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Sedation and analgesia from prolonged pain and stress during mechanical ventilation in preterm infants: Is dexmedetomidine an alternative to current practice?

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4 **Title: Sedation and analgesia from prolonged pain and stress during mechanical**
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6 **ventilation in preterm infants: Is dexmedetomidine an alternative to current practice?**
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SO and JD conceived the piece. SO led the write up. JA conducted the literature search and completed the study summaries. SO, JD, and JA revised and approved the final manuscript.

All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

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Abstract (292/300 words)

Mechanical ventilation is an uncomfortable and potentially painful intervention. Opioids, such as morphine and fentanyl are used for analgesia and sedation but there is uncertainty whether they reduce pain in mechanically ventilated infants. Moreover, there may be short and long-term adverse consequences such as respiratory depression leading to prolonged mechanical ventilation and detrimental long-term neurodevelopmental effects. Despite this, opioids are widely used, possibly due to a lack of alternatives.

Dexmedetomidine, a highly selective alpha-2-adrenergic agonist with analgesic and sedative effects, currently approved for adults, has come into use in newborn infants. It provides analgesia and simulates natural sleep with maintenance of spontaneous breathing and upper airway tone. Although data on pharmacokinetics-pharmacodynamics in preterm infants are scant, observational studies report that using dexmedetomidine in conjunction with opioids/benzodiazepines or on its own can reduce the cumulative exposure to opioids/benzodiazepines. As it does not cause respiratory depression, dexmedetomidine could enable quicker weaning and extubation. Dexmedetomidine has also been suggested as an adjunct to therapeutic hypothermia in hypoxic ischaemic encephalopathy and others have used it during painful procedures and surgery. Dexmedetomidine infusion can cause bradycardia and hypotension although most report clinically insignificant effects.

The increasing number of publications of observational studies and clinical use demonstrates that dexmedetomidine is being used in newborn infants but data on safety and efficacy are scant and not of high-quality. Importantly, there are no data on long term neurodevelopmental impact on preterm or term-born infants. The acceptance of dexmedetomidine in routine clinical practice must be preceded by clinical evidence. We need adequately powered and well-designed randomised controlled trials investigating whether dexmedetomidine alone or with opioids/benzodiazepines in infants on mechanical

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3 ventilation reduces the need for opioids/benzodiazepine and improves neurodevelopment at
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5 24 months and later as compared to the use of opioids/benzodiazepines alone.
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Confidential: For Review Only

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3 **Key words:** infant, preterm; dexmedetomidine, mechanical ventilation
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9 **Abbreviations:** BP, blood pressure; GA, gestational age; HIE, hypoxic-ischaemic
10 encephalopathy; HR, heart rate; IQR, inter-quartile range; IVH, intraventricular haemorrhage;
11 LD, loading dose; MD, maintenance dose; NEC, necrotising enterocolitis; NICU, neonatal
12 intensive care unit; PD, pharmacodynamics; PK, pharmacokinetics; PMA, post-menstrual
13 age; TH, therapeutic hypothermia
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Key Messages

- Mechanical ventilation is a potentially painful intervention. Opioids and benzodiazepines are widely used to provide analgesia and sedation in mechanically ventilated infants.
- Evidence suggests that opioids may be ineffective analgesics and there may be adverse consequences such as respiratory depression and detrimental long-term neurodevelopmental effects.
- Dexmedetomidine, a highly selective alpha-2-adrenergic agonist provides analgesia and simulates natural sleep with maintenance of spontaneous breathing and upper airway tone.
- Emerging observational studies suggest that dexmedetomidine use in mechanically ventilated infants may reduce exposure to opioids/benzodiazepines and enable quicker weaning and extubation.
- However, data on safety and efficacy are scant. Adequately powered randomised controlled trials investigating use of dexmedetomidine in preterm infants are required.

Introduction

Mechanical ventilation via a tracheal tube is widely used for respiratory support in preterm infants. In England and Wales, despite the increase in use of non-invasive respiratory support, 57% of very preterm infants were mechanically ventilated on the day of birth and many others require ventilatory support later in the course of their care [1]. This included 91% of extremely preterm infants and the increasing survival of those born at these gestations could lead to further increases in the use of mechanical ventilation in neonatal care.

It is now well accepted that preterm infants perceive pain. Mechanical ventilation is an uncomfortable and potentially painful intervention. Ventilated infants are, often, also subjected to multiple painful procedures and experience pain caused by underlying diseases such as necrotising enterocolitis (NEC) [2,3]. Pain and stress cause cardiorespiratory instability including interference with the ventilator synchronising with the infant's breathing efforts that lead to suboptimal ventilation, fluctuations in heart rate (HR), respiratory rate, blood pressure (BP), and oxygen saturation with complications such as intraventricular haemorrhages (IVH) and metabolic instability [4].

Pain in preterm infants

Preterm infants have enhanced hormonal and physiological responses to pain. In 1987, Anand et al., showed that preterm infants undergoing patent ductus arteriosus surgery who did not receive fentanyl had a significantly higher hormonal stress response compared to those who received fentanyl [5]. More recently, magnetic resonance imaging studies identified that exposure to pain and stress in neonatal care alters corticospinal tract, and subcortical white matter development with further evidence of reduced volume of the somatosensory thalamus and changes in the cortex, limbic system and basal ganglia, and the white matter that persist into school age [6].

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3 Management of pain and agitation is fundamental to good intensive care, yet neonatal pain
4 is inconsistently assessed and inadequately managed [3]. A prospective cohort study of 243
5 European neonatal intensive care units (NICUs), the European Pain Audit in Neonates
6 (EUROPAIN), reported 34% of admissions, including 82% of those who were mechanically
7 ventilated, received some sedation or analgesia [7]. A retrospective cohort study from 348
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Pediatrics Medical Group NICUs in the USA found that infants received a sedative, analgesic
or paralytic only on 33% of days that they were mechanically ventilated [8].

Although optimal management of pain and sedation during mechanical ventilation is as yet
undiscovered, selective use of continuous infusion of opioids is recommended for infants
who are mechanically ventilated for prolonged periods [3,9]. These recommendations stand
despite the 2021 update of the Cochrane review that concluded that there is uncertainty
whether opioids have any effect on reducing pain in mechanically ventilated infants [2]. In
addition, there may be short and long-term adverse consequences of morphine infusion in
preterm infants such as respiratory depression leading to prolonged duration of mechanical
ventilation and detrimental long-term neurodevelopmental effects. The Cochrane systematic
review [2] includes one trial that reported neurodevelopment at 18-24 months: Ancora 2013
[10] (RR 2.00, 95% CI 0.39 to 10.29; 78 participants); and one study that reported
neurodevelopment outcomes at 5-6 years of age [11]: MacGregor 1998 (RR 1.60, 95% CI
0.56 to 4.56; 95 participants) and concluded the evidence was uncertain. Puia-Dumitrescu et
al., [12], performed a post-hoc analysis of infants who participated in the Preterm
Erythropoietin Neuroprotection Trial to investigate the effect of exposure to opioids and
benzodiazepines on development at 22-26 months' corrected age. Of the 936 extremely
preterm infants 481 (51%) received opioids; 297 (32%) received both opioids and
benzodiazepines; and 20 (2%) received benzodiazepines only. Infants exposed to both
groups of drugs had more co-morbidities compared to those exposed to only one or none
with higher adjusted odds of IVH, NEC, severe bronchopulmonary dysplasia, and
retinopathy of prematurity, and longer length of hospital stay. Outcomes at 2-years were

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3 negatively associated with the combined use of opioids and benzodiazepines even after
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5 adjusting for potential confounders. The lowest scores were noted among the infants who
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7 received the drugs for more than 7 days (median (IQR) score of 85 (73-97)) compared to 97
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9 (91-107) in infants with no exposure. However, this was not a randomised allocation and the
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11 higher prevalence of multiple co-morbidities in the group that received drugs makes it difficult
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13 to interpret the results.
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16 Despite these uncertainties, opioids are the most popular choice for management of pain
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18 and sedation in mechanically ventilated infants. Of the 2142 mechanically ventilated infants
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20 included in the EUROPAIN audit, morphine and fentanyl were given to 923 (43%) and 639
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22 (29%) infants, respectively, while 536 (25%) received midazolam [7]. Zimmerman et al.
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24 similarly reported that in 433,587 ventilated-days, fentanyl (17%), midazolam (14%) and
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26 morphine (9%) were the most frequently administered drugs [8]. They also reported increase
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28 in administration of opioids during mechanical ventilation from 5% of ventilated days in 1997
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30 to 32% in 2012. In the UK, the percentage of very preterm infants who receive intravenous
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32 morphine increased from 32% in 2010 to 37% in 2017 [13].
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36 **Dexmedetomidine: an alternative agent for pain and sedation in newborn infants**

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38 This high use of opioids despite the uncertainty about benefit and harm is possibly due to a
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40 lack of alternative agents that could provide adequate and safe sedation and analgesia in
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42 newborn infants. Recently, dexmedetomidine, a highly selective alpha-2-adrenergic agonist
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44 (1600:1:: α 2: α 1 specificity) with sedative and analgesic effects has come into use in newborn
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46 infants receiving mechanical ventilation [14]. Several recent studies have explored the off-
47
48 label use of dexmedetomidine in newborn infants for sedation and analgesia in NICU
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50 settings. A summary of the studies is given in Tables 1-4.
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55 Dexmedetomidine, first approved by the USA Food and Drug Administration in 1999 is
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57 similarly authorised by the European Medicine Agency [15] for use for sedation in adult
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59 intensive care unit patients requiring sedation level no deeper than arousal in response to
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3 verbal stimulation and for sedation of non-intubated patients prior to and/or during diagnostic
4 or surgical procedures requiring sedation. It provides analgesia via inhibition of substance P
5 release from the dorsal horns of the spinal cord while the anxiolysis and sedation are
6 believed to be due to the reduction in sympathetic outflow from the locus coeruleus [16]. It
7 stimulates the natural sleep pathways and induces activity similar to non-REM sleep in
8 adults and children [17]. The effects on respiration and airway also mimic natural sleep and
9 therefore maintain spontaneous breathing and upper airway tone [17].
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19 Although there are no data to examine the early exposure to dexmedetomidine and long-
20 term neurocognitive function in children, animal studies suggest that dexmedetomidine may
21 have some neuroprotective effects including cell-protective effects under ischemic conditions
22 and after traumatic brain injury [18]. In rodents, cortical and white matter loss after hypoxic-
23 ischaemic injury may be prevented by dexmedetomidine [19]. However, when combined with
24 hypothermia, Ezzati et al., found that dexmedetomidine was associated with adverse
25 cardiovascular events and was neurotoxic following hypoxia-ischaemia [20]. No clinical
26 studies have investigated the impact of dexmedetomidine on neurological outcomes or
27 neurodevelopment in preterm or term-born infants.
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39 **Pharmacological studies on dexmedetomidine in newborn infants**

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42 The evaluation of pharmacokinetic (PK), pharmacodynamics (PD), efficacy and safety of
43 drugs in vulnerable newborn infants is challenging [14]. Dexmedetomidine is metabolised via
44 glucuronidation, hydroxylation (via CYP2A6), and N-methylation and primarily eliminated via
45 the urine [21]. As these pathways, particularly glucuronidation, are immature in the first year
46 after birth, dexmedetomidine clearance may be decreased but there is a lack of PK-PD
47 studies in preterm infants [17].
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55 The therapeutic window for dexmedetomidine in older children is 0.4 to 0.8 mcg/L (optimum
56 level around 0.6 mcg/L) [22] with a safety measure of maintaining plasma concentrations
57 below 1mcg/L and is assumed to be the same in neonatal studies [14]. The drug is available
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3 as dexmedetomidine hydrochloride (100 mcg/mL) [23], usually delivered in a concentration
4 of 1 mcg/ml as a loading dose (LD) over 10-20 min and maintenance dose (MD) as
5 continuous infusion using rate-controlled syringe pumps. In a phase II/III open-label, safety,
6 efficacy, and PK trial including 11 centres, Chrysostomou et al., investigated three escalating
7 dose regimens (LD followed by MD) of dexmedetomidine mechanically ventilated 28-44
8 weeks' GA infants [24]. In 42 infants (18 preterm and 24 term-born), they found that
9 additional sedation (midazolam) was required only in 4 infants (all term-born) while additional
10 analgesia (fentanyl or morphine) was required in 17 infants (3 preterms, and 14 term-born).
11 Using the Neonatal Pain and Sedation Scale (N-PASS), they found that at all three dose
12 levels, infants had signs of pain or agitation (N-PASS score >3) at 5% time-points only. Van
13 Dijkman et al., performed a dose-finding study of dexmedetomidine in mechanically
14 ventilated newborn infants who received dexmedetomidine infusion over 24-hours. Initial
15 dosing (0.3 mcg/kg/h) was determined by extrapolation of previously published PK of
16 dexmedetomidine in older children and was subsequently increased to 0.4 mcg/kg/h in the
17 follow-up phase due to higher clearance of the drug. Additional sedation was required in
18 83% of the participants as indicated by Comfort-neo scores. In 7 term infants with HIE and
19 therapeutic hypothermia (TH), McAdams et al., found that dexmedetomidine clearance was
20 either comparable or lower but volume of distribution was larger compared to estimates in
21 normothermic infants [25]. They recommended doses up to 0.4 mcg/kg/h and that a LD may
22 be needed to achieve therapeutic concentration without a lag. Dose regimens used in
23 published clinical studies of preterm infants and available neonatal formularies are given in
24 Table 5.

