## **Supplementary Information**

for

Deep Brain Stimulation Rectifies the Noisy Cortex and Irresponsive Subthalamus to Improve Parkinsonian Locomotor Activities. *Lan-Hsin Nancy Lee, Chen-Syuan Huang, Ren-Wei Wang, Hsing-Jung Lai, Chih-Ching Chung,* 

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#### **Supplementary Figure 1**



Supplementary Figure 1. The total power LFP analysis and recording with thicker electrodes **a** There is no difference in the total power from 0-250 Hz LFP spectral analysis of 5-minute recordings in open field tests (OFT) between control and parkinsonian (6-OHDA) rats in both MC and STN. The analyses are from the same recordings in Fig. 1 (n = 29 recordings from 10 control rats, n = 20 recordings from 11 parkinsonian rats). **b** Left: Average PSD spectra of LFP recordings in STN using 38- $\mu$ m (data from Fig. 1) or 64- $\mu$ m electrodes from 20 and 9 parkinsonian rats in OFT, respectively. There is a better detection of the  $\beta$  peak in STN with thicker electrodes (star mark). Right: PSD spectra of a 2-minute LFP recording in OFT shows a more prominent  $\beta$  peak in MC than in STN from a parkinsonian rat where thicker (64  $\mu$ m) electrodes are implanted in STN. c Average PSD spectra in STN recorded with 64  $\mu$ m electrodes show a marked increase of  $\alpha$  but not  $\beta$  power from rest to movement in parkinsonian rats (n = 14 segments during 10-second continuous rest or movement periods from 9 rats). **d** The band power analysis from part **c** reveals a significant increase of the  $\alpha$  power and decrease of the  $\delta$  power from rest to movement in parkinsonian rats. The  $\beta$  band power shows no difference from rest to movement. The results are similar to those obtained with 38 µm recording electrodes in Fig. 1. PSD spectra are presented as mean (solid curves)  $\pm$  standard deviation (shaded areas). Data in bar graphs are presented as mean  $\pm$  S.E.M. and analyzed with Mann-Whitney U tests. \*p < 0.05, \*\*\*p < 0.001, N.S., nonsignificant.



## Supplementary Figure 2. The analysis of cortico-subthalamic coherence in control and parkinsonian rats.

**a** The average coherence spectra from continuous 5-minute LFP recordings reveal slightly higher MC-STN coherence in  $\alpha$  frequencies and significantly lower coherence in  $\delta$  frequencies in control than in parkinsonian (6-OHDA) rats. The coherence in  $\beta$  frequencies shows little difference. n = 29 and 20 recordings from 10 control and 11 parkinsonian (6-OHDA) rats, respectively. **b** The average MC-STN coherence spectra show a marked increase of MC-STN  $\alpha$  coherence from rest to movement in both control (blue) and parkinsonian rats (red). Data are collected from the same rats in Fig. 2 and 3. n = 52 and 50 matched segments of rest and movement from 10 control and 11 parkinsonian rats, respectively. c, d Representative coherence spectrograms (top) and the changes of  $\alpha$  coherence (middle, upper) reveal a trend of positive correlation between  $\alpha$  coherence and moving velocity in OFT (grey areas, middle, lower) in a control (c) and a parkinsonian (d) rats. Cross-covariance analysis between time-varying  $\alpha$  coherence and moving velocity (bottom) also reveals a peak of correlation coefficient at zero time lag in both control and parkinsonian rats. There is little or just a low-level correlation between  $\delta$  coherence and moving velocity in both cases. PSD spectra are presented as mean (solid curves)  $\pm$  standard deviation (shaded areas). \*\*p Data in bar graphs are presented as mean  $\pm$  S.E.M. and analyzed with Mann-Whitney U tests. <0.01, N.S., nonsignificant.

