| 1 | Supporting Information |
|---|---|
| 2 3 | Lipase-catalyzed acylation of levoglucosan in continuous flow: antibacterial and biosurfactant studies |
| 4 5 6 | Marcelo A. Nascimento, ^{1,5} Juan P. C. Vargas, ² José G. A. Rodrigues, ³ Raquel A. C. Leão, ¹ Patricia H. B. de Moura, ⁴ Ivana C. R. Leal, ⁴ Jonathan B. F. de Souza, ⁵ Rodrigo O. M. A. de Souza, ¹ Robert Wojcieszak ⁵ and Ivaldo Itabaiana Jr ^{5,6*} |
| 7 8 9 10 11 12 13 14 15 16 17 18 19 20 | Biocatalysis and Organic Synthesis Group, Chemistry Institute, Federal University of Rio de Janeiro, CEP: 21941-909, Brazil; Nanotechnology Engineering Program, COPPE, Universidade Federal do Rio de Janeiro, UFRJ, Rio de Janeiro, Brazil Institute of Chemistry, Federal University of Rio de Janeiro, University City, 21941-909, Rio de Janeiro, Brazil Laboratory of Natural Products and Biological Assays, Department of Natural Products and Food, Pharmacy Faculty, Federal University of Rio de Janeiro, 21941-902, Rio de Janeiro, Brazil; Univ. Lille, CNRS, Centrale Lille, Univ. Artois, UMR 8181 - UCCS - Unité de Catalyse et Chimiedu Solide, F-59000, Lille, France; Laboratory of Technological Biochemistry and Biocatalysis, Department of Biochemical Engineering, School of Chemistry, Federal University of Rio de Janeiro, 21941-909, Rio de Janeiro, Brazil. |
| 20 21 22 | Keywords: Levoglucosan, Lipase, Esters, Design of Experiment, Continuous Flow. |
| 23 24 | |
| 25 | |
| 26 | |
| 27 | |
| 28 29 | |
| 30 | |
| 31 | |
| 32 | |
| 33 | |

| 34 | Summary | |
|----------|---|----|
| 35 | 1. Reaction optimization in continuous flow | 3 |
| 36 | 1.1. Experimental setup | 3 |
| 37 | 1.2. CCD planning matrix | 4 |
| 38 | 1.3. Hydrolytic Activity Assay | 4 |
| 39 | 1.4. Solubility tests of levoglucosan and acyl donors in acetonitrile | 5 |
| 40 | 2. Analytical Methods | 6 |
| 41 | 2.1. Gas Chromatography Analysis | 6 |
| 42 | 2.2. Determination of surface activity | 9 |
| 43 | 2.3. Antibacterial Assays | 10 |
| 44 | 2.4. Nuclear Magnetic Resonance | 11 |
| 45 | Reference | 14 |
| 46 47 | | |
| 48 | | |
| 49 | | |
| 50 | | |
| 51 | | |
| 52 | | |
| 53 | | |
| 54 | | |
| 55 | | |
| 56 | | |
| 57 | | |
| 58 | | |
| 59 | | |

1. Reaction optimization in continuous flow

1.1. Experimental setup





Figure S1: Esterification reaction of levoglucosan with different vinyl esters.*Reactor volume: 7.854mL. a: vinyl laurate, **b**: vinyl palmitate, **c**: vinyl stearate and **d**: vinyl oleate. Immobilized Enzyme: **N435** = Novozym 435 (Candida antarctica lipase B), immobilized in resin Lewatit VP OC 1600; CaLB_Epoxy = Candida antarctica lipase B immobilized on epoxy support by our research group.

73 **1.2. CCD planning matrix**

| EXP | X1 | X ₂ | Conversion % |
|-----|---------|----------------|--------------|
| 1 | (-1) | (-1) | 31 |
| 2 | (1) | (-1) | 38 |
| 3 | (-1) | (1) | 50 |
| 4 | (1) | (1) | 54 |
| 5 | (-1,42) | (0) | 26 |
| 6 | (1,42) | (0) | 36 |
| 7 | (0) | (-1,42) | 28 |
| 8 | (0) | (1,42) | 54 |
| 9 | (0) | (0) | 54 |
| 10 | (0) | (0) | 54 |
| 11 | (0) | (0) | 56 |

74 **Table S1**: CCD planning matrix for two variables real and normalized levels and the response obtained.

