**Supplementary Information**

**Decoupled temperature and pressure hydrothermal synthesis of carbon sub-micron spheres from cellulose**

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This supplementary information file includes:

**Supplementary Note 1.  Comparison of different hydrothermal synthesis results for carbon spheres from cellulose.**

**Supplementary Table 1 | Comparison between the DTPH reaction of cellulose in this study and the CTPH reactions in the literature.**

| | Crystallinity index (%) | Initial decomposition temperature (°C) | Initial formation temperature of carbon spheres (°C) | Complete formation temperature of carbon spheres (°C) | Pressure (MPa) | Isothermal time (h) | Yield of carbon spheres (%) | Ref. |
|---|---|---|---|---|---|---|---|---|
| CTPH | 88[a] | 210 | 220 | 230 | Not given | 4.0 | 33.5 | 1 |
| CTPH | 81[a] | 180 | 200 | 220 | Not given | 24.0 | ~37.0 | 2 |
| CTPH | Not given | 200 | Not given | 250 | 2[b] | ~2.2 | ~32.0 | 3 |
| CTPH | Not given | 220 | Not given | Not given | Not given | 0.5 | - | 4 |
| CTPH | Not given | Not given | 220 | 220 | Self-generated pressure | 4.0 | 46.1 | 5 |
| CTPH | 88 | 210 | 270[c] | 280[c] | Self-generated pressure | 0[d] | 43.5 | Control experiment |
| DTPH | 88 | 117 | 150 | 200 | 20[e] | 0[d] | 43.5 | This work |

[a] Calculated by the XRD pattern in the ref.; [b] initial pressure before heating in the CTPH process; [c] obtained based on the same mass loss as the DTPH process; [d] there is no isothermal time; the total time for heating and cooling was 1.2 h; [e] constant pressure in the DTPH process.

**Supplementary Note 2.  Experimental setup of the DTPH system.**
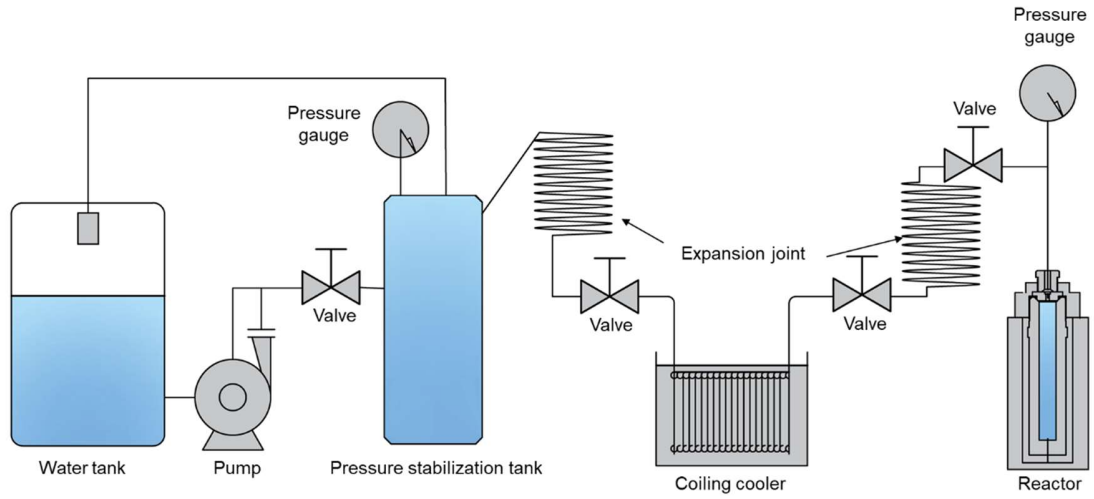


**Supplementary Figure 1 | Schematic of the DTPH reaction system.**
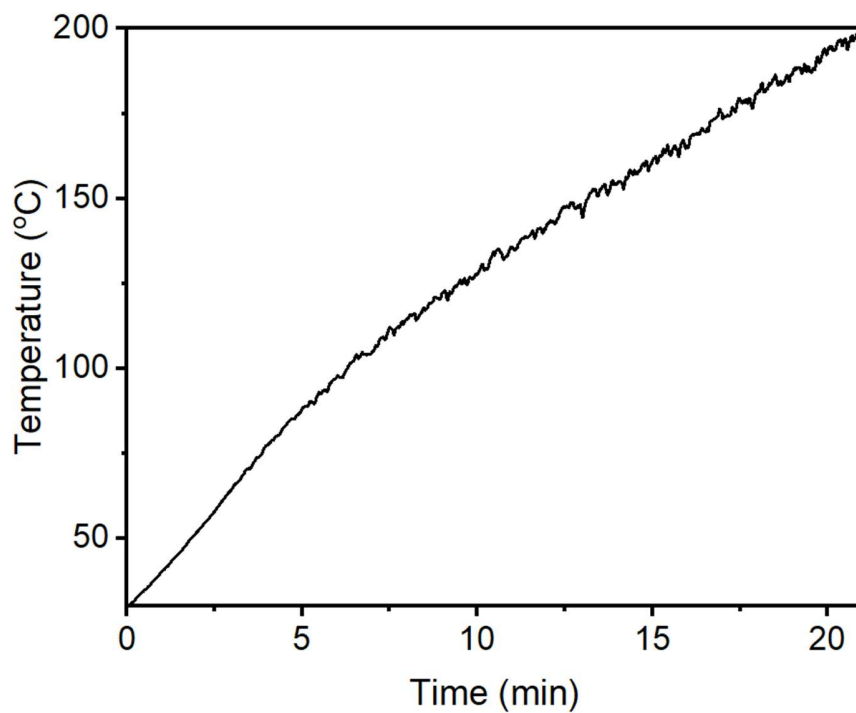
**Supplementary Figure 2 | Temperature profile of the reaction.**

**Supplementary Note 3. DTPH reaction under different pressures.**

Constant high pressure promoted the cellulose degradation from 2 to 6 MPa, and lower mass loss was achieved under higher pressures (from 6 to 20 MPa) (Supplementary Fig. 3). From 2 to 6 MPa, the main role of pressure is to break the kinetic limits and thus promote the degradation at a low temperature. Above 6 MPa, the release of small molecule products is thermodynamically inhibited, resulting in slightly higher solid yields. According to proximate analyses, elemental analyses, FTIR, and XRD, high pressures promoted the carbonization of cellulose (Supplementary Table 2 and Supplementary Figs. 4 and 5). The color of hydrothermally treated cellulose got darker with the increase of pressure (Supplementary Fig. 6), and carbon sub-micron spheres were formed (Supplementary Figs. 7–9). Moreover, the hydrothermally treated cellulose from high pressures showed much higher thermal stability during the pyrolysis and combustion in TGA (Supplementary Figs. 11 and 12).
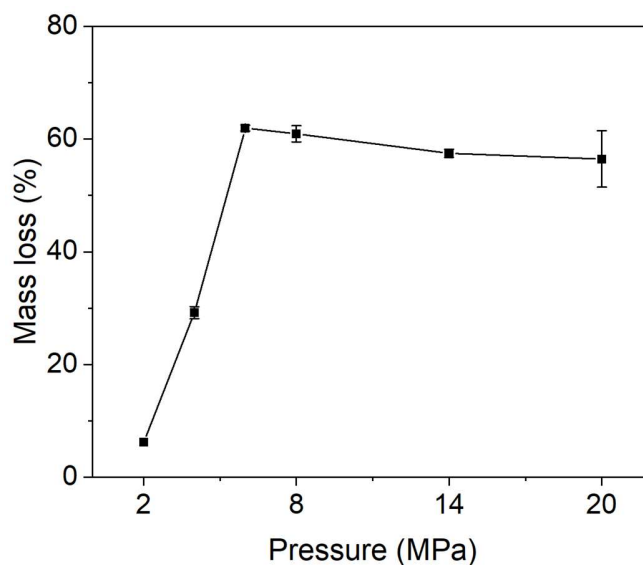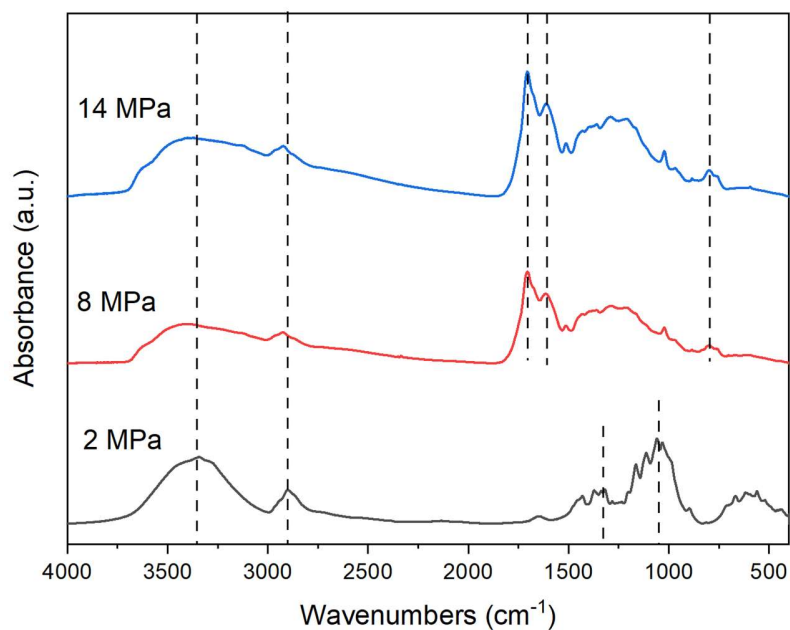


**Supplementary Figure 3 | Mass loss of cellulose at 200 °C under different pressures. Error bars represent standard deviations of repeated tests.**

**Supplementary Table 2 | Elemental composition and proximate analysis of hydrothermally treated cellulose under different pressures at 200 °C.**

| Pressure (MPa) | Elemental composition (dry ash-free basis) | | | | | Proximate analysis (dry basis) | | |
|---|---|---|---|---|---|---|---|---|
| | C (wt%) | H (wt%) | O (wt%) | O/C (atomic ratio) | H/C (atomic ratio) | Ash (wt%) | Volatile (wt%) | Fixed carbon (wt%) |
| Cellulose | 42.5 | 5.6 | 51.9 | 0.92 | 1.58 | 0.0 | 96.3 | 3.7 |
| 2 | 43.4 | 6.5 | 50.1 | 0.87 | 1.81 | 0.0 | 96.0 | 4.0 |
| 8 | 69.1 | 4.6 | 26.3 | 0.29 | 0.80 | 0.0 | 48.5 | 51.5 |
| 14 | 70.0 | 4.3 | 25.6 | 0.27 | 0.74 | 0.0 | 50.9 | 49.1 |
| 20 | 70.7 | 4.5 | 24.8 | 0.26 | 0.77 | 0.0 | 53.8 | 46.2 |

**Supplementary Figure 4 | FTIR spectra of hydrothermally treated cellulose at 200 °C under different pressures.**
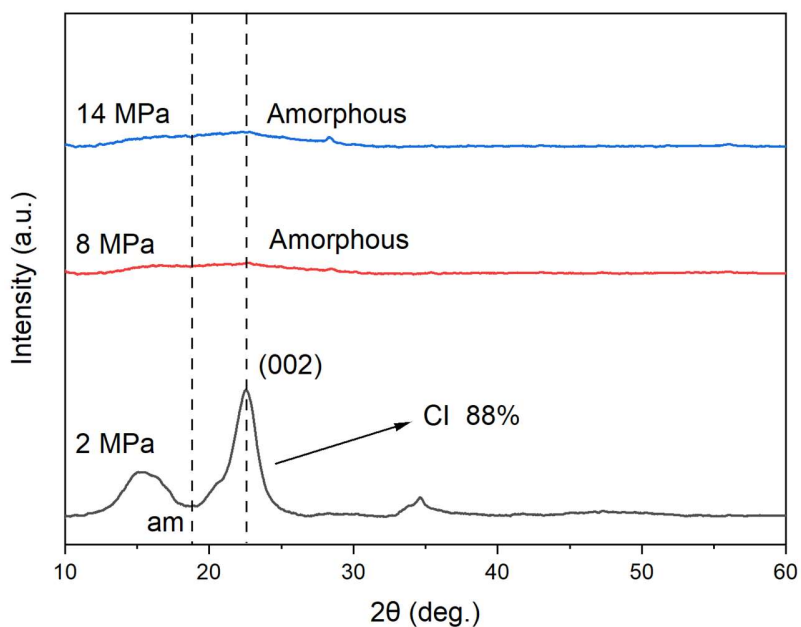


