

Supporting Information of

”Catching the reversible formation and reactivity of surface defective sites in MOFs: an operando Ambient Pressure-NEXAFS investigation”

Luca Braglia^{†*}, Francesco Tavani[‡], Silvia Mauri[†], Raju Edla^{†,§}, Damjan Krizmancic[†], Alessandro Tofoni[‡], Valentina Colombo^{||}, Paola D’Angelo[‡], Piero Torelli[†]

AUTHOR ADDRESS

[†] CNR- Istituto Officina dei Materiali, TASC, Trieste, Italy

[‡] Dipartimento di Chimica, Università di Roma “La Sapienza”, P.le A. Moro 5, 00185 Roma, Italy

[§] Institute for Photon Science and Synchrotron Radiation, Karlsruhe Institute of Technology, Eggenstein-Leopoldshafen, Germany

^{||} Dipartimento di Chimica, Università degli Studi di Milano, Via Golgi 19, 20133 Milan, Italy

History about Cu²⁺/Cu⁺ dimers in HKUST-1 systems

In HKUST-1 the inorganic node is based on a paddlewheel unit, which contains Cu²⁺/Cu²⁺ dimers, resembling the cupric acetate ones. These Cu²⁺ sites are arranged in a square pyramidal configuration where each copper cation is coordinated by four oxygen atoms of the BTC linkers, while a polar solvent molecule (*e.g.* water) may occupy the apical position (Figure S1). CUSs in HKUST-1 can be easily generated by removing the apical water molecules with gentle heating under low pressure, resulting in the chemically activated structure with exposed Cu²⁺ sites available for additional ligands.^{1,2,3,4}

Recently, it has been reported that Cu⁺/Cu²⁺ dimer defects may be created via thermally induced reduction of the Cu²⁺/Cu²⁺ square planar (SP)-coordinated pairs in HKUST-1 MOF. This process involves a Cu²⁺-catalyzed oxidative decarboxylation, with the Cu²⁺/Cu²⁺ units being partially reduced to Cu⁺/Cu²⁺ dimers, where each metal cation is coordinated by three oxygen atoms.^{5,6,7,8}

Further, it has been proposed that upon water vapor exposure the SP Cu²⁺/Cu²⁺ sites of the Cu-paddle-wheel structure in HKUST-1 may undergo a transformation to Cu⁺/Cu²⁺ mixed dimers where an hydroxide ion, produced from a heterolitically dissociated water molecule, coordinates one of the Cu²⁺ ions and causes a reduction of the other Cu²⁺ to a Cu⁺ ion.^{5,9,34}

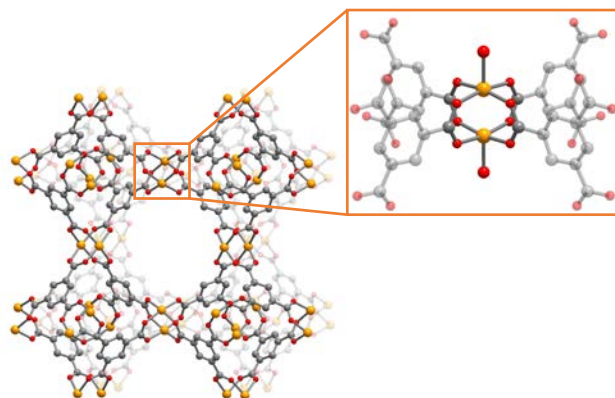


Figure S1. Unit cell of HKUST-1 MOF and zoom on the $\text{Cu}^{2+}/\text{Cu}^{2+}$ hydrated paddlewheel unit. The Cu^{2+} cations are depicted in orange, while the oxygen and carbon atoms are shown in red and grey respectively.

