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A Youth Culturally adapted Manual Assisted Problem Solving Training (YCMAP) in Pakistani adolescent with a history of self harm: Protocol for multi-centre clinical and cost effectiveness randomised controlled trial.

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5 A Youth Culturally adapted Manual Assisted Problem Solving Training (YCMAP) in Pakistani
6 adolescent with a history of self harm: Protocol for multi-centre clinical and cost effectiveness
7 randomised controlled trial.
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ABSTRACT

Introduction: Suicide is a global health concern. Socio-cultural factors have an impact on self-harm and suicide rates. In Pakistan both self-harm and suicide are considered as criminal offense's and are condemned on both religious and social grounds. The proposed intervention "Youth Culturally Adapted Manual Assisted Problem Solving Training (YCMAP)" is based on principles of problem-solving and cognitive behavioural therapy (CBT). YCMAP is a brief, culturally relevant, scalable intervention that can be implemented in routine clinical practice if found to be effective.

Method and Analysis: A multi-centre rater blind randomized control trial (RCT) to evaluate the clinical and cost effectiveness of YCMAP including a sample of 652 participants, aged 12-18 years, presenting to general physicians/clinicians, emergency room after self harm or self referrals. We will test the effectiveness of 8 to 10 individual sessions of YCMAP delivered over three months compared to Treatment as Usual (TAU). Primary outcome measure is repetition of self-harm at 12 months. The secondary outcomes include reduction in suicidal ideation, hopelessness and distress and improvement in health related quality of life. Assessments will be completed at baseline, 3, 6, 9 and 12 month post randomization. The nested qualitative component will explore perceptions about management of self-harm and suicide prevention amongst adolescents and investigate participants' experiences with YCMAP. The study will be guided by the Theory of Change approach to ensure that the whole trial is centred around needs of the end beneficiaries as key stakeholders in the process.

Ethics and Dissemination: Ethics approval has been obtained from the Ethics Committee of University of Manchester, the National Bioethics Committee in Pakistan. The findings of this study will be disseminated through community workshops, social media, conference presentations and peer-reviewed journals.

Trial Registration Number: NCT04131179

STRENGTHS AND LIMITATIONS OF THIS STUDY

1. This is the first multi centre RCT to evaluate clinical and cost effectiveness of a culturally relevant psychological intervention for young people presenting with self-harm in a Lower and Middle Income Country (LMIC).
2. This trial fulfills an important clinical need of LMICs, specifically in Pakistan.
3. The trial will provide evidence based outcome data to inform and support policy makers in formulating policies for prevention of self-harm and suicide.
4. Stigma, fear of persecution by authorities, peer pressure and educational commitments may act as a barriers to young people participating in the study.
5. The Theory of Change approach will help mitigate some of the risks around stigma and refusal to participate by involving parents and young people from the inception of the trial.

INTRODUCTION

Suicide is one of the major public health concerns globally with 800,000 suicides each year across the globe (1). More than 75% of suicides occur in Low and Middle Income Countries (LMICs). Overall, suicide is the 2nd leading cause of death in individuals between 15-29 years old (1). A recent review reported suicide rates in South Asia to be higher than the global average(2). However, such figures are likely to be an underestimate due to a lack of accurate data on suicide in Pakistan (3) and in many other LMICs (4).

In Pakistan, a conservative Islamic state, policies are changing but suicide and self-harm (defined below) remain criminal acts and are condemned both socially and religiously as a moral wrong. Suicide and self-harm are often considered taboo subjects across the country, thus contributing to a lack of evidence and under-reporting (2). There is, however, accumulating evidence that both self-harm and suicide rates have been increasing in Pakistan but there continues to be notable gaps in evidence(2). In a systematic review of mental health studies of adolescents in India, it was found that self-harm is particularly problematic in young people, with three months prevalence ranging from 3.9% to 25.4% in community based studies (5, 6). Self-harm is one of the strongest predictors of death by suicide (7). Self-harm also carries a substantial economic impact and has been associated with large treatment costs in Pakistan (8). Adolescents with a history of self-harm are at a higher risk of repeating this later in life (9)thus there is an important need to develop effective interventions in order to avoid long-term burden.

In adolescents, self-harm is the result of a highly complex interplay between genetic, biological, psychological, social, and cultural factors (9). Common set of risk factors and psycho-social mechanisms for self-harm within LMICs include being female, experiencing interpersonal conflict, suffering from abuse (including domestic/family/gender based violence), hopelessness, and being diagnosed with psychiatric disorder (2). Other psychological influences include feelings

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3 of entrapment, a lack of belonging or connection (10). Research in Pakistan is imperative to
4 explore the effectiveness of interventions for potential risk factors to reduce rates of self-harm and
5 suicide (11). The World Health Organization (WHO) Report “preventing Suicide: A Global
6 imperative” recommends “two-fold” public health approach for suicide prevention i.e. to identify
7 the issues, and provide treatment for high-risk individuals. Considering the benefits of adult
8 individual Cognitive Behaviour Therapy (CBT) -based psychotherapy, there is a need to develop
9 this further for adolescents (12). Psychological therapies that work in western culture cannot
10 always be implemented in a different culture and adaptation is considered to be essential (13, 14).
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15 Since interpersonal conflicts with family members are a commonly reported precipitant of self-
16 harm episodes in Pakistan (2, 11). It has been reported that problem-solving therapy “Culturally
17 adapted Manual Assisted brief Psychological intervention (CMAP)” may be a useful intervention
18 for prevention of self-harm in Pakistan (11). This psychological intervention, using variants of
19 CBT that are age appropriate for the local culture and customs in Pakistan, may prove to be
20 beneficial in reducing self-harm in adolescents (15). Before this intervention can be implemented
21 at a larger scale, there is a need for establishing its efficacy and cost-effectiveness via the proposed
22 trial. We cannot assume that an intervention developed for adults would be suitable for children
23 and adolescents and since self-harm remains a major problem in young people, this planned trial
24 fills an important gap and clinical need.
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31 **METHODS AND ANALYSIS**

32 **Objectives**

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36 This study includes both quantitative and qualitative aspects.
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39 The objectives of the quantitative component are:
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- 42 1. To determine the clinical effectiveness of YCMAP over 12 months based on the
43 following outcomes:
 - 44 a. Primary outcome:
 - 45 i. To measure the effectiveness of YCMAP in comparison to treatment as
46 usual (TAU) in terms of repetition of self-harm 12-month post
47 randomization. This will be assessed using adapted Suicide Attempt
48 Self-Injury Interview SASII (16).
 - 49 b. Secondary outcomes:
 - 50 i. Suicidal ideation will be assessed using the “Beck Scale for Suicidal
51 ideation (BSI)”(17).
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- ii. Feelings of hopelessness will be assessed using the “Beck Hopelessness Scale (BHS)”(18).
- iii. Level of distress will be assessed using a 10-item scale the “Kessler Psychological Distress Scale (K10)”(19).
- iv. EQ-5D- Y will be used to assess “health related quality of Life” (20).
- v. Client Satisfaction Questionnaire-“CSQ-8” will be used to assess participants’ satisfaction with services (21).

Translated versions of all these scales have been developed and used in previous trials CMAP1 (15) and CMAP 2 (11) by following established protocols for translating such measures (22). The scales which were not available in Urdu were translated for an MPhil project (Brief psychological intervention for adolescents who self harm, IPP/BU/ER/103/1377) and reviewed in initial Patient and Public Involvement and Engagement meetings.

Assessments will be carried out at baseline, completion of the intervention (3 months), 6, 9 and 12 months after randomisation. See figure 1 below

2. To determine the cost effectiveness of the YCMAP intervention over 12 months.

The objectives of the qualitative component are:

1. To explore the experience of participants with YCMAP and their perception about benefits and negative or adverse consequence of it.
2. To explore in detail participants’ reasons for continuing (completer) or not continuing (drop out) with the study.
3. To explore clinicians and other stakeholder views (such as school teachers) on the YCMAP and its impact.
4. To explore therapists’ perspective on the delivery of the YCMAP.

The expected outputs of the study are:

- a) A manual-assisted evidence based intervention - the YCMAP, ready for integration into the health care services.
- b) Standardized training and supervision package - a resource pack and training program on 'how to do it' for use across health services.
- c) Information Tool-kit for the families and the wider community. This will be service-user defined and will be used to increase awareness about mental health in general and self-harm and suicide in young people in particular.

Inclusion Criteria

Adolescents meeting the study inclusion criteria will be invited to take part in the study by their primary care clinician, ward or emergency room (ER) clinician who is making the initial assessment. In the context of this study, self-harm is defined as: *“an act with non-fatal outcome, in which an individual deliberately initiates a non-habitual behaviour that, without interventions from others, will cause self-harm, or deliberately ingests a substance in excess of the prescribed or generally recognised therapeutic dosage, and which is aimed at realizing changes which the subject desired via the actual or expected physical consequences”*(23).

- Age: 12-18 years.
- History of recent self-harm which is defined as “ self-harm occurring within the last 3 months (from the initial identification of a potential participant)”. This period is considered as high risk for repetition in young people (9).
- Resident in the trial catchment area.
- All participants known to clinical or health services. This will be necessary to ensure all participants have access to TAU.

Exclusion Criteria

- Patients with a severe mental illness, such as psychotic disorder.
- Patients with conditions limiting engagement with assessment/intervention.
- Temporary resident unlikely to be available for follow up.

Design

A multi-centre rater blind RCT with randomisation by individual participants in order to compare the YCMAP in addition to TAU with TAU alone.

Study Site and Population

Study sites are all participating primary care clinics, emergency departments and medical wards of general hospitals in five major cities of Pakistan, Karachi, Hyderabad, Lahore, Multan and Rawalpindi.

Sample Size

The sample size is based on the primary outcome, repetition of self-harm in a 12-month period (yes/no). The TAU arm of the study has an expected self-harm rate of 20% (5). A clinically important effect would be a reduction to 7.5% in the intervention group. Under these presumptions,

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3 and assuming a 5% significance level and 90% power, a study with no clustering would require
4 158 patients per arm. The study has a partially nested design due to therapist clustering in the
5 YCMAP arm. Our sample size calculation has taken account of this by adjusting the sample size
6 upwards, conservatively assuming clustering in both arms. Based on previous analysis of therapist
7 trials, we believe that the intraclass correlation coefficient (ICC) is likely to have a value between
8 0.01 and 0.05 for this type of outcome measure. Assuming an ICC of 0.05, and a cluster size of 16
9 patients per therapist, a design effect of 1.75 is calculated. This increases the numbers required to
10 277 per arm. Furthermore, based on our previous work there is expected to be a 15% loss to follow-
11 up, and so the final numbers recruited will be 326 per arm, with a total sample of 652 participants.
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18 **Randomisation**

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20 The researcher will complete a checklist, confirming eligibility, obtain written (or verbal audio-
21 recorded consent from the subject due to current pandemic COVID-19 SOPs), and complete the
22 baseline measures. The researcher will contact the randomisation centre, who will re-check
23 eligibility, record baseline measures and assign a participant's trial number. Treatment assignment
24 will then be determined using stochastic minimisation controlling for gender, age and type of self-
25 harm behaviour. The independent statistician would inform the project manager of the random
26 assignments, but this information would not be shared with research staff, so that they remain
27 unaware of whether a particular participant receives YCMAP or not. Participants assigned to the
28 YCMAP would then be contacted by a therapist, who will arrange an initial meeting within 2-4
29 weeks after baseline to start the intervention. Participants in the TAU group would be informed of
30 their allocation to this group after randomisation had taken place.
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36 **Recruitment and Baseline Assessment**

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38 Potentially eligible individuals will be identified by clinical staff at the participating recruitment
39 sites. An age appropriate version of the Participant Information Sheet (PIS) will be provided
40 alongside the standard PIS, so both the parent/guardian and child can access this information. For
41 individuals who cannot read, information about the study will be summarised by the clinician for
42 them.
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47 **Intervention**

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49 YCMAP is a "Youth culturally adapted manual assisted psychological intervention" based on CBT
50 principles. It comprises of 8-10 sessions delivered over three months. The first eight sessions are
51 offered weekly and further sessions fortnightly on a one to one basis and each session lasts for
52 about 60 minutes. The YCMAP has been culturally adapted with permission from "CMAP" (15),
53 "Life after self-harm" (24) and "Cutting down: A CBT workbook for treating young people who
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3 self-harm”(25). The intervention includes psycho-education and a comprehensive cognitive
4 behavioural assessment of the self-harm attempt using virtual stories of four young people. The
5 therapy focuses on current problems that contributed to the self-harm episode. Therapists and
6 adolescent clients choose from a list of techniques those which are most relevant to the client’s
7 problems. Therapy is therefore adapted to fit with the clients problems and primarily utilises
8 problem solving, CBT, and dialectical therapy strategies to bring about change.
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12 To help determine the most appropriate coping strategy a coping tree is designed. Training in
13 assertiveness and anger management are offered to help the young person to develop resilience to
14 cope with stress. To the existing YCMAP we will also aim to review the look and feel of the
15 resource by including licenced content from My Big Life - a course developed by our collaborative
16 partner Five Areas Limited. This accessible, story-based approach uses illustrations of young
17 people facing different scenarios at home and at school to illustrate key CBT-based concepts:
18 Understanding your feelings -How to get a Big Life (behavioural activation) - Thinking in a Big
19 Life way (identifying and changing thoughts that upset and affect how you feel) - Relaxation
20 approaches - Building inner confidence - Practice scenarios - Trainer notes and linked
21 worksheets/prompt cards and posters. Asian versions of the course already exist with amended
22 artwork. As part of the development programme selected content will be added to the course and
23 trainer notes will be modified (based on feedback) as needed.
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30 All research staff will be required to have at least an undergraduate level degree in a relevant area
31 (e.g. psychology) and will be trained in “Good Clinical Practice (GCP) ” in research, consent
32 process, and use of the assessment measures. Regular supervision meetings will be arranged for
33 research staff and therapists for case discussions, identifying and managing distress in participants.
34 A study protocol will be in place prior to the start of the trial. This will include details about how
35 to manage difficult situations arising in research, safety and lone working arrangements.
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39 **Treatment as Usual (TAU)**