**Supplementary Figure 5 | XRD patterns of hydrothermally treated cellulose at 200 °C under different pressures.**
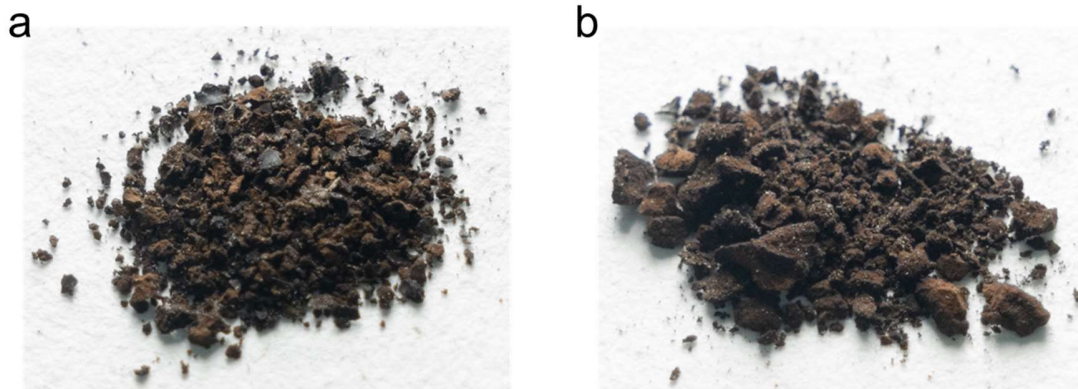
**Supplementary Figure 6 | Macroscopic morphologies of hydrochar under different pressures at 200 °C. (a) 8 MPa; (b) 14 MPa.**
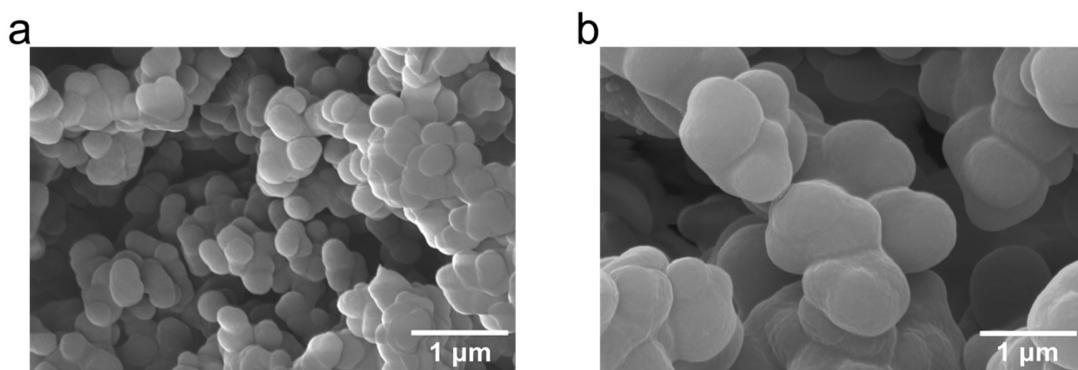


**Supplementary Figure 7 | SEM of hydrochar under different pressures at 200 °C. (a) 8 MPa; (b) 14 MPa.**
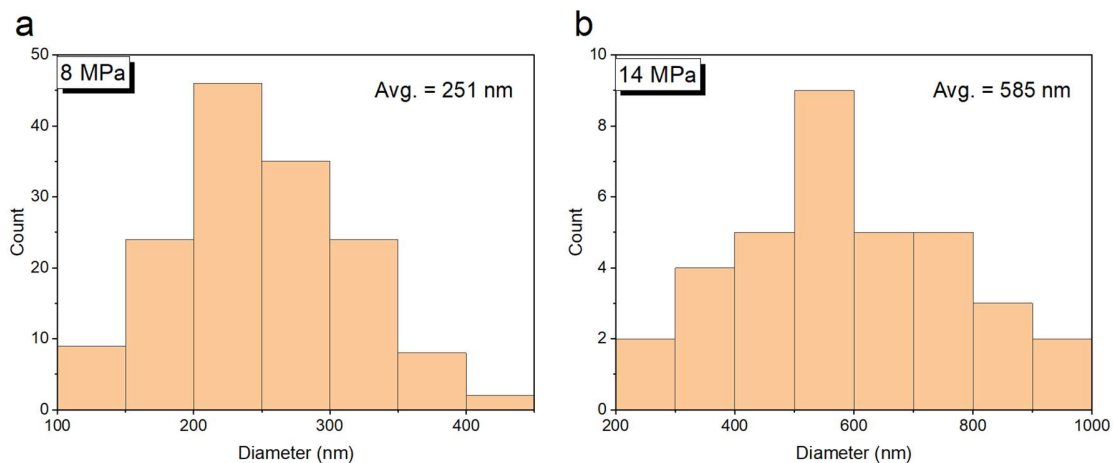
**Supplementary Figure 8 | Size distribution of sub-micron spheres under different pressures at 200 °C. (a) 8 MPa; (b) 14 MPa.**
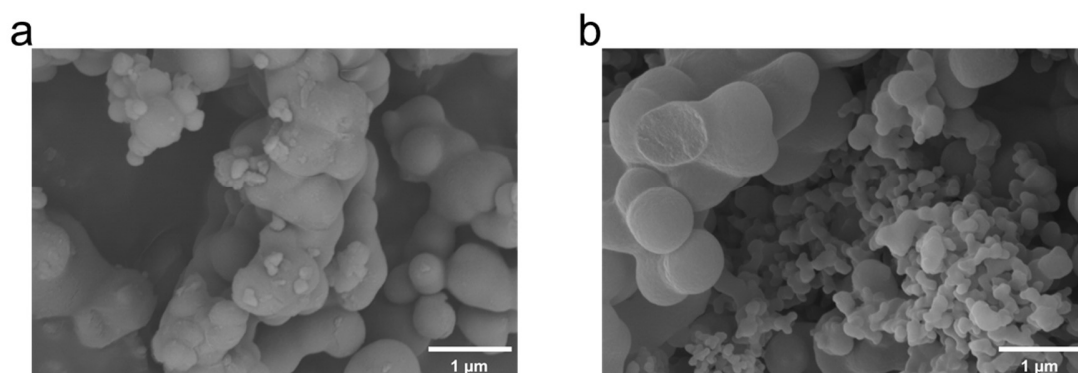


**Supplementary Figure 9 | SEM of hydrochar under different pressures at 300 °C. (a) 9 MPa; (b) 20 MPa.**
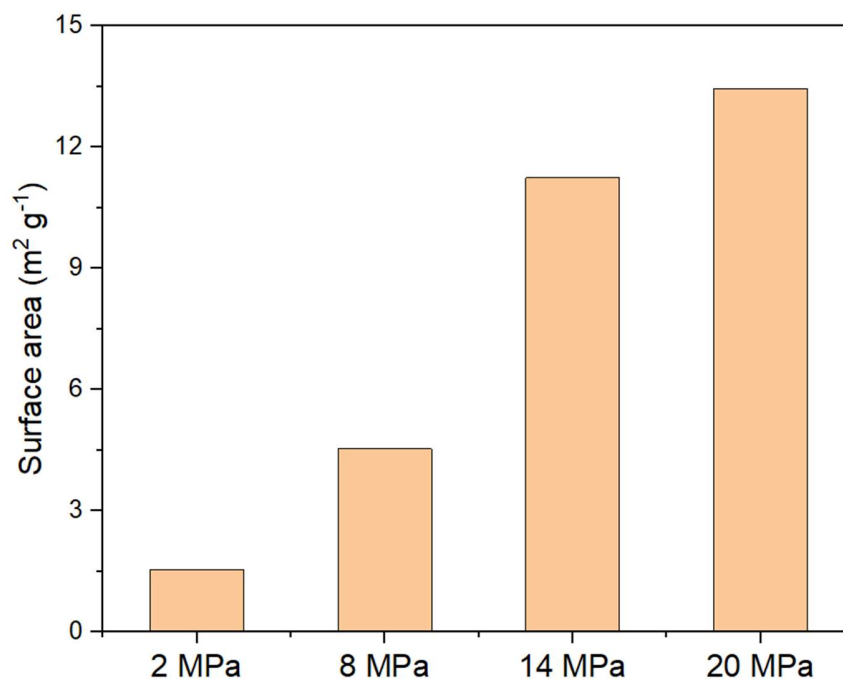
**Supplementary Figure 10 | Surface area of the hydrothermally treated cellulose under different pressures at 200 °C.**
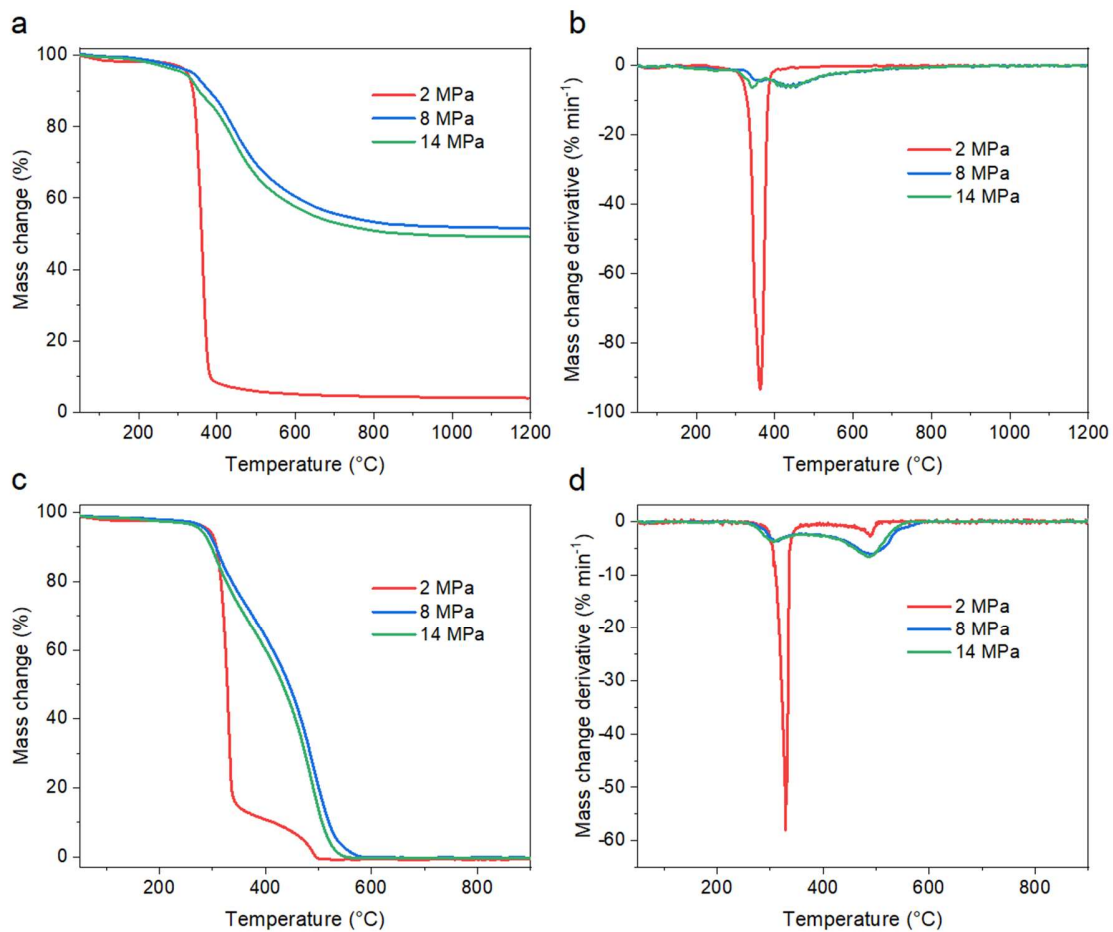
**Supplementary Figure 11 | The thermogravimetric study of hydrothermally treated cellulose at 200 °C under different pressures. (a) TG curves under N$_2$; (b) DTG curves under N$_2$; (c) TG curves under air; (d) DTG curves under air.**
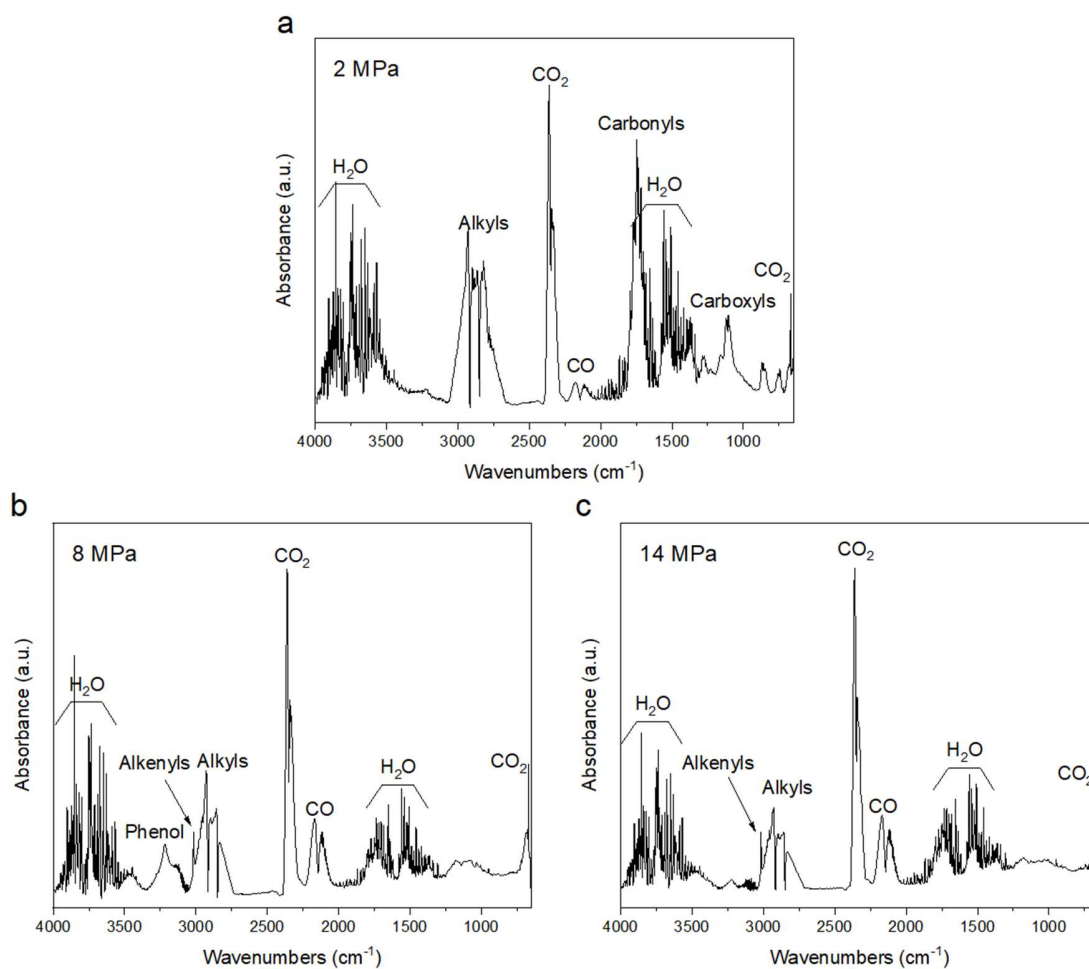
**Supplementary Figure 12 | FTIR spectra of the pyrolysis products of hydrothermally treated cellulose at the maximum mass loss temperature under different pressures (200 °C). (a) 2 MPa (360 °C); (b) 8 MPa (453 °C); (c) 14 MPa (446 °C). The maximum mass loss temperatures are given in parentheses.**
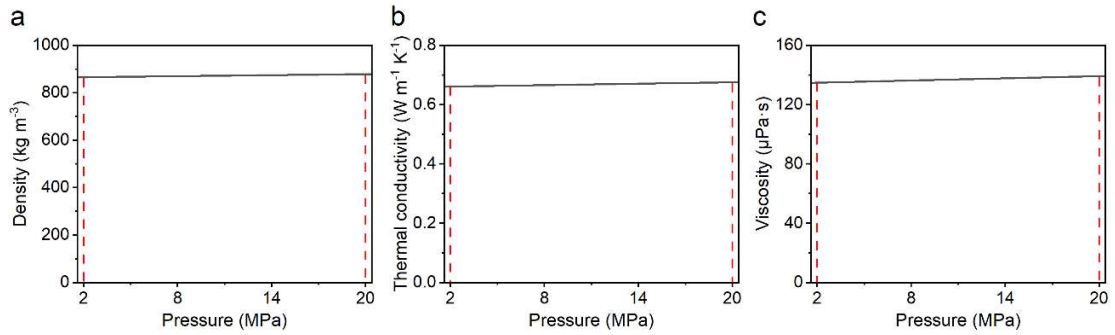
**Supplementary Figure 13 | Physical properties of water under different pressures (200 °C). (a) Density; (b) thermal conductivity; (c) viscosity.**
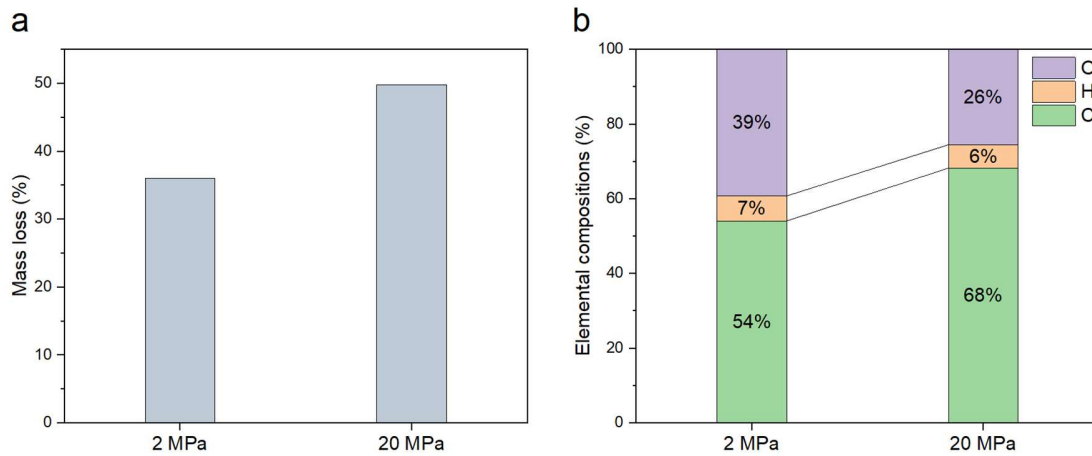