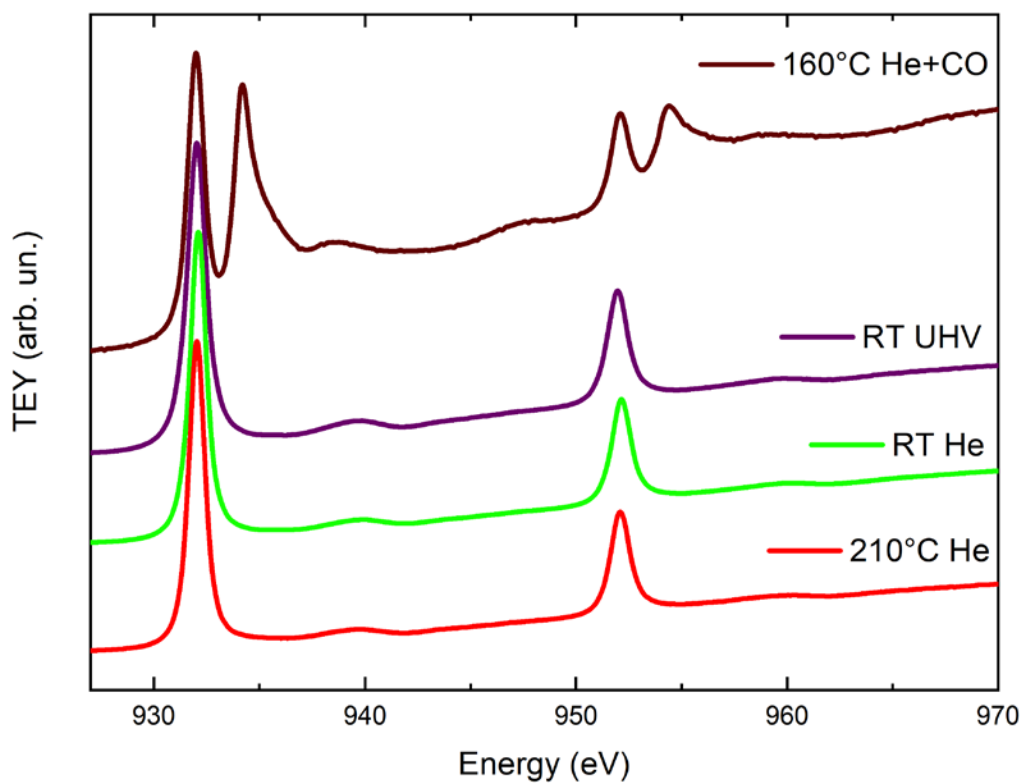


Figure S2. Cu $L_{2,3}$ -edge spectra of CuO measured in different conditions: at 160°C in He flux with 2% of CO (brown line), in vacuum at RT (violet line), in He flux at RT (green line), and in He flux at 210°C (red line).

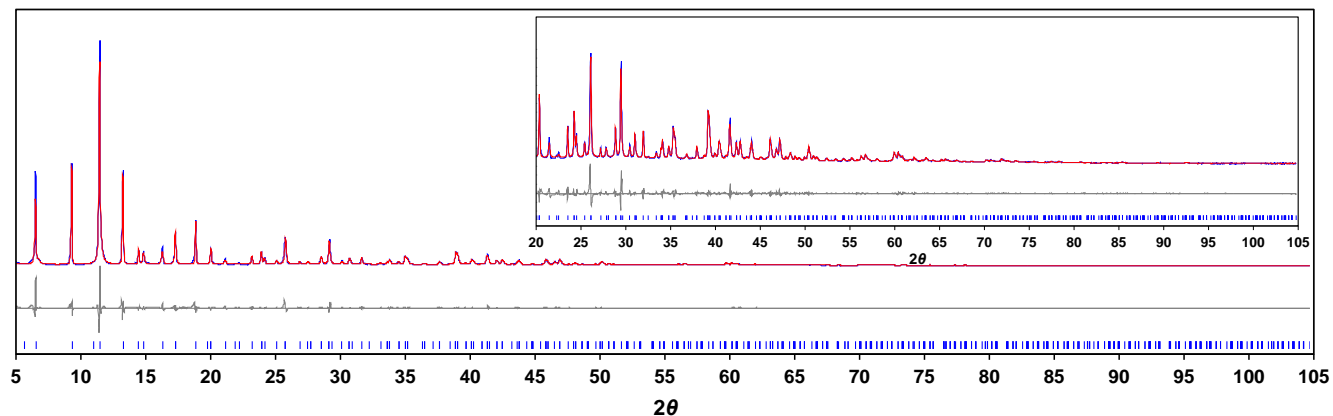


Figure S3. Structureless Le Bail refinement plot for HKUST-1 as such. Blue trace and red trace represent the experimental and calculated diffractograms, respectively. Grey line represents the difference between the experimental and calculated profiles. Blue tick marks are the calculated peak positions. The inset shows an enlargement of the high angle region. R_p and R_{wp} , 0.0864 and 0.1253 respectively, for 5001 data in the 5-105 2θ range.