#### **Supplementary Figure 3**



## Supplementary Figure 3. Inclusion criteria for electrophysiological analysis according to behavioral states.

The offline-analyzed LFP, multi-unit spikes, and video recordings from each 5-minute OFT were inspected simultaneously second to second by an experimenter. Segments with 10-seconds of continuous rest or movement in video recordings without high voltage spindles or mechanical artefacts were included. States of high voltage spindles (HVS) were electrophysiologically recognized as a generalized high-amplitude (more than  $\pm 2 \text{ mV}$ ) spike-and-wave pattern at 5-10 Hz in LFP, with concomitant generalized bursts at 5-10 Hz in spike recordings and with an abrupt onset and end. Behaviorally, the rat was motionless or "absence-like" in the video. The movement or mechanical artifacts (blue arrows) were typically recognized by the criteria detailed in Methods. The segments were included from the beginning of recording by each 10 seconds, until HVS, artefacts, or discontinuation of the behavioral state were encountered. The moving velocity of collected segments was calculated by the video tracking system, and segments with velocity < 2.5cm/s for rest and > 4 cm/s for movement were included as primary segments for further analysis. For LFP and MU analysis comparing control and 6-OHDA (Figs. 2, 3, 4, and 5), matched rest and movement segments were included in chronological order. For MC MU and STN burst analysis in STN-DBS study (Fig. 8, f and g), matched rest and movement in baseline and DBS were included in reversed chronological order. For dissimilarity analysis (Figs. 6 and 8, i), all primary segments with  $\geq$  5 leads with MU spike rate  $\geq$  5 Hz were included. For LFP analysis comparing different movement states during STN-DBS (Fig. 8d), primary segments for movement were further classified into type 1 and type 2 movement according to the video criteria of type 2 movement (see Methods). Scale bars represent 1s/500µV.



# Supplementary Figure 4. Histological examination of the location of recording electrodes and dopamine depletion by 6-OHDA.

**a**, **b** The locations of the recording electrode tips (indicated by black arrow heads) in MC and STN are confirmed by coronal section of rat brains with crystal violet (Nissl) staining. The right panel is zoomed from the black box in the left panel to show the locations. **c**, **d** Images of tyrosine hydroxylase immunohistochemistry from a rat with unilateral 6-OHDA lesion. There is unilateral loss of dopaminergic innervation in the ipsilateral striatum (**c**, red arrow heads) and the substantia nigra pars compacta and the ventral tegmental area (**d**, red arrow heads).

#### **Supplementary Figure 5**



7b







Supplementary Figure 5. Scatter plots for individual data from the dataset of bar graphs in which n < 10.

Supplementary Table 1. Reports of the statistical analysis results.

Fig. 1: Mann-Whitney U test

	Variables	P (2-tailed)
Control vs. 6-OHDA	MC alpha	.002
	MC delta	.640
	MC beta	.064
	STN alpha	.009
	STN delta	.063
	STN beta	.823

#### Fig. 2c: 2 x 2 mixed model ANOVA

	Main effect	df	F	Р	Simple main effect	F	Р
MC alpha	Control vs 6-OHDA	1	5.695	.019	Rest	8.070	.005
	(Between-subjects)				Move	.691	.407
	Rest vs Move	1	153.096	.000	Control	59.490	.000
	(within-subject)				6-OHDA	95.376	.000
	Interaction	1	2.474	.119			
	Error	100					
MC delta	Control vs 6-OHDA	1	2.128	.148	Rest	.074	.787
	(Between-subjects)				Move	4.483	.035
	Rest vs Move	1	25.639	.000	Control	6.090	.014
	(within-subject)				6-OHDA	21.826	.000
	Interaction	1	2.585	.111			
	Error	100					