75 **1.3. Hydrolytic Activity Assay**

76

77 The activities of free and immobilized lipases were determined as follows: 1 mL of extract or 10 mg of 78 each supported enzyme were added to 19 mL of an emulsion prepared with olive oil (5 % v/v) and arabic 79 gum (10 % v/v) in sodium phosphate buffer (100 mM, pH 7.0). The reactions were carried out under stirring 80 (200 rpm) at 35 °C for 30 min. The reactions were then stopped by the addition of 20 mL of acetone-ethanol 81 mixture (1:1 v/v) and the fatty acids produced were extracted under agitation (200 rpm) for 10 min and 82 titrated until end-point (pH 11.0) with NaOH solution (0.04 N). The blank assays were performed by adding 83 the extract just after the addition of the acetone-ethanol solution to the flask. One unit of lipase activity (U) 84 was defined as the amount of enzyme which catalyzes the release of 1 µmol of fatty acids per minute, under 85 the assay conditions.^{1,2}



87

88

Figure S2: Bradford curve for protein quantification.

Table S2: Immobilization efficiency of e new biocatalysts compared to that of N435

 H_A \mathbf{S}_{A} **Biocatalilyst** Amount of protein IPC (%)^a (U.g⁻¹ of (U.mg⁻¹ of Immobilized (mg/g of support) protein)^c support)^b N435² 16.53 15.0 248.0 -41.33 CalB_Epoxy 9.0 >99 372.0

^aIPC: immobilized protein concentration; ^b Hydrolytic activity; ^c Specific activity

91 a IPC (%):
$$\left(\frac{P_0 - P_f}{P_0}\right) * 100$$

P₀ and P_f are the initial and final time protein concentrations in the supernatant (mg.mL⁻¹), respectively. 93

94 b Hydrolytic activity (H_A):
$$\frac{(V-V_b)}{t*V_a}$$
 * M * 1000

95 Where, V and V_b are the volume (mL) of NaOH solution used for sample and blank titration, respectively; t is

96 the reaction time (min); M is the molarity (mmol.mL⁻¹) and V_a is the sample volume (mL).

97 ^c Specific activity (
$$S_A$$
): $\frac{U}{milligrams of protein}$
98

99 **1.4.** Solubility tests of levoglucosan and acyl donors in acetonitrile

100

101 Levoglucosan and acyl donors vinyl laurate, ethyl laurate, lauric acid, vinyl stearate, vinyl oleate and

- 102 vinyl palmitate were subjected to solubility tests. 40 and 100 mM solutions were prepared in a total volume
- 103 of 500 mmL of acetonitrile. The temperatures tested were 45, 50 and 55 °C and shaken at 200 rpm in an

- 104 orbital shaker incubator for 30 min. The numbers below have been assigned in relation to the solubility of
- 105 the reagents:
- 106 1 Insoluble: turbid solution and background body;
- 107 2 Partially soluble: turbid solution;
- 108 3 Completely soluble: Clear solution
- 109

Table S3: Solubility tests of levoglucosan and acyl donors in acetonitrile

| | Temperature (°C) | | | | | | | | | | |
|-----------------|------------------|--------|-------|--------|-------|--------|--|--|--|--|--|
| Reagent | 4 | 45 | 5 | 50 | 5 | 5 | | | | | |
| | 40 mM | 100 mM | 40 mM | 100 mM | 40 mM | 100 mM | | | | | |
| Levoglucosan | 1 | 1 | 1 | 1 | 3 | 3 | | | | | |
| Lauric acid | 3 | 3 | 3 | 3 | 3 | 3 | | | | | |
| Ethyl laurate | 2 | 2 | 2 | 2 | 2 | 2 | | | | | |
| Vinyl laurate | 2 | 2 | 3 | 3 | 3 | 3 | | | | | |
| Vinyl stearate | 2 | 2 | 3 | 3 | 3 | 3 | | | | | |
| Vinyl palmitate | 3 | 3 | 3 | 3 | 3 | 3 | | | | | |
| Vinyl oleate | 3 | 3 | 3 | 3 | 3 | 3 | | | | | |

110

111 **2. Analytical Methods**

112 **2.1. Gas Chromatography Analysis**

113

The reactions were monitored by thin layer chromatography (TLC) and, subsequently, 10 μ L of each sample were collected and derivatized with 10 μ L of BSTFA in an orbital shaker incubator at 200 rpm, 60 °C and 90 min. After that, they were swelled with ethyl acetate to 0.5 mL and analyzed in a gas chromatograph equipped with a mass spectrometry detector (CG-MS).^{3,4} Helium was applied as carrier gas in all analyses.

