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LIVE WELL, DIE WELL - AN INTERNATIONAL COHORT STUDY ON EXPERIENCES, CONCERNS AND PREFERENCES OF PATIENTS IN THE LAST PHASE OF LIFE: THE RESEARCH PROTOCOL OF THE iLIVE STUDY

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LIVE WELL, DIE WELL - AN INTERNATIONAL COHORT STUDY ON EXPERIENCES, CONCERNS AND PREFERENCES OF PATIENTS IN THE LAST PHASE OF LIFE: THE RESEARCH PROTOCOL OF THE iLIVE STUDY

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ABSTRACT

Introduction: Adequately addressing the needs of patients at the end of life and their relatives is pivotal in preventing unnecessary suffering and optimizing their quality of life. The purpose of the iLIVE study is to contribute to high-quality personalized care at the end of life in different countries and cultures, by investigating the experiences, concerns, preferences and use of care of terminally ill patients and their families.

Methods and analysis: The iLIVE study is an international cohort study in which patients with an estimated life expectancy of six months or less are followed until they die. In total, 2200 patients will be included in 11 countries, i.e. 200 per country. In addition, one relative per patient is invited to participate. All participants will be asked to fill in a questionnaire, at baseline and after four weeks. If a patient dies within six months of follow-up, the relative will be asked to fill in a post-bereavement questionnaire. Healthcare use in the last week of life will be evaluated as well; healthcare staff who attended the patient will be asked to fill in a brief questionnaire to evaluate the care that was provided. Qualitative interviews will be conducted with patients, relatives and healthcare professionals in all countries to gain more in-depth insights.

Ethics and dissemination: The cohort study has been approved by Ethical Committees and the institutional review boards (IRB's) of participating institutes in all countries. Results will be disseminated through the project website, publications in scientific journals and at conferences. Within the project, there will be a working group focusing on enhancing the engagement of the community at large with the reality of death and dying.

Trial Registration number: NCT04271085

Keywords: End of life, concerns, preferences, quality of care, cohort study, dying patients

Strengths and limitations of this study

- Due to the international nature of this study, we are able to investigate end-of-life experiences across different cultures and among groups varying by age, gender, illness and care setting.
- This study combines the perspectives of the most relevant stakeholders: patients who are in the last phase of life and their relatives, as well as healthcare staff providing end-of-life care.
- The study population is relatively large which enables to perform subgroup analyses.
- Although patients in the last phase of life and their caregivers have repeatedly reported to appreciate being given the opportunity to participate in research studies, completing a questionnaire about concerns, preferences and expectations concerning the end of life can be uncomfortable.

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INTRODUCTION

Over the past decades, increasing attention has been given to improving care for people in the last phase of life. Literature suggests that most people wish to be free from pain and other symptoms, to be treated with dignity and respect and to maintain a sense of autonomy and control over their last days (1, 2). In addition, many individuals wish to be informed of their limited life expectancy (3). However, there is a substantial amount of variation in the definition of a 'good death'. Preferences for the end of life are dynamic and influenced by individual and multidimensional characteristics, such as age, gender, illness, care setting, financial resources, culture and social relationships (4).

Medical care at the end of life is not optimally addressing the needs and preferences of all patients (5). This is in many cases caused by barriers such as the unpredictable course of a terminal illness, communication difficulties, and the complexity of care needs of dying patients and their families (6). Many terminally ill patients are for instance unable to express their goals and preferences for medical treatment or care, due to physical deterioration or mental incapacity (7, 8). Moreover, since clinicians tend to focus on diagnosis, therapy and cure, the imminence of death is often not openly and timely acknowledged in patients with an advancing chronic illness (9, 10). A recent longitudinal study reported that end-of-life care was discussed between physicians and patients with terminal cancer in less than 20% of cases, and the frequency of these discussions only increased significantly in the last month of life (11). Consequently, patients often receive treatment aimed at prolonging life until a very late stage in their illness trajectory, with a considerable burden for the patient (12). Inadequately addressing the needs of the patients not only deteriorates the quality of patients' last phase of life, but also increases the risk of complicated grief in bereaved family members (13).

So far, studies have mostly explored the perspectives and experiences of communities and physicians regarding factors that are important in end-of-life care (14-16), but the need to include the perspective of patients and their relatives has been acknowledged as well (6, 17). Currently, however, there is a lack of knowledge on what patients in the last phase of life and their relatives consider important (18). The first aim of the iLIVE study is therefore to provide in-depth understanding of the experiences, concerns, expectations and preferences of patients in the last phase of life and their relatives. The second aim is to assess variability in these concerns, expectations and preferences by culture, gender, age, healthcare-related and socio-economic factors. The international character of the iLIVE study provides a framework for unprecedented international comparative insights. A better understanding of needs and outcomes in

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3 end-of-life care will thus contribute to the development and advancement of policies to support
4 dignified dying in various cultures and settings.
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METHODS AND ANALYSIS

Study design and setting

The iLIVE study is a prospective observational cohort study involving terminally ill patients in hospital and non-hospital sites in 11 participating countries: Argentina, Germany, Iceland, the Netherlands, New Zealand, Norway, Slovenia, Sweden, Switzerland, Spain, and the United Kingdom. Terminally ill patients will be followed until they die or for a maximum of six months after inclusion. Participating patients and one of their relatives will complete questionnaires about their experiences, concerns, expectations and preferences around dying and use of end-of-life care.

