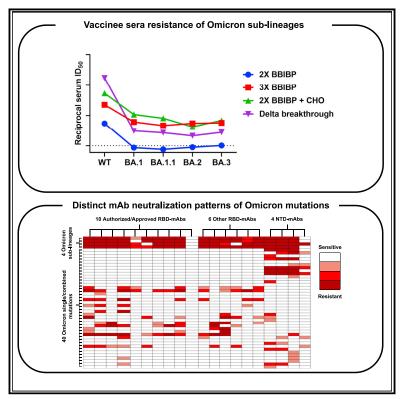
# Antibody evasion of SARS-CoV-2 Omicron BA.1, BA.1, BA.2, and BA.3 sub-lineages

### **Graphical abstract**



### **Highlights**

- Omicron BA.1, BA.1.1, BA.2, and BA.3 comparably evade BBIBP-CorV vaccinee sera
- Homologous or heterologous boosters markedly reduce Omicron sub-lineage sera escape
- mAbs show varying Omicron escape potential, including distinct neutralization patterns
- Single and combinatorial mutation profiling maps key residues of Omicron evasion

## Authors

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# In brief

Ai et al. report side-by-side susceptibility profiles of Omicron BA.1, BA.1.1, BA.2, and BA.3 sub-lineages to BBIBP-CorV vaccine-elicited neutralization, finding substantial evasion against two-dose, but not boosted, vaccinee sera. Single and combinatorial mutational analysis maps key residues along Omicron Spike that help facilitate evasion against monoclonal antibody-mediated neutralization.







# Brief Report Antibody evasion of SARS-CoV-2 Omicron BA.1, BA.1.1, BA.2, and BA.3 sub-lineages

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### SUMMARY

The SARS-CoV-2 Omicron variant has evolved into four sub-lineages—BA.1, BA.1.1, BA.2, and BA.3—with BA.2 becoming dominant worldwide. We and others have reported antibody evasion of BA.1 and BA.2, but side-by-side comparisons of Omicron sub-lineages to vaccine-elicited or monoclonal antibody (mAb)-mediated neutralization are necessary. Using VSV-based pseudovirus, we report that sera from individuals vaccinated by two doses of an inactivated whole-virion vaccine shows weak to no neutralization activity, while homologous or heterologous boosters markedly improve neutralization titers against all Omicron sub-lineages. We also present neutralization profiles against a 20 mAb panel, including 10 authorized or approved, against the Omicron sub-lineages, along with mAb mapping against single or combinatorial spike mutations. Most mAbs lost neutralizing activity, while some demonstrate distinct neutralization patterns among Omicron sub-lineages, reflecting antigenic differences. Collectively, our results suggest the Omicron sub-lineages threaten the neutralization efficacy of current vaccines and antibody therapeutics, highlighting the importance of vaccine boosters.

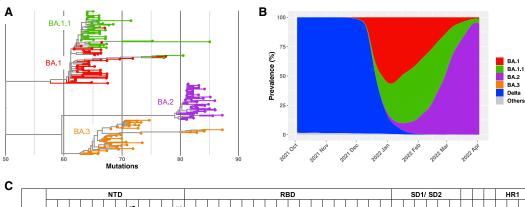
The World Health Organization has now designated five variants of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) as variants of concern, including Alpha (B.1.1.7), Beta (B.1.351), Gamma (P.1), Delta (B.1.617.2), and Omicron (B.1.1.529). The Omicron variant has recently been divided into four sub-lineages: BA.1, BA.1.1, BA.2, and BA.3 (Figure 1A). The original Omicron (BA.1 sub-lineage) was first identified in Botswana and South Africa in November 2021 (Viana et al., 2022) and together with its derivative BA.1.1 (containing an extra spike R346K mutation) became dominant worldwide in replacement of Delta over the span of a few weeks. But subsequently, we saw a rapid surge in the proportion of BA.2, and this sub-lineage became the dominant variant globally. Compared with the BA.1 and BA.2 sub-lineages, the prevalence of BA.3 sub-lineage is currently very low (Figure 1B).

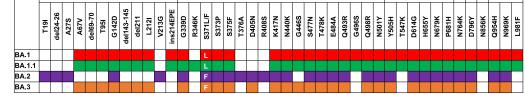
BA.1, BA.2, and BA.3 have numerous mutations in common but also have distinct sets of mutations in their spike that can differen-

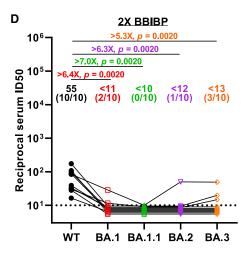
tiate these sub-lineages (Figure 1C). Although the selective advantage of BA.2 could be partially explained by its higher transmissibility than BA.1 (Lyngse et al., 2022), their relative immune evasion property could also be counted. We (Ai et al., 2021; Wang et al., 2022) and others (Cameroni et al., 2022; Cao et al., 2022; Carreño et al., 2022: Cele et al., 2022: Garcia-Beltran et al., 2022: Liu et al., 2022; Planas et al., 2022; VanBlargan et al., 2022) have reported that BA.1 demonstrated considerable escape from neutralization by monoclonal antibodies (mAbs) and sera from vaccinated individuals. BA.2 has also been reported to severely dampen antibody neutralization (Bowen et al., 2022; Iketani et al., 2022). However, evaluation and comparison of susceptibility of all the major Omicron sub-lineages to vaccine-elicited or mAb-mediated neutralization are urgently needed. In this study, therefore, we constructed the Omicron sub-lineage pseudoviruses (PsVs) and compared side by side their neutralization sensitivity to vaccinee sera as well as a panel of mAbs.

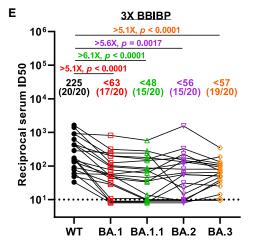


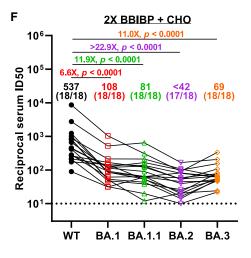


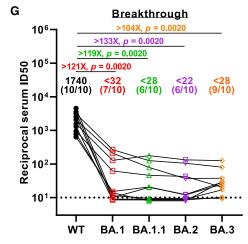












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The first question we asked for the Omicron sub-lineages is their extent of immune evasion of polyclonal antibody neutralizing activity elicited in humans after vaccination or infection. To answer this, we first assessed the neutralizing activity of sera from individuals vaccinated by two doses of inactivated whole-virion vaccines (BBIBP-CorV) (Table S1). Similar to what we reported before (Wang et al., 2022), although all the sera showed neutralization activity against wild-type (WT) virus, the activity was relatively weak, with geometric mean neutralizing titers (GMTs) about 55, and when it turned to BA.1, only two of 10 vaccinees showed marginal neutralization. When we tested these sera on the three other sub-lineages, most of them showed no detectable activity, except a few had very weak neutralization against BA.2 and BA.3 (Figures 1D and S1A). These results indicate that two-dose inactivated vaccine is inadequate to provide full protection against these newly emerging Omicron variants.

