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KOREF_S1: the phased, parental Trio-binned Korean reference genome using long-reads and Hi-C sequencing methods --Manuscript Draft--

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Abstract:	Background KOREF is the Korean reference genome which was constructed with various sequencing technologies including long reads, short reads, and optical mapping methods. It is also the first East Asian multiomic reference genome accompanied by extensive clinical information, time series and multiomic data, and his parental sequencing data. However, it was still not a chromosome-scale reference. Here, we updated the previous KOREF assembly to a new chromosome-level haploid assembly of KOREF, KOREF_S1v2.1. ONT PromethION, PacBio Hifi-CCS, and Hi-C technology were used to build the most accurate East Asian reference assembled so far. Results We produced 705 Gb ONT reads and 114 Gb PacBio Hifi reads, and corrected ONT reads by PacBio reads. The corrected ultra-long reads reached higher accuracy of 1.4% base-errors than the previous KOREF_S1v1.0, which was mainly built with short reads. KOREF has parental genome information, and we successfully phased it using a trio-binning method acquiring a near-complete haploid-assembly. The final assembly resulted in total length of 2.9 Gb with an N50 of 150 Mb, and the longest scaffold covered 97.3% of GRCh38's chromosome 2. And the final assembly showed high base accuracy, less than 0.01% of base-errors. Conclusions KOREF_S1v2.1 is the first chromosome-scale haploid assembly of the Korean reference genome with high contiguity and accuracy. Our study provides useful resources of the Korean reference and democater.			
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KOREF_S1: the phased, parental Trio-binned Korean reference genome using long-reads and Hi-C sequencing methods

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22 Abstract

23 Background

24 KOREF is the Korean reference genome which was constructed with various sequencing 25 technologies including long reads, short reads, and optical mapping methods. It is also the first 26 East Asian multiomic reference genome accompanied by extensive clinical information, time 27 series and multiomic data, and his parental sequencing data. However, it was still not a 28 chromosome-scale reference. Here, we updated the previous KOREF assembly to a new 29 chromosome-level haploid assembly of KOREF, KOREF_S1v2.1. ONT PromethION, PacBio 30 Hifi-CCS, and Hi-C technology were used to build the most accurate East Asian reference 31 assembled so far.

32 **Results**

We produced 705 Gb ONT reads and 114 Gb PacBio Hifi reads, and corrected ONT reads by PacBio reads. The corrected ultra-long reads reached higher accuracy of 1.4% base-errors than the previous KOREF_S1v1.0, which was mainly built with short reads. KOREF has parental genome information, and we successfully phased it using a trio-binning method acquiring a near-complete haploid-assembly. The final assembly resulted in total length of 2.9 Gb with an N50 of 150 Mb, and the longest scaffold covered 97.3% of GRCh38's chromosome 2. And the final assembly showed high base accuracy, less than 0.01% of base-errors.

40 **Conclusions**

41 KOREF_S1v2.1 is the first chromosome-scale haploid assembly of the Korean reference genome
42 with high contiguity and accuracy. Our study provides useful resources of the Korean reference

genome and demonstrates a new strategy of hybrid assembly which collaborates ONT's
PromethION and PacBio's HiFi-CCS.

45 Keywords: Korean reference; KOREF_S1; ONT PromethION; PacBio Hifi; Hi-C; hybrid
46 assembly

47

49 Introduction

50 Since the human genome reference was released in 2003, it has been updated and recently was 51 patched in 2019 (GRCh38,p13) by the Genome Reference Consortium (GRC) [1]. Despite high 52 completeness of GRCh38 assembly, it derives from a single individual, mostly based on Caucasian 53 and African ancestry [2]. It is the most precise and extensive among all human references 54 constructed so far. Recently, due to recent cost-effective sequencing methods, especially long reads methods, one can construct human personal references fast and efficiently [3]. The first 55 56 Korean reference, KOREF, has been constructed in two types [4]. The first is KOREF S1 which 57 is a personal reference from an individual which is accompanied by parental *de novo* assemblies. 58 The second one is KOREF_C which is a consensus population reference that includes variome 59 information of Koreans. KOREF was initiated by the Korean Ministry of Science and Technology in 2006 to generate a national genome and variome references and currently it is jointly developed 60 61 by the Genome Research Foundation, National Standard Reference Research Center, and the Korean Genomics Center at UNIST (Ulsan National Institute of Science and Technology). The 62 63 first version of KOREF_S1, KOREF_S1v1.0, had a clear limitation of short reads and long-64 distance mapping-based approaches that resulted in a relatively low-quality assembly compared to the current GRCh38. We used Oxford Nanopore Technologies (ONT) PromethION and PacBio 65 HiFi sequencers to upgrade KOREF_S1 by using a publicly available KOREF cell line. 66

