## Supplementary Online Content

Caraballo C, Mahajan S, Valero-Elizondo J, et al. Evaluation of temporal trends in racial and ethnic disparities in sleep duration among US adults, 2004-2018. *JAMA Netw Open*. 2022;5(4):e226385. doi:10.1001/jamanetworkopen.2022.6385

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This supplementary material has been provided by the authors to give readers additional information about their work.

#### eMethods. Data Collection and Statistical Analysis

### About the National Health Interview Survey

The National Health Interview Survey (NHIS) is a series of annual cross-sectional national surveys that provide information on the health of the noninstitutionalized population of the United States. The sample design uses a multistage area probability design, which adjusts for nonresponse and further allows for national representative sampling of households and individuals, including underrepresented groups. This survey consists of a questionnaire divided into 4 cores: Household Composition, Family Core, Sample Child Core, and Sample Adult Core. The Household Composition file collects basic and relationship information about all persons in a household. The Family Core file collects sociodemographic characteristics, basic indicators of health status, activity limitations, injuries, health insurance coverage, and access to and utilization of health care services. From each family, one sample child and one sample adult are randomly selected to gather more in-depth information for the Sample Child Core and Sample Adult Core, respectively. In our study, we used data from the Sample Adult Core files, complemented with demographic and socioeconomic characteristics.

#### National Health Interview Survey Response Rates and Non-Response Bias Mitigation

The NHIS assesses response rates at several different levels and reports two different sets of response rates, "Conditional" and "Final," each reported at the level of "family" and "adult." The difference between Conditional and Final rates is whether the household nonresponse is taken into account ("Final") or not ("Conditional"). [1]

Survey Year	Household Response Rate	Conditional Family Response Rate	Final Family Response Rate		Final Sample Adult Response Rate
2004	86.9	99.6	86.5	83.8	72.5
2005	86.5	99.5	86.1	80.1	69.0
2006	87.3	99.6	87.0	81.4	70.8

The following are the response rates (both annual, and the pooled mean) for NHIS from 2004–2018:

2007	87.1	99.4	86.6	78.3	67.8
2008	84.9	99.5	84.5	74.2	62.6
2009	82.2	99.3	81.6	80.1	65.4
2010	79.5	99.1	78.7	77.3	60.8
2011	82.0	99.2	81.3	81.6	66.3
2012	77.6	99.0	76.8	79.7	61.2
2013	75.7	99.0	74.9	81.7	61.2
2014	73.8	99.0	73.1	80.5	58.9
2015	70.1	98.9	69.3	79.7	55.2
2016	67.9	98.9	67.1	80.9	54.3
2017	66.5	98.9	65.7	80.7	53.0
2018	64.2	98.7	63.4	83.9	53.1
Pooled Mean	78.2	99.2	77.5	80.3	62.1

As such, the Conditional Response Rate for Sample Adults in our study period (2004–2018) is 80.3%, while the Final Response Rate for Sample Adults is 62.1%.

The NHIS design includes several adjustments to mitigate non-response bias.[2, 3] The Sample Adult weight, which we used in our analysis, includes design, ratio, non-response and post-stratification (adjustment of age-sex-race/ethnicity to Census population control totals). Of note, the sampling plan is redesigned after every decennial census to better measure the changing U.S. population. Thus, during the study period, the NHIS used weights derived from different Census-based population estimates: from 2000 Census for years 2004–2011, and from 2010 Census for years 2012-2018.

In addition, the NHIS uses oversamples Hispanic, Black, and Asian persons. This means that includes a larger sample of racial and ethnic minorities versus what would otherwise be required.[3] This increases the precision of

the estimates obtained for these groups. For a more detailed description of the NHIS design please see Parsons et al, 2014.[4]

#### Additional Description of Variables Included in the Study

In the NHIS, hours of sleep responses are recoded into whole numbers, rounding values of 30 minutes or more up to the nearest hour or otherwise rounding down. The publicly available NHIS data includes data on 4 US regions: Northeast, North Central/Midwest, South, and West, based on where the housing unit of the survey participant was located. Health status is assessed on a 5-point scale (excellent, very good, good, fair, or poor) based on an individual's self-perceived general health. For this study, responses were dichotomized into poor or fair health status vs good to excellent health.