**Supplementary Figure 14 | Comparison of the mass loss (a) and hydrochar elemental compositions (b) of the DTPH process of rice straw under 2 and 20 MPa (200 °C).**

**Supplementary Note 4.  Kinetics of the DTPH reaction.**

The kinetics of cellulose hydrothermal reaction were calculated with the Coats-Redfern (C-R) method[6]. In general, the hydrothermal reaction rate can be expressed using the first-order rate law[7]:

$$\frac{d\alpha}{d\tau} = k(1-\alpha)$$

where $\tau$ is the time of reaction (s); $\alpha$ is the conversion; $k$ is the reaction rate constant (s$^{-1}$) given by:

$$k = A\exp(-\frac{E}{RT})$$

where $A$ is the pre-exponential factor (s$^{-1}$); $E$ is the apparent activation energy (kJ mol$^{-1}$); $R$ is the universal gas constant (kJ mol$^{-1}$ K$^{-1}$); $T$ is the absolute temperature (K).

In hydrothermal experiments, the heating rate $\beta$ is constant:

$$\beta = \frac{dT}{d\tau}$$

Combining equations above, rearranging and integrating:

$$\int_0^\alpha \frac{d\alpha}{1-\alpha} = \frac{A}{\beta}\int_0^T \exp(-\frac{E}{RT})$$

Rearranging and taking logarithm:

$$\ln\left[\frac{-\ln(1-\alpha)}{T^2}\right] = \ln\left[\frac{AR}{\beta E}\left(1-\frac{2RT}{E}\right)\right] - \frac{E}{RT}$$

The kinetic parameters can be obtained by linear regression of this equation.
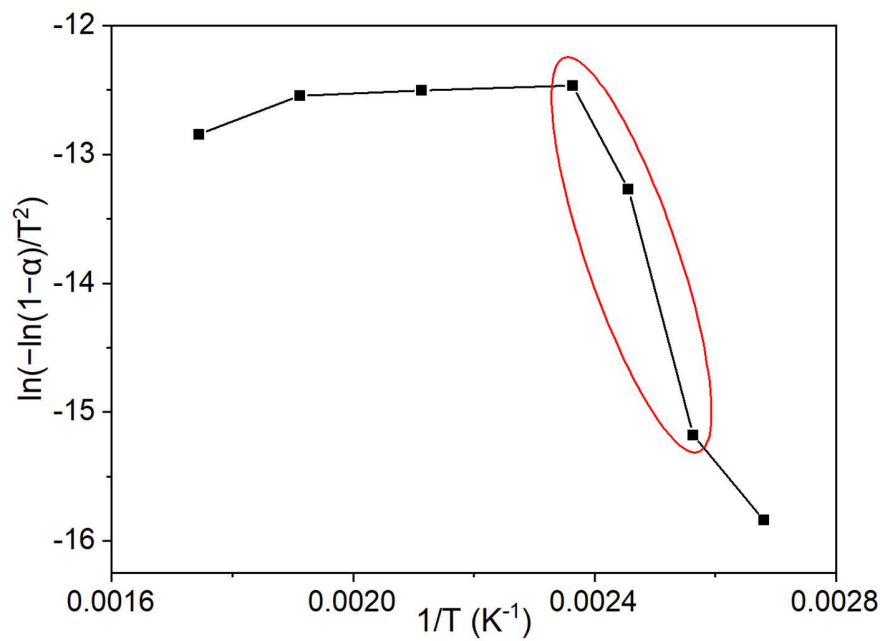
**Supplementary Figure 15 | Calculation of kinetics in the DTPH process under 20 MPa.**

**Supplementary Note 5.  DTPH reaction under different temperatures.**

Proximate analysis revealed that the volatile content decreased from 96.3 wt% (100 °C) to 39.5 wt% (300 °C), and the fixed carbon increased from 3.7 wt% (100 °C) to 60.5 wt% (300 °C). The transformation from volatile-rich material into fixed carbon-rich material was consistent with the color change from white to brownish-black (Supplementary Table 3 and Supplementary Fig. 16).

**Supplementary Table 3 | Elemental composition and proximate analysis of hydrothermally treated cellulose from different stages (temperatures) at 20 MPa.**

| Temperature (°C) | Elemental composition (dry ash-free basis) | | | | | Proximate analysis (dry basis) | | |
|---|---|---|---|---|---|---|---|---|
| | C (wt%) | H (wt%) | O (wt%) | O/C (atomic ratio) | H/C (atomic ratio) | Ash (wt%) | Volatile (wt%) | Fixed carbon (wt%) |
| Cellulose | 42.5 | 5.6 | 51.9 | 0.92 | 1.58 | 0.0 | 96.3 | 3.7 |
| 100 | 43.0 | 6.0 | 51.0 | 0.89 | 1.67 | 0.0 | 96.2 | 3.8 |
| 150 | 66.7 | 4.6 | 28.7 | 0.32 | 0.82 | 0.0 | 63.8 | 36.2 |
| 200 | 70.7 | 4.5 | 24.8 | 0.26 | 0.77 | 0.0 | 53.8 | 46.2 |
| 250 | 72.2 | 4.3 | 23.5 | 0.24 | 0.71 | 0.0 | 48.4 | 51.6 |
| 300 | 76.2 | 4.4 | 19.4 | 0.19 | 0.69 | 0.0 | 39.5 | 60.5 |