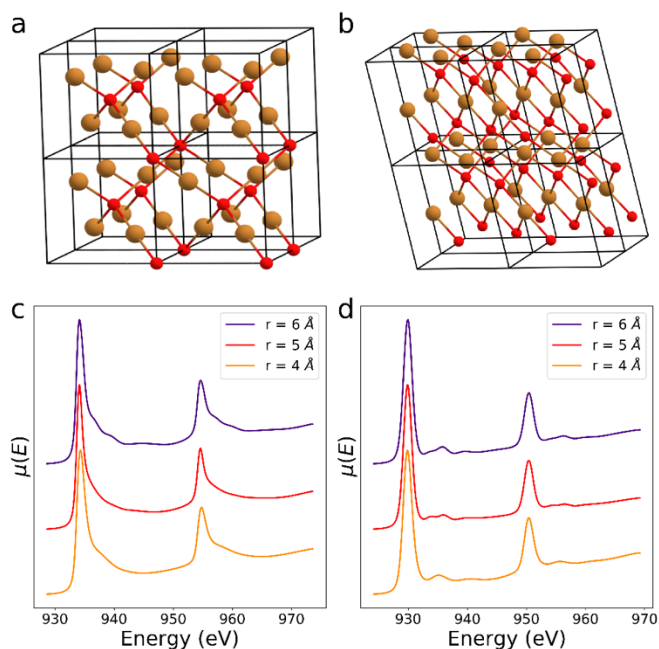


Figure S4. Representative crystallographic structures of Cu_2O and CuO (panels a and b respectively) where the copper cations and oxygen atoms are depicted in orange and red, respectively. Cu $L_{2,3}$ -edge theoretical spectra (panels c and d respectively) calculated from the crystal structures shown above by including all of the atoms within cut-off radii of 4, 5 and 6 Å from the Cu photoabsorber.

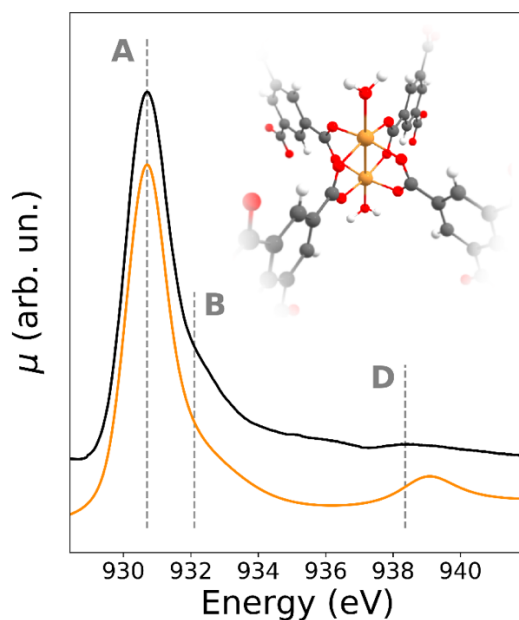


Figure S5. Comparison between the Cu L_3 -edge experimental (black) and theoretical (yellow) spectra relative to the pristine HKUST-1 MOF collected at RT. Constant energy cuts (dotted grey lines) are drawn in proximity of the experimental maxima of peaks A, B and D. The associated molecular cluster is also shown, where the Cu^{2+} cation and the oxygen, carbon and hydrogen atoms are depicted in orange, red, black and white, respectively.