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41 Local psychiatric, medical and primary care services provide standard routine care according to
42 their clinical judgment and available resources. We will administer Client Services Receipt
43 Interview (CSRI) (26) to obtain details regarding treatment received by each study
44 participant. These participants will receive an initial assessment along with TAU as ascertained by
45 their treating doctor at the hospital or their GP. The current practice is that self-harm patients are
46 not routinely referred to mental health services. Research staff will record the nature and intensity
47 of the routine care delivered for each participant.
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Statistical Analysis

Statistical analysis will be based on intention-to-treat principles, subject to the availability of data. During the course of the trial, periodic random quality checks of data will be carried out by the trial statistician blind to treatment allocation. Once data entry has been completed, preliminary data analysis will be carried out blind to treatment allocation, prior to un-blinding. The results of the trial will follow the standard CONSORT recommendations. Baseline and follow-up data will be summarised using the appropriate descriptive statistics and graphical summaries. Treatment effects will be presented with 95% confidence intervals. We will investigate baseline factors that predict nonresponse using a logistic random effects model as non-response may be clustered by therapy group. The statistical analysis of the primary outcome measure (repetition of the self-harm episode within 12-months) will be based on a logistic random effects model with randomised treatment, age, gender and type of self-harm as fixed effects and a random effect of therapist in the treatment arm, with individuals in the control arm being treated as clusters of size 1. The continuous secondary outcome measures will calculate treatment effects using a linear mixed model, with a random effect of therapist and the set of baseline covariates as above including baseline values of the outcome where available. A single model will be fitted across all time-points, with a fixed effect for time, and interactions between time and treatment group. There will be no adjustment to secondary outcomes CIs for multiple testing. Binary secondary outcomes will take a similar approach to continuous outcomes, but will use logistic random effects models.

Economic Evaluation

The economic evaluation will take a societal perspective that incorporates the costs of both formal and informal health care and other relevant economic impacts such as on education. It will include financial impacts on providers and out of pocket payments by participants and their families. The use and payment for services will be collected using the CSRI (26) with patients and their families at baseline and at each follow up assessment. The use of the YCMAP intervention will be recorded separately by the trial team. Appropriate unit costs will be collected and attached to individual-level resource use quantities to estimate total care costs for each individual. Cost estimates for the YCMAP intervention will include a) the salary of the therapists and b) indirect costs like session preparation, supervision, on-costs and capital overheads. Comparisons of total costs between the YCMAP and TAU arms will be based on non-parametric bootstrapped regressions (with covariates for baseline costs, outcome measures and other key baseline factors) to account for the likely non-normal distribution in costs. An initial analysis will examine whether any additional costs associated with the YCMAP intervention are offset by savings elsewhere.

More formal assessments of cost-effectiveness will link between-arm differences in average costs with between-arm differences in a) the primary outcome measure, repetition of self-harm (SASII) and b) quality adjusted life year (QALY) gains. QALYs will be estimated by applying relevant

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3 utility weights to health states measured through EQ-5D-Y (20, 27). Costs and outcomes will be
4 linked in the form of incremental cost-effectiveness ratios (ICERs) where relevant, and cost-
5 effectiveness acceptability curves (CEACs) based on the net benefit approach. As per the clinical
6 effectiveness analyses, we will use an intention-to-treat approach for all economic analyses.
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10 11 **Qualitative Data Analysis**

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14 A purposefully selected subset (stratified by age, gender and self-harm severity) of participants in
15 the treatment group will be invited to complete a qualitative one-to-one digitally recorded
16 interview (face to face or via phonecall) lasting 1-1.5 hours. This will focus on their experience
17 with the YCMAP intervention, the barriers and facilitators to engagement and perceived positive
18 or negative experience with the intervention. A sample of 12-20 participants is likely to be
19 sufficient to ensure data saturation (28) (29). Focus groups ($k = 2$ with 8-10 participants each) with
20 therapists and key stakeholders will also be conducted to enable a further process analysis
21 concerning the wider implementation of YCMAP into the Pakistani health systems. During both
22 the individual and group interviews, broader suggestions for management and prevention of self-
23 harm among young Pakistanis will also be collected to inform future service and research
24 development.
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30 We will explore perspectives of therapists and their supervisors about training and delivery of the
31 model of care. We will also interview other key stakeholders to establish facilitators and barriers
32 to implementation of the intervention in Pakistan. Targeted interviews will be done with a sample
33 of participants who completed the intervention and a sample of participants who 'dropped out'
34 before completion. Up to 30 interviews are likely to be needed with patient participants, to achieve
35 category saturation. New text mining tools will be used to link heterogeneous data, to ensure that
36 knowledge scattered with in lengthy textual data reaches clinicians, patients and policy makers to
37 support decision making using different sources of information (30). Qualitative data will be
38 analysed using Thematic Analysis (31) adopting a critical realist perspective (32). This will enable
39 the research team to draw conclusions on the intervention whilst accounting for contextual and
40 cultural factors.
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46 Topic guides will be developed through discussion amongst trained qualitative researchers as well
47 as with reference to relevant literature. Semi- structured interviews will be done to explore views
48 on the "effectiveness and sustainability" of the YCMAP intervention in the management of people
49 presenting with self-harm. Written or verbal consent at the time of interview (face to face or
50 telephone) or prior to the interview (considering current pandemic) will be obtained from study
51 participants and stake holders.
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Internal pilot study

We have included an internal pilot phase (initial 12 months) with clear stop/go criteria across the study centres. This is included to ensure achievement of recruitment targets is viable across all sites included in this full trial. Progression to the full trial will be dependent on meeting the key objectives and stop/go criteria outlined below. The pilot phase will examine the processes for recruitment and logistical practices to support the effective execution of the full RCT. The internal pilot phase will be conducted in line with the National Institute of Health Research (NIHR) guidelines (33). The key objectives of the pilot are as follows; i) Recruitment of sufficient number of General Practitioners/Hospital Departments to support the trial. ii) Identify the proportion of eligible participants recruited across the study centres, and examine the feasibility of achieving recruitment targets to a full multicenter RCT. The pilot will be judged successful if sufficient GPs and hospitals are recruited to enroll 200 participants in the first year of recruitment i.e 4-12 months. We will monitor recruitment and identify the reasons for any shortfall on a monthly basis. The predetermined Stop-Go criteria is as follows:

- i. Go if successfully recruit 180 (target minus 10%) or more participants during pilot study phase
- ii. Rescue plan to be implemented if less than 180 but greater than 120 participants recruited in pilot phase.
- iii. Stop if less than 120 participants recruited into the trial.

Theory of Change (ToC)

The study team uses ToC as the standard framework for all research projects. The overall study will be underpinned by the ToC causal model of planning, monitoring, evaluation and impact assessment to ensure that marginalised voices are included in developing the vision, identifying barriers and challenges from the lens of the end beneficiaries and short, medium and long term outcomes are developed and delivered so real change happens from the perspective of the target group. A ToC workshop with key stakeholders has already taken place to ensure all stakeholders have a buy in and ownership of the process. See Figure 2

The ToC causal diagram as an output is evidence of stakeholder engagement and ownership. Also, ToC will enable us to show how trial results are adopted in wider practice and on what basis, what barriers and challenges were faced during the trial and what assumptions were made in defining the goal statements? Informed by the ToC workshop, a Young People's Advisory Group will be set up to provide insight into the lived experiences of today's youth in Pakistan.

Patient and Public Involvement and Engagement (PPIE) Group

This group will help to ensure that the research agenda for self-harm and suicide prevention studies is informed by and aligned with service users and carer priorities.

Trial Steering Committee (TSC)

We will convene an independently chaired TSC to approve and provide oversight of the trial throughout its various stages. The TSC will include the chair, user representative, three PIs, an independent statistician and a representative from the local health department. This will be independent of the trial management team. The (TSC) will convene annually, but twice in the first year, with feedback from the Chair as and when needed.

Data Monitoring and Ethics Committee (DMEC)

The DMEC will oversee the data and advise the TSC on any ethical or safety concerns.

Ethics

Ethics approval for the trial has been obtained from the Research Ethics Committee of University of Manchester (Ref: 2019-5024-10755) and the National Bioethics Committee in Pakistan (Ref: No.4-87/NBC-419/19/1213).

Dissemination

The study findings will be disseminated using social media, at national and international conferences in partnership with the “service user”, “carer” and “community organizations”. Also, study results will be submitted to peer and non peer-reviewed journals for publication.

Discussion

There has been a recent significant increase in number of trials related to self-harm and suicide prevention which reflects the need and international concern about self-harm/suicide prevention. However, in Pakistan evidence based research is limited with no specific intervention for adolescents with self harm history. The evidence suggests that CMAP intervention for adults is effective in Pakistan (15,34). The components of CMAP intervention may also be beneficial for adolescents presenting after self-harm, therefore there is a strong need to determine the effectiveness of culturally adapted manual assisted problem solving intervention for adolescents in Pakistan. Findings will also contribute to evidence based treatments for self-harm and will meet

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3 important clinical needs of Pakistan and will help guide policy makers in developing suicide
4 prevention policies.
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6 7 **Authors Contributions** 8

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10 Prof. NH, NC, TK involved in conceptualisation, designing and planning of the project (including
11 protocol development). All authors gave their valuable inputs in finalizing the study protocol.
12

13 14 **Funding Statement** 15

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17 The Medical research Council /DFID/NIHR programme (MR/R022461/1) has funded this trial.
18 The funder has no role in designing the study methodology, data analysis and interpretation,
19 manuscript writing and in the decision to submit the protocol for publication.
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21 22 23 **Competing Interests** 24

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26 All authors have read and declared that we have no competing interests with the exception of NH,
27 NC, IBC, TK and CW. NH, former Trustee of “Pakistan Institute of Living and Learning (PILL)”,
28 “Abaseen Foundation (UK)” and “Lancashire Mind (UK)”. At “Manchester Global Foundation”,
29 he is the Chair of Board of Trustees. He is also a member of the executive committee for the
30 Faculty of Academic Psychiatry, at the Royal College of Psychiatrists. NH is a NIHR Senior
31 Investigator. NC is Associate Director of Global Mental Health and Cultural Psychiatry Research
32 Group. IBC, former Trustee of “PILL” is Honorary Professor at the University of Manchester. NH,
33 IBC and NC have received support for educational programs and/or travel support and/or speaker
34 fees from pharmaceutical companies. CW is the author of a book aimed at suicide prevention, and
35 has written a range of books and online CBT-based course resources that are available as both,
36 free access and on a commercial basis. NH, NC, IBC and TK’s time is partially funded by the
37 Global Challenges Research Fund “South Asia Harm Reduction Movement-SAHAR M”
38 (MR/P028144/1).
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45 46 **Acknowledgement** 47

48
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50 support and advice in the development and setting up of the trial. We would like to thank
51 community gate keepers, service users and patient and public involvement group members for their
52 kind support, input and feedback on the development of the funding application, spreading
53 awareness among masses and in trial recruitments.
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Appendix – COVID-19 continuity plan

In March 2020, due to the first wave of global pandemic-COVID-19 there was a need to make amendments to study procedures in response to the lock down and social distancing in Pakistan. The trial during this period was in the internal pilot phase and in order to ensure smooth running of the project certain changes were made to continue with collection of data, follow ups and delivery of the intervention.

Follows ups (3rd, 6th, 9th and 12th month after randomization):

Initial ethical approval was obtained for face to face assessment and intervention. However, after COVID-19, we submitted ethics amendment for data collection and delivery of intervention digitally (i.e. through telephone call, zoom, skype or other remote means) so that the study team could continue their work and meet targets on time with working from home condition. The consent form that was previously completed on paper further consents will be obtained remotely and the assessment measures to be completed remotely as well. Recordings of consent will be stored in password protected systems.

