. Self-treated episodes are much more common, yet their prevalence is harder to quantify due to inconsistencies in definitions (symptom-based versus glucose level-based) and the fact that they can be overlooked [3]. The weekly prevalence has been estimated at 1-2 episodes in T1DM and 0.3-0.7 in T2DM [2]. Hypoglycaemia can be life-threatening [4], is increasingly being associated with a higher risk of future complications and cardiovascular events [4-11], and negatively impacts on psychological well-being [12] and quality of life (QoL) [4]. In order to manage their risk of hypoglycaemia, people with diabetes often adapt their diabetes management (e.g., reduce insulin doses, avoid physical activity, increase caloric intake), which can negatively impact on their HbA1c, or adapt their lifestyle (e.g., avoid being alone or situations in which hypoglycaemia may occur or cause embarrassment), which can negatively impact on their quality of life [13]. Hypoglycaemia is commonly seen as major barrier for achieving optimal blood glucose levels [14].

Many studies focusing on the personal impact of hypoglycaemia have not examined the temporal relationship between hypoglycaemia and its impact on sleep, mood, cognition, energy levels, social interactions and work-productivity, in non-clinical, real-life settings. The impact of hypoglycaemic episodes has typically been assessed retrospectively, with people self-reporting the typical or average impact over several weeks or months [15], which may be prone to under- or over-estimation due to reduced recall [16, 17]. Furthermore, retrospective assessments, by definition, cannot assess the immediate effect of each hypoglycaemic episode, including within-person fluctuations over time.

Ecological momentary assessment (EMA) offers the opportunity to overcome some of these limitations and complement insights from retrospective assessments. EMA is a method of collecting data (typically using portable devices such as smartphones) in real-world environments ("ecological"), addressing a current or very recent state ("momentary"), measured either randomly, at specific times, or in relation to specific events, with multiple assessments to follow variation over time and across situations [18]. Continuous glucose monitoring (CGM) can be considered an objective EMA assessment, capturing episodes of hypoglycaemia 24/7, including those of which the person with diabetes is otherwise unaware [19]. Self-reported EMAs can be used to assess an individual's current thoughts, feelings and behaviours, as well as the contextual factors that may affect them. For these self-reported factors, EMA methods may minimise recall bias, maximise ecological validity and document variation over time [18], providing an opportunity for timely assessment of constructs like sleep, mood, cognition, energy levels, social interactions and work-productivity, particularly when assessed via smartphones (or similar portable devices) [20], in parallel with glucose levels assessed using CGM.

EMA research is urgently needed to improve our understanding of the impact of hypoglycaemia on day-to-day life. To address this need, the Hypo-METRICS (Hypoglycaemia MEasurement, ThResholds and ImpaCts) application (app) was developed. This paper describes the process of development of the app and the planned psychometric analyses.

Methods and analyses

This study is part of the Innovative Medicines Initiative 2-funded Hypo-RESOLVE (Hypoglycaemia – Redefining SOLUTIONS for better liVEs) project [21]. The three phases of the development and planned psychometric analysis of the Hypo-METRICS app are summarised in Table 1.

Table 1: Overview of Hypo-METRICS app development phases and activities

Phase	Activities
Phase 1: Defining the problem	<i>Establish working group and liaise with Patient Advisory Committee</i>
	<i>Conduct targeted literature review</i>
	<i>Develop conceptual framework</i>
Phase 2: Hypo-METRICS app: design and development	<i>Establish general principles for design of the Hypo-METRICS app</i>
	<i>Develop items and response options</i>
	<i>Conduct user-testing and debriefing of Hypo-METRICS app content</i>
	<i>Select app platform and design app</i>
Phase 3: Hypo-METRICS app: planning psychometric validation	<i>Design study and key study details</i>
	<i>Develop psychometric analysis plan</i>

Phase 1: Defining the problem

Establish working group and liaise with Patient Advisory Committee

A working group with expertise in questionnaire development and validation, medical psychology, and endocrinology was established. The role of this group was to define the conceptual framework for the Hypo-METRICS app content, and identify relevant domains for inclusion in the app.

Patient and Public Involvement: During the two-year development period, the working group worked collaboratively with the Hypo-RESOLVE Patient Advisory Committee (PAC) and sought monthly input from the wider Hypo-RESOLVE consortium. The PAC members played a key role in setting the agenda, participating in discussions about the content to be included in the app, and providing in-depth feedback on multiple versions of the items as they were developed. In addition to the PAC members, an independent group of people with diabetes without prior knowledge to the project was also invited to test the app content (see below).

Conduct targeted literature review

A targeted literature review was conducted to identify literature focused on the impact of hypoglycaemia. The review served to identify aspects of life and constructs (e.g., emotional well-being), that were: 1) relevant to the potential or known personal impact of hypoglycaemia, and 2) subject to temporal fluctuation (day-to-day changes).