67

68 Materials and Methods

69 Sample preparation and genome sequencing

70 Sample preparation steps were followed as the previous study [4]. Human KOREF cell lines 71 (http://koref.net) were cultured at 37°C in 5% CO₂ in RPMI-1640 medium with 10% heat-72 inactivated fetal bovine serum. DNA was extracted from cells using the DNeasy Blood & Tissue 73 kit (Qiagen) to the manufacturer's instructions. Sequencing libraries for the Oxford Nanopore 74 Technologies PromethION were prepared using the 1D ligation sequencing kit (SQK-LSK109, 75 Oxford Nanopore Technologies, UK) following the manufacturer's instructions. The products 76 were quantified using the Bioanalyzer 2100 (Agilent, Santa Clara, CA, USA) and raw signals were 77 generated by the PromethION R9.4.5 platform (Oxford Nanopore Technologies, UK). Base-78 calling the raw signals was performed using Guppy v4.0.11 with the Flip-flop hac model.

79 Genomic DNA from KOREF blood samples was extracted using QIAGEN Blood & Cell Culture 80 DNA Kit (cat no 13323). A total of 5 µg of each sample was used as input for library preparation. 81 The SMRTbell library was constructed using the SMRTbell[®] Express Template Preparation Kit 82 (101-357-000). Using the BluePippin Size selection system we removed the small fragments for a 83 large-insert library. After sequencing primer v4 was annealed to the SMRTbell template, DNA 84 polymerase was bound to the complex (Sequel Binding kit 2.0). We purified the complex using 85 AMPure Purification to remove excess primer and polymerase prior to sequencing. The SMRTbell 86 library was sequenced using SMRT cells (Pacific Biosciences) using Sequel Sequencing Kit v2.1 87 and 10 hr movies were captured for each SMRT Cell 1M v2 using the Sequel II (Pacific Biosciences) sequencing platform. 88

Hi-C libraries were generated using the Arima-Hic kit (A160105v01, San Diego, CA, USA).
KOREF cell lines and blood samples were prepared for the construction of Hi-C libraries. Briefly,
chromatin from cross-linked cells was solubilized and then digested using restriction enzymes
Mbol or Arima's multiple enzymes (GATC and GANTC). The digested ends were labeled using

a biotinylated nucleotide, and ends were ligated to create ligation products. Ligation products were
purified, fragmented, and selected by size using AMPure XP Beads. Illumina-compatible
sequencing libraries were constructed on end repair, dA-tailing, and adaptor ligation using a
modified workflow of the Hyper Prep kit (KAPA Biosystems, Inc.). The bead-bound libraries were
amplified and purified using AMPure XP beads and sequenced using Illumina NovaSeq platform
with a read-length of 150 bp by Novogene (Beijing, China).

Short paired-end raw reads using Illumina HiSeq 2000 platform were acquired from a previous
study, accession no. SRR2204706 (ftp://ftp.sra.ebi.ac.uk/vol1/srr/SRR220/006/SRR2204706).

For generating parental sequencing reads, we prepared samples from both of KOREF_S1's parents.
DNA was extracted from the donor's blood using DNAeasy Blood & Tissue Kit from QIAGEN
according to the manufacturer's instruction. The quality and concentration of the extracted DNA
were evaluated using NanoDropTM One/OneC UV-Vis Spectrophotometer (Thermo ScientificTM).
Library construction and whole-genome sequencing were performed by Illumina HiSeq platform
(Illumina, USA) with a 100 bp paired-end sequencing.

107

108 Preprocessing of sequenced reads

The sequenced long- and short-reads data were performed preprocessing steps as adapter trimming, quality trimming, and error correction. For the long reads, adapter trimming was performed using Porechop v0.2.4 (<u>https://github.com/rrwick/Porechop</u>) (Porechop, RRID:SCR_016967) and removing reads with below quality-score 7 was performed using Guppy. For the short reads, adapter- and quality trimming were performed using Trimmomatic v0.39 [5] (Trimmomatic, RRID:SCR_011848), and an error correction was performed using the tadpole.sh program of BBtools suite v38.26 (<u>https://jgi.doe.gov/data-and-tools/bbtools</u>) (Bestus Bioinformaticus Tools,
RRID:SCR_016968).