Fig. 3c, 3h: 2 x 2 mixed model ANOVA

	Main effect	df	F	Р	Simple main effect	F	Р
STN alpha	Control vs 6-OHDA	1	12.058	.001	Rest	3.605	.059
	(Between-subjects)				Move	11.082	.001
	Rest vs Move	1	519.595	.000	Control	290.850	.000
	(within-subject)				6-OHDA	231.096	.000
	Interaction	1	1.180	.280			
	Error	100					
STN delta	Control vs 6-OHDA	1	10.638	.002	Rest	9.592	.002
	(Between-subjects)				Move	3.953	.048
	Rest vs Move	1	96.546	.000	Control	40.766	.000
	(within-subject)				6-OHDA	56.260	.000
	Interaction	1	.784	.378			
	Error	100					
Coherence	Control vs 6-OHDA	1	1.354	.247	Rest	1.334	.249
alpha	(Between-subjects)				Move	.363	.547
	Rest vs Move	1	98.913	.000	Control	46.263	.000
	(within-subject)				6-OHDA	52.702	.000
	Interaction	1	.177	.674			
	Error	100					
Coherence	Control vs 6-OHDA	1	.102	.751	Rest	1.730	.190
delta	(Between-subjects)				Move	.588	.444
	Rest vs Move	1	38.047	.000	Control	8.685	.004
	(within-subject)				6-OHDA	33.052	.000
	Interaction	1	4.168	.044			
	Error	100					

Fig. 4a: 2 x 2 mixed model ANOVA

	Main effect	df	F	Р	Simple main effect	F	Р
MC beta	Control vs 6-OHDA	1	18.665	.000	Rest	14.126	.000
	(Between-subjects)				Move	20.588	.000
	Rest vs Move	1	.612	.436	Control	0.190	.663
	(within-subject)				6-OHDA	2.321	.129
	Interaction	1	1.940	.167			
	Error	100					
STN beta	Control vs 6-OHDA	1	.196	.659	Rest	1.724	.191
	(Between-subjects)				Move	.303	.583
	Rest vs Move	1	15.957	.000	Control	17.287	.000
	(within-subject)				6-OHDA	2.303	.131
	Interaction	1	3.340	.071			
	Error	100					
Coherence	Control vs 6-OHDA	1	.103	.749	Rest	.001	.974
beta	beta (Between-subjects)			Move	.290	.591	
	Rest vs Move	1	.444	.507	Control	.006	.938
	(within-subject)				6-OHDA	.734	.392
	Interaction	1	.311	.578			
	Error	100					

## Fig. 4d, left: Wilcoxon signed rank test

	Variables	P (2-tailed)
Low beta vs. High beta	MC MU Spike rate	.039

## Fig. 4d, right: Mann-Whitney U test

	Variables	P (2-tailed)
Beta < 10% vs. Beta > 20%	MC MU Spike rate	.001

## Fig. 5b, d, f, h: 2 x 2 mixed model ANOVA

	Main effect	df	F	Р	Simple main effect	F	Р
MC MU	Control vs 6-OHDA	1	64.750	.000	Rest	57.956	.000
spike	(Between-subjects)				Move	66.578	.000
	Rest vs Move	1	8.081	.005	Control	9.074	.003
	(within-subject)				6-OHDA	1.056	.304
	Interaction	1	1.891	.169			
	Error	712					
STN MU	Control vs 6-OHDA	1	.510	.475	Rest	1.916	.166
spike	(Between-subjects)				Move	.000	.989
	Rest vs Move	1	10.269	.001	Control	0.270	.604
	(within-subject)				6-OHDA	15.831	.000
	Interaction	1	6.137	.013			
	Error	712					
MC MU	Control vs 6-OHDA	1	17.402	.000	Rest	2.885	.092
temporal change	(Between-subjects)				Move	25.699	.000
Ũ	Rest vs Move	1	34.686	.000	Control	38.471	.000
	(within-subject)				6-OHDA	4.522	.035
	Interaction	1	8.307	.005			
	Error	68					
STN MU	Control vs 6-OHDA	1	1.140	.291	Rest	4.058	.047

temporal	(Between-subjects)				Move	.127	.722
change	Rest vs Move	1	1.090	.301	Control	0.326	.569
	(within-subject)				6-OHDA	4.637	.034
	Interaction	1	3.541	.066			
	Error	50					