- 118 Analyses were performed in a GC-MS (Shimadzu CG2010 –SLB-5MS capillary column 30 m, 0.25 mm ID, 0.25
- 119 um film thickness). Samples were prepared by diluting 20 μ L of the final product in 980 μ L of ethyl acetate.
- 120 The injector and detector temperatures were 250 °C, and the oven was maintained at 80 °C for 4 min,
- 121 increased to 200 °C at a rate of 6 °C/min for 10 min, increased to 310 °C at a rate of 8 °C/min, when it was
- 122 held constant for 4 min, totaling 51.75 min.
- 123 In this section, we will show the illustrative chromatograms obtained in the steps of higher product 124 conversions, in the esterification reactions with vinyl laurate using CalB Epoxy and N435 for the synthesis of 125 CFAEs.
- 126



- 128 Figure S3: Chromatogram of levoglucosan reaction with vinyl laurate using CalB Epoxy
- 129 (derivatized) 61 °C.
- 130





- 133 °C
- 134
- 135 In this section, we will show the illustrative chromatograms obtained of monoesters levoglucosan that were 136 separated from unreacted reagents by flash chromatography
- 137





Figure S5: Chromatogram of MONLAU. Mixture of 4- and 2-: O-Lauryl-1,6-anhydroglucopyranose.



144





Figure S7: Chromatogram of MONEST. Mixture of 4- and 2-: O-Estearyl-1,6-anhydroglucopyranose.



Figure S8: Chromatogram of MONOLE. Mixture of 4- and 2-: O-Oleoyl-1,6-anhydroglucopyranose.



148

- 149
- 150



153

- 154 Figure S9: Evaluation of pendant drop (PD) method using Goniometer OCA25 (DataPhysic Instruments,
- 155 Germany)

156

157 **Table S4**: Variation of the interface tension with the concentration of CFAEs: MONLAU, MONPAL, MONEST

158 and MONOLE in oil at 25 °C

| | | CONCENTRATION (mM) | | | | | | | | | | | | |
|----------|--------|--------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|--|--|
| Compound | 0 | 5 | 10 | 20 | 30 | 40 | 50 | 60 | 75 | 100 | 120 | 150 | | |
| | | IFT[mN/m] | | | | | | | | | | | | |
| MONLAU | 249,39 | 176,6 | 166,9333 | 137,1033 | 115,3817 | 111,8117 | 96,39 | 88,71833 | 90,55667 | 90,55667 | 90,49333 | 89,97667 | | |
| MONPAL | 249,39 | 184,85 | 155,966 | 133,252 | 121,818 | 105,58 | 88,214 | 88,53 | 86,576 | 89,48 | 88,356 | 86,346 | | |
| MONEST | 249,39 | 226,435 | 222,73 | 212,1933 | 200,3917 | 178,6117 | 177,4317 | 171,5917 | 141,16 | 135,56 | 140,1933 | 139,655 | | |
| MONOLE | 249,39 | 237,5083 | 198,1483 | 188,79 | 158,9 | 148,5333 | 134,6317 | 102,4817 | 105,3367 | 104,0533 | 103,1367 | 105,6033 | | |

159

160

161 **Table S5**: Variation of the interface tension with the concentration of MONLAU in oil at 25 °C

