TRIPOD Checklist: Prediction Model Development and Validation

Section	Item		Checklist description	Reported on Page Number/Line Number	Reported on Section/Paragraph
Title and abstract					
Title	1	D;V	Identify the study as developing and/or validating a multivariable prediction model, the target population, and the outcome to be predicted.	1 / 1-2	Cover / 1
Abstract	2	D;V	Provide a summary of objectives, study design, setting, participants, sample size, predictors, outcome, statistical analysis, results, and conclusions.	2-3 / 26-60	Abstract / 1-6
Introduction					
Background and objectives	3a	D;V	Explain the medical context (including whether diagnostic or prognostic) and rationale for developing or validating the multivariable prediction model, including references to existing models.	4 / 62-82	Introduction / 1-2
	3b	D;V	Specify the objectives, including whether the study describes the development or validation of the model or both.	4 / 83-85	Introduction / 3
Methods					
Source of data	4a	D;V	Describe the study design or source of data (e.g., randomized trial, cohort, or registry data), separately for the development and validation data sets, ifapplicable.	5 / 90-97	Study population /1
	4b	D;V	Specify the key study dates, including start of accrual; end of accrual; and, if applicable, end of follow-up.	5/ 89-90	Study population / 1
Participants	5a	D;V	Specify key elements of the study setting (e.g., primary care, secondary care, general population) including number and location of centres.	5 / 89-97	Study population / 1
	5b	D;V	Describe eligibility criteria for participants.	5 / 89-97	Study population / 1
	5c	D;V	Give details of treatments received, if relevant.	5 / 89-97	Study population / 1
Outcome	6a	D;V	Clearly define the outcome that is predicted by the prediction model, including how and when assessed.	5 / 107-112	Data processing / 2
	6b	D;V	Report any actions to blind assessment of the outcome to be predicted.	N/A	N/A
Predictors	7a	D;V	Clearly define all predictors used in developing or validating the multivariable prediction model, including how and when they were measured.	5 / 99-106	Data processing / 1
	7b	D;V	Report any actions to blind assessment of predictors for the outcome and other predictors.	N/A	N/A
Sample size	8	D;V	Explain how the study size was arrived at.	5 / 89-97	Study population / 1

Missing data	9	D;V	Describe how missing data were handled (e.g., complete-case analysis, single imputation, multiple imputation) with details of any imputation method.	5 / 89-97	Study population / 1
Statistical analysis methods	10a	D	Describe how predictors were handled in the analyses.	5 / 99-106	Data processing / 1
	10b	D	Specify type of model, all model-building procedures (including any predictor selection), and method for internal validation.	6-7 / 131-153	Statistical analysis / 2
	10c	V	For validation, describe how the predictions were calculated.	7 / 154-158	Statistical analysis / 3
	10d	D;V	Specify all measures used to assess model performance and, if relevant, to compare multiple models.	6-7 / 131-153	Statistical analysis / 2
	10e	V	Describe any model updating (e.g., recalibration) arising from the validation, if done.	7 / 154-158	Statistical analysis / 3
Risk groups	11	D;V	Provide details on how risk groups were created, if done.	5-6 / 114-130	Statistical analysis / 1
Development vs. validation	12	V	For validation, identify any differences from the development data in setting, eligibility criteria, outcome, and predictors.	N/A	N/A
Results					
Participants	13a	D;V	Describe the flow of participants through the study, including the number of participants with and without the outcome and, if applicable, a summary of the follow-up time. A diagram may be helpful.	8 / 174-179	Patient characteristics / 2
	13b	D;V	Describe the characteristics of the participants (basic demographics, clinical features, available predictors), including the number of participants with missing data for predictors and outcome.	8 / 162-173	Patient characteristics / 1
	13c	V	For validation, show a comparison with the development data of the distribution of important variables (demographics, predictors and outcome).	N/A	N/A
Model development	14a	D	Specify the number of participants and outcome events in each analysis.	8 / 162-173; 8-9 / 182-206	Patient characteristics / 1; Estimating the cumulative transition hazards and transition probabilities: nonparametric approaches / 1-3
	14b	D	If done, report the unadjusted association between each candidate predictor and outcome.	Table 3	Identifying risk factors to recurrence and/or death, and predicting transition probabilities: semi-parametric approaches / 1
Model specification	15a	D	Present the full prediction model to allow predictions for individuals (i.e., all regression coefficients, and model intercept or baseline survival at a given time point).	Table 4	Identifying risk factors to recurrence and/or death, and predicting transition probabilities: semi-parametric approaches / 1
	15b	D	Explain how to the use the prediction model.	Figure 5	Identifying risk factors to recurrence and/or death, and predicting transition probabilities: semi-

					parametric approaches / 2
Model performance	16	D;V	Report performance measures (with CIs) for the prediction model.	Table 4	Identifying risk factors to recurrence and/or death, and predicting transition probabilities: semi-parametric approaches / 1
Model-updating	17	V	If done, report the results from any model updating (i.e., model specification, model performance).	10 / 231-240	Identifying risk factors to recurrence and/or death, and predicting transition probabilities: semi-parametric approaches / 2
Discussion					
Limitations	18	D;V	Discuss any limitations of the study (such as nonrepresentative sample, few events per predictor, missing data).	12-13 / 298-306	Discussion / 5

Interpretation	19a	V	For validation, discuss the results with reference to performance in the development data, and any other validation data.	11-12 / 249-279	Discussion / 2-3
	19b	D;V	Give an overall interpretation of the results, considering objectives, limitations, and results from similar studies, and other relevant evidence.	12 / 280-297	Discussion / 4
Implications	20	D;V	Discuss the potential clinical use of the model and implications for future research.	12 / 280-297; 13 / 307-310	Discussion / 4; Discussion / 6
Other information					
Supplementary information	21	D;V	Provide information about the availability of supplementary resources, such as study protocol, Web calculator, and data sets.	N/A	N/A
Funding	22	D;V	Give the source of funding and the role of the funders for the present study.	14 / 319-322	Acknowledgements

* Items relevant only to the development of a prediction model are denoted by D, items relating solely to a validation of a prediction model are denoted by V, and items relating to both are denoted D;V. We recommend using the TRIPOD Checklist in conjunction with the TRIPOD Explanation and Elaboration document.

Article information: https://dx.doi.org/10.21037/tlcr-22-148

*As the checklist was provided upon initial submission, the page number/line number reported may be changed due to copyediting and may not be referable in the published version. In this case, the section/paragraph may be used as an alternative reference.