

Lyophilization provides long-term stability for a lipid nanoparticle-formulated, nucleoside-modified mRNA vaccine

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Lipid nanoparticle (LNP)-formulated nucleoside-modified mRNA vaccines have proven to be very successful in the fight against the coronavirus disease 2019 (COVID-19) pandemic. They are effective, safe, and can be produced in large quantities. However, the long-term storage of mRNA-LNP vaccines without freezing is still a challenge. Here, we demonstrate that nucleoside-modified mRNA-LNPs can be lyophilized, and the physicochemical properties of the lyophilized material do not significantly change for 12 weeks after storage at room temperature and for at least 24 weeks after storage at 4°C. Importantly, we show in comparative mouse studies that lyophilized firefly luciferase-encoding mRNA-LNPs maintain their high expression, and no decrease in the immunogenicity of a lyophilized influenza virus hemagglutinin-encoding mRNA-LNP vaccine was observed after 12 weeks of storage at room temperature or for at least 24 weeks after storage at 4°C. Our studies offer a potential solution to overcome the long-term storage-related limitations of nucleoside-modified mRNA-LNP vaccines.

INTRODUCTION

Lipid nanoparticle (LNP)-formulated nucleoside-modified messenger RNA (mRNA) vaccines developed by Moderna and Pfizer-BioNTech demonstrated safety and very high (>90%) efficacy and are at the forefront of the battle against the coronavirus disease 2019 (COVID-19) pandemic.^{1–3} Currently, the most critical limitation of this novel vaccine platform is the requirement of a special cold-chain system for long-term storage. While most conventional vaccines can be stored at 2°C–8°C in a refrigerator for at least 6 months, mRNA-LNP vaccines need to be stored frozen, presenting a considerable obstacle to vaccine distribution in countries with poor infrastructure. Lyophilization (freeze-drying) is commonly used in the pharmaceutical industry to increase the stability and shelf life of various products by removing the water from drug formulations.^{4,5} In a freeze-dried form, mRNA-LNP vaccines could be conveniently shipped worldwide without the need for cooling or freezing.

However, lyophilization of LNPs is less than straightforward. While the process is readily applied to true solutions, LNPs are much more complex; carefully assembled using well-defined processes,⁶ these nanostructured particles are made from specific types of lipids at certain ratios.⁷ Physicochemical parameters, such as particle size, polydispersity, and proper payload encapsulation, are critical to biological performance and must be retained during the lyophilization process itself and subsequent storage. Careful selection of lyophilization buffers, cycle process parameters, and temperatures is of the utmost importance to ensure they are preserved.

Recent studies have shown that LNPs containing small interfering (si) RNA or mRNA can be successfully lyophilized.^{8–10} Tekmira Pharmaceuticals developed an LNP for treatment of Zaire Ebola virus (ZEBOV) infection containing siRNA targeting VP24, VP35, and L polymerase proteins.^{11,12} After demonstrating complete protection of non-human primates (NHPs) in an otherwise lethal model of ZEBOV, a reformulated, lyophilized version (TKM-100802) was assessed in a phase 1 clinical trial in 2014 (NCT02041715).¹³ While Tekmira reported equivalent efficacy with the wet and lyophilized formats of their siRNA-LNP, not all studies have had the same conclusion. Ball et al. found that siRNA-LNPs can be lyophilized, but they show significantly lower efficacy (gene silencing in cell culture) after reconstitution with water.⁸ Two recent studies demonstrated that the mRNA-LNP platform can also be lyophilized.^{9,10} Zhao et al. generated lyophilized firefly luciferase-encoding mRNA-LNPs and demonstrated that the reconstituted material maintains the mRNA expression efficiency in mice as observed with *in vivo* bioluminescence imaging studies.⁹ Hong et al. developed a lyophilized severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) mRNA-LNP vaccine formulation and showed that the reconstituted vaccine can

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induce strong immune responses in mice.¹⁰ Importantly, none of these publications provides information on the stability of lyophilized mRNA-LNP formulations over time.

Here, we describe a very efficient lyophilization procedure that can be used to produce nucleoside-modified mRNA-LNPs as freeze-dried cake. Lyophilized mRNA-LNPs were generated and stored at -80°C , -20°C , 4°C , 25°C (room temperature), and 42°C for 4, 12, or 24 weeks. We demonstrate that the physicochemical properties of mRNA-LNPs do not significantly change after storage at room temperature for 12 weeks, or at 4°C for at least 24 weeks, and then reconstitution with water. Using the same storage conditions, we show that firefly luciferase-encoding mRNA-LNPs do not lose their high translatability as measured by *in vivo* bioluminescence imaging studies in mice. Most importantly, we demonstrate in comparative mouse immunization studies that a nucleoside-modified mRNA-LNP influenza virus vaccine does not lose potency after 12 weeks of storage at room temperature or for at least 24 weeks at 4°C as a lyophilized product. We believe that this report represents a major advance in the field of mRNA-LNP vaccine development, as it offers a potential solution for the suboptimal long-term storage temperature requirements of these potent new-generation vaccines.

RESULTS

Production of lyophilized mRNA-LNP formulations

LNPs comprising the ionizable lipid (6Z,16Z)-12-((Z)-dec-4-en-1-yl)docosa-6,16-dien-11-yl 5-(dimethylamino)pentanoate, 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC), cholesterol, and PEG₂₀₀₀-C-DMA at a molar ratio of 50:10:38.5:1.5 were formulated using a modified version of our proprietary T-mixer manufacturing process [US 9,005,654]. This involves mixing lipids dissolved in ethanol with a low-pH, aqueous solution of nucleic acid in a T-shaped mixing chamber. LNPs form spontaneously as the ethanol concentration drops below the level required to support lipid solubility. Particles are then rapidly stabilized by further dilution with an aqueous buffer in the collection reservoir. The process is robust, highly scalable, and has been used to encapsulate a variety of nucleic acids, including siRNA and mRNA.^{6,12,14} Here, we separately encapsulated two different mRNAs encoding either firefly luciferase (Luc) or hemagglutinin from the A/Puerto Rico/8/1934 influenza virus strain (PR8 HA) in LNPs, subsequently exchanging the carrier buffer to 5 mM Tris pH 8, containing 10% sucrose and 10% maltose (w/v). LNP formulations were then freeze-dried using a VirTis Genesis Pilot Lyophilizer. The lyophilization cycle consisted of a freezing step at -45°C , a primary drying step at -25°C and 20 mTorr, and a secondary drying step at 30°C and 20 mTorr. At the completion of the cycle, samples were brought to atmospheric pressure, backfilled with ultrapure nitrogen gas, stoppered, and then transferred to various storage temperatures (-80°C , -20°C , 4°C , 25°C , 42°C) for stability monitoring. All samples were noted to have a dense, white, freeze-dried cake structure. Aliquots from the same batch of mRNA-LNP were stored frozen at -80°C and served as a benchmark control in this evaluation.

Physicochemical characterization of lyophilized mRNA-LNPs

At set time points (0, 4, 12, and 24 weeks after production), lyophilized mRNA-LNPs were removed from storage. The lyophilized vials were inspected for cake appearance, which can be indicative of physicochemical changes that may impact product quality and biological performance.¹⁵ All lyophilized vials contained a uniform and elegant cake (Figure S1), showing no signs of cake collapse, shrinkage, or cracked texture. This cake was quickly reconstituted by the addition of nuclease-free water to a target concentration of 0.5 mg/mL total mRNA. After the addition of water, vials were gently inverted several times and quickly acquired a clear, opalescent appearance with no visible solids (Figure S2).

We previously assessed the stability of this LNP composition in a frozen format. These studies found no change in key quality attributes when stored for 1 year at -80°C (Table S1). To generate a similar dataset with this set of lyophilized products, we first analyzed the properties of the wet Luc and PR8 HA mRNA-LNPs before and after freeze-thaw and post-reconstitution of the lyophilized samples at release, marking the week 0 time point (Table S2). Hereafter, the frozen and reconstituted samples in the time course study were analyzed using stability-indicating assays for total RNA content, mRNA purity, percentage of RNA encapsulation, lipid identity, lipid content, mean particle size, and polydispersity.

We utilized dynamic light scattering (DLS) to characterize particle size and polydispersity (size distribution). Frozen LNPs stored at -80°C showed no particle size change over time (Figure 1A). Similarly, lyophilized LNPs stored at 4°C and below also maintained particle-size integrity for at least 24 weeks after production. In contrast, an increase in z-average diameter was measured in lyophilized samples stored at elevated temperatures. Interestingly, despite exhibiting size growth, these samples maintained a narrow size distribution, where the polydispersity index was <0.10 (Figure 1B). We also noted that particle-size increase reached a plateau following 4-week storage of lyophilized LNPs at 42°C .

Encapsulation efficiency was measured by the RiboGreen assay, which relies on a dye that fluoresces upon binding to single-stranded mRNA. Dye accessibility is low with intact LNPs, so only unencapsulated mRNA is detected. To determine the total mRNA concentration, entrapped mRNA is released by addition of a detergent (Triton X-100) to lyse the LNPs. The ratio of fluorescence intensity before and after addition of Triton allows for the calculation of the proportion of encapsulated mRNA payload, typically $>90\%$ in stable formulations. There was no significant change in encapsulation efficiency of mRNA-LNPs stored under most conditions, including lyophilized mRNA-LNPs stored for 24 weeks at room temperature (Figure 1C). Only at 42°C storage, the lyophilized PR8 HA mRNA-LNP product showed a steady decline in encapsulation efficiency after the first 12 weeks, followed by an increase between 12 and 24 weeks. While there is no binding interference of RiboGreen with unencapsulated single nucleotides, the increased encapsulation observed at 24 weeks may be due to binding