Our previous study showed that a booster shot, either homologous or heterologous, can reduce Omicron BA.1 escape from neutralizing antibodies (Wang et al., 2022). To see if this is the case for the other Omicron sub-lineages, we then collected and tested 20 samples from healthy adults who had a third boosting vaccination shot with the same BBIBP-CorV vaccine (homologous booster group, Table S1). As shown in Figures 1E and S1B, the sera had a neutralizing GMT against WT of 225 with 5- to 6-fold reduction against BA.1, BA.1.1, BA.2, and BA.3 but at least 15 of 20 samples exhibiting detectable neutralizing activity against all four sub-lineages. We also collected 18 sera from individuals that received two doses of BBIBP-CorV followed by a protein subunit vaccine (ZF2001) 4-8 months later (heterologous booster group, Table S1). This cohort had higher neutralizing titers with GMTs of 537, 108, 81, 42, and 69 against WT, BA.1, BA.1.1, BA.2, and BA.3, respectively. Although these numbers amount to 7- to 23-fold reductions of potency comparing Omicron sub-lineages to WT, almost all samples maintained detectable neutralizing activity against the Omicron variants (Figures 1F and S1C). The marked improvement in serum neutralization from individuals who received a booster dose over those who did not highlights the value of vaccine boosters for eliciting neutralizing antibody responses against Omicron sub-lineages.

The emergence of the SARS-CoV-2 Delta variant led to an increasing number of breakthrough infection cases. To gain insight into their chance of re-infection by Omicron, we recruited 10 participants who were immunized with two-dose inactivated vaccines before being infected by the Delta variant (Table S1). Serum samples were obtained from them after 3–4 months of breakthrough infection and evaluated on WT and the four Omicron sub-lineage PsVs (Figures 1G and S1D). We found that



breakthrough infection by Delta boosted the neutralizing antibody titers significantly to very high levels against WT virus (GMT = 1,740). However, the neutralization titers for Omicron sub-lineages were significantly reduced, more than 100-fold in comparison to WT. The reduction level was much higher than that of the homologous and heterologous vaccine booster groups, which may be associated with the antigenic difference between Delta and Omicron variants.

Taking into account of all the serum samples, we also carried out a comparison between the original Omicron BA.1 and the newly emerging sub-lineages to see if there are inherent difference regarding their immune evasion properties. BA.1.1, with an additional R346K mutation on top of BA.1, showed slightly but statistically significant lower titers than BA.1. For BA.2 and BA.3, the neutralization titers were also lower than BA.1, which was mostly contributed by the heterologous booster group, indicating the receptor binding domain (RBD) subunit vaccine booster may induce some RBD-directed antibodies which could be evaded by the BA.2/BA.3 unique mutations (Figure S2).

To better understand these differences and examine which types of antibodies in serum lose their activity against these Omicron sub-lineages, we further evaluated the neutralization profile of a panel of 20 mAbs targeting SARS-CoV-2 spike. These included 10 authorized or approved mAbs with sequences available: REGN10987 (imdevimab) (Hansen et al., 2020), REGN10933 (casirivimab) (Hansen et al., 2020), LY-CoV555 (bamlanivimab) (Jones et al., 2021), CB6/LY-CoV016 (etesevimab) (Shi et al., 2020), S309 (sotrovimab) (Pinto et al., 2020), COV2-2130 (cilgavimab) (Zost et al., 2020), COV2-2196 (tixagevimab) (Zost et al., 2020), CT-P59 (regdanvimab) (Kim et al., 2021), Brii-196 (amubarvimab) (Ju et al., 2020), and LY-CoV1404 (bebtelovimab) (Westendorf et al., 2022), all of which are directed to RBD. We also included some other RBD-directed mAbs of interest, including 1-20, 2-15, 1-57, 2-7 (Liu et al., 2020); 2-36 (Liu et al., 2020; Wang et al., 2021) from our own collection and ADG-2 (Rappazzo et al., 2021) from Adagio Therapeutics; and four more NTD-directed mAbs, including 5-24, 4-18, 4-19 (Cerutti et al., 2021b; Liu et al., 2020), and 5-7 (Cerutti et al., 2021a; Liu et al., 2020). Overall, all four Omicron sub-lineages had severe impact on most of the antibodies, but they also showed important differences in neutralization patterns. Among the authorized or approved mAbs, seven were either totally inactive or severely impaired in neutralizing all four sub-lineages. S309, the only approved antibody found to retain its neutralizing activity against the original form of Omicron in our previous study (Wang et al., 2022), lost more neutralizing activity against BA.2 and BA.3. COV2-2130 completely lost its neutralizing activity against BA.1 and BA.1.1 while remaining largely active against

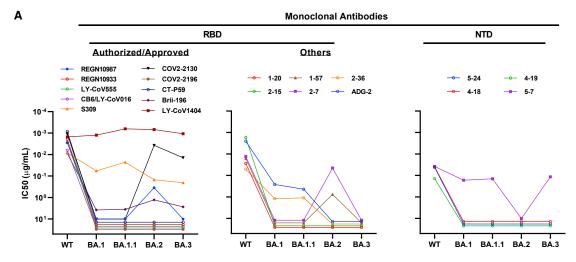
- (B) Prevalence of the Omicron sub-lineages and Delta variant based on all the sequences available on GISAID over the past 6 months.
- (C) Spike mutations within the Omicron sub-lineages.

(D–G) Neutralization of pseudotyped WT (D614G) and Omicron sub-lineage viruses by sera collected from individuals vaccinated with two-dose BBIBP-CorV only (D), with a BBIBP-CorV homologous booster (E), or with a ZF001 heterologous booster dose (F) following two doses of BBIBP-CorV, or from individuals infected by Delta virus after vaccination (G). For all panels, values above the symbols denote geometric mean titer, and the numbers in parentheses denote the proportion of positive sera with ID<sub>50</sub> above the LOQ (dotted lines, >1:10). p values were determined by using a Wilcoxon matched-pairs signed-rank test (two-tailed). See also Figures S1 and S2.

Figure 1. Characteristics and sera neutralization of the Omicron sub-lineages

<sup>(</sup>A) Phylogenetic tree of the BA.1, BA.1, BA.2, and BA.3 sub-lineages. Fifty randomly selected sequences belonging to each of the Omicron sub-lineages from GISAID were used as query sequences.