For descriptive purposes we classified categorical variables as follows: 3 categories for age (18–38, 40–64,  $\geq$ 65 years); 2 categories for sex/gender; 2 categories for US citizenship status, 2 categories for family income (based on percent of family income relative to the federal poverty level from the Census Bureau: middle/high income [ $\geq$ 200%] and low income [<200%]); 4 categories for education (more than bachelor's degree, some college, high school/general equivalency diploma, or less than high school); 2 categories for insurance coverage status at the time of the interview (insured and uninsured); 2 categories for marital status (married or living with partner, and not); 3 categories for employment status (with a job or working, not in labor force, and unemployed); 2 categories for flu vaccine in past 12 months (yes and no). We also included self-reported chronic conditions, including hypertension, diabetes, prior stroke or myocardial infarction, cancer, and emphysema or chronic bronchitis.

#### Statistical Analysis

To estimate the annual prevalence of short and long sleep duration prevalence rate for each racial/ethnic group we used separate multivariable multinomial logistic regression models. In these models, categorical sleep duration (short, recommended, and long) was the dependent variable, and age, a dummy variable for each region, and a dichotomous indicator for each year of interview were the independent variables. Age and region were centered

on their overall mean for the study sample. The coefficients for each year, when combined with the intercept, then represented the logit of the annual rates of each sleep duration category adjusted for age and region. The results were used to generate estimated annual prevalence, using the inverse logit of each year effect as the annual prevalence and applying the method of parametric bootstrapping to calculate the standard error (SE) and the confidence interval (CI) for the transformed coefficients.[2]

To measure the racial and ethnic differences in short and long sleep duration, we subtracted the annual prevalence among White people from the annual prevalence among each of the other 3 groups for that year, also constructing SE for the differences. Then, to estimate trends over the study period, we used weighted linear regression models where the dependent variable was the adjusted annual sleep duration disturbance prevalence or difference, and the independent variable was time in years. To account for varying precision of each estimated prevalence or the difference over time, each observation was weighted by the inverse square of the SE.

To evaluate the association between race and ethnicity and each of these sleep duration disturbances by age, we used a similar approach as above. We used multinomial regression model with categorical sleep duration as the dependent variable and age groups as the independent variables. Age was categorized by 5-year groups for this analysis. To measure the racial and ethnic differences in short and long with age, we subtracted the age group prevalence among White people from the age group prevalence among each of the other 3 groups for that year, also constructing SE for the differences.

To estimate the annual low-income prevalence by race and ethnicity we used the mean annual estimate obtained by separate multinomial logistic regressions using a similar approach as above, but with each of the multiply imputed low-income variables as the dependent variable and an indicator for each year as the independent variables. Similarly, we used the mean prevalence estimate of each sleep duration outcome (short or long) obtained from separate multinomial regressions using each of the income groups.[3]

Lastly, we performed a sensitivity analysis to assess if the observed disparities in short sleep duration were explained by differences in self-reported sleep duration bias. In this sensitivity analysis, considering that the publicly available NHIS data includes sleep duration in integers, we artificially created hourly subdeciles by © 2022 Caraballo C et al. JAMA Network Open.

randomly and equally distributing individuals into 10 bins based on their NHIS sleep duration value (e.g., *X* number of individuals that reported 6 hours were randomly assigned to equally distributed deciles of an hour between 6 and 7 hours; each bin containing with *X*/10 number of individuals). We then added 73 minutes and 54 minutes to White and Black individuals' sleep duration, respectively, based on the mean sleep duration overestimation of each race group when compared with polysomnography.[7] We then performed the same multinomial logistic regressions as above to assess the annual prevalence of short, recommended, and long sleep duration for each race; and the differences between both groups.

#### eReferences

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## eTable 1. Annualized Rate of Change in Short and Long Sleep Duration by Race and Ethnicity, 2004 to 2018