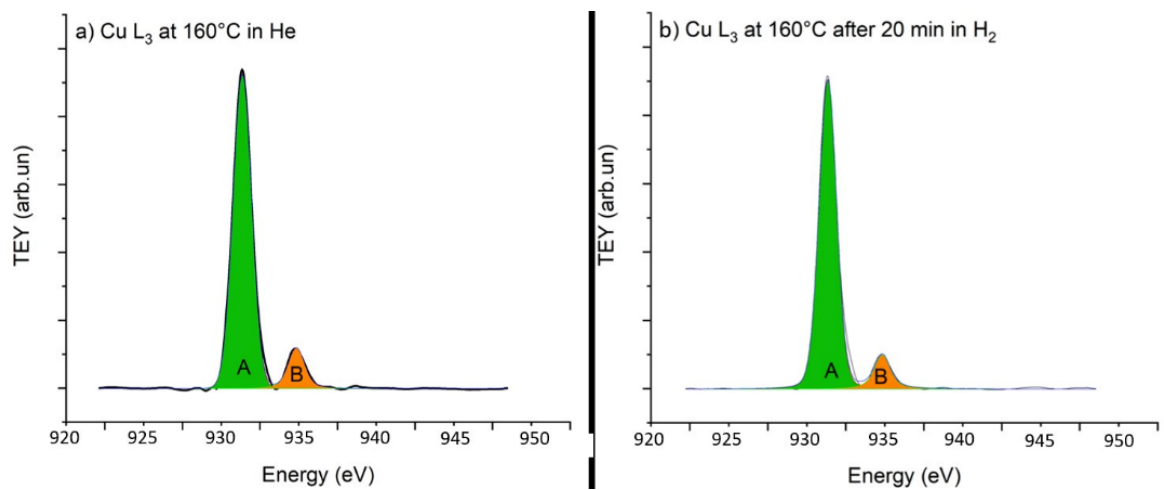


Figure S6. Voigt function fits of the Cu L_3 -edge spectrum of the HKUST-1 MOF collected at 160°C in He flux (a) and H_2 flux (b). The area of peak B is 12% (22.7%) and 11% (20.8%) of the total in the two spectra. This ratio provides an estimate of the ratio between the Cu^+ and Cu^{2+} ions present on the MOF surface, the values in brackets are normalized by the cross sections of Cu^+ and Cu^{2+} .

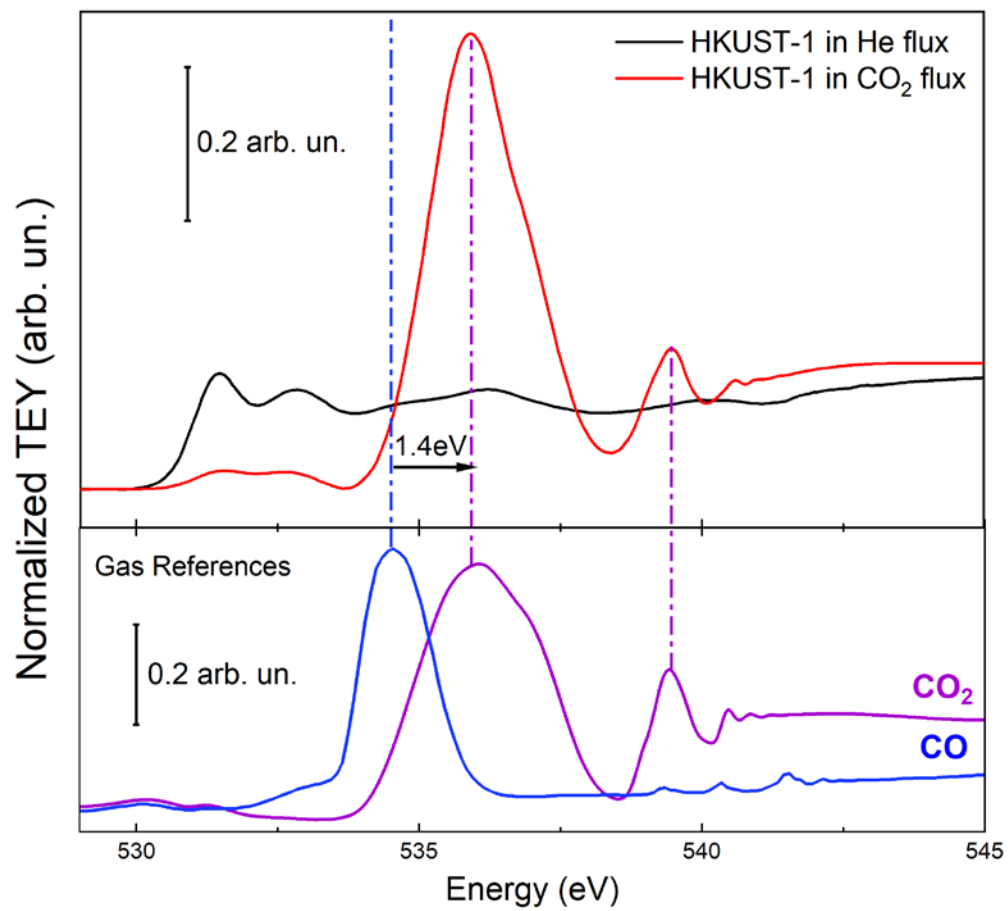


Figure S7. O K-edge spectra of HKUST-1 MOF collected at 160°C in He flux (black curve) and in CO₂ flux (red). In the lower panel the O K-edge spectra of CO (blue) and CO₂ (violet) gases are shown for comparison.