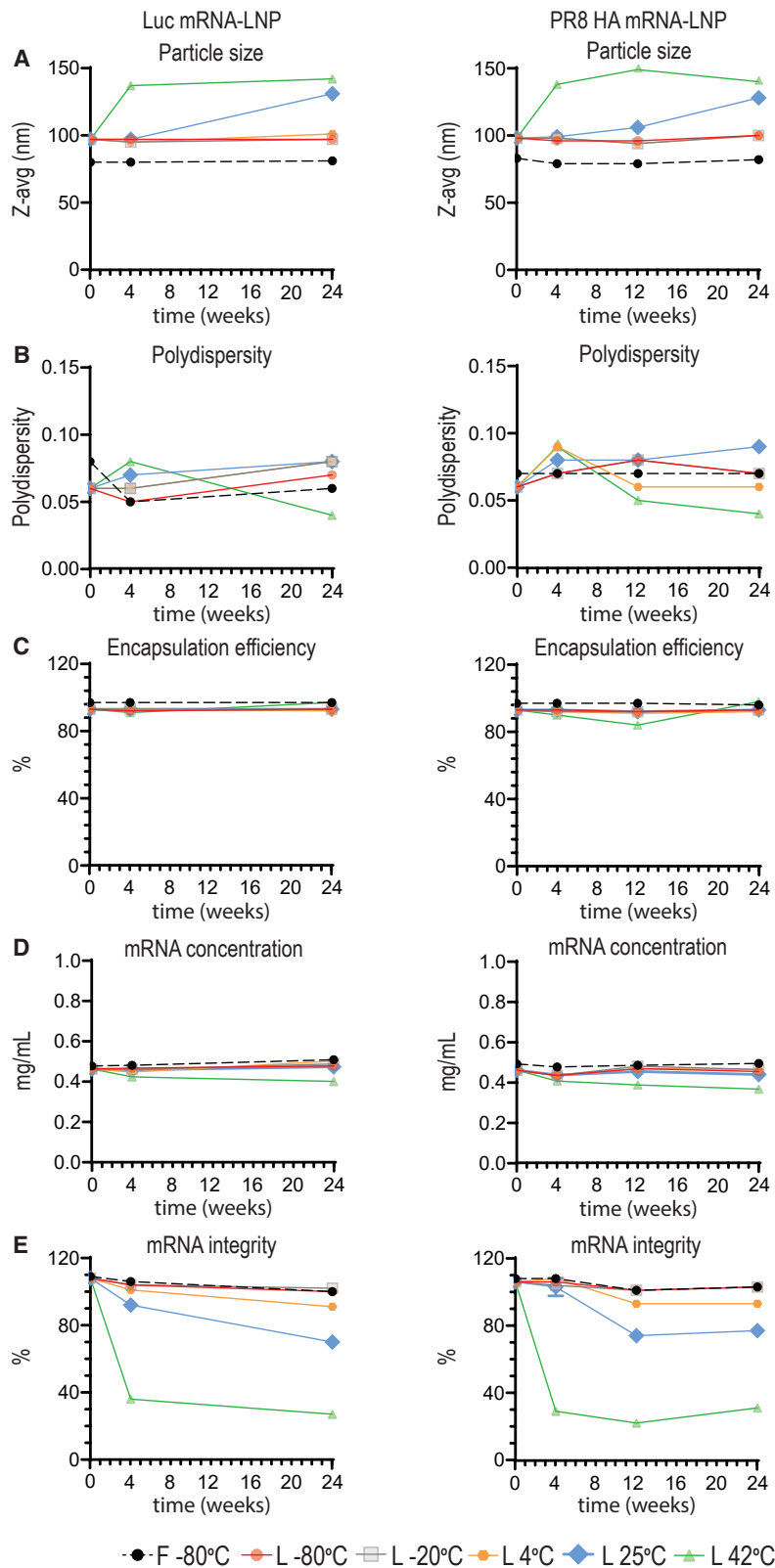


Figure 1. Physicochemical characterization of frozen and lyophilized mRNA-LNPs

Analytical testing post-thawing of frozen mRNA-LNPs stored at -80°C and post-reconstitution of lyophilized mRNA-LNPs stored at -80°C , -20°C , 4°C , 25°C , or 42°C for 0, 4, or 24 (Luc) or 0, 4, 12, or 24 (PR8 HA) weeks. (A–E) Particle size (A) and polydispersity (B) were measured by DLS, mRNA encapsulation (C) and mRNA concentration (D) were determined by RiboGreen assay, and mRNA integrity (E) was assessed by capillary electrophoresis. For (A) and (B), each data point represents one sample, and each data point is an aggregate of at least 10 readings on the particle sizer, resulting in the mean z-average and polydispersity values displayed on the graphs. Analyses in (C)–(E) were done in triplicate. Error bars are SEM. See also [Figures S1–S5](#) and [Tables S1–S3](#).

Table 1. Luc mRNA-LNP in-use stability at room temperature

Times (h)	−80°C frozen Luc mRNA-LNPs				Lyophilized and reconstituted Luc mRNA-LNPs			
	Z-avg (nm)	PDI	[mRNA] mg/mL	% EE	z-avg (nm)	PDI	[mRNA] mg/mL	% EE
0	81 ± 0.6	0.07 ± 0.00	0.53 ± 0.00	97 ± 0.2	99 ± 0.6	0.07 ± 0.02	0.50 ± 0.00	95 ± 2.0
1	81 ± 0.9	0.06 ± 0.02	0.51 ± 0.00	97 ± 0.1	98 ± 0.3	0.07 ± 0.00	0.49 ± 0.00	93 ± 0.2
2	80 ± 0.0	0.09 ± 0.01	0.51 ± 0.01	97 ± 0.1	98 ± 0.3	0.05 ± 0.01	0.49 ± 0.00	93 ± 0.2
4	79 ± 0.3	0.09 ± 0.01	0.51 ± 0.01	97 ± 0.4	96 ± 0.6	0.08 ± 0.01	0.48 ± 0.01	93 ± 0.3
6	79 ± 0.7	0.08 ± 0.02	0.53 ± 0.00	97 ± 0.2	96 ± 0.7	0.07 ± 0.01	0.49 ± 0.01	92 ± 0.0
24	81 ± 0.3	0.08 ± 0.01	0.51 ± 0.00	97 ± 0.1	98 ± 0.3	0.09 ± 0.01	0.50 ± 0.01	92 ± 0.3

Particle size, polydispersity, mRNA concentration, and encapsulation efficiency of frozen and reconstituted lyophilized Luc mRNA-LNPs were measured at room temperature (25°C) at set time points for 24 h. PDI, polydispersity; EE, encapsulation efficiency.

of the dye to degraded segments of the mRNA payload. This hypothesis correlates well with the measured total mRNA concentration. A steady decline in total mRNA content over time, including the 24-week time point, was reported for the lyophilized product stored at 42°C. For all other storage formats and conditions, no significant change in total mRNA concentration was reported over this time period (Figure 1D).

The integrity of nucleoside-modified mRNA-LNPs was assessed by capillary electrophoresis. No notable changes in mRNA integrity were observed for the −80°C frozen product, as well as the lyophilized product stored at either −20°C or −80°C, for at least 24 weeks (Figures 1E and S3). Above subzero temperatures, mRNA chemical degradation was observed in a temperature-dependent manner. For lyophilized mRNA-LNPs stored at 4°C for 24 weeks, there was an approximate 10%–15% decrease in RNA integrity. Meanwhile, for samples stored at 25°C, approximately 30% reduction in mRNA integrity was reported. It is important to note that no further mRNA degradation was observed for the PR8 HA mRNA-LNPs between 12 and 24 weeks for both 4°C and 25°C storage conditions. The greatest loss of mRNA integrity (approximately 70%) was reported for the lyophilized samples stored at 42°C.

All four lipid components were analyzed by ultra high-performance liquid chromatography (UHPLC) and are stable for at least 24 weeks, regardless of the LNP format and storage temperature (Figures S4 and S5). Importantly, the lipid composition maintained the target molar ratio of 1.5:50:38.5:10 (polyethylene glycol [PEG] lipid: ionizable lipid: cholesterol: distearoylphosphatidylcholine [DSPC]).

To further demonstrate the benefits of lyophilization, we conducted a comparative study of non-lyophilized and lyophilized samples stored at different temperatures for 4 weeks. Since the datasets for Luc and PR8 HA mRNA-LNPs were very comparable in the main stability arm, we conducted this direct comparison with Luc mRNA-LNPs only. In this short-term stability study, non-lyophilized LNPs were stored as a wet formulation at −80°C, −20°C, 4°C, 25°C, and 42°C for 4 weeks. In comparison with the lyophilized LNPs, more changes in particle characteristics were reported for the non-lyophilized coun-

terpart by 4 weeks. Particle size of non-lyophilized samples increased at all storage temperatures, which was not observed with lyophilized samples stored below 42°C. At 42°C, lower mRNA integrity was reported for the non-lyophilized sample than for the lyophilized sample. Lower RNA encapsulation was also reported for the non-lyophilized sample stored at −20°C. Overall, these results demonstrate that lyophilized samples provide improved stability over non-lyophilized samples (Table S3).

Additionally, an in-use stability study was performed at room temperature with the Luc mRNA-LNPs. Both frozen and lyophilized samples were removed from −80°C storage, thawed, and reconstituted (if applicable) and then held at 25°C for up to 24 h. All attributes were comparable to those of the samples at time 0 (Table 1). These results support in-use stability of both −80°C frozen and lyophilized/reconstituted samples for at least 24 h at 25°C, which exceeds the current in-use stability instructions of approved mRNA-based COVID-19 vaccines.¹⁶

In vivo activity of frozen and reconstituted lyophilized Luc mRNA-LNPs

The translatability of Luc mRNA-LNPs was evaluated in mice by *in vivo* imaging studies. As most vaccines are given intramuscularly (IM) or intradermally (ID), mRNA-LNPs were tested after IM and ID injections (Figures 2, S6, and S7). Animals were injected IM with Luc mRNA-LNPs, and protein production from the frozen and reconstituted lyophilized products stored for 0, 4, and 24 weeks was examined (Figures 2A and S6). Lyophilization results in some decrease in activity of Luc mRNA-LNPs compared with the frozen formulations (Figures 2A, 2B, and S6A). Lyophilized mRNA-LNPs stored at room temperature (or lower temperatures) displayed a high level of protein production at week 4 (Figures 2A, 2C, and S6B). Storing mRNA-LNPs at 42°C results in a substantial drop in activity compared with storage in other conditions by week 4 (Figures 2A, 2C, and S6B). Impressively, lyophilized mRNA-LNPs stored at 4°C remain stable for at least 24 weeks (Figures 2A, 2D, and S6C). A decrease in protein production from Luc mRNA-LNPs was found after storage at room temperature for 24 weeks (Figures 2A, 2D, and S6C). Storing mRNA-LNPs at 42°C for 24 weeks results in a substantial further drop in activity