	Asian individuals	Black individuals	Latino/Hispanic individuals	White individuals
	Percentage points (95% CI), p value			
Short sleep duration (<7 hours)				
Overall	+0.21 (-0.03 to 0.44), 0.08	+0.54 (0.38 to 0.69), <0.001	+0.55 (0.39 to 0.72), <0.001	+0.27 (0.16 to 0.38), <0.001
Women	+0.33 (0.07 to 0.59), 0.02	+0.60 (0.42 to 0.78), <0.001	+0.57 (0.37 to 0.76), <0.001	+0.31 (0.20 to 0.42), <0.001
Men	+0.09 (-0.25 to 0.44), 0.57	+0.46 (0.24 to 0.68), 0.001	+0.52 (0.30 to 0.75), <0.001	+0.23 (0.10 to 0.37), 0.003
Low income	+0.45 (0.08 to 0.83), 0.02	+0.69 (0.51 to 0.87), <0.001	+0.61 (0.42 to 0.79), <0.001	+0.38 (0.21 to 0.56), <0.001
Mid/high income	+0.14 (-0.17 to 0.45), 0.35	+0.45 (0.23 to 0.66), 0.001	+0.49 (0.32 to 0.65), <0.001	+0.25 (0.14 to 0.35), <0.001
Long Sleep Duration (>9 hours)				
Overall	-0.04 (-0. 10 to 0.03), 0.28	-0.09 (-0.16 to -0.03), 0.005	-0.06 (-0.12 to 0.01), 0.08	-0.01 (-0.06 to 0.03), 0.59
Women	-0.06 (-0.13 to 0.02), 0.13	-0.05 (-0.11 to 0.01), 0.10	-0.10 (-0.16 to -0.04), 0.003	+0.01 (-0.04 to 0.05), 0.78
Men	-0.02 (-0.12 to 0.08), 0.67	-0.16 (-0.28 to -0.05), 0.007	-0.02 (-0.11 to 0.06), 0.54	-0.03 (-0.09 to 0.02), 0.24
Low income	-0.06 (-0.20 to 0.08), 0.37	-0.20 (-0.30 to -0.10), 0.001	-0.08 (-0.18 to 0.01), 0.09	+0.01 (-0.10 to 0.11), 0.90
Mid/high income	-0.01 (-0.09 to 0.06), 0.70	-0.02 (-0.08 to 0.04), 0.48	-0.01 (-0.09 to 0.08), 0.90	-0.01 (-0.04 to 0.02), 0.36

Data source is the National Health Interview Survey from years 2004 to 2018. For change in prevalence: a positive sign (+) means the prevalence and a negative sign (-) means it decreased. Prevalence estimates were adjusted by age and region.

Abbreviations: CI, confidence interval

## eTable 2. Change in Short Sleep Duration Prevalence by Race and Ethnicity and Health Status, 2004 to 2018

	Asian	Black	Latino/Hispanic	White
Short-sleep duration	Percentage points (95% CI), p value			
Annualized rate of change in				
prevalence				
Poor or fair health	+0.19 (-0.70 to 1.09), 0.65	+0.53 (0.27 to 0.78), 0.001	+0.67 (0.29 to 1.05), 0.002	+0.42 (0.26 to 0.60), <0.001
Good to excellent health	+0.21 (-0.04 to 0.46), 0.09	+0.56 (0.39 to 0.72), <0.001	+0.53 (0.37 to 0.69), <0.001	+0.25 (0.14 to 0.37), <0.001
Absolute change in				
prevalence, 2004–2018				
Poor or fair health	+4.28 (-12.63 to 21.19), 0.62	+10.32 (3.76 to 16.88), 0.002	+13.64 (6.22 to 21.06), <0.001	+3.08 (-0.38 to 6.54), 0.08
Good to excellent health	+1.37 (-3.41 to 6.15), 0.58	+5.90 (2.47 to 9.32), <0.001	+5.51 (2.74 to 8.28), <0.001	+3.21 (2.00 to 4.43), <0.001
Difference with White, 2004				
Poor or fair health	+0.39 (-13.38 to 14.16), 0.96	+3.47 (-1.40 to 8.35), 0.16	-4.49 (-9.36 to 0.38), 0.07	-
Good to excellent health	+4.19 (0.64 to 7.74), 0.02	7.17 (4.90, 9.44), <0.001	-1.19 (-3.10 to 0.73), 0.23	-
Difference with White, 2018				
Poor or fair health	+1.59 (-8.82 to 12.00), 0.77	+10.71 (5.13 to 16.30), <0.001	+6.06 (-0.52 to 12.64), 0.07	-
Good to excellent health	+2.34 (-1.08 to 5.77), 0.18	+9.85 (7.02 to 12.69), <0.001	+1.11 (-1.23 to 3.45), 0.35	-
Absolute change in difference				
with White, 2004–2018				
Poor or fair health	+1.20 (-16.06 to 18.46), 0.89	+7.24 (-0.18 to 14.65), 0.06	+10.56 (2.37 to 18.74), 0.01	-
Good to excellent health	-1.85 (-6.78 to 3.09), 0.46	+2.68 (-0.95 to 6.32), 0.15	+2.29 (-0.73 to 5.32), 0.14	-