| | CONCENTRATION (mM) | | | | | | | | | | | | |
|----------|--------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|--|--|
| Compound | 5 | 10 | 20 | 30 | 40 | 50 | 60 | 75 | 100 | 120 | 150 | | |
| | IFT[mN/m] | | | | | | | | | | | | |
| | 175,6 | 168,13 | 136,85 | 114,99 | 111,95 | 96,87 | 89,31 | 90,58 | 91,3 | 90,78 | 91,14 | | |
| | 176,47 | 167,52 | 136,77 | 115,16 | 111,98 | 96,78 | 89,14 | 90,8 | 91,17 | 90,52 | 89,14 | | |
| MONIALI | 177,91 | 166,94 | 136,75 | 115,21 | 111,8 | 95,49 | 88,73 | 91,14 | 91,17 | 89,91 | 88,73 | | |
| WONLAU | 177,24 | 166,34 | 136,7 | 115,9 | 111,4 | 96,77 | 88,53 | 90,02 | 90,58 | 90,42 | 90,52 | | |
| | 176,31 | 166,12 | 137,69 | 115,36 | 111,83 | 95,9 | 88,43 | 90,78 | 89 | 90,91 | 89,91 | | |
| | 176,07 | 166,55 | 137,86 | 115,67 | 111,91 | 96,53 | 88,17 | 90,02 | 90,12 | 90,42 | 90,42 | | |
| Average | 176,6 | 166,9333 | 137,1033 | 115,3817 | 111,8117 | 96,39 | 88,71833 | 90,55667 | 90,55667 | 90,49333 | 89,97667 | | |
| Sd | 0,837568 | 0,766385 | 0,525268 | 0,341843 | 0,213112 | 0,565084 | 0,435266 | 0,452975 | 0,885362 | 0,348578 | 0,905951 | | |

162 |Sd 163 Sd: Stand

163 Sd: Standard deviation

164

165 **Table S6**: Variation of the interface tension with the concentration of MONPAL in oil at 25 °C

| | CONCENTRATION (mM) | | | | | | | | | | | |
|----------|--------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|--|
| Compound | 5 | 10 | 20 | 30 | 40 | 50 | 60 | 75 | 100 | 120 | 150 | |
| | IFT[mN/m] | | | | | | | | | | | |
| | 185,71 | 154,56 | 134,59 | 123,12 | 106,58 | 89,39 | 88,34 | 87,11 | 89,76 | 88,9 | 86,17 | |
| | 184,15 | 155,57 | 134,41 | 121,98 | 106,01 | 88,95 | 87,91 | 86,17 | 89,63 | 88,52 | 86,06 | |
| MONPAL | 184,4 | 155,95 | 132,51 | 121,66 | 105,55 | 88,88 | 87,87 | 86,06 | 89,48 | 88,11 | 86,06 | |
| | 184,28 | 156,79 | 131,9 | 121,7 | 105,28 | 87,05 | 89,36 | 86,05 | 89,36 | 88,34 | 86,05 | |
| | 185,71 | 156,96 | 132,85 | 120,63 | 104,48 | 86,8 | 89,17 | 87,49 | 89,17 | 87,91 | 87,39 | |
| Average | 184,85 | 155,966 | 133,252 | 121,818 | 105,58 | 88,214 | 88,53 | 86,576 | 89,48 | 88,356 | 86,346 | |
| Sd | 0,790032 | 0,974797 | 1,190722 | 0,890461 | 0,788321 | 1,196089 | 0,699035 | 0,676077 | 0,229891 | 0,381615 | 0,585688 | |

166

167 Sd: Standard deviation

| 168 Table S7 : Variation of the interface tension with the concentration of MONEST in | oil at 25 | °C |
|--|-----------|----|
|--|-----------|----|

| | | CONCENTRATION (mM) | | | | | | | | | | | |
|----------|-----------|--------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|--|--|
| Compound | 5 | 10 | 20 | 30 | 40 | 50 | 60 | 75 | 100 | 120 | 150 | | |
| | IFT[mN/m] | | | | | | | | | | | | |
| | 225,68 | 221,57 | 211,57 | 200,04 | 177,94 | 178,01 | 171,7 | 142,56 | 136,51 | 137,8 | 141,25 | | |
| | 227,78 | 221,45 | 210,91 | 200,71 | 177,92 | 177,36 | 171,37 | 142,25 | 136,27 | 139,01 | 141,36 | | |
| MONIFET | 226,61 | 223,17 | 213,17 | 199,72 | 181,39 | 176,78 | 170,87 | 138,66 | 133,18 | 142,56 | 140,88 | | |
| NUCIVEST | 225,87 | 222,59 | 212,59 | 200,66 | 176,82 | 177,31 | 171,9 | 141,25 | 136,14 | 142,25 | 137,09 | | |
| | 227,44 | 225,4 | 211,75 | 200,58 | 179,85 | 178,43 | 171,47 | 141,36 | 135,42 | 138,66 | 135,47 | | |
| | 225,23 | 222,2 | 213,17 | 200,64 | 177,75 | 176,7 | 172,24 | 140,88 | 135,84 | 140,88 | 141,88 | | |
| Average | 226,435 | 222,73 | 212,1933 | 200,3917 | 178,6117 | 177,4317 | 171,5917 | 141,16 | 135,56 | 140,1933 | 139,655 | | |
| Sd | 1,018916 | 1,456503 | 0,927053 | 0,411165 | 1,68135 | 0,679865 | 0,471568 | 1,380188 | 1,225186 | 1,989067 | 2,683123 | | |