В

Fold change in	RBD-directed mAbs										NTD-directed mAbs									
IC50						d/Approved				Others				NID-directed mAbs						
	REGN	REGN	LY-	CB6/LY		COV2	COV2	ст-	Brii-	LY-						ADG-				
WT)		10933	CoV	-CoV	S309	-2130	-2196	P59	196	CoV	1-20	2-15	1-57	2-7	2-36	2	5-24	4-18	4-19	5-7
,			555	016						1404						_				
BA.1	< <b>-</b> 290	<-690	<-291	<-630	-6.9	<-738	<-811	<-949	-429	1.2	<-147	<-468	<-100	<-62	-23.6	-103	<-96	<-103	< <b>-</b> 144	-4.4
BA.1.1	<-290	<-690	<-291	<-630	-2.7	<-738	<-811	<-949	-404	2.4	< <del>-</del> 147	<-468	<-100	<-62	-21.7	-171	<-96	<-103	<-144	-3.8
BA.2	-152	<-690	<-291	<-630	-17.7	-3.4	<-811	<-949	-146	2.2	<-147	<-468	-47.2	3.5	<-80	<-317	<-96	<-103	<-144	<-105
BA.3	<-290	<-690	<-291	<-630	-24.5	-12.9	<-811	<-949	-310	1.4	< 147	<-468	<-100	<-62	<-80	<-317	<-96	<-103	<-144	-3.1
T19I	-2.1	1.1	-1.3	1.4	-1.4	-2.3	1.1	1.6	2.0	-1.4	-1.9	1.1	2.6	2.7	-1.5	2.3	<-96	<-103	<-144	-3.1
del24-26	-2.1	1.4	2.5	-1.5	1.6	-1.5	-1.8	-1.0	2.8	2.0	-1.5	-1.1	2.9	4.8	1.7	2.4	-2.6	-76.0	<-144	-5.9
A27S	1.1	2.6	1.3	-1.2	1.6	-2.1	1.0	1.1	1.6	1.1	-1.2	1.4	-1.2	1.1	1.2	-1.0	-20.5	-1.4	2.0	2.9
del24-26+A27S	-2.1	1.3	1.2	-1.8	1.2	1.1	2.9	1.6	1.3	1.7	1.4	-1.4	1.0	2.2	1.6	1.7	-46.5	-111	<-144	-3.8
A67V+del69-70	1.2	-1.2	-1.1	-1.2	-1.1	-2.2	-1.3	1.1	-3.6	-4.6	1.0	-1.5	-1.7	-1.2	-1.3	-2.5	-1.7	1.2	-3.7	-1.4
T95I	-2.4	-4.3	-4.2	-3.8	-4.3	-3.7	-2.7	-1.5	-1.7	1.0	-3.2	-1.1	1.3	-3.9	-3.3	-2.7	-1.1	1.6	-6.7	-5.6
G142D	-1.4	1.0	1.1	1.0	-1.7	1.0	-1.1	2.2	1.1	-1.9	2.9	-1.3	-1.2	-1.5	-1.1	1.0	<-96	<-103	<-144	-48.0
del143-145	2.2	-1.0	1.9	-1.5	1.1	2.3	1.7	1.6	-4.5	-2.5	1.3	2.0	1.1	1.1	-1.1	-3.0	-151	<-103	<-144	-1.2
G142D+del143	-1.3	-1.4	-1.4	-2.0	-1.5	-1.1	-1.3	-1.1	-1.1	1.2	-1.3	-1.2	-1.5	1.0	-2.3	-1.4	<-96	<-103	<-144	-12.1
-145																				
del211+L212I+	-1.4	-1.1	-1.0	1.2	1.1	-1.3	-1.1	1.1	-4.3	-4.6	-1.3	-1.3	-4.3	-1.1	-1.0	-3.1	-3.0	1.5	-7.5	-2.4
ins214EPE V213G		0.0	-1.2	4.0	47	2.0	-1.6	4.0	1.7	2.5			1.3	2.1	4.0	4.0	2.4	-1.7	-1.8	1.2
	-1.1	2.2	1.4	-1.2	1.7	-3.8	1.0	1.3	-2.6	-3.6	1.1 1.6	1.1	-1.3	-1.0	1.6	1.3	-13.6	2.9	-1.4	-1.6
G339D R346K	-2.0	1.6	2.5	-2.5	-4.4	-2.5	-1.9	3.6	2.0	3.3	-2.4	3.3	2.2	1.6	1.0	2.7	-13.0	2.9	-1.1	-3.9
	-11.1	-3.9	-1.9	-15.2	-7.4	-2.0	-3.0	-1.1	-16.2	-3.8	-7.2	-4.6	-9.7	-5.1	-2.8	-12.0	-2.8	1.2	4.5	-4.2
S371L S371F	-126	-13.5	2.5	<-630	-13.3	-5.0	-31.0	-20.8	-123	2.6	-7.2	-2.0	-9.7	-15.8	<-80	-60.1	3.3	1.2	7.0	-1.8
S373P	-126	-13.5	2.5	4.1	-13.3	2.3	-31.0	-20.8	1.0	-1.0	-00.7 1.1	2.0	-1.4	2.3		1.5	-4.0	-3.6	1.1	-1.5
\$375F	3.1	3.5	1.3	1.5	2.5	1.4	3.5	-1.4	1.3	1.0	2.8	1.3	2.1	1.2	1.7	-1.0	1.9	6.6	-1.4	1.4
S371L+S373P+	3.1	3.0	1.3	1.5	2.5	1.4	3.5	-1.4	1.3	1.4	2.0	1.3	2.1	1.2	1.7	-1.0	-1.9	0.0	-1.4	1.4
S375F	-16.5	-81.3	3.5	<-630	-2.5	1.5	1.9	3.4	-14.4	2.9	-81.7	-1.5	-3.3	5.4	-11.2	-14.4	-1.1	1.4	-2.7	1.7
T376A	-3.5	1.1	-2.4	-3.9	2.0	-2.3	1.1	-1.0	-1.1	1.4	-1.1	1.4	2.1	2.4	5.7	-3.1	34.7	-1.0	4.7	6.9
D405N	-5.6	-10.9	1.1	-15.7	2.1	-1.8	1.2	1.0	1.6	2.4	3.2	1.7	1.6	1.1	-5.1	3.8	-3.6	4.9	7.5	10.7
R408S	1.5	1.2	2.0	2.3	1.6	-1.3	1.8	1.8	4.5	3.2	-1.1	1.1	1.9	2.6	5.6	-1.1	-1.7	-3.7	3.4	2.4
K417N	2.0	-20.8	1.7	<-630	-3.4	-1.5	2.6	1.7	-1.1	-1.1	1.2	1.5	3.8	1.8	-1.5	1.5	9.5	2.3	-2.5	-1.2
N440K	-9.9	1.6	1.4	1.2	1.6	1.6	1.6	3.3	2.8	3.1	4.8	1.7	-11.8	1.1	-2.2	2.6	-12.5	-1.9	-2.1	3.2
G446S	<-290	2.0	1.9	1.5	3.2	-12.0	-2.0	-1.1	-5.0	2.1	1.5	4.8	<-100	-24.5	1.5	-5.2	-9.6	-4.7	-2.0	1.7
S477N+T478K	-1.9	-1.4	1.4	1.3	-1.4	-1.3	-4.8	1.0	1.2	1.0	1.6	-1.4	-2.0	-2.6	2.1	-1.2	-7.2	-1.5	4.2	-1.3
E484A	-1.1	-9.0	<-291	2.5	-1.1	-1.2	-8.1	-1.1	-13.7	1.6	-3.0	<-468	<-100	-2.6	1.7	-5.5	-1.3	1.8	-1.4	2.1
Q493R	3.3	-56.4	<-291	-65.1	2.4	1.1	-6.5	<-949	-15.8	-1.4	-5.0	<-468	3.4	-7.9	-1.5	-1.2	7.0	1.1	2.8	3.6
G496S	-8.2	1.3	-1.3	1.4	1.1	2.3	1.1	1.8	-4.2	2.5	-1.4	-27.7	<-100	1.3	1.4	-3.2	-3.2	1.6	1.3	1.9
Q498R	-7.5	-1.0	-1.9	-2.6	1.3	-1.2	1.9	3.1	-6.4	-2.0	1.1	-1.2	<-100	2.1	-1.4	-1.3	2.1	1.0	-2.0	-1.4
Q493R+G496S		100				<b>F-0</b>	24.0			4.0					~ ~	4.0	4.0	10	10.0	1-
+Q498R	-103	-180	<-291	<-630	-1.4	-5,3	-31.8	<-949	-42.1	1.6	-51.8	<-468	<-100	-1.6	-2.8	1.2	1.3	1.2	-12.5	-1.7
N501Y	-1.9	-3.6	-3.5	-23.3	-3.0	-4.9	-3.4	-2.0	-1.6	-1.9	-9.4	-1.7	-4.1	-2.0	-4.1	-4.3	-3.0	-5.6	-2.8	-6.9
Y505H	1.5	2.2	-1.1	-1.2	1.1	-1.0	1.7	2.1	-1.0	-1.5	1.1	-2.0	1.5	1.5	2.9	-2.8	1.6	2.4	2.9	3.3
T547K	-1.4	-2.4	-1.8	-2.6	-2.5	-1.8	-1.7	1.3	-4.2	-3.5	-1.2	-1.4	1.2	-1.5	-2.3	-2.4	-12.0	-8.6	-1.3	-2.2
H655Y	-16.9	-14.0	-5.8	-5.3	-4.0	-12.1	-4.3	-2.6	2.3	-1.4	4.6	-17.6	-3.5	-19.0	-4.2	-4.6	-1.1	1.6	-1.5	-7.7
N679K+P681H	-1.3	-2.9	-2.1	-1.6	-2.2	-2.2	-3.0	1.2	-3.2	-3.5	1.4	-1.1	-1.8	-1.5	-2.9	-3.3	-15.9	-23.3	-1.6	-3.0
N764K	-2.7	-1.0	1.7	1.1	-1.6	-1.6	1.0	-1.3	1.0	-2.1	-2.0	-1.8	1.1	1.7	-1.5	-1.0	-1.5	1.6	-8,1	1.4
D796Y	1.1	1.3	-1.6	-1.1	-3.0	-1.5	-1.1	-1.0	-4.2	-4.0	-1.3	-1.2	-1.1	-2.0	-1.5	-3.0	-4.6	-2.8	-5.8	-2.7
N856K	-1.9	-1.9	-1.7	-8.3	-2.2	-1.5	-2.8	-1.3	2.3	-1.3	-3.6	-1.2	-1.2	1.3	-2.9	-1.5	-2.4	-1.4	-8.5	-1.6
Q954H	-1.2	1.7	-1.7	2.7	-1.6	-1.1	2.6	1.3	2.7	1.5	1.7	-1.2	1.1	-1.1	1.2	-1.1	-4.0	1.1	-9.1	1.4
N969K	-1.3	-1.5	-1.8	-5.9	-1.5	-1.6	-3.0	-1.1	-4.6	-3.9	1.3	-1.2	2.3	-1.2	-3.0	-2.7	-13.9	-20.0	1.2	-2.2
L981F	2.1	2.8	1.9	2.2	-1.6	2.1	3.6	1.6	2.3	-1.6	2.0	1.1	1.4	1.0	7.5	1.3	-5,3	-3.2	-2.3	1.7