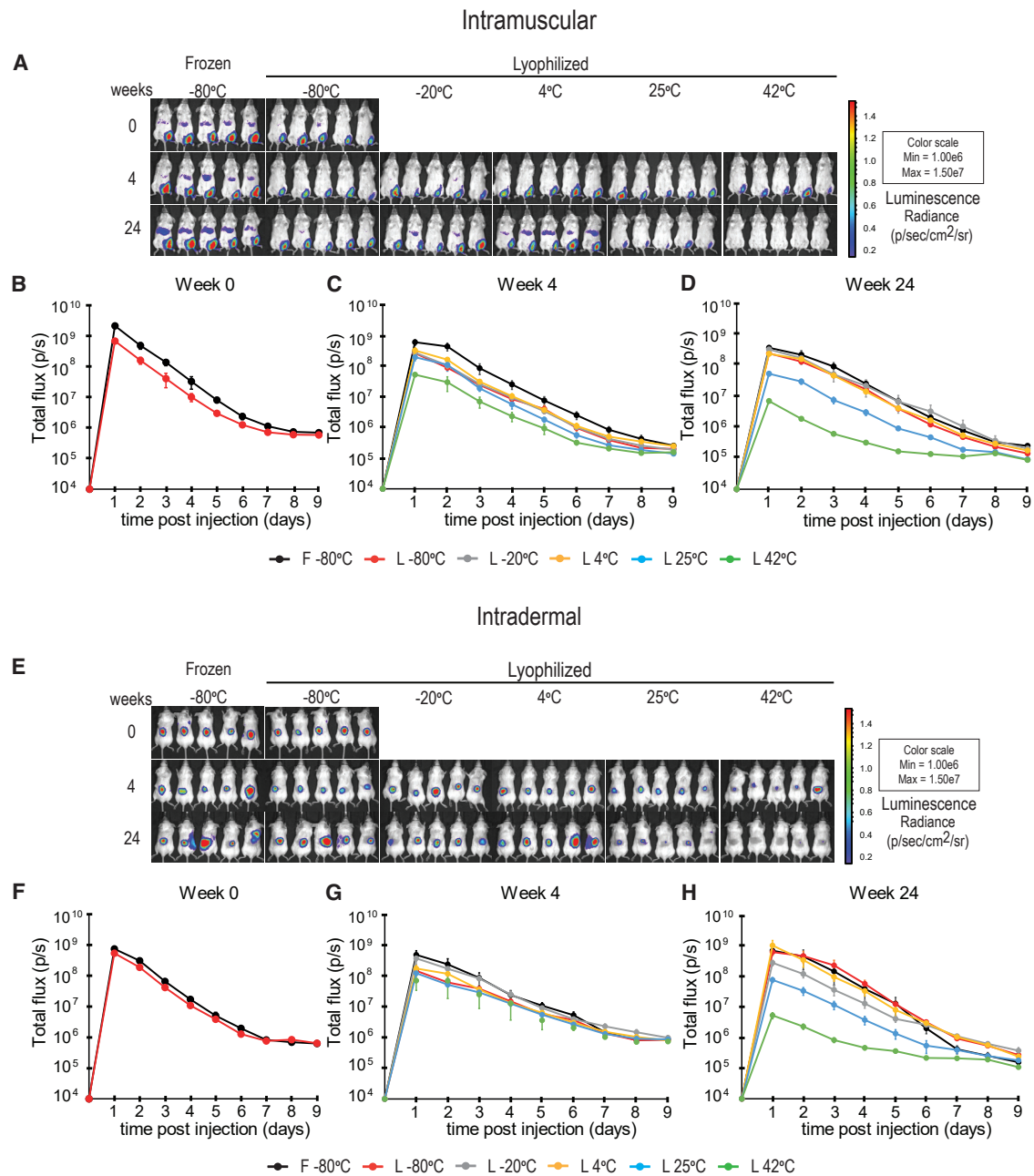


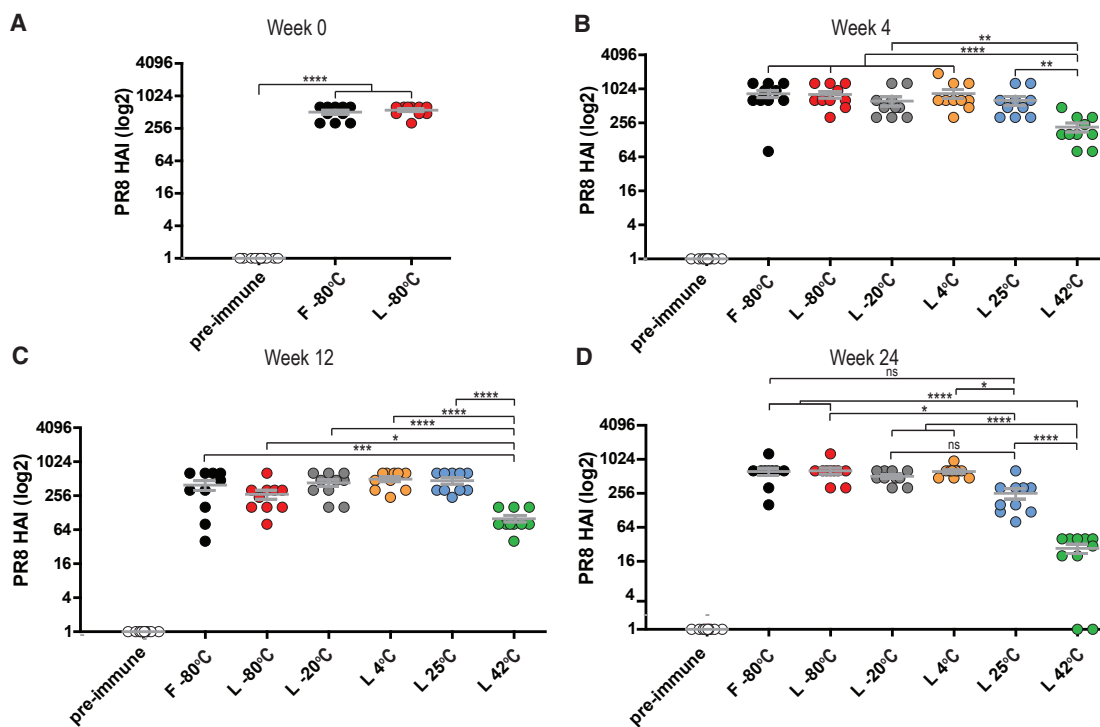
Figure 2. *In vivo* imaging studies with Luc mRNA-LNPs

Frozen Luc mRNA-LNPs were stored at -80°C throughout the study, and lyophilized Luc mRNA-LNPs were stored at -80°C , -20°C , 4°C , 25°C , or 42°C for 0, 4, or 24 weeks prior to reconstitution. (A–H) Mice were (A–D) IM- or (E–H) ID-injected with $3\ \mu\text{g}$ Luc mRNA-LNPs (frozen or reconstituted lyophilized products), and bioluminescence was monitored for 9 days. (A) Representative IVIS images taken 2 days post-IM Luc mRNA-LNP injection. (B–D) Quantification of the bioluminescent signal obtained after IM injection of frozen and reconstituted lyophilized Luc mRNA-LNPs after (B) 0, (C) 4- or (D) 24-week storage. (E) Representative IVIS images taken 2 days post-ID Luc mRNA-LNP injection. (F–H) Quantification of the bioluminescent signal obtained after the ID injection of frozen and reconstituted lyophilized Luc mRNA-LNPs after (F) 0, (G) 4-, or (H) 24-week storage. $n = 5$ mice per group. Error bars are SEM. See also [Figures S6](#) and [S7](#).

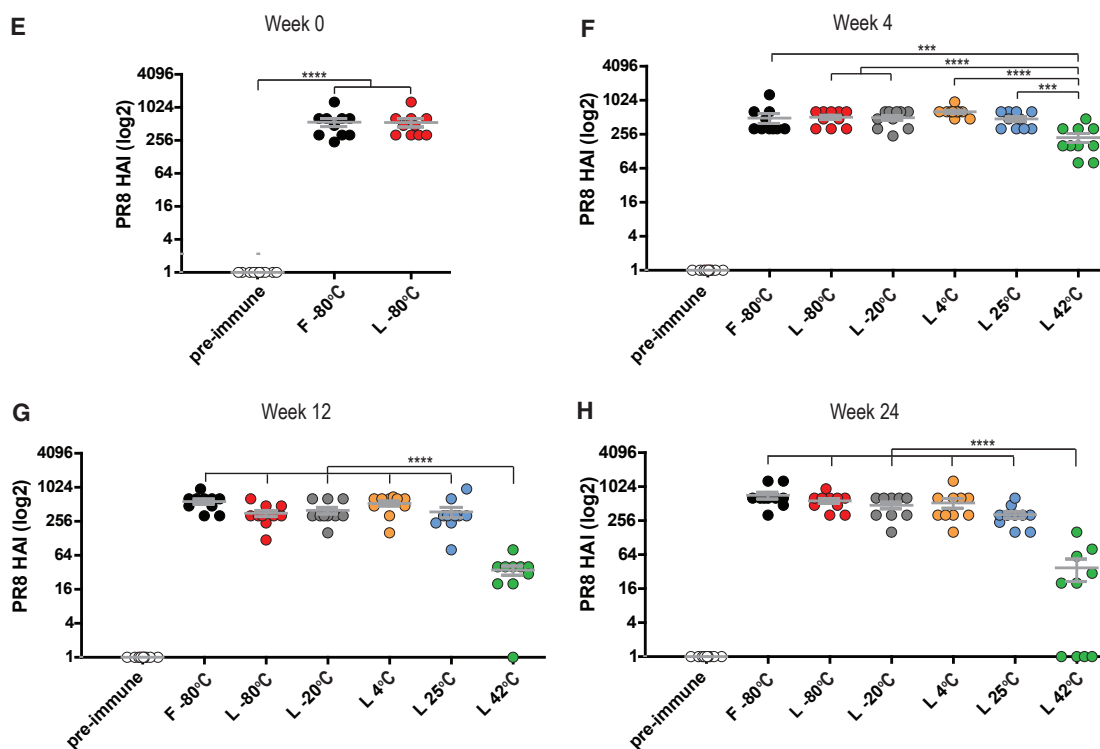
compared with week 4 ([Figures 2A](#), [2D](#), [S6B](#), and [S6C](#)). We obtained very similar results after evaluating protein production from ID-administered Luc mRNA-LNPs ([Figures 2E–2H](#) and

[S7](#)). In summary, lyophilized Luc mRNA-LNPs remained stable at room temperature for at least 4 weeks and at 4°C for at least 24 weeks.

Intramuscular



Intradermal



(legend on next page)

Immunogenicity of frozen and reconstituted lyophilized PR8 HA mRNA-LNP influenza vaccines

To investigate the performance of the reconstituted lyophilized PR8 HA mRNA-LNP vaccines stored at various temperatures, mice were immunized IM or ID with a single dose of vaccine, and HA inhibition (HAI) titers in sera of these vaccinated mice were determined 4 weeks later (Figure 3). HAI titers are a simple readout and clearly reflect the quality and magnitude of immune responses induced by PR8 HA mRNA-LNP vaccines. As immunogenicity is a critical parameter, an additional time point (week 12) was added to the PR8 HA study protocol to provide more detailed information. As in previous experiments, frozen mRNA-LNPs served as a benchmark control in this evaluation. When administered IM, no significant difference between the activity of frozen and freshly reconstituted lyophilized formulations was found (Figure 3A). Despite some decrease in mRNA integrity (Figure 1D), the immunogenicity of the lyophilized vaccines did not decrease after 12 weeks of storage at room temperature (Figures 3B and 3C). Impressively, no drop in PR8 HAI activity and only a modest decrease in immunogenicity were found after 24 weeks of storage at 4°C and at room temperature, respectively (Figure 3D). In accordance with previous measurements, storing PR8 HA mRNA-LNPs at 42°C resulted in a significant drop in activity by week 4 (Figure 3B), a substantial further decrease by week 12 (Figure 3C), and very low to no activity by week 24 (Figure 3D). Similar results were obtained after ID immunizations with PR8 HA mRNA-LNP vaccines (Figures 3E–3H). In summary, lyophilized PR8 mRNA-LNP vaccines did not lose activity after storage at room temperature for 12 weeks and lost some activity by 24 weeks. Storing the lyophilized vaccines at 4°C for at least 24 weeks did not result in any loss of immunogenicity.

DISCUSSION

The emergence of SARS-CoV-2 has motivated a global effort to develop a protective vaccine. mRNA is an attractive vaccine modality, owing to its flexibility in antigen design and the speed of development and production. However, effective mRNA vaccines require both efficient mRNA delivery to cells and a high level of antigen expression to induce robust immune responses coupled with durable protective immunity. Specialized delivery technologies such as LNPs are required to realize their full potential. Despite real-world evidence demonstrating the advantages of this promising novel platform, the instability of mRNA-LNP vaccines and the need for frozen storage remain major limitations. Here, we investigated lyophilization to achieve long-term pharmaceutical stability in these formulations.

Lyophilization, or freeze-drying, is one of the most common methods used for long-term preservation of drug products, including colloidal nanoparticle suspensions.^{4,5} Physical instability can be characterized

as aggregation or fusion of the nanoparticles, manifesting as an increase in particle size or polydispersity. Chemical instability is most often observed as degradation of the mRNA payload and/or lipid components. Either form of instability would pose challenges for storage in an aqueous buffer as a wet formulation. Extrinsic parameters, such as storage-buffer pH and temperature, may further impact stability. As a result, lyophilization buffers, cycle times, and temperature are important parameters for preserving the physicochemical parameters of LNPs.

Nucleoside-modified mRNA-LNPs were produced as a freeze-dried cake through lyophilization. For a quick assessment of the success of this process, macroscopic analysis was performed by visually inspecting the lyophilized product for cake appearance. Observations such as cake collapse, shrinkage, or cracked texture may indicate potential changes in LNP characteristics. The lyophilized vials in this study presented a uniform and elegant cake that was rapidly restored to its original state after resuspension in nuclease-free water. The reconstituted samples acquired a clear, opalescent appearance with no visible solids.

Particle size is an important stability parameter and can influence pharmacokinetics, distribution, safety, and efficacy. For frozen and lyophilized LNPs stored at 4°C (and lower temperatures), particle size stability was reported for at least 24 weeks. Room-temperature storage of the lyophilized vials provided at least 4 to 12 weeks of particle size stability. Under the most accelerated storage condition of 42°C, the lyophilized product exhibited a large increase in particle size between 0 and 4 weeks, but remained stable for at least 24 weeks. Under these conditions, the maximum particle diameter was less than 150 nm. Importantly, this particle size is still within the range where LNPs have been reported to elicit robust immune responses in animals, including NHPs.¹⁷

As mRNA is an active drug substance, contributing to the immunogenicity and efficacy of the successful mRNA-LNP vaccines, it is important to monitor its encapsulation efficiency, integrity, and content. With intact and stable LNPs, the encapsulation efficiency of an mRNA payload is typically >90%. Here, we found no change in this parameter under most storage conditions, including lyophilized mRNA-LNPs stored for 24 weeks at room temperature. Moreover, no change was reported in total mRNA concentration in lyophilized LNPs stored at room temperature and below during the course of this study. While currently there are no published criteria on the acceptable limits of RNA integrity and its threshold in respect to vaccine efficacy, it is critical to prevent mRNA degradation to ensure biological performance. RNA integrity represents the most temperature-sensitive, stability-limiting parameter. Remarkably, no notable changes in Luc and PR8 HA mRNA integrity were observed for LNP products

Figure 3. Immunogenicity of PR8 HA mRNA-LNP vaccines

Frozen PR8 HA mRNA-LNPs were stored at –80°C throughout the study, and lyophilized PR8 HA mRNA-LNPs were stored at –80°C, –20°C, 4°C, 25°C, or 42°C for 0, 4, 12, or 24 weeks prior to reconstitution. (A–H) mice were (A–D) IM- or (E–H) ID-injected with 10 µg PR8 HA mRNA-LNPs (frozen or reconstituted lyophilized products), serum was collected 4 weeks post-immunization, and PR8 HAI titers were determined. n = 10 mice per group. Error bars are SEM. Each symbol represents one animal. HAI titers below the limit of detection are shown equal to 1 on the graph. Statistical analysis: one-way ANOVA with Bonferroni's multiple comparisons test on log-transformed data was performed; *p ≤ 0.05, **p ≤ 0.01, ***p ≤ 0.001, ****p ≤ 0.0001.

stored at subzero temperatures for at least 24 weeks. mRNA chemical degradation was observed in a temperature-dependent pattern, resulting in an approximately 10%–15% decrease in RNA integrity for lyophilized mRNA-LNPs stored for 24 weeks at 4°C and an approximately 30% decrease in RNA integrity for lyophilized mRNA-LNPs stored for 24 weeks at room temperature. Impressively, the 4°C lyophilized samples maintained the same *in vivo* potency as the frozen samples. Meanwhile, only slight decreases in immunogenicity were observed with lyophilized PR8 HA mRNA-LNP samples stored at room temperature for 24 weeks. It is important to note that no further mRNA degradation was observed for the PR8 HA mRNA-LNP samples between 12 and 24 weeks for both 4°C and room temperature storage conditions. The greatest loss of mRNA integrity (approximately 70%) was reported for the lyophilized samples stored at 42°C; however, this degree of degradation did not completely abrogate *in vivo* potency.