YCMAP Intervention:

YCMAP intervention comprises of 8-10 sessions delivered in a face to face setting, usually in research offices, community clinics and homes (as per participant choice). As of 20th march 2020, due to lock down across Pakistan it was difficult to deliver face to face sessions. To comply with preventive measures such as social distancing and lock down guidelines, the YCMAP intervention will be delivered remotely, via a phone call, whatsapp call, zoom or skype rather than in person face to face sessions. Contingency plan will be implemented to manage confidentiality and safe guarding risks.

Qualitative Interviews:

Qualitative interviews will be completed face to face or through telephone. Due to the pandemic situation COVID-19, topic guides will be revised in order to explore the experience of change in mode of delivery of intervention as well as impact of Covid 19 after discussing it with supervisors (EC,NC).

Supervision:

Arrangements for supervision of the therapists will continue as per protocol. The supervisions will continue to be carried out remotely via video link.

Trainings:

Arrangements for training of YCMAP team members will continue and carried out remotely via video link

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For peer review only

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3 Figure:1
4 Flow Diagram of the YCMAP study
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Figure 2
Theory of Change

For peer review only

Figure 1
Flow diagram of the YCMAP study

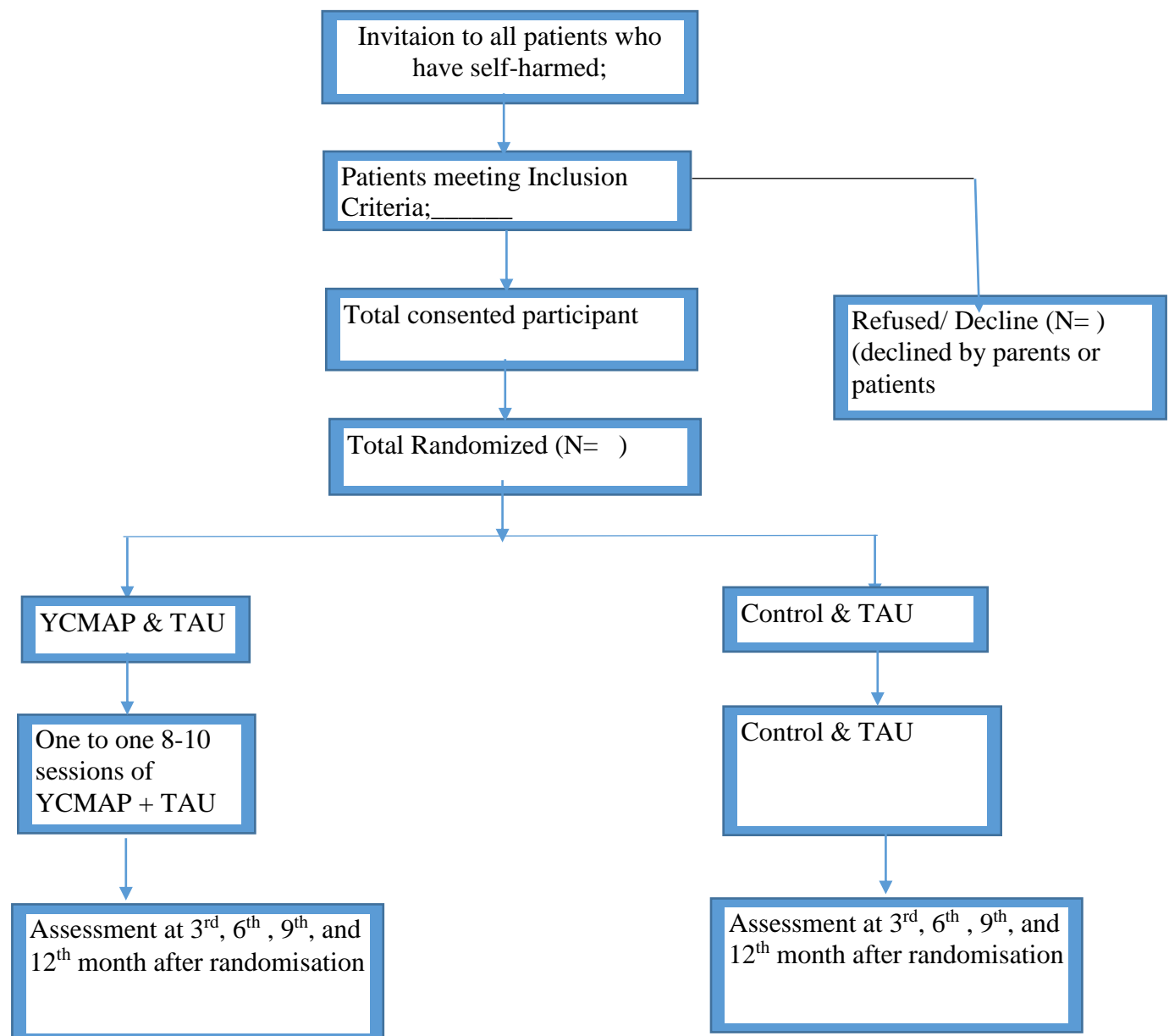
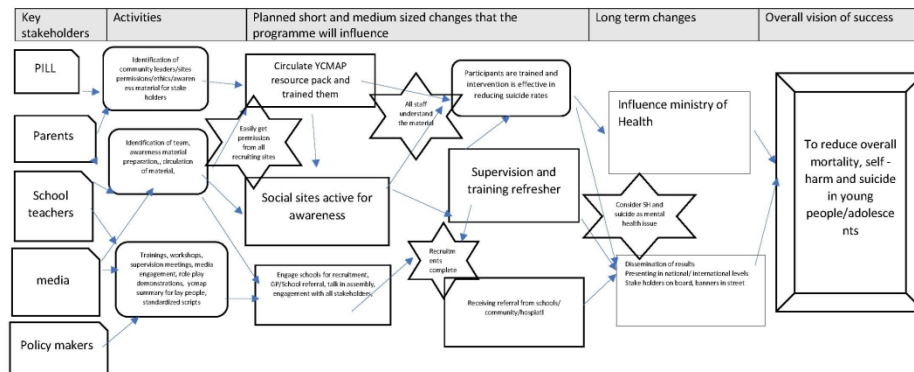


Figure 2
Theory of Change



A Youth Culturally adapted Manual Assisted Psychological therapy (Y-CMAP) for adolescent Pakistani patients with a recent history of self-harm.



Theory of Change

297x210mm (200 x 200 DPI)



CONSORT 2010 checklist of information to include when reporting a randomised trial*

Section/Topic	Item No	Checklist item	Reported on page No
Title and abstract			
	1a	Identification as a randomised trial in the title	1
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	3
Introduction			
Background and objectives	2a	Scientific background and explanation of rationale	4
	2b	Specific objectives or hypotheses	5
Methods			
Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	7
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	No change
Participants	4a	Eligibility criteria for participants	7
	4b	Settings and locations where the data were collected	7
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	8
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	5-6
	6b	Any changes to trial outcomes after the trial commenced, with reasons	No
Sample size	7a	How sample size was determined	7-8
	7b	When applicable, explanation of any interim analyses and stopping guidelines	No
Randomisation:			
Sequence generation	8a	Method used to generate the random allocation sequence	8
	8b	Type of randomisation; details of any restriction (such as blocking and block size)	8
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	8
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	8
Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those	8

1		assessing outcomes) and how	
2		11b If relevant, description of the similarity of interventions	No
3	Statistical methods	12a Statistical methods used to compare groups for primary and secondary outcomes	10
4		12b Methods for additional analyses, such as subgroup analyses and adjusted analyses	10
5			
6	Results		
7	Participant flow (a	13a For each group, the numbers of participants who were randomly assigned, received intended treatment, and	No, as it's a
8	diagram is strongly	were analysed for the primary outcome	protocol
9	recommended)	13b For each group, losses and exclusions after randomisation, together with reasons	No
10	Recruitment	14a Dates defining the periods of recruitment and follow-up	No
11		14b Why the trial ended or was stopped	No
12	Baseline data	15 A table showing baseline demographic and clinical characteristics for each group	No
13	Numbers analysed	16 For each group, number of participants (denominator) included in each analysis and whether the analysis was	No
14		by original assigned groups	
15	Outcomes and	17a For each primary and secondary outcome, results for each group, and the estimated effect size and its	No
16	estimation	precision (such as 95% confidence interval)	
17		17b For binary outcomes, presentation of both absolute and relative effect sizes is recommended	No
18	Ancillary analyses	18 Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing	No
19		pre-specified from exploratory	
20	Harms	19 All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	No
21			
22	Discussion		
23	Limitations	20 Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	13
24	Generalisability	21 Generalisability (external validity, applicability) of the trial findings	13
25	Interpretation	22 Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	13
26			
27	Other information		
28	Registration	23 Registration number and name of trial registry	3
29	Protocol	24 Where the full trial protocol can be accessed, if available	Submitting
30			now
31	Funding	25 Sources of funding and other support (such as supply of drugs), role of funders	14

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39 *We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also
40 recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials.
41 Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see www.consort-statement.org.

BMJ Open

A Youth Culturally adapted Manual Assisted Problem Solving Training (YCMAP) in Pakistani adolescent with a history of self harm: Protocol for multi-centre clinical and cost effectiveness randomised controlled trial.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-056301.R1
Article Type:	Protocol
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Primary Subject Heading:	Mental health
Secondary Subject Heading:	Public health, Evidence based practice
Keywords:	Suicide & self-harm < PSYCHIATRY, Child & adolescent psychiatry < PSYCHIATRY, MENTAL HEALTH

SCHOLARONE™
Manuscripts

A Youth Culturally adapted Manual Assisted Problem Solving Training (YCMAP) in Pakistani adolescent with a history of self harm: Protocol for multi-centre clinical and cost effectiveness randomised controlled trial.

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ABSTRACT

Introduction: Suicide is a global health concern. Socio-cultural factors have an impact on self-harm and suicide rates. In Pakistan both self-harm and suicide are considered as criminal offense's and are condemned on both religious and social grounds. The proposed intervention "Youth Culturally Adapted Manual Assisted Problem Solving Training (YCMAP)" is based on principles of problem-solving and cognitive behavioural therapy (CBT). YCMAP is a brief, culturally relevant, scalable intervention that can be implemented in routine clinical practice if found to be effective.

Method and Analysis: A multi-centre rater blind randomized control trial (RCT) to evaluate the clinical and cost effectiveness of YCMAP including a sample of 652 participants, aged 12-18 years, presenting to general physicians/clinicians, emergency room after self harm or self referrals. We will test the effectiveness of 8 to 10 individual sessions of YCMAP delivered over three months compared to Treatment as Usual (TAU). Primary outcome measure is repetition of self-harm at 12 months. The secondary outcomes include reduction in suicidal ideation, hopelessness and distress and improvement in health related quality of life. Assessments will be completed at baseline, 3, 6, 9 and 12 month post randomization. The nested qualitative component will explore perceptions about management of self-harm and suicide prevention amongst adolescents and investigate participants' experiences with YCMAP. The study will be guided by the Theory of Change approach to ensure that the whole trial is centred around needs of the end beneficiaries as key stakeholders in the process.

Ethics and Dissemination: Ethics approval has been obtained from the Ethics Committee of University of Manchester, the National Bioethics Committee in Pakistan. The findings of this study will be disseminated through community workshops, social media, conference presentations and peer-reviewed journals.

Trial Registration Number: NCT04131179

STRENGTHS AND LIMITATIONS OF THIS STUDY

1. This is the first multi centre RCT to evaluate clinical and cost effectiveness of a culturally relevant psychological intervention for young people presenting with self-harm in a Lower and Middle Income Country (LMIC).
2. This trial fulfills an important clinical need of LMICs, specifically in Pakistan.
3. The trial will provide evidence based outcome data to inform and support policy makers in formulating policies for prevention of self-harm and suicide.
4. Stigma, fear of persecution by authorities, peer pressure and educational commitments may act as a barriers to young people participating in the study.
5. The Theory of Change approach will help mitigate some of the risks around stigma and refusal to participate by involving parents and young people from the inception of the trial.

INTRODUCTION

Suicide is one of the major public health concerns globally with 800,000 suicides each year across the globe (1). More than 75% of suicides occur in Low and Middle Income Countries (LMICs). Overall, suicide is the 2nd leading cause of death in individuals between 15-29 years old (1). A recent review reported suicide rates in South Asia to be higher than the global average(2). However, such figures are likely to be an underestimate due to a lack of accurate data on suicide in Pakistan (3) and in many other LMICs (4).

In Pakistan, a conservative Islamic state, policies are changing but suicide and self-harm (defined below) remain criminal acts and are condemned both socially and religiously as a moral wrong. Suicide and self-harm are often considered taboo subjects across the country, thus contributing to a lack of evidence and under-reporting (2). There is, however, accumulating evidence that both self-harm and suicide rates have been increasing in Pakistan but there continues to be notable gaps in evidence(2). In a systematic review of mental health studies of adolescents in India, it was found that self-harm is particularly problematic in young people, with three months prevalence ranging from 3.9% to 25.4% in community based studies (5, 6). Self-harm is one of the strongest predictors of death by suicide (7). Self-harm also carries a substantial economic impact and has been associated with large treatment costs in Pakistan (8). Adolescents with a history of self-harm are at a higher risk of repeating this later in life (9) thus there is an important need to develop effective interventions in order to avoid long-term burden.