51 **Clinical reports of use of dexmedetomidine in preterm infants**

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53 Case reports of dexmedetomidine use to sedate a difficult to ventilate 24 weeks' gestational
54 age (GA) infant [16] and others reporting adverse effects [26,27] were followed by larger
55 single centre studies. Use has been reported in extremely preterm infants in the first weeks
56 after birth [16,28], in preterm infants at later postmenstrual ages (PMA) and term-born

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3 infants [21,29–31], after surgical procedures [29,30], and in infants undergoing therapeutic
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5 hypothermia (TH) for hypoxic ischaemic encephalopathy (HIE) [19,32,33].
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8 Dexmedetomidine is used for sedation and analgesia on its own or in combination with other
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10 agents with the aim of reducing cumulative exposure to opioids and benzodiazepines.
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12 Comparing 48 infants with a mean GA of 25 weeks who received either fentanyl or
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14 dexmedetomidine, O'Mara et al., found that the dexmedetomidine group required less
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16 adjunctive sedation [28]. Comparing 39 infants who received dexmedetomidine, (including
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18 28 who concomitantly received opioid infusion) with 39 matched controls who only received
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20 opioids, Sellas et al., found that there was no difference in the duration of use or the number
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22 of doses of opioids but the total dose of opioids received was lower in the group that
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24 received dexmedetomidine [29]. With an aim to reduce benzodiazepine exposure in infants
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26 who were at least 35 weeks' PMA and needed sedation for mechanical ventilation, Morton et
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28 al., implemented a quality improvement project with a sedation guideline recommending the
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30 use of dexmedetomidine as initial agent or in addition to midazolam. They demonstrated
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32 reduction in use of midazolam with fewer infants receiving midazolam, increased midazolam-
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34 free days, and lower daily midazolam doses in those who received additional
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36 dexmedetomidine without any increase in the mean duration of sedation episodes [31]. In 38
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38 infants at a median PMA of 38.8 weeks, of whom 30 were post-surgical, Dersch-Mills et al.,
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40 found that the opioid infusion was reduced within 24 hours of initiation of dexmedetomidine
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42 in 12 of the 18 infants who were on concomitant opioids [30].
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47 As dexmedetomidine does not cause respiratory depression, it is postulated that its use with
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49 no or reduced doses of opioids should enable quicker weaning off mechanical ventilation
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51 and support extubation. O'Mara et al., found a significantly shorter duration of mechanical
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53 ventilation in those who received dexmedetomidine compared to those on fentanyl only
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55 (mean \pm SD: 14.4 \pm 7.3 vs. 28.4 \pm 9.9; $p < 0.0001$). They also reported that clinicians
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57 extubated 19 of 28 infants who received dexmedetomidine while receiving infusion of the
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59 drug at the time of extubation. Due to the early extubation, fewer infants received
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3 dexamethasone (4 vs. 11) and there were fewer chest-X-rays performed (mean \pm SD: 28 \pm
4 8.8 vs. 49 \pm 13.8) in the dexmedetomidine group compared to those who received fentanyl.

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8 The main concerns with dexmedetomidine use are bradycardia and hypotension.

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10 Chrysostomou et al., found, in 42 neonates, that the HR decreased by an average of 12% \pm
11 9% and systolic BP decreased by 14% \pm 12% at 6-7 hours of starting the dexmedetomidine
12 infusion [24]. Although mostly reported to be not of clinical significance, dexmedetomidine
13 can induce reduction in HR and BP. Dersch-Mills et al., analysed adverse effects in 38
14 infants, 36 of whom were also receiving opioid infusion and found that 25 had at least one
15 episode of bradycardia (drop of >20bpm from baseline lasting for >2 hours) including 7 who
16 had a drop of >40bpm. Hypotension occurred in 15 infants. Dopamine was used
17 concurrently in 17 infants of which 5 were started on dopamine after the initiation of
18 dexmedetomidine. No infants had dexmedetomidine infusion discontinued due to adverse
19 effects [30]. Similarly, Sellas et al., found that 5 of 39 infants who received dexmedetomidine
20 experienced episodes of bradycardia as compared to 2 of 39 in the no-dexmedetomidine
21 group although all episodes were self-limiting and did not require any intervention [29].
22
23 O'Mara et al., measured BP and HR hourly and did not see any appreciable effect on either
24 in those on dexmedetomidine compared to the infants on fentanyl [28] and Morton et al.,
25 reported that following their initiative to increase use of dexmedetomidine in mechanically
26 ventilated infants, one infant had dexmedetomidine discontinued due to bradycardia without
27 haemodynamic compromise however discontinuing the drug did not resolve the bradycardia
28 [31]. Estkowski et al., compared neonates in a Paediatric Intensive Care Unit with older
29 infants and found that among the 28 neonates, 4 experienced hypotension and 2 had
30 bradycardia as compared to 30 and 55 of 99 infants who had hypotension and bradycardia,
31 respectively. Three neonates required fluid bolus, 1 had dopamine infusion started after
32 dexmedetomidine and 4 had dexmedetomidine discontinued due to adverse events [21].
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58 **Conclusions and recommendations**

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3 Emerging clinical data suggest that dexmedetomidine may be an alternative to opioids and
4 benzodiazepines for managing pain and sedation in mechanically ventilated newborn
5 infants. It may help reduce the exposure to these agents and as it does not cause respiratory
6 depression, it may facilitate quicker extubation. The potential neuroprotective effect on the
7 immature brain could ease the concerns about the impact of pain and stress and that of
8 opioids and benzodiazepines on the developing brain. However, despite the creeping
9 acceptance of its use in this population, there are no robust data to demonstrate either the
10 efficacy or the safety of this drug in preterm infants. The studies discussed above are
11 observational and mostly retrospective chart reviews. It is encouraging to see robust PK-PD
12 studies focussing on determining the optimal dose regimens [14] and a Cochrane systematic
13 review was registered in 2016 [34] but there are no completed RCTs or systematic reviews
14 of use of dexmedetomidine in preterm infants. The increasing number of publications of
15 observational studies and use in clinical practice as seen by the availability of neonatal drug
16 formularies for its use demonstrates evolving practice that dexmedetomidine is being used in
17 newborn infants in neonatal units. The data on safety and efficacy are scant and not of high-
18 quality and there are no data on long term neurodevelopmental impact on preterm or term-
19 born infants. We found one registered pilot RCT [20] in term infants undergoing TH for HIE
20 and one investigating the use of bolus dose for supporting less invasive surfactant
21 administration in preterm infants (Table 6).

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24 The acceptance of dexmedetomidine in routine clinical practice must be preceded by clinical
25 evidence. We need adequately powered and well-designed randomised controlled trials
26 investigating whether dexmedetomidine alone or in conjunction with
27 opioids/benzodiazepines in preterm infants who require analgesia and sedation during
28 mechanical ventilation reduces the need for opioids/benzodiazepine and improves
29 neurodevelopment at 24 months and later as compared to the use of
30 opioids/benzodiazepines alone.

Table 1. Summary of clinical studies of dexmedetomidine in newborn infants: studies with a comparator group that did not receive any dexmedetomidine

Randomised controlled trials or quasi-randomised controlled trials - NONE					
Observational studies with comparator with no dexmedetomidine					
Reference Setting	Study design	Inclusion criteria	Dexmedetomidine group	Comparator	Outcomes Notes
O'Mara 2012 [28] USA Single centre	Retrospective case-control Jan 2005-May 2010	<36 weeks' GA at birth, < 2 weeks of life at study entry AND receiving mechanical ventilation	N= 24 GA: 25.5.±.1.7 BW: 832.±.204.2g	Fentanyl N=24 GA: 24.9.±.1.6 BW: 675.±.164.2	Dexmedetomidine group had <ul style="list-style-type: none"> - Shorter duration of treatment (12.5 vs. 20d) - Similar number of sedative boluses per day (1.7 vs. 3) but in total less total additional sedation - More number of days free of additional sedation - Reduction in number of days on mechanical ventilation (14.4(7.3) vs. 28.4(9.9)) - Better GI function in including earlier establishment of full feeds - Less late onset sepsis
Sellas 2019 [29] USA Single centre	Retrospective cohort study with matched controls Jan 2010 to Aug 2015	Invasive surgical procedure Required at least 6h of continuous sedation and/or analgesia	Received dexmedetomidine in addition to opioids N=39 GA: 37 (29-39) PMA at surgery:39 (29-59) weeks	Opioids only (morphine or fentanyl) N= 39 GA: 37 (27-38) PMA at surgery: 37 (27-41)	28/39 (72%) of those who received dexmedetomidine also received opioids Dexmedetomidine group had <ul style="list-style-type: none"> - More episodes of bradycardia (12.8% vs 5.1%; p = 0.01) - No difference in episodes of hypotension or respiratory depression. - Lower cumulative dose of opioids (1155 mcg/kg vs 1841 mcg/kg; p = 0.01)

					- No difference in the number of supplemental doses of opioids
Morton 2021 [31] USA Single centre	QI initiative to reduce benzodiazepine exposure during Pre-QI: Jan 2015 to Feb 2018 Post-QI: Mar 2018 to Dec 2019	At least 35 weeks' PMA <14 d of sedation Sedation needed for mechanical ventilation	Guideline and QI initiative to promote use of escalating dose of dexmedetomidine	Midazolam and no specific guideline for dexmedetomidine use	Proportion of infants receiving any dexmedetomidine increased from 18% to 49% Infants receiving only dexmedetomidine increased from 3% to 33% Infants receiving any midazolam decreased from 95% to 65% Infants who received dexmedetomidine received less daily midazolam (1.3 mg/kg/d vs. 2.2 mg/kg/d) Increase in midazolam free days No difference in mean duration of sedation episodes

Table 2. Summary of clinical studies of dexmedetomidine in newborn infants: studies without a comparator group that did not receive any dexmedetomidine

Study ID Setting	Study design	Inclusion criteria	Intervention	Outcomes
Chrysostomou 2014 [24] USA 11 centres	phase II/III, open-label, multicentre safety, efficacy, and PK trial	intubated and mechanically ventilated neonates gestational age ≥ 28 to ≤ 44 weeks	Dosing: 3 phases level 1: loading dose (LD), 0.05 mg/kg; maintenance dose (MD), 0.05 mg/kg/h level 2: LD, 0.1 mg/kg; MD, 0.1 mg/kg/h	Need for additional sedation: no preterms; 4 term (midazolam) Need for additional analgesia: 17 (40%) – 3 preterms (fentanyl); 14 term (fentanyl and/or morphine)

		anticipated to require a minimum of 6 hours of continuous IV sedation excluded <1kg infants	level 3: LD, 0.2 mg/kg; MD, 0.2 mg/kg/h. N= 42 (18 preterm; 24 term) N-PASS tool for need of sedation and analgesia	N-PASS scores: 5% >+3 in pain; 77% between -5 and +3 (adequate) and 18% ≤-6 deep sedation HR decreased by an average of 12%±9% at 7.7±7.3 h, and systolic BP decreased by 14%±12% at 6.5±7 h Other adverse effects: 26 (62%) patients (11 preterm and 15 term) – diastolic hypotension, hypertension, agitation, respiratory acidosis. No discontinuation, no significant lab or ECG abnormalities
Estkowski 2015 [21] USA PICU Single centre	Retrospective chart review Oct 2007 to Aug 2012	at least 37 weeks PMA	Compared neonates (up to 28 days of CGA) to infants (28d CGA to 1y) Neonates: N= 28 (13 born preterm) Infants: N= 99	Neonates as compared to infants had: <ul style="list-style-type: none">- Similar minimum infusion rates- Lower median maximum dosage: 0.4 mcg/kg/hr (IQR, 0.26-0.6) vs. 0.6 mcg/kg/hr (IQR, 0.4–0.8) (p < 0.01)- Similar duration of use: 41 (17-76) hr- Fewer had any episode of bradycardia: 2/28 [7%] vs. 55/99 [56%] (p < 0.01)- Similar incidence of hypotension: 4/28 (14%) vs. 30/99 (30%) (p = 0.15) In neonates: 3/28 (11%) received a fluid bolus 4/28 (14%) dexmedetomidine discontinued due to cardiovascular adverse effects In infants: 3/99 discontinued infusion due to bradycardia 75/99 (76%) had additional sedative agents
Dersch-Mills 2019 [30] Canada Single centre (out-born NICU)	Retrospective observational study Dec 2014 to Dec 2016	Received dexmedetomidine for ≥3 h	N=38 (30 for surgery) GA: 37.1 (30.7-38.3) 17 preterms; 41 (6-74) days old BW: 2605 (1721-3212)	32/38, at least one adverse effect <ul style="list-style-type: none">- 15, hypotension- 25 bradycardia (drop of ≥20bpm from baseline)- 7 severe bradycardia (drop of ≥40 bpm from baseline)

			used for mean (range): 11.1(0.13 to 58) days most (36/38) received concomitant opioid infusion, mostly fentanyl	- No dose adjustment or discontinuation for any adverse effect Withdrawal: 22/38 had tachycardia and increase NAS scores
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Table 3. Summary of case reports of dexmedetomidine in newborn infants

Reference Setting	Case description	outcomes Notes
Finkel 2007 [26] USA	2 day old, 3 kg female Repair of bladder exstrophy Received dexmedetomidine infusion	Hypothermia and bradycardia – at 0.4mcg/kg/h dose reduced and maintained on 0.2 mcg/kg/h without further adverse events
O'Mara 2009 [16] USA	24 week GA, 9d old infant on high frequency oscillatory ventilation. Was on fentanyl and lorazepam Started at 0.5mcg/kg over 10 min followed by 0.25c/kg/h increased by 0.05-0.1mcg/kg/h every 12 h if needed. Max rate of 0.7mcg/kg/h for 19 days.	Calmed and remained sedated Allowed weaning of ventilation and extubation
Kubota 2012 [27] Japan	Term infant - ventilated	EEG confirmed seizures, stopped 12h after discontinuation of dexmedetomidine infusion without any antiepileptic medication Normal development