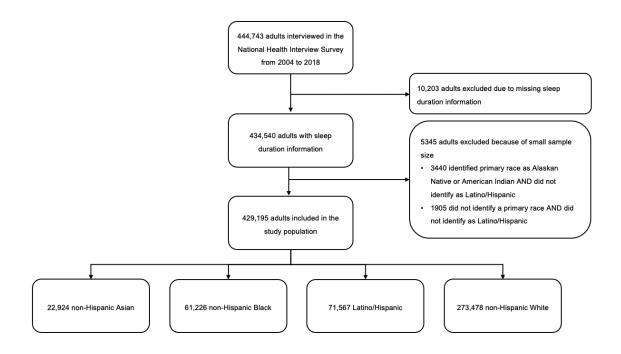
Legend: Data source is the National Health Interview Survey from years 2004 to 2018. For change in prevalence and change in difference: a positive sign (+) means the prevalence of short sleep (or its difference with White people) increased and a negative sign (-) means it decreased. Short-sleep duration prevalence was adjusted by age and region. Abbreviations: CI, confidence interval

## eTable 3. Change in Long Sleep Duration Prevalence by Race and Ethnicity and Health Status, 2004 to 2018

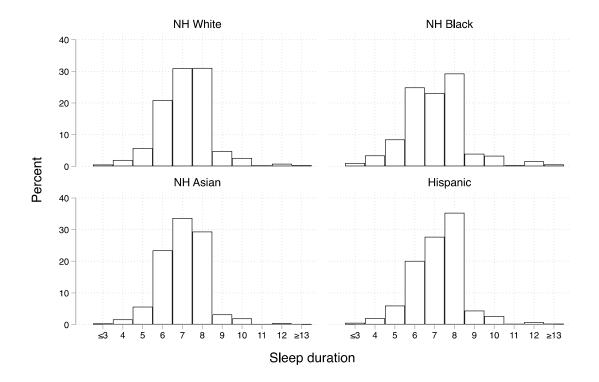
	Asian	Black	Latino/Hispanic	White	
Long-sleep duration	Percentage points (95% CI), p value				
Annualized rate of change in					
prevalence					
Poor or fair health	+0.08 (-0.12 to 0.27), 0.42	-0.05 (-0.18 to 0.08), 0.42	-0.11 (-0.27 to 0.05), 0.15	-0.03 (-0.13 to 0.08), 0.62	
Good to excellent health	-0.06 (-0.12, 0.00), 0.06	-0.08 (-0.14 to -0.02), 0.02	-0.04 (-0.09 to 0.02), 0.14	0.00 (-0.04 to 0.04), 0.89	
Absolute change in prevalence,					
2004–2018					
Poor or fair health	+2.69 (-4.13 to 9.50), 0.44	+1.21 (-1.92 to 4.39), 0.46	-4.84 (-8.02 to -1.65), 0.003	+0.50 (-1.39 to 2.39), 0.60	
Good to excellent health	-0.71 (-2.33 to 0.91), 0.39	-1.69 (-3.27 to -0.11), 0.04	-0.63 (-1.64 to 0.39), 0.23	+0.29 (-0.17 to 0.75), 0.22	
Difference with White, 2004					
Poor or fair health	-4.78 (-9.73 to -0.18), 0.06	-1.71 (-3.92 to 0.49), 0.13	0.00 (-2.67 to 2.68), 0.99	-	
Good to excellent health	-0.35 (-1.78 to 1.09), 0.64	+3.25 (1.98 to 4.52), <0.001	+0.54 (-0.17 to 1.25), 0.14	-	
Difference with White, 2018					
Poor or fair health	-2.59 (-7.63 to 2.45), 0.31	-1.01 (-3.98 to 1.97), 0.51	-5.33 (-7.89 to -2.77), <0.001	-	
Good to excellent health	-1.34 (-2.22, -0.46), 0.003	+1.28 (0.23, 2.33), 0.02	-0.38 (-1.23 to 0.48), 0.39	-	
Absolute change in difference with					
White, 2004–2018					
Poor or fair health	+2.18 (-4.89 to 9.25), 0.55	+0.71 (-2.99 to 4.41), 0.71	-5.34 (-9.04 to -1.64), 0.005	-	
Good to excellent health	-1.00 (-2.68 to 0.69), 0.25	-1.98 (-3.62 to -0.33), 0.02	-0.91 (-2.03 to 0.20), 0.11	-	
	· · · · · · · · · · ·				

Legend: Data source is the National Health Interview Survey from years 2004 to 2018. For change in prevalence and change in difference: a positive sign (+) means the prevalence of long sleep (or its difference with White people) increased and a negative sign (-) means it decreased. Long-sleep duration prevalence was adjusted by age, sex, and region. Abbreviations: CI, confidence interval