**Supplementary Figure 16 | Macroscopic morphologies of hydrothermally treated cellulose from different stages (temperatures) of the hydrothermal reaction at 20 MPa.**
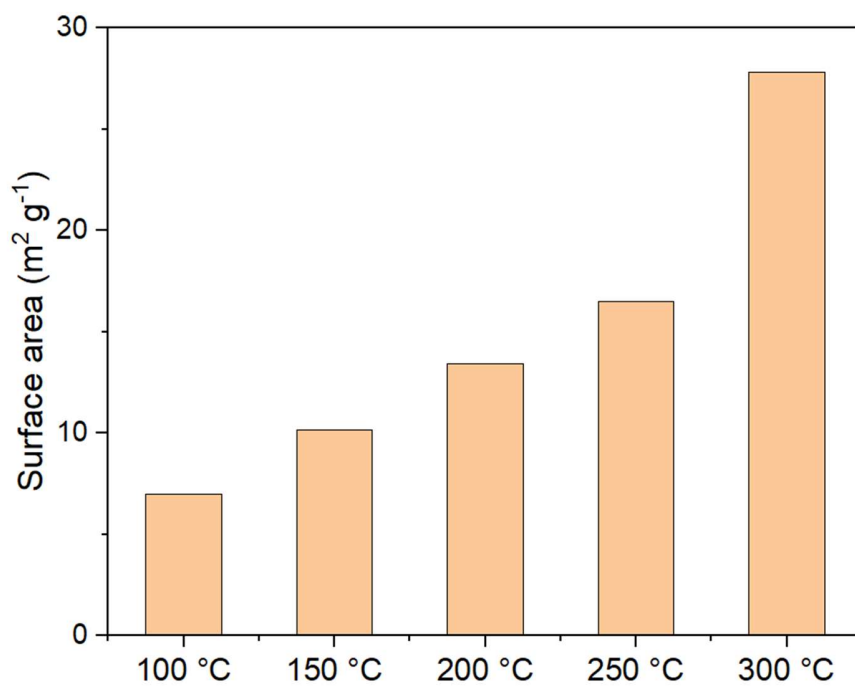
**Supplementary Figure 17 | Surface area of the hydrothermally treated cellulose from different stages (temperatures) at 20 MPa.**
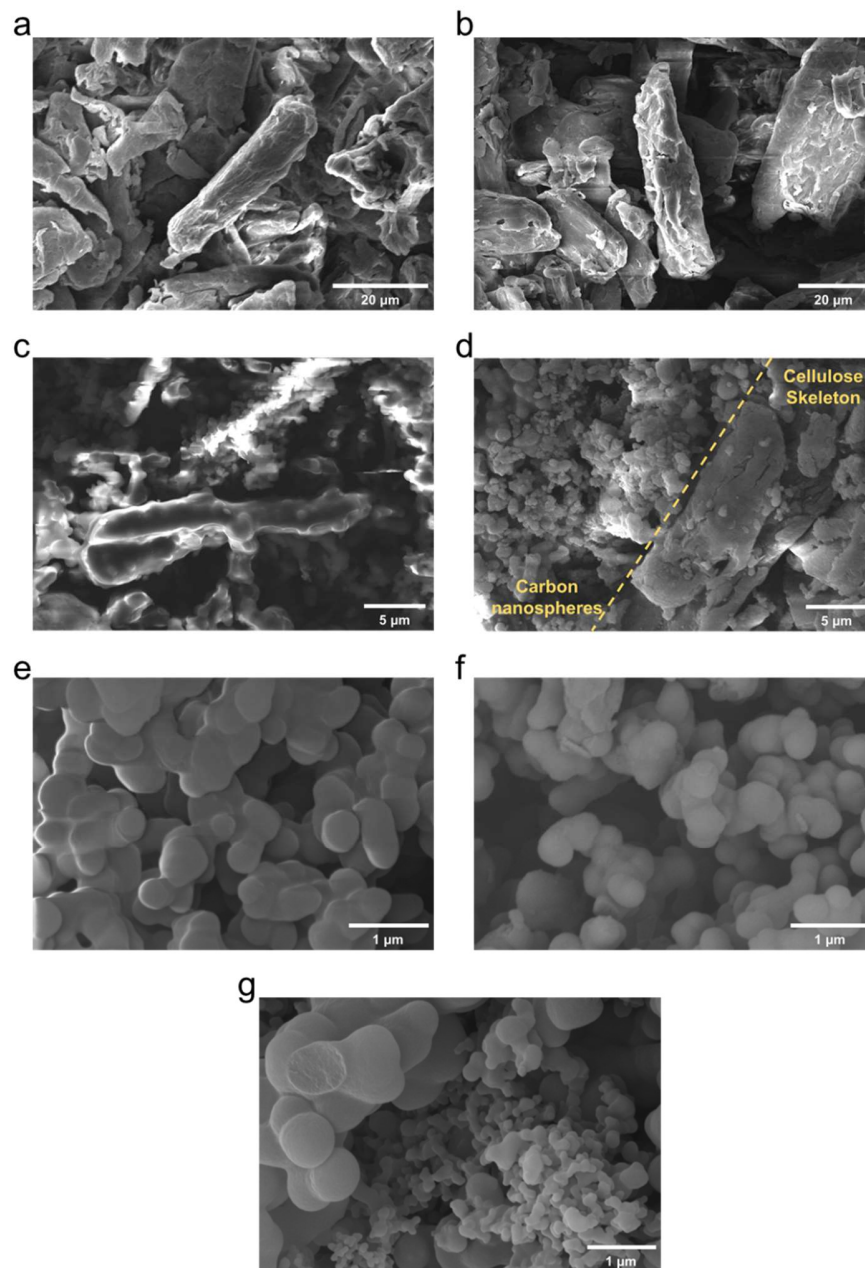
**Supplementary Figure 18 | SEM of hydrothermally treated cellulose in the DTPH process (20 MPa). (a) Original cellulose; (b) hydrothermally treated cellulose from 100 °C; (c) and (d) hydrothermally treated cellulose from 150 °C; (e) hydrothermally treated cellulose from 200 °C; (f) hydrothermally treated cellulose from 250 °C; (g) hydrothermally treated cellulose from 300 °C.**
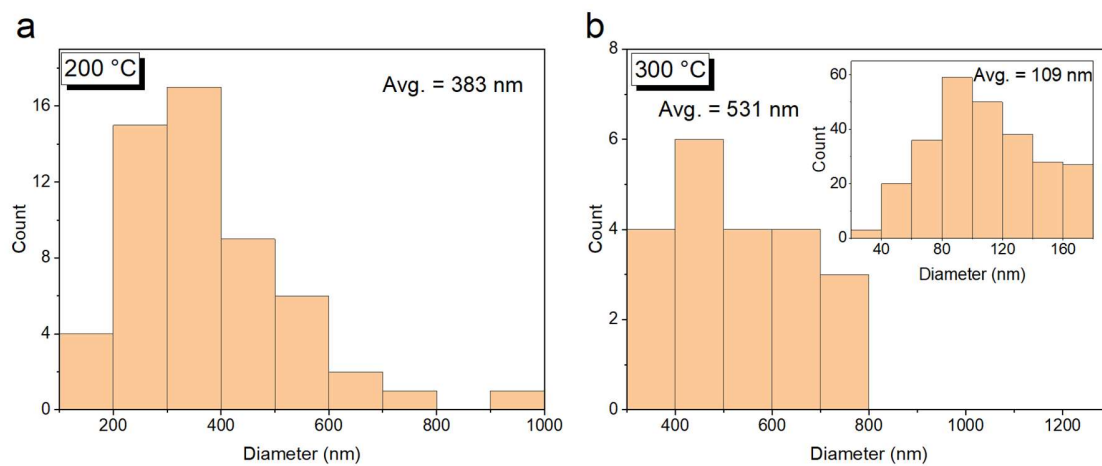
**Supplementary Figure 19 | Size distribution of sub-micron spheres at different temperatures in the DTPH process under 20 MPa. (a) 200 °C; (b) 300 °C.**
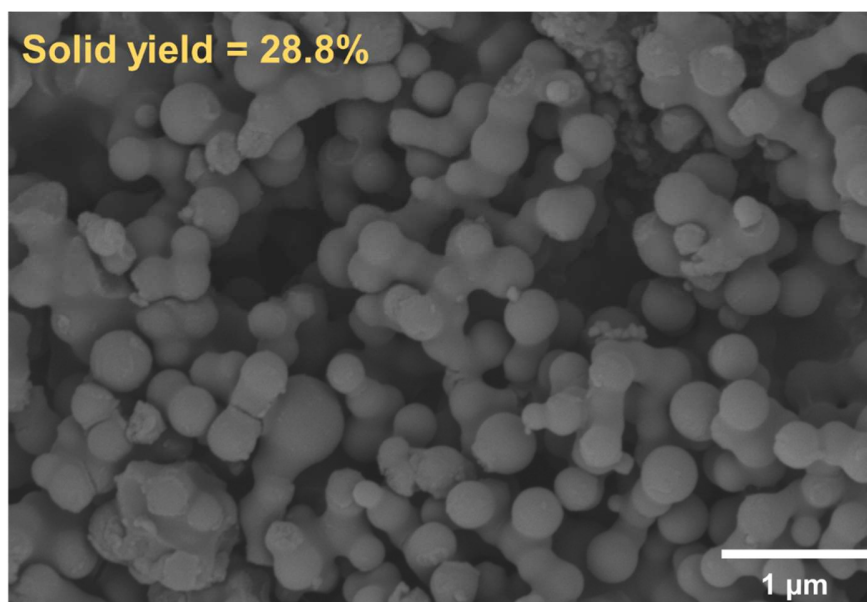


**Supplementary Figure 20 | SEM of hydrochar from glucose at 300 °C and 20 MPa.**
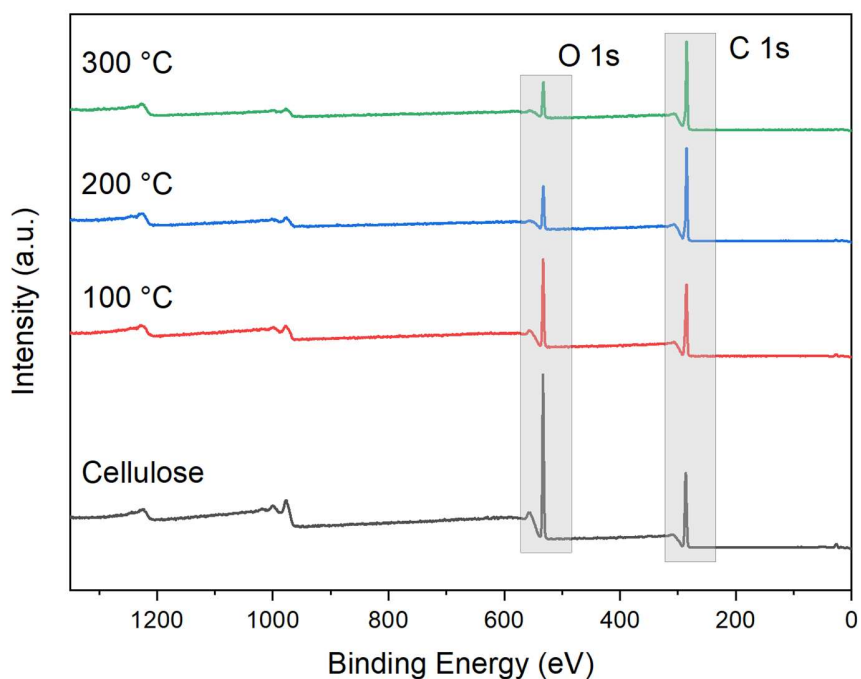
**Supplementary Figure 21 | XPS survey spectra of hydrothermally treated cellulose from different stages of the hydrothermal treatment of cellulose (20 MPa).**
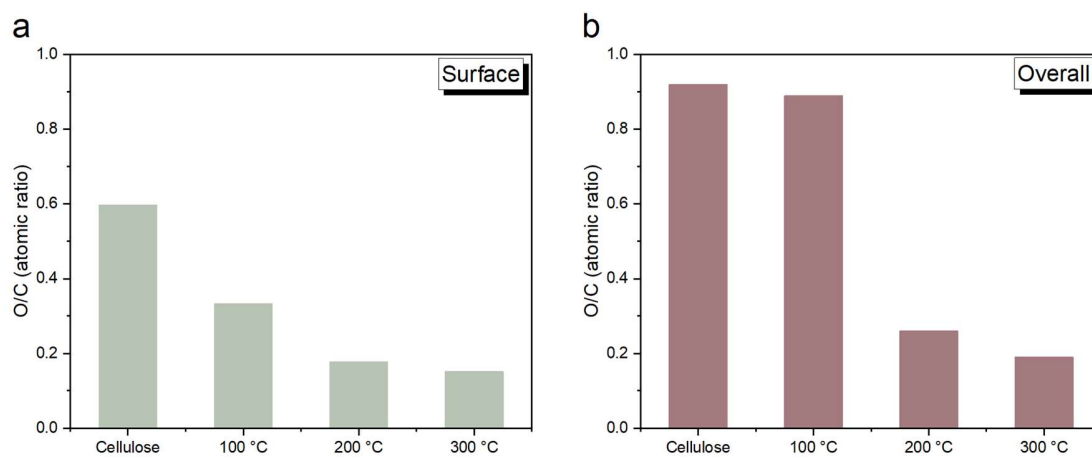


**Supplementary Figure 22 | O/C atomic ratios of hydrothermally treated cellulose from different stages of the hydrothermal treatment of cellulose. (a) Surface O/C ratio from XPS survey spectra; (b) overall O/C ratio from elemental analysis (see Supplementary Table 1 for details).**

**Supplementary Table 4 | C 1s fitting parameters for hydrothermally treated cellulose from different stages of the hydrothermal treatment of cellulose (20 MPa).**

| Temperature (°C) | Species | Binding energy (eV) | FWHM (eV) | Peak shape | Amount (%) |
|---|---|---|---|---|---|
| Cellulose | C–C | 285.0 | 1.37 | GL[*] | 21 |
| | C–O | 286.7 | 1.19 | GL | 59 |
| | O–C–O | 288.0 | 1.53 | GL | 20 |
| 100 | C–C | 285.0 | 1.25 | GL | 54 |
| | C–O | 286.7 | 1.29 | GL | 35 |
| | O–C–O | 288.5 | 1.52 | GL | 11 |
| 200 | C–C/C=C | 285.0 | 1.29 | GL | 77 |
| | C–O | 286.5 | 1.51 | GL | 15 |
| | O–C=O | 289.0 | 1.11 | GL | 8 |
| 300 | C–C/C=C | 285.0 | 1.37 | GL | 82 |
| | C–O | 286.6 | 1.49 | GL | 12 |
| | O–C=O | 289.0 | 1.10 | GL | 6 |

[*] GL- Gaussian/Lorentzian line shape.

**Supplementary Figure 23 | O 1*s* spectra of hydrothermally treated cellulose from different stages of the hydrothermal treatment of cellulose under 20 MPa.**

**Supplementary Table 5 | O 1*s* fitting parameters for hydrothermally treated cellulose from different stages of the hydrothermal treatment of cellulose (20 MPa).**

| Temperature (°C) | Species | Binding energy (eV) | FWHM (eV) | Peak shape | Amount (%) |
|---|---|---|---|---|---|
| Cellulose | C–O | 533.0 | 1.55 | LA* | 100 |
| 100 | C–O | 533.0 | 1.73 | LA | 100 |
| 200 | C=O | 532.1 | 1.34 | LA | 48 |
|  | O–C=O | 533.5 | 1.43 | LA | 52 |
| 300 | C=O | 532.2 | 1.47 | LA | 53 |
|  | O–C=O | 533.6 | 1.36 | LA | 47 |

* LA- Lorentzian Asymmetric line shape.