Fig. 6e, i: 2 x 2 independent model ANOVA

	Main effect	df	F	Р	Simple main effect	F	Р
MC time	Control vs 6-OHDA	1	.134	.715	Rest	.074	.786
average of S					Move	.061	.806
5	Rest vs Move	1	11.977	.001	Control	5.027	.027
					6-OHDA	7.380	.008
	Interaction	1	.000	.991			
	Error	118					
MC total	Control vs 6-OHDA	1	8.490	.004	Rest	1.543	.217
power of S(t)					Move	8.123	.005
5(1)	Rest vs Move	1	8.714	.004	Control	7.247	.008
					6-OHDA	1.903	.170
	Interaction	1	1.413	.237			
	Error	118					
STN time	Control vs 6-OHDA	1	.418	.519	Rest	.166	.684
average of S					Move	1.728	.191
5	Rest vs Move	1	.011	.916	Control	1.125	.291
					6-OHDA	.510	.477
	Interaction	1	1.490	.225			
	Error	106					
STN total	Control vs 6-OHDA	1	5.241	.024	Rest	3.767	.055
power of S(t)					Move	1.688	.197
~()	Rest vs Move	1	3.826	.053	Control	3.685	.058
					6-OHDA	.938	.335
	Interaction	1					
	Error	106					

#### Fig. 7a, Evoked: Friedman tests

Variables	P (2-tailed)
Burst duration (s)	.000
Burst duration (s)	.206
	Burst duration (s) Burst duration (s)

#### Fig. 7a, Evoked: Wilcoxon signed rank test

	Variables	P (2-tailed)
Control	Burst duration (s)	.012
10 Hz vs. 20 Hz		
Control	Burst duration (s)	.011
20 Hz vs. 50 Hz		
Control	Burst duration (s)	.012
10 Hz vs. 50 Hz		

## Fig. 7a, Spontaneous: Mann-Whitney U test

	Variables	P (2-tailed)
Control vs 6-OHDA	%time in burst	.009

## Fig. 7b: Friedman tests

	Variables	P (2-tailed)
10 Hz stimuli	Burst duration (s)	.717
2 vs 3 vs 4		
20 Hz stimuli	Burst duration (s)	.368
2 vs 3 vs 4		
50 Hz stimuli	Burst duration (s)	.779
2 vs 3 vs 4		

## Fig. 7c, left: Friedman tests

	Variables	P (2-tailed)
Saline vs DA (2-3 min) vs DA (4-5 min)	Burst rate (min <sup>-1</sup> )	.003

## Fig. 7c: Wilcoxon signed rank test

	Variables	P (2-tailed)
Saline vs DA (2-3 min)	Burst rate (min <sup>-1</sup> )	.043
DA (2-3 min) vs DA (4-5 min)	Burst rate (min <sup>-1</sup> )	.026
Saline vs DA (4-5 min)	Burst rate (min <sup>-1</sup> )	.027
Saline vs DA (2-3 min)	Burst duration (s)	.046

#### Fig. 8a: ANCOVA

Dependent variable	Covariate	df	Error	Behavioral variables	F	Р
DBS	Sham	1	19	Total distance	30.277	.000
				Fast moving time	10.407	.004
				Max speed	15.514#	.000
				Rest time	43.520	.000
<sup>#</sup> Johnson-Neyman method						

## Fig. 8c: Mann-Whitney U test

	Behavioral variables	P (2-tailed)
Type1 vs Type 2	Mean speed	.008
	High activity mean duration	.310