Table 4. Clinical studies of use of dexmedetomidine in infants undergoing therapeutic hypothermia for HIE

Study ID Setting	Study design	Inclusion criteria	Dexmedetomidine group	Comparator	Outcomes Notes
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<p>O'Mara 2018 [33] USA Single centre</p>	<p>Retrospective cohort study Jul 2013 to Oct 2016</p>	<p>HIE requiring TH Received dexmedetomidine for 48 h</p>	<p>N= 19 2, dexmedetomidine only; 17, combination with fentanyl</p>	<p>No comparator</p>	<p>Fentanyl weaned down in 13/17 after starting dexmedetomidine 10 infants required inotropes. Initiation of dexmedetomidine did not affect HR, or BP. One infant had bradycardia – resolved with discontinuation of fentanyl Clinical outcomes: 1 died; 8 had seizures</p>
<p>Cosnahan 2021 [19] USA single centre</p>	<p>Retrospective cohort study Jan 2018 to Apr 2020</p>	<p>HIE requiring TH (NICHD criteria)</p>	<p>Mar 2019-Apr2020 N= 34 Continuous dexmedetomidine 0.3 mcg/kg/h with increments of 0.1 mcg/kg/hr based on pain scores and breakthrough morphine dose requirements Maximum: 2 mcg/kg/hr</p>	<p>Jan 2018-Mar 2019 N= 36 Intermittent morphine 0.1 mg/kg every 4h</p>	<p>No difference in N-PASS scores Dexmedetomidine group had: - Higher breakthrough morphine requirement: 0.13 (0.13) vs 0.04 (0.09) mg/kg, p = 0.001 - Lower total morphine requirement: 0.13 (0.13) vs 1.79 (0.23) mg/kg, p < 0.0001 - Reduced respiratory support requirement - Similar outcomes for feeding, EEG and MRI scan All HR measurement in normal range - morphine group had higher HR and BP at some timepoints</p>
<p>Elliot 2022 [32] abstract only USA Single centre</p>	<p>Retrospective cohort 2011-2019</p>	<p>Dexmed and/or fentanyl</p>	<p>Dexmed = 46 (14 monotherapy; 32 in combination)</p>	<p>Fentanyl = 120</p>	<p>Dexmedetomidine group had lower HR: 91 ± 9 vs. 103 ± 11 bpm Dexmedetomidine was reduced or discontinued in 22 (48%) due to inadequate sedation with low HR</p>

Table 5. Dose of dexmedetomidine in newborn infants

Study ID		Bolus at start of infusion	Infusion			
			Starting dose	Increments	Range / maximum	Weaning
O'Mara 2012 [28]		0.5 mcg/kg	0.3 mcg/kg/h	0.1 mcg/kg/hr twice daily if need for >3 doses of adjunct sedation	0.3-1.2 mcg/kg/h	0.1mcg/kg/h every 12 -24 hours
Morton 2021 [31]		-	0.5 mcg/kg	0.1 to 0.2 mcg/kg/h not more frequently than every 30 min	2 mcg/kg/h	No specific regimen given
Estkowski 2015 [21]		-				
Dersch-Mills 2019 [30]		-	0.2-0.3 mcg/kg/hr	0.1 mcg/kg/h based on pain and sedation scores	0.5 mcg/kg/h	No regimen specified – weaned over median of 79 hrs (IQR, 35-175). 10 infants needed clonidine (9 had dexmedetomidine for >10d)
Australasian Neonatal Medicines Formulary [35]	<37 weeks' GA	0.2 mcg/kg	0.2 mcg/kg/h	0.1 to 0.2 mcg/kg/h every 30 min	1 mcg/kg/h	0.1 mcg/kg/h every 30 min OR 0.2 mcg/kg/h every 8 h
	Term infant ≤ 14 d	0.35 mcg/kg	0.3 mcg/kg/h		1.2 mcg/kg/h	
	Term infant > 14 d	0.5 mcg/kg	0.5 to 0.75 mcg/kg/h		1.5 mcg/kg/h	
Neonatal Drug Dosing Guideline, IWK Health Centre (personal communication)		0.5 to 1 mcg/kg “not usually required”	0.1 to 0.3 mcg/kg/h	0.1 cmg/kg/h every 1 to 2 h	1 mcg/kg/h	0.1 mcg/kg/h every 12 to 24 h as tolerated

Table 6. Registered clinical trials

Study ID	Study design	Eligibility	Intervention	Comparator	Outcomes
Dexmedetomidine for LISA Procedure in preterm infants (DEXLISA) NCT04820101	Single group assignment Open label Sample size = 40	Inclusion Criteria: - GA 26-36 weeks' - Respiratory distress syndrome requiring surfactant therapy Exclusion Criteria: - Need for emergency intubation in the delivery room - Major congenital malformations or chromosomal anomaly abnormalities - Fetal Hydrops - Hypercapnia - Pneumothorax - Hemodynamic compromise	Dexmedetomidine 1 mcg/kg slowly IV over 10 minutes	None	Change in Neonatal Infants Pain Scale score before, during and immediately after the procedure In 24 h, - Number of apnoea - Number of severe apnoea - Need for ventilation
Dexmedetomidine use in infants undergoing cooling due to neonatal encephalopathy (DICE) Trial NCT04772222 [36]	Randomised controlled trial Parallel 1:1 allocation Open label Sample size = 50	-≥36 weeks' GA with HIE treated with TH. -requiring sedation and/or treatment to prevent shivering during TH as assessed by the Neonatal Pain, Agitation, and Sedation Scale (N-PASS) scores and a	Dexmedetomidine - Loading dose of 1 mcg/kg followed by 0.1 to 0.5 mcg/kg/h continuous infusion	Morphine - Intermittent dosing every 3-4 h of 0.02-0.05 mg/kg/dose or continuous infusion of 0.005-0.01 mg/kg/h	Safety – potential adverse events such as hypotension, hypertension, bradycardia, cardiac arrhythmias, hypothermia, acute renal failure, liver failure, seizures Shivering

		modified Bedside Shivering Assessment Scale.			Days to full oral feeding Mother assessment at 7d, 3-4 mo Neurological assessment at 3-4 mo and 6-9 mo
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Sedation and analgesia from prolonged pain and stress during mechanical ventilation in preterm infants: Is dexmedetomidine an alternative to current practice?

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4 **Title: Sedation and analgesia from prolonged pain and stress during mechanical**
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6 **ventilation in preterm infants: Is dexmedetomidine an alternative to current practice?**
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Patient and Public Involvement statement

How was the development of the research question and outcome measures informed by patients' priorities, experience, and preferences?

- N/A

How did you involve patients in the design of this study?

- N/A

Were patients involved in the recruitment to and conduct of the study?

- There was no direct patient involvement.

How will the results be disseminated to study participants?

- The results of the study will be communicated via Bliss, UK's largest charity for sick and preterm infants, and via social media accounts of the authors and their institutions.

For randomised controlled trials, was the burden of the intervention assessed by patients themselves?

- N/A

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SO and JD conceived the piece. SO led the write up. JA conducted the literature search and completed the study summaries. SO, JD, and JA revised and approved the final manuscript.

All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

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Abstract (292/300 words)

Mechanical ventilation is an uncomfortable and potentially painful intervention. Opioids, such as morphine and fentanyl are used for analgesia and sedation but there is uncertainty whether they reduce pain in mechanically ventilated infants. Moreover, there may be short and long-term adverse consequences such as respiratory depression leading to prolonged mechanical ventilation and detrimental long-term neurodevelopmental effects. Despite this, opioids are widely used, possibly due to a lack of alternatives.

Dexmedetomidine, a highly selective alpha-2-adrenergic agonist with analgesic and sedative effects, currently approved for adults, has come into use in newborn infants. It provides analgesia and simulates natural sleep with maintenance of spontaneous breathing and upper airway tone. Although data on pharmacokinetics-pharmacodynamics in preterm infants are scant, observational studies report that using dexmedetomidine in conjunction with opioids/benzodiazepines or on its own can reduce the cumulative exposure to opioids/benzodiazepines. As it does not cause respiratory depression, dexmedetomidine could enable quicker weaning and extubation. Dexmedetomidine has also been suggested as an adjunct to therapeutic hypothermia in hypoxic ischaemic encephalopathy and others have used it during painful procedures and surgery. Dexmedetomidine infusion can cause bradycardia and hypotension although most report clinically insignificant effects.

The increasing number of publications of observational studies and clinical use demonstrates that dexmedetomidine is being used in newborn infants but data on safety and efficacy are scant and not of high-quality. Importantly, there are no data on long term neurodevelopmental impact on preterm or term-born infants. The acceptance of dexmedetomidine in routine clinical practice must be preceded by clinical evidence. We need adequately powered and well-designed randomised controlled trials investigating whether dexmedetomidine alone or with opioids/benzodiazepines in infants on mechanical

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3 ventilation reduces the need for opioids/benzodiazepine and improves neurodevelopment at
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5 24 months and later as compared to the use of opioids/benzodiazepines alone.
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3 **Keywords:** infant, preterm; dexmedetomidine, mechanical ventilation
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9 **Abbreviations:** BP, blood pressure; GA, gestational age; HIE, hypoxic-ischaemic
10 encephalopathy; HR, heart rate; IQR, inter-quartile range; IVH, intraventricular haemorrhage;
11 LD, loading dose; MD, maintenance dose; NEC, necrotising enterocolitis; NICU, neonatal
12 intensive care unit; PD, pharmacodynamics; PK, pharmacokinetics; PMA, post-menstrual
13 age; TH, therapeutic hypothermia
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Key Messages

- Mechanical ventilation is a potentially painful intervention. Opioids and benzodiazepines are widely used to provide analgesia and sedation in mechanically ventilated infants.
- Evidence suggests that opioids may be ineffective analgesics and there may be adverse consequences such as respiratory depression and detrimental long-term neurodevelopmental effects.
- Dexmedetomidine, a highly selective alpha-2-adrenergic agonist provides analgesia and simulates natural sleep with maintenance of spontaneous breathing and upper airway tone.
- Emerging observational studies suggest that dexmedetomidine use in mechanically ventilated infants may reduce exposure to opioids/benzodiazepines and enable quicker weaning and extubation.
- Data on safety and efficacy are scant. Adequately powered randomised controlled trials investigating use of dexmedetomidine in preterm infants are required.

Introduction

Mechanical ventilation via a tracheal tube is widely used for respiratory support in preterm infants. In England and Wales, despite the increase in use of non-invasive respiratory support, 57% of very preterm infants were mechanically ventilated on the day of birth and many others require ventilatory support later in the course of their care [1]. This included 91% of extremely preterm infants and the increasing survival of those born at these gestations could lead to further increases in the use of mechanical ventilation in neonatal care.

It is now well accepted that preterm infants perceive pain. Mechanical ventilation is an uncomfortable and painful intervention. Ventilated infants are, often, also subjected to multiple painful procedures and experience pain caused by underlying diseases such as necrotising enterocolitis (NEC) [2,3]. Pain and stress cause cardiorespiratory instability including interference with the ventilator synchronising with the infant's breathing efforts that lead to suboptimal ventilation, fluctuations in heart rate (HR), respiratory rate, blood pressure (BP), and oxygen saturation with complications such as intraventricular haemorrhages (IVH) and metabolic instability [4].

Pain in preterm infants

Preterm infants have enhanced hormonal and physiological responses to pain. In 1987, Anand et al., showed that preterm infants undergoing patent ductus arteriosus surgery who did not receive fentanyl had a significantly higher hormonal stress response compared to those who received fentanyl [5]. More recently, magnetic resonance imaging studies identified that exposure to pain and stress in neonatal care alters corticospinal tract, and subcortical white matter development with further evidence of reduced volume of the somatosensory thalamus and changes in the cortex, limbic system and basal ganglia, and the white matter that persist into school age [6].

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3 Management of pain and agitation is fundamental to good intensive care, yet neonatal pain
4 is inconsistently assessed and inadequately managed [3]. A prospective cohort study of 243
5 European neonatal intensive care units (NICUs), the European Pain Audit in Neonates
6 (EUROPAIN), reported 34% of admissions, including 82% of those who were mechanically
7 ventilated, received some sedation or analgesia [7]. A retrospective cohort study from 348
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Pediatrics Medical Group NICUs in the USA found that infants received a sedative, analgesic
or paralytic only on 33% of days that they were mechanically ventilated [8].