## eFigure 1. Study Population Flowchart

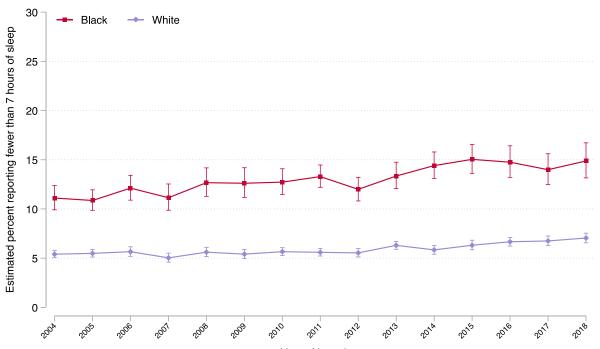


Legend: The mutually exclusive racial and ethnic groups were built based on participants' self-reported selection of primary race and ethnicity.



eFigure 2. Unadjusted Sleep Duration Distribution by Race and Ethnicity

Legend: Data source is the National Health Interview Survey from years 2004 to 2018. All percentages are unweighted and unadjusted. NH, non-Hispanic.



eFigure 3. Short Sleep Sensitivity Analysis Accounting for Differences in Bias of Self-reported Sleep Duration Between Black Individuals and White Individuals

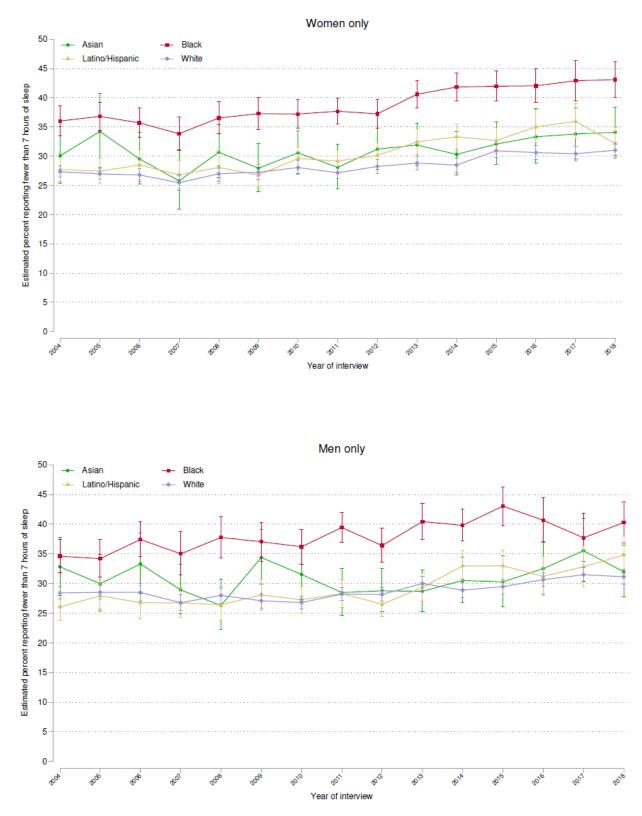
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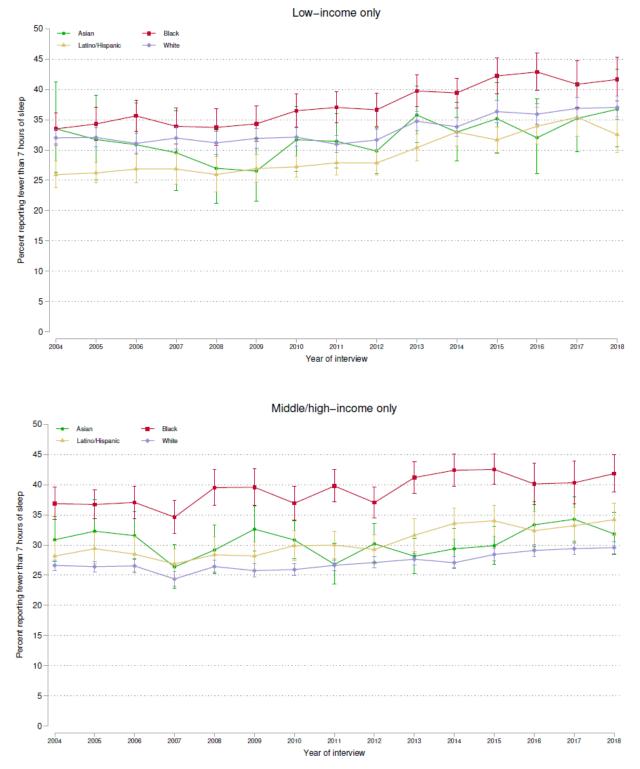
	Main Analysis			Sensitivity Analysis				
	Black individuals Percentage points (95% CI)	P value	White individuals Percentage points (95% CI)	P value	Black individuals Percentage points (95% CI)	P value	White individuals Percentage points (95% CI)	P value
Absolute change in prevalence, 2004–2018	+6.39 (3.32 to 9.46)	<0.001	+3.22 (2.06 to 4.38)	<0.001	+3.76 (+1.59 to +5.93)	<0.001	+1.64 (+1.04 to +2.24)	<0.001
Difference with White, 2004	+7.51 (5.45 to 9.57)	<0.001	-	-	+5.71 (+4.41 to +7.00)	<0.001	-	-
Difference with White, 2018	+10.68 (8.12 to 13.24)	<0.001	-	-	+7.83 (+5.99 to +9.67)	<0.001	-	-
Absolute change in difference with White, 2004–2018	+3.17 (-0.11 to 6.46)	0.06	-	-	+2.12 (-0.13 to +4.37)	0.07	-	-