117

118 Trio-binning and read correction

119 To obtain more accurate and longer haplotype-resolved reads from ONT PromethION sequencing, we applied a trio-binning with KOREF's parental sequencing data and an error-correction with 120 121 PacBio Hifi sequencing data. The whole procedure is described in figure 1. To obtain haplotype-122 resolved reads from ONT PromethION and PacBio Hifi sequencing, we performed a trio-binning 123 using TrioCanu v2.1 [6] (Canu, RRID:SCR_015880) with the parental short-reads. In this step, 124 reads from eleven PromethION flow-cells and six PacBio Hifi cells were participated. We merged 125 unclassified reads to the classified paternal-reads and classified maternal-reads each. To correct base-errors on the PromethION reads, we corrected the errors with the haplotype-resolved reads 126 127 from PacBio Hifi sequencing using Racon v1.4.3 [7] (Racon, RRID:SCR_017642). We acquired 128 KOREF's sequencing KOREF parental data from the homepage 129 (http://koref.net/KOREF_Data_Download).

130

131 *De novo* assembly of KOREF_S1 genome

Contig assembly was processed using wtdbg2 v2.5 [8] (WTDBG, RRID:SCR_017225) and Flye
assembler v2.8.1 [9] (Flye, RRID:SCR_017016). For a wtdbg2 assembly, parameters were set as
'-x corrected -g 3g -L 5000 -X 70.0'. An error correction of the assembled contigs was conducted
using Racon with a single iteration. The Flye assembly was performed with parameters of '--

pacbio-hifi --hifi-error 0.008 --genome-size 3g'. For error correction, we carried out the sameprocedure as the wtdbg2 assembly.

138 To construct scaffolds with a chromosome-scale, we conduct scaffolding using PromethION reads 139 and Hi-C data. To scaffold contigs using PromethION reads, LINKS v1.8.7 [10] was used with a 140 single flow-cell of PromethION reads. To construct chromosome-scale scaffolds using Hi-C data. 141 3D-DNA pipeline v180922 [11] with Juicer v1.6.2 program [12] (Juicer, RRID:SCR_017226) was 142 performed with the scaffolds by LINKS. Hi-C raw reads were mapped against the extended contigs 143 using Juicer, and the 3D-DNA pipeline was initiated to correct mis-joined contigs and construct 144 scaffolds. To correct misassemblies on the scaffolds, a manual curation was performed using JBAT 145 (JuiceBox Assembly Tool) v1.11.08 program (https://github.com/aidenlab/Juicebox) (Juicebox, 146 RRID:SCR_021172). To polish base-errors and small indels, we performed Pilon v1.23 program 147 [13] (Pilon, RRID:SCR_014731) with KOREF's short read data and parameters of '--fix snps and 148 indels' were used.

149

Constructing high-confident regions, and the assessment of base-errors on long-reads and genome assemblies

For an assessment of base-errors, we constructed high-confident regions of KOREF_S1 v1 against
chromosome sequences of the GRCh38.p13. The procedure was referred to Heng Li's study [14].
We aligned the KOREF_S1v1.0 assembly to GRCh38 using the Minimap2 program v2.17-r941
[15] (Minimap2, RRID:SCR_018550). Alignments with mapping quality >5 and aligned segments
shorter than 50 kb were discarded. The filtered alignments were converted to the BED format and
sorted.

To assess base-errors of long-reads and genome assemblies, we compared them to the KOREF_S1v1.0 assembly using the assembly_assess program from Pomoxis v0.3.4 (https://github.com/nanoporetech/pomoxis). And the Merqury v1.0 [16] program was performed to assess assemblies using k-mers.

162

163 Genome annotation

To identify protein coding genes on KOREF_S1v2.1 genome, we performed a reference-guided 164 165 transcriptome assembly with liftover with a gene annotation from GENCODE 38. The liftover was 166 processed using Liftoff v1.6.1 program [17], and the reference-guided assembly was performed using Stringtie v2.1.5 program [18] (Stringtie, RRID:SCR_016323) and TransDecoder v5.5.0 167 168 program (https://github.com/TransDecoder/TransDecoder) (TransDecoder, RRID:SCR_017647) 169 with KOREF's RNASeq data. Mapping RNASeq data was conducted using HISAT2 v2.2.1 170 program [19] (HISAT2, RRID:SCR_015530) for short reads and GMAP v2020-06-01 program 171 [20] (GMAP, RRID:SCR_008992) for PacBio ISOSeq reads. Other genes including lncRNAs and 172 pseudo-genes were annotated by the liftover. The result of genome annotation was stored in the 173 KOREF genome browser, built by the JBrowse v1.16.9 [21] (JBrowse, RRID:SCR_001004). All 174 RNASeq data were collected from the KOREF homepage 175 (http://koref.net/KOREF_Data_Download).

