

Appendix A

Details of the statistical analysis

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Overview

This appendix gives the details of the statistical analysis on the anonymised data from daily referrals received by DVA service providers across 33 IRIS commissioned sites, including data from female patients aged 16 and above, registered at each general practice between March 2017 to September 2020.

We present the results of the non-linear regression analysis on the daily referrals time-series using two regression models: negative-binomial model and Poisson model. For each regression model, in Table S1 we show the Akaike Information Criterion (AIC) and the Bayesian Information Criterion (BIC) to compare models, showing how the best-fit model was chosen based on the smallest values of these quantities.

Methods

The data was coded using STATA software (version 15.1). The analysis comprised the following steps:

1. Using time and a disruption predictor variable, we compared a negative binomial model and Poisson model projecting the corresponding Akaike Information Criterion (AIC) and Bayesian Information Criterion (BIC) values. Based on these values we chose the best-fit model for the analysis.

2. Time series was constructed from the number of daily referrals across all IRIS practices. We fitted the best-fit model to the data. To account for the period of the first national lockdown, the analogous time period in the preceding year and the periods of school holidays in 2017/2018, 2018/2019 and 2019/2020 school years, we used an indicator variable for days falling into these time periods listed in Table 1 of the main paper.

3. For the best-fit model, we projected outcome variables: incidence rate ratios (IRRs) with 95% CI and the p-value for the lockdown/school holidays indicator variable.

Results

1. Comparing different regression models

The calculated AIC and BIC values for a negative binomial model and a Poisson model as presented in Table S1. Based on the smallest AIC and BIC values, the negative binomial regression model was a better fit model.

Scenario	Negative binomial model		Zero-inflated Poisson model	
	AIC	BIC	AIC	BIC
Spring 2017	144.68	149.08	148.23	153.12
Summer 2017	404.13	411.66	406.12	413.45
Winter 2017	90.83	93.66	92.12	95.34
Spring 2018	206.79	212.28	209.23	215.12
Summer 2018	646.84	655.49	647.23	657.45
Winter 2018	194.00	198.99	195.34	200.12
Spring 2019	313.04	319.47	315.45	323.34
Summer 2019	660.44	669.16	664.23	671.23
Winter 2019	244.90	252.30	190.00	194.84
March-June 2020 (1 st lockdown)	985.93	995.62	987.93	1000.85
March-June 2019 (analogous period to 1 st lockdown)	879.19	888.77	882.34	912.77
Summer 2020	508.19	516.214	518.23	526.26

Table S1: Results from comparing different regression models that fit the data

2. For the referrals time series, we identified the best-fit model to be

$$\log(\hat{Y}_{ij}) = (\beta_0 + u_{0j}) + \beta_1(\text{time}_{ij}) + \gamma \text{PAUSE}_{ij} + \log\left(\frac{\text{PracticeSize}_{ij}}{10000}\right), u_{0j} \sim N(0, \sigma_u^2) \quad (1)$$

where \hat{Y}_{ij} is the estimated number of referrals for practice j at time i , assumed to follow a negative binomial distribution, u_{0j} is a random intercept term, which varies between practices, PAUSE_{ij} is an indicator variable coded 0 for days at which there was no lockdown or school holidays, and 1 for days during the lockdown and school holidays. Different models were fitted to each scenario concerning the first national COVID-19 lockdown and the school holidays 2017-2019 as shown in Table S2.

Scenario	β_0	β_1	γ
Spring 2017	2.6417	-0.0071	-0.0729
Summer 2017	2.4321	-0.0001	-0.1451
Winter 2017	-0.3899	0.0102	-0.2945

Spring 2018	2.7011	-0.00082	-0.1771
Summer 2018	1.7360	0.00183	-0.1373
Winter 2018	5.6390	-0.0048	-0.0445
Spring 2019	5.5309	-0.00385	-0.0531
Summer 2019	1.2539	0.00164	-0.1625
Winter 2019	0.3703	0.00248	-0.5836
March-June 2020 (1 st lockdown)	4.105	-0.00121	-0.3283
March-June 2019 (analogous period to 1 st lockdown)	3.2842	-0.0009	-0.0160
Summer 2020	7.1662	-0.0038	-0.2263

Table S2: Coefficients of the regression models.

3. Using the negative binomial model, with predictor variables for time and the period of the lockdown and school holidays, the IRRs and their 95% CI and the corresponding p-values for the disruption predictor variables are given in Table S3 and also Table 2 of the main paper.

Scenario	IRR [95% CI]	Bootstrap Standard error	p-value
Spring 2017	0.929 [0.691,1.25]	0.141	0.630
Summer 2017	0.865 [0.755,0.994]	0.059	0.036
Winter 2017	0.811 [0.561,0.902]	0.087	0.005
Spring 2018	0.837 [0.690,1.017]	0.083	0.073
Summer 2018	0.871 [0.789,0.962]	0.044	0.007
Winter 2018	0.956 [0.791,1.156]	0.092	0.646
Spring 2019	0.948 [0.827, 1.087]	0.066	0.447
Summer 2019	0.849 [0.771, 0.937]	0.043	0.001
Winter 2019	0.557 [0.457, 0.680]	0.056	p<0.001
March-June 2020 (1 st lockdown)	0.727 [0.661, 0.787]	0.032	p<0.001
March-June 2019 (analogous period to 1 st lockdown)	0.984 [0.905, 1.068]	0.042	0.707
Summer 2020	0.797 [0.707,0.898]	0.048	p<0.001

Table S3: Projections from the mixed-effects negative binomial model from equation (1) for each borough.