Data source is the National Health Interview Survey from years 2004 to 2018. Short-sleep duration was defined as fewer than 7 (<7) hours of sleep in a 24-hour period. For change in prevalence and change in difference: a positive sign (+) means the prevalence (or its difference with White individuals) increased and a negative sign (-) means it decreased. Prevalence estimates were adjusted by age and region. See details of this sensitivity analysis in eMethods.

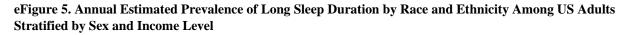
Abbreviations: CI, confidence interval

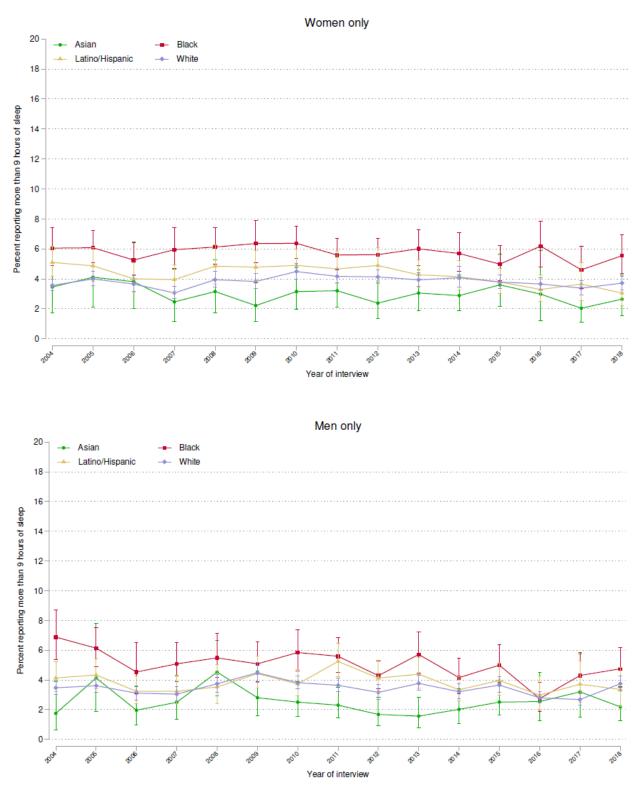
eFigure 4. Annual Estimated Prevalence of Short Sleep Duration by Race and Ethnicity Among US Adults Stratified by Sex and Income Level