In adolescents, self-harm is the result of a highly complex interplay between genetic, biological, psychological, social, and cultural factors (9). Common set of risk factors and psycho-social mechanisms for self-harm within LMICs include being female, experiencing interpersonal

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3 conflict, suffering from abuse (including domestic/family/gender based violence), hopelessness,
4 and being diagnosed with psychiatric disorder (2). Other psychological influences include feelings
5 of entrapment, a lack of belonging or connection (10). Research in Pakistan is imperative to
6 explore the effectiveness of interventions for potential risk factors to reduce rates of self-harm and
7 suicide (11). The World Health Organization (WHO) Report “preventing Suicide: A Global
8 imperative” recommends “two-fold” public health approach for suicide prevention i.e. to identify
9 the issues, and provide treatment for high-risk individuals. Considering the benefits of adult
10 individual Cognitive Behaviour Therapy (CBT)-based psychotherapy(12), tested in our previous
11 trial in Pakistan with positive results (13) and replicated in our recently completed trial with 901
12 adults participants in which we had to exclude 265 adolescents (less than 18 years) (CMAP2) (14).
13 An unmet need was recognized to develop CMAP further for adolescent and adapting the similar
14 brief psychological intervention for children and adolescents. Psychological therapies that work in
15 western culture cannot always be implemented in a different culture and adaptation is considered
16 to be essential (15, 16)

17 Since interpersonal conflicts with family members are a commonly reported precipitant of self-
18 harm episodes in Pakistan (2, 11). It has been reported that problem-solving therapy “Culturally
19 adapted Manual Assisted brief Psychological intervention (CMAP)” may be a useful intervention
20 for prevention of self-harm in Pakistan (11). This psychological intervention, using variants of
21 CBT that are age appropriate for the local culture and customs in Pakistan, may prove to be
22 beneficial in reducing self-harm in adolescents (13). Before this intervention can be implemented
23 at a larger scale, there is a need for establishing its clinical and cost-effectiveness via the proposed
24 trial. We cannot assume that an intervention developed for adults would be suitable for children
25 and adolescents and since self-harm remains a major problem in young people, this planned trial
26 fills an important gap and clinical need.

37 **METHODS AND ANALYSIS**

38 **Objectives**

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43 This study includes both quantitative and qualitative aspects.

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45 The objectives of the quantitative component are:

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48 1. To determine the clinical effectiveness of YCMAP over 12 months based on the
49 following outcomes:
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51 a. Primary outcome:
 - 52
53 i. To measure the effectiveness of YCMAP in comparison to treatment as
54 usual (TAU) in terms of repetition of self-harm 12-month post

randomization. This will be assessed using adapted Suicide Attempt Self-Injury Interview SASII (17).

b. Secondary outcomes:

- i. Suicidal ideation will be assessed using the “Beck Scale for Suicidal ideation (BSI)”(18).
- ii. Feelings of hopelessness will be assessed using the “Beck Hopelessness Scale (BHS)”(19).
- iii. Level of distress will be assessed using a 10-item scale the “Kessler Psychological Distress Scale (K10)”(20).
- iv. EQ-5D- Y will be used to assess “health related quality of Life” (21).
- v. Client Satisfaction Questionnaire-“CSQ-8” will be used to assess participants’ satisfaction with services (22).

Translated versions of all these scales have been developed and used in previous trials CMAP1 (13) and CMAP 2 (11) by following established protocols for translating such measures (23). The scales which were not available in Urdu were translated for an MPhil project (Brief psychological intervention for adolescents who self harm, IPP/BU/ER/103/1377) and reviewed in initial Patient and Public Involvement and Engagement meetings.

Assessments will be carried out at baseline, completion of the intervention (3 months), 6, 9 and 12 months after randomisation. See figure 1 below

2. To determine the cost effectiveness of the YCMAP intervention over 12 months.

The objectives of the qualitative component are:

1. To explore the experience of participants with YCMAP and their perception about benefits and negative or adverse consequence of it.
2. To explore in detail participants’ reasons for continuing (completer) or not continuing (drop out) with the study.
3. To explore clinicians and other stakeholder views (such as school teachers) on the YCMAP and its impact.
4. To explore therapists’ perspective on the delivery of the YCMAP.

The expected outputs of the study are:

- a) A manual-assisted evidence based intervention - the YCMAP, ready for integration into the health care services.

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3 b) Standardized training and supervision package - a resource pack and training program on 'how
4 to do it' for use across health services.
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7 c) Information Tool-kit for the families and the wider community. This will be service-user
8 defined and will be used to increase awareness about mental health in general and self-harm and
9 suicide in young people in particular.
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11 12 **Inclusion Criteria**

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15 Adolescents meeting the study inclusion criteria will be invited to take part in the study by their
16 primary care clinician, ward or emergency room (ER) clinician who is making the initial
17 assessment. In the context of this study, self-harm is defined as: *“an act with non-fatal outcome,*
18 *in which an individual deliberately initiates a non-habitual behaviour that, without interventions*
19 *from others, will cause self-harm, or deliberately ingests a substance in excess of the prescribed*
20 *or generally recognised therapeutic dosage, and which is aimed at realizing changes which the*
21 *subject desired via the actual or expected physical consequences”*(24).
22

- 23 • Age: 12-18 years.
- 24 • History of recent self-harm which is defined as “ self-harm occurring within the last 3
25 months (from the initial identification of a potential participant)”. This period is
26 considered as high risk for repetition in young people (9).
- 27 • Resident in the trial catchment area.
- 28 • All participants known to clinical or health services. This will be necessary to ensure all
29 participants have access to TAU.
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34 35 **Exclusion Criteria**

- 36 • Patients with a severe mental illness such as schizophrenia spectrum and other psychotic
37 disorders, bipolar and severe depressive disorder will be excluded.
- 38 • Patients with conditions limiting engagement with assessment/intervention.
- 39 • Temporary resident unlikely to be available for follow up.
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44 45 **Design**

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47 A multi-centre rater blind RCT with randomisation by individual participants in order to compare
48 the YCMAP in addition to TAU with TAU alone.
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50 51 **Study Site and Population**

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3 Study sites are all participating primary care clinics, emergency departments and medical wards of
4 general hospitals in five major cities of Pakistan, Karachi, Hyderabad, Lahore, Multan and
5 Rawalpindi.
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11 **Sample Size**

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14 The sample size is based on the primary outcome, repetition of self-harm in a 12-month period
15 (yes/no). The TAU arm of the study has an expected self-harm rate of 20% (5). A clinically
16 important effect would be a reduction to 7.5% in the intervention group. Under these presumptions,
17 and assuming a 5% significance level and 90% power, a study with no clustering would require
18 158 patients per arm. The study has a partially nested design due to therapist clustering in the
19 YCMAP arm. Our sample size calculation has taken account of this by adjusting the sample size
20 upwards, conservatively assuming clustering in both arms. Based on previous analysis of therapist
21 trials, we believe that the intraclass correlation coefficient (ICC) is likely to have a value between
22 0.01 and 0.05 for this type of outcome measure. Assuming an ICC of 0.05, and a cluster size of 16
23 patients per therapist, a design effect of 1.75 is calculated. This increases the numbers required to
24 277 per arm. Furthermore, based on our previous work there is expected to be a 15% loss to follow-
25 up, and so the final numbers recruited will be 326 per arm, with a total sample of 652 participants.
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31 **Randomisation**

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34 The researcher will complete a checklist, confirming eligibility, obtain written (or verbal audio-
35 recorded consent from the subject due to current pandemic COVID-19 SOPs), and complete the
36 baseline measures. The researcher will contact the randomisation centre, who will re-check
37 eligibility, record baseline measures and assign a participant's trial number. Treatment assignment
38 will then be determined using stochastic minimisation controlling for gender, age and type of self-
39 harm behaviour. The independent statistician would inform the project manager of the random
40 assignments, but this information would not be shared with research staff, so that they remain
41 unaware of whether a particular participant receives YCMAP or not. Participants assigned to the
42 YCMAP would then be contacted by a therapist, who will arrange an initial meeting within 2-4
43 weeks after baseline to start the intervention. Participants in the TAU group would be informed of
44 their allocation to this group after randomisation had taken place.
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50 **Recruitment and Baseline Assessment**

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52 Potentially eligible individuals will be identified by clinical staff at the participating recruitment
53 sites. An age appropriate version of the Participant Information Sheet (PIS) will be provided
54 alongside the standard PIS, so both the parent/guardian and child can access this information. For
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3 individuals who cannot read, information about the study will be summarised by the clinician for
4 them. The consent was obtained from the parent/guardian along with consent from the
5 participating young person (The Nuffield Council for Bioethics guidance suggests that consent
6 rather than assent should be sought from young people in research). Therefore, it is ensured and
7 clearly mentioned in the participant information sheet for both the young people and their parents
8 that it is mandatory for parents/guardians to consent for young person to participate in the study.
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12 **Intervention**

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15 YCMAP is a “Youth culturally adapted manual assisted psychological intervention” based on CBT
16 principles. It comprises of 8-10 sessions delivered over three months. The first eight sessions are
17 offered weekly and further sessions fortnightly on a one to one basis and each session lasts for
18 about 60 minutes. The YCMAP has been culturally adapted with permission from “CMAP” (15),
19 “Life after self-harm” (25) and “Cutting down: A CBT workbook for treating young people who
20 self-harm”(26). The intervention includes psycho-education and a comprehensive cognitive
21 behavioural assessment of the self-harm attempt using virtual stories of four young people. The
22 therapy focuses on current problems that contributed to the self-harm episode. Therapists and
23 adolescent clients choose from a list of techniques those which are most relevant to the client’s
24 problems. Therapy is therefore adapted to fit with the clients problems and primarily utilises
25 problem solving, CBT, and dialectical therapy strategies to bring about change.
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31 To help determine the most appropriate coping strategy a coping tree is designed. Training in
32 assertiveness and anger management are offered to help the young person to develop resilience to
33 cope with stress. To the existing YCMAP we will also aim to review the look and feel of the
34 resource by including licenced content from My Big Life - a course developed by our collaborative
35 partner Five Areas Limited. This accessible, story-based approach uses illustrations of young
36 people facing different scenarios at home and at school to illustrate key CBT-based concepts:
37 Understanding your feelings -How to get a Big Life (behavioural activation) - Thinking in a Big
38 Life way (identifying and changing thoughts that upset and affect how you feel) - Relaxation
39 approaches - Building inner confidence - Practice scenarios - Trainer notes and linked
40 worksheets/prompt cards and posters. Asian versions of the course already exist with amended
41 artwork. As part of the development programme selected content will be added to the course and
42 trainer notes will be modified (based on feedback) as needed.
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48 All research staff will be required to have at least an undergraduate level degree in a relevant area
49 (e.g. psychology) and will be trained in “Good Clinical Practice (GCP) ” in research, consent
50 process, and use of the assessment measures. Regular supervision meetings will be arranged for
51 research staff and therapists for case discussions, identifying and managing distress in participants.
52 A study protocol will be in place prior to the start of the trial. This will include details about how
53 to manage difficult situations arising in research, safety and lone working arrangements.
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Treatment as Usual (TAU)

Local psychiatric, medical and primary care services provide standard routine care according to their clinical judgment and available resources. We will administer Client Services Receipt Interview (CSRI) (27) to obtain details regarding treatment received by each study participant. These participants will receive an initial assessment along with TAU as ascertained by their treating doctor at the hospital or their GP. The current practice is that self-harm patients are not routinely referred to mental health services. Research staff will record the nature and intensity of the routine care delivered for each participant.

Statistical Analysis

Statistical analysis will be based on intention-to-treat principles, subject to the availability of data. During the course of the trial, periodic random quality checks of data will be carried out by the trial statistician blind to treatment allocation. Once data entry has been completed, preliminary data analysis will be carried out blind to treatment allocation, prior to un-blinding. The results of the trial will follow the standard CONSORT recommendations. Baseline and follow-up data will be summarised using the appropriate descriptive statistics and graphical summaries. Treatment effects will be presented with 95% confidence intervals. We will investigate baseline factors that predict nonresponse using a logistic random effects model as non-response may be clustered by therapy group. The statistical analysis of the primary outcome measure (repetition of the self-harm episode within 12-months) will be based on a logistic random effects model with randomised treatment, age, gender and type of self-harm as fixed effects and a random effect of therapist in the treatment arm, with individuals in the control arm being treated as clusters of size 1. The continuous secondary outcome measures will calculate treatment effects using a linear mixed model, with a random effect of therapist and the set of baseline covariates as above including baseline values of the outcome where available. A single model will be fitted across all time-points, with a fixed effect for time, and interactions between time and treatment group. There will be no adjustment to secondary outcomes CIs for multiple testing. Binary secondary outcomes will take a similar approach to continuous outcomes, but will use logistic random effects models.