Although optimal management of pain and sedation during mechanical ventilation is as yet
undiscovered, selective use of continuous infusion of opioids is recommended for infants
who are mechanically ventilated for prolonged periods [3,9]. These recommendations stand
despite the 2021 update of the Cochrane review that concluded that there is uncertainty
whether opioids have any effect on reducing pain in mechanically ventilated infants [2]. In
addition, there may be short and long-term adverse consequences of morphine infusion in
preterm infants such as respiratory depression leading to prolonged duration of mechanical
ventilation and detrimental long-term neurodevelopmental effects. The Cochrane systematic
review [2] includes one trial that reported neurodevelopment at 18-24 months: Ancora 2013
[10] (RR 2.00, 95% CI 0.39 to 10.29; 78 participants); and one study that reported
neurodevelopment outcomes at 5-6 years of age [11]: MacGregor 1998 (RR 1.60, 95% CI
0.56 to 4.56; 95 participants) and concluded the evidence was uncertain. Puia-Dumitrescu et
al., [12], performed a post-hoc analysis of infants who participated in the Preterm
Erythropoietin Neuroprotection Trial to investigate the effect of exposure to opioids and
benzodiazepines on development at 22-26 months' corrected age. Of the 936 extremely
preterm infants 481 (51%) received opioids; 297 (32%) received both opioids and
benzodiazepines; and 20 (2%) received benzodiazepines only. Infants exposed to both
groups of drugs had more co-morbidities compared to those exposed to only one or none
with higher adjusted odds of IVH, NEC, severe bronchopulmonary dysplasia, and
retinopathy of prematurity, and longer length of hospital stay. Outcomes at 2-years were

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3 negatively associated with the combined use of opioids and benzodiazepines even after
4 adjusting for potential confounders. The lowest scores were noted among the infants who
5 received the drugs for more than 7 days (median (IQR) score of 85 (73-97)) compared to 97
6 (91-107) in infants with no exposure. However, this was not a randomised allocation and the
7 higher prevalence of multiple co-morbidities in the group that received drugs makes it difficult
8 to interpret the results.
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16 Despite these uncertainties, opioids are the most popular choice for management of pain
17 and sedation in mechanically ventilated infants. Of the 2142 mechanically ventilated infants
18 included in the EUROPAIN audit, morphine and fentanyl were given to 923 (43%) and 639
19 (29%) infants, respectively, while 536 (25%) received midazolam [7]. Zimmerman et al.
20 similarly reported that in 433,587 ventilated-days, fentanyl (17%), midazolam (14%) and
21 morphine (9%) were the most frequently administered drugs [8]. They also reported increase
22 in administration of opioids during mechanical ventilation from 5% of ventilated days in 1997
23 to 32% in 2012. In the UK, the percentage of very preterm infants who receive intravenous
24 morphine increased from 32% in 2010 to 37% in 2017 [13].
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36 **Dexmedetomidine: an alternative agent for pain and sedation in newborn infants**

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38 This high use of opioids despite the uncertainty about benefit and harm is possibly due to a
39 lack of alternative agents that could provide adequate and safe sedation and analgesia in
40 newborn infants. Recently, dexmedetomidine, a highly selective alpha-2-adrenergic agonist
41 (1620:1:: α 2: α 1 specificity) with sedative and analgesic effects has come into use in newborn
42 infants receiving mechanical ventilation [14]. Several recent studies have explored the off-
43 label use of dexmedetomidine in newborn infants for sedation and analgesia in NICU
44 settings. A summary of the studies is given in Tables 1-4.
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56 Dexmedetomidine, first approved by the USA Food and Drug Administration in 1999 is
57 similarly authorised by the European Medicine Agency [15] for use for sedation in adult
58 intensive care unit patients requiring sedation level no deeper than arousal in response to
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3 verbal stimulation and for sedation of non-intubated patients before and/or during diagnostic
4 or surgical procedures requiring sedation. It provides analgesia via inhibition of substance P
5 release from the dorsal horns of the spinal cord while the anxiolysis and sedation are
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7 believed to be due to the reduction in sympathetic outflow from the locus coeruleus [16]. It
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9 stimulates the natural sleep pathways and induces activity similar to non-REM sleep in
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11 adults and children [17]. The effects on respiration and airway also mimic natural sleep and
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13 therefore maintain spontaneous breathing and upper airway tone [17].
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19 Although there are no data to examine the early exposure to dexmedetomidine and long-
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21 term neurocognitive function in children, animal studies suggest that dexmedetomidine may
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23 have some neuroprotective effects including cell-protective effects under ischemic conditions
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25 and after traumatic brain injury [18]. In rodents, cortical and white matter loss after hypoxic-
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27 ischaemic injury may be prevented by dexmedetomidine [19]. In another rat model, brain
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29 tissue and cell loss induced by hypoxia-ischaemia were attenuated by dexmedetomidine
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31 post-conditioning, an effect that was inhibited by α 2-adrenergic antagonists suggesting that
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33 the protective effect was mediated by α 2-adrenergic receptor activation [20]. However, when
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35 combined with hypothermia, Ezzati et al., found that dexmedetomidine was associated with
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37 adverse cardiovascular events and was neurotoxic following hypoxia-ischaemia [21]. In a
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39 model comparing the effect of ketamine to that of dexmedetomidine on brain cell
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41 degeneration and apoptosis in postnatal day-7 rats, dexmedetomidine produced significant
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43 damage in the primary sensory regions which was different to the limbic injury induced by
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45 ketamine [22]. In ten mechanically ventilated very low birth weight infants born at 25 to 30
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47 weeks' gestational age who received dexmedetomidine infusion (2 to 30 days of postnatal
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49 age), Cortes-Ledesma et al., found that after 6 hours of dexmedetomidine infusion (0.1 to
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51 0.4 mcg/kg/min), brain regional oxygen saturation decreased and fractional oxygen tissue
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53 extraction increased without any change in heart rate, mean blood pressure, oxygen
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55 saturation, or haemoglobin while amplitude integrated EEG showed a prolongation of inter-
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57 burst interval [23]. As the decrease in brain oxygen saturation could not be related to
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3 increased brain activity, it suggested a vasoconstrictor effect on cerebral vessels [23].
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5 Currently used sedative and analgesics also adversely impact brain development e.g.,
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7 exposure to midazolam is associated with macro- and microstructural alterations in
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9 hippocampal development and lower cognitive scores [24] while opioid use is linked to
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11 reduced cerebellar volume, poorer cognitive and motor outcomes and behavioural problems
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13 in infancy [6]. No clinical studies have investigated the impact of dexmedetomidine on
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15 neurological outcomes or neurodevelopment in preterm or term-born infants.
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19 **Pharmacological studies on dexmedetomidine in newborn infants**

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22 The evaluation of pharmacokinetics (PK), pharmacodynamics (PD), efficacy and safety of
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24 drugs in vulnerable newborn infants is challenging [24]. Dexmedetomidine is metabolised via
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26 glucuronidation, hydroxylation (via CYP2A6), and N-methylation and primarily eliminated via
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28 the urine [25]. As these pathways, particularly glucuronidation, are immature in the first year
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30 after birth, dexmedetomidine clearance may be decreased but there is a lack of PK-PD
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32 studies in preterm infants [17].
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36 The therapeutic window for dexmedetomidine in older children is 0.4 to 0.8 mcg/L (optimum
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38 level around 0.6 mcg/L) [26] with a safety measure of maintaining plasma concentrations
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40 below 1mcg/L and is assumed to be the same in neonatal studies [24]. The clinical
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42 applicability of these serum concentrations is not yet determined and surrogate markers of
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44 efficacy such as pain and sedation scores are used to determine clinical utility and titrate
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46 dosing [27], The drug is available as dexmedetomidine hydrochloride (100 mcg/mL or
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48 4mcg/ml) [28], usually delivered in a concentration of 0.5 to 1 mcg/ml as a loading dose (LD)
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50 over 10-20 min and maintenance dose (MD) as continuous infusion using rate-controlled
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52 syringe pumps. In a phase II/III open-label, safety, efficacy, and PK trial including 11 centres,
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54 Chrysostomou et al., investigated three escalating dose regimens (LD followed by MD) of
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56 dexmedetomidine mechanically ventilated 28-44 weeks' GA infants [29]. In 42 infants (18
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58 preterm and 24 term-born), they found that additional sedation (midazolam) was required
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3 only in 4 infants (all term-born) while additional analgesia (fentanyl or morphine) was
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5 required in 17 infants (3 preterms, and 14 term-born). Using the Neonatal Pain and Sedation
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7 Scale (N-PASS), they found that at all three dose levels, infants had signs of pain or
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9 agitation (N-PASS score >3) at 5% time-points only. Preterm infants, as compared to term
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11 infants, had lower weight-adjusted plasma clearance (0.3 vs. 0.9 L/h/Kg) and increased
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13 elimination half-life (7.6 vs. 3.2 h). Van Dijkman et al., performed a dose-finding study of
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15 dexmedetomidine in mechanically ventilated newborn infants who received
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17 dexmedetomidine infusion over 24-hours. Initial dosing (0.3 mcg/kg/h) was determined by
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19 extrapolation of previously published PK of dexmedetomidine in older children and was
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21 subsequently increased to 0.4 mcg/kg/h in the follow-up phase due to higher clearance of
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23 the drug. Additional sedation was required in 83% of the participants as indicated by
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25 Comfort-neo scores. In 7 term infants with HIE and therapeutic hypothermia (TH), McAdams
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27 et al., found that dexmedetomidine clearance was either comparable or lower but volume of
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29 distribution was larger compared to estimates in normothermic infants [30]. They
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31 recommended doses up to 0.4 mcg/kg/h and that a LD may be needed to achieve
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33 therapeutic concentration without a lag. Dose regimens used in published clinical studies of
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35 preterm infants and available neonatal formularies are given in Table 5.
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40 **Clinical reports of the use of dexmedetomidine in preterm infants**

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43 Case reports of dexmedetomidine use to sedate a difficult to ventilate 24 weeks' gestational
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45 age (GA) infant [16] and others reporting adverse effects [31,32] were followed by larger
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47 single centre studies. Use has been reported in extremely preterm infants in the first weeks
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49 after birth [16,27], in preterm infants at later postmenstrual ages (PMA) and term-born
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51 infants , after surgical procedures [34,35], and in infants undergoing therapeutic
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53 hypothermia (TH) for hypoxic ischaemic encephalopathy (HIE) [19,37,38]. It is also used for
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55 sedation during procedures such as magnetic resonance imaging [39,40]. In an update of
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57 medication prescribing patterns in the US neonatal intensive care units, Stark et al., reported
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59 that between 2010 and 2018, dexmedetomidine was ninth in the list of drugs that had the
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3 greatest relative increase in use and had become the 90th most frequently prescribed
4 medication [41] although its use was not reported, at least until 2017, in neonatal care in the
5 UK [13].
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10 Dexmedetomidine is used for sedation and analgesia on its own or in combination with other
11 agents with the aim of reducing cumulative exposure to opioids and benzodiazepines.
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13 Comparing 48 infants with a mean GA of 25 weeks who received either fentanyl or
14 dexmedetomidine, O'Mara et al., found that the dexmedetomidine group required less
15 adjunctive sedation [28]. Comparing 39 infants who received dexmedetomidine, (including
16 28 who concomitantly received opioid infusion) with 39 matched controls who only received
17 opioids, Sellas et al., found that there was no difference in the duration of use or the number
18 of doses of opioids but the total dose of opioids received was lower in the group that
19 received dexmedetomidine [34]. With an aim to reduce benzodiazepine exposure in infants
20 who were at least 35 weeks' PMA and needed sedation for mechanical ventilation, Morton et
21 al., implemented a quality improvement project with a sedation guideline recommending the
22 use of dexmedetomidine as initial agent or in addition to midazolam. They demonstrated
23 reduction in use of midazolam with fewer infants receiving midazolam, increased midazolam-
24 free days, and lower daily midazolam doses in those who received additional
25 dexmedetomidine without any increase in the mean duration of sedation episodes [36]. In 38
26 infants at a median PMA of 38.8 weeks, of whom 30 were post-surgical, Dersch-Mills et al.,
27 found that the opioid infusion was reduced within 24 hours of initiation of dexmedetomidine
28 in 12 of the 18 infants who were on concomitant opioids [35].
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49 As dexmedetomidine does not cause respiratory depression, it is postulated that its use with
50 no or reduced doses of opioids should enable quicker weaning off mechanical ventilation
51 and support extubation. O'Mara et al., found a significantly shorter duration of mechanical
52 ventilation in those who received dexmedetomidine compared to those on fentanyl only
53 (mean \pm SD: 14.4 \pm 7.3 vs. 28.4 \pm 9.9; $p < 0.0001$). They also reported that clinicians
54 extubated 19 of 28 infants who received dexmedetomidine while receiving infusion of the
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3 drug at the time of extubation . Due to the early extubation, fewer infants received
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5 dexamethasone (4 vs. 11) and there were fewer chest-X-rays performed (mean \pm SD: $28 \pm$
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7 8.8 vs. 49 ± 13.8) in the dexmedetomidine group compared to those who received fentanyl.
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10 The main concerns with dexmedetomidine use are bradycardia and hypotension. In the
11
12 general adult population, bradycardia is reported in 5 to 42% and hypotension in 24-56% of
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14 those who receive dexmedetomidine[42]. Chrysostomou et al., found, in 42 neonates, that
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16 the HR decreased by an average of $12\% \pm 9\%$ and systolic BP decreased by $14\% \pm 12\%$ at
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18 6-7 hours of starting the dexmedetomidine infusion [30]. Although mostly reported to be not
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20 of clinical significance, dexmedetomidine can induce reduction in HR and BP. Dersch-Mills
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22 et al., analysed adverse effects in 38 infants, 36 of whom were also receiving opioid infusion
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24 and found that 25 had at least one episode of bradycardia (drop of >20 bpm from baseline
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26 lasting for >2 hours) including 7 who had a drop of >40 bpm. Hypotension occurred in 15
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28 infants. Dopamine was used concurrently in 17 infants of which 5 were started on dopamine
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30 after the initiation of dexmedetomidine. No infants had dexmedetomidine infusion
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32 discontinued due to adverse effects [35]. Similarly, Sellas et al., found that 5 of 39 infants
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34 who received dexmedetomidine experienced episodes of bradycardia as compared to 2 of
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36 39 in the no-dexmedetomidine group although all episodes were self-limiting and did not
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38 require any intervention [34]. O'Mara et al., measured BP and HR hourly and did not see any
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40 appreciable effect on either in those on dexmedetomidine compared to the infants on
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42 fentanyl [28] and Morton et al., reported that following their initiative to increase use of
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44 dexmedetomidine in mechanically ventilated infants, one infant had dexmedetomidine
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46 discontinued due to bradycardia without haemodynamic compromise however discontinuing
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48 the drug did not resolve the bradycardia [36]. Estkowski et al., compared neonates in a
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50 Paediatric Intensive Care Unit with older infants and found that among the 28 neonates, 4
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52 experienced hypotension and 2 had bradycardia as compared to 30 and 55 of 99 infants
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54 who had hypotension and bradycardia, respectively. Three neonates required fluid bolus, 1
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3 had dopamine infusion started after dexmedetomidine and 4 had dexmedetomidine
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5 discontinued due to adverse events [26].
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8 There are no reports of additional adverse events due to dexmedetomidine interacting with
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10 other drugs when used in neonatal care however, polypharmacy is common in neonatal
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12 practice and dexmedetomidine can, potentially interact with other frequently used drugs such
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14 as phenobarbitone (additional central nervous system depression) and others such as, beta-
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16 blockers and diazoxide (worsening of bradycardia and hypotension) [29].
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19 20 **Conclusions and recommendations** 21