As each lipid component in the LNP has specific functions during particle formation, stabilization, and biological performance, it is critical to maintain the stability of lipid components to ensure a pharmacologically active drug product. The amine group of the ionizable lipid is positively charged at acidic pH, promoting encapsulation of the negatively charged mRNA payload during particle formation. Following cellular uptake of the LNP, it further drives endosomal fusion and cytoplasmic release of payload. The PEG-conjugated lipid controls particle size during formation and prevents particle aggregation by sterically stabilizing the LNP. DSPC and cholesterol are often referred to as structural lipids with concentrations chosen to optimize particle size, encapsulation, and stability. In aggregate, the LNP serves to protect the delicate RNA molecule from serum nucleases during transition to target cells and promotes uptake and delivery. All four lipids maintained their integrity under all storage conditions tested in this study. These trends are impressive, as some ionizable lipids and DSPC are susceptible to temperature and pH-dependent hydrolysis, which was not observed here.¹⁸ All frozen and lyophilized LNPs maintained the target molar ratio of 1.5:50:38.5:10 (PEG lipid:ionizable lipid:cholesterol: DSPC).

Overall, these results are very encouraging, as other groups that evaluated the stability of lipid-based nanoparticles encapsulating Luc mRNA reported a significantly lower bioluminescence signal *in vivo* after storing the lyophilized product for 1 week at –80°C.⁹ Although the presence of a cryoprotectant stabilized particle size, they speculated that the nanostructure of these mRNA formulations was altered during the lyophilization and reconstitution process, thereby affecting their delivery efficiency *in vivo*. In our studies, we were able to maintain key physicochemical attributes of our lyophilized mRNA-LNP product and demonstrate high *in vivo* translation.

A preliminary shelf life of at least 24 weeks at 4°C offers increased flexibility over the current options. Both authorized COVID-19 mRNA vaccines require frozen storage in the presence of sucrose.¹⁸ SpikeVax is stable for up to 6 months at –15°C to –20°C, whereas Comirnaty is stable at –60°C to –80°C for up to 6 months or –15°C to –25°C for 2 weeks.¹⁹ Moreover, in-use stability assessment

of our mRNA-LNPs reported no change in physicochemical characteristics at room temperature for at least 24 h. This provides a significant advantage, as it maximizes the use of drug product in a single vial and enables efficient administration over this 24-h period. Currently, SpikeVax is reported to have up to 12 h of 25°C stability, whereas Comirnaty has up to 2 h stability at 25°C or 6 h stability after dilution with 0.9% saline for injection.¹⁶

The urgency of the COVID-19 pandemic demanded the rapid identification and development of a protective vaccine. Although Moderna and Pfizer/BioNTech quickly developed very effective nucleoside-modified mRNA-LNP vaccines,^{1–3} some critical aspects of vaccine stability have yet to be addressed. In the few reports published on lyophilized mRNA-LNPs, there has been no discussion of the key quality attributes of these products after long-term storage and the biological impact of long-term storage. We believe that this report represents an important advancement in the field of mRNA-LNP vaccine research, as our dataset provides a better understanding of the physicochemical characteristics and *in vivo* activity (translatability and immunogenicity) of this new-generation platform. The lyophilization approach represents a compelling opportunity for improving thermostability of mRNA-LNP vaccines and will be critical in facilitating rapid global distribution of these vaccines in the future.

MATERIALS AND METHODS

Ethics statement

The investigators faithfully adhered to the “Guide for the Care and Use of Laboratory Animals” by the Committee on Care of Laboratory Animal Resources Commission on Life Sciences, National Research Council. Mouse studies were conducted under protocols approved by the Institutional Animal Care and Use Committees (IACUC) of the University of Pennsylvania. All animals were housed and cared for according to local, state, and federal policies in an Association for Assessment and Accreditation of Laboratory Animal Care International- (AAALAC)-accredited facility.

Production of mRNA-LNPs

mRNAs were produced from linearized plasmids encoding codon-optimized firefly Luc or HA of A/Puerto Rico/8/1934 influenza virus as described.²⁰ Briefly, mRNAs were transcribed to contain 101-nt-long poly(A) tails. m1Ψ-5'-triphosphate (TriLink) instead of uridine 5'-triphosphate (UTP) was used to generate modified nucleoside-containing mRNA. Capping of the *in-vitro*-transcribed mRNAs was performed co-transcriptionally using the trinucleotide cap1 analog CleanCap (TriLink). mRNA was purified by cellulose purification, as described.²¹ All mRNAs were analyzed by agarose gel electrophoresis and were stored frozen at –20°C.

Cellulose-purified m1Ψ-containing mRNA was encapsulated in LNPs using a controlled mixing process (US 9,005,654) in which an aqueous solution of mRNA at pH 5 was combined with an ethanolic solution of lipids, containing the ionizable lipid (6Z,16Z)-12-((Z)-dec-4-en-1-yl)docosa-6,16-dien-11-yl 5-(dimethylamino)pentanoate, DSPC, cholesterol, and PEG₂₀₀₀-C-DMA²² at a molar ratio of 50:10:38.5:1.5. Ethanol

was removed by tangential flow ultrafiltration, followed by buffer exchange and concentration. The formulation was adjusted to 0.5 mg/mL, and sterile was filtered through a 0.2 μm polyethersulfone membrane. Aliquots were subsequently stored frozen at -80°C (0.25 mL fill) or lyophilized (0.5 mL fill) in 5-mM Tris buffer, pH 8, with 10% sucrose and 10% maltose.

Lyophilization process

Lyophilization was performed in a glass chamber of a Pilot Freeze Dryer (SP Scientific VirTis Genesis 35L Pilot Lyophilizer). Samples were frozen at -45°C for 3 h, this being followed by a primary dry cycle at $-25^{\circ}\text{C}/20$ mTorr for 84 h. During the secondary dry cycle, samples were warmed to $30^{\circ}\text{C}/20$ mTorr and held for 5 h. Vials were backfilled with nitrogen, capped, and transferred to various storage temperatures (-80°C , -20°C , 4°C , 25°C , 42°C) for stability assessments.

LNP characterization

Frozen and lyophilized vials were removed from storage at set time points (e.g., weeks 0, 4, 12, and 24) and equilibrated to room temperature. Lyophilized samples were reconstituted by quick addition of 500 μL nuclease-free water and gently mixed.

LNPs were diluted to 0.8–1.6 ng/ μL total mRNA in phosphate buffered saline (PBS), pH 7.4, and transferred into a polystyrene cuvette to measure particle size and polydispersity by DLS (Malvern Nano ZS Zetasizer), using a refractive index (RI) of 1.590 and an absorption of 0.010 in PBS at 25°C and a viscosity of 0.9073 centipoise (cP) and RI of 1.332. Measurements were made with 10-s run durations with the number of runs automatically determined. Each measurement had a fixed position of 4.65 mm in the cuvette with an automatic attenuation selection. Diameters are reported as z-average.

RNA encapsulation efficiency and concentration were determined by the Quant-iT RiboGreen Assay (Life Technologies). Quantification of RNA in LNP formulation was conducted using a standard curve generated from a dilution series of the corresponding RNA stock (either Luc or PR8 mRNA). Both standards and samples were diluted with 1x Tris-EDTA (TE) buffer, pH 8.0. Samples were targeted to reach 0.1 ng/ μL in the final sample in the polystyrene cuvette. Fluorescence was measured using a spectrofluorophotometer (Varian Cary Eclipse) set at 500-nm excitation and 525-nm emission. The standard curve was calculated by linear regression analysis of the fluorescence intensity plotted against the concentration of the standard. RNA encapsulation of LNP samples was determined by comparing the signal of the RNA-binding fluorescent dye RiboGreen in the absence and presence of a detergent (0.1% Triton X-100). In the absence of a detergent, the signal comes only from accessible (unencapsulated) RNA. In the presence of a detergent, the LNP is disrupted so that the measured signal comes from the total RNA (both encapsulated and non-encapsulated). The encapsulation percentage is calculated using the following equation: Encapsulation efficiency (%) = $([\text{Fluorescence}]_{\text{total}} - [\text{Fluorescence}]_{\text{unencapsulated}}) / ([\text{Fluorescence}]_{\text{total}}) \times 100\%$.

RNA integrity was measured by capillary electrophoresis on the Agilent 5200 Fragment Analyzer, using the Agilent HS RNA Kit (DNF-472-1000). At each time point, LNP samples were treated with Triton X-100 to disrupt the particles, diluted to 0.0025 mg/mL, mixed with the marker diluent, and then heat denatured at 70°C for 2 min. The unformulated RNA payloads were treated in exactly the same manner. The Fragment Analyzer injected the sample at 7 kV for 150 s, with separation at 8 kV for 45 min. Data from each run were analyzed using PROSize 3.0 software (Agilent Technologies). RNA integrity of the formulated mRNA-LNPs is represented as the percentage relative to the unformulated mRNA standard assayed within the same run.

Lipid content was determined by UHPLC using the Thermo-Scientific Vanquish UHPLC system with a CAD detector. The UHPLC method uses an Ace Ultracore superC18 column (100×2.1 mm: 2.5 μm) with 20-mM ammonium acetate in water (Solvent A) and 1:1 IPA:MeOH (Solvent B). The step gradient was 0–0.3 min 70% Solvent B; 0.3–1.8 min 90% Solvent B; 1.8–4.3 min 100% Solvent B; 4.3–4.8 min 100% Solvent B; 4.8–4.9 min 70% Solvent B; and 4.9–8 min 70% Solvent B. LNP samples were diluted to 1 mg/mL total lipid with ethanol (which also served to dissociate the LNP) and quantified against a 5-point calibration curve for each of the components of interest.

Administration of mRNA-LNPs to mice

Eight-week-old female BALB/c mice (Charles River Laboratories) were utilized for this study. Lyophilized mRNA-LNPs were reconstituted by the addition of nuclease-free water to a target concentration of 0.5 mg/mL total mRNA. Reconstituted mRNA-LNPs were filtered by using a 13-mm 0.2 μm syringe filter (Pall Acrodisc). mRNA-LNPs were diluted with sterile PBS (Corning) and administered via the IM or ID routes using a 3/10cc 29 $\frac{1}{2}$ G insulin syringe (Covidien) and 40- or 30- μL injection volumes, respectively.

Blood collection

Mice were isoflurane-anesthetized, and blood was collected through the retro-orbital route. Serum was separated from blood by centrifugation at $10,000 \times g$ for 5 min. Separated serum was stored at -20°C until used.

Bioluminescence imaging studies

Bioluminescence imaging was performed with an *In Vivo* Imaging System (IVIS) Spectrum imaging system (Caliper Life Sciences). Mice were administered D-luciferin (Regis Technologies) at a dose of 150 mg/kg intraperitoneally. Mice were anesthetized after receiving D-luciferin in a chamber with 3% isoflurane (Piramal Healthcare Limited) and placed on the imaging platform while being maintained on 2% isoflurane via a nose cone. Mice were imaged at 5-min post-administration of D-luciferin using an exposure time of 5–60 s to ensure that the signal acquired was within effective detection range (above noise levels and below charge-coupled device [CCD] saturation limit). Bioluminescence values were quantified by measuring photon flux (photons/second) in the region of interest from where

a bioluminescence signal emanated using the Living IMAGE Software provided by Caliper.