The construct of “quality of life” (QoL) was used as a starting point to identify relevant areas of daily life [22]. QoL has been defined as a subjective, dynamic, and multi-dimensional construct; consisting of physical, psychological and social aspects [22]. The World Health Organisation (WHO) specifies six broad domains of

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4 QoL, including 24 more specific facets [23]. It is important to emphasize that the goal was not to develop an
5 app that measures QoL as a whole (or the impact of hypoglycaemia on QoL), but to use this construct to
6 identify areas of life (in the literature) relevant to the *daily*, personal impact of hypoglycaemia.
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10 Based on the literature review, the following areas of daily life were regarded as relevant for inclusion in the
11 app. First, hypoglycaemia can impair sleep quality and sleep duration due to the biological consequences of
12 hypoglycaemia and the sleep interruption resulting from managing [24-26] or fear of [27] night-time
13 episodes. Second, hypoglycaemia can affect physical functioning in several ways; the most frequently
14 reported include feeling tired and less energetic [28, 29]. Third, hypoglycaemia can be associated with
15 negative emotions including decreased happiness [28], and increased irritability [29], anxiety [28] and
16 depressive symptoms [8]. Fourth, hypoglycaemia can negatively impact cognitive functioning with reduced
17 alertness [29] decreased memory [30] and lower concentration [31]. Fifth, hypoglycaemia has been
18 associated with higher levels of fear of hypoglycaemia, potentially impairing QoL [32, 33]. Concerns regarding
19 hyperglycaemia (as a key risk factor for vascular complications) are also relevant due to these potentially
20 leading to more hypoglycaemic episodes through repeated insulin correction doses being given in an attempt
21 to avoid high glucose levels [34]. Sixth, qualitative studies in people with diabetes found that fear of
22 hypoglycaemia contributed to avoiding participation in, or disruption to, usual daily activities, such as social
23 activities, driving, sports, or work, and that this had a negative impact on QoL [35]. Rigid routines, like
24 intensive glucose monitoring and meal-planning, may limit the ability to engage in social activities [35], and
25 hypoglycaemic events were also described as being socially embarrassing [35]. Finally, it has been found that
26 hypoglycaemic episodes have substantial economic consequences, causing a loss of productivity amounting
27 to between \$15.26 to \$93.47 (2009 USD) per self-treated hypoglycaemic episode and 8.3 to 15.9 hours of
28 lost work time per month [36]. Productivity losses have been reported to be highest for those individuals
29 who experienced nocturnal episodes [36].
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36 **Develop conceptual framework**

37 Combining the outcomes of the working group discussions and the results from earlier studies into the impact
38 of hypoglycaemia, a conceptual framework was developed (Figure 1). It represents the overall constructs
39 relevant to the personal impact of hypoglycaemia (inner circle) and the specific areas of daily functioning to
40 be assessed in the Hypo-METRICS app (outer circle).
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45 **Phase 2: Hypo-METRICS app: design and development**

46 **Establish general principles for design of the Hypo-METRICS app**

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48 Phase 2 involved the development of the specific questions for the app. A group of items listed within an
49 area is referred to as a “module”. For practical reasons, the conceptual framework titles were not used as
50 module names, although each of the specific areas of daily life from the conceptual framework is represented
51 across the modules. To start, a set of general principles for the app was developed. The app design process
52 involved developing item content, response options (e.g., check-box responses or labels for the scales) and
53 response scales (numerical scales). An iterative approach was used involving multiple meetings between the
54 main working group, PAC members and the wider Hypo-RESOLVE consortium, followed by refinement of the
55 app, and circulation to stakeholders for feedback. After initial consensus regarding the app items, three user-
56 testing sessions, involving 15 people with diabetes who had not been involved in the development phase,
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4 were held at King's College London in March 2019. The purpose was to refine and ensure the feasibility of
5 the app items (see below). Once the app content was finalized it was implemented into a smartphone
6 platform provided by uMotif Limited (London, UK).
7

8 In the early phases of the app development, the following general principles were defined. It was agreed that
9 the Hypo-METRICS app should:
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- 11 1. be suitable for use in clinical studies targeting adults (>18 years) with T1DM or T2DM to examine the
12 potential direct impact of hypoglycaemia on daily life.
- 13 2. be suitable to capture information about self-reported hypoglycaemia.
- 14 3. be suitable for administration via a smartphone, providing user-friendly access and optimized for use on
15 both iOS and Android devices.
- 16 4. include only relevant domains, with a view to minimising burden on participants, non-completion of
17 specific items or timepoints, or study attrition.
- 18 5. be suitable for multiple assessments per day, to ensure data collection as close as possible to
19 hypoglycaemic episodes as they occur (thereby minimising recall bias) and at other times as demanded
20 by a study protocol (in the absence of preceding hypoglycaemia).
- 21 6. be optimised for collecting and storing data in accordance with data protection regulations to ensure
22 confidentiality of participant information.
- 23 7. be using recommended language related to diabetes and people with diabetes (i.e., non-judgemental
24 and non-stigmatising) [37, 38]
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31 **Develop items and response options**

