

1 **Efficiency of an Electronic Follow-up Model for Assessing**
2 **Patient-Reported Outcomes after Cancer Immunotherapy: A Randomized**
3 **Controlled Trial (Protocol)**

4

5 **Background**

6 The oncology treatment landscape has been changed by immunotherapy,
7 represented by immune checkpoint inhibitors (ICIs) . Monoclonal antibody
8 therapy is mainly directed against cytotoxic T lymphocyte-associated antigen 4,
9 CTLA4) programmed death-1 receptor (pd1) and its ligand1 (pdl1). The FDA
10 has approved it to treat a variety of solid cancers and Hodgkin's lymphoma. A
11 growing number of oncology patients may benefit from this, but at the same
12 time, patients may experience immune-related adverse events (irAEs) . irAEs
13 are non-specific responses produced by the immune system, affecting almost
14 all tissues and organs. The most frequently involved sites are the skin, colon,
15 endocrine system, liver, and lung, while there are few irAEs in the heart, liver,
16 kidney, nerves, and eyes. Studies have shown that 90% of patients treated
17 with single-agent ICI will experience varying degrees of irAEs, primarily mild to
18 moderate. However, up to 43% of these patients apply ipilimumab, and about
19 20% of patients receiving PD1/PDL1 therapy will experience grade 3 or higher
20 irAEs, such as severe enterocolitis, pneumonia, and myocarditis. Failure to
21 identify and treat patients promptly can result in interruption of treatment in
22 about 20% of patients and associated death in about 2% of patients.

23 Unlike chemotherapy-induced toxicity, irAEs are delayed reactions that
24 typically occur months after administration or even withdrawal and last longer.
25 The risk of irAEs is compounded by the fact that irAEs can be easily confused
26 with other disease symptoms or overlooked, leading to delays in treatment.
27 Medical staffs and patients should be vigilant for early identification of irAEs
28 and timely intervention to ensure patient safety. The current strain on health
29 care resources, the vast majority of time patients spend outside the hospital,

30 and the lack of supervision by health care workers further increase the risk of
31 post-medication use. Effective and long-term out-of-hospital management of
32 patients can play an essential role in the early detection and recognition of
33 irAEs. If intervening on time can ensure the safety and efficacy of patients
34 applying immunotherapy.

35 Foreign studies have shown that oncology patients with PRO follow-up
36 systems can have a better prognosis than patients with regular outpatient
37 follow-up, as evidenced by lower emergency department visits, a better quality
38 of life, and more prolonged survival.

39 This system can be applied to monitor the status of mobile clients to detect
40 serious treatment side effects at any time, to remind patients to go to the
41 hospital on time. It can also provide doctors with the patient's indescribable
42 discomfort symptoms during follow-up visits, improving the efficiency of the
43 outpatient approach. Such systems can be safer for patients and reduce the
44 occurrence of severe adverse reactions while also reducing the workload of
45 health care workers. Given the emerging therapeutic approach of
46 immunotherapy and the specificity of irAEs, exploring effective patient
47 management to improve patient safety should be a priority for medical
48 professionals; however, there is a lack of research findings in this area.

49

50 **Objectives**

51 A randomized controlled trail was designed to compare the outcomes
52 between Patient-Reported Outcomes(PRO) and traditional follow up models in
53 Cancer Immunotherapy. To explore the effects of ePROs mode on improving
54 the safety including reducing the incidence of severe adverse reactions,
55 unexpected emergency visits(ER), discontinue of treatment or death,
56 improving quality of life and saving time of patients.

57

58 **Methods**

59 This study was an open label, multicenter randomized control trial comparing

60 the follow up outcomes among intervention group and control group among
61 patients who treated with cancer immunotherapy . Randomization occurred
62 immediately after a participant provided informed consent at each center.
63 Participants were randomly assigned to the control group or intervention
64 groups by computer system.

65

66 **Patients**

67 Enrollment started in September 2019, the study ended when the last patients
68 were follow up by 6 month. Eligible individuals for the study were those who:1)
69 were receiving cancer immunotherapy, 2) were aged ≥ 18 years, 3)Eastern
70 cooperative oncology group (ECOG) performance status was 0 or 1, 4)life
71 expectancy was at least 6 months,5) were also willing to complete the
72 follow-up process according to the protocol, 6) could use smartphones or
73 computers to include information in the App with or without the help of their
74 caregivers were included in the study. Exclusion criteria: 1) diagnosed mental
75 disease, 2)psychiatric symptoms that interfered with daily life and
76 communication, 3) refused to participate in the study.