HAI assay

Sera were heat-inactivated (55°C) for 30 min, spun down at 11,000 RPM for 2 min, and diluted 1:20 in PBS, then serially diluted 1:2 in 50 μ L in 96-well U-bottom plates (lowest concentration: 1:2,560) using a multichannel pipette. Then, four hemagglutinating doses of PR8 HA virus were added in the same volume as diluted sera. Finally, 12.5 μ L of turkey erythrocyte solution (Lampire)—washed twice in PBS and diluted to a final concentration of 2% (v/v)—was added and gently mixed with the sera-virus solution (final volume of 125 μ L). Samples were incubated for 45 min at room temperature, after which the plates were turned on their side for one minute, then scanned on a regular office scanner. HAI titers were determined as the highest dilution of the sample that inhibited four agglutinating doses of the influenza virus. Inhibition of agglutination was observed as the blood forming a “tear-drop” shape.

Statistical analysis

Data were collected and expressed as average \pm standard error of the mean (SEM). Statistical analysis was conducted using the GraphPad Prism v.9.0.0 (GraphPad Software) software package.

SUPPLEMENTAL INFORMATION

Supplemental information can be found online at <https://doi.org/10.1016/j.ymthe.2022.02.001>.

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AUTHOR CONTRIBUTIONS

N.P., K.L., and J.H. conceptualized the study. N.P., K.L., and J.H. wrote the paper with help from co-authors. K.L. and Cs.B. graphed data and produced figures. H.M. produced all mRNAs and performed all mouse studies. P.S. encapsulated mRNAs into LNPs and generated lyophilized mRNA-LNPs. P.S. and A.M. performed the physicochemical characterization of all mRNA-LNP formulations. P.L. provided input on the lyophilization process. K.K. designed the untranslated region of the mRNA. Cs.B. and D.L. performed HAI assays.

DECLARATION OF INTERESTS

N.P. is named on a patent describing the use of nucleoside-modified mRNA in LNPs as a vaccine platform. He has disclosed those interests fully to the University of Pennsylvania, and he has in place an approved plan for managing any potential conflicts arising from licensing of that patent. K.K. is an employee of BioNTech.

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YMTHE, Volume 30

Supplemental Information

**Lyophilization provides long-term stability
for a lipid nanoparticle-formulated,
nucleoside-modified mRNA vaccine**

Hiromi Muramatsu, Kieu Lam, Csaba Bajusz, Dorottya Laczkó, Katalin Karikó, Petra Schreiner, Alan Martin, Peter Lutwyche, James Heyes, and Norbert Pardi

Supplemental Data



Figure S1. Related to Figure 1. Representative images of lyophilized vials containing Luc mRNA-LNPs. Luc mRNA-LNPs were stored at -80°C , -20°C , 4°C , room temperature (RT, 25°C) or 42°C for 0, 4 or 24 weeks. All lyophilized mRNA-LNPs formed a uniform, dense, white cake.



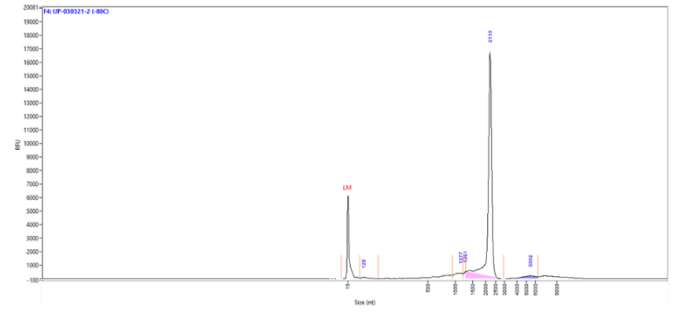
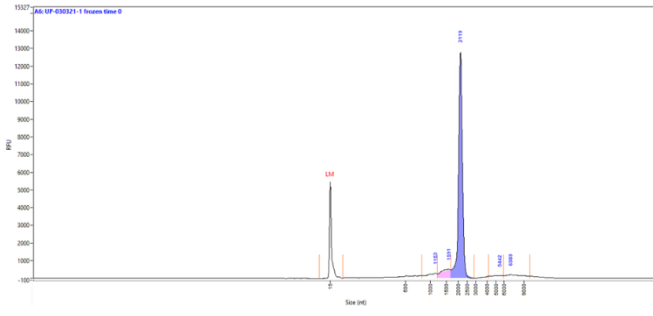
Figure S2. Related to Figure 1. Representative images of reconstituted lyophilized Luc mRNA-LNPs. Luc mRNA-LNPs were reconstituted with nuclease-free water after storage at -80°C , -20°C , 4°C , room temperature (RT, 25°C) or 42°C for 0, 4 or 24 weeks. All reconstituted mRNA-LNPs acquired a clear, opalescent appearance with no visible solids.

A

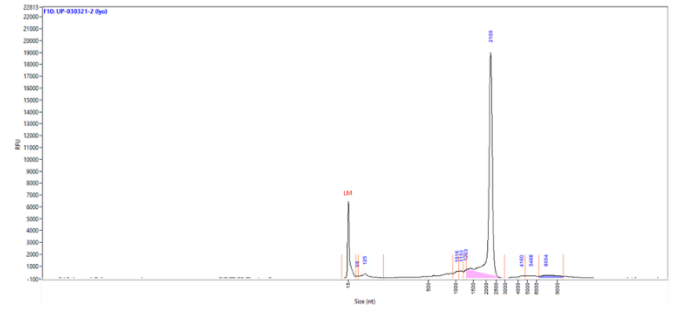
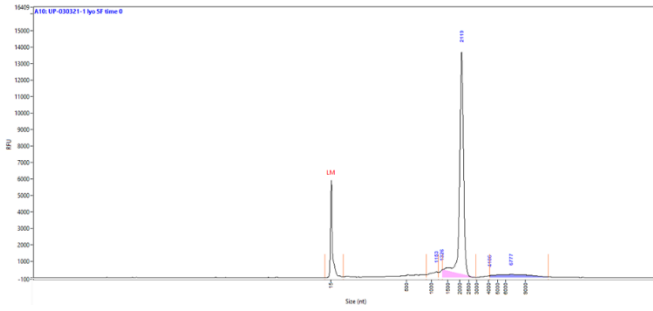
Luc mRNA-LNP

PR8 HA mRNA-LNP

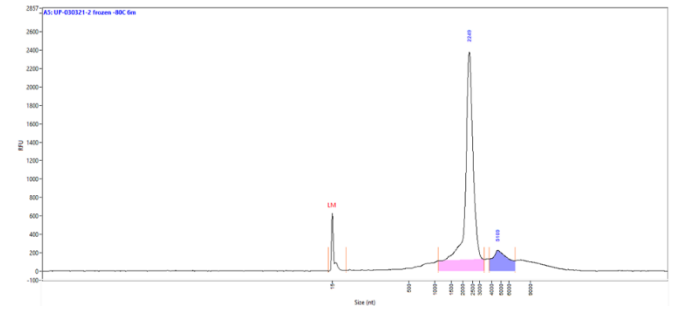
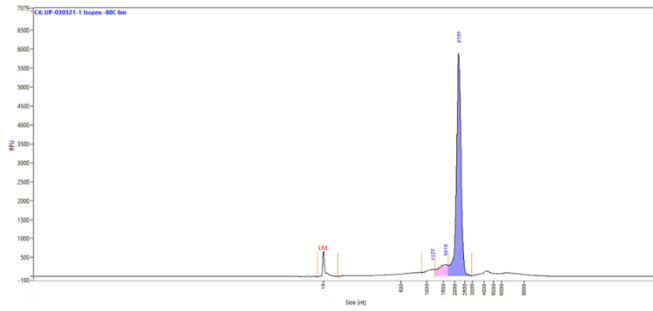
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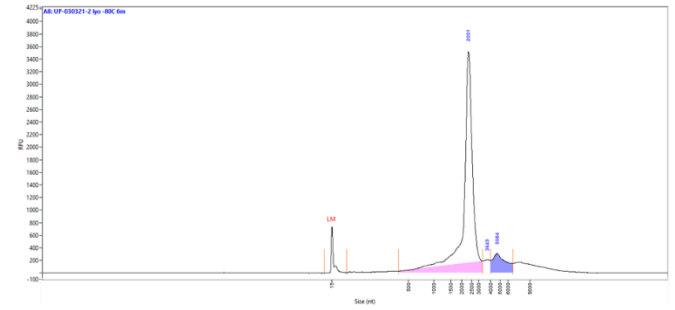
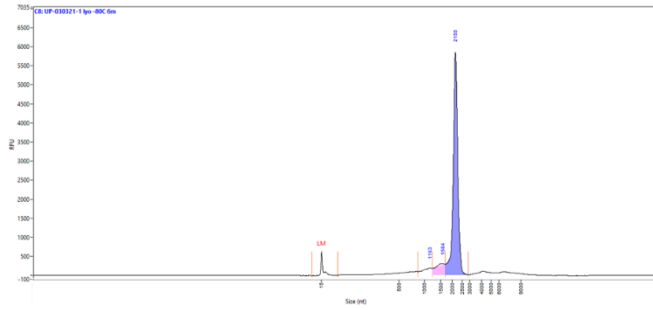
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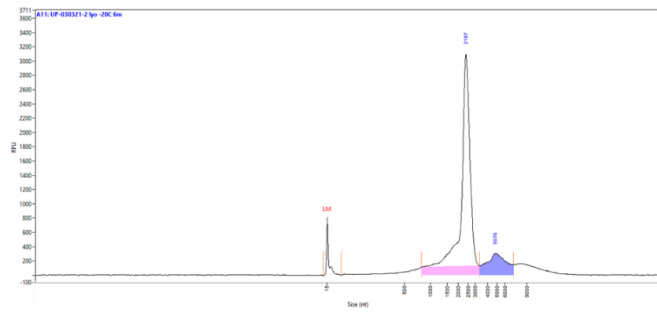
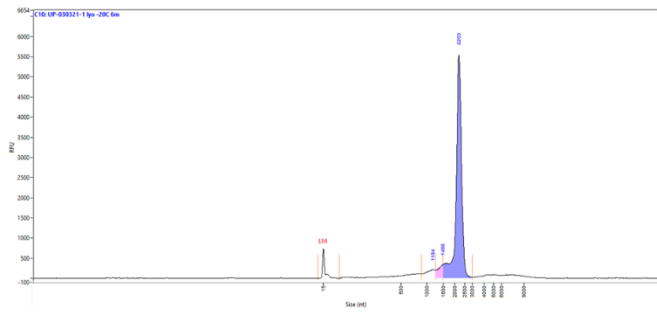
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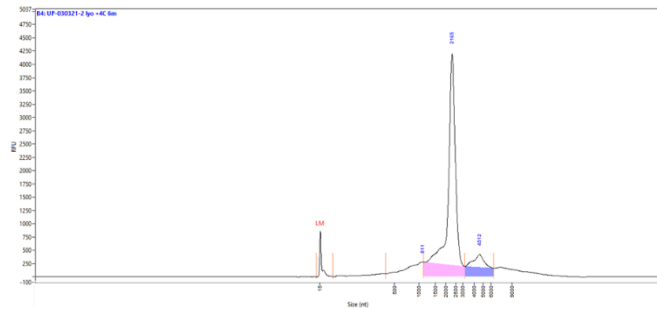
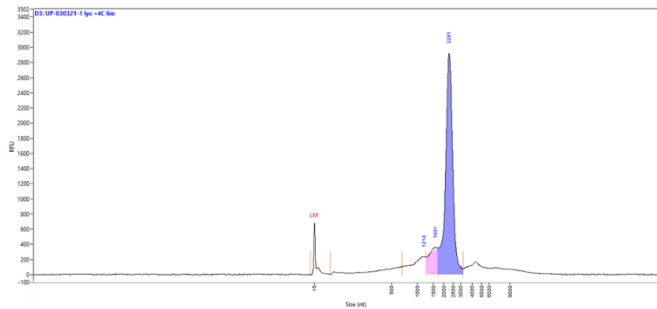
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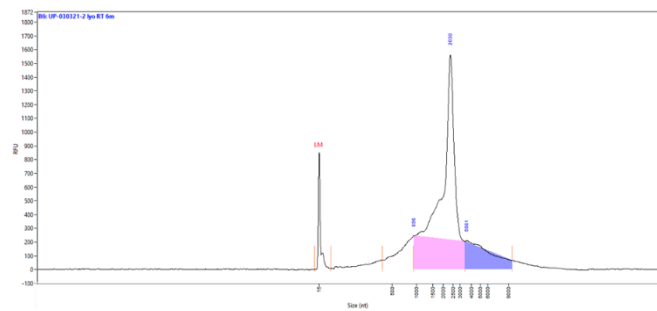
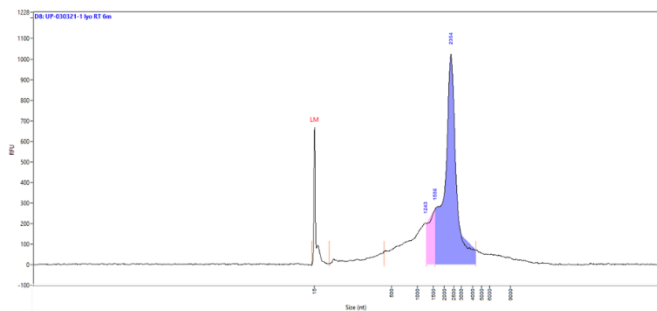
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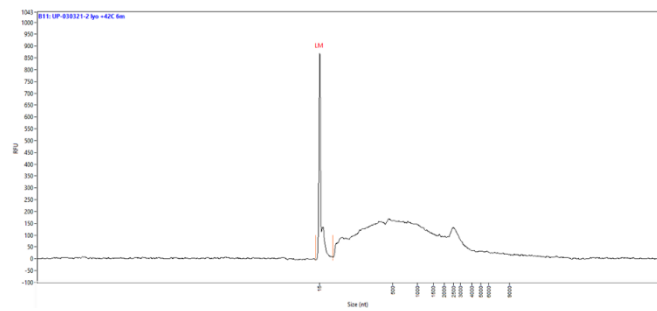
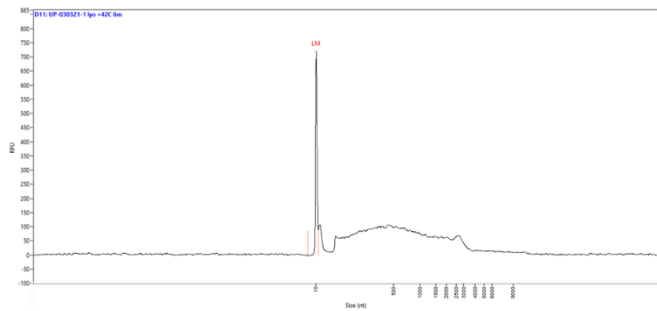
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Lyo
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W24