32 When developing app items, the working group considered that it might be difficult for the person with
33 diabetes to determine whether and to what extent (un)recognised hypoglycaemia impacted on a certain area
34 of life. For example, mood can be concurrently impacted by hypoglycaemia and many other factors, and
35 separating these can be challenging [39]. Therefore, it was decided that the majority of app questions should
36 be phrased in a general way rather than being attributed to hypoglycaemia specifically. The questions would
37 instead be asked frequently (three times daily) in a general manner (e.g., "How is your mood right now?"),
38 thereby enabling responses to be linked later with either person reported hypoglycaemia (PRH) or CGM-
39 detected hypoglycaemia, to investigate correlations with hypoglycaemia in its different manifestations
40 (symptomatic and asymptomatic). Asking general questions routinely, regardless hypoglycaemia, allows for
41 a comparison between days (or nights) with versus without hypoglycaemia.
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45 Another consideration for item development was the number of daily assessments. Existing literature does
46 not provide clear consensus on the optimal number of assessments (called "check-ins" in the Hypo-METRICS
47 app) or sampling frequency [40, 41]. Building the app with three daily "check-ins" was a consensus decision
48 based on a compromise between capturing as much variation over the day as possible, while allowing for use
49 of the app in studies with longer durations (i.e. multiple weeks), wherein it is key to minimise participant
50 burden, as this could impact on completion rates and attrition.
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54 The frequency with which each app module or items are presented to the respondent throughout a given
55 day was determined by: a) the amount of variation expected throughout the day in the construct being
56 measured, and b) the feasibility of responding to certain items at certain times of day; for example, the work-
57 related items were only presented in the evening-check-in (after work-hours), while mood was assessed at
58 every check-in.
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4 Two modules: “self-report of hypos while asleep” in the morning check-in and “self-report of daytime hypos”
5 in the evening check-in, were developed with a branching option. This means that respondents are only asked
6 to respond to items in these modules if they have already reported a particular experience, e.g.
7 hypoglycaemia while asleep. In this instance, respondents would be asked additional questions specific to
8 each event (i.e., time reference, detection and management). These modules also consist of questions not
9 specific to single episodes but to hypoglycaemia overall across the day or night (e.g., loss of sleep due to
10 hypoglycaemia and worries about going back to sleep). An additional item was developed for these two
11 modules to assess how psychologically bothersome hypoglycaemia was overall. To expand the investigation
12 of hypoglycaemia’s impact on daily activities an overall item was included in the evening check-in asking,
13 “How long was it before you were feeling your ‘usual self’ again?”.
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18 While some modules were assessed with single items (e.g., social interactions), others were assessed with
19 multiple items (e.g., mood and cognitive function). The number of items selected to measure each construct
20 was dependent on the complexity and dimensionality of the concept. The items were developed as questions
21 (e.g., “How anxious do you feel right now?”) instead of potentially leading statements (“I’m feeling anxious”).
22 The goal was to use short and precise sentences and avoid double-barrelled statements (e.g., “I woke up
23 feeling fresh and rested”). Negatively phrased items which could be leading for participants were avoided
24 when possible (e.g. “How is your mood right now?” instead of “How depressed do you feel?”). The aim was
25 to use non-academic, everyday language; for example, instead of asking about “sleep quality”, participants
26 were asked how they slept and how they felt when they woke up. The time-attribution for each item was
27 qualified with use of “right now”, “last night”, “today”, “later today”, and “while asleep”. For items about
28 event timing, only approximate time-points were requested to reduce the participant recall burden. The item
29 order was modified slightly between the check-ins to minimise the risk of developing response habits and
30 participants just “clicking through” [42]. Several of these decisions were informed by experts in questionnaire
31 development within the consortium.
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36 Response scales were another integral aspect of item development. When considering the number of
37 points/options on a response scale, it has been suggested that the quality of measurement does not seem to
38 improve beyond 7-11 points on a numerical scale [43]. It was decided to use an 11-point numerical rating
39 scale, (0-10) to maximize sensitivity to (even minor) changes and to minimise floor and ceiling effects. Other
40 app-based EMA studies have similarly used 11-points scales [44-46]. To ensure both daily minor variations
41 and the more extreme and rare cases of variation were captured, both unipolar (e.g., “not at all – extremely”)
42 and bipolar (e.g., “extremely bad – extremely well”) response options were used. Numbers in the middle of
43 the scale were not labelled.
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49 **Conduct user-testing and debriefing of Hypo-METRICS app content**

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51 A group of people with diabetes without prior knowledge of the app, was invited to provide their feedback
52 on the draft items and response options. Participants were recruited via local diabetes clinics (King’s College
53 Hospital for people with T1DM and a UK general practitioner clinic for people with T2DM). The user-testing
54 occurred in parallel to the item development process and was an integral part of finalising the app content.
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57 A total of 7 people with T1DM (4 women, 3 men, aged 19-55 years) and 8 with T2DM (4 women, 4 men, aged
58 59-72 years) using multiple daily insulin injections (at least 2 per day) participated in the user-testing sessions.
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Participants met as two separate groups in two sessions to provide feedback on the app content; however participants only tested the questions as a paper-and-pencil version and not in the uMotif platform. All participants with T1DM experienced hypoglycaemia multiple times per week, while the reported experience in those with T2DM ranged from less than once per month to multiple times per week. Overall, participants expressed good awareness of hypoglycaemia, although four of the people with T2DM reported that since they did not experience hypoglycaemia frequently, their partners often (1 participant) or sometimes (3 participants) would recognize a hypoglycaemic episode before they did.

The overall feedback on the item content was positive, and participants expressed the importance of addressing the day-to-day impact of hypoglycaemia. Participants reported that completion of the app items three times per day was a feasible task. A selection of the feedback is provided in Table 2.