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23 Emerging clinical data suggest that dexmedetomidine may be an alternative to opioids and
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25 benzodiazepines for managing pain and sedation in mechanically ventilated newborn
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27 infants. It may help reduce the exposure to these agents and as it does not cause respiratory
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29 depression, it may facilitate quicker extubation. The potential neuroprotective effect on the
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31 immature brain could ease the concerns about the impact of pain and stress and that of
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33 opioids and benzodiazepines on the developing brain although other reports suggest that
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35 dexmedetomidine could also adversely impact the newborn brain. Despite the creeping
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37 acceptance of its use in this population, there are no robust data to demonstrate either the
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39 efficacy or the safety of this drug in preterm infants. The studies discussed above are
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41 observational and mostly retrospective chart reviews. It is encouraging to see robust PK-PD
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43 studies focussing on determining the optimal dose regimens [25]. The initial results
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45 demonstrating pharmacokinetic differences between term and preterm infants suggest that
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47 further population PK-PD studies are required. A Cochrane systematic review was
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49 registered in 2016 [43] but there are no completed RCTs or systematic reviews of use of
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51 dexmedetomidine in preterm infants. The increasing number of publications of observational
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53 studies and use in clinical practice as seen by the availability of neonatal drug formularies for
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55 its use demonstrates evolving practice that dexmedetomidine is being used in newborn
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57 infants in neonatal units. The data on safety and efficacy are scant and not of high-quality
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3 and there are no data on long term neurodevelopmental impact on preterm or term-born
4 infants. We found one registered pilot RCT [21] in term infants undergoing TH for HIE and
5 one investigating the use of bolus dose for supporting less invasive surfactant administration
6 in preterm infants (Table 6).
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12 The acceptance of dexmedetomidine in routine clinical practice must be preceded by clinical
13 evidence. While there is a suggestion that dexmedetomidine may be the agent that could
14 provide analgesia and sedation with minimal adverse impacts, the available data are not
15 sufficient to ensure that it is safer than the alternatives used in current practice [44]. Although
16 all currently used sedatives and analgesics have adverse impact on the preterm brain, new
17 agents must be investigated thoroughly before being accepted as standard practice. We
18 need adequately powered and well-designed randomised controlled trials investigating
19 whether dexmedetomidine alone or in conjunction with opioids/benzodiazepines in preterm
20 infants who require analgesia and sedation during mechanical ventilation reduces the need
21 for opioids/benzodiazepine and improves neurodevelopment at 24 months and later as
22 compared to the use of opioids/benzodiazepines alone.
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Table 1. Summary of clinical studies of dexmedetomidine in newborn infants: studies with a comparator group that did not receive any dexmedetomidine

Randomised controlled trials or quasi-randomised controlled trials - NONE					
Observational studies with comparator with no dexmedetomidine					
Reference Setting	Study design	Inclusion criteria	Dexmedetomidine group	Comparator	Outcomes Notes
O'Mara 2012 [28] USA Single centre	Retrospective case-control Jan 2005-May 2010	<36 weeks' GA at birth, < 2 weeks of life at study entry AND receiving mechanical ventilation	N= 24 GA: 25.5.±.1.7 BW: 832.±.204.2g	Fentanyl N=24 GA: 24.9.±.1.6 BW: 675.±.164.2	Dexmedetomidine group had <ul style="list-style-type: none"> - Shorter duration of treatment (12.5 vs. 20d) - Similar number of sedative boluses per day (1.7 vs. 3) but in total less total additional sedation - More number of days free of additional sedation - Reduction in number of days on mechanical ventilation (14.4(7.3) vs. 28.4(9.9)) - Better GI function in including earlier establishment of full feeds - Less late onset sepsis
Sellas 2019 [34] USA Single centre	Retrospective cohort study with matched controls Jan 2010 to Aug 2015	Invasive surgical procedure Required at least 6h of continuous sedation and/or analgesia	Received dexmedetomidine in addition to opioids N=39 GA: 37 (29-39) PMA at surgery:39 (29-59) weeks	Opioids only (morphine or fentanyl) N= 39 GA: 37 (27-38) PMA at surgery: 37 (27-41)	28/39 (72%) of those who received dexmedetomidine also received opioids Dexmedetomidine group had <ul style="list-style-type: none"> - More episodes of bradycardia (12.8% vs 5.1%; p = 0.01) - No difference in episodes of hypotension or respiratory depression. - Lower cumulative dose of opioids (1155 mcg/kg vs 1841 mcg/kg; p = 0.01)

					- No difference in the number of supplemental doses of opioids
Morton 2021 [36] USA Single centre	QI initiative to reduce benzodiazepine exposure during Pre-QI: Jan 2015 to Feb 2018 Post-QI: Mar 2018 to Dec 2019	At least 35 weeks' PMA <14 d of sedation Sedation needed for mechanical ventilation	Guideline and QI initiative to promote use of escalating dose of dexmedetomidine	Midazolam and no specific guideline for dexmedetomidine use	Proportion of infants receiving any dexmedetomidine increased from 18% to 49% Infants receiving only dexmedetomidine increased from 3% to 33% Infants receiving any midazolam decreased from 95% to 65% Infants who received dexmedetomidine received less daily midazolam (1.3 mg/kg/d vs. 2.2 mg/kg/d) Increase in midazolam free days No difference in mean duration of sedation episodes

Table 2. Summary of clinical studies of dexmedetomidine in newborn infants: studies without a comparator group that did not receive any dexmedetomidine

Study ID Setting	Study design	Inclusion criteria	Intervention	Outcomes
Chrysostomou 2014 [30] USA 11 centres	phase II/III, open-label, multicentre safety, efficacy, and PK trial	intubated and mechanically ventilated neonates gestational age ≥ 28 to ≤ 44 weeks	Dosing: 3 phases level 1: loading dose (LD), 0.05 mg/kg; maintenance dose (MD), 0.05 mg/kg/h level 2: LD, 0.1 mg/kg; MD, 0.1 mg/kg/h	Need for additional sedation: no preterms; 4 term (midazolam) Need for additional analgesia: 17 (40%) – 3 preterms (fentanyl); 14 term (fentanyl and/or morphine)

		anticipated to require a minimum of 6 hours of continuous IV sedation excluded <1kg infants	level 3: LD, 0.2 mg/kg; MD, 0.2 mg/kg/h. N= 42 (18 preterm; 24 term) N-PASS tool for need of sedation and analgesia	N-PASS scores: 5% >+3 in pain; 77% between -5 and +3 (adequate) and 18% ≤-6 deep sedation HR decreased by an average of 12%±9% at 7.7±7.3 h, and systolic BP decreased by 14%±12% at 6.5±7 h Other adverse effects: 26 (62%) patients (11 preterm and 15 term) – diastolic hypotension, hypertension, agitation, respiratory acidosis. No discontinuation, no significant lab or ECG abnormalities
Estkowski 2015 [26] USA PICU Single centre	Retrospective chart review Oct 2007 to Aug 2012	at least 37 weeks PMA	Compared neonates (up to 28 days of CGA) to infants (28d CGA to 1y) Neonates: N= 28 (13 born preterm) Infants: N= 99	Neonates as compared to infants had: <ul style="list-style-type: none">- Similar minimum infusion rates- Lower median maximum dosage: 0.4 mcg/kg/hr (IQR, 0.26-0.6) vs. 0.6 mcg/kg/hr (IQR, 0.4–0.8) (p < 0.01)- Similar duration of use: 41 (17-76) hr- Fewer had any episode of bradycardia: 2/28 [7%] vs. 55/99 [56%] (p < 0.01)- Similar incidence of hypotension: 4/28 (14%) vs. 30/99 (30%) (p = 0.15) In neonates: 3/28 (11%) received a fluid bolus 4/28 (14%) dexmedetomidine discontinued due to cardiovascular adverse effects In infants: 3/99 discontinued infusion due to bradycardia 75/99 (76%) had additional sedative agents
Dersch-Mills 2019 [35] Canada Single centre (out-born NICU)	Retrospective observational study Dec 2014 to Dec 2016	Received dexmedetomidine for ≥3 h	N=38 (30 for surgery) GA: 37.1 (30.7-38.3) 17 preterms; 41 (6-74) days old BW: 2605 (1721-3212)	32/38, at least one adverse effect <ul style="list-style-type: none">- 15, hypotension- 25 bradycardia (drop of ≥20bpm from baseline)- 7 severe bradycardia (drop of ≥40 bpm from baseline)

			used for mean (range): 11.1(0.13 to 58) days most (36/38) received concomitant opioid infusion, mostly fentanyl	- No dose adjustment or discontinuation for any adverse effect Withdrawal: 22/38 had tachycardia and increase NAS scores
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Table 3. Summary of case reports of dexmedetomidine in newborn infants

Reference Setting	Case description	outcomes Notes
Finkel 2007 [32] USA	2 day old, 3 kg female Repair of bladder exstrophy Received dexmedetomidine infusion	Hypothermia and bradycardia – at 0.4mcg/kg/h dose reduced and maintained on 0.2 mcg/kg/h without further adverse events
O'Mara 2009 [16] USA	24 week GA, 9d old infant on high frequency oscillatory ventilation. Was on fentanyl and lorazepam Started at 0.5mcg/kg over 10 min followed by 0.25c/kg/h increased by 0.05-0.1mcg/kg/h every 12 h if needed. Max rate of 0.7mcg/kg/h for 19 days.	Calmed and remained sedated Allowed weaning of ventilation and extubation
Kubota 2012 [33] Japan	Term infant - ventilated	EEG confirmed seizures, stopped 12h after discontinuation of dexmedetomidine infusion without any antiepileptic medication Normal development

Table 4. Clinical studies of use of dexmedetomidine in infants undergoing therapeutic hypothermia for HIE

Study ID Setting	Study design	Inclusion criteria	Dexmedetomidine group	Comparator	Outcomes Notes

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<p>O'Mara 2018 [38] USA Single centre</p>	<p>Retrospective cohort study Jul 2013 to Oct 2016</p>	<p>HIE requiring TH Received dexmedetomidine for 48 h</p>	<p>N= 19 2, dexmedetomidine only; 17, combination with fentanyl</p>	<p>No comparator</p>	<p>Fentanyl weaned down in 13/17 after starting dexmedetomidine 10 infants required inotropes. Initiation of dexmedetomidine did not affect HR, or BP. One infant had bradycardia – resolved with discontinuation of fentanyl Clinical outcomes: 1 died; 8 had seizures</p>
<p>Cosnahan 2021 [19] USA single centre</p>	<p>Retrospective cohort study Jan 2018 to Apr 2020</p>	<p>HIE requiring TH (NICHD criteria)</p>	<p>Mar 2019-Apr2020 N= 34 Continuous dexmedetomidine 0.3 mcg/kg/h with increments of 0.1 mcg/kg/hr based on pain scores and breakthrough morphine dose requirements Maximum: 2 mcg/kg/hr</p>	<p>Jan 2018-Mar 2019 N= 36 Intermittent morphine 0.1 mg/kg every 4h</p>	<p>No difference in N-PASS scores Dexmedetomidine group had: - Higher breakthrough morphine requirement: 0.13 (0.13) vs 0.04 (0.09) mg/kg, p = 0.001 - Lower total morphine requirement: 0.13 (0.13) vs 1.79 (0.23) mg/kg, p < 0.0001 - Reduced respiratory support requirement - Similar outcomes for feeding, EEG and MRI scan All HR measurement in normal range - morphine group had higher HR and BP at some timepoints</p>
<p>Elliot 2022 [37] abstract only USA Single centre</p>	<p>Retrospective cohort 2011-2019</p>	<p>Dexmedetomidine and/or fentanyl</p>	<p>Dexmedetomidine = 46 (14 monotherapy; 32 in combination)</p>	<p>Fentanyl = 120</p>	<p>Dexmedetomidine group had lower HR: 91 ± 9 vs. 103 ± 11 bpm Dexmedetomidine was reduced or discontinued in 22 (48%) due to inadequate sedation with low HR</p>