Lyo
(42°C)
W24



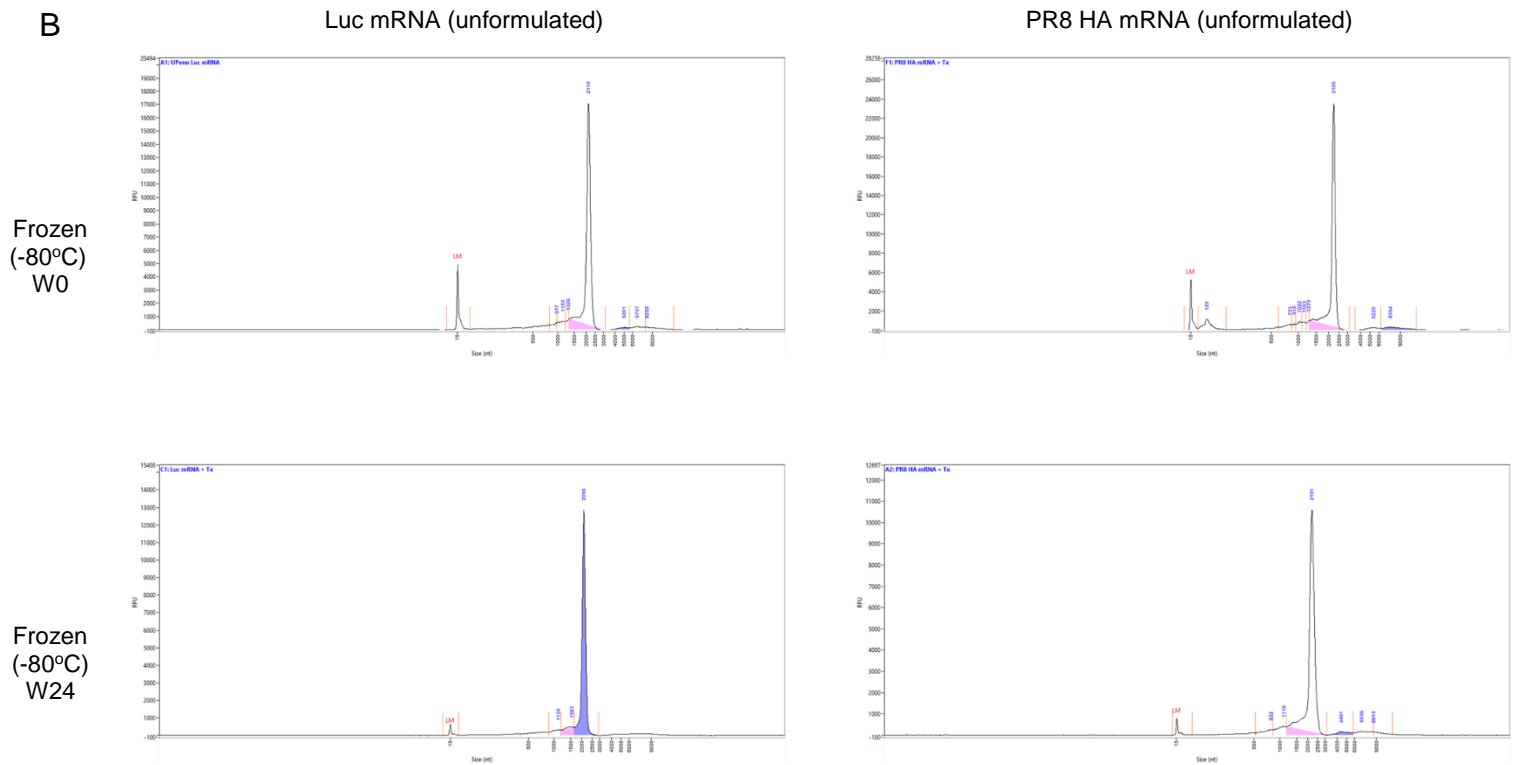


Figure S3. Related to Figure 1. Electropherograms of mRNA integrity analysis by capillary gel electrophoresis. All samples (unformulated mRNA and LNP) were treated with Triton X-100 to disrupt the particle and analyzed by capillary electrophoresis on the Agilent 5200 Fragment Analyzer. (A) mRNA integrity analysis post-thawing of frozen Luc and PR8 HA mRNA-LNPs stored at -80°C and post-reconstitution of lyophilized Luc and PR8 HA mRNA-LNPs stored at -80°C, -20°C, 4°C, 25°C or 42°C at week 0 and week 24. (B) mRNA integrity analysis of unformulated mRNA payloads at week 0 and week 24 stored at -80°C.

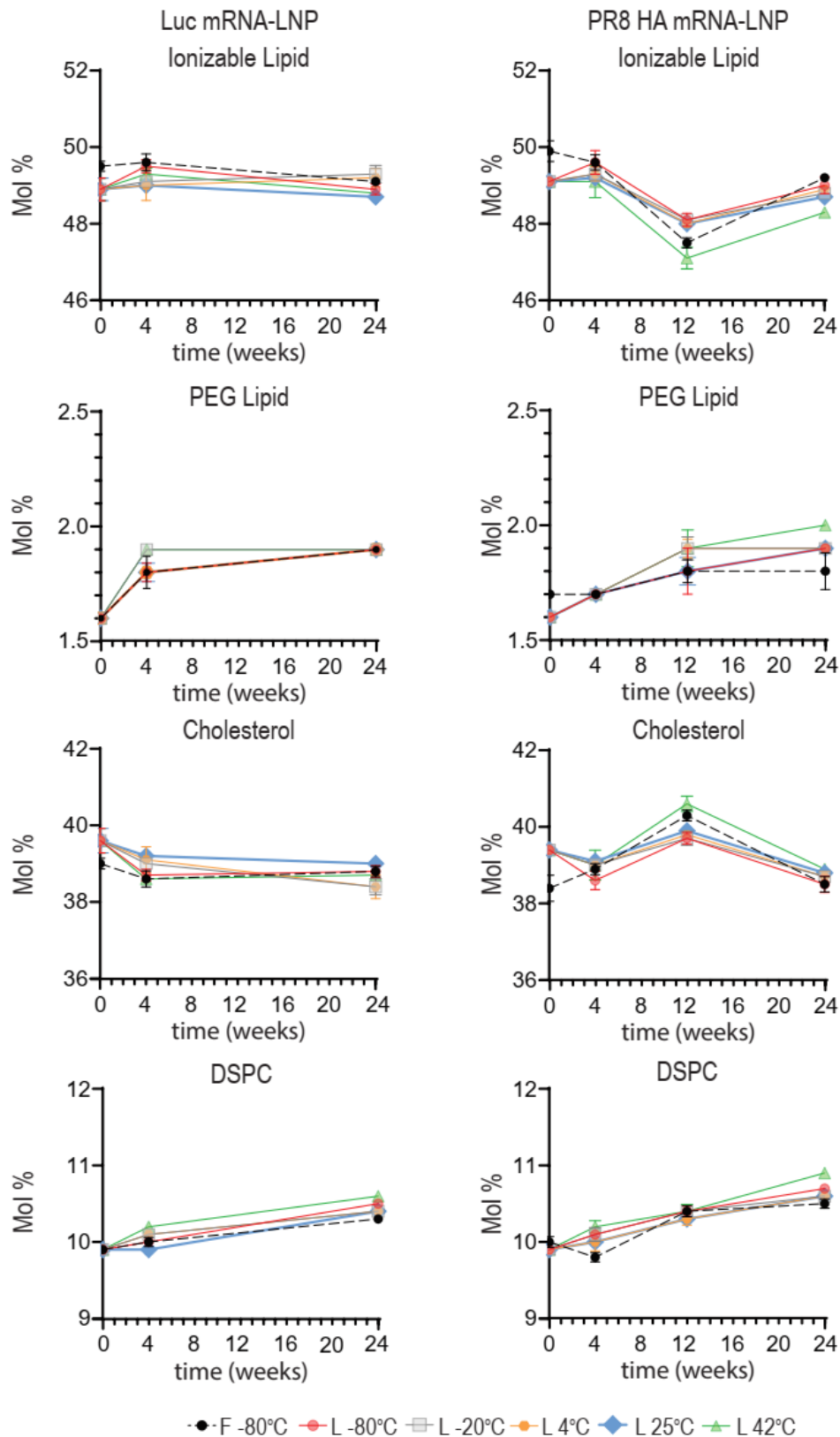
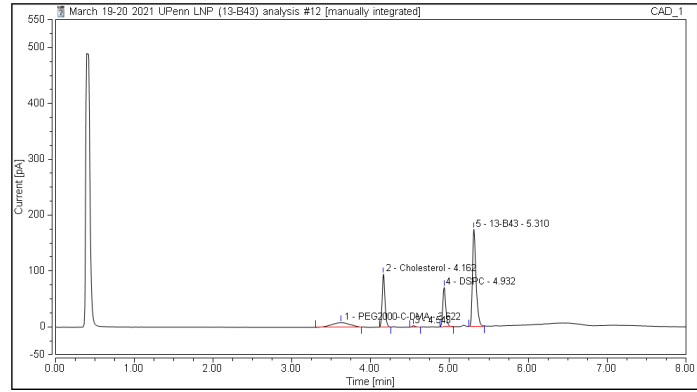
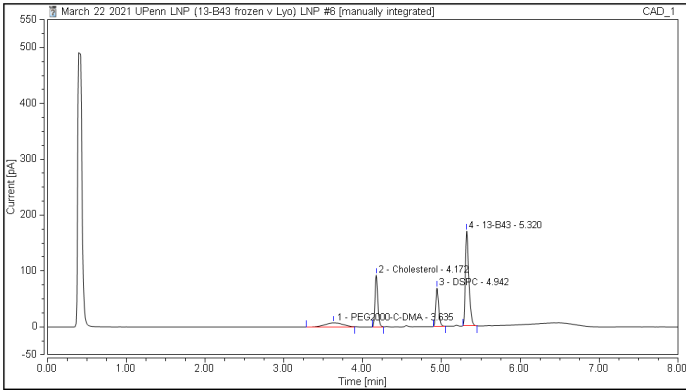


Figure S4. Related to Figure 1. UHPLC analysis of individual lipid components of Luc and PR8 HA mRNA-LNPs. UHPLC analysis post-thawing of frozen Luc and PR8 HA mRNA-LNPs stored at -80°C and post-reconstitution of lyophilized Luc and PR8 HA mRNA-LNPs stored at -80°C, -20°C, 4°C, 25°C or 42°C for 0, 4 or 24 (Luc) or 0, 4, 12, or 24 (PR8 HA) weeks. Analyses for all panels were done in triplicate. Error bars are SEM.