Table 2: Feedback from user-testing sessions and the changes implemented in the app

<i>Suggested change from PPI session:</i>	<i>Changes implemented in the Hypo-METRICS app:</i>
For the items asking, "At what time did this/these happen?" (referring to the hypoglycaemic events), there was an option to "Add extra timepoints if more than once". Participants suggested to add an extra item instead asking, "How many hypos did you have?". Further, there was a wish for more clarity on how to classify multiple events versus long-standing ones.	We did as was suggested and removed the "Add extra timepoints" option, and included an item asking, "How many hypos did you have?" both in the morning and evening check-in. Further, we added an "Add another hypo" function, so participants could respond to the hypoglycaemia-specific items for each event. We wanted participants to judge the difference between multiple and long-standing events themselves, to learn more about how the events are perceived from the participants' perspective; thus, no changes were implemented on this point.
For the items "During the night, did you have a hypo OR take action to prevent a hypo?" and "Did you have a hypo today OR did you prevent a hypo today?" there was uncertainty about what is meant by "preventing". E.g., some participants were in doubt if this included having a snack before bed "just in case" rather than preventing an imminent hypoglycaemic event.	We decided to add "...prevent a hypo <i>that was about to happen</i> " to emphasize that we are not trying to capture the "just in case" snacks or insulin reductions, but instead events that were just about to happen, and most likely would have happened if the participant had not taken corrective action.
For the item "How anxious/relaxed do you feel right now?" with the bidirectional 11-point response scale "Extremely relaxed (0)" – "Extremely anxious (10)", participants felt that these did not necessarily belong on the same scale.	We decided to change this item to "How anxious do you feel right now?" with a unidirectional 11-point response scale "Not at all (0)" – "Extremely (10)". We similarly adjusted other items to make response scales similar.
There was disagreement about the use of the word "burden" in the item "How much of a burden was hypoglycaemia last night?", as it was perceived as overly strong language	We adjusted the wording of the question to "How bothersome was hypoglycaemia last night?"
The items "How long did your hypo(s) (on average) prevent you from doing your usual activity" and "How long was it (on average) before you were feeling your "usual self" again?" caused some confusion, and participants said these would need extra clarification. Further it was suggested not to ask on average, but for each event.	The first item was removed from the app and replaced by several items recommended by health economic experts within the Hypo-RESOLVE consortium to better capture the effect of hypoglycaemia on work and productivity. The last item was changed to "Overall... How long was it before you were feeling your "usual self" again?".
Since the item "Did your hypo(s) today negatively impact your social activities?" was placed right after the work-related items, participants were in doubt if the item was asking in relation to work or any activities during the day.	The item was separated from the work-related items and adjusted to "How well did you get along with other people today?". The new wording more accurately captures the intention of the question.

Suggested change from PPI session:	Changes implemented in the Hypo-METRICS app:
For the cognitive function items asking, "How is your concentration/memory/attention right now?" participants said they found it difficult to answer these items in the morning check-in since they had not done anything in the morning to really concentrate on or remember. Similarly, it was unclear what memory we are referring to (short term, long term or for specific tasks). Further the difference between concentration and attention caused uncertainty.	We changed the items into "How alert do you feel right now?", "How well are you able to concentrate right now?" and "How easy was it for you to remember things today?", and decided to only ask the latter item in the evening check-in, so that participants could reflect on their day in order to make an assessment of whether they experienced any memory difficulties.
A number of functionalities were suggested to include in the app including: <ul style="list-style-type: none"> - A "question progress bar" to see how many questions remain in each check-in - A "study progress bar" to see how many days of the study they have left - A text field entry field so participants could provide more context - A "large text" feature - A "snooze" function, so a reminder notification is sent out later. 	Unfortunately, the app platform did not support progress bars for question or study progress. For some items, we included an option with free-text field entry but decided not to include free-text options for all items, to minimise participant burden and to avoid large amount of qualitative data that would require extensive analysis. A diary function in the app would allow participant to write additional notes during the study. For the large-text option, we provided a description for how to adjust this in the smartphone settings. The app platform did not support "snooze" functions.

After an iterative design process, including debriefing of items and response options with potential users, a total of 29 unique items were selected to best represent the conceptual framework (Figure 1), and were presented in the app via seven modules (Table 3).

Table 3: Items per module, and completion timepoints ('check-ins')

Module names and items	Conceptual framework domain	Completion timepoints ('Check-ins')		
		Morning	Afternoon	Evening
Sleep quality module (2 items)				
1. How well did you sleep?	Sleep quality	x		
2. When you woke up how did you feel?	Sleep quality	x		
General well-being module (7 items)				
3. How is your mood right now?	Mood	x	x	x
4. How anxious do you feel right now?	Anxiety	x	x	x
5. How is your energy level right now?	Energy levels	x	x	x
6. How irritable do you feel right now?	Mood	x	x	x
7. How alert do you feel right now?	Cognitive function	x	x	x
8. How easy was it for you to remember things today?	Cognitive function			x
9. How well are you able to concentrate right now?	Cognitive function	x	x	x
Fear of hypo-/hyperglycaemia module (4 items)				
10. How worried are you about having a hypo later today?	Fear	x	x	
11. How worried are you about having high blood glucose later today?	Fear	x	x	
12. How worried are you about having a hypo while asleep?	Fear			x
13. How worried are you about having high blood glucose while asleep?	Fear			x