Table 5. Dose of dexmedetomidine in newborn infants

Study ID		Bolus at start of infusion	Infusion			
			Starting dose	Increments	Range / maximum	Weaning
O'Mara 2012 [28]		0.5 mcg/kg	0.3 mcg/kg/h	0.1 mcg/kg/hr twice daily if need for >3 doses of adjunct sedation	0.3-1.2 mcg/kg/h	0.1mcg/kg/h every 12 -24 hours
Morton 2021 [36]		-	0.5 mcg/kg	0.1 to 0.2 mcg/kg/h not more frequently than every 30 min	2 mcg/kg/h	No specific regimen given
Estkowski 2015 [26]		-				
Dersch-Mills 2019 [35]		-	0.2-0.3 mcg/kg/hr	0.1 mcg/kg/h based on pain and sedation scores	0.5 mcg/kg/h	No regimen specified – weaned over median of 79 hrs (IQR, 35-175). 10 infants needed clonidine (9 had dexmedetomidine for >10d)
Australasian Neonatal Medicines Formulary [45]	<37 weeks' GA	0.2 mcg/kg	0.2 mcg/kg/h	0.1 to 0.2 mcg/kg/h every 30 min	1 mcg/kg/h	0.1 mcg/kg/h every 30 min OR 0.2 mcg/kg/h every 8 h
	Term infant ≤ 14 d	0.35 mcg/kg	0.3 mcg/kg/h		1.2 mcg/kg/h	
	Term infant > 14 d	0.5 mcg/kg	0.5 to 0.75 mcg/kg/h		1.5 mcg/kg/h	
Neonatal Drug Dosing Guideline, IWK Health Centre (personal communication)		0.5 to 1 mcg/kg “not usually required”	0.1 to 0.3 mcg/kg/h	0.1 cmg/kg/h every 1 to 2 h	1 mcg/kg/h	0.1 mcg/kg/h every 12 to 24 h as tolerated

Table 6. Registered clinical trials

Study ID	Study design	Eligibility	Intervention	Comparator	Outcomes
Dexmedetomidine for LISA Procedure in preterm infants (DEXLISA) NCT04820101	Single group assignment Open label Sample size = 40	Inclusion Criteria: - GA 26-36 weeks' - Respiratory distress syndrome requiring surfactant therapy Exclusion Criteria: - Need for emergency intubation in the delivery room - Major congenital malformations or chromosomal anomaly abnormalities - Fetal Hydrops - Hypercapnia - Pneumothorax - Hemodynamic compromise	Dexmedetomidine 1 mcg/kg slowly IV over 10 minutes	None	Change in Neonatal Infants Pain Scale score before, during and immediately after the procedure In 24 h, - Number of apnoea - Number of severe apnoea - Need for ventilation
Dexmedetomidine use in infants undergoing cooling due to neonatal encephalopathy (DICE) Trial NCT04772222 [46]	Randomised controlled trial Parallel 1:1 allocation Open label Sample size = 50	-≥36 weeks' GA with HIE treated with TH. -requiring sedation and/or treatment to prevent shivering during TH as assessed by the Neonatal Pain, Agitation, and Sedation Scale (N-PASS) scores and a	Dexmedetomidine - Loading dose of 1 mcg/kg followed by 0.1 to 0.5 mcg/kg/h continuous infusion	Morphine - Intermittent dosing every 3-4 h of 0.02-0.05 mg/kg/dose or continuous infusion of 0.005-0.01 mg/kg/h	Safety – potential adverse events such as hypotension, hypertension, bradycardia, cardiac arrhythmias, hypothermia, acute renal failure, liver failure, seizures Shivering

		modified Bedside Shivering Assessment Scale.			Days to full oral feeding Mother assessment at 7d, 3-4 mo Neurological assessment at 3-4 mo and 6-9 mo
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4 **Title: Sedation and analgesia from prolonged pain and stress during mechanical**
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Patient and Public Involvement statement

How was the development of the research question and outcome measures informed by patients' priorities, experience, and preferences?

- N/A

How did you involve patients in the design of this study?

- N/A

Were patients involved in the recruitment to and conduct of the study?

- There was no direct patient involvement.

How will the results be disseminated to study participants?

- The results of the study will be communicated via Bliss, UK's largest charity for sick and preterm infants, and via social media accounts of the authors and their institutions.

For randomised controlled trials, was the burden of the intervention assessed by patients themselves?

- N/A

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Contributors' statement

SO and JD conceived the piece. SO led the write up. JA conducted the literature search and completed the study summaries. SO, JD, and JA revised and approved the final manuscript.

All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

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Abstract (292/300 words)

Mechanical ventilation is an uncomfortable and potentially painful intervention. Opioids, such as morphine and fentanyl are used for analgesia and sedation but there is uncertainty whether they reduce pain in mechanically ventilated infants. Moreover, there may be short and long-term adverse consequences such as respiratory depression leading to prolonged mechanical ventilation and detrimental long-term neurodevelopmental effects. Despite this, opioids are widely used, possibly due to a lack of alternatives.

Dexmedetomidine, a highly selective alpha-2-adrenergic agonist with analgesic and sedative effects, currently approved for adults, has come into use in newborn infants. It provides analgesia and simulates natural sleep with maintenance of spontaneous breathing and upper airway tone. Although data on pharmacokinetics-pharmacodynamics in preterm infants are scant, observational studies report that using dexmedetomidine in conjunction with opioids/benzodiazepines or on its own can reduce the cumulative exposure to opioids/benzodiazepines. As it does not cause respiratory depression, dexmedetomidine could enable quicker weaning and extubation. Dexmedetomidine has also been suggested as an adjunct to therapeutic hypothermia in hypoxic ischaemic encephalopathy and others have used it during painful procedures and surgery. Dexmedetomidine infusion can cause bradycardia and hypotension although most report clinically insignificant effects.

The increasing number of publications of observational studies and clinical use demonstrates that dexmedetomidine is being used in newborn infants but data on safety and efficacy are scant and not of high-quality. Importantly, there are no data on long term neurodevelopmental impact on preterm or term-born infants. The acceptance of dexmedetomidine in routine clinical practice must be preceded by clinical evidence. We need adequately powered and well-designed randomised controlled trials investigating whether dexmedetomidine alone or with opioids/benzodiazepines in infants on mechanical

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3 ventilation reduces the need for opioids/benzodiazepine and improves neurodevelopment at
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5 24 months and later as compared to the use of opioids/benzodiazepines alone.
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Confidential: For Review Only

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3 **Key-words:** infant, preterm; dexmedetomidine, mechanical ventilation
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9 **Abbreviations:** BP, blood pressure; GA, gestational age; HIE, hypoxic-ischaemic
10 encephalopathy; HR, heart rate; IQR, inter-quartile range; IVH, intraventricular haemorrhage;
11 LD, loading dose; MD, maintenance dose; NEC, necrotising enterocolitis; NICU, neonatal
12 intensive care unit; PD, pharmacodynamics; PK, pharmacokinetics; PMA, post-menstrual
13 age; TH, therapeutic hypothermia
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Key Messages

- Mechanical ventilation is a potentially painful intervention. Opioids and benzodiazepines are widely used to provide analgesia and sedation in mechanically ventilated infants.
- Evidence suggests that opioids may be ineffective analgesics and there may be adverse consequences such as respiratory depression and detrimental long-term neurodevelopmental effects.
- Dexmedetomidine, a highly selective alpha-2-adrenergic agonist provides analgesia and simulates natural sleep with maintenance of spontaneous breathing and upper airway tone.
- Emerging observational studies suggest that dexmedetomidine use in mechanically ventilated infants may reduce exposure to opioids/benzodiazepines and enable quicker weaning and extubation.
- ~~D~~However, data on safety and efficacy are scant. Adequately powered randomised controlled trials investigating use of dexmedetomidine in preterm infants are required.

Introduction

Mechanical ventilation via a tracheal tube is widely used for respiratory support in preterm infants. In England and Wales, despite the increase in use of non-invasive respiratory support, 57% of very preterm infants were mechanically ventilated on the day of birth and many others require ventilatory support later in the course of their care [1]. This included 91% of extremely preterm infants and the increasing survival of those born at these gestations could lead to further increases in the use of mechanical ventilation in neonatal care.

It is now well accepted that preterm infants perceive pain. Mechanical ventilation is an uncomfortable and potentially painful intervention. Ventilated infants are, often, also subjected to multiple painful procedures and experience pain caused by underlying diseases such as necrotising enterocolitis (NEC) [2,3]. Pain and stress cause cardiorespiratory instability including interference with the ventilator synchronising with the infant's breathing efforts that lead to suboptimal ventilation, fluctuations in heart rate (HR), respiratory rate, blood pressure (BP), and oxygen saturation with complications such as intraventricular haemorrhages (IVH) and metabolic instability [4].

Pain in preterm infants

Preterm infants have enhanced hormonal and physiological responses to pain. In 1987, Anand et al., showed that preterm infants undergoing patent ductus arteriosus surgery who did not receive fentanyl had a significantly higher hormonal stress response compared to those who received fentanyl [5]. More recently, magnetic resonance imaging studies identified that exposure to pain and stress in neonatal care alters corticospinal tract, and subcortical white matter development with further evidence of reduced volume of the somatosensory thalamus and changes in the cortex, limbic system and basal ganglia, and the white matter that persist into school age [6].

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3 Management of pain and agitation is fundamental to good intensive care, yet neonatal pain
4 is inconsistently assessed and inadequately managed [3]. A prospective cohort study of 243
5 European neonatal intensive care units (NICUs), the European Pain Audit in Neonates
6 (EUROPAIN), reported 34% of admissions, including 82% of those who were mechanically
7 ventilated, received some sedation or analgesia [7]. A retrospective cohort study from 348
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Pediatrics Medical Group NICUs in the USA found that infants received a sedative, analgesic or paralytic only on 33% of days that they were mechanically ventilated [8].

Although optimal management of pain and sedation during mechanical ventilation is as yet undiscovered, selective use of continuous infusion of opioids is recommended for infants who are mechanically ventilated for prolonged periods [3,9]. These recommendations stand despite the 2021 update of the Cochrane review that concluded that there is uncertainty whether opioids have any effect on reducing pain in mechanically ventilated infants [2]. In addition, there may be short and long-term adverse consequences of morphine infusion in preterm infants such as respiratory depression leading to prolonged duration of mechanical ventilation and detrimental long-term neurodevelopmental effects. The Cochrane systematic review [2] includes one trial that reported neurodevelopment at 18-24 months: Ancora 2013 [10] (RR 2.00, 95% CI 0.39 to 10.29; 78 participants); and one study that reported neurodevelopment outcomes at 5-6 years of age [11]: MacGregor 1998 (RR 1.60, 95% CI 0.56 to 4.56; 95 participants) and concluded the evidence was uncertain. Puia-Dumitrescu et al., [12], performed a post-hoc analysis of infants who participated in the Preterm Erythropoietin Neuroprotection Trial to investigate the effect of ~~exposer~~exposure to opioids and benzodiazepines on development at 22-26 months' corrected age. Of the 936 extremely preterm infants 481 (51%) received opioids; 297 (32%) received both opioids and benzodiazepines; and 20 (2%) received benzodiazepines only. Infants exposed to both groups of drugs had more co-morbidities compared to those exposed to only one or none with higher adjusted odds of IVH, NEC, severe bronchopulmonary dysplasia, and retinopathy of prematurity, and longer length of hospital stay. Outcomes at 2-years were

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3 negatively associated with the combined use of opioids and benzodiazepines even after
4 adjusting for potential confounders. The lowest scores were noted among the infants who
5 received the drugs for more than 7 days (median (IQR) score of 85 (73-97)) compared to 97
6 (91-107) in infants with no exposure. However, this was not a randomised allocation and the
7 higher prevalence of multiple co-morbidities in the group that received drugs makes it difficult
8 to interpret the results.
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16 Despite these uncertainties, opioids are the most popular choice for management of pain
17 and sedation in mechanically ventilated infants. Of the 2142 mechanically ventilated infants
18 included in the EUROPAIN audit, morphine and fentanyl were given to 923 (43%) and 639
19 (29%) infants, respectively, while 536 (25%) received midazolam [7]. Zimmerman et al.
20 similarly reported that in 433,587 ventilated-days, fentanyl (17%), midazolam (14%) and
21 morphine (9%) were the most frequently administered drugs [8]. They also reported increase
22 in administration of opioids during mechanical ventilation from 5% of ventilated days in 1997
23 to 32% in 2012. In the UK, the percentage of very preterm infants who receive intravenous
24 morphine increased from 32% in 2010 to 37% in 2017 [13].
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36 **Dexmedetomidine: an alternative agent for pain and sedation in newborn infants**