The study has been approved by Ethical Committees and institutional review boards (IRB's) in all participating countries. This study is registered in ClinicalTrials.gov (Trial Registration number NCT04271085). A Data Safety Monitoring Board (DSMB) has been established.

Study population

In total, 2200 patients with a maximum estimated life expectancy of six months will be included, regardless of their diagnosis, gender, or place of residence (Table 1). Eligibility is assessed using a modified version of the Gold Standards Framework Proactive Identification Guidance (GSF-PIG) and the Supportive and Palliative Care Indicators Tool (SPICT) (17). The GSF-PIG starts with the "surprise question", asking whether the physician would be surprised if a patient were to die within one year (19). For the present study, we adapted this question into whether the physician would be surprised if a patient were to die within six months. If the physician is uncertain about the surprise question, the patient is eligible when at least one SPICT indicator is present (20). SPICT is a tool to identify persons with poor or deteriorating health for assessment and care planning, using general indicators and clinical signs of life-limiting conditions (Supplemental Table 1).

Participating patients are asked to identify a relative, for instance, a family member or friend. Relatives are eligible if they are 18 years of age or older. Patients and relatives need to be aware that the patient is unlikely to recover from his or her illness. The exclusion criteria for patients also apply to relatives.

Table 1. Inclusion and exclusion criteria for patients and relatives.

<i>Inclusion criteria for patients</i>	<i>Exclusion criteria for patients and relatives</i>
18 years of age or older	Unable to provide informed consent
Attending physician would not be surprised if the patient were to die within 6 months	Incapable of filling in questionnaires in the country's main language or in English
In case of uncertainty about surprise question: at least one SPICt indicator	
Awareness that recovery is unlikely	
Written informed consent to participate	
<i>Inclusion criteria for relatives</i>	
18 years of age or older	
Awareness that recovery of the patient is unlikely	
Written informed consent to participate	

Recruitment procedure

In the 11 countries, across all participating clinical sites, physicians are responsible for screening patients for eligibility. Eligible patients are informed about the study by their attending physician or nurse, who provides them with an information leaflet. If patients are interested in participating, the researcher contacts them, answers their questions, and asks them if they consent to participate. If the patient consents, the researcher asks them to consider whether a close relative might also be willing to participate. After obtaining written informed consent from patients and, if applicable, relatives, they will be asked to fill in the baseline questionnaire.

Measurements

The iLIVE cohort study includes several measurements (Table 2):

- 1) Questionnaires. Patients, relatives and attending physicians are asked to fill in questionnaires. Patients and relatives will complete questionnaires upon enrolment in the study (baseline assessment) and four weeks later (follow-up 1). For patients who die during the follow-up period of six months, relatives will also complete a questionnaire eight to ten weeks after the death of the patient (follow-up 2). Questionnaires for patients and relatives are administered on paper, online,

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3 or through telephone or face-to-face interviews. Physicians will complete a paper questionnaire at
4 patient enrolment (baseline assessment) and after the death of a patient (follow-up 2).
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8 *Baseline assessment*

9 The baseline questionnaire for patients includes questions on their experiences, concerns,
10 expectations and preferences around dying and end-of-life care. Questions also address health-
11 related quality of life, symptoms, decision-making, social support, and about attitudes towards
12 euthanasia. Finally, questions are asked about health economic aspects, such as patients'
13 employment status, use of healthcare and informal care needs. Relatives will also complete a
14 questionnaire about their experiences, concerns, expectations and preferences around the last
15 phase of life of the patient, their own health-related quality of life, their employment status and
16 their provision of informal care. Attending physicians fill in a questionnaire about patients'
17 diagnosis, co-morbidities, life expectancy, and their perspective on patients' current treatment
18 aims. Where possible, validated measures are used to collect these data. (Table 2)
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28 *Follow-up 1*

29 Four weeks after the baseline assessment, patients and relatives are asked to complete a follow-up
30 questionnaire to assess changes as compared to baseline.
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34 *Follow-up 2*

35 In case a participating patient dies, participating relatives are after eight to ten weeks asked to fill in
36 a post-bereavement questionnaire, to assess their experience of the last days of life of the deceased
37 patient, their appreciation of the quality of end-of-life care and family support, and their
38 bereavement process. The physician or another healthcare staff member who attended the patient
39 in the dying phase is also asked to complete a questionnaire to evaluate care in the dying phase.
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- 46 2) Medical file. Healthcare use in the patient's last week of life is assessed using a checklist. Items to
47 be assessed include: place of care, medical complications, medication use, major medical and
48 surgical interventions and care, goals of care statements, resuscitation policy and non-treatment
49 decisions.
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- 3) Qualitative interviews. More in-depth insight will be obtained in complementary personal interviews. In each country, five patients, five relatives and five healthcare professionals will be interviewed. The same eligibility criteria apply as in the cohort study. Individuals can participate in the interview study, the cohort study, or both. The sample of interviewees will be controlled for age and gender per country, to allow a comparative analysis. The interviews will be semi-structured using a topic guide that is based on Giger-Davidhizar-Haff's model for cultural assessment in end-of-life care (21), the ABCD model (22) and perception of disease questions (23).

Table 2. Measurements among patients, relatives and physicians within the iLIVE project.

I. Measured by questionnaire	Measurement instrument
<i>Patients</i>	
- Concerns, expectations and preferences of patients around dying and end-of-life