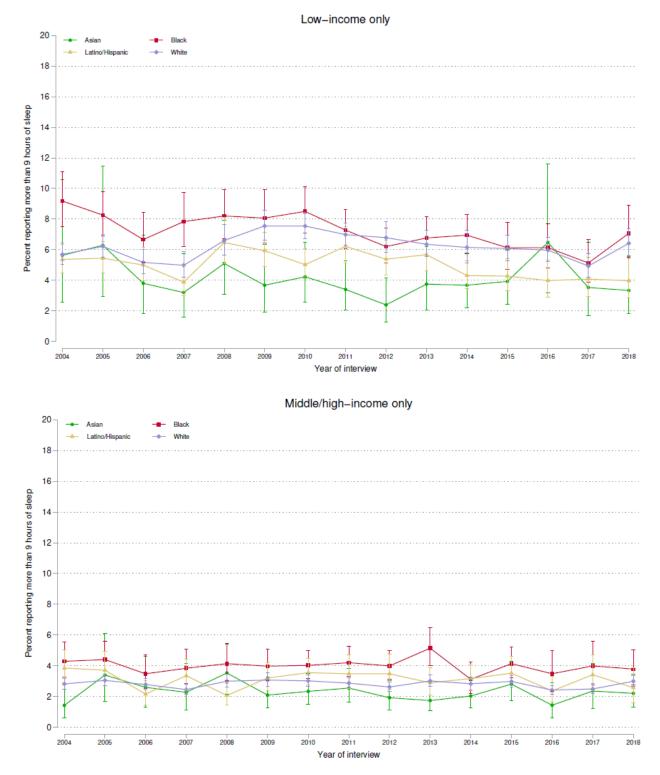




Short sleep duration was defined as self-reported sleep duration of fewer than 7 hours in a 24-hour period (data source: National Health Interview Survey, 2004-2018). Annual prevalence estimates were obtained using multinomial logistic regression adjusted by age and US region (Methods section and eMethods). Error bars represent 95% CIs.



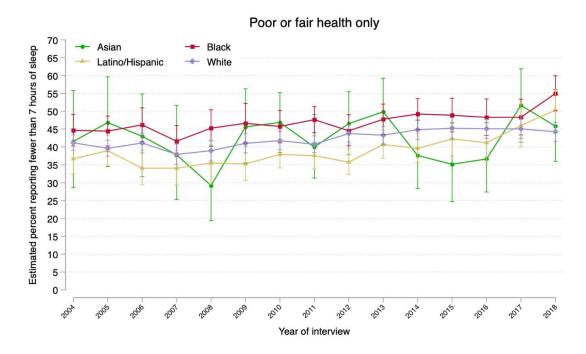




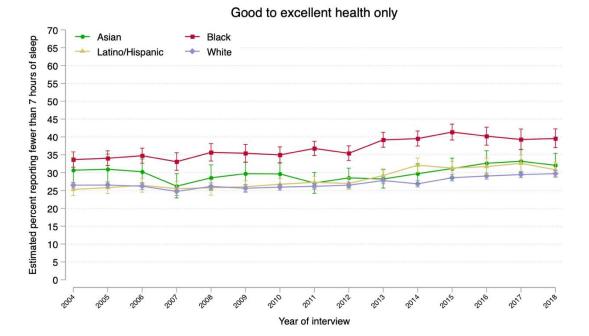
Long sleep duration was defined as self-reported sleep duration of more than 9 hours in a 24-hour period (data source: National Health Interview Survey, 2004-2018). Annual prevalence estimates were obtained using multinomial logistic regression adjusted by age and US region (Methods section and the eMethods). Error bars represent 95% CIs.

eFigure 6. Adjusted Annual Prevalence of Short Sleep Duration by Race and Ethnicity Among Those With Poor or Fair Health and With Good to Excellent Health, 2004 to 2018



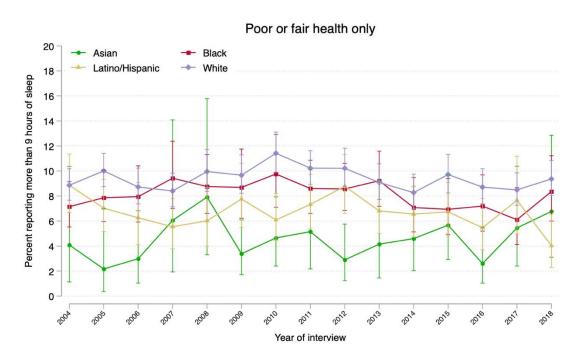


B)

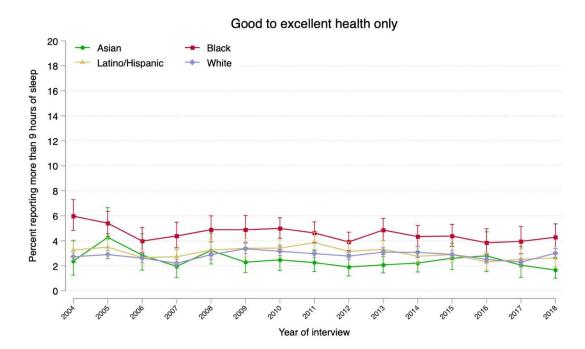


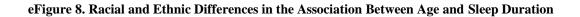
eFigure 7. Adjusted Annual Prevalence of Long Sleep Duration by Race and Ethnicity Among Those With Poor or Fair Health and With Good to Excellent Health, 2004 to 2018