Social interactions module (1 item)				
14. How well did you get along with other people today?	Social interactions			x
Work and productivity module (4 items)				
15. How many hours did you work today?	Work/productivity			x
16. How many hours did you miss from work for ANY reason today? [this includes health issues, vacation, holiday, etc.]	Work/productivity			x
17. How many hours did you miss from activities other than work today for ANY reason (e.g. study, housework, shopping, family or leisure activities)?	Leisure activities			x
18. How productive were you while working today? (Work/productivity			x
Self-report of hypos while asleep module* (8 items)				
19. During the night, did you have a hypo OR take action to prevent a hypo that was about to happen?***	NA	x		
20. How many hypos did you have?	NA	x		
21. At what time did this happen?	NA	x		
22. How did you detect your hypo or a hypo that was about to happen? (Select all that apply)	NA	x		
23. What happened? (Select all that apply)	NA	x		
24. Overall: How bothersome was hypoglycaemia for you last night?	Burden	x		
25. Overall: How much sleep did you lose due to hypoglycaemia?	Sleep quality	x		
26. Overall: How worried were you about going back to sleep?	Sleep quality	x		
Self-report of daytime hypos module* (7 items)				
27. Today, did you have a hypo OR take action to prevent a hypo that was about to happen?***	NA			x
20.1 How many hypos did you have?	NA			x
21.1 At what time did this happen?	NA			x
22.1 How did you detect your hypo or a hypo that was about to happen?	NA			x
23.1 What happened?	NA			x
28. Overall: How bothersome was hypoglycaemia for you today?	Burden			x
29. Overall: How long was it before you were feeling your "usual self" again?	Daily living / usual activities			x

* Several of these items are not part of the conceptual framework, but were included to capture details about the hypoglycaemic episodes

** These items have branching: if a hypo is reported, the items below are presented to the participant for completion.

Select app platform and design app

After the items and response options were finalised, they were implemented into a software platform provided by "uMotif Limited" with a data capture application that can be used on iOS and Android compatible smartphones [47] (see figure 2). "uMotif Limited" was chosen due to its high data security and confidentiality policies that comply with current EU General Data Protection Regulation (GDPR) laws and has been used in other patient-centred data capture studies [48, 49]. In order to maximise feasibility, participants could only complete check-ins at predefined time-intervals: from 06:00-12:00 (morning), 12:00-18:00 (afternoon) and

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4 18:00-24:00 (evening). Participants could self-initiate the check-ins but were not able to complete the
5 individual check-ins outside these time-intervals. The app was further configured to provide automated
6 notifications (at predefined times of day: 07:00 hours, 15:00 hours and 21:00 hours) inviting participants to
7 complete check-ins in the morning, afternoon and evening, respectively. The wide time intervals were chosen
8 to increase the likelihood of completion.
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11 **Phase 3: Hypo-METRICS app: planning psychometric validation**

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14 Phase 3 is focused on the planned investigation of the psychometric properties of the Hypo-METRICS app for
15 the measurement of the day-to-day personal impact of hypoglycaemia.
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17 **Design study and key study details**

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19 The Hypo-METRICS app has been implemented for the first time in the Hypo-METRICS clinical study, a large,
20 prospective multi-country study starting October 2020 and led by the Hypo-RESOLVE consortium [21]. Briefly,
21 participants are asked to complete three daily check-ins (morning, afternoon, evening) on their smartphone
22 for 10 weeks, while wearing a blinded CGM to measure glucose values throughout the day and night. This
23 study will enable largescale testing and psychometric analysis of the Hypo-METRICS app.
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26 The target population for this study is European adults with T1DM or insulin-treated T2DM, and the sample
27 of participants chosen to represent this population will consist of 600 adults (aged 18-85 years) recruited
28 from eight specialist diabetes centres across five countries (Austria, Denmark, France, The Netherlands,
29 United Kingdom). The Hypo-METRICS app was developed in English and afterwards translated from English
30 into the four other languages. The translation plan was developed and based on the principles for translating
31 Patient-Reported Outcomes as described by Wild et al [50]. After providing informed consent, participants
32 will attend a baseline visit (physically or online), where training in use of the app will be provided. Further
33 details on the Hypo-METRICS clinical study, including the full list of objectives, can be found here:
34 <https://www.clinicaltrials.gov/ct2/show/record/NCT04304963> [51].
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39 **Develop psychometric analysis plan**