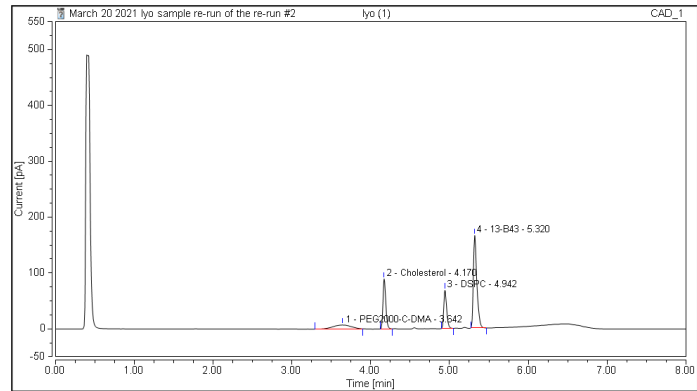
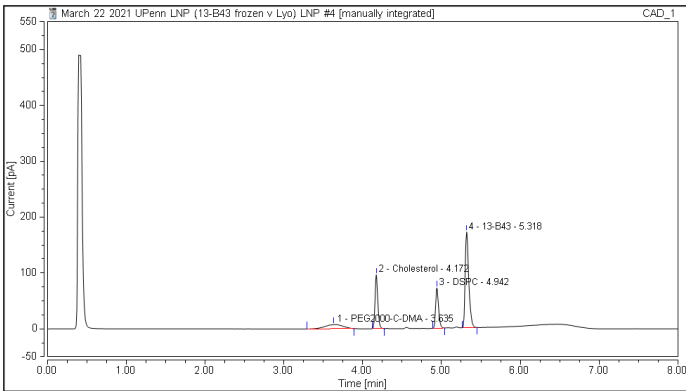
Luc mRNA-LNP

PR8 HA mRNA-LNP

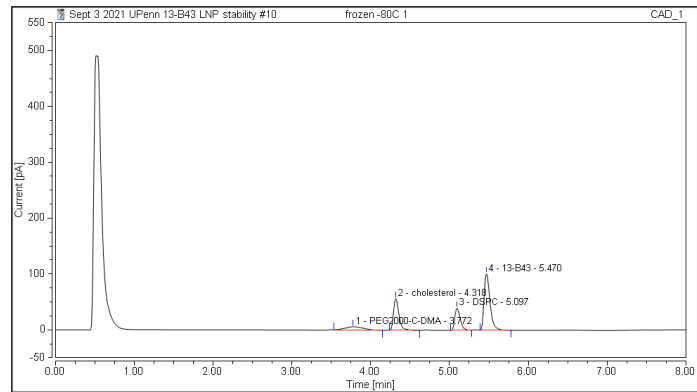
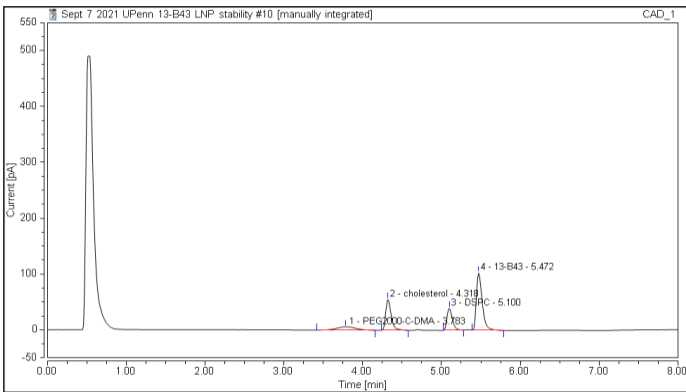
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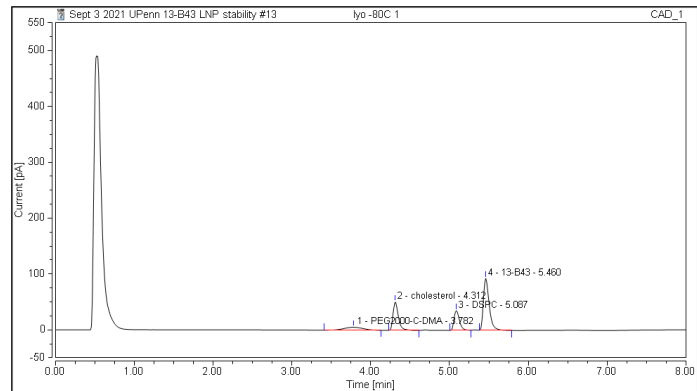
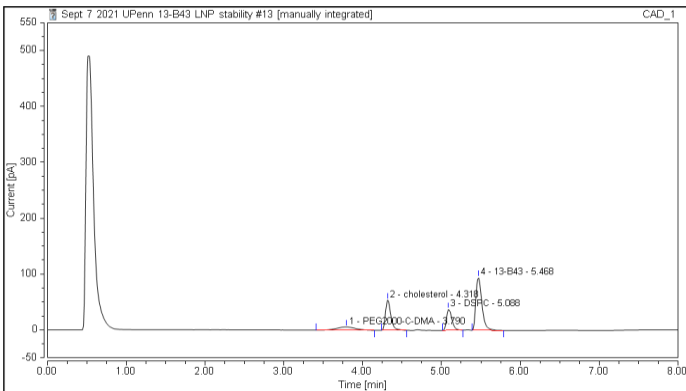
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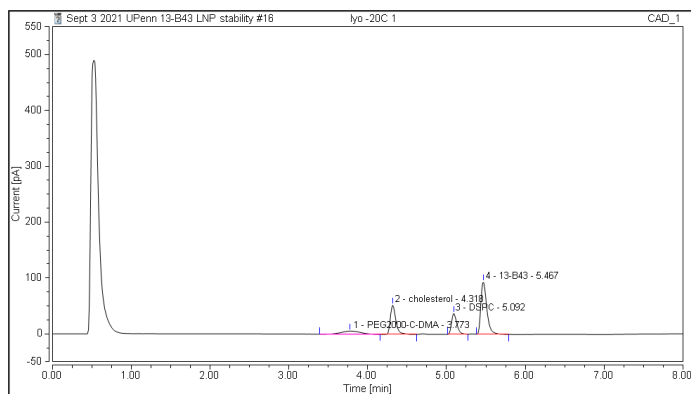
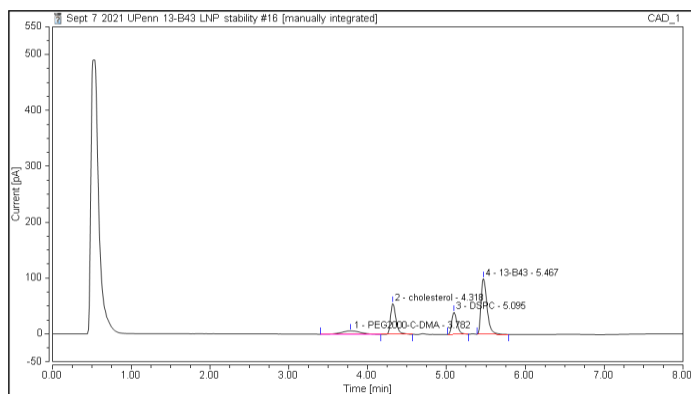
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W24



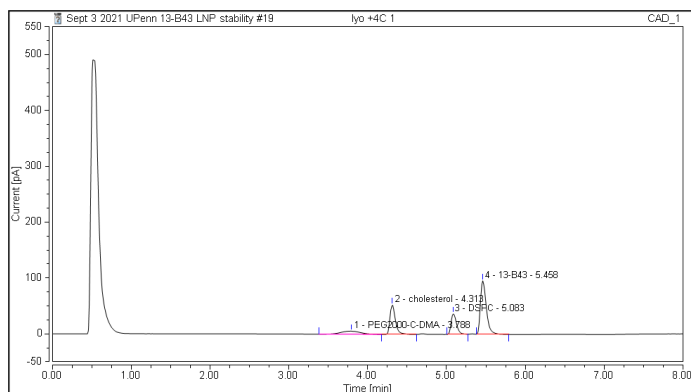
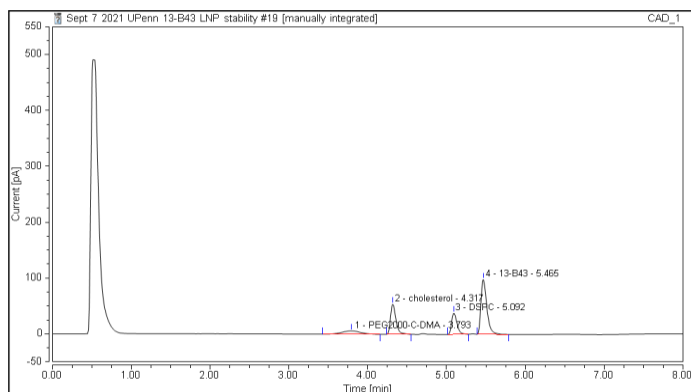
Lyo
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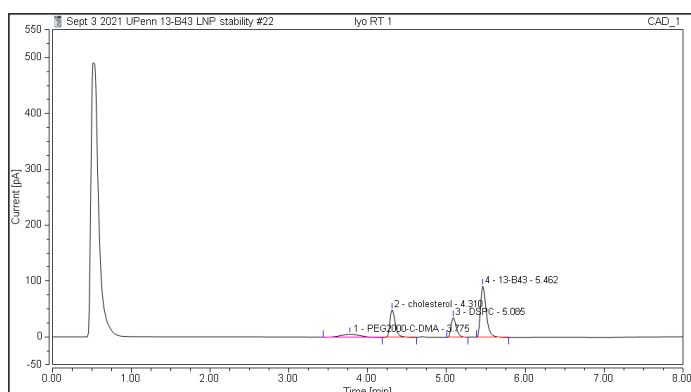
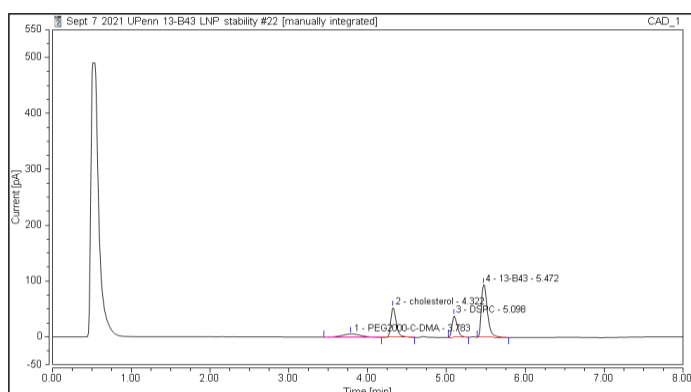
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W24



Lyo
(4°C)
W24



Lyo
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W24



Lyo
(42°C)
W24

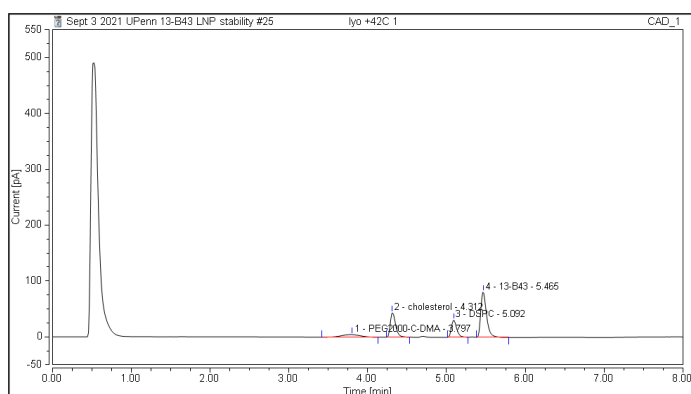
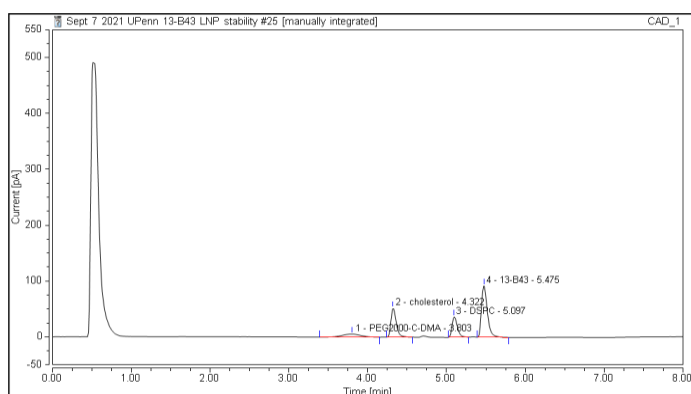


Figure S5. Related to Figure 1. UHPLC chromatograms of lipid components in Luc and PR8 HA mRNA-LNPs. UHPLC analysis post-thawing of frozen Luc and PR8 HA mRNA-LNPs stored at -80°C and post-reconstitution of lyophilized Luc and PR8 HA mRNA-LNPs stored at -80°C, -20°C, 4°C, 25°C or 42°C at week 0 and week 24. LNP samples were diluted to 1 mg/mL total lipid with ethanol and quantified against 5-point calibration curve for each of the components using the Thermo-Scientific Vanquish UHPLC system with a CAD detector.