77

78 **Sample size**

79 Pass software was used to calculate the sample size. It was assumed that the
80 incidence of severe adverse reactions in the test group was 10% lower than
81 that in the control group. The unilateral Z test was applied; $\alpha = 0.05$, $\beta = 0.1$. The
82 results showed that there were 96 patients in each group; the data for 10%
83 were determined to be lost during the follow-up process. The final sample size
84 of each group was determined to include at least 103 cases considering the
85 loss to follow up, the total sample size included 300 cases.,

86

87 **Intervention**

88 **Follow up Team** The ePRO App will be built and maintained by computer
89 specialists from the Aistarfish Technology Co.,Ltd. A follow-up team that

90 included 1 oncology specialist and 2 nurses was conclude in every center.
91 Every center will have a unique account of APP and the team were responsible
92 for follow-up to their own patients. All researchers had at least 2 years of
93 experience in ICIs therapy and received a training about research program,
94 APP using and follow up process before study initial.

95

96 **Control group**

97 Researchers educated patients and their caregivers about immunotherapy and
98 common symptoms of irAEs at the baseline. Patients was followed up by
99 nurses as traditional model which including clinic visits every 21 days and via
100 the telephone every 3 months. Patients can come to clinic when feel
101 discomfort.

102

103 **Intervention group**

104 Patients in intervention group were assisted registered and followed up via the
105 ePRO follow-up APP. The APP which contain questionnaire of common
106 symptoms and image recognizen function of examination results to evaluating
107 the occurrence and grades of typical irAEs according to the guidelines.

108 Patients complete questionnaire weekly and upload pictures of examination
109 results between visits on their mobile or computer. The APP will sent a
110 reminder message to patients who did not reply for two days delay. If there
111 was still no reply, telephonic follow-up will be conducted.

112 The APP also had an algorithm for assessing severity of symptom or
113 examining results according to NCI-CTCAE ^[18-21].When the grade 1/2 irAEs
114 occurrence, the standardized advices were sent to relative patients by App
115 automatically. If 3/4 irAEs were reported, the APP will alert health cares by
116 sending alarms via text message, email, and APP at once. The health cares
117 would immediately follow up via telephone and comprehensively evaluate the
118 patient's situation. If serious AEs were still suspected, patients would be
119 adviced to go to the emergency department, and evaluate and treat the patient

120 as soon as possible(See [figure1](#)).

121

122 **Measures**

123 **Demographic characteristics and clinical conditions**

124 Demographic information, including the age, sex, educational background, and
125 marital status of patients, was recorded. Information regarding clinical
126 conditions, including the primary cancer type, treatment, number of ER visits,
127 and survival status, was also collected.

128

129

130 **Relative indexes of IrAEs**

131 Data regarding irAE incidence and grades, the rate of occurrence of grade 3/4
132 irAEs (cases/ total cases), and rate of treatment discontinuation and death
133 owing to irAEs were collected.

134

135 **Quality of Life**

136 Quality of Life c-30 (QLQ c-30) was used to measure the QOL of subjects
137 every 3 months. This tool, designed by Aaronson *et al.* ^[22], evaluates the ability
138 of patients to adapt to life. It was translated into Chinese by Chonghua *et al.* ^[23],
139 and the Chinese version was determined to be reliable. QLQ c-30 was
140 comprised of 30 items, including 5 function dimensions (physical, role,
141 emotional, perception, and social functions), 9 symptom dimensions (fatigue,
142 nausea and vomiting, pain, shortness of breath, insomnia, loss of appetite,
143 astriction, diarrhea, and economic difficulty), and 1 health situation. Two of the
144 health situation items were assigned scores between 1 to 7, while the others
145 were evaluated using the Likert 4 grade score. Based on the rules for
146 assigning scores, we transformed every dimensional score to a standard score
147 ranging from 0-100. The functional dimension score and the health situation
148 score were positively correlated with patient QOL, while a high symptom
149 dimension score was correlated with a severe manifestation.