The TGA experiments were conducted to evaluate the thermochemical properties of the hydrothermally treated cellulose. In the pyrolysis process (under pure $N_2$), the raw cellulose had one single mass-loss process, which started from 300–315 °C, with a sharp peak at 353 °C and ended at 360–380 °C (Supplementary Fig. 24). The pyrolysis of hydrothermal product at 100 °C was similar to the untreated cellulose. In contrast, the hydrothermal products at higher temperatures had more stable structures, making them difficult to be thermally decomposed, which might be related to the formation of the aromatic structures reflected in FTIR and Raman spectra. Two peaks at 344–358 °C and 433–500 °C could be detected in the DTG curves of hydrothermally treated cellulose from 150 °C, 200 °C, and 250 °C. However, the first peak at ca. 350 °C disappeared in the DTG

curve of the hydrochar from 300 °C, indicating the complete decomposition of hydroxy groups and six-member pyran rings in cellulose. Similar to pyrolysis, the DTG curves of cellulose combustion had only one peak, and that of hydrothermal products had two or three peaks, suggesting the transformation from the original cellulose structure to aromatic structures and fixed carbon during the hydrothermal carbonization.
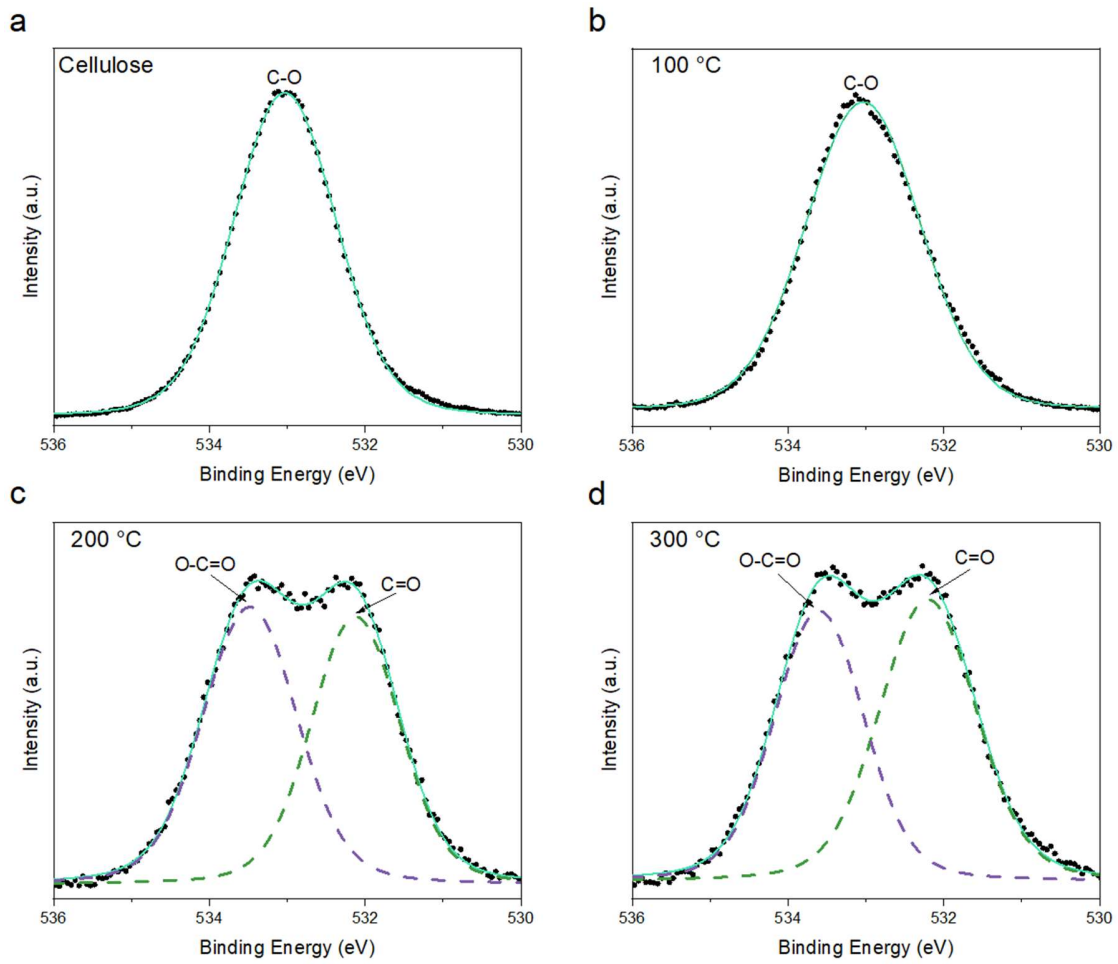


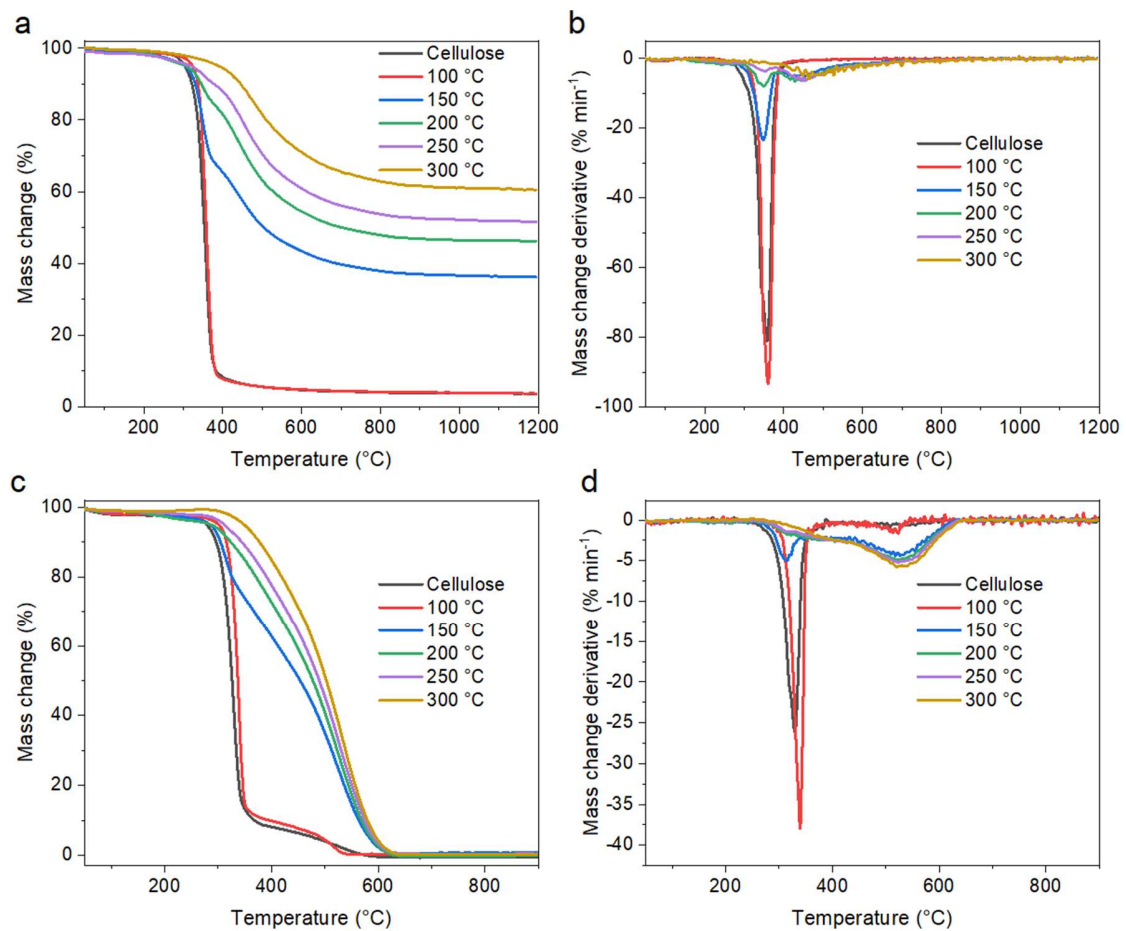**Supplementary Figure 24 | The thermogravimetric study of hydrothermally treated cellulose from different stages of the hydrothermal treatment of cellulose under 20 MPa. (a) TG curves under $N_2$; (b) DTG curves under $N_2$; (c) TG curves under air; (d) DTG curves under air.**

The pyrolysis products of the hydrothermally treated cellulose were investigated online by FTIR to understand the reactions in TGA (Supplementary Fig. 25). The main products from cellulose pyrolysis were $CO_2$ (2360 cm$^{-1}$), CO (2173 cm$^{-1}$), $H_2O$ (1330–1800 and 3500–4000 cm$^{-1}$), alkyls (2821 cm$^{-1}$), carboxyls (1051 cm$^{-1}$), and carbonyls (1745 cm$^{-1}$), which were directly related to a large number of hydroxyl and ether bonds in cellulose[8]. In contrast, no carboxyls or carbonyls were observed from the pyrolysis of hydrochar from 300 °C, indicating the destruction of the inherent structure (the cleavage of hydroxyl and ether bonds)[8, 9]. Interestingly, alkenyls could be detected in the FTIR, suggesting the double bonds in the hydrochar.
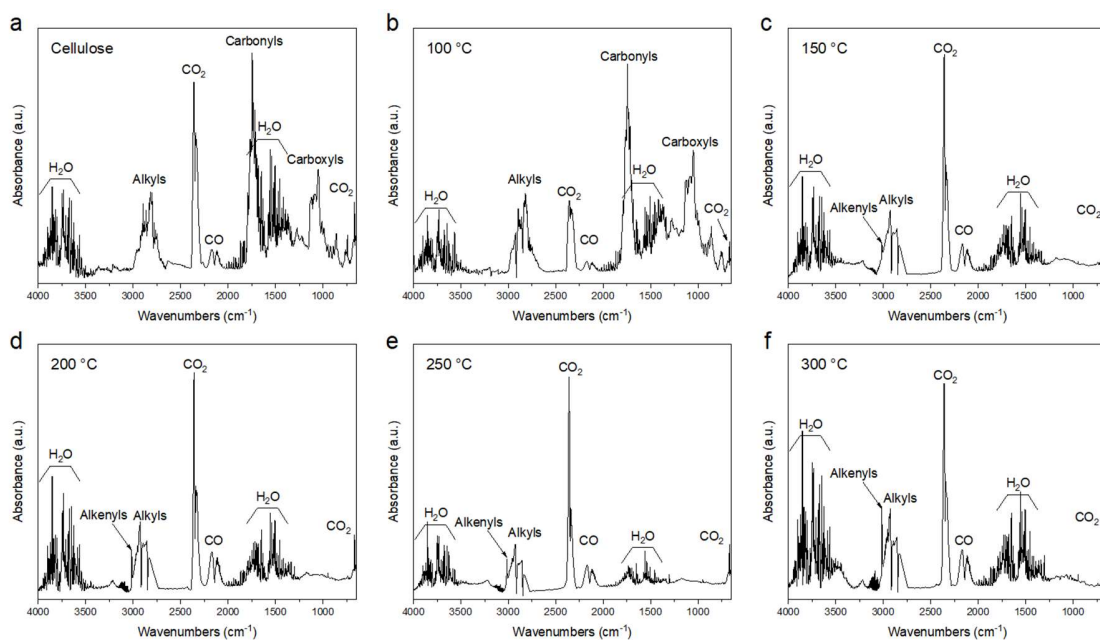


**Supplementary Figure 25 | FTIR spectra of the pyrolysis products of hydrothermally treated cellulose from different stages (20 MPa) at the maximum mass loss temperature. (a) Original cellulose (353 °C); (b) hydrothermally treated cellulose from 100 °C (358 °C); (c) hydrothermally treated cellulose from 150 °C (433 °C); (d) hydrothermally treated cellulose from 200 °C (444 °C); (e) hydrothermally treated**

cellulose from 250 °C (465 °C); (f) hydrothermally treated cellulose from 300 °C (500 °C). The maximum mass loss temperatures are given in parentheses.

**Supplementary Note 6. Kinetics in TGA.**

For pyrolysis and combustion experiments in TGA, the kinetics were calculated using the peak analysis-least square method (PA-LSM)[10]. In the parallel reaction kinetic model, the reaction was regarded as the linear combination of a series of independent reactions[11]. With each peak in the DTG curve representing an independent reaction, the whole reaction was divided into several reactions by peak analysis (PA). The kinetics of each reaction are expressed as[6]:

$$\frac{d\alpha_i}{d\tau} = k_i(1-\alpha_i)^{n_i}$$

$$k_i = A_i \exp(-\frac{E_i}{RT})$$

In TGA experiments, the heating rate $\beta$ was constant, rearranging equations:

$$\frac{d\alpha_i}{dT} = \frac{A_i}{\beta}\exp(-\frac{E_i}{RT})(1-\alpha_i)^{n_i}$$

The least-square method (LSM) was used to obtain the $E_i$, $A_i$, and $n_i$:

$$S = \sum_{j=1}^{N}[(d\alpha/dT)_j^{\exp} - (d\alpha/dT)_j^{cal}]^2$$

where $N$ is the number of data; $(d\alpha/dT)_{\exp}$ is the experimental result; $(d\alpha/dT)_{cal}$ is the calculation result. Average deviation index (ADI) was used to evaluate the discrepancy between the experimental and calculation results:

$$\text{ADI} = \frac{\sqrt{S/N}}{(d\alpha_i/dT)_{\max}^{\exp}} \times 100\%$$

where $(d\alpha_i/dT)_{\max}^{\exp}$ is the maximum among the experimental data.

The kinetics of pyrolysis and combustion of the hydrothermally treated cellulose

(Supplementary Tables 6 and 7) were calculated using the peak analysis-least square method (PA-LSM). The pyrolysis can be divided into two reactions, corresponding to the two peaks in DTG curves. The first reaction, related to the volatile formation, had the peak temperatures of 340–357 °C, activation energies of 288–417 kJ mol$^{-1}$, and reaction orders of 1.54–1.57. The second reaction had peak temperatures of 433–500 °C, activation energies of 40–57 kJ mol$^{-1}$, and reaction orders of 1.17–1.26. The combustion of hydrothermally treated cellulose could also be divided into two paralleled reactions. The first reaction at 329–339 °C, corresponded to the combustion of the volatiles in original cellulose, were only occurred in cellulose, solid product from 100 °C, and solid product from 150 °C. The reaction at 392–423 °C, found in solid products from 200 °C, 250 °C, and 300 °C, was likely due to the combustion of aromatic structure in the hydrochars. The last reaction at 513–537 °C was due to the combustion of the fixed carbon in the hydrochars.