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41 With the development of a new instrument, it is important to examine its validity and reliability [52]. Using
42 data from the Hypo-METRICS clinical study, including user-experience interviews with a subset of
43 participants, the latent structure, internal consistency, test-retest reliability, construct validity, feasibility and
44 acceptability, and completion rates of the app will be explored. One of the key aims of the Hypo-METRICS
45 clinical study is to explore associations between CGM data and Hypo-METRICS app responses. To avoid
46 double reporting of results, these analyses will not be included in the current validation study.
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49 *Latent structure, internal consistency, test-retest reliability, and construct validity:* The examination of the
50 validity and reliability of the Hypo-METRICS app will start with an investigation of the latent structure of the
51 app to examine whether items can be grouped in factors. A multilevel factor analysis will be conducted
52 separately for each of the three check-ins to avoid violating assumptions of independency between the
53 repeated measurements [53]. Further, internal consistency of items listed under each latent factor will be
54 investigated using McDonald's ω [54]. To explore test-retest reliability, factor scores will be aggregated and
55 compared (via correlation analysis) across two different weeks. To examine between- and within-person
56 variability on an item level, intraclass correlations (ICC) [55] and root mean square of successive differences
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(RMSSD) [56] will be calculated. Lastly, construct validity will be examined by analysing the correlations between the items or factor scores from the Hypo-METRICS app and validated self-report questionnaires (listed in Table S1 in supplementary material) [54]. These questionnaires assess either constructs where a moderate to strong relationship (convergent validity) or weak relationship (discriminant validity) with the app items is expected. Although the app items and the validated questionnaires focus on different time frames, moderate correlations are still expected as they address the same constructs.

Feasibility and Acceptability (via user-experience interviews): Although the content (items and response options) of the Hypo-METRICS app has been tested by people with diabetes, the finalized Hypo-METRICS app (i.e., following integration into the “uMotif Limited” platform) has not yet undergone full user-testing. Semi-structured interviews will be undertaken with approximately twenty participants of the Hypo-METRICS study to explore the acceptability and feasibility of the app, and their experiences of using the app in their daily lives. Participants will be purposively sampled to ensure diversity on the following characteristics: type of diabetes, sex, age and completion rate.

Completion rates: An analysis of completion rates and patterns of missing data from the clinical study will be performed on the full sample (n=600). The proportion of check-ins and items not submitted together with the number of skipped items (i.e., where participants have submitted the check-in but “skipped” an item) will be examined. Using multilevel analyses, factors that predict completion (e.g., day of study, time for check-in, age, sex, type of diabetes and more) will be determined. Distribution of responses, including how long after the notification the participants on average respond and the distribution of responses for each item, will similarly be examined. This analysis may help to refine future versions of the app and to determine the types of studies/contexts suitable for use of the app.

Ethics and dissemination

Ethical considerations are pertinent to this work. The participants are not required to provide personal information when registering to use the Hypo-METRICS app; instead they will use study-specific email addresses (e.g. participantnumber@gmail.com) and can enter their study number instead of their real name. The participant requires access to a smartphone (iOS or Android system) and either WIFI or mobile data for entering responses.

For analytic purposes, all data will be handled as pseudonymised data. “uMotif Limited” will only process encrypted data. Data are stored securely in accordance with GDPR at all times. The Hypo-METRICS clinical study has received ethical approval at the lead site and in all five European countries.

There is a risk that the completion of items (and additional questionnaires used for validation purposes) required for the study may over-burden participants or cause discomfort. In these situations, the participants can opt to skip questions and/or seek assistance from the healthcare professional at their local recruitment centre.

The results from the psychometric analyses and the semi-structured interviews will be submitted to peer-reviewed and open access journals, and further presented at both national and international conferences.

Ethical approval was not required for the Hypo-METRICS app development. The Hypo-METRICS clinical study has received ethical approval at the lead site from the South Central Oxford B Research Ethics Committee (20/SC/0112) and in the other European countries (in the Netherlands by CMO Region Arnhem-Nijmegen, in

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Austria by Ethikkommission der Medizinischen Universität Graz, in Denmark by Videnskabsetisk Komite for Region Hovedstaden and in France by the Comité de Protection des Personnes SUD Mediterranée IV).

The study registration can be found here <https://www.clinicaltrials.gov/ct2/show/NCT04304963>.

Discussion

Hypoglycaemia is an important complication of insulin treatment among people with diabetes. In this paper, the systematic development of the Hypo-METRICS app, tailored to determine the impact of hypoglycaemia on daily functioning, is described. The iterative design process, involving multidisciplinary teamwork between psychologists and diabetologists in close collaboration with people with diabetes, was key to the app development. The feedback from user-testing with people with diabetes (who had not been involved in the item development) was overall positive. They found it manageable to complete the questions across the planned three daily check-ins. In this paper, we also present the planned psychometric validation work that will be carried out with data from a multi-country clinical study, where the Hypo-METRICS app will be used for the first time by a large number of participants over a 10-week study period. This study will further allow for in-depth interviews with a subset of participants who have used the app.

It is anticipated that the Hypo-METRICS app will minimise recall bias, maximize ecological validity, document variation over time and allow for a more in-depth understanding of the day-to-day impact of hypoglycaemia. The app includes seven modules (29 unique items) assessing: self-report of hypoglycaemic episodes (during the day and night respectively), sleep quality, well-being/cognitive function, social interactions, fear of hypo-/hyperglycaemia, and work/productivity. Once the Hypo-METRICS app has undergone psychometric analysis, the authors anticipate that it will provide a novel tool for researchers to more accurately examine the impact of hypoglycaemia. The Hypo-METRICS app may be used as a key outcome in clinical trials evaluating new glucose lowering medications or new diabetes technology, but it can perhaps also be used in clinical settings to further optimize diabetes care and outcomes for individuals with diabetes. It must be emphasized that the Hypo-METRICS app has been developed for adults with diabetes (using insulin) in the UK, Denmark, the Netherlands, Austria, and France, and that adaptations will be required for its use in other groups (e.g., youth with diabetes, pregnant women with diabetes) and other countries.