Economic Evaluation

The economic evaluation will take a societal perspective that incorporates the costs of both formal and informal health care and other relevant economic impacts such as on education. It will include financial impacts on providers and out of pocket payments by participants and their families. The use and payment for services will be collected using the CSRI (27) with patients and their families at baseline and at each follow up assessment. The use of the YCMAP intervention will be recorded

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3 separately by the trial team. Appropriate unit costs will be collected and attached to individual-
4 level resource use quantities to estimate total care costs for each individual. Cost estimates for the
5 YCMAP intervention will include a) the salary of the therapists and b) indirect costs like session
6 preparation, supervision, on-costs and capital overheads. Comparisons of total costs between the
7 YCMAP and TAU arms will be based on non-parametric bootstrapped regressions (with co-
8 variates for baseline costs, outcome measures and other key baseline factors) to account for the
9 likely non-normal distribution in costs. An initial analysis will examine whether any additional
10 costs associated with the YCMAP intervention are offset by savings elsewhere.
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15 More formal assessments of cost-effectiveness will link between-arm differences in average costs
16 with between-arm differences in a) the primary outcome measure, repetition of self-harm (SASII)
17 and b) quality adjusted life year (QALY) gains. QALYs will be estimated by applying relevant
18 utility weights to health states measured through EQ-5D-Y (21, 28). Costs and outcomes will be
19 linked in the form of incremental cost-effectiveness ratios (ICERs) where relevant, and cost-
20 effectiveness acceptability curves (CEACs) based on the net benefit approach. As per the clinical
21 effectiveness analyses, we will use an intention-to-treat approach for all economic analyses.
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26 **Qualitative Data Analysis**

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28 A purposefully selected subset (stratified by age, gender and self-harm severity) of participants in
29 the treatment group will be invited to complete a qualitative one-to-one digitally recorded
30 interview (face to face or via phonecall) lasting 1-1.5 hours. This will focus on their experience
31 with the YCMAP intervention, the barriers and facilitators to engagement and perceived positive
32 or negative experience with the intervention. A sample of 12-20 participants is likely to be
33 sufficient to ensure data saturation (29) (30). Focus groups ($k = 2$ with 8-10 participants each) with
34 therapists and key stakeholders will also be conducted to enable a further process analysis
35 concerning the wider implementation of YCMAP into the Pakistani health systems. During both
36 the individual and group interviews, broader suggestions for management and prevention of self-
37 harm among young Pakistanis will also be collected to inform future service and research
38 development.
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44 We will explore perspectives of therapists and their supervisors about training and delivery of the
45 model of care. We will also interview other key stakeholders to establish facilitators and barriers
46 to implementation of the intervention in Pakistan. Targeted interviews will be done with a sample
47 of participants who completed the intervention and a sample of participants who 'dropped out'
48 before completion. Up to 30 interviews are likely to be needed with patient participants, to achieve
49 category saturation. New text mining tools will be used to link heterogeneous data, to ensure that
50 knowledge scattered with in lengthy textual data reaches clinicians, patients and policy makers to
51 support decision making using different sources of information (31). Qualitative data will be
52 analysed using Thematic Analysis (32) adopting a critical realist perspective (33). This will enable
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3 the research team to draw conclusions on the intervention whilst accounting for contextual and
4 cultural factors.
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7 Topic guides will be developed through discussion amongst trained qualitative researchers as well
8 as with reference to relevant literature. Semi- structured interviews will be done to explore views
9 on the “effectiveness and sustainability” of the YCMAP intervention in the management of people
10 presenting with self-harm. Written or verbal consent at the time of interview (face to face or
11 telephone) or prior to the interview (considering current pandemic) will be obtained from study
12 participants and stake holders.
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15 16 **Internal pilot study**

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18 We have included an internal pilot phase (initial 12 months) with clear stop/go criteria across the
19 study centres. This is included to ensure achievement of recruitment targets is viable across all
20 sites included in this full trial. Progression to the full trial will be dependent on meeting the key
21 objectives and stop/go criteria outlined below. The pilot phase will examine the processes for
22 recruitment and logistical practices to support the effective execution of the full RCT. The internal
23 pilot phase will be conducted in line with the National Institute of Health Research (NIHR)
24 guidelines (34). The key objectives of the pilot are as follows; i) Recruitment of sufficient number
25 of General Practitioners/Hospital Departments to support the trial. ii) Identify the proportion of
26 eligible participants recruited across the study centres, and examine the feasibility of achieving
27 recruitment targets to a full multicenter RCT. The pilot will be judged successful if sufficient GPs
28 and hospitals are recruited to enroll 200 participants in the first year of recruitment i.e 4-12
29 months. We will monitor recruitment and identify the reasons for any shortfall on a monthly basis.
30 The predetermined Stop-Go criteria is as follows:
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38 i. Go if successfully recruit 180 (target minus 10%) or more participants during pilot study phase
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40 ii. Rescue plan to be implemented if less than 180 but greater than 120 participants recruited in
41 pilot phase.
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43 iii. Stop if less than 120 participants recruited into the trial.
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46 **Theory of Change (ToC)**

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48 The study team uses ToC as the standard framework for all research projects. The overall study
49 will be underpinned by the ToC causal model of planning, monitoring, evaluation and impact
50 assessment to ensure that marginalised voices are included in developing the vision, identifying
51 barriers and challenges from the lens of the end beneficiaries and short, medium and long term
52 outcomes are developed and delivered so real change happens from the perspective of the target
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3 group. A ToC workshop with key stakeholders has already taken place to ensure all stakeholders
4 have a buy in and ownership of the process. See Figure 2
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7 The ToC causal diagram as an output is evidence of stakeholder engagement and ownership.
8 Also, ToC will enable us to show how trial results are adopted in wider practice and on what
9 basis, what barriers and challenges were faced during the trial and what assumptions were made in
10 defining the goal statements? Informed by the ToC workshop, a Young People's Advisory Group
11 will be set up to provide insight into the lived experiences of today's youth in Pakistan.
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15 As religiosity was a dominant thread from the community engagement exercise, there was
16 recognition that spiritual advice was often the first point of contact for patients rather than health
17 professionals. The trial was not designed to try to replace such religious norms but to work
18 alongside them while recognising that there could be delays in referrals. There was also no
19 restriction on accessing spiritual guidance making professional psychological therapies an addition
20 not a substitute for such support. Importantly, mental health concerns are stigmatising and suicide
21 is still considered as a criminal offense.
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25 Working with religious leaders was therefore a critical part of community engagement with Y-
26 CMAP and its evaluation through the RCT the concept of 'dawa and dua' medicine and prayer
27 going hand in hand as part of therapy tend to promote adherence and engagement.
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30 31 **COVID-19 Continuity Plan** 32

33 Due to global pandemic COVID-19, amendments to study procedures in response to the lock
34 down and social distancing in Pakistan were made to ensure smooth running of the project. See
35 supplementary file.
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38 39 **Patient and Public Involvement and Engagement (PPIE) Group** 40

41 This group will help to ensure that the research agenda for self-harm and suicide prevention studies
42 is informed by and aligned with service users and carer priorities.
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45 46 **Trial Steering Committee (TSC)** 47

48 We will convene an independently chaired TSC to approve and provide oversight of the trial
49 throughout its various stages. The TSC will include the chair, user representative, three PIs, an
50 independent statistician and a representative from the local health department. This will be
51 independent of the trial management team. The (TSC) will convene annually, but twice in the first
52 year, with feedback from the Chair as and when needed.
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Data Monitoring and Ethics Committee (DMEC)

The DMEC will oversee the data and advise the TSC on any ethical or safety concerns.

Ethics

Ethics approval for the trial has been obtained from the Research Ethics Committee of University of Manchester (Ref: 2019-5024-10755) and the National Bioethics Committee in Pakistan (Ref: No.4-87/NBC-419/19/1213).

Dissemination

The study findings will be disseminated using social media, at national and international conferences in partnership with the “service user”, “carer” and “community organizations”. Also, study results will be submitted to peer and non peer-reviewed journals for publication.

Discussion

There has been a recent significant increase in number of trials related to self-harm and suicide prevention which reflects the need and international concern about self-harm/suicide prevention. However, in Pakistan evidence based research is limited with no specific intervention for adolescents with self harm history. The evidence suggests that CMAP intervention for adults is effective in Pakistan (35). The components of CMAP intervention may also be beneficial for adolescents presenting after self-harm, therefore there is a strong need to determine the effectiveness of culturally adapted manual assisted problem solving intervention for adolescents in Pakistan. Findings will also contribute to evidence based treatments for self-harm and will meet important clinical needs of Pakistan and will help guide policy makers in developing suicide prevention policies.

Authors Contributions

NH, NC, TK, IBC and ST involved in conceptualisation, designing and planning of the project (including protocol development). EC, SA reviewed qualitative component. ZZ, CW, PT, KD and FN reviewed intervention section, RM facilitated the ToC process. MA, RE reviewed statistical analysis plan and AP, AG reviewed economic evaluation plan. All authors SA, SoA, MHA, AB, SuA, SE, JG, KH, FJ, AK, TM, AnMc, AM, HAN, AN, MP, AaP, TS, MS, SS, ATN, and SNZ gave their valuable inputs in finalizing the study protocol.

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3 The funder has no role in designing the study methodology, data analysis and interpretation,
4 manuscript writing and in the decision to submit the protocol for publication.
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8 **Competing Interests**

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11 All authors have read and declared that we have no competing interests with the exception of NH,
12 NC, IBC, TK and CW. NH, former Trustee of “Pakistan Institute of Living and Learning (PILL)”,
13 “Abaseen Foundation (UK)” and “Lancashire Mind (UK)”. At “Manchester Global Foundation”,
14 he is the Chair of Board of Trustees. He is also a member of the executive committee for the
15 Faculty of Academic Psychiatry, at the Royal College of Psychiatrists. NH is a NIHR Senior
16 Investigator. NC is Associate Director of Global Mental Health and Cultural Psychiatry Research
17 Group. IBC, former Trustee of “PILL” is Honorary Professor at the University of Manchester. NH,
18 IBC and NC have received support for educational programs and/or travel support and/or speaker
19 fees from pharmaceutical companies. CW is the author of a book aimed at suicide prevention, and
20 has written a range of books and online CBT-based course resources that are available as both,
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Figure:1
Flow Diagram of the YCMAP study

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7 Figure: 2
8 Theory of Change
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Supplementary File
COVID 19 Continuity plan

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Figure 1
Flow diagram of the YCMAP study

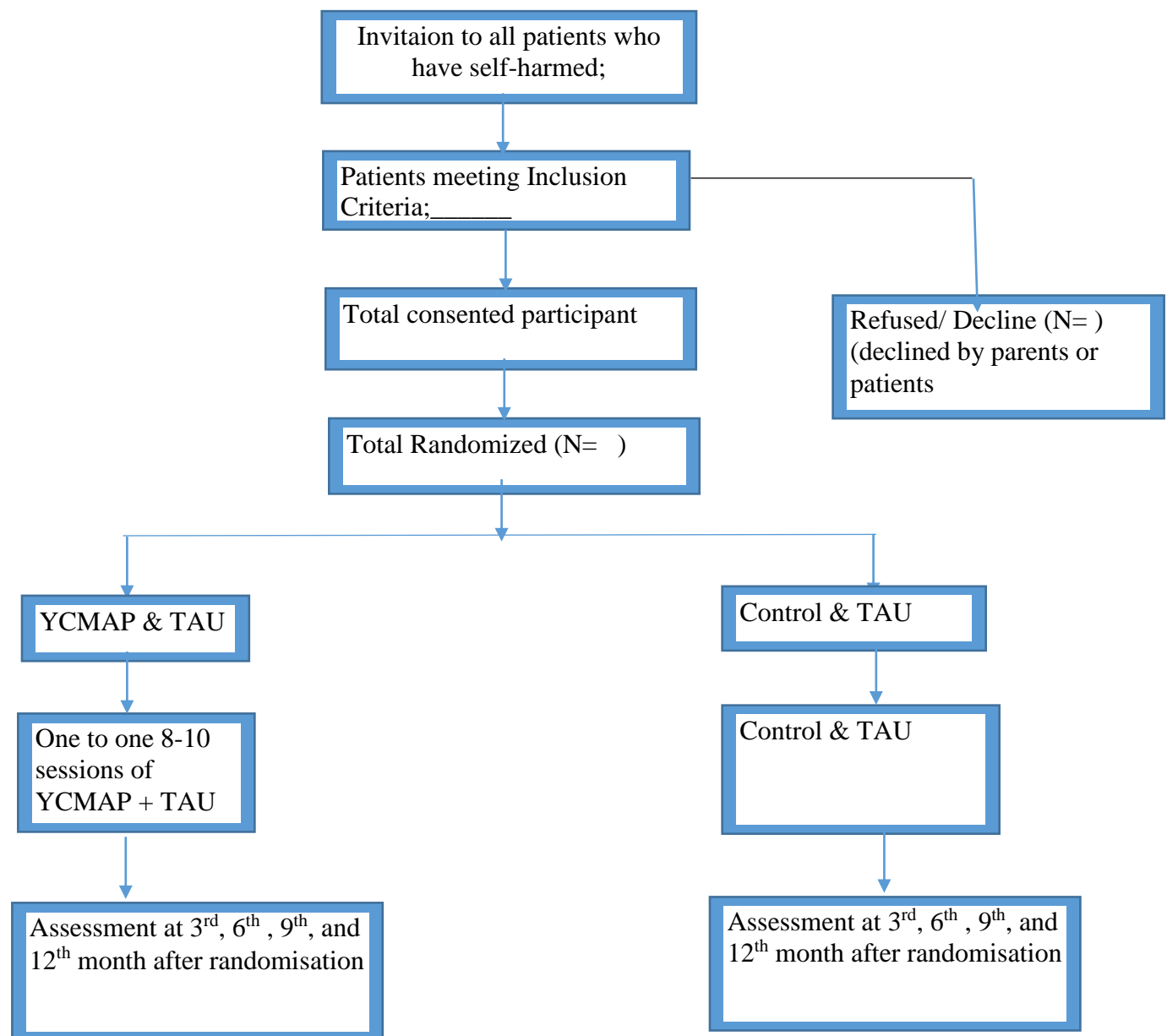
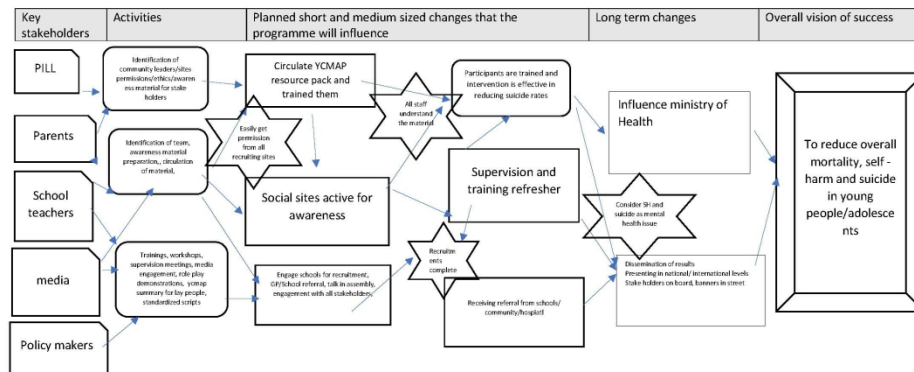