**Supplementary Table 6 | Kinetic parameters of pyrolysis of hydrothermally treated cellulose in TGA.**

| Reaction condition | Paralleled reaction | Percentage (%) | Peak temperature (°C) | E (kJ mol$^{-1}$) | A (min$^{-1}$) | n | ADI |
|---|---|---|---|---|---|---|---|
| Cellulose | 1 | 100.0 | 353 | 288 | $2.53 \times 10^{24}$ | 1.54 | 1.91 |
| 100 °C, 20 MPa | 1 | 100.0 | 358 | 347 | $1.53 \times 10^{29}$ | 1.56 | 1.92 |
| 150 °C, 20 MPa | 1 | 35.8 | 345 | 288 | $5.29 \times 10^{24}$ | 1.57 | 1.80 |

| Reaction condition | Paralleled reaction | Percentage (%) | Peak temperature (°C) | E (kJ mol⁻¹) | A (min⁻¹) | n | ADI |
|---|---|---|---|---|---|---|---|
| | 2 | 64.2 | 433 | 40 | $2.36 \times 10^2$ | 1.17 | 0.70 |
| 200 °C, 20 MPa | 1 | 8.3 | 346 | 347 | $5.22 \times 10^{29}$ | 1.55 | 2.00 |
| | 2 | 91.7 | 444 | 47 | $7.92 \times 10^2$ | 1.21 | 0.83 |
| 250 °C, 20 MPa | 1 | 2.0 | 344 | 417 | $7.12 \times 10^{35}$ | 1.57 | 1.94 |
| | 2 | 98.0 | 465 | 54 | $2.31 \times 10^3$ | 1.24 | 0.96 |
| 300 °C, 20 MPa | 1 | 100.0 | 500 | 57 | $2.42 \times 10^3$ | 1.26 | 0.90 |
| 200 °C, 2 MPa | 1 | 100.0 | 360 | 349 | $1.72 \times 10^{29}$ | 1.56 | 1.93 |
| 200 °C, 8 MPa | 1 | 1.7 | 356 | 464 | $1.58 \times 10^{39}$ | 1.62 | 2.00 |
| | 2 | 98.3 | 453 | 51 | $1.66 \times 10^3$ | 1.22 | 0.92 |
| 200 °C, 14 MPa | 1 | 4.7 | 343 | 408 | $1.33 \times 10^{35}$ | 1.58 | 1.87 |
| | 2 | 95.3 | 446 | 50 | $1.47 \times 10^3$ | 1.27 | 0.91 |

**Supplementary Table 7 | Kinetic parameters of combustion of hydrothermally treated cellulose in TGA.**

| Reaction condition | Paralleled reaction | Percentage (%) | Peak temperature (°C) | E (kJ mol⁻¹) | A (min⁻¹) | n | ADI |
|---|---|---|---|---|---|---|---|

| | | | | | | |
|---|---|---|---|---|---|---|
| Cellulose | 1 | 100.0 | 329 | 304 | $2.67 \times 10^{26}$ | 1.52 | 2.13 |
| 100 °C, 20 MPa | 1 | 93.6 | 339 | 452 | $6.96 \times 10^{38}$ | 1.56 | 2.09 |
| | 2 | 6.4 | 513 | 329 | $6.24 \times 10^{21}$ | 1.48 | 2.30 |
| 150 °C, 20 MPa | 1 | 27.8 | 312 | 119 | $1.23 \times 10^{10}$ | 1.38 | 2.18 |
| | 2 | 72.2 | 528 | 80 | $3.80 \times 10^{4}$ | 1.52 | 1.32 |
| 200 °C, 20 MPa | 1 | 45.7 | 392 | 56 | $3.73 \times 10^{3}$ | 1.32 | 0.96 |
| | 2 | 54.3 | 529 | 136 | $1.69 \times 10^{8}$ | 1.45 | 1.45 |
| 250 °C, 20 MPa | 1 | 46.1 | 407 | 142 | $3.90 \times 10^{8}$ | 1.45 | 1.40 |
| | 2 | 53.9 | 534 | 61 | $6.77 \times 10^{3}$ | 1.49 | 1.72 |
| 300 °C, 20 MPa | 1 | 40.3 | 423 | 138 | $1.91 \times 10^{8}$ | 1.43 | 1.51 |
| | 2 | 59.7 | 537 | 81 | $2.98 \times 10^{5}$ | 1.55 | 1.82 |
| 200 °C, 2 MPa | 1 | 91.0 | 327 | 486 | $2.78 \times 10^{42}$ | 1.57 | 2.08 |
| | 2 | 9.0 | 480 | 381 | $1.94 \times 10^{26}$ | 1.50 | 2.30 |
| 200 °C, 8 MPa | 1 | 39.4 | 342 | 68 | $1.29 \times 10^{5}$ | 1.27 | 1.96 |
| | 2 | 60.6 | 484 | 138 | $9.23 \times 10^{8}$ | 1.40 | 1.88 |
| 200 °C, 14 MPa | 1 | 45.3 | 341 | 61 | $3.24 \times 10^{4}$ | 1.28 | 1.56 |
| | 2 | 54.7 | 480 | 162 | $5.09 \times 10^{10}$ | 1.45 | 1.75 |

**Supplementary Note 7. $^1$H NMR for hydrochar in $H_2O$ and $D_2O$.**

**Supplementary Table 8 | $^1$H NMR fitting parameters for hydrochars at 200 °C and 20 MPa in $H_2O$ and $D_2O$.**

| Solvent | Peak | Chemical shift (ppm) | Assignment | Amount (%) | Area ratio to Peak 4 | Percentage of decrease (%) |
|---|---|---|---|---|---|---|
| $H_2O$ | 1 | 6.12 | H in the aromatic structure | 73.7 | 3.008 | - |
| | 2 | 3.63 | H in the oxygenated functional groups | 1.3 | 0.053 | - |
| | 3 | 0.57 | H in the aliphatic structure | 0.5 | 0.020 | - |
| | 4 | 0.57 | H in the aliphatic structure | 24.5 | 1.000 | - |
| $D_2O$ | 1 | 6.07 | H in the aromatic structure | 59.9 | 1.528 | 49 |
| | 2 | 3.60 | H in the oxygenated functional groups | 0.6 | 0.015 | 72 |
| | 3 | 0.61 | H in the aliphatic structure | 0.3 | 0.008 | 60 |
| | 4 | 0.73 | H in the aliphatic structure | 39.2 | 1.000 | - |

**Supplementary Note 8. Methodology of sustainability assessment.**

The utilization of biomass resources has a great potential in reducing global net carbon emissions when it is used as solid fuel replacing fossil energy or for soil amendment purposes with carbon sequestration benefits. To quantify the sustainability of the DTPH carbonization conceptual biorefinery designs, on a scale-up capacity of 60,000 tonnes per year, a prospective LCA based on process simulation using Aspen Plus®v11 was applied. This approach has been widely used to quantify the environmental impacts of emerging technology innovations[12-14]. Two types of waste biomass, wastepaper sludge (WPS) rich in cellulose and agricultural residue rice straw (RS), were selected as feedstocks in the prospective scenarios. The "cradle-to-grave" system boundary of LCA includes the transportation of WPS or the collection of RS, their DTPH treatment, biogas production in AD and its usage, transportation of products, and their applications in fossil fuel substitution or soil amendment.
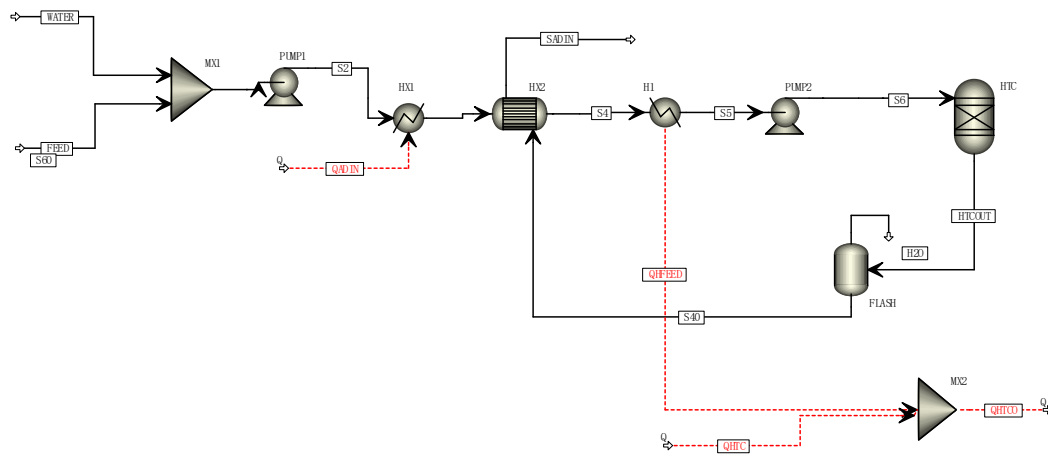
**Process simulation.** The entire DTPH carbonization and subsequent wastewater treatment processes are shown in Supplementary Fig. 22. Generally, the whole process includes DTPH carbonization (A100), anaerobic digestion (AD) and aerobic digestion (AE) (A200), biogas combustion (A300), and steam generation (A400).
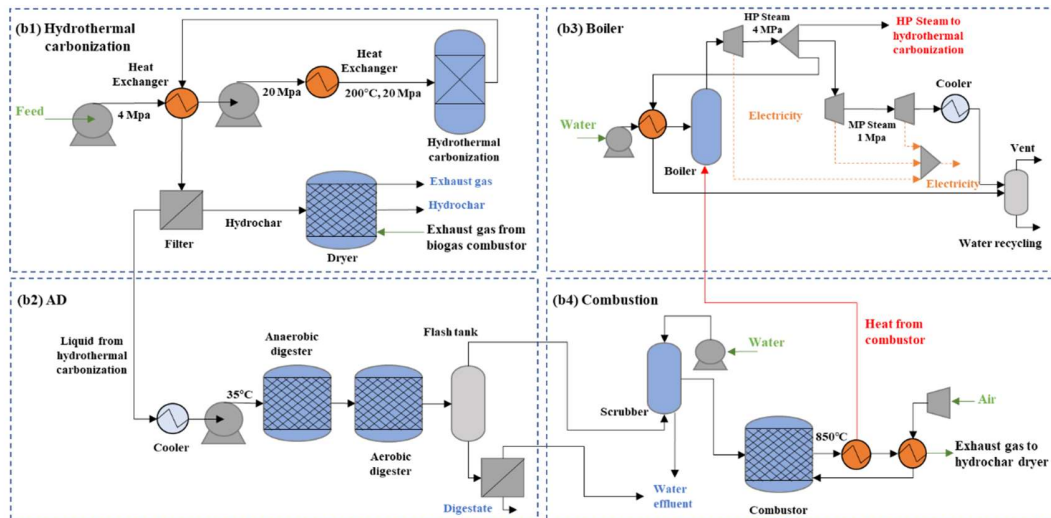
**Supplementary Figure 26 | Scheme of process designs for WPS and RS DTPH carbonization biorefineries.**

(1) Area 100 (A100): DTPH carbonization. Once received at the plant, the biomass feedstock is firstly treated for dedusting and size reduction prior to DTPH carbonization. Energy consumption is estimated to be 5% of the whole process[15]. Then the biomass feedstock with the reduced size is fed into the reactor, which is filled with water at 20 MPa. DTPH carbonization reactor is then heated from ambient temperature to 200 °C. Due to the complexity of reactions, a RYIELD-type reactor is chosen[16, 17]. Since the reaction pressure is over 1 MPa with hydrocarbons & solids, Peng-Robinson with Boston-Mathias (PR-BM) property method is selected for the DTPH carbonization model[17]. Experimentally obtained proximate analyses and elemental composition results of biomass feedstock (cellulose and RS) and hydrochar were used to create "nonconventional solid" components for corresponding flows in the model. According to the experiment, there is a negligible amount of gas produced. After filter separating hydrochar from the liquid, the obtained

solid is dried by the combustion outlet flue gas from the boiler to yield hydrochar with moisture content 13.6% (cellulose-derived) and 5.7% (RS-derived). No extra heat is required to keep the DTPH carbonization reaction as the reaction is exothermal[18].