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- *French site*: Jérôme Place (MSc), Al Masri Manal (CRA), Omar Diouri (PhD) and Anne-Marie Marteil-Oudrer (MD); affiliated with Montpellier University Hospital, France.

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4 - *Danish site:* Mette Valdersdorf Jensen (PhD student, M.P.H.), affiliated with University of Southern
5 Denmark; and Stine Tving Kjølner (Research Nurse) affiliated with Nordsjællands Hospital, Hillerød
6 Denmark.
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10 **Author contributions:**

11 All authors were involved in the conceptualization of the app. US, MB, NZ, PD, CH, JS, PC and FP developed
12 the app with expertise input and advice from AB, DP, BG, GN, RM, UPB and SAA. NZ and PC conducted the
13 user-testing of app items and response options. US, MB, JS, CH and FP produced the first manuscript draft.
14 US, MB and FP planned the psychometric analyses and semi-structured interviews with advice from JS, CH,
15 ZM, NZ and PC. All authors reviewed the manuscript at multiple stages and provided feedback. All authors
16 approved the final draft of the manuscript.
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19

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31 **Competing interest statement**

32 UPB has received grants and personal fees from Novo Nordisk and personal fees from AstraZeneca, Bristol-
33 Meyers Squibb, Sanofi and Zealand Pharma.
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36 **Patient consent**

37 Not required for the Hypo-METRICS app development.
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40 **Data sharing statement**

41 Data from the clinical study is currently being collected and are not available for access until end of the study.

42 The Hypo-METRICS app items is available upon request. Please contact Uffe Søholm
43 (usoeholm@health.sdu.dk) to request the latest version.
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48 **Figure caption:**

49 Figure 1: Conceptual framework of the key areas of daily functioning that might be impacted by
50 hypoglycaemia
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52 Figure 2: Sample of screenshots of the Hypo-METRICS app on the uMotif Limited platform.
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58 **ORCID IDs**

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Figure 1: Conceptual framework of the key areas of daily functioning that might be impacted by hypoglycaemia

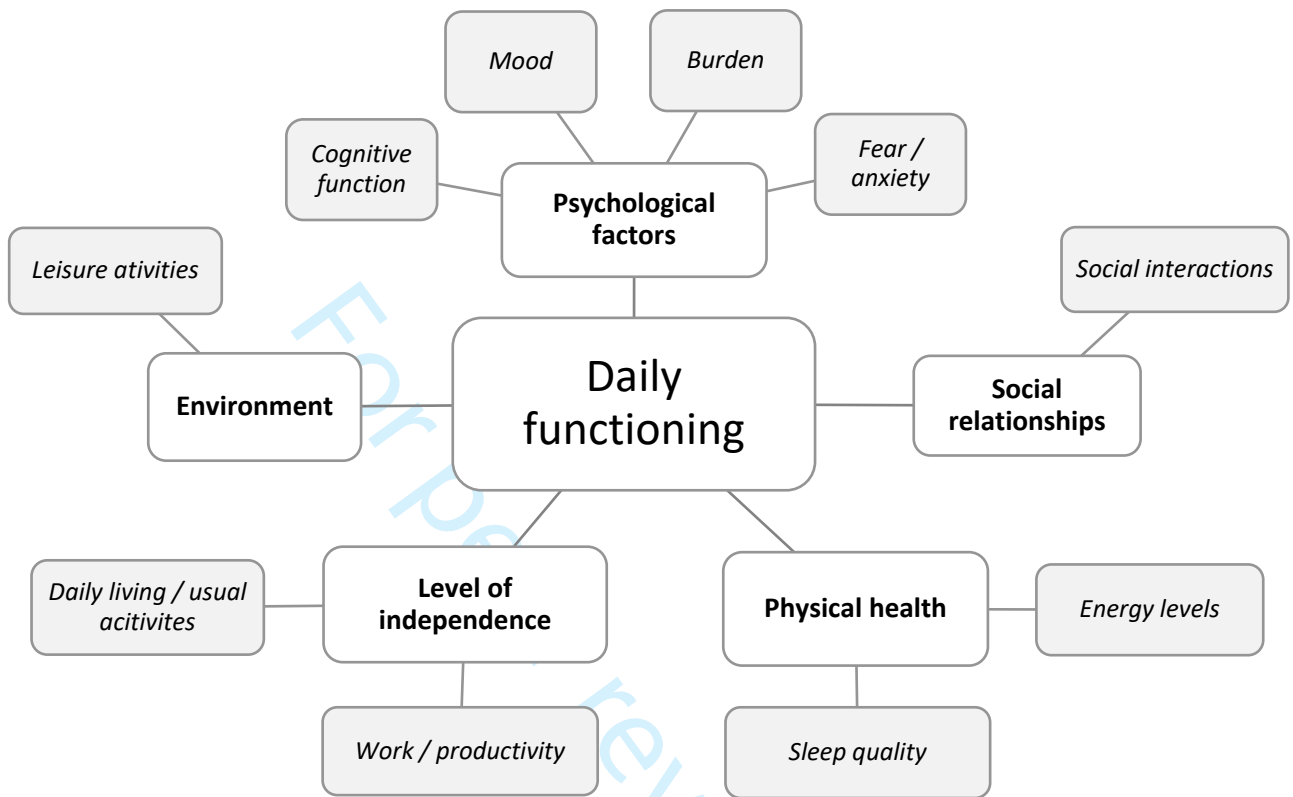


Figure 2: Sample of screenshots of the Hypo-METRICS app on the uMotif Limited platform.