Figure 2
Theory of Change



A Youth Culturally adapted Manual Assisted Psychological therapy (Y-CMAP) for adolescent Pakistani patients with a recent history of self-harm.



Theory of Change

297x210mm (200 x 200 DPI)

COVID-19 continuity plan

In March 2020, due to the first wave of global pandemic-COVID-19 there was a need to make amendments to study procedures in response to the lock down and social distancing in Pakistan. The trial during this period was in the internal pilot phase and in order to ensure smooth running of the project certain changes were made to continue with collection of data, follow ups and delivery of the intervention.

Follows ups (3rd, 6th, 9th and 12th month after randomization):

Initial ethical approval was obtained for face to face assessment and intervention. However, after COVID-19, we submitted ethics amendment for data collection and delivery of intervention digitally (i.e. through telephone call, zoom, skype or other remote means) so that the study team could continue their work and meet targets on time with working from home condition. The consent form that was previously completed on paper further consents will be obtained remotely and the assessment measures to be completed remotely as well. Recordings of consent will be stored in password protected systems.

YCMAP Intervention:

YCMAP intervention comprises of 8-10 sessions delivered in a face to face setting, usually in research offices, community clinics and homes (as per participant choice). As of 20th march 2020, due to lock down across Pakistan it was difficult to deliver face to face sessions. To comply with preventive measures such as social distancing and lock down guidelines, the YCMAP intervention will be delivered remotely, via a phone call, WhatsApp call, zoom or skype rather than in person face to face sessions. Contingency plan will be implemented to manage confidentiality and safe guarding risks.

Qualitative Interviews:

Qualitative interviews will be completed face to face or through telephone. Due to the pandemic situation COVID-19, topic guides will be revised in order to explore the experience of change in mode of delivery of intervention as well as impact of Covid 19 after discussing it with supervisors (EC, NC).

Supervision:

Arrangements for supervision of the therapists will continue as per protocol. The supervisions will continue to be carried out remotely via video link.

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Trainings:

Arrangements for training of YCMAP team members will continue and carried out remotely via video link

For peer review only

BMJ Open

A Youth Culturally adapted Manual Assisted Problem Solving Training (YCMAP) in Pakistani adolescent with a history of self harm: Protocol for multi-centre clinical and cost effectiveness randomised controlled trial.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-056301.R2
Article Type:	Protocol
Date Submitted by the Author:	15-Apr-2022
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SCHOLARONE™
Manuscripts

A Youth Culturally adapted Manual Assisted Problem Solving Training (YCMAP) in Pakistani adolescent with a history of self-harm: Protocol for multi-centre clinical and cost effectiveness randomised controlled trial.

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ABSTRACT

Introduction: Suicide is a global health concern. Socio-cultural factors have an impact on self-harm and suicide rates. In Pakistan both self-harm and suicide are considered as criminal offense's and are condemned on both religious and social grounds. The proposed intervention "Youth Culturally Adapted Manual Assisted Problem Solving Training (YCMAP)" is based on principles of problem-solving and cognitive behavioural therapy (CBT). YCMAP is a brief, culturally relevant, scalable intervention that can be implemented in routine clinical practice if found to be effective.

Method and Analysis: A multi-centre rater blind randomized control trial (RCT) to evaluate the clinical and cost effectiveness of YCMAP including a sample of 652 participants, aged 12-18 years, presenting to general physicians/clinicians, emergency room after self harm or self referrals. We will test the effectiveness of 8 to 10 individual sessions of YCMAP delivered over three months compared to Treatment as Usual (TAU). Primary outcome measure is repetition of self-harm at 12 months. The secondary outcomes include reduction in suicidal ideation, hopelessness and distress and improvement in health related quality of life. Assessments will be completed at baseline, 3, 6, 9 and 12 month post randomization. The nested qualitative component will explore perceptions about management of self-harm and suicide prevention amongst adolescents and investigate participants' experiences with YCMAP. The study will be guided by the Theory of Change approach to ensure that the whole trial is centred around needs of the end beneficiaries as key stakeholders in the process.

Ethics and Dissemination: Ethics approval has been obtained from the Ethics Committee of University of Manchester, the National Bioethics Committee in Pakistan. The findings of this study will be disseminated through community workshops, social media, conference presentations and peer-reviewed journals.

Trial Registration Number: NCT04131179

STRENGTHS AND LIMITATIONS OF THIS STUDY

1. This is the first multi-centre RCT to evaluate clinical and cost effectiveness of a culturally relevant psychological intervention for young people presenting with self-harm in a Lower and Middle Income Country (LMIC).
2. Process evaluation will include in-depth interviews to understand the lived experiences of participants.
3. Stigma, fear of persecution by authorities, peer pressure and educational commitments may act as a barriers to young people participating in the study.
4. The Theory of Change approach will help mitigate some of the risks around stigma and refusal to participate by involving parents and young people from the inception of the trial.

INTRODUCTION

Suicide is one of the major public health concerns globally with 800,000 suicides each year across the globe (1). More than 75% of suicides occur in Low and Middle Income Countries (LMICs). However, there are now major concerns about increasing rates of self-harm and suicide among young people in High Income Countries (HIC) such as USA(2) and Europe (3). Overall, suicide is the 2nd leading cause of death in individuals between 15-29 years old (1). A recent review reported suicide rates in South Asia to be higher than the global average(4). However, such figures are likely to be an underestimate due to a lack of accurate data on suicide in Pakistan (5) and in many other LMICs (6).

In Pakistan, a conservative Islamic state, policies are changing but suicide and self-harm (defined below) remain criminal acts and are condemned both socially and religiously as a moral wrong. Suicide and self-harm are often considered taboo subjects across the country, thus contributing to a lack of evidence and under-reporting (4). There is, however, accumulating evidence that both self-harm and suicide rates have been increasing in Pakistan but there continues to be notable gaps in evidence(4). In a systematic review of mental health studies of adolescents in India, it was found that self-harm is particularly problematic in young people, with three months prevalence ranging from 3.9% to 25.4% in community based studies (7, 8). Self-harm is one of the strongest predictors of death by suicide (9). Self-harm also carries a substantial economic impact and has been associated with large treatment costs in Pakistan (10). Adolescents with a history of self-harm are at a higher risk of repeating this later in life (11) thus there is an important need to develop effective interventions in order to avoid long-term burden.

In adolescents, self-harm is the result of a highly complex interplay between genetic, biological, psychological, social, and cultural factors (11). Common set of risk factors and psycho-social mechanisms for self-harm within LMICs include being female, experiencing interpersonal

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3 conflict, suffering from abuse (including domestic/family/gender based violence), hopelessness,
4 and being diagnosed with psychiatric disorder (4). Other psychological influences include feelings
5 of entrapment, a lack of belonging or connection (12). Research in Pakistan is imperative to
6 explore the effectiveness of interventions for potential risk factors to reduce rates of self-harm and
7 suicide (13). The World Health Organization (WHO) Report “preventing Suicide: A Global
8 imperative” recommends “two-fold” public health approach for suicide prevention i.e. to identify
9 the issues, and provide treatment for high-risk individuals. Considering the benefits of adult
10 individual Cognitive Behaviour Therapy (CBT)-based psychotherapy(14), tested in our previous
11 trial in Pakistan with positive results (15) and replicated in our recently completed trial with 901
12 adults participants in which we had to exclude 265 adolescents (less than 18 years) (CMAP2) (16).
13 An unmet need was recognized to develop CMAP further for adolescent and adapting the similar
14 brief psychological intervention for children and adolescents. Psychological therapies that work in
15 western culture cannot always be implemented in a different culture and adaptation is considered
16 to be essential (17, 18)

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18 Since interpersonal conflicts with family members are a commonly reported precipitant of self-
19 harm episodes in Pakistan (4, 13). It has been reported that problem-solving therapy “Culturally
20 adapted Manual Assisted brief Psychological intervention (CMAP)” may be a useful intervention
21 for prevention of self-harm in Pakistan (13). This psychological intervention, using variants of
22 CBT that are age appropriate for the local culture and customs in Pakistan, may prove to be
23 beneficial in reducing self-harm in adolescents (15). Before this intervention can be implemented
24 at a larger scale, there is a need for establishing its clinical and cost-effectiveness via the proposed
25 trial. We cannot assume that an intervention developed for adults would be suitable for children
26 and adolescents and since self-harm remains a major problem in young people, this planned trial
27 fills an important gap and clinical need.

38 **METHODS AND ANALYSIS**

39 **Objectives**

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42 This study includes both quantitative and qualitative aspects.

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45 The objectives of the quantitative component are:

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48 1. To determine the clinical effectiveness of YCMAP over 12 months based on the
49 following outcomes:
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51 a. Primary outcome:
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53 i. To measure the effectiveness of YCMAP in comparison to treatment as
54 usual (TAU) in terms of repetition of self-harm 12-month post

randomization. This will be assessed using adapted Suicide Attempt Self-Injury Interview SASII (19).

b. Secondary outcomes:

- i. Suicidal ideation will be assessed using the “Beck Scale for Suicidal ideation (BSI)”(20).
- ii. Feelings of hopelessness will be assessed using the “Beck Hopelessness Scale (BHS)”(21).
- iii. Level of distress will be assessed using a 10-item scale the “Kessler Psychological Distress Scale (K10)”(22).
- iv. EQ-5D- Y will be used to assess “health related quality of Life” (23).
- v. Client Satisfaction Questionnaire-“CSQ-8” will be used to assess participants’ satisfaction with services (24).

Translated versions of all these scales have been developed and used in previous trials CMAP1 (15) and CMAP 2 (13) by following established protocols for translating such measures (25). The scales which were not available in Urdu were translated for an MPhil project (Brief psychological intervention for adolescents who self harm, IPP/BU/ER/103/1377) and reviewed in initial Patient and Public Involvement and Engagement meetings.

Assessments will be carried out at baseline, completion of the intervention (3 months), 6, 9 and 12 months after randomisation. See figure 1 below

2. To determine the cost effectiveness of the YCMAP intervention over 12 months.

The objectives of the qualitative component are:

1. To explore the experience of participants with YCMAP and their perception about benefits and negative or adverse consequence of it.
2. To explore in detail participants’ reasons for continuing (completer) or not continuing (drop out) with the study.
3. To explore clinicians and other stakeholder views (such as school teachers) on the YCMAP and its impact.
4. To explore therapists’ perspective on the delivery of the YCMAP.

The expected outputs of the study are:

- a) A manual-assisted evidence based intervention - the YCMAP, ready for integration into the health care services.

b) Standardized training and supervision package - a resource pack and training program on 'how to do it' for use across health services.

c) Information Tool-kit for the families and the wider community. This will be service-user defined and will be used to increase awareness about mental health in general and self-harm and suicide in young people in particular.