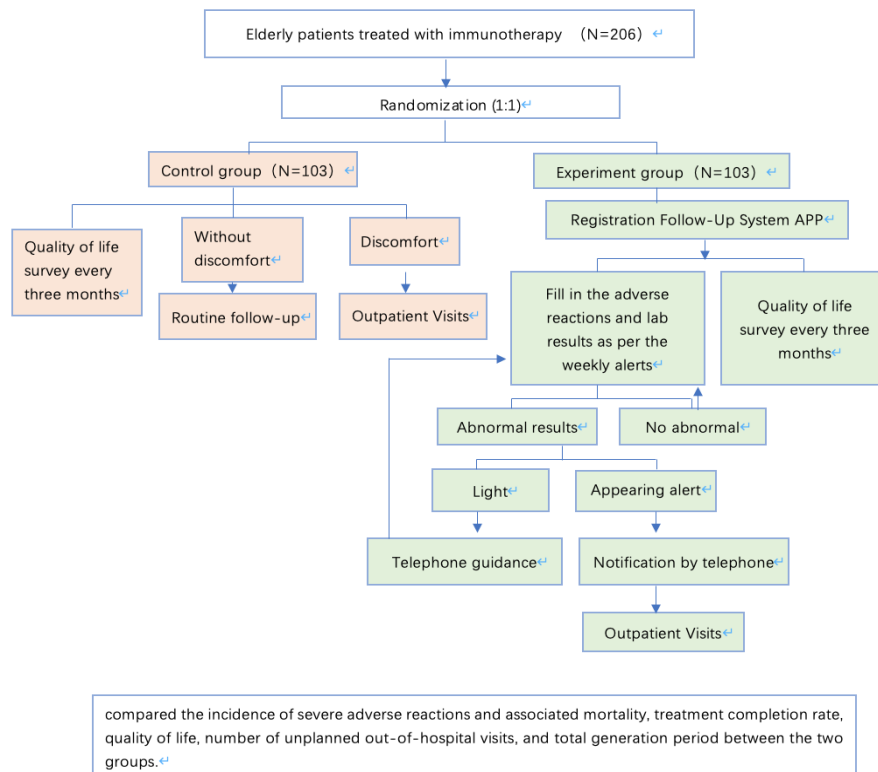
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151 **Average time consuming**

152 Researcher record the time consuming of each follow up. Average time
153 consuming (total time /number of follow up per patient) of each follow up were
154 compared between two groups.

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156 **Research route**



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160 **Expected solutions for critical problems and difficulties**

161 Compared with the traditional follow-up model, whether the management
162 model of the PRO follow-up system can reduce the incidence of severe irAEs
163 and associated mortality after medication in immunotherapy patients. The
164 application of the PRO follow-up system can improve patient safety by
165 reducing the incidence of severe adverse reactions and associated mortality,
166 the number of ER visits, treatment discontinue rate, quality of life, and overall

167 survival and time consuming in immunotherapy patients compared to the
168 traditional follow-up model.

169 The difficulty of the study is how to improve the response rate of patients to
170 the follow-up system. The solutions including firstly, the purpose and
171 significance of the study are fully explained before the patients are enrolled to
172 obtain their cooperation. Second, the system will send task reminders to the
173 platform again for patients who do not answer after the timeout. If they still do
174 not answer, there will be a telephone follow-up to ensure the integrity of the
175 follow-up information.

176

177 **Feasibility analysis**

178 1) Theoretically feasible : This research on this topic is based on previous
179 studies. The project is based on a sufficient basis, and the preliminary results
180 are persuasive.

181 (2) Technically feasible: The group members include senior oncologists and
182 nurses, and as the leader of the group, we have undertaken several related
183 scientific research projects and treated nearly 1,000 patients receiving
184 immunotherapy in recent years, so we have rich experience in the
185 identification and management of related adverse reactions. As the principal
186 investigator, we also conducted a randomized controlled study of the PRO
187 follow-up system, with excellent results and a mature approach.

188 (3) Feasible conditions: Immunotherapy is currently one of the primary
189 treatment modalities in our department, and more than 500 immunotherapy
190 patients are now admitted annually. Hence, it is feasible to obtain study
191 subjects.

192

193 **Features and Innovations**

194

195 Immunotherapy is currently one of the hottest directions in oncology

196 treatment, with an increasingly wide range of applications, and there is growing
197 concern about how to ensure patient safety. However, domestic and
198 international studies on patient management have been rarely reported. This
199 study was conducted to manage patients on such new drugs to facilitate drug
200 promotion and ensure patient safety and efficacy of drug administration. This
201 study has more significant clinical implications for the management of patients
202 on such new drugs in terms of drug promotion, ensuring safe patient access,
203 and ensuring drug efficacy.

204 Foreign literature confirms that the PRO follow-up system leads to a better
205 prognosis in oncology patients. However, there is a lack of studies reported in
206 immunotherapy patients. In a context where domestic follow-up is still lacking,
207 this study investigates the effectiveness of this new follow-up model, which can
208 provide a basis for exploring effective follow-up models and has value for
209 replication.

210 The present study is innovative, practical and generalized from theoretical
211 and technical aspects.