

Supplemental Online Content

Pear VA, Wintemute GJ, Jewell NP, Ahern J. Firearm violence following the implementation of California's gun violence restraining order law. *JAMA Netw Open*. 2022;5(4):e224216. doi:10.1001/jamanetworkopen.2022.4216

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This supplemental material has been provided by the authors to give readers additional information about their work.

eMethods

Selecting Counties for the Donor Pool

Following CDC guidelines for unstable rates,¹ we excluded 26 counties from the donor pool for having, on average, fewer than 20 firearm violence injuries per year in the pre-GVRO period. To ensure we did not include exposed counties in the donor pool, we removed an additional 4 counties with a ratio of GVROs to expected firearm violence injuries > 0.1 (calculated as: total GVRO respondents 2016-2019 / [mean annual firearm violence injuries*4]). We determined the number of GVRO respondents per county using California Restraining and Protective Order System data, maintained by the California Department of Justice (CA DOJ). The 0.1 cutoff was guided by Swanson and colleagues' finding that 1 firearm suicide was prevented for every 10-20 cases of firearm removal pursuant to a risk-warrant in Connecticut and Indiana.^{2,3} Based on this figure, if 10% of the population that went on to harm themselves with a firearm was first served a GVRO, we would expect a 1% reduction in firearm self-harm. We are assuming any lesser measure of association would be undetectable at a population level, such that these counties can be considered unexposed. This left us with 27 control counties in the primary analysis.

County-Level Predictor Details

County demographic variables came from annual American Community Survey (ACS) population data.⁴ Crime rates were estimated with annual publicly available Crimes & Clearances data from CA DOJ⁵ and firearm sales were measured with CA DOJ's Dealer Record of Sales data, which contain records of nearly all legal handgun transfers in California. We were missing the last 3 months of DROS data in 2015, so we used the last 3 months of 2014 in its place. Crime and firearm sales were calculated per 1,000 residents. Unemployment data was measured with the ACS 5-year estimates for 2005-2009 and 2010-2014.⁶ Urbanicity was measured with the 2013 Rural-Urban Continuum Codes created by the US Department of Agriculture.⁷

In the secondary analysis evaluating biannual overall firearm violence, denominators and predictors were changed to 6-month estimates to the extent possible. County-level biannual population estimates were created by linearly interpolating monthly populations (overall and for subgroups by age, sex, and race/ethnicity) between annual American Community Survey (ACS) estimates⁴ and averaging the first and last 6 months of each year. ACS data for 2020 were not available, so interpolation could not be done for 2019; we therefore used the annual estimate for both biannual periods. These biannual population estimates were used as denominators and to characterize the demographic variables of interests.

Controlled Interrupted Time Series

As an additional sensitivity analysis and to test for a change in slope after GVROs were implemented, we evaluated changes in firearm assault and self-harm in San Diego relative to its synthetic control with controlled interrupted time series analyses. These took the following form:

$$Y_t = \beta_0 + \beta_1 T + \beta_2 X_t + \beta_3 T X_t + \beta_4 G + \beta_5 G T + \beta_6 G X_t + \beta_7 G X_t T$$

Y_t is the firearm assault or self-harm rate at time t ; T is a linear time trend; X is a dummy variable for the intervention, pre- or post-GVRO implementation; and G is a dummy variable for the treated (San Diego) and control group (synthetic San Diego). The coefficients of interest are β_6 and β_7 . The former provides the estimated difference in the level change post-GVROs between San Diego and synthetic San Diego, and the latter provides the estimate difference in the change in slope between the two groups after the GVRO law went into effect. We used Newey-West confidence intervals to account for autocorrelation.⁸

eTable 1. *ICD-9* and *ICD-10* Codes Used to Identify Firearm Violence^a

Description	Injury Data		Mortality Data
	ICD-9 Code (1/2005-9/2015)	ICD-10 Code (10/2015-12/2019)	ICD-10 Code
<i>Homicide & assault by:</i>			
Handgun	E965.0	X93	X93
Shotgun	E965.1	X94	X94
Hunting rifle	E965.2	X94	X94
Military firearms	E965.3	X94	X94
Other and unspecified firearm	E965.4	X95.8, X95.9	X95
<i>Suicide & self-inflicted injury by:</i>			
Handgun	E955.0	X72	X72
Shotgun	E955.1	X73	X73
Hunting rifle	E955.2	X73	X73
Military firearms	E955.3	X73	X73
Other and unspecified firearm	E955.4	X74	X74

