Supplementary Information

Decoding lip language using triboelectric sensors with deep learning

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Supplementary Information

Supplementary note 1: the simulation results

Supplementary Fig. 1 The spatial distribution of electric field at different stages (Simulated by COMSOL Multiphysics). (a) Initial or released stage. (b) Pressing or releasing stage. (c) Maximum pressed stage.

Supplementary note 2: electrical characteristics of sensors.

Supplementary Fig. 2 Electrical characteristics of sensors. (a) The output open circuit voltage of 5 different materials friction with nylon. (b-c) The open circuit voltage (Voc) and short circuit current (Isc) of the triboelectric sensor as a power supply (5 N force pressing at 2 Hz frequency). (d)The short circuit current of two sensors in series and parallel respectively. (e) The effective

value curve of the output voltage and current of the TENG under different loads. (f-g) The open circuit voltage (Voc) and short circuit current (Isc) of the triboelectric sensor with different drops of artificial sweat (pressed with a force of 5 N at a frequency of 5 Hz).

Supplementary note 3: comparison of the sensors (Piezoresistive, Capacitive, Piezoelectric and Triboelectric sensors).

Piezoresistive, piezoelectric, capacitive and triboelectric sensors are all electromechanical sensors which transduce the applied force into electrical signals via different mechanisms. They can be divided into two groups: group A includes piezoresistive and capacitive passive sensors, group B includes piezoelectric and triboelectric active sensors.

The resistance or capacitance changes when the sensors in group A is pressed by force. The force of compression can be obtained from the change of resistance or capacitance. In order to measure the change of resistance or capacitance, an additional voltage is needed, and the change of resistance or capacitance is obtained through the change of current. Electrical signals generated by pressure or contact cannot be measured without an additional voltage.

Piezoelectric and triboelectric sensors in group B are both self-powered sensors. Piezoelectric sensors make use of the piezoelectric effect, which creates a voltage when charge accumulates on both sides of the material during compression and deformation. The triboelectric sensors make use of two effects: contact electrification and electrostatic induction. The friction between two interfaces with different surface energy generates charges, which will further induce charges on the adjacent electrodes. Change of the distance between two interfaces will cause the change of the amount of induced charges, and will produce instantaneous voltage and current in the external circuit. Electrical signals generated by pressure or contact can be measured without an additional voltage, which is called self-powered.

Comparing to group A, the self-powered characteristic of group B means low-power consumption, which is of great importance for small-scale wearable electronics (sensors and devices) and low carbon living. With the booming of the Internet of Things (IoT), numerous lightweight and wearable sensors have been developed for biomedical monitoring. In the case of a certain battery capacity, low energy consumption can prolong the working time of the device and reduce the charging frequency. In addition, group B does not require additional circuit design and power supply to generate electrical signals, whereas group A does.

Piezoelectric generators (PG) and Triboelectric nanogenerators (TENG) are two most common approaches for energy harvesting in group B. The two generators are compared (Ahmed et al¹, 2020) at frequency values below 4 Hz, which is typical of human motions. TENG shows higher power performance and is almost independent of the operating frequency, making it highly efficient comparing to PG. Low cost is another advantage of TENG. Various thin film materials which are common in daily life, e.g. paper, can be used to fabricate the TENGs, which shall greatly lower the cost.

Sensitivity is a key parameter of sensors. There are a variety of sensitivities of different types of sensors in literatures. The sensitivities of different sensors in literatures are shown in [Supplementary Tab. 1](#page-4-0).

Supplementary Tab. 1 Sensitivity, materials and electrodes of different sensors in literature

Liu et al⁵, 2019 developed an ionogel infiltrated paper based flexible electrode and fabricated two kinds of all-paper based sensors. By comparing the piezoresistive and triboelectric sensors, he found that TENG sensor have a broader measuring rang (0.45 - 6.5 N) and higher sensitivity (20.6 mV/N) than piezoresistive sensor (0.304 within the range of 0.3 - 0.9 N).

TENG-based sensors have advantages of low-power consumption, low cost, various choice of materials and easy fabrication.

The capacitive (ESPB-01, RENHE Co. LTD), piezoresistive (DF9-16, CHENGTec Co. LTD), piezoelectric (LDT0-028K, piezoelectric Polyvinylidene Fluoride, TE) and our triboelectric sensors are collected to make the comparison, as shown in [Supplementary Fig. 3](#page-5-0)**.** The sensitivities of these sensors are measured and analyzed, as shown in [Supplementary Fig. 4](#page-5-1). The sensitivity, trigger point and cost of each sensor are compared in [Supplementary Tab. 2](#page-5-2). Our triboelectric sensor has the lowest cost and the highest sensitivity in the four sensors.

Supplementary Fig. 3 The sensors for testing, from left to right are capacitive, piezoresistive, piezoelectric and triboelectric sensors.

Supplementary Fig. 4 Sensitivities of sensors. a. sensitivity of capacitive sensor. b. sensitivity of piezoresistive sensor. c. sensitivity of piezoelectric sensor. d. sensitivity of triboelectric sensor.

Supplementary Tab. 2 Sensitivity, trigger point, cost of different sensors.

Supplementary note 4: The normalized waveform of main vowels

Supplementary Fig. 5 The normalized lip-motion voltage waveforms of 12 vowels (a-l) and related mouth shapes

Supplementary note 5: the characteristics of lip motion signals

Supplementary Fig. 6 The characteristics of lip motion signals (a) Signals corresponding to the same pronunciation in different mouth opening size. (b) The combination and decomposed lipmotion signals of "Open", "Sesame", and "Open sesame".