(legend on next page)

abolished against BA.2 (Figures 2A and S3).

BA.2 and BA.3. Luckily, LY-CoV1404, which has been granted emergency use authorization very recently, remained potent in neutralizing all Omicron sub-lineages, continuing to broaden its coverage of SARS-CoV-2 variants (Zhou et al., 2022). For the other RBD- or NTD-directed mAbs, none of them retained full neutralizing activity against all of the Omicron sub-lineages. Two class 4 antibodies, ADG-2 and 2–36, retained decent activity against BA.1 and BA.1.1 but lost their neutralizing activity completely against BA.2 and BA.3. Interestingly, 2-7, one of our class 3 antibodies, completely lost its neutralizing activity against BA.1, BA.1.1, and BA.3 while remaining largely active against BA.2. A similar pattern was seen for another approved class 3 antibody, REGN10987. On the contrary, we found that the activity of 5-7, the non-supersite-directed NTD antibody, was partially retained against BA.1, BA.1.1, and BA.3 but totally

To dissect the key mutations conferring antibody resistance and the specific mutations leading to the different neutralization patterns of Omicron sub-lineages, we constructed PsVs with each of the single-spike mutations alone or in combination, if they are spatially close, and tested them using the same panel of 20 mAbs. In total, 40 specific mutation viruses were tested, and their comprehensive neutralization profiles by these 20 mAbs are summarized in Figure 2B as fold change in 50% inhibitory concentration (IC<sub>50</sub>) relative to WT virus. For mutations affecting antibody activity, the first ones that caught our attention were S371L and S371F. Both broadly affected most of the RBDdirected mAbs, with S371F having a greater negative impact. Intriguingly, when we tested S371L, S373P, and S375F in combination, as they form a loop adjacent to a lipid-binding pocket (Dejnirattisai et al., 2022), we indeed observed synergistic effect of the triple serine mutations in the reduction of neutralization potency of some mAbs. Q493R appears to be another key mutation responsible for the loss in potency of many RBD antibodies, and again, when it was tested in combination with G496S and Q498R, apparent synergistic effect was seen for some mAbs (Table S2). G446S, which is lacking in BA.2 but presented in the other sub-lineages, may explain why COV2-2130 and 2-7 are not much affected by BA.2. Other mutations, such as D405N, K417N, N440K, E484A, and N501Y, distinctly affected the activity of different RBD-directed mAbs, most of which could be explained by the mutations falling into the antibody epitopes. For LY-CoV1404, as we saw for the Omicron sub-lineages, none of the single mutations significantly affected its neutralization potency, indicating that despite the constellation of spike mutations present in these viruses, there is still a patch within LY-CoV1404's binding region that is not affected. For the NTDdirected mAbs, it was mostly the mutations falling into the NTD of the spike, including T19I, del24-26+A27S, and G142D+del142-145, that are responsible for the neutralization activity loss, as expected.