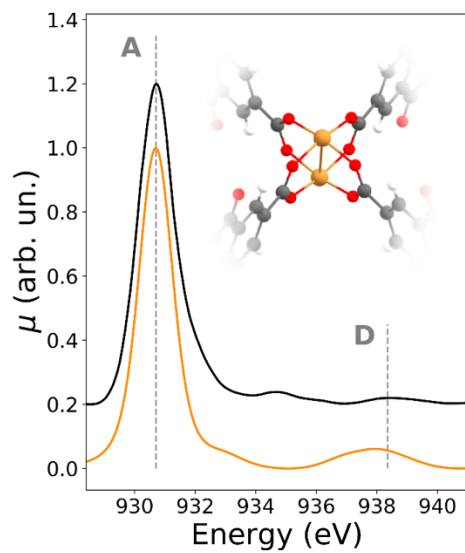


Figure S8. Comparison between the Cu L₃-edge AP-NEXAFS experimental spectrum (black) of HKUST-1 collected at 160°C after exposure to CO₂ and the theoretical spectrum (yellow) calculated for the dehydrated Cu²⁺ dimeric complex. Constant energy cuts (dotted grey lines) are drawn in proximity of the maxima of peaks A and D. The associated molecular cluster is also shown, where the Cu²⁺ cation and the oxygen, carbon and hydrogen atoms are evidenced in orange, red, black and white colors, respectively.