Inclusion Criteria

Adolescents meeting the study inclusion criteria will be invited to take part in the study by their primary care clinician, ward or emergency room (ER) clinician who is making the initial assessment. In the context of this study, self-harm is defined as: *“an act with non-fatal outcome, in which an individual deliberately initiates a non-habitual behaviour that, without interventions from others, will cause self-harm, or deliberately ingests a substance in excess of the prescribed or generally recognised therapeutic dosage, and which is aimed at realizing changes which the subject desired via the actual or expected physical consequences”*(26).

- Age: 12-18 years.
- History of recent self-harm which is defined as “ self-harm occurring within the last 3 months (from the initial identification of a potential participant)”. This period is considered as high risk for repetition in young people (11).
- Resident in the trial catchment area.
- All participants known to clinical or health services. This will be necessary to ensure all participants have access to TAU.

Exclusion Criteria

- Patients with a severe mental illness such as schizophrenia spectrum and other psychotic disorders, bipolar and severe depressive disorder will be excluded. This intervention has been developed to help individuals who experience common mental health problems such as anxiety or mild to moderate depressive disorders. It has not been developed to work with people with severe mental health difficulties such as psychotic disorders. We consider that the intervention would require work with service users and carers and further adaptation work with people who have severe mental health illness.
- Patients with conditions limiting engagement with assessment/intervention.
- Temporary resident unlikely to be available for follow up.

Design

A multi-centre rater blind RCT with randomisation by individual participants in order to compare the YCMAP in addition to TAU with TAU alone.

Study Site and Population

Study sites are all participating primary care clinics, emergency departments and medical wards of general hospitals in five major cities of Pakistan, Karachi, Hyderabad, Lahore, Multan and Rawalpindi.

Sample Size

The sample size is based on the primary outcome, repetition of self-harm in a 12-month period (yes/no). The TAU arm of the study has an expected self-harm rate of 20% (7). A clinically important effect would be a reduction to 7.5% in the intervention group. Under these presumptions, and assuming a 5% significance level and 90% power, a study with no clustering would require 158 patients per arm. The study has a partially nested design due to therapist clustering in the YCMAP arm. Our sample size calculation has taken account of this by adjusting the sample size upwards, conservatively assuming clustering in both arms. Based on previous analysis of therapist trials, we believe that the intraclass correlation coefficient (ICC) is likely to have a value between 0.01 and 0.05 for this type of outcome measure. Assuming an ICC of 0.05, and a cluster size of 16 patients per therapist, a design effect of 1.75 is calculated. This increases the numbers required to 277 per arm. Furthermore, based on our previous work there is expected to be a 15% loss to follow-up, and so the final numbers recruited will be 326 per arm, with a total sample of 652 participants.

Randomisation

The researcher will complete a checklist, confirming eligibility, obtain written (or verbal audio-recorded consent from the subject due to current pandemic COVID-19 SOPs), and complete the baseline measures. The researcher will contact the randomisation centre, who will re-check eligibility, record baseline measures and assign a participant's trial number. Treatment assignment will then be determined using stochastic minimisation controlling for gender, age and type of self-harm behaviour. The independent statistician would inform the project manager of the random assignments, but this information would not be shared with research staff, so that they remain unaware of whether a particular participant receives YCMAP or not. Participants assigned to the YCMAP would then be contacted by a therapist, who will arrange an initial meeting within 2-4 weeks after baseline to start the intervention. Participants in the TAU group would be informed of their allocation to this group after randomisation had taken place.

Recruitment and Baseline Assessment

Potentially eligible individuals will be identified by clinical staff at the participating recruitment sites. An age appropriate version of the Participant Information Sheet (PIS) will be provided alongside the standard PIS, so both the parent/guardian and child can access this information. For individuals who cannot read, information about the study will be summarised by the clinician for them. The consent was obtained from the parent/guardian along with consent from the participating young person (The Nuffield Council for Bioethics guidance suggests that consent rather than assent should be sought from young people in research). Therefore, it is ensured and clearly mentioned in the participant information sheet for both the young people and their parents that it is mandatory for parents/guardians to consent for young person to participate in the study.

Intervention

YCMAP is a “Youth culturally adapted manual assisted psychological intervention” based on CBT principles. It comprises of 8-10 sessions delivered over three months. The first eight sessions are offered weekly and further sessions fortnightly on a one to one basis and each session lasts for about 60 minutes. The YCMAP has been culturally adapted with permission from “CMAP” (15), “Life after self-harm” (27) and “Cutting down: A CBT workbook for treating young people who self-harm”(28). The intervention includes psycho-education and a comprehensive cognitive behavioural assessment of the self-harm attempt using virtual stories of four young people. The therapy focuses on current problems that contributed to the self-harm episode. Therapists and adolescent clients choose from a list of techniques those which are most relevant to the client’s problems. Therapy is therefore adapted to fit with the clients problems and primarily utilises problem solving, CBT, and dialectical therapy strategies to bring about change.

To help determine the most appropriate coping strategy a coping tree is designed. Training in assertiveness and anger management are offered to help the young person to develop resilience to cope with stress. To the existing YCMAP we will also aim to review the look and feel of the resource by including licenced content from My Big Life - a course developed by our collaborative partner Five Areas Limited. This accessible, story-based approach uses illustrations of young people facing different scenarios at home and at school to illustrate key CBT-based concepts: Understanding your feelings -How to get a Big Life (behavioural activation) - Thinking in a Big Life way (identifying and changing thoughts that upset and affect how you feel) - Relaxation approaches - Building inner confidence - Practice scenarios - Trainer notes and linked worksheets/prompt cards and posters. Asian versions of the course already exist with amended artwork. As part of the development programme selected content will be added to the course and trainer notes will be modified (based on feedback) as needed.

All research staff will be required to have at least an undergraduate level degree in a relevant area (e.g. psychology) and will be trained in “Good Clinical Practice (GCP) ” in research, consent process, and use of the assessment measures. Regular supervision meetings will be arranged for

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3 research staff and therapists for case discussions, identifying and managing distress in participants.
4 A study protocol will be in place prior to the start of the trial. This will include details about how
5 to manage difficult situations arising in research, safety and lone working arrangements.
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10 **Treatment as Usual (TAU)**

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12 Local psychiatric, medical and primary care services provide standard routine care according to
13 their clinical judgment and available resources. We will administer Client Services Receipt
14 Interview (CSRI) (29) to obtain details regarding treatment received by each study
15 participant. These participants will receive an initial assessment along with TAU as ascertained by
16 their treating doctor at the hospital or their GP. The current practice is that self-harm patients are
17 not routinely referred to mental health services. Research staff will record the nature and intensity
18 of the routine care delivered for each participant.
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23 **Patient and Public Involvement and Engagement (PPIE) Group**

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25 This group will help to ensure that the research agenda for self-harm and suicide prevention studies
26 is informed by and aligned with service users and carer priorities.
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31 **Statistical Analysis**

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33 Statistical analysis will be based on intention-to-treat principles, subject to the availability of data.
34 During the course of the trial, periodic random quality checks of data will be carried out by the
35 trial statistician blind to treatment allocation. Once data entry has been completed, preliminary
36 data analysis will be carried out blind to treatment allocation, prior to un-blinding. The results of
37 the trial will follow the standard CONSORT recommendations. Baseline and follow-up data will
38 be summarised using the appropriate descriptive statistics and graphical summaries. Treatment
39 effects will be presented with 95% confidence intervals. We will investigate baseline factors that
40 predict nonresponse using a logistic random effects model as non-response may be clustered by
41 therapy group. The statistical analysis of the primary outcome measure (repetition of the self-harm
42 episode within 12-months) will be based on a logistic random effects model with randomised
43 treatment, age, gender and type of self-harm as fixed effects and a random effect of therapist in the
44 treatment arm, with individuals in the control arm being treated as clusters of size 1. The
45 continuous secondary outcome measures will calculate treatment effects using a linear mixed
46 model, with a random effect of therapist and the set of baseline covariates as above including
47 baseline values of the outcome where available. A single model will be fitted across all time-
48 points, with a fixed effect for time, and interactions between time and treatment group. There will
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3 be no adjustment to secondary outcomes CIs for multiple testing. Binary secondary outcomes will
4 take a similar approach to continuous outcomes, but will use logistic random effects models.
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7 **Economic Evaluation**

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10 The economic evaluation will take a societal perspective that incorporates the costs of both formal
11 and informal health care and other relevant economic impacts such as on education. It will include
12 financial impacts on providers and out of pocket payments by participants and their families. The
13 use and payment for services will be collected using the CSRI (29) with patients and their families
14 at baseline and at each follow up assessment. The use of the YCMAP intervention will be recorded
15 separately by the trial team. Appropriate unit costs will be collected and attached to individual-
16 level resource use quantities to estimate total care costs for each individual. Cost estimates for the
17 YCMAP intervention will include a) the salary of the therapists and b) indirect costs like session
18 preparation, supervision, on-costs and capital overheads. Comparisons of total costs between the
19 YCMAP and TAU arms will be based on non-parametric bootstrapped regressions (with co-
20 variates for baseline costs, outcome measures and other key baseline factors) to account for the
21 likely non-normal distribution in costs. An initial analysis will examine whether any additional
22 costs associated with the YCMAP intervention are offset by savings elsewhere.
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28 More formal assessments of cost-effectiveness will link between-arm differences in average costs
29 with between-arm differences in a) the primary outcome measure, repetition of self-harm (SASII)
30 and b) quality adjusted life year (QALY) gains. QALYs will be estimated by applying relevant
31 utility weights to health states measured through EQ-5D-Y (23, 30). Costs and outcomes will be
32 linked in the form of incremental cost-effectiveness ratios (ICERs) where relevant, and cost-
33 effectiveness acceptability curves (CEACs) based on the net benefit approach. As per the clinical
34 effectiveness analyses, we will use an intention-to-treat approach for all economic analyses.
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39 **Qualitative Data Analysis**

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41 A purposefully selected subset (stratified by age, gender and self-harm severity) of participants in
42 the treatment group will be invited to complete a qualitative one-to-one digitally recorded
43 interview (face to face or via phonecall) lasting 1-1.5 hours. This will focus on their experience
44 with the YCMAP intervention, the barriers and facilitators to engagement and perceived positive
45 or negative experience with the intervention. A sample of 12-20 participants is likely to be
46 sufficient to ensure data saturation (31) (32). Focus groups ($k = 2$ with 8-10 participants each) with
47 therapists and key stakeholders will also be conducted to enable a further process analysis
48 concerning the wider implementation of YCMAP into the Pakistani health systems. During both
49 the individual and group interviews, broader suggestions for management and prevention of self-
50 harm among young Pakistanis will also be collected to inform future service and research
51 development.
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5 We will explore perspectives of therapists and their supervisors about training and delivery of the
6 model of care. We will also interview other key stakeholders to establish facilitators and barriers
7 to implementation of the intervention in Pakistan. Targeted interviews will be done with a sample
8 of participants who completed the intervention and a sample of participants who 'dropped out'
9 before completion. Up to 30 interviews are likely to be needed with patient participants, to achieve
10 category saturation. New text mining tools will be used to link heterogeneous data, to ensure that
11 knowledge scattered with in lengthy textual data reaches clinicians, patients and policy makers to
12 support decision making using different sources of information (33). Qualitative data will be
13 analysed using Thematic Analysis (34) adopting a critical realist perspective (35). This will enable
14 the research team to draw conclusions on the intervention whilst accounting for contextual and
15 cultural factors.
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20 Topic guides will be developed through discussion amongst trained qualitative researchers as well
21 as with reference to relevant literature. Semi- structured interviews will be done to explore views
22 on the “effectiveness and sustainability” of the YCMAP intervention in the management of people
23 presenting with self-harm. Written or verbal consent at the time of interview (face to face or
24 telephone) or prior to the interview (considering current pandemic) will be obtained from study
25 participants and stake holders.
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30 **Internal pilot study**

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32 We have included an internal pilot phase (initial 12 months) with clear stop/go criteria across the
33 study centres. This is included to ensure achievement of recruitment targets is viable across all
34 sites included in this full trial. Progression to the full trial will be dependent on meeting the key
35 objectives and stop/go criteria outlined below. The pilot phase will examine the processes for
36 recruitment and logistical practices to support the effective execution of the full RCT. The internal
37 pilot phase will be conducted in line with the National Institute of Health Research (NIHR)
38 guidelines (36). The key objectives of the pilot are as follows; i) Recruitment of sufficient number
39 of General Practitioners/Hospital Departments to support the trial. ii) Identify the proportion of
40 eligible participants recruited across the study centres, and examine the feasibility of achieving
41 recruitment targets to a full multicenter RCT. The pilot will be judged successful if sufficient GPs
42 and hospitals are recruited to enroll 200 participants in the first year of recruitment i.e 4-12
43 months. We will monitor recruitment and identify the reasons for any shortfall on a monthly basis.
44 The predetermined Stop-Go criteria is as follows:
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51 i. Go if successfully recruit 180 (target minus 10%) or more participants during pilot study phase
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53 ii. Rescue plan to be implemented if less than 180 but greater than 120 participants recruited in
54 pilot phase.
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3 iii. Stop if less than 120 participants recruited into the trial.
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6 **Theory of Change (ToC)** 7