**Supplementary Figure 27 | Aspen Plus simulation flowchart for DTPH carbonization (A100) section.**

(2) Area 200 (A200): Anaerobic digestion (AD) and aerobic digestion (AE). Process water from DTPH carbonization is treated by AD and AE before sent to a centralized wastewater treatment (WWT) system. It is suggested that COD removal is expected to be higher than other high solid contenting wastewater stream[16]. In AD, 86% is converted to biogas (methane and carbon dioxide), and 5% is converted to cell mass. Cell mass is produced at a yield of 45 g per kg COD digested[19]. Conversion reaction equations for furfural, HMF, and other polysaccharides degradation products in DTPH carbonization were adopted from NREL process[20], so as other input materials, such as urea and other additives. Fugitive emissions from the AD were assumed to be 3.00% of the biogas produced[21], which is then sent to a scrubber for biogas cleaning. The liquid from the

anaerobic digester is pumped to aerobic activated-sludge lagoons with floating aerators. Then, 96% of the remaining soluble organic matter is removed, with 74% producing water and carbon dioxide and 22% forming cell mass. The overall COD removal achieves 99.6% after AD and AE. The mass and composition of digestate, as well as electricity consumption of dewatering, were estimated based on NREL processes[19]. The obtained digestate was assumed to be landfilled.
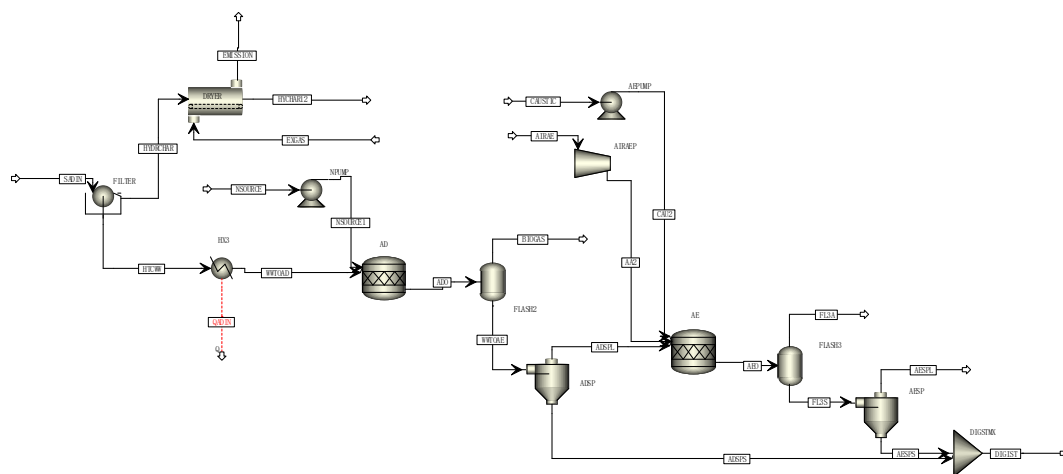


**Supplementary Figure 28 | Aspen Plus simulation flowchart for AD and AE (A200) section.**

(3) Area 300 (A300): Biogas combustion. The obtained biogas was first cleaned to remove $NH_3$ and $H_2S$ via a scrubber which is simulated by means of RADFRAC column model without condenser or reboiler. After cleaning, biogas is burnt in a gas turbine to generate electricity. In the combustion, 10% stoichiometric excess air inlet flow is set by means of a calculator block. The boiler efficiency is assumed to be 80% based on NREL design[19]. To estimate the further reduction potential in GHG emissions via capturing and

storage of $CO_2$ from biogas combustion, $-0.95$ kg $CO_2$e/kg $CO_2$ captured was adopted considering energy used during the capture[22].
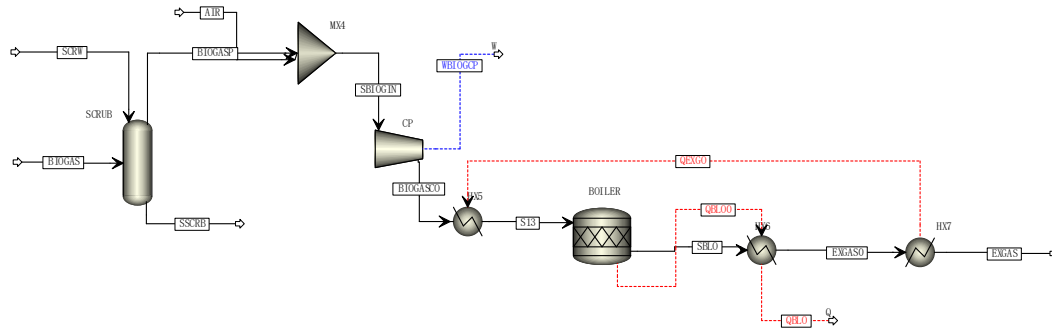


**Supplementary Figure 29 | Aspen Plus simulation flowchart for biogas combustion (A300) section.**

(4) Area 400 (A400): Utility. The hot exhaust gas from A300 is sent to the boiler to generate high-pressure steam which is used to heat feedstock and water before flowing to DTPH carbonization and generate electricity for pumps. The steam generated preferentially provides energy to preheat feedstocks and the remaining steam flows to turbine for generating electricity. The exhaust gas is used to dry hydrochar obtained from the press filter before discharging. Cooling water is used to take away the heat generated in reactors.
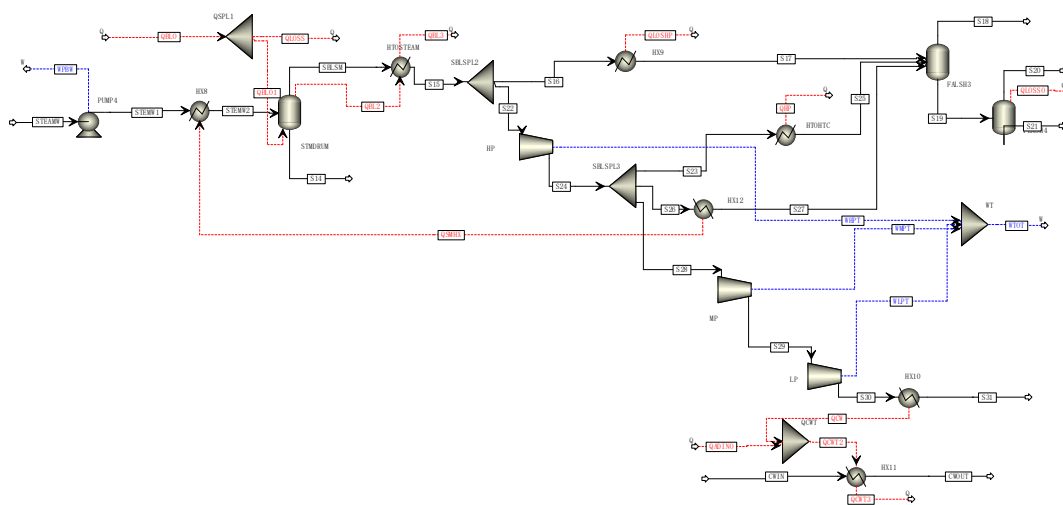
**Supplementary Figure 30 | Aspen Plus simulation flowchart for boiler and utilities (A400) section.**

The described processes were simulated in Aspen Plus ®V11 to generate information for the life cycle inventory. The capacity of simulation is set as 3000 L h$^{-1}$, corresponding to a reasonable size of DTPH carbonization reactor operated under high pressure. Process simulation specifications are listed in Supplementary Table 8.

**Supplementary Table 9 | Process specifications.**

|  | Feature | Specifications |
|---|---|---|
| DTPH carbonization reactor | T (°C) | 200 |
|  | P (MPa) | 20 |
| Solid separator | Efficiency (%) | 100 |
|  | Power (kW) [a] | 0.175/kg |

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| AD reactor [b] | T (°C) | | | 35 | | | | |
| | P (MPa) | | | 0.1 | | | | |
| | COD removal efficiency (%) | | | 64 | | | | |
| Biogas combustor | T (°C) | | | 870 | | | | |

**Mass and energy balances of DTPH carbonization.** Supplementary Table 10 lists the proximate analyses and elemental composition results of biomass feedstock and the solid fraction of DTPH carbonization outputs. No gaseous products were identified from the experiment, and therefore, the dissolved organic carbon in liquid was estimated as TOC to close the carbon balance. Higher heating values (HHV) of feedstocks and hydrochars were calculated based on methods reported by Channiwala and Parikh[23] (Supplementary Table 6). Degradation products from polysaccharides during DTPH carbonization were estimated based on GC-MS results and kinetic models from dos Santos Rocha et al.[24] (Supplementary Data 1).

**Supplementary Table 10 | Proximate analyses and elemental composition of biomass feedstocks and solid fractions of DTPH carbonization outputs.**

| | Proximate analysis (dry basis, wt%) | | | Elemental composition (dry ash-free basis, wt%) | | | Solid yield (%) | Carbon amount (wt%) | HHV[c] (MJ kg$^{-1}$) |
|---|---|---|---|---|---|---|---|---|---|
| | A | V | FC | C | H | O | | | |
| Cellulose | | | | | | | | | |
| Feedstock | 0.0 | 96.3 | 3.7 | 42.5 | 5.6 | 51.9 | | | 16.2 |
| Hydrochar | 0.0 | 53.8 | 46.2 | 70.7 | 4.5 | 24.8 | 43.5 | 72.4 | 27.7 |
| Process water | 0 | - | - | 4.70 g/L [b] | - | - | | | |

| Rice straw | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Feedstock | 19.6 | 63.6 | 16.8 | 35.4 | 5 | 40 | | | 14.4 |
| Hydrochar | 36.2 | 38 | 25.8 | 41.9 | 3.8 | 18.1 | 50.2 | 59.4 | 17.7 |
| Process water | 0.57 g/L[a] | - | - | 5.74 g/L[b] | - | - | | | |

Note: [a] Dissolved ash in process water was measured; [b] Total organic carbon of process water was estimated. [c] HHV was calculated based on the method in Channiwala and Parikh (2002)[23]. A: ash. V: volatile matter. FC: fixed carbon.

**Goal and scope of life cycle assessment (LCA).** The goal of the LCA is to assess the environmental impacts of the DTPH carbonization and AD integrated technology systems using wastepaper sludge (WPS) or rice straw (RS) as feedstocks. The functional unit is the treatment of 1 tonne WPS or RS based on the composition shown in Supplementary Table 9. The WPS sludge received at the plant is assumed to contain 50 wt% water, 25 wt% cellulose, and 25 wt% ash[25]. The process simulations were performed based on a 3000 L h$^{-1}$ reactor, and the designed plant capacity is assumed to be 60,000 tonnes per year, reflecting a biorefinery with parallel production lines. The system boundary starts with the transportation of WPS or the collection of RS, their DTPH carbonization treatment, biogas production and usage, transportation of products, and their applications. Since WPS sludge and RS are treated as waste, the environmental burdens associated with their upstream production are not included in the system boundary. For each feedstock, scenarios are set up to reflect the various utilization approaches with biomass to water ratio of feed indicated. For example, RS-SF represents the DTPH carbonization technology process of RS at various B/W ratios with hydrochar used as solid fuel. Since the fossil-based products were substituted, the system expansion allocation method was applied to avoid environmental burdens associated with the conventional products. The 2% cut-off rule was applied, and

therefore only major inputs above this threshold are included. Land-use change and infrastructure are excluded from the system.

**Life cycle inventory (LCI).** Mass and energy flows for cellulose are derived from our inhouse process simulation and corrected with cellulose content for WPS scenarios (Supplementary Data 1). LCA was conducted in Simapro $^{TM}$ (V 9.2). For background data, the intensity of the electricity grid in Hunan Province was used in the calculation whilst relevant chemicals produced in Europe were selected from the Ecoinvent database (v 3.0) as substitutions due to the lack of information in China. GHG emissions from WPS and RS pathways were quantified via a carbon flow analysis.

In general, the transportation distance for WPS and chemicals are assumed as 100 km and 50 km via trucks, respectively. The collection for RS was calculated based on its yield. For example, Hunan as a major RS producer was taken as a place to model the conceptual plant. The amount of RS available to use in Hunan was derived from Kang et al. (2020) as 1.49 million tonnes per year[26]. The radius for collection port and transport distance was calculated and shown in Supplementary Data 1[27]. GHG reduction potential results in China were further evaluated based on RS yields in producing provinces and visualized by Geographical Information System software (ArcGIS) [26].

**Life cycle impact assessment (LCIA).** ReCiPe2016 (H) was applied in LCIA where six environmental impact categories were assessed, including global warming potential (GWP), stratospheric ozone depletion (ODP), terrestrial ecotoxicity (TETP), freshwater ecotoxicity (FETP), marine ecotoxicity (METP), and fossil resource scarcity (FFP).

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**Supplementary Note 9.  Results of sustainability assessment.**

The energy efficiencies for WPS sludge and RS DTPH carbonization systems at different B/W ratios are shown in Supplementary Fig. 31. The carbonization outcomes of WPS were estimated based on experimental results of cellulose DTPH carbonization. The plant *EE* on HHV basis, defined as the ratio of output energy in hydrochar and surplus electricity (if any) over input feedstock plus heat and electricity required, increase along with the enlarging B/W ratios (from 43% to 64% for WPS system and 52% to 64% for RS system).
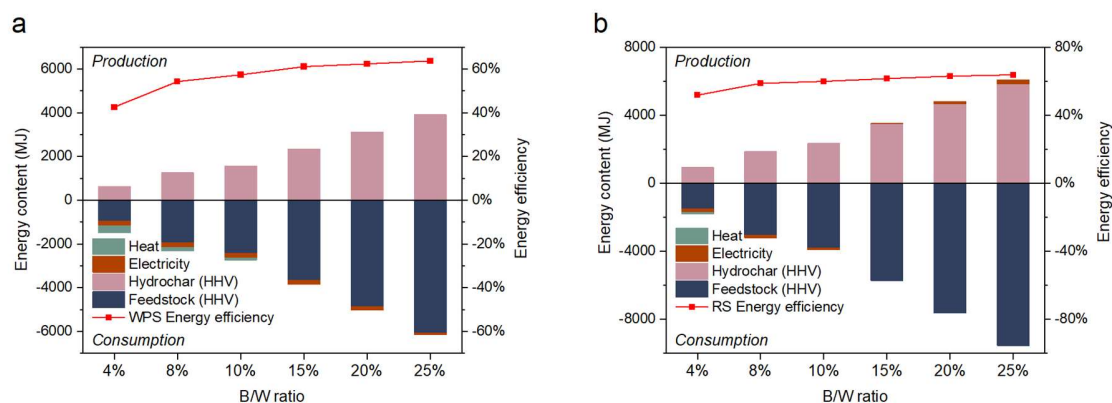


**Supplementary Figure 31 | Energy efficiencies for WPS (a) and RS (b) DTPH carbonization technologies at various B/W ratios (200 °C, 20 MPa).**

The mass balances of DTPH system of WPS and RS with 20 wt% B/W ratio is shown in Supplementary Table 11. These results were used in LCA analysis.