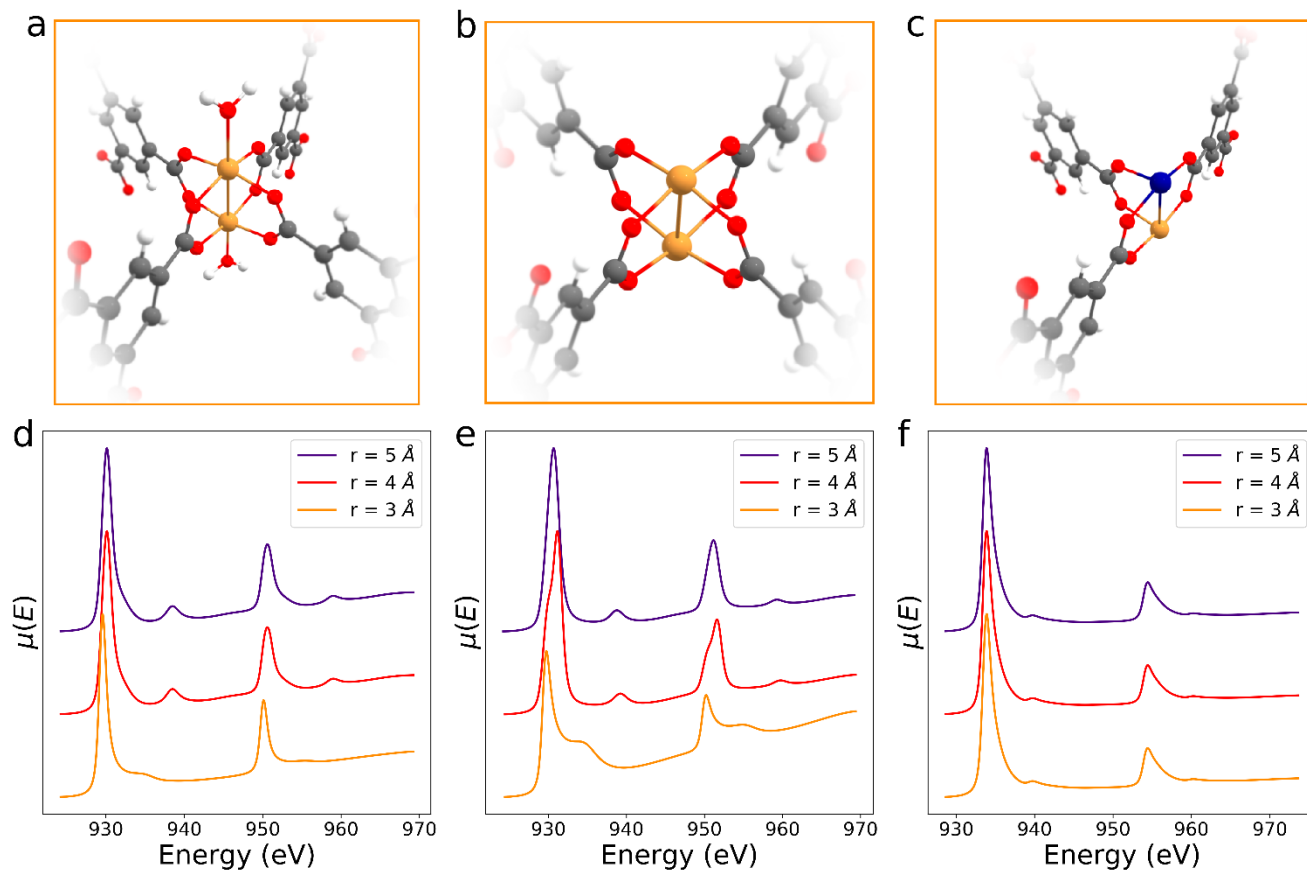


Figure S9. Representative molecular clusters of the hydrated, dehydrated and dehydrated/decarboxylated HKUST-1 MOF dimeric sites (panels a, b and c, respectively) where the Cu²⁺ and Cu⁺ cations are depicted in orange and blue, respectively, while the oxygen, carbon, and hydrogen atoms are depicted in red, gray and white, respectively. The Cu L_{2,3}-edge theoretical spectra associated to the dimeric clusters depicted above and calculated within cut-off radii of 3, 4 and 5 Å from the photoabsorber, are shown in panels d, e and f.

Table S1: Structural parameters (atom type, number and coordination distance from the photoabsorber) of the clusters used in the theoretical calculations for Cu₂O, CuO.

	Atom	Coordination Number	Distance (Å)
Cu₂O			
	O	2	1.857
	Cu	12	3.032
	O	6	3.555
	Cu	6	4.288
	O	6	4.673
CuO			
	O	2	1.950
	O	2	1.961
	O	2	2.784
	Cu	4	2.900
	Cu	4	3.083
	Cu	2	3.173
	O	2	3.408
	Cu	2	3.423
	O	2	3.580
	Cu	2	3.748
	O	2	3.874
	O	2	4.094
	O	2	4.353
	O	2	4.416
	O	2	4.538
	O	2	4.551
	Cu	4	4.667
	Cu	2	4.683
	O	2	4.772
	O	2	4.785
	O	2	4.826
	O	2	4.893

Table S2: Structural parameters (atom type, coordination number and distance from the photoabsorber) of the clusters used in the theoretical calculations of HKUST-1.

Atom	Coordination Number	Distance (Å)
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HKUST-1			
O	4		1.851
O	1		2.207
Cu	1		2.521
C	4		2.801
H	2		2.887
O	4		2.917
C	4		4.183
H	4		4.293
C	4		4.684
O	1		4.727

EXPERIMENTAL SECTION

Powder X-ray Diffraction (PXRD) Analysis

Gently ground powder of Basolite C300 was deposited in the 2 mm deep hollow of a zero background plate (a properly misoriented quartz monocrystal). The diffraction experiment was performed using Cu-K α radiation ($\lambda = 1.5418 \text{ \AA}$) on a vertical-scan Bruker AXS D8 Advance diffractometer in $\theta:\theta$ mode, equipped with a Goebel Mirror and a Bruker Lynxeye linear Position Sensitive Detector (PSD), with the following optics: primary and secondary Soller slits, 2.3° and 2.5° , respectively; divergence slit, 0.1° ; receiving slit, 2.82° ; generator setting: 40 kV, 40 mA. The nominal resolution for the present set-up is $0.08^\circ 2\theta$ (FWHM of the α_1 component) for the LaB $_6$ peak at about $21.3^\circ (2\theta)$. The HKUST-1 MOF was purchased from Sigma-Aldrich. The accurate diffraction pattern at RT of the sample was acquired in the $5\text{--}105^\circ 2\theta$ range, with $\Delta 2\theta = 0.02^\circ$ and exposure time 5 s/step. A Le Bail refinement was carried out in order to check the phase purity within the TOPAS-Academic 6 software.¹¹ Results of this refinement are reported in Figure S3.