a. ICD = International Classification of Diseases

eTable 2. Donor Pool Weights by Model

Donor County	Model Outcome	
	Annual Firearm Assault	Annual Firearm Self-Harm
El Dorado	0.163	0
Los Angeles	0.162	0
Placer	0.001	0.061
San Francisco	0.008	0
San Luis Obispo	0.259	0.100
Shasta	0.021	0
Sonoma	0.386	0
Alameda	0	0.148
Kings	0	0.026
Orange	0	0.160
Sacramento	0	0.002
San Bernardino	0	0.186
Ventura	0	0.318
Butte	0	0
Contra Costa	0	0
Fresno	0	0
Humboldt	0	0
Kern	0	0
Madera	0	0
Merced	0	0
Monterey	0	0
Riverside	0	0
San Joaquin	0	0
San Mateo	0	0
Solano	0	0
Stanislaus	0	0
Tulare	0	0

eTable 3. Synthetic Control Results, Secondary Analyses

		Outcome ^a			
		Biannual Firearm Violence	Annual Firearm Assault, Black and Hispanic	Annual Firearm Assault, NH White	Annual Firearm Self-Harm, NH White
San Diego	Rate in post-intervention period (per 100,000)	5.05	8.85	2.05	8.67
Synthetic San Diego	Rate in post-intervention period (per 100,000)	5.11	12.22	2.70	9.08
Rate difference		-0.06	-3.37	-0.66	-0.41
Percent difference		-1%	-28%	-24%	-5%
Pseudo P-value^b		12/23=0.52	6/25=0.24	5/18=0.28	5/16=0.31
Model fit (MSPE)		0.42	4.51	0.12	0.13

a. NH=Non-Hispanic

b. The proportion of counties (donor pool plus San Diego) with a difference between the observed rate and the synthetic control rate in the post-treatment period equal to or more negative than San Diego's. Counties with a poor pre-period fit (MSPE >20* San Diego's MSPE) were excluded from the denominator.

eTable 4. Synthetic Control Results, Sensitivity Analysis: More Restrictive Donor Pool (n=20)

		Outcome	
		Firearm Assault	Firearm Self-Harm
San Diego	Rate in post-intervention period (per 100,000)	4.87	5.23
Synthetic San Diego	Rate in post-intervention period (per 100,000)	5.83	4.73
Rate difference		-0.96	0.51
Percent difference		-16%	+11%
Pseudo P-value^a		7/18=0.39	6/7=0.86
Model fit (MSPE)		1.10	0.03

a. The proportion of counties (donor pool plus San Diego) with a difference between the observed rate and the synthetic control rate in the post-treatment period equal to or more negative than San Diego's. Counties with a poor pre-period fit (MSPE >20* San Diego's MSPE) were exclude from the denominator.

eTable 5. Synthetic Control Results, Sensitivity Analysis: 2018 Intervention

		Outcome	
		Firearm Assault	Firearm Self-Harm
San Diego	Rate in post-intervention period (per 100,000)	4.72	5.43
Synthetic San Diego	Rate in post-intervention period (per 100,000)	5.53	5.61
Rate difference		-0.81	-0.19
Percent difference		-15%	-3%
Pseudo P-value^a		11/23=0.48	8/20=0.40
Model fit (MSPE pre-GVRO)		0.62	0.06

a. The proportion of counties (donor pool plus San Diego) with a difference between the observed rate and the synthetic control rate in the post-treatment period equal to or more negative than San Diego's. Counties with a poor pre-period fit (MSPE >20* San Diego's MSPE) were exclude from the denominator.

eTable 6: Controlled Interrupted Time Series Results, Sensitivity Analysis

	Annual Firearm Assault	Annual Firearm Self-Harm
Level change difference post-GVRO implementation ^a	3.32 (-1.02, 7.67)	-1.06 (-3.02, 0.90)
Slope change difference post-GVRO implementation ^a	-0.23 (-0.71, 0.25)	0.10 (-0.09, 0.29)

a. Difference is between San Diego and synthetic San Diego.

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