Supplementary note 6: Voltage data manipulation

Taking the normalized curves in Fig.6(b) for example, the obtained signals are filtered with a cut-off frequency of 20 Hz to filter out the power-frequency electromagnetic interference; the obtained signals are intercepted and the baseline is subtracted to reduce the baseline drift caused by ultra-low frequency noises. The baseline is determined by connecting the first point of the intercepted signal to the last point. In order to reduce the difference of voltage amplitude in different lip-motion recording processes, the obtained signals were normalized, and the absolute value of each point was normalized into the interval [0,1].

Supplementary note 7: setup of the neural network classifier.

In our method, for each class, the model learns a prototype in the deep feature space. In the classification stage, classification is performed by calculating the Euclidean distance between the feature representation of the sample and the class prototype. Specifically, the feature mapping

function is defined as: $f_{\theta}(x) : \mathbb{R}^D \to \mathbb{R}^d$, where is the parameters of the feature extractor, D is the dimension of input space, and d is the dimension of the deep feature space. The corresponding prototype for each category is μ_i , $i \in (1, \dots C)$, in which C is the number of training classes. The probability of sample *(x,y)* belonging to class i is:

$$
p(x \in \mu_i|x) = \frac{exp(-d(f(x), \mu_i))}{\sum_{c=1}^{C} exp(-d(f(x), \mu_c)}
$$
 (1)

Among them

 $d(f(x), \mu_i) = ||f(x) - \mu_i||_2^2$ (2)

is the distance in feature space between the sample (x, y) and the prototype of class i. Based on the probability, the cross-entropy loss is:

$$
l((x, y); \theta, \mu) = -\log(p(y | x)) = -\log(p(x \in \mu_i | x)) = -\log\left(\frac{\exp(-d(f(x), \mu_i))}{\sum_{c=1}^{C} \exp(-d(f(x), \mu_c))}\right) \tag{3}
$$

In addition, the following regularization term is added to learn compact feature representations for each class:

 $pl((x, y); \theta, \mu) = d(f(x), \mu_i) = ||f(x) - \mu_i||_2^2$ (4)

The final learning objective of our method is as follows:

 $loss((x, y) ; \theta, \mu) = l((x, y) ; \theta, \mu) + \alpha \cdot pl((x, y) ; \theta, \mu)$, where α is a hyper-parameter balancing the trade-off of each term.

Implementation details: We train all the models with batch size 50 and Adam optimizer¹³ with 0.001 initial learning rate.

Supplementary note 8: Data collection process

Take the word "apple" for example. The participant speaks "apple" 150 times with a sampling rate of 500 Hz. The participant speaks the word for 15 times as a group and 10 groups in total; the participant can have a rest between groups. To control the rhythm of speech, a counter (15 counts at 4s intervals) is used to remind the participant. Then the signals are preprocessed for data recognition.

Supplementary note 9: Data preprocessing for machine learning

The data preprocessing for machine learning mainly consist of two steps.

First, machine learning algorithms do not perform well when the features of input samples have very different scales. Therefore, the first step of data preprocessing is standardization, which is a commonly used strategy of feature scaling. Specifically, standardization subtracts the mean value, and divides by the variance to make the features distribution have unit variance. With standardization, the machine learning process could be much less affected by outliers.

Second, to train and evaluate the model, the collected data is divided into two sets: the training set and the testing set. The total samples (2000 samples) are shuffled firstly. The first 1600 samples are viewed as the training set, and the remaining samples are viewed as the testing set.

After data preprocessing, the training set is processed by the machine learning algorithm.

Supplementary note 10: the chosen words and phrases

Supplementary Fig. 7 Words list (20 fruit photographs, a-t) selected for collection and training.

Apple	Banana	Bennet	Berry
ˈæɒl/	/bəˈnɑːnə/	"benɪt/	/ˈberi/

Supplementary Tab. 3 The chosen words and phonetic symbols

Supplementary Tab. 4 The chosen phrases and phonetic symbols of each word. English uses British phonetic alphabet, and Chinese pinyin uses International Pinyin (IPA). 'Zhima kaimen' is the 'Open saseme' in Chinese.

Supplementary note 11:**Waveform of the 20 fruit words**

Supplementary Fig. 8 The normalized lip-motion voltage waveforms of 20 words (a-t).

Supplementary note 12: impact of hyper-parameters of dilated RNN

Supplementary Fig. 9 The impact of hyper-parameters including the number of layers and neurons in each layer of the test. (a) Visualization of the two-dimensional features of the dilated recurrent neural network based on softmax classifier. (b) The accuracy curve of the softmaxbased model when the training sample is gradually reduced. (c) The accuracy curve of the prototype-based model when the training sample is gradually reduced. (d)The accuracy curve based on prototype learning with different number of layers in the dilated RNN. (e) The accuracy curve based on prototype learning under different numbers of hidden layer neurons. (f) The accuracy curve based on prototype learning under different prototype regularization coefficients.

Supplementary note 13: training results of the dilated RNN

Supplementary Fig. 10 Training results of the dilated RNN. (a)The confusion matrix for lipmotion signals of 20 classes with softmax model. (b) The comparison of loss in prototype and softmax training and testing.

Supplementary note 14: analysis of the lip-motion signals.

Supplementary Fig. 11 The analysis of the lip-motion signals. (a)The comparison of lipmotion signals from participants (Han and Bin) in frequency domain. (b) STFT analysis of the lip motion signals from Bin. (c) The comparison of lip-motion signals from Han in frequency domain. (d-f) STFT analysis of the lip motion signals of 'Go backward', 'Left' and 'Right' from Han.

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