The SARS-CoV-2 Omicron variant immediately raised alarms after its identification, and the scenario seems to getting worse,



with the emerging Omicron sub-lineages, like BA.2, which has been reported to be inherently substantially more transmissible than BA.1 (Lyngse et al., 2022). Many research articles have been published studying the original Omicron BA.1 virus, but less is known about the BA.2 and other sub-lineages. Here in this study, we constructed all the major Omicron sub-lineage viruses to date—BA.1, BA.1.1, BA.2, and BA.3—and investigated their antibody evasion property in parallel.

We previously reported the markedly reduced neutralizing activity against BA.1 of convalescent or BBIBP-CorV vaccination sera (Ai et al., 2021; Wang et al., 2022). Here, we showed that all polyclonal sera also had a substantial loss in neutralizing activity against the other Omicron sub-lineages, with drops comparable to or even greater than that of BA.1, indicating that all these sub-lineages have a very far antigenic distance from the WT virus. Our results are quite comparable to studies on the mRNA vaccines (Iketani et al., 2022; Yu et al., 2022), showing that neutralizing antibody titer against BA.2 was similar to or lower than that against BA.1. Based on these, we suggest that the selective advantage of BA.2 over BA.1 should be mainly contributed by its higher transmissibility rather than by immune evasion. On the other hand, we showed that a third homologous inactivated vaccine booster or a heterologous protein subunit vaccine booster could significantly elevate neutralization titer against BA.1 (Wang et al., 2022). This is also true for the other Omicron sub-lineages. Most recently, three recombinant lineages (XD, XE, and XF) have been reported (UK\_Health\_Security\_Agency, 2022), but their antibody evasion should not be significantly different from the Omicron sub-lineages studied here, since their spikes are identical to either BA.1 or BA.2. Therefore, promotion and popularization of vaccine booster injection is still an effective means to prevent SARS-CoV-2 transmission.

We also investigated the immune evasion capacity of Omicron sub-lineages with mAbs. Similar to what we reported for BA.1 (Wang et al., 2022), most mAbs lost their neutralizing activity against BA.1.1, BA.2, and BA.3 completely or substantially. But we did observe some distinct neutralization patterns for certain mAbs among these sub-lineages, reflecting their different mutations. For example, S309 and 5-7, targeting some unique sites in RBD (Pinto et al., 2020) or NTD (Cerutti et al., 2021a), were the two mAbs reported to retain largely activity against BA.1 (Liu et al., 2020; Wang et al., 2022), but their activity was further abolished by BA.2. On the contrary, some mAbs such as COV2-2130 and 2-7 totally lost activity against BA.1 but regained activity against BA.2. The good news is that LY-CoV1404 or bebtelovimab kept its potent neutralization activity against all Omicron sub-lineages and other major SARS-CoV-2 variants (Westendorf et al., 2022; Zhou et al., 2022). Our data are in good consistency with others (Iketani et al., 2022; Liu et al., 2022) regarding the mAb neutralization profile of the Omicron sub-lineages and single mutations, but we had more sublineage-BA.3-and combined some mutations in proximity to investigate their synergistic actions.

Figure 2. Neutralization of pseudotyped WT (D614G) and Omicron sub-lineage viruses by mAbs targeting different epitopes

<sup>(</sup>A) Changes in neutralization IC<sub>50</sub> of select RBD and NTD mAbs against Omicron sub-lineage pseudoviruses.

<sup>(</sup>B) Fold increase or decrease in neutralization  $IC_{50}$  of mAbs against Omicron sub-lineage as well as single- and combinatorial-spike mutation pseudoviruses, relative to WT, presented as a heatmap with darker colors implying greater change. See also Figure S3.



Although LY-CoV1404 currently remains as our hope for SARS-CoV-2 therapeutic antibodies, resistance may arise sometime if it is administered as mono-therapy for a prolonged period, given the error-prone property of RNA virus. Therefore, it is advisable to develop more potent and broad neutralizing antibodies to be administered as a cocktail to contain this ever-evolving pathogen. Meanwhile, vaccine boosters, either homologous or heterologous, could elicit neutralizing antibodies that help reduce the viral escape and should be pushed forward.

### Limitations of the study

Limitations of the study included inaccessibility to mRNA or adenovirus-based vaccines, which have been widely used in the world, and we have not tested the effect of interval between the first two doses on vaccine-elicited humoral responses (Chatterjee et al., 2022; Tauzin et al., 2022). We only focused on neutralization activities without touching any other aspects of vaccine efficacy, like Fc-effector functions and cellular responses, which have been reported to play a role in protection from severe outcome (Carazo et al., 2021; Tauzin et al., 2021).

### **STAR**\*METHODS

Detailed methods are provided in the online version of this paper and include the following:

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#### SUPPLEMENTAL INFORMATION

Supplemental information can be found online at https://doi.org/10.1016/j. chom.2022.05.001.

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P.W., W.Z., and Z.H. conceived and supervised the project. J.A., X.W., X.Z., Y.Z., Y.J., M.L., Y.Cui, Y.Chen, R.Q., L.L., and L.Y. conducted the biological experiments. X.H., Y.L., and Z.H. conducted the bioinformatics analysis. J.A., X.W., X.Z., Y.Z., Y.J., Z.H., W.Z., and P.W. analyzed the results and wrote the manuscript. All the authors reviewed, commented, and approved the manuscript.

### **DECLARATION OF INTERESTS**

**AUTHOR CONTRIBUTIONS** 

P.W. is an inventor on patent applications on some of the antibodies described in this manuscript, including 1-20, 2-15, 1-57, 2-7, 2-36, 5-24, 4-18, 4-19, and 5-7. All other authors have no conflict of interest.