#### Fig. 8d: one-way ANOVA

Dependent	df	Error	F	Р	Games-Howell	Р	95% Confidenc	e Interval
variables					post hoc test		Lower Bound	Upper Bound
MC alpha	1	19	23.826*	.000	Rest-Type1	.000	-6.0977	-2.9629
					Rest-Type2	.089	-4.1242	.2588
					Type1-Type2	.036	.1493	5.0458
STN alpha	1	19	47.073*	.000	Rest-Type1	.000	-14.6630	-8.7138
					Rest-Type2	.002	-9.3318	-2.2845
					Type1-Type2	.004	1.6834	10.0770
Coherence	1	19	3.633	.028	Rest-Type1	.020	0723	0051
aipiia					Rest-Type2	.721	1202	.0648
					Type1-Type2	.951	0824	.1043
MC delta	1	19	3.638	.028	Rest-Type1	.278	-1.2768	5.9899
					Rest-Type2	.144	-12.4742	1.5488
					Type1-Type2	.026	-14.7667	8717

STN delta	1	19	9.287	.000	Rest-Type1	.000	3.2056	11.6046
					Rest-Type2	.131	-1.8396	16.3003
					Type1-Type2	.999	-9.3175	8.9679
Coherence	1	19	14.244*	.000	Rest-Type1	.000	.0427	.1102
delta					Rest-Type2	.420	0400	.1216
					Type1-Type2	.479	1139	.0426
MC beta	1	19	3.079	.048	Rest-Type1	.251	-3.7658	.7321
					Rest-Type2	.264	-1.5564	6.9512
					Type1-Type2	.054	0602	8.4888
STN beta	1	19	2.409	.093	Rest-Type1	.661	5070	1.0947
					Rest-Type2	.486	-3.8574	1.4646
					Type1-Type2	.344	-4.1689	1.1884
Coherence	1	19	4.117	.018	Rest-Type1	.440	0100	.0314
beta					Rest-Type2	.047	.0005	.0947
					Type1-Type2	.139	0102	.0840
					•	•	*1	Welch's F test

Fig. 8f, g: 2 x 2 dependent model ANOVA

	Main effect	df	F	Р	Simple main effect	F	Р
MC MU	Baseline vs DBS	1	6.674	.011	Rest	1.082	.299
spike rate					Move	.817	.367
	Rest vs Move	1	2.218	.139	Baseline	.113	.737
					DBS	.224	.636
	Interaction	1	.082	.775			
	Error	146					
	Error (all)	587					
STN burst	Baseline vs DBS	1	12.278	.025	Rest	9.196	.008
rate					Move	3.478	.081
	Rest vs Move	1	.538	.504	Baseline	.820	.379
					DBS	.069	.797
	Interaction	1	3.451	.137			
	Error	4					
	Error (all)	19					

Fig. 8i: 2 x 2 independent model ANOVA

	Main effect	df	F	Р	Simple main effect	F	Р
MC total	Baseline vs DBS	1	.235	.628	Rest	1.759	.187
S(t)					Move	.360	.549
	Rest vs Move	1	3.260	.074	Baseline	.102	.749
					DBS	5.014	.027
	Interaction	1	1.827	.179			
	Error	117					

Fig. 9a: Wilcoxon signed rank test

	Variables	P (2-tailed)
%I 30~60 vs 80~100	$\triangle V (mV)$	.043

## Fig. 9e: Friedman tests

	Variables	P (2-tailed)
%I	Burst duration (s)	.007
0 vs 30~60 vs 80~100		

#### Fig. 9e: Wilcoxon signed rank test

	Variables	P (2-tailed)
%I	Burst duration (s)	.028
0 vs 30~60		
%I	Burst duration (s)	.043
30~60 vs 80~100		
%I	Burst duration (s)	.043
0 vs 80~100		

## Fig. S1a, d: Mann-Whitney U test

	Variables	P (2-tailed)
Control vs. 6-OHDA	MC total power	.760
	STN total power	.122

	Variables	P (2-tailed)
Rest vs. Move	STN alpha	.000
	STN delta	.043
	STN beta	.550

## Fig. S2a: Mann-Whitney U test

	Variables	P (2-tailed)
Control vs. 6-OHDA	Coherence alpha	.138
	Coherence delta	.009
	Coherence beta	.452