170 Sd: Standard deviation

Table S8: Variation of the interface tension with the concentration of MONOLE in oil at 25 °C

| | | CONCENTRATION (mM) | | | | | | | | | | | | |
|----------|-----------|--------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|--|--|--|
| Compound | 5 | 10 | 20 | 30 | 40 | 50 | 60 | 75 | 100 | 120 | 150 | | | |
| | IFT[mN/m] | | | | | | | | | | | | | |
| | 238,53 | 198 | 187,84 | 158,84 | 152,43 | 134,95 | 102,67 | 107,41 | 102,94 | 103,4 | 105,85 | | | |
| | 237,96 | 198,18 | 188,69 | 157,61 | 147,96 | 134,53 | 103,88 | 107,12 | 103,66 | 102,67 | 107,41 | | | |
| MONOLE | 237,79 | 197,36 | 187,67 | 161,79 | 148,21 | 135,48 | 102,21 | 104,61 | 104,33 | 103,88 | 107,12 | | | |
| WONOLE | 236,89 | 199,73 | 190,55 | 160,04 | 147,95 | 134,67 | 101,69 | 104,97 | 104,02 | 102,21 | 104,61 | | | |
| | 236,67 | 197,66 | 191,98 | 158,04 | 147,34 | 134,29 | 101,77 | 102,94 | 103,4 | 101,69 | 104,97 | | | |
| | 237,21 | 197,96 | 186,01 | 157,08 | 147,31 | 133,87 | 102,67 | 104,97 | 105,97 | 104,97 | 103,66 | | | |
| Average | 237,5083 | 198,1483 | 188,79 | 158,9 | 148,5333 | 134,6317 | 102,4817 | 105,3367 | 104,0533 | 103,1367 | 105,6033 | | | |
| Sd | 0,707288 | 0,827053 | 2,152626 | 1,753362 | 1,943097 | 0,553115 | 0,80415 | 1,674511 | 1,055683 | 1,196021 | 1,468982 | | | |

173Sd0,70728174Sd: Standard deviation

2.3. Antibacterial Assays

Table S9: Strains used in the antibacterial assays

| Bacterial species | Code | Isolation source | Susceptibility profile | | |
|-----------------------------------|------------|------------------|--------------------------|--|--|
| | ATCC 29213 | Injury | Sensitive to methicillin | | |
| Staphylococcus aureus | ATCC 33591 | Clinical isolate | Resistant to methicillin | | |
| subsp. <i>aureus</i> Rosenbach | ATCC 25933 | Clinical isolate | Sensitive to methicillin | | |
| Staphylococcus aureus | 517 | Blood | Resistant to methicillin | | |

184 **2.4. Nuclear Magnetic Resonance**

185



186





12 of 14



Figure S12:1H-NMR Spectrum for 4-O-Estearyl-1,6-anhydroglucopyranose (CDCl₃).



221 **Reference**

- D. M. Freire, E. M. Teles, E. P. Bon and G. L. Sant' Anna, *Appl. Biochem. Biotechnol.*, 1997, 63–65, 409–421.
- 224 2 M. A. Do Nascimento, L. E. Gotardo, R. A. C. Leão, A. M. De Castro, R. O. M. A.
- 225 De Souza and I. Itabaiana, *ACS Omega*, 2019, **4**, 860–869.
- 226 3 Z. Zdráhal, J. Oliveira, R. Vermeylen, M. Claeys and W. Maenhaut, *Environ. Sci.*
- 227 *Technol.*, 2002, **36**, 747–753.
- 228 4 C. Schummer, O. Delhomme, B. M. R. Appenzeller, R. Wennig and M. Millet,
- 229 *Talanta*, 2009, **77**, 1473–1482.
- 230
- 231