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### **STAR\*METHODS**

### **KEY RESOURCES TABLE**

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
2-36	Liu et al. (2020) Wang et al. (2021)	N/A
2-15	Liu et al. (2020)	N/A
2-7	Liu et al. (2020)	N/A
1-57	Liu et al. (2020)	N/A
1-20	Liu et al. (2020)	N/A
4-18	Liu et al. (2020)	N/A
5-24	Liu et al. (2020)	N/A
4-19	Liu et al. (2020)	N/A
5-7	Liu et al. (2020)	N/A
REGN10987	Hansen et al. (2020)	N/A
REGN10933	Hansen et al. (2020)	N/A
LY-CoV555	Jones et al. (2021)	N/A
CB6	Shi et al. (2020)	N/A
CT-P59	Kim et al. (2021)	N/A
S309	Pinto et al. (2020)	N/A
COV2-2130	Zost et al. (2020)	N/A
COV2-2196	Zost et al. (2020)	N/A
Brii-196	Ju et al. (2020)	N/A
LY-CoV1404	Westendorf et al. (2022)	N/A
ADG-2	Rappazzo et al. (2021)	N/A
Bacterial and virus strains		
VSV-G pseudo-typed ∆G-luciferase	Kerafast	Cat# EH1020-PM
Biological samples		
Convalescent human plasma samples	Huashan Hospital, Fudan University	N/A
Serum samples from BBIBP-CorV Vaccine	Huashan Hospital, Fudan University	N/A
Serum samples from ZF2001 Vaccine	Huashan Hospital, Fudan University	N/A
Critical commercial assays		
Luciferase Assay System	Beyotime	Cat# RG066M
Experimental models: Cell lines		
Vero E6	ATCC	Cat# CRL-1586
Expi293F	ThermoFisher	Cat# A14527
I1 mouse hybridoma	ATCC	Cat# CRL-2700
Recombinant DNA		
pCMV3-SARS-CoV-2-spike D614G	Wang et al. (2021)	N/A
FC4122-SARS-CoV-2-spike BA.1	Wang et al. (2022)	N/A
FC4122-SARS-CoV-2-spike BA.1.1	This study	N/A
pCMV3-SARS-CoV-2-spike BA.2	This study	N/A
pCMV3-SARS-CoV-2-spike BA.3	This study	N/A
pCMV3-SARS-CoV-2-spike T19I	This study	N/A
pCMV3-SARS-CoV-2-spike del24-26	This study	N/A
pCMV3-SARS-CoV-2-spike A27S	This study	N/A
pCMV3-SARS-CoV-2-spike del24- 26+A27S	This study	N/A

**Brief Report** 



Continued		
REAGENT or RESOURCE	SOURCE	IDENTIFIER
oCMV3-SARS-CoV-2-spike A67V+del69-70	This study	N/A
CMV3-SARS-CoV-2-spike T95I	This study	N/A
CMV3-SARS-CoV-2-spike G142D	This study	N/A
CMV3-SARS-CoV-2-spike del143-145	This study	N/A
CMV3-SARS-CoV-2-spike 3142D+del143-145	This study	N/A
CMV3-SARS-CoV-2-spike lel211+L212I+ins214EPE	This study	N/A
CMV3-SARS-CoV-2-spike V213G	This study	N/A
CMV3-SARS-CoV-2-spike G339D	This study	N/A
CMV3-SARS-CoV-2-spike R346K	This study	N/A
CMV3-SARS-CoV-2-spike S371L	This study	N/A
CMV3-SARS-CoV-2-spike S371F	This study	N/A
CMV3-SARS-CoV-2-spike S373P	This study	N/A
CMV3-SARS-CoV-2-spike S375F	This study	N/A
CMV3-SARS-CoV-2-spike 3371L+S373P+S375F	This study	N/A
CMV3-SARS-CoV-2-spike T376A	This study	N/A
CMV3-SARS-CoV-2-spike D405N	This study	N/A
CMV3-SARS-CoV-2-spike R408S	This study	N/A
CMV3-SARS-CoV-2-spike K417N	This study	N/A
CMV3-SARS-CoV-2-spike N440K	This study	N/A
CMV3-SARS-CoV-2-spike G446S	This study	N/A
CMV3-SARS-CoV-2-spike S477N+T478K	This study	N/A
CMV3-SARS-CoV-2-spike E484A	This study	N/A
CMV3-SARS-CoV-2-spike Q493R	This study	N/A
CMV3-SARS-CoV-2-spike G496S	This study	N/A
CMV3-SARS-CoV-2-spike Q498R	This study	N/A
CMV3-SARS-CoV-2-spike Q493R+G496S+Q498R	This study	N/A
CMV3-SARS-CoV-2-spike N501Y	This study	N/A
CMV3-SARS-CoV-2-spike Y505H	This study	N/A
CMV3-SARS-CoV-2-spike T547K	This study	N/A
CMV3-SARS-CoV-2-spike H655Y	This study	N/A
0CMV3-SARS-CoV-2-spike 1679K+P681H	This study	N/A
CMV3-SARS-CoV-2-spike N764K	This study	N/A
CMV3-SARS-CoV-2-spike D796Y	This study	N/A
CMV3-SARS-CoV-2-spike N856K	This study	N/A
CMV3-SARS-CoV-2-spike Q954H	This study	N/A
CMV3-SARS-CoV-2-spike N969K	This study	N/A
CMV3-SARS-CoV-2-spike L981F	This study	N/A
Software and algorithms		
GraphPad Prism Software	GraphPad Prism Software, Inc.	N/A
/EGA 11	Tamura et al. (2021)	https://www.megasoftware.net/
CLUSTAL W	Thompson et al. (1994)	N/A
Ggtree	Yu et al. (2017)	https://bioconductor.org/packages/ release/bioc/html/ggtree.html



### **RESOURCE AVAILABILITY**

### Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Pengfei Wang (pengfei\_wang@fudan.edu.cn).

#### **Materials availability**

All unique/stable reagents generated in this study are available from the lead contact with a completed materials transfer agreement.

#### **Data and code availability**

All the data are provided in the paper or the supplemental information. Lineage submission statistics is available at GISAID. The sequence of virus is available in the GISAID data base with the sample id listed at <a href="https://github.com/wenrurumon/GISAID/blob/main/2022.04.07.487489/map.csv">https://github.com/wenrurumon/GISAID/blob/main/2022.04.07.487489/map.csv</a>, visualization code are available at plot.R in the same location.

### EXPERIMENTAL MODEL AND SUBJECT DETAILS

### **Serum samples**

Peripheral blood samples were collected from individuals who received two or three doses of BBIBP-CorV or ZF2001 vaccine were collected at Huashan Hospital, Fudan University 14 days after the final dose. Blood samples were also obtained from patients after 3–4 months of SARS-CoV-2 breakthrough infection caused by Delta variant after immunizing with two-dose inactivated vaccines (CoronaVac). Sera were isolated from centrifuged blood samples and then stored at  $-80^{\circ}$ C. All collections were conducted according to the guidelines of the Declaration of Helsinki and approved by the Institutional Review Board of the Ethics Committee of Huashan Hospital (2020-688, 2021-041 and 2021-749). All the participants provided written informed consents.