AP-NEXAFS measurements

In order to perform *operando* Ambient Pressure Near edge X-ray absorption fine structure (AP-NEXAFS) measurements, a specially designed reaction cell has been developed at the APE-HE beamline at the ELETTRA synchrotron radiation source.^{12,13,14} The samples inside the reactor cell can be heated from RT up to 400°C ca. and they can be exposed to a flux of several gases at the pressure of 1 bar. TEY mode is used to record the experimental spectra by measuring the drain current

from the sample with a picoammeter. The cap of the cell has a Si₃N₄ membrane (100 nm of thickness) that is transparent to X-rays allowing at the same time the vacuum of the beamline to be preserved; the membrane is electrically isolated from the body of the cell where the sample is located. The measurements were performed keeping the sample grounded and applying a positive bias voltage of 40 V to the membrane. The HKUST-1 MOF powder was fixed on a titanium sample holder and pressed in a pit located onto the holder. The cell was mounted in the ultra-high vacuum chamber of the APE-HE beamline, coaxially with the X-ray beam. The experiments were performed collecting the Cu L_{2,3}-edge spectra in the energy range 930-948 eV at different temperatures and under different gas fluxes (He, H₂ and CO₂) at 1 bar. Along the gas line a liquid nitrogen trap is placed which eliminates the impurities of water from the gas fluxes. O K-edge spectra were also collected at 160°C in He before and during the CO₂ purge to monitor any oxygen products. The energy calibration was carried out by simultaneously collecting the spectra of the given sample and of a CuO reference. The spectral processing was performed using the THORONDOR software: pre-edge and post-edge spline functions were employed to remove the background and to normalize the spectra.¹⁵ Linear and cubic polynomial functions were used for the pre-edge and for the post-edge extraction, respectively.¹⁶ Ultimately, only for the Cu L₃ edge, the main peak has been normalized to one to enhance the spectral variations during the chemical treatment.

Theoretical XAS calculations

The Cu L_{2,3}-edge spectra have been calculated using the Finite Difference Method Near Edge Structure code (FDMNES),^{17,18} implementing the recently developed sparse solver method.¹⁹ FDMNES uses the density functional theory (DFT) with a local exchange-correlation potential eventually spin-dependent. The spectra were calculated using the multiple scattering theory (MST) including spin-orbit coupling.^{17,18} The muffin-tin (MT) approximation was used for the potential and the MT radii were chosen as to minimize the difference between the potential in the MT spheres and in the interstitial region. The Cu L_{2,3}-edge theoretical spectra of CuO and Cu₂O were calculated starting from the corresponding crystallographic structures^{20,21} within cut-off radii of 4, 5 and 6 Å from the photoabsorber (Figure S4). The main features of the spectra are well reproduced by using the 5 Å cluster and the more distant atoms have been found to provide an almost negligible contribution.

The theoretical spectra of the hydrated HKUST-1 paddlewheel unit, along with those belonging to the Cu²⁺/Cu²⁺ and Cu⁺/Cu²⁺ dimers resulting from dehydration and dehydration/decarboxylation of the pristine unit, were calculated starting from the crystal structure of HKUST-1 hydrated²² obtained from high-resolution synchrotron powder diffraction, within the MST framework. The dehydrated model was obtained by removing the apical water molecules, while for the decarboxylated cluster

a DFT optimization was carried out. Different theoretical spectra have been calculated with increasing cut-off radii from the Cu absorber atom (Figure S9) and convergence is achieved at 5 Å.

The Cu²⁺ theoretical spectra were aligned to the experimental data on the basis of the energy of the main L₃-edge peak (peak A, Figure 1a). Conversely, the Cu⁺ theoretical spectra were aligned to the Cu⁺ peak present in the spectrum of the HKUST-1 sample measured in He (50ml/min, 1bar) at 160°C (peak C, Figure 2a). In order to account for the experimental resolution, a Gaussian broadening of 0.7 eV was applied to all the calculated spectra.

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