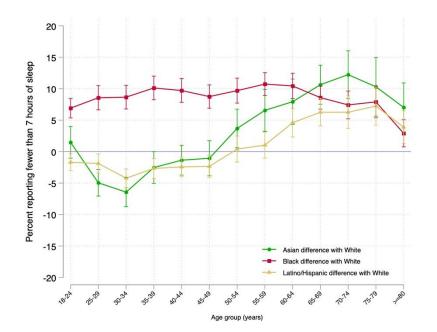
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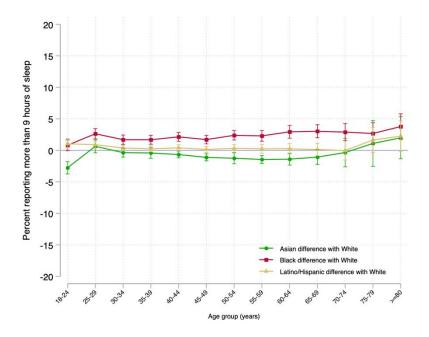






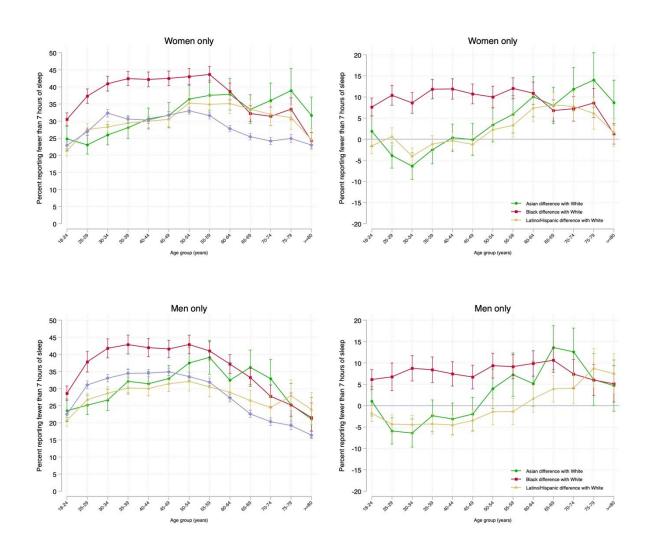
## 1. Short-sleep duration

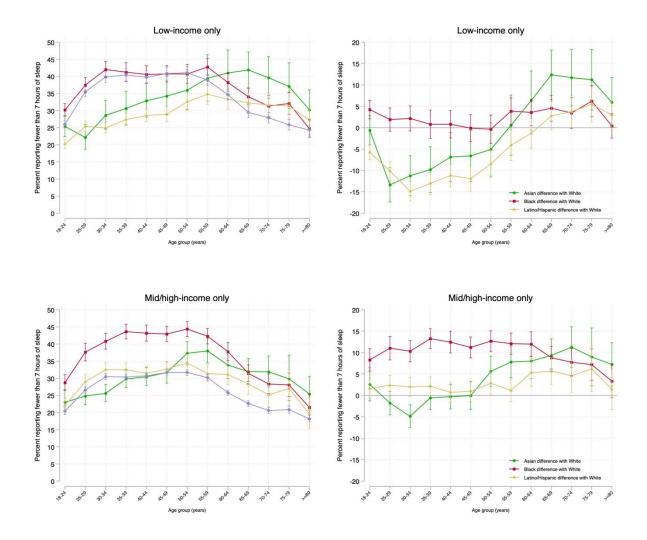
## 2. Long sleep duration



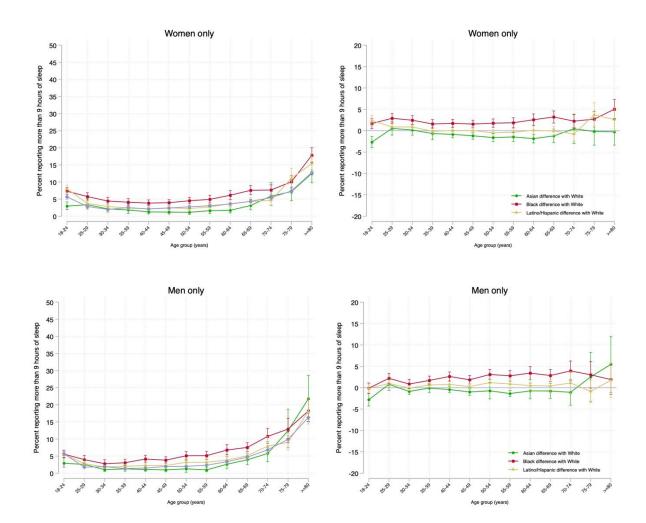
eFigure 9. Association Between Age and Short Sleep Prevalence by Race and Ethnicity Stratified by Sex and Income Level

1. Sex





# eFigure 10. Association Between Age and Long Sleep Prevalence by Race and Ethnicity Stratified by Sex and Income Level



1. Sex

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