176

177 **Results**

178 KOREF_S1v2.1 assembly

179 We obtained 235× coverage (705 Gb) of long-reads from twelve ONT PromethION flow-cells and 180 38× coverage (114 Gb) of long reads from six PacBio HiFi cells (Table S1). We also acquired 274 181 Gb corrected paternal haplotype-resolved reads and 265 Gb corrected maternal haplotype-resolved 182 reads after trio-binning and read-correction. The corrected reads were identified about 1.4% baseerrors (Table S2). Contigs from both haplotypes were assembled using wtdbg and Flye. The Flye 183 184 assembly showed better results of higher N50 values (19.47 Mb for a paternal and 25.86 Mb for a 185 maternal assembly) and longer length of the longest contig (70.97 Mb for a paternal and 109.79 186 Mb for a maternal assembly) (Table 1).

187 We extended the contigs to chromosome-scale scaffolds using 76.5 Gb of PromethION reads 188 (Flow-cell no.2) and 884 Gb of Hi-C data (294× sequencing-depth). Scaffolds from a 189 mitochondrial genome were excluded using the KOREF's mtDNA sequence from the previous 190 study [4]. As a result, we acquired the paternal assembly of 2.82 Gb length with 2,230 scaffolds 191 and an N50 of 141.04 Mb (Table 1). The maternal assembly resulted in 2,616 scaffolds with an 192 N50 of 150.05 Mb, and its total length was 2.88 Gb. For generating the final assembly of 193 KOREF_S1v2.1, we substituted sequences of autosomal chromosomes and a Y chromosome from 194 the paternal assembly, and a X chromosome from the maternal assembly. As a result, the KOREF_S1v2.1 was acquired a total length of 2.9 Gb with an N50 of 150.05 Mb. 195

196

197 Genome annotation

We annotated genes in KOREF_S1v2.1 by integrating a liftover of gene annotations from the
GENCODE release 38 (https://www.gencodegenes.org/human/) and homology information of
RNASeq data. The genes included 20,378 protein-coding genes with 166,570 transcripts, 46,973

201 lncRNAs and 17,535 pseudogenes (Table 3). 1,391 genes from the Gencode38 annotation were
202 not transferred to the KOREF by liftover, and a list of these genes can be found in the
203 supplementary table 4.

204

205 Assessment of KOREF and comparison with other human genome assemblies

Using the Mergury program for a quality assessment, we estimated QV scores of Q43.88 for the 206 207 paternal assembly and Q44.49 for the maternal assembly. The final assembly showed QV score of 208 Q43.88, indicating >99.99% accuracy (Table S5), and it is higher than KOREF S1v1.0's (Q33.58) 209 and KOREF_S1v2.0 (Q39.52) which was were assembled with the PromethION data. We 210 compared KOREF S1v2.1 and other human reference genome assemblies (AK1 v2, JG2.0.0 Beta, 211 HuRef, CHM13 v1.1, and GRCh38.p13) [22-25]. The results showed that KOREF S1v2.1 is 212 more contiguous than AK1 and HuRef, and comparable to JG2.0.0 Beta and CHM13_v1.1 (Table 213 2). Among six genome assemblies, KOREF_S1v2.1 and CHM13 were a haplotype-resolved 214 assembly with a chromosome-scale. AK1 was haplotype-resolved using a read-based phasing 215 method but could not reach a chromosome-scale without a guidance of the reference genome.

216

217 **Discussion**

In previous version of KOREF_S1, we generated a chromosome-level genome assembly with a guidance of GRCh38. A new version of KOREF assembly, KOREF_S1v2.1, was assembled with high accurate (less than 0.01% of base error) and contiguity from multiple sequencing technologies including ONT, PacBio, Illumina, and Hi-C. Furthermore, the new KOREF assembly was phased with parental sequencing data. To generate ultra-long and high accurate reads, we corrected ONT reads using PacBio HiFi reads. Most genomic regions were covered by the corrected reads, but some highly competitive regions including telomere and centromere were not covered. They were remained as gaps with unknown length. Especially on a chromosome Y, we found more gaps and less contiguity than other chromosomes. The genomic sequences of a chromosome X and Y have high similar regions and they probably make difficulties to phase genomic sequences on sex chromosomes.