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38 This high use of opioids despite the uncertainty about benefit and harm is possibly due to a
39 lack of alternative agents that could provide adequate and safe sedation and analgesia in
40 newborn infants. Recently, dexmedetomidine, a highly selective alpha-2-adrenergic agonist
41 (16290:1::α2:α1 specificity) with sedative and analgesic effects has come into use in
42 newborn infants receiving mechanical ventilation [14][14]. Several recent studies have
43 explored the off-label use of dexmedetomidine in newborn infants for sedation and analgesia
44 in NICU settings. A summary of the studies is given in Tables 1-4.
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56 Dexmedetomidine, first approved by the USA Food and Drug Administration in 1999 is
57 similarly authorised by the European Medicine Agency [15] for use for sedation in adult
58 intensive care unit patients requiring sedation level no deeper than arousal in response to
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3 verbal stimulation and for sedation of non-intubated patients ~~prior to~~before and/or during
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5 diagnostic or surgical procedures requiring sedation. It provides analgesia via inhibition of
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7 substance P release from the dorsal horns of the spinal cord while the anxiolysis and
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9 sedation are believed to be due to the reduction in sympathetic outflow from the locus
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11 coeruleus [16]. It stimulates the natural sleep pathways and induces activity similar to non-
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13 REM sleep in adults and children [17]. The effects on respiration and airway also mimic
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15 natural sleep and therefore maintain spontaneous breathing and upper airway tone [17].
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19 Although there are no data to examine the early exposure to dexmedetomidine and long-
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21 term neurocognitive function in children, animal studies suggest that dexmedetomidine may
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23 have some neuroprotective effects including cell-protective effects under ischemic conditions
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25 and after traumatic brain injury [18]. In rodents, cortical and white matter loss after hypoxic-
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27 ischaemic injury may be prevented by dexmedetomidine [19]. In another rat model, brain
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29 tissue and cell loss induced by hypoxia-ischaemia were attenuated by dexmedetomidine
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31 post-conditioning, an effect that was inhibited by α 2-adrenergic antagonists suggesting that
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33 the protective effect was mediated by α 2-adrenergic receptor activation [20]. However, when
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35 combined with hypothermia, Ezzati et al., found that dexmedetomidine was associated with
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37 adverse cardiovascular events and was neurotoxic following hypoxia-ischaemia [21]. In a
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39 model comparing the effect of ketamine to that of dexmedetomidine on brain cell
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41 degeneration and apoptosis in postnatal day-7 rats, dexmedetomidine produced significant
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43 damage in the primary sensory regions which was different to the limbic injury induced by
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45 ketamine [22]. In ten mechanically ventilated very low birth weight infants born at 25 to 30
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47 weeks' gestational age who received dexmedetomidine infusion (2 to 30 days of postnatal
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49 age), Cortes-Ledesma et al., found that after 6 hours of dexmedetomidine infusion (0.1 to
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51 0.4 mcg/kg/min), brain regional oxygen saturation decreased and fractional oxygen tissue
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53 extraction increased without any change in heart rate, mean blood pressure, oxygen
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55 saturation, or haemoglobin while amplitude integrated EEG showed a prolongation of inter-
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57 burst interval [23]. As the decrease in brain oxygen saturation could not be related to
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3 increased brain activity, it suggested a vasoconstrictor effect on cerebral vessels [23].
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5 Currently used sedative and analgesics also adversely impact brain development e.g.,
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7 exposure to midazolam is associated with macro- and microstructural alterations in
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9 hippocampal development and lower cognitive scores [24] while opioid use is linked to
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11 reduced cerebellar volume, poorer cognitive and motor outcomes and behavioural problems
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13 in infancy [6]. No clinical studies have investigated the impact of dexmedetomidine on
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15 neurological outcomes or neurodevelopment in preterm or term-born infants.
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18 19 **Pharmacological studies on dexmedetomidine in newborn infants**

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22 The evaluation of pharmacokinetics (PK), pharmacodynamics (PD), efficacy and safety of
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24 drugs in vulnerable newborn infants is challenging [24]. Dexmedetomidine is metabolised via
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26 glucuronidation, hydroxylation (via CYP2A6), and N-methylation and primarily eliminated via
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28 the urine [25]. As these pathways, particularly glucuronidation, are immature in the first year
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30 after birth, dexmedetomidine clearance may be decreased but there is a lack of PK-PD
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32 studies in preterm infants [17].
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36 The therapeutic window for dexmedetomidine in older children is 0.4 to 0.8 mcg/L (optimum
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38 level around 0.6 mcg/L) [26] with a safety measure of maintaining plasma concentrations
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40 below 1mcg/L and is assumed to be the same in neonatal studies [24]. The clinical
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42 applicability of these serum concentrations is not yet determined and surrogate markers of
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44 efficacy such as pain and sedation scores are used to determine clinical utility and titrate
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46 dosing [27]. The drug is available as dexmedetomidine hydrochloride (100 mcg/mL or
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48 4mcg/ml) [28], usually delivered in a concentration of 0.5 to 1 mcg/ml as a loading dose (LD)
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50 over 10-20 min and maintenance dose (MD) as continuous infusion using rate-controlled
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52 syringe pumps. In a phase II/III open-label, safety, efficacy, and PK trial including 11 centres,
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54 Chrysostomou et al., investigated three escalating dose regimens (LD followed by MD) of
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56 dexmedetomidine mechanically ventilated 28-44 weeks' GA infants [29]. In 42 infants (18
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58 preterm and 24 term-born), they found that additional sedation (midazolam) was required
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3 only in 4 infants (all term-born) while additional analgesia (fentanyl or morphine) was
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5 required in 17 infants (3 preterms, and 14 term-born). Using the Neonatal Pain and Sedation
6
7 Scale (N-PASS), they found that at all three dose levels, infants had signs of pain or
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9 agitation (N-PASS score >3) at 5% time-points only. Preterm infants, as compared to term
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11 infants, had lower weight-adjusted plasma clearance (0.3 vs. 0.9 L/h/Kg) and increased
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13 elimination half-life (7.6 vs. 3.2 h). Van Dijkman et al., performed a dose-finding study of
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15 dexmedetomidine in mechanically ventilated newborn infants who received
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17 dexmedetomidine infusion over 24-hours. Initial dosing (0.3 mcg/kg/h) was determined by
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19 extrapolation of previously published PK of dexmedetomidine in older children and was
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21 subsequently increased to 0.4 mcg/kg/h in the follow-up phase due to higher clearance of
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23 the drug. Additional sedation was required in 83% of the participants as indicated by
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25 Comfort-neo scores. In 7 term infants with HIE and therapeutic hypothermia (TH), McAdams
26
27 et al., found that dexmedetomidine clearance was either comparable or lower but volume of
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29 distribution was larger compared to estimates in normothermic infants [30]. They
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31 recommended doses up to 0.4 mcg/kg/h and that a LD may be needed to achieve
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33 therapeutic concentration without a lag. Dose regimens used in published clinical studies of
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35 preterm infants and available neonatal formularies are given in Table 5.
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40 **Clinical reports of the use of dexmedetomidine in preterm infants**

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43 Case reports of dexmedetomidine use to sedate a difficult to ventilate 24 weeks' gestational
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45 age (GA) infant [16] and others reporting adverse effects [31,32] were followed by larger
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47 single centre studies. Use has been reported in extremely preterm infants in the first weeks
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49 after birth [16,27], in preterm infants at later postmenstrual ages (PMA) and term-born
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51 infants , after surgical procedures [34,35], and in infants undergoing therapeutic
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53 hypothermia (TH) for hypoxic ischaemic encephalopathy (HIE) [19,37,38]. It is also used for
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55 sedation during procedures such as magnetic resonance imaging [39,40]. In an update of
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57 medication prescribing patterns in the US neonatal intensive care units, Stark et al., reported
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59 that between 2010 and 2018, dexmedetomidine was ninth in the list of drugs that had the
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3 greatest relative increase in use and had become the 90th most frequently prescribed
4 medication [41] although its use was not reported, at least until 2017, in neonatal care in the
5 UK [13].
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10 Dexmedetomidine is used for sedation and analgesia on its own or in combination with other
11 agents with the aim of reducing cumulative exposure to opioids and benzodiazepines.

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13 Comparing 48 infants with a mean GA of 25 weeks who received either fentanyl or
14 dexmedetomidine, O'Mara et al., found that the dexmedetomidine group required less
15 adjunctive sedation [28]. Comparing 39 infants who received dexmedetomidine, (including
16 28 who concomitantly received opioid infusion) with 39 matched controls who only received
17 opioids, Sellas et al., found that there was no difference in the duration of use or the number
18 of doses of opioids but the total dose of opioids received was lower in the group that
19 received dexmedetomidine [34]. With an aim to reduce benzodiazepine exposure in infants
20 who were at least 35 weeks' PMA and needed sedation for mechanical ventilation, Morton et
21 al., implemented a quality improvement project with a sedation guideline recommending the
22 use of dexmedetomidine as initial agent or in addition to midazolam. They demonstrated
23 reduction in use of midazolam with fewer infants receiving midazolam, increased midazolam-
24 free days, and lower daily midazolam doses in those who received additional
25 dexmedetomidine without any increase in the mean duration of sedation episodes [36]. In 38
26 infants at a median PMA of 38.8 weeks, of whom 30 were post-surgical, Dersch-Mills et al.,
27 found that the opioid infusion was reduced within 24 hours of initiation of dexmedetomidine
28 in 12 of the 18 infants who were on concomitant opioids [35].
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49 As dexmedetomidine does not cause respiratory depression, it is postulated that its use with
50 no or reduced doses of opioids should enable quicker weaning off mechanical ventilation
51 and support extubation. O'Mara et al., found a significantly shorter duration of mechanical
52 ventilation in those who received dexmedetomidine compared to those on fentanyl only
53 (mean \pm SD: 14.4 \pm 7.3 vs. 28.4 \pm 9.9; $p < 0.0001$). They also reported that clinicians
54 extubated 19 of 28 infants who received dexmedetomidine while receiving infusion of the
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3 drug at the time of extubation . Due to the early extubation, fewer infants received
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5 dexamethasone (4 vs. 11) and there were fewer chest-X-rays performed (mean \pm SD: $28 \pm$
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7 8.8 vs. 49 ± 13.8) in the dexmedetomidine group compared to those who received fentanyl.
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10 The main concerns with dexmedetomidine use are bradycardia and hypotension. In the
11 general adult population, bradycardia is reported in 5 to 42% and hypotension in 24-56% of
12 those who receive dexmedetomidine[42]. Chrysostomou et al., found, in 42 neonates, that
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15 the HR decreased by an average of $12\% \pm 9\%$ and systolic BP decreased by $14\% \pm 12\%$ at
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17 6-7 hours of starting the dexmedetomidine infusion [30]. Although mostly reported to be not
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19 of clinical significance, dexmedetomidine can induce reduction in HR and BP. Dersch-Mills
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21 et al., analysed adverse effects in 38 infants, 36 of whom were also receiving opioid infusion
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23 and found that 25 had at least one episode of bradycardia (drop of >20 bpm from baseline
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25 lasting for >2 hours) including 7 who had a drop of >40 bpm. Hypotension occurred in 15
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27 infants. Dopamine was used concurrently in 17 infants of which 5 were started on dopamine
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29 after the initiation of dexmedetomidine. No infants had dexmedetomidine infusion
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31 discontinued due to adverse effects [35]. Similarly, Sellas et al., found that 5 of 39 infants
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33 who received dexmedetomidine experienced episodes of bradycardia as compared to 2 of
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35 39 in the no-dexmedetomidine group although all episodes were self-limiting and did not
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37 require any intervention [34]. O'Mara et al., measured BP and HR hourly and did not see any
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39 appreciable effect on either in those on dexmedetomidine compared to the infants on
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41 fentanyl [28] and Morton et al., reported that following their initiative to increase use of
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43 dexmedetomidine in mechanically ventilated infants, one infant had dexmedetomidine
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45 discontinued due to bradycardia without haemodynamic compromise however discontinuing
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47 the drug did not resolve the bradycardia [36]. Estkowski et al., compared neonates in a
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49 Paediatric Intensive Care Unit with older infants and found that among the 28 neonates, 4
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51 experienced hypotension and 2 had bradycardia as compared to 30 and 55 of 99 infants
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53 who had hypotension and bradycardia, respectively. Three neonates required fluid bolus, 1
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3 had dopamine infusion started after dexmedetomidine and 4 had dexmedetomidine
4 discontinued due to adverse events [26].
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8 There are no reports of additional adverse events due to dexmedetomidine interacting with
9 other drugs when used in neonatal care however, polypharmacy is common in neonatal
10 practice and dexmedetomidine can, potentially interact with other frequently used drugs such
11 as phenobarbitone (additional central nervous system depression) and others such as, beta-
12 blockers and diazoxide (worsening of bradycardia and hypotension) [29].
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20 **Conclusions and recommendations**

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23 Emerging clinical data suggest that dexmedetomidine may be an alternative to opioids and
24 benzodiazepines for managing pain and sedation in mechanically ventilated newborn
25 infants. It may help reduce the exposure to these agents and as it does not cause respiratory
26 depression, it may facilitate quicker extubation. The potential neuroprotective effect on the
27 immature brain could ease the concerns about the impact of pain and stress and that of
28 opioids and benzodiazepines on the developing brain although other reports suggest that
29 dexmedetomidine could also adversely impact the newborn brain. However, despite the
30 creeping acceptance of its use in this population, there are no robust data to demonstrate
31 either the efficacy or the safety of this drug in preterm infants. The studies discussed above
32 are observational and mostly retrospective chart reviews. It is encouraging to see robust PK-
33 PD studies focussing on determining the optimal dose regimens [25]. The initial results
34 demonstrating pharmacokinetic differences between term and preterm infants suggest that
35 further population PK-PD studies are required. And a Cochrane systematic review was
36 registered in 2016 [43] but there are no completed RCTs or systematic reviews of use of
37 dexmedetomidine in preterm infants. The increasing number of publications of observational
38 studies and use in clinical practice as seen by the availability of neonatal drug formularies for
39 its use demonstrates evolving practice that dexmedetomidine is being used in newborn
40 infants in neonatal units. The data on safety and efficacy are scant and not of high-quality
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3 and there are no data on long term neurodevelopmental impact on preterm or term-born
4 infants. We found one registered pilot RCT [21] in term infants undergoing TH for HIE and
5 one investigating the use of bolus dose for supporting less invasive surfactant administration
6 in preterm infants (Table 6).
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12 The acceptance of dexmedetomidine in routine clinical practice must be preceded by clinical
13 evidence. While there is a suggestion that dexmedetomidine may be the agent that could
14 provide analgesia and sedation with minimal adverse impacts, the available data are not
15 sufficient to ensure that it is safer than the alternatives used in current practice [44]. Although
16 all currently used sedatives and analgesics have adverse impact on the preterm brain, new
17 agents must be investigated thoroughly before being accepted as standard practice. We
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need adequately powered and well-designed randomised controlled trials investigating whether dexmedetomidine alone or in conjunction with opioids/benzodiazepines in preterm infants who require analgesia and sedation during mechanical ventilation reduces the need for opioids/benzodiazepine and improves neurodevelopment at 24 months and later as compared to the use of opioids/benzodiazepines alone.