Intramuscular

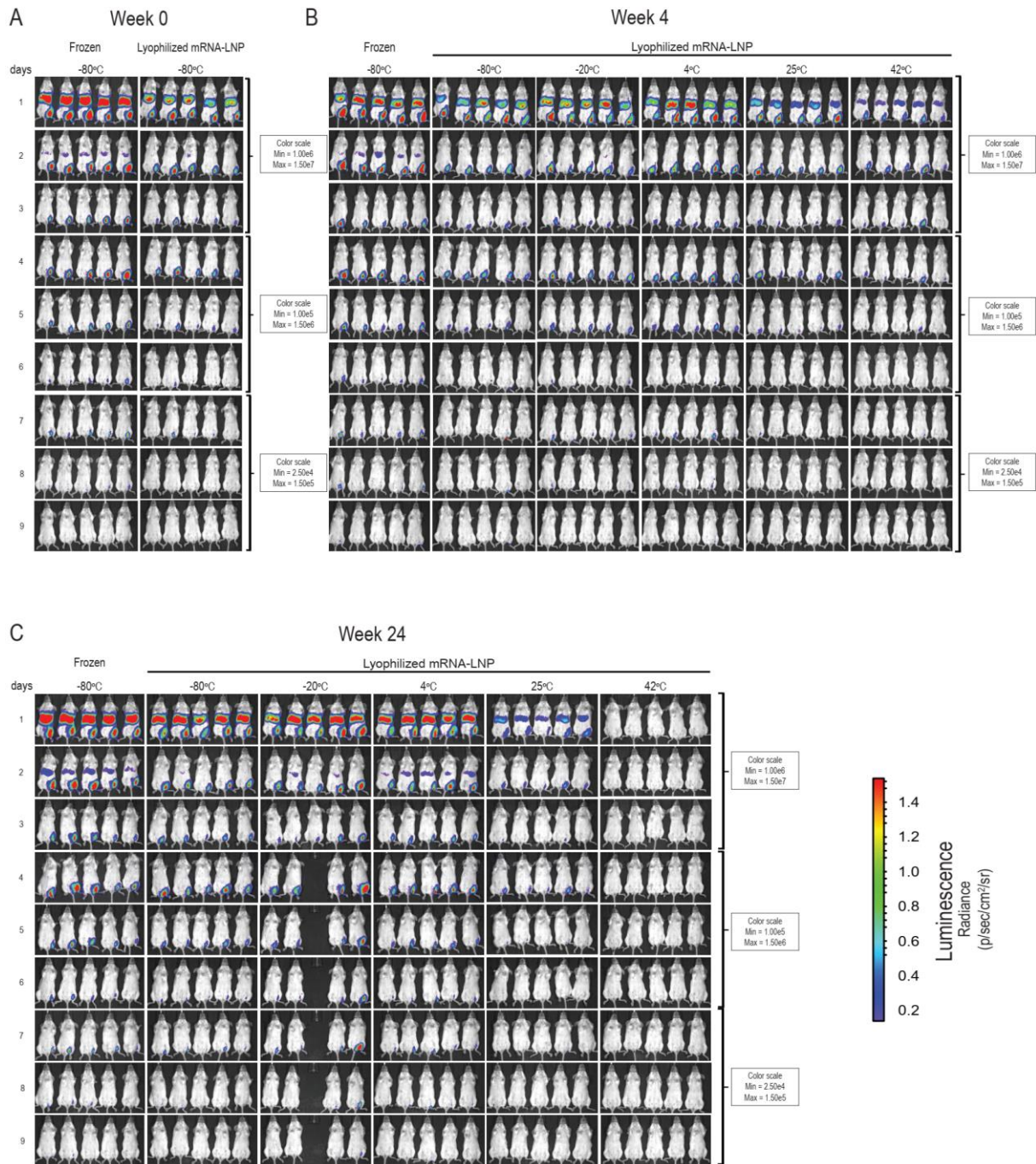


Figure S6. Related to Figure 2. In vivo imaging studies after intramuscular administration of Luc mRNA-LNPs. Representative IVIS images taken post (A) 0 weeks, (B) 4 weeks or (C) 24 weeks Luc mRNA-LNP injection. n= 5 mice per group. One animal died in the -20°C week 24 group (panel C) from a non-treatment related reason 3 days after starting the bioluminescent measurement.

Intradermal

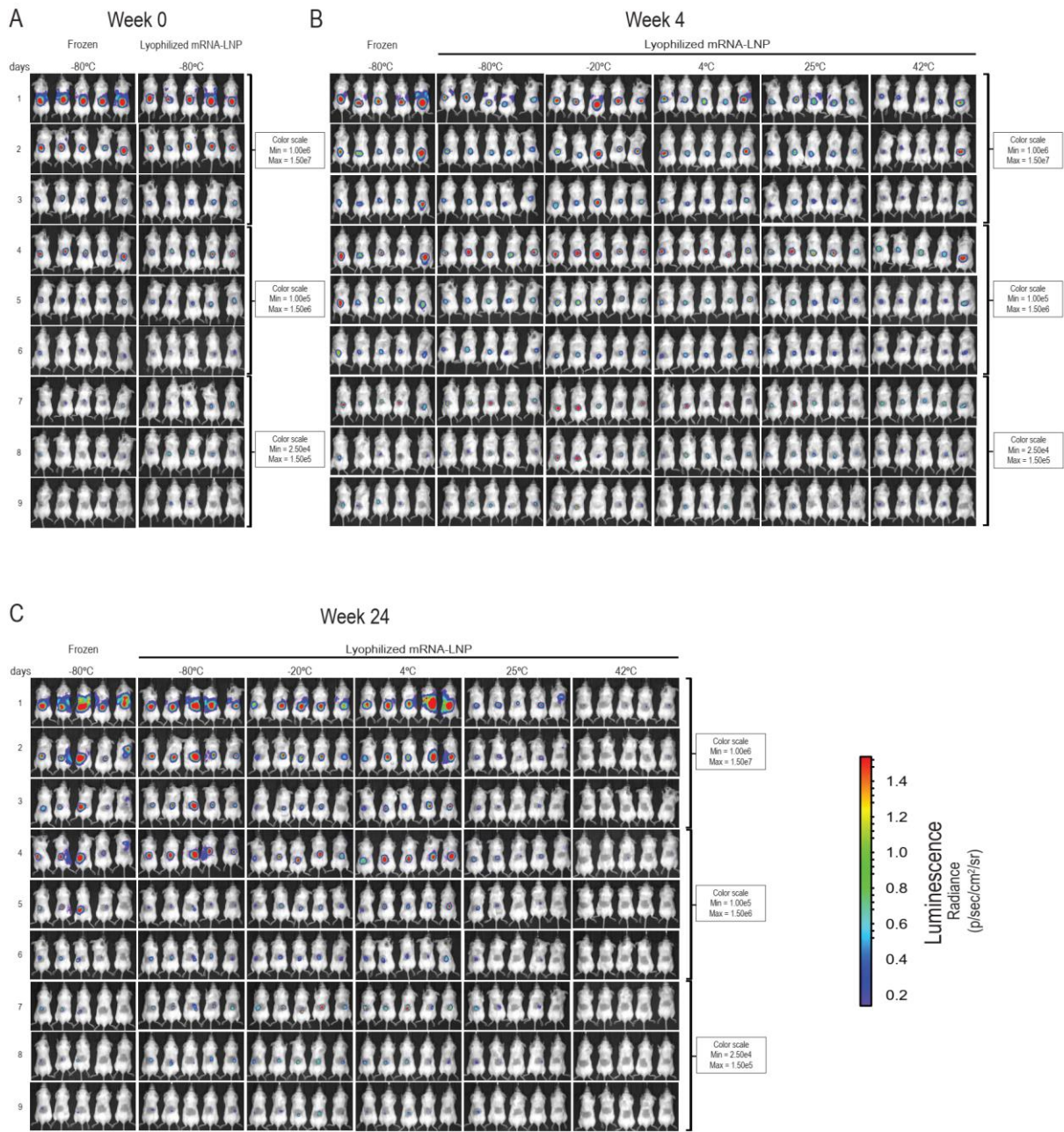


Figure S7. Related to Figure 2. In vivo imaging studies after intradermal administration of Luc mRNA-LNPs. Representative IVIS images taken post (A) 0 weeks, (B) 4 weeks or (C) 24 weeks Luc mRNA-LNP injection. n= 5 mice per group.

Time point	Z-average (nm)	Polydispersity	% Encapsulation
Release (T0)	73	0.08	99
1 year (-80°C)	73	0.09	99

Table S1. Related to Figure 1. Stability of Luc mRNA-LNPs stored frozen at -80°C. Particle size, polydispersity and encapsulation efficiency of frozen Luc mRNA-LNPs were measured after preparation (release, T0) and after storage at -80°C for 1 year.

mRNA-LNP	Parameter	Wet	-80°C frozen	Lyophilized /Reconstituted
Luc	Z-avg (nm)	77	80	97
	Polydispersity	0.02	0.08	0.06
	Encapsulation	97%	97%	93%
	[mRNA] mg/mL	0.51	0.48	0.46
PR8 HA	Z-avg (nm)	78	83	98
	Polydispersity	0.03	0.06	0.06
	Encapsulation	97%	97%	93%
	[mRNA] mg/mL	0.50	0.49	0.46

Table S2. Related to Figure 1. Physicochemical characterization of mRNA-LNPs before and after freezing or lyophilization/reconstitution at week 0. Wet formulation represents freshly prepared LNP, characterized post-sterile filtration, prior to freezing or lyophilization. Frozen sample represents LNP that was frozen at -80°C and thawed at room temperature just prior to characterization. Lyophilized and reconstituted LNP represents a sample that was characterized following reconstitution of the lyophilized sample with water. Particle size and polydispersity were measured by dynamic light scattering. mRNA concentration and encapsulation were measured by RiboGreen assay.

Format	Parameter	-80°C	-20°C	4°C	25°C	42°C
Non-lyophilized at week 4	Z-avg (nm)	81	128	89	85	86
	Polydispersity	0.06	0.08	0.16	0.15	0.15
	Encapsulation	97	92	97	97	97
	[mRNA] mg/mL	0.51	0.52	0.52	0.51	0.47
	mRNA integrity (%)	101	106	104	89	12
Lyophilized at week 4	Z-avg (nm)	97	95	95	97	137
	Polydispersity	0.05	0.06	0.06	0.07	0.08
	Encapsulation	92	93	93	93	91
	[mRNA] mg/mL	0.47	0.46	0.45	0.46	0.42
	mRNA integrity (%)	104	104	101	92	36

Table S3. Related to Figure 1. Physicochemical characterization of Luc mRNA-LNPs in non-lyophilized versus lyophilized format after 4 weeks of storage at the specified temperatures. Non-lyophilized and lyophilized Luc mRNA-LNPs were characterized following 4 weeks of storage at -80°C, -20°C, 4°C, 25°C, and 42°C. Particle size and polydispersity were measured by dynamic light scattering, encapsulation and mRNA concentration were measured by the RiboGreen assay, and mRNA integrity was determined by capillary electrophoresis.