8 The study team uses ToC as the standard framework for all research projects. The overall study
9 will be underpinned by the ToC causal model of planning, monitoring, evaluation and impact
10 assessment to ensure that marginalised voices are included in developing the vision, identifying
11 barriers and challenges from the lens of the end beneficiaries and short, medium and long term
12 outcomes are developed and delivered so real change happens from the perspective of the target
13 group. A ToC workshop with key stakeholders has already taken place to ensure all stakeholders
14 have a buy in and ownership of the process. See Figure 2
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18 The ToC causal diagram as an output is evidence of stakeholder engagement and ownership.
19 Also, ToC will enable us to show how trial results are adopted in wider practice and on what
20 basis, what barriers and challenges were faced during the trial and what assumptions were made in
21 defining the goal statements? Informed by the ToC workshop, a Young People's Advisory Group
22 will be set up to provide insight into the lived experiences of today's youth in Pakistan.
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26 As religiosity was a dominant thread from the community engagement exercise, there was
27 recognition that spiritual advice was often the first point of contact for patients rather than health
28 professionals. The trial was not designed to try to replace such religious norms but to work
29 alongside them while recognising that there could be delays in referrals. There was also no
30 restriction on accessing spiritual guidance making professional psychological therapies an addition
31 not a substitute for such support. Importantly, mental health concerns are stigmatising and suicide
32 is still considered as a criminal offense.
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36 Working with religious leaders was therefore a critical part of community engagement with Y-
37 CMAP and its evaluation through the RCT the concept of 'dawa and dua' medicine and prayer
38 going hand in hand as part of therapy tend to promote adherence and engagement.
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42 **COVID-19 Continuity Plan** 43

44 Due to global pandemic COVID-19, amendments to study procedures in response to the lock
45 down and social distancing in Pakistan were made to ensure smooth running of the project. See
46 supplementary file.
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50 **Trial Steering Committee (TSC)** 51

52 We will convene an independently chaired TSC to approve and provide oversight of the trial
53 throughout its various stages. The TSC will include the chair, user representative, three PIs, an
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3 independent statistician and a representative from the local health department. This will be
4 independent of the trial management team. The (TSC) will convene annually, but twice in the first
5 year, with feedback from the Chair as and when needed.
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8 **Data Monitoring and Ethics Committee (DMEC)**

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11 The DMEC will oversee the data and advise the TSC on any ethical or safety concerns.
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14 **Ethics and Dissemination**

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16 Ethics approval for the trial has been obtained from the Research Ethics Committee of University
17 of Manchester (Ref: 2019-5024-10755) and the National Bioethics Committee in Pakistan (Ref:
18 No.4-87/NBC-419/19/1213). The study findings will be disseminated using social media, at
19 national and international conferences in partnership with the “service user”, “carer” and
20 “community organizations”. Also, study results will be submitted to peer and non peer-reviewed
21 journals for publication.
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25 **Discussion**

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28 There has been a recent significant increase in number of trials related to self-harm and suicide
29 prevention which reflects the need and international concern about self-harm/suicide prevention.
30 However, in Pakistan evidence based research is limited with no specific intervention for
31 adolescents with self harm history. The evidence suggests that CMAP intervention for adults is
32 effective in Pakistan (15). The components of CMAP intervention may also be beneficial for
33 adolescents presenting after self-harm, therefore there is a strong need to determine the
34 effectiveness of culturally adapted manual assisted problem solving intervention for adolescents
35 in Pakistan. Findings will also contribute to evidence based treatments for self-harm and will meet
36 important clinical needs of Pakistan and will help guide policy makers in developing suicide
37 prevention policies.
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43 **Authors Contributions**

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45 NH, NC, TK, IBC and ST involved in conceptualisation, designing and planning of the project
46 (including protocol development). EC, SA reviewed qualitative component. ZZ, CW, PT, KD and
47 FN reviewed intervention section, RM facilitated the ToC process. MA, RE reviewed statistical
48 analysis plan and AP, AG reviewed economic evaluation plan. All authors SA, SoA, MHA, AB,
49 SuA, SE, JG, KH, FJ, AK, TM, AnMc, AM, HAN, AN, MP, AaP, TS, MS, SS, ATN, and SNZ
50 gave their valuable inputs in finalizing the study protocol.
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55 **Funding Statement**

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4 The Medical research Council /DFID/NIHR programme (MR/R022461/1) has funded this trial.
5 The funder has no role in designing the study methodology, data analysis and interpretation,
6 manuscript writing and in the decision to submit the protocol for publication.
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10 **Competing Interests**

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14 All authors have read and declared that we have no competing interests with the exception of NH,
15 NC, IBC, TK and CW. NH, former Trustee of “Pakistan Institute of Living and Learning (PILL)”,
16 “Abaseen Foundation (UK)” and “Lancashire Mind (UK)”. At “Manchester Global Foundation”,
17 he is the Chair of Board of Trustees. He is also a member of the executive committee for the
18 Faculty of Academic Psychiatry, at the Royal College of Psychiatrists. NH is a NIHR Senior
19 Investigator. NC is Associate Director of Global Mental Health and Cultural Psychiatry Research
20 Group. IBC, former Trustee of “PILL” is Honorary Professor at the University of Manchester. NH,
21 IBC and NC have received support for educational programs and/or travel support and/or speaker
22 fees from pharmaceutical companies. CW is the author of a book aimed at suicide prevention, and
23 has written a range of books and online CBT-based course resources that are available as both,
24 free access and on a commercial basis. NH, NC, IBC and TK’s time is partially funded by the
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37 support and advice in the development and setting up of the trial. We would like to thank
38 community gate keepers, service users and patient and public involvement group members for their
39 kind support, input and feedback on the development of the funding application, spreading
40 awareness among masses and in trial recruitments.
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46 (DMEC) members Prof. Unaiza Niaz (Chair), Dr. Qamar Saeed and Dr. Maryum Ilyas for
47 overseeing the trial’s progress and management.
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Figure:1
Flow Diagram of the YCMAP study

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Figure: 2
Theory of Change

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Supplementary File
COVID 19 Continuity plan

For peer review only

Figure 1
Flow diagram of the YCMAP study

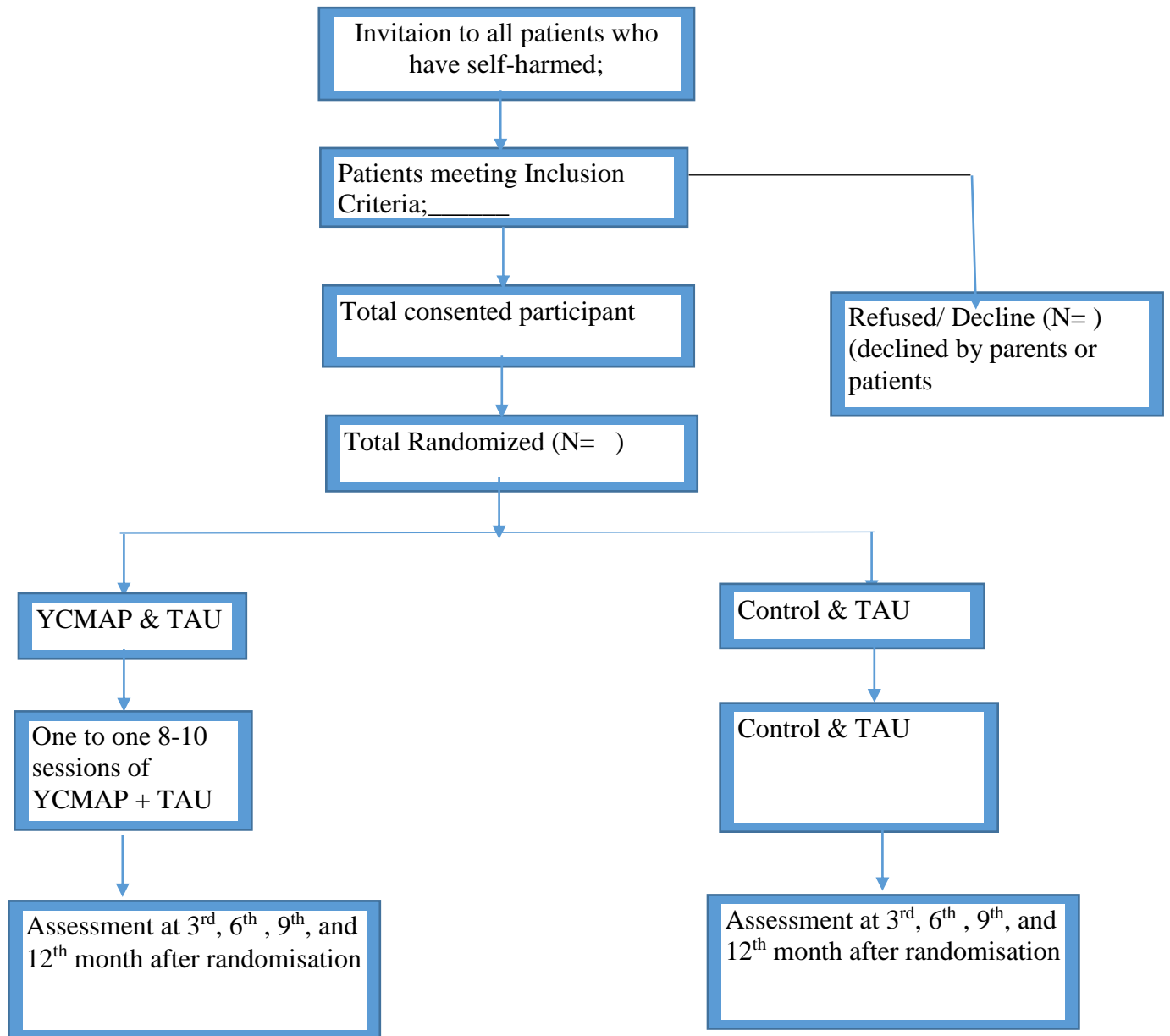
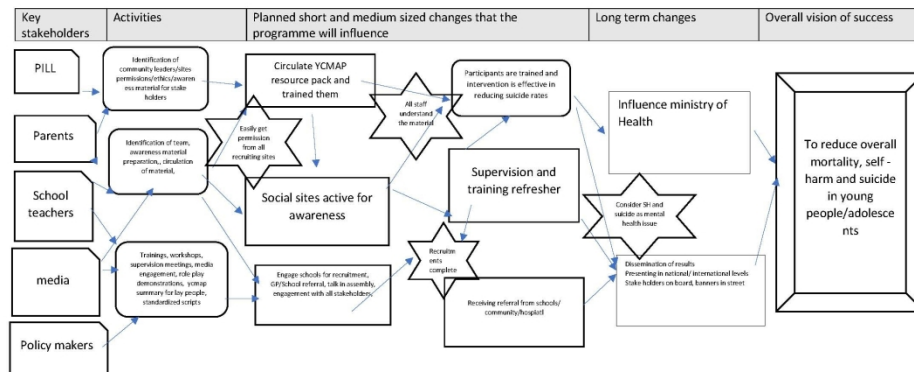


Figure 2
Theory of Change



A Youth Culturally adapted Manual Assisted Psychological therapy (Y-CMAP) for adolescent Pakistani patients with a recent history of self-harm.



Theory of Change

297x210mm (200 x 200 DPI)

COVID-19 continuity plan

In March 2020, due to the first wave of global pandemic-COVID-19 there was a need to make amendments to study procedures in response to the lock down and social distancing in Pakistan. The trial during this period was in the internal pilot phase and in order to ensure smooth running of the project certain changes were made to continue with collection of data, follow ups and delivery of the intervention.

Follows ups (3rd, 6th, 9th and 12th month after randomization):

Initial ethical approval was obtained for face to face assessment and intervention. However, after COVID-19, we submitted ethics amendment for data collection and delivery of intervention digitally (i.e. through telephone call, zoom, skype or other remote means) so that the study team could continue their work and meet targets on time with working from home condition. The consent form that was previously completed on paper further consents will be obtained remotely and the assessment measures to be completed remotely as well. Recordings of consent will be stored in password protected systems.

YCMAP Intervention:

YCMAP intervention comprises of 8-10 sessions delivered in a face to face setting, usually in research offices, community clinics and homes (as per participant choice). As of 20th march 2020, due to lock down across Pakistan it was difficult to deliver face to face sessions. To comply with preventive measures such as social distancing and lock down guidelines, the YCMAP intervention will be delivered remotely, via a phone call, WhatsApp call, zoom or skype rather than in person face to face sessions. Contingency plan will be implemented to manage confidentiality and safe guarding risks.

Qualitative Interviews:

Qualitative interviews will be completed face to face or through telephone. Due to the pandemic situation COVID-19, topic guides will be revised in order to explore the experience of change in mode of delivery of intervention as well as impact of Covid 19 after discussing it with supervisors (EC, NC).

Supervision:

Arrangements for supervision of the therapists will continue as per protocol. The supervisions will continue to be carried out remotely via video link.

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Trainings:

Arrangements for training of YCMAP team members will continue and carried out remotely via video link

For peer review only