Recently, new *de novo* assembly pipelines, such as the Hifiasm [26] (Hifiasm, RRID:SCR_021069) 229 230 and HiCanu [27], have been developed for PacBio's HiFi-CCS. Especially, Hifiasm supports a 231 trio-binning from parental sequencing and Hi-C, and the assembly resulted in high base-accuracy 232 and contiguity (Table S3). Despite these advantages, scaffolding contigs from Hifiasm has 233 difficulties for using Hi-C data. From a pilot study, an error-correction module of the 3D-DNA 234 pipeline seemed to split long repetitive regions complicatedly, and it made difficult to construct 235 scaffolds or curate misassembles (Fig. S1). However, the high-quality contigs from Hifiasm can 236 be helpful to remove gaps and resolve highly repetitive regions. Also, a recent study of the T2T 237 consortium shared a complete structure of centromeric regions [25], and it will be a useful resource 238 to complete the KOREF S1 genome.

In conclusion, we upgraded a high-quality Korean reference genome, KOREF. Our study provides
useful resources of the Korean reference genome and demonstrates a new strategy of hybrid
assembly which collaborates ONT's PromethION and PacBio's HiFi-CCS.

242

243 Data availability

244	The Korean reference genome project has been deposited at DDBJ/ENA/GenBank under the
245	accession PRJNA735947. The version described in this paper is version JAHRJT000000000. Raw
246	DNA and RNA sequence reads for KOREF and KPGP have been submitted to the NCBI Sequence
247	Read Archive database (from SRR14759111 to SRR14759134). The immortalized cell line of
248	KOREF was deposited in the Korean Cell Line Bank (KCLB, #60211). KOREF_S1 data is found
249	from http://koreanreference.org
250	
251	Competing financial interests
252	The authors declare no competing financial interests.
253	
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257	
258	Author contributions
259	J.B. supervised and coordinated the national Korean reference genome project and Personal
260	Genome Project Korea. J.B. conceived and designed the reference genome project. H.K.
261	performed the analyses and assembly. H.K. and J.B. wrote the manuscript.

262

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350 Figures

351

352 Figure 1. The flowchart of KOREF genome assembly

Tables

356 Table 1. The statistics of KOREF_S1v2.1 assembly

		Scaffold				
	Wtdbg2_paternal	Flye_paternal	Wtdbg2_maternal	Flye_maternal	Paternal	Maternal
Sequence no.	3,059	4,463	2,426	2,475	2,230	2,616
Total length (bp)	2,652,350,533	2,820,210,305	2,691,371,348	2,885,670,065	2,821,407,033	2,886,600,011
N50 (bp)	15,085,508	19,472,363	15,312,743	25,861,606	141,044,433	150,051,441
Longest (bp)	70,969,653	87,371,841	70,444,093	109,786,075	235,665,501	234,237,609
Gaps	0.000%	0.000%	0.000%	0.000%	0.048%	0.037%
GC contents	40.90%	40.92%	40.84%	40.86%	40.92%	40.88%
257						

Table 2. Comparison between KOREF and other human genomes

	KOREF_S1v2.1	AK1_v2	JG2.0.0 Beta	HuRef	CHM13	GRCh38.p13
Scaffold no.	2,230	2,832	1,173	4,530	24	472
Total length (bp)	2,901,828,151	2,904,207,228	3,059,652,438	2,844,000,504	3,054,832,041	3,272,089,205
Scaffold N50 (bp)	150,051,441	44,846,623	152,668,378	143,733,266	154,259,566	67,794,783
Phasing approach	De novo	De novo	De novo	Reference-guided	De novo	De novo
Assembly level	Chromosome	Scaffold	Chromosome	Chromosome	Chromosome	Chromosome
Haplotype-resolved	Trio-binning	Read-based	No	No	Haploid cell line	No

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360

361 Table 3. The statistics of KOREF genome annotation

	KOREF_S1v2.1 gene
Genes no.	20,378
Transcripts no.	166,570
Total length of transcripts (bp)	216,532,041
N50 (bp)	1,851
Length of longest transcripts	107,976
GC contents	52.22%
IncRNAs no.	46,973
Psuedogenes no.	17,535



Supplementary tables

Click here to access/download Supplementary Material KOREF_S1v2.1_supplementary_tables.20210726.xlsx