### **Cell lines**

Expi293F cells (Thermo Fisher Cat# A14527) were cultured in the serum free SMM 293-TI medium (Sino Biological Inc.) at  $37^{\circ}$ C with 8% CO<sub>2</sub> on an orbital shaker platform. Vero E6 cells (cat# CRL-1586) were from ATCC and cultured in 10% Fetal Bovine Serum (FBS, GIBCO cat# 16140071) supplemented Dulbecco's Modified Eagle Medium (DMEM, ATCC cat# 30-2002) at  $37^{\circ}$ C, 5% CO<sub>2</sub>. I1 mouse hybridoma cells (ATCC, cat# CRL-2700) were cultured in Eagle's Minimum Essential Medium (EMEM, ATCC cat# 30-2003)) with 20% FBS.

### **METHOD DETAILS**

### **Monoclonal antibodies**

Monoclonal antibodies tested in this study were constructed and produced at Fudan University. For each antibody, variable genes were codon optimized for human cell expression and synthesized by HuaGene<sup>TM</sup> (Shanghai, China) into plasmids (gWiz or pcDNA3.4) that encode the constant region of human IgG1 heavy or light chain. Antibodies were expressed in Expi293F (ThermoFisher, A14527) by co-transfection of heavy and light chain expressing plasmids using polyethylenimine and cells were cultured at 37 °C with shaking at 125 rpm and 8% CO<sub>2</sub>. On day 5, antibodies were purified using MabSelect<sup>TM</sup> PrismA (Cytiva, 17549801) affinity chromatography.

### **Construction and production of variant pseudoviruses**

Plasmids encoding the WT (D614G) SARS-CoV-2 spike and Omicron sub-lineage spikes, as well as the spikes with single or combined mutations were synthesized. Expi293F cells were grown to  $3 \times 10^6$ /mL before transfection with the indicated spike gene using Polyethylenimine (Polyscience). Cells were cultured overnight at  $37^{\circ}$ C with 8% CO<sub>2</sub> and VSV-G pseudo-typed  $\Delta$ G-luciferase (G\* $\Delta$ Gluciferase, Kerafast) was used to infect the cells in DMEM at a multiplicity of infection of 5 for 4 h before washing the cells with 1×DPBS three times. The next day, the transfection supernatant was collected and clarified by centrifugation at 300g for 10 min. Each viral stock was then incubated with 20% I1 hybridoma (anti-VSV-G; ATCC, CRL-2700) supernatant for 1 h at 37°C to neutralize the contaminating VSV-G pseudotyped  $\Delta$ G-luciferase virus before measuring titers and making aliquots to be stored at  $-80^{\circ}$ C.

### **Pseudovirus neutralization assays**

Neutralization assays were performed by incubating pseudoviruses with serial dilutions of monoclonal antibodies or sera, and scored by the reduction in luciferase gene expression. In brief, Vero E6 cells were seeded in a 96-well plate at a concentration of  $2 \times 10^4$  cells per well. Pseudoviruses were incubated the next day with serial dilutions of the test samples in triplicate for 30 min at 37°C. The mixture was added to cultured cells and incubated for an additional 24 h. The luminescence was measured by Luciferase Assay System (Beyotime). IC<sub>50</sub> was defined as the dilution at which the relative light units were reduced by 50% compared with the virus control wells (virus + cells) after subtraction of the background in the control groups with cells only. The IC<sub>50</sub> values were calculated using nonlinear regression in GraphPad Prism.



### Sequence alignment and phylogenetic tree

This analysis involved 200 nucleotide sequences, including 50 samples for each lineage randomly selected from the GISAID database. Sequence alignment was carried out using ClustalW progress (Thompson et al., 1994) and corrected manually. The evolutionary history was inferred using the Neighbor-Joining method (Saitou and Nei, 1987). The optimal tree is shown. The tree is drawn to scale, with branch lengths in the same units as those of the evolutionary distances used to infer the phylogenetic tree. The evolutionary distances were computed using the p-distance method (Nei and Kumar, 2001) and are in the units of the number of base differences per site. All positions with less than 50% site coverage were eliminated. There was a total of 29743 positions in the final dataset. Evolutionary analyses were conducted in MEGA11 (Tamura et al., 2021) and visualized with the package 'ggtree' in R. The current snapshot of COVID-19 data was taken from GISAID between Oct 2021 and Mar 2022 in weekly basis. Lineage level prevalence rate was summarized using cubic spline interpolation.

### **QUANTIFICATION AND STATISTICAL ANALYSIS**

The statistical analyses for the pseudovirus virus neutralization assessments were performed using GraphPad Prism for calculation of mean value and SEM for each data point. Each specimen was tested in triplicate. Antibody neutralization  $IC_{50}$  values were calculated using a five-parameter dose-response curve in GraphPad Prism. For comparing the serum neutralization titers, statistical analysis was performed using a Wilcoxon matched-pairs signed rank test. Two-tailed p values are reported. No statistical methods were used to determine whether the data met assumptions of the statistical approach.

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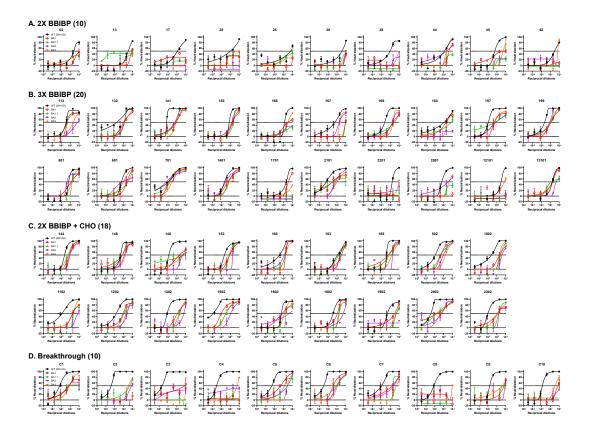
# **Supplemental information**

## Antibody evasion of SARS-CoV-2 Omicron

### BA.1, BA.1.1, BA.2, and BA.3 sub-lineages

Jingwen Ai, Xun Wang, Xinyi He, Xiaoyu Zhao, Yi Zhang, Yuchao Jiang, Minghui Li, Yuchen Cui, Yanjia Chen, Rui Qiao, Lin Li, Lulu Yang, Yi Li, Zixin Hu, Wenhong Zhang, and Pengfei Wang

### **Supplementary Figures**



**Figure S1.** Neutralization curves for sera collected from individuals vaccinated with 2dose BBIBP-CorV only (**A**), with a BBIBP-CorV homologous booster (**B**) or with a ZF001 heterologous booster dose (**C**) following two doses of BBIBP-CorV, or from individuals infected by Delta virus after vaccination (**D**).

Related to Figure 1

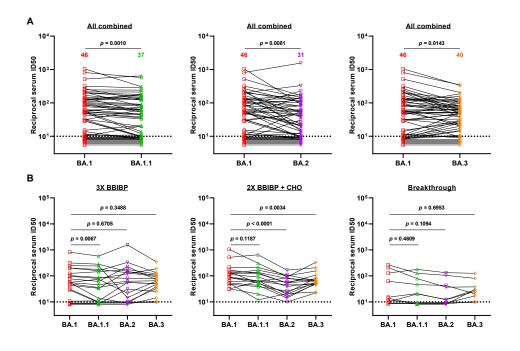


Figure S2. Comparison between BA.1 and the other Omicron sub-lineages with all the sera neutralization data combined (A) or within the different immunization groups (B). P values were determined by using a Wilcoxon matched-pairs signed-rank test (two-tailed).