Table 1. Summary of clinical studies of dexmedetomidine in newborn infants: studies with a comparator group that did not receive any dexmedetomidine

Randomised controlled trials or quasi-randomised controlled trials - NONE					
Observational studies with comparator with no dexmedetomidine					
Reference Setting	Study design	Inclusion criteria	Dexmedetomidine group	Comparator	Outcomes Notes
O'Mara 2012 [28] USA Single centre	Retrospective case-control Jan 2005-May 2010	<36 weeks' GA at birth, < 2 weeks of life at study entry AND receiving mechanical ventilation	N= 24 GA: 25.5.±.1.7 BW: 832.±.204.2g	Fentanyl N=24 GA: 24.9.±.1.6 BW: 675.±.164.2	Dexmedetomidine group had <ul style="list-style-type: none"> - Shorter duration of treatment (12.5 vs. 20d) - Similar number of sedative boluses per day (1.7 vs. 3) but in total less total additional sedation - More number of days free of additional sedation - Reduction in number of days on mechanical ventilation (14.4(7.3) vs. 28.4(9.9)) - Better GI function in including earlier establishment of full feeds - Less late onset sepsis
Sellas 2019 [34] USA Single centre	Retrospective cohort study with matched controls Jan 2010 to Aug 2015	Invasive surgical procedure Required at least 6h of continuous sedation and/or analgesia	Received dexmedetomidine in addition to opioids N=39 GA: 37 (29-39) PMA at surgery:39 (29-59) weeks	Opioids only (morphine or fentanyl) N= 39 GA: 37 (27-38) PMA at surgery: 37 (27-41)	28/39 (72%) of those who received dexmedetomidine also received opioids Dexmedetomidine group had <ul style="list-style-type: none"> - More episodes of bradycardia (12.8% vs 5.1%; p = 0.01) - No difference in episodes of hypotension or respiratory depression. - Lower cumulative dose of opioids (1155 mcg/kg vs 1841 mcg/kg; p = 0.01)

					- No difference in the number of supplemental doses of opioids
Morton 2021 [36] USA Single centre	QI initiative to reduce benzodiazepine exposure during Pre-QI: Jan 2015 to Feb 2018 Post-QI: Mar 2018 to Dec 2019	At least 35 weeks' PMA <14 d of sedation Sedation needed for mechanical ventilation	Guideline and QI initiative to promote use of escalating dose of dexmedetomidine	Midazolam and no specific guideline for dexmedetomidine use	Proportion of infants receiving any dexmedetomidine increased from 18% to 49% Infants receiving only dexmedetomidine increased from 3% to 33% Infants receiving any midazolam decreased from 95% to 65% Infants who received dexmedetomidine received less daily midazolam (1.3 mg/kg/d vs. 2.2 mg/kg/d) Increase in midazolam free days No difference in mean duration of sedation episodes

Table 2. Summary of clinical studies of dexmedetomidine in newborn infants: studies without a comparator group that did not receive any dexmedetomidine

Study ID Setting	Study design	Inclusion criteria	Intervention	Outcomes
Chrysostomou 2014 [30] USA 11 centres	phase II/III, open-label, multicentre safety, efficacy, and PK trial	intubated and mechanically ventilated neonates gestational age ≥28 to ≤44 weeks	Dosing: 3 phases level 1: loading dose (LD), 0.05 mg/kg; maintenance dose (MD), 0.05 mg/kg/h level 2: LD, 0.1 mg/kg; MD, 0.1 mg/kg/h	Need for additional sedation: no preterms; 4 term (midazolam) Need for additional analgesia: 17 (40%) – 3 preterms (fentanyl); 14 term (fentanyl and/or morphine)

		anticipated to require a minimum of 6 hours of continuous IV sedation excluded <1kg infants	level 3: LD, 0.2 mg/kg; MD, 0.2 mg/kg/h. N= 42 (18 preterm; 24 term) N-PASS tool for need of sedation and analgesia	N-PASS scores: 5% >+3 in pain; 77% between -5 and +3 (adequate) and 18% ≤-6 deep sedation HR decreased by an average of 12%±9% at 7.7±7.3 h, and systolic BP decreased by 14%±12% at 6.5±7 h Other adverse effects: 26 (62%) patients (11 preterm and 15 term) – diastolic hypotension, hypertension, agitation, respiratory acidosis. No discontinuation, no significant lab or ECG abnormalities
Estkowski 2015 [26] USA PICU Single centre	Retrospective chart review Oct 2007 to Aug 2012	at least 37 weeks PMA	Compared neonates (up to 28 days of CGA) to infants (28d CGA to 1y) Neonates: N= 28 (13 born preterm) Infants: N= 99	Neonates as compared to infants had: <ul style="list-style-type: none">- Similar minimum infusion rates- Lower median maximum dosage: 0.4 mcg/kg/hr (IQR, 0.26-0.6) vs. 0.6 mcg/kg/hr (IQR, 0.4–0.8) (p < 0.01)- Similar duration of use: 41 (17-76) hr- Fewer had any episode of bradycardia: 2/28 [7%] vs. 55/99 [56%] (p < 0.01)- Similar incidence of hypotension: 4/28 (14%) vs. 30/99 (30%) (p = 0.15) In neonates: 3/28 (11%) received a fluid bolus 4/28 (14%) dexmedetomidine discontinued due to cardiovascular adverse effects In infants: 3/99 discontinued infusion due to bradycardia 75/99 (76%) had additional sedative agents
Dersch-Mills 2019 [35] Canada Single centre (out-born NICU)	Retrospective observational study Dec 2014 to Dec 2016	Received dexmedetomidine for ≥3 h	N=38 (30 for surgery) GA: 37.1 (30.7-38.3) 17 preterms; 41 (6-74) days old BW: 2605 (1721-3212)	32/38, at least one adverse effect <ul style="list-style-type: none">- 15, hypotension- 25 bradycardia (drop of ≥20bpm from baseline)- 7 severe bradycardia (drop of ≥40 bpm from baseline)

			used for mean (range): 11.1(0.13 to 58) days most (36/38) received concomitant opioid infusion, mostly fentanyl	- No dose adjustment or discontinuation for any adverse effect Withdrawal: 22/38 had tachycardia and increase NAS scores
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Table 3. Summary of case reports of dexmedetomidine in newborn infants

Reference Setting	Case description	outcomes Notes
Finkel 2007 [32] USA	2 day old, 3 kg female Repair of bladder exstrophy Received dexmedetomidine infusion	Hypothermia and bradycardia – at 0.4mcg/kg/h dose reduced and maintained on 0.2 mcg/kg/h without further adverse events
O'Mara 2009 [16] USA	24 week GA, 9d old infant on high frequency oscillatory ventilation. Was on fentanyl and lorazepam Started at 0.5mcg/kg over 10 min followed by 0.25c/kg/h increased by 0.05-0.1mcg/kg/h every 12 h if needed. Max rate of 0.7mcg/kg/h for 19 days.	Calmed and remained sedated Allowed weaning of ventilation and extubation
Kubota 2012 [33] Japan	Term infant - ventilated	EEG confirmed seizures, stopped 12h after discontinuation of dexmedetomidine infusion without any antiepileptic medication Normal development

Table 4. Clinical studies of use of dexmedetomidine in infants undergoing therapeutic hypothermia for HIE

Study ID Setting	Study design	Inclusion criteria	Dexmedetomidine group	Comparator	Outcomes Notes

<p>O'Mara 2018 [38] USA Single centre</p>	<p>Retrospective cohort study Jul 2013 to Oct 2016</p>	<p>HIE requiring TH Received dexmedetomidine for 48 h</p>	<p>N= 19 2, dexmedetomidine only; 17, combination with fentanyl</p>	<p>No comparator</p>	<p>Fentanyl weaned down in 13/17 after starting dexmedetomidine 10 infants required inotropes. Initiation of dexmedetomidine did not affect HR, or BP. One infant had bradycardia – resolved with discontinuation of fentanyl Clinical outcomes: 1 died; 8 had seizures</p>
<p>Cosnahan 2021 [19] USA single centre</p>	<p>Retrospective cohort study Jan 2018 to Apr 2020</p>	<p>HIE requiring TH (NICHD criteria)</p>	<p>Mar 2019-Apr2020 N= 34 Continuous dexmedetomidine 0.3 mcg/kg/h with increments of 0.1 mcg/kg/hr based on pain scores and breakthrough morphine dose requirements Maximum: 2 mcg/kg/hr</p>	<p>Jan 2018-Mar 2019 N= 36 Intermittent morphine 0.1 mg/kg every 4h</p>	<p>No difference in N-PASS scores Dexmedetomidine group had: - Higher breakthrough morphine requirement: 0.13 (0.13) vs 0.04 (0.09) mg/kg, p = 0.001 - Lower total morphine requirement: 0.13 (0.13) vs 1.79 (0.23) mg/kg, p < 0.0001 - Reduced respiratory support requirement - Similar outcomes for feeding, EEG and MRI scan All HR measurement in normal range - morphine group had higher HR and BP at some timepoints</p>
<p>Elliot 2022 [37] abstract only USA Single centre</p>	<p>Retrospective cohort 2011-2019</p>	<p>Dexmedetomidine and/or fentanyl</p>	<p>Dexmedetomidine = 46 (14 monotherapy; 32 in combination)</p>	<p>Fentanyl = 120</p>	<p>Dexmedetomidine group had lower HR: 91 ± 9 vs. 103 ± 11 bpm Dexmedetomidine was reduced or discontinued in 22 (48%) due to inadequate sedation with low HR</p>

Table 5. Dose of dexmedetomidine in newborn infants

Study ID		Bolus at start of infusion	Infusion			
			Starting dose	Increments	Range / maximum	Weaning
O'Mara 2012 [28]		0.5 mcg/kg	0.3 mcg/kg/h	0.1 mcg/kg/hr twice daily if need for >3 doses of adjunct sedation	0.3-1.2 mcg/kg/h	0.1mcg/kg/h every 12 -24 hours
Morton 2021 [36]		-	0.5 mcg/kg	0.1 to 0.2 mcg/kg/h not more frequently than every 30 min	2 mcg/kg/h	No specific regimen given
Estkowski 2015 [26]		-				
Dersch-Mills 2019 [35]		-	0.2-0.3 mcg/kg/hr	0.1 mcg/kg/h based on pain and sedation scores	0.5 mcg/kg/h	No regimen specified – weaned over median of 79 hrs (IQR, 35-175). 10 infants needed clonidine (9 had dexmedetomidine for >10d)
Australasian Neonatal Medicines Formulary [45]	<37 weeks' GA	0.2 mcg/kg	0.2 mcg/kg/h	0.1 to 0.2 mcg/kg/h every 30 min	1 mcg/kg/h	0.1 mcg/kg/h every 30 min OR 0.2 mcg/kg/h every 8 h
	Term infant ≤ 14 d	0.35 mcg/kg	0.3 mcg/kg/h		1.2 mcg/kg/h	
	Term infant > 14 d	0.5 mcg/kg	0.5 to 0.75 mcg/kg/h		1.5 mcg/kg/h	
Neonatal Drug Dosing Guideline, IWK Health Centre (personal communication)		0.5 to 1 mcg/kg “not usually required”	0.1 to 0.3 mcg/kg/h	0.1 cmg/kg/h every 1 to 2 h	1 mcg/kg/h	0.1 mcg/kg/h every 12 to 24 h as tolerated

Table 6. Registered clinical trials

Study ID	Study design	Eligibility	Intervention	Comparator	Outcomes
Dexmedetomidine for LISA Procedure in preterm infants (DEXLISA) NCT04820101	Single group assignment Open label Sample size = 40	Inclusion Criteria: - GA 26-36 weeks' - Respiratory distress syndrome requiring surfactant therapy Exclusion Criteria: - Need for emergency intubation in the delivery room - Major congenital malformations or chromosomal anomaly abnormalities - Fetal Hydrops - Hypercapnia - Pneumothorax - Hemodynamic compromise	Dexmedetomidine 1 mcg/kg slowly IV over 10 minutes	None	Change in Neonatal Infants Pain Scale score before, during and immediately after the procedure In 24 h, - Number of apnoea - Number of severe apnoea - Need for ventilation
Dexmedetomidine use in infants undergoing cooling due to neonatal encephalopathy (DICE) Trial NCT04772222 [46]	Randomised controlled trial Parallel 1:1 allocation Open label Sample size = 50	-≥36 weeks' GA with HIE treated with TH. -requiring sedation and/or treatment to prevent shivering during TH as assessed by the Neonatal Pain, Agitation, and Sedation Scale (N-PASS) scores and a	Dexmedetomidine - Loading dose of 1 mcg/kg followed by 0.1 to 0.5 mcg/kg/h continuous infusion	Morphine - Intermittent dosing every 3-4 h of 0.02-0.05 mg/kg/dose or continuous infusion of 0.005-0.01 mg/kg/h	Safety – potential adverse events such as hypotension, hypertension, bradycardia, cardiac arrhythmias, hypothermia, acute renal failure, liver failure, seizures Shivering

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		modified Bedside Shivering Assessment Scale.			Days to full oral feeding Mother assessment at 7d, 3-4 mo Neurological assessment at 3-4 mo and 6-9 mo
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