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**Supplementary Table 11 | Mass balances of DTPH system with 20 wt% B/W ratio for WPS and RS (200 °C, 20 MPa).**

|  | WPS | RS |
|---|---|---|
| Raw material (kg h$^{-1}$) |  |  |
| Feedstock | 600 | 600 |
| $(NH_4)_2SO_4$ | 4 | 13 |
| NaOH (50 wt%) | 2 | 5 |
| Water | 3211 | 3425 |
| Energy consumption (kWh) |  |  |
| Electricity | 37 | 0 |
| Utility |  |  |
| Cooling water (kg h$^{-1}$) | 707 | 586 |
| Waste (kg h$^{-1}$) |  |  |
| Wastewater | 3251 | 3363 |
| Digestate | 26 | 55 |
| Process emission |  |  |
| Exhaust gas (kg h$^{-1}$) | 625 | 1230 |
| Product |  |  |
| Hydrochar (dry, kg h$^{-1}$) | 113 | 284 |

**Supplementary Note 10. Comparison between DTPH carbonization and other technologies.**

To describe the carbon positive/negative potential of a technology, carbon positive/negative efficiency is proposed herein, which is defined as the total carbon in the feedstock divided by the carbon that is released or stored. The carbon positive or negative efficiency of different energy conversion technologies was then compared systemically (Supplementary Fig. 32). While biomass combustion or gasification without CCS are carbon neutral, the negative carbon efficiency of DTPH carbonization technology in this study is higher than that of biomass fermentation, comparable with biomass gasification with CCS, but lower than combustion with CCS. However, the introduction of CCS to biomass gasification or combustion will increase the capital cost and operational cost of the plant significantly, and thus these technologies are not industrially applied currently. Furthermore, the reaction temperature of DTPH carbonization (~200 °C) is lower than that of combustion (750–900 °C) or gasification (750–1150 °C).
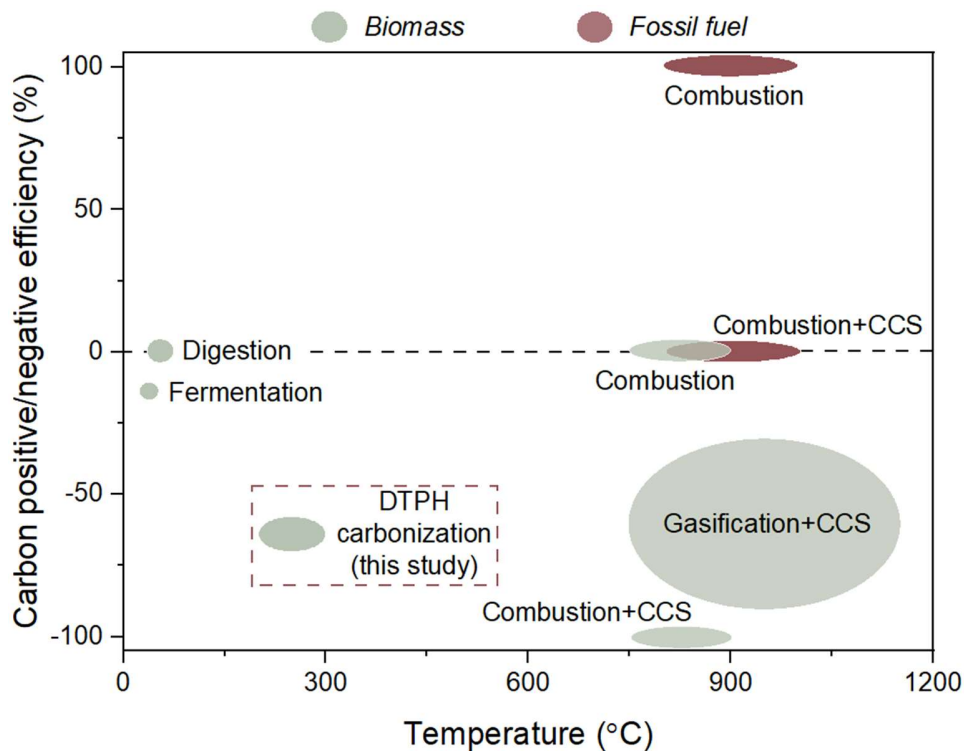
**Supplementary Figure 32 | Carbon positive/negative efficiency of different energy conversion technologies with/without carbon capture and storage (CCS). The data for other technologies besides DTPH carbonization are adopted from the references[28-35].**

Different NETs have different biophysical and economic limits for the target of carbon negativity. We compared the DTPH carbonization technology in this study with other NETs, including bioenergy with carbon capture and storage (BECCS), direct air capture (DAC), enhanced weathering of minerals (EW), and afforestation/reforestation (AR) (Supplementary Fig. 33). DAC, EW, and AR require less land and water; however, EW and AR are limited by the carbon-negative potential, i.e., they cannot meet the 2 °C target with the single system. DAC needs a high energy input (156 EJ yr$^{-1}$), which is 29% of the global energy demand[33], limiting its investment and development. The DTPH carbonization in

this study, together with BECCS, maybe one of the most potential NETs for the 2 °C target, though a significant amount of land and water are required. Therefore, it will be significant to use biomass waste, such as wastepaper sludge, agricultural waste, and forest waste, to save land and water utilization.
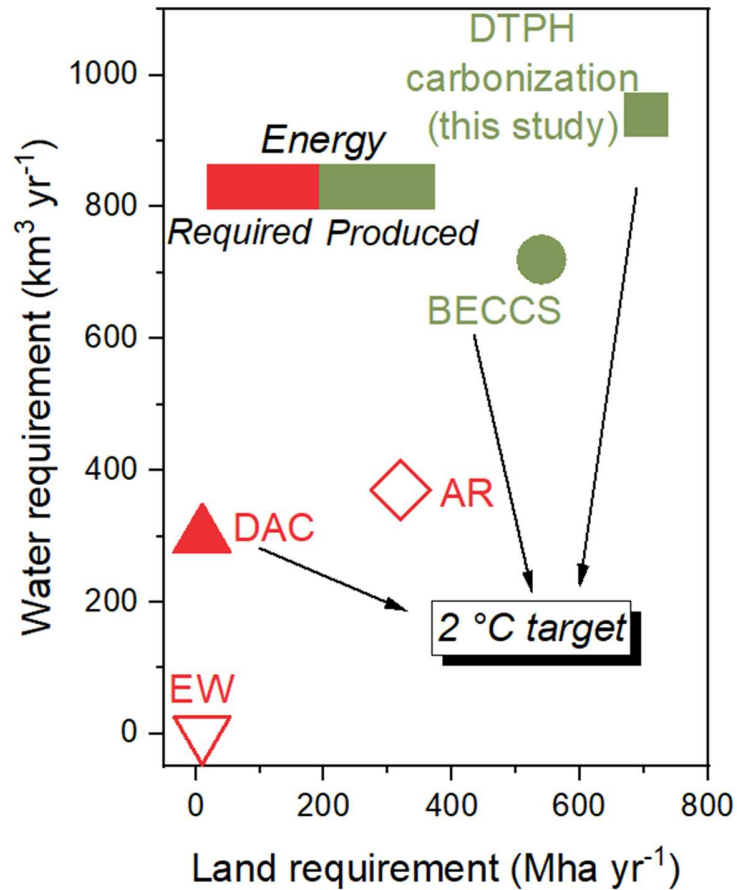


**Supplementary Figure 33 | Land requirement, water requirement, energy, and carbon-negative potential of different NETs. The data for BECCS, DAC, EW, and AR are adopted from the references[28-35]. Solid shape: the method can meet the 2 °C target; hollow shape: the method cannot meet the 2 °C target.**
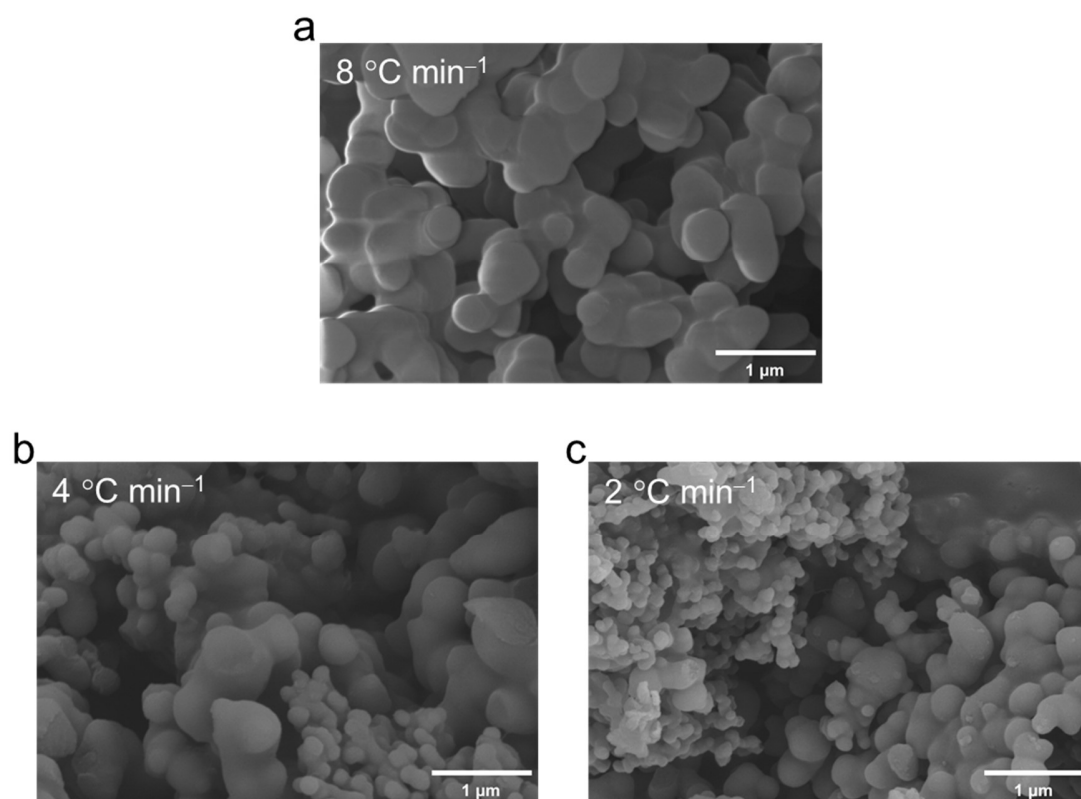
**Supplementary Note 11.  Effects of other parameters on the DTPH reaction.**
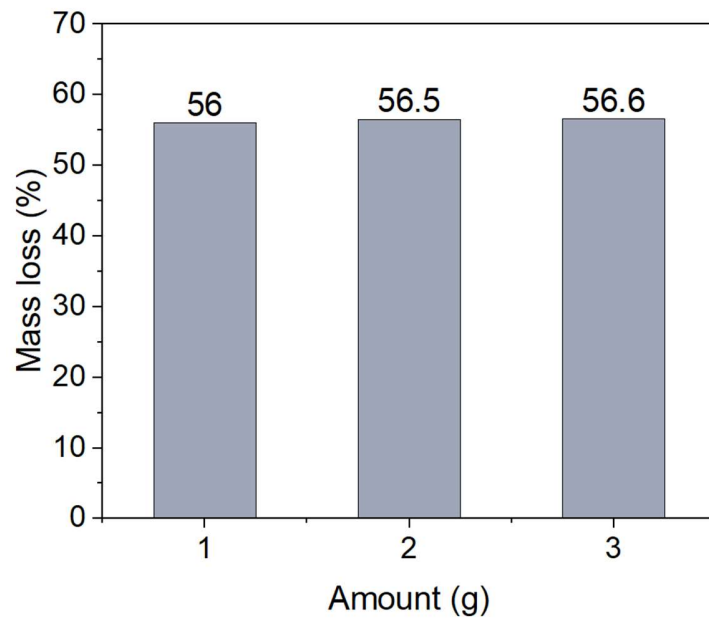


**Supplementary Figure 34 | Mass loss of cellulose from different amount of starting material.**
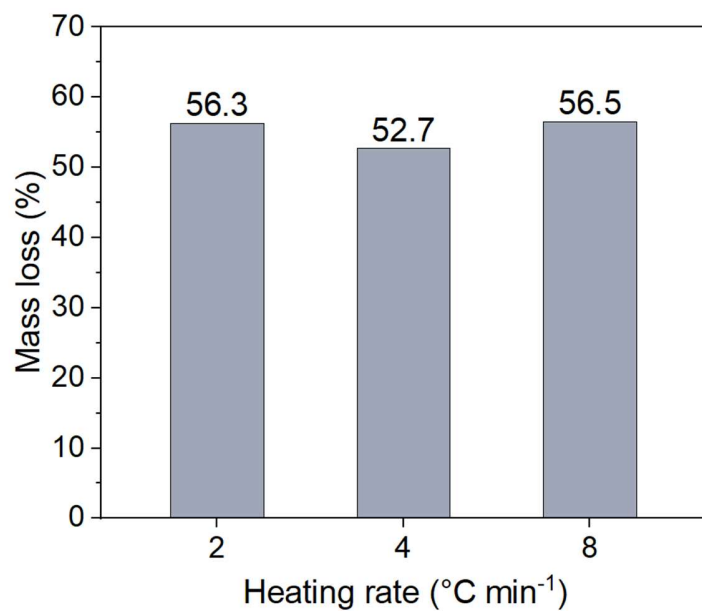


**Supplementary Figure 35 | Mass loss of cellulose under different heating rates.**

**Supplementary Figure 36 | SEM of hydrochar under different heating rates.**

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