Related to Figure 1

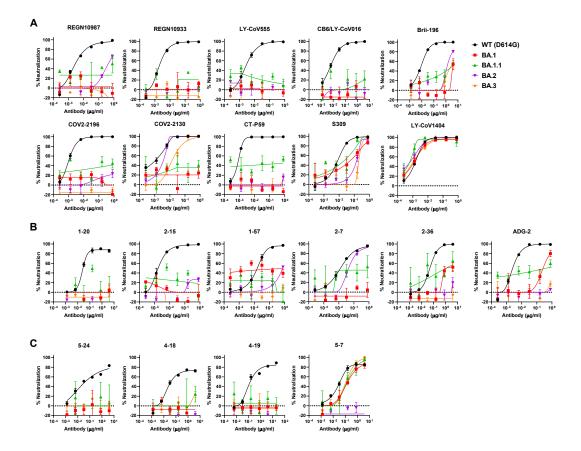


Figure S3. Neutralization curves for mAbs against WT (D614G) and Omicron sublineage viruses.

Related to Figure 2

**Table S1.** Baseline characteristics of enrolled participants, including Breakthroughinfection group, BBIBP-CorV two doses group, BBIBP-CorV homologous boostergroup and BBIBP-CorV/ZF2001 heterologous booster group. Related to Figure 1

	Breakthrough infection (n=10)	BBIBP-CorV two doses (n=10)	BBIBP-CorV homologous booster (n=20)	BBIBP-CorV/ ZF2001 heterologous booster (n=18)	P value
Age (years), median(range)	46 (34-54)	31.5 (23-51)	28 (21-59)	29.5 (23-53)	< 0.001
Male, n (%)	3 (30.00%)	2 (20.00%)	8 (40.00%)	4 (22.22%)	0.581
BMI (kg/m²), mean (SD)	24.45(5.64)	23.35 (3/16)	22.25 (2.96)	20.56 (2.01)	0.028
Comorbidities (%)					
Any, n (%)	4 (40.00%)	0 (0.00%)	2 (10.00%)	0 (0.00%)	0.008
Cardiovascular diseases, n (%)	0 (0.00%)	0 (0.00%)	0 (0.00%)	0 (0.00%)	/
Hypertension, n (%)	3 (30.00%)	0 (0.00%)	0 (0.00%)	0 (0.00%)	0.008
Diabetes, n (%)	1 (10.00%)	0 (0.00%)	0 (0.00%)	0 (0.00%)	0.345
Interval between the first two doses (median,	25	14	21	16	0.010
days)	25	14	21	10	0.010

**Table S2.** Calculated synergistic effects for spike mutation combinations. Related to Figure 2

	mAbs	Joint Effect	Expected Effect If Independent	Synergy Effect <sup>a</sup>	P value <sup>b</sup>	
	REGN10987	-16.5	-9.2	1.8	>0.9999	
	REGN10933	-81.3	-5.8	14.0	<0.0001	
	LY-CoV555	3.5	1.4	0.4	>0.9999	
	CB6/LY-CoV016	<-630	-2.4	1191.3	<0.0001	
	S309	-2.5	-2.1	1.2	>0.9999	
	COV2-2130	1.5	3.1	2.1	>0.9999	
щ	COV2-2196	1.9	3.2	1.7	>0.9999	
375	CT-P59	3.4	2.4	0.7	>0.9999	
S+	Brii-196	-14.4	-12.7	1.1	>0.9999	
73P	LY-CoV1404	1.6	-2.7	0.2	>0.9999	
S37	1-20	-81.7	-2.4	34.4	<0.0001	
Ť	2-15	-1.5	-1.2	1.2	>0.9999	
S371L+S373P+S375F	1-57	-3.3	-6.2	0.5	>0.9999	
ίζ.	2-7	5.4	-1.9	0.1	>0.9999	
	2-36	-11.2	-1.8	6.2	0.2371	
	ADG-2	-14.4	-8.1	1.8	>0.9999	
	5-24	-1.1	-22.0	0.1	>0.9999	
	4-18	1.4	2.1	1.5	>0.9999	
	4-19	-2.7	3.8	10.0	0.0013	
	5-7	1.7	-4.7	0.1	>0.9999	
	REGN10987	-102.5	-204.9	0.5	>0.9999	
	REGN10933	-180.0	-42.0	4.3	0.9339	
	LY-CoV555	<-291	<-291	0.4	>0.9999	
	CB6/LY-CoV016	<-630	-121.6	15.4	<0.0001	
	S309	-1.4	2.1	2.9	>0.9999	
	COV2-2130	-5.3	-3.2	1.7	>0.9999	
8R	COV2-2196	-31.8	-3.0	10.7	0.0008	
<b>249</b>	CT-P59	<-949	<-949	5.4	0.4020	
٩ ٩	Brii-196	-42.1	-424.5	0.1	>0.9999	
396	LY-CoV1404	-1.1	-7.1	0.2	>0.9999	
G4	1-20	-51.8	-6.3	8.3	0.0071	
Q493R+G496S+Q498R	2-15	<-468	<-468	0.0	>0.9999	
493	1-57	<-50	<-50	0.0	>0.9999	
ð	2-7	-1.6	-2.9	0.6	>0.9999	
	2-36	-2.8	-1.5	1.8	>0.9999	
	ADG-2	1.2	-5.0	0.2	>0.9999	
	5-24	1.3	4.6	3.5	0.9996	
	4-18	1.2	-1.4	0.6	>0.9999	
	4-19	-12.5	-4.3	2.9	>0.9999	
	5-7	-1.7	-2.6	0.7	>0.9999	

<sup>a</sup>The synergy effect was calculated by comparing the joint effect (tested fold change of IC<sub>50</sub> compared to WT) to the expected effect of independence (calculated fold change assuming stochastical independence) with the following equation:

$$Synergy \ Effect = \frac{Joint \ Effect}{Expected \ Effect \ of \ Idenpendency} = \frac{Y|A, B, C}{Y|A \cdot Y|B \cdot Y|C}$$

<sup>b</sup>Outlier analysis was performed to evaluate the statistical significance of these synergy effect. The distribution of outlier boundary was estimated by bootstrapping method. We resampled all the synergy results with replacement for 10000 times. For each sampling, the outlier boundary was calculated as sum of the third quartile and 1.5 times the interquartile range. P value of each synergy effect represents the probability that this value is lower than the upper outlier boundary in the bootstrapping test.