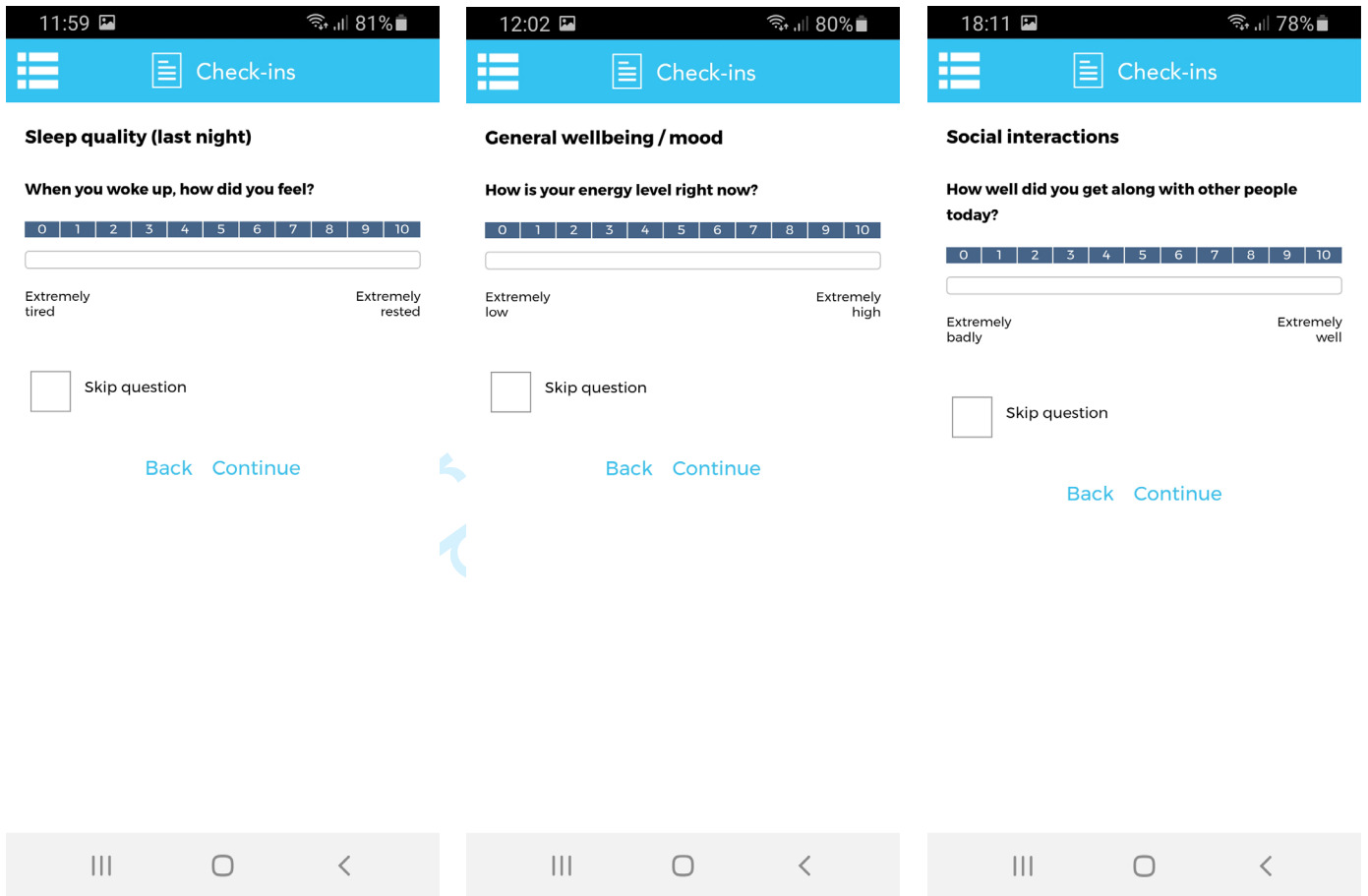


Table S1 – validated questionnaires used for assessment of construct validity

Construct measured	Validated questionnaires
Sleep quality / sleep disturbance	Patient-Reported Outcomes Measurement Information System (PROMIS) - Sleep Disturbance – Short Form 8b [2]
Depressive symptoms	Patient Health Questionnaire – 9 (PHQ-9) [1]
Anxiety symptoms	General Anxiety Disorder-7 (GAD-7) [2]
Vitality	Vitality subscale SF-36 [3]
Cognitive functioning	Perceived Deficit Questionnaire (PDQ-20) [4]
Fear of hypoglycaemia	Hypoglycaemic Fear Survey II (HFS-II) [5]
Diabetes Distress	Problem Areas In Diabetes (PAID-20) [6]
Diabetes-specific Quality of life	Dawn Impact of Diabetes Profile (DIDP) [7]
Work and productivity	Work Productivity and Activity Impairment Questionnaire: Specific Health Problem (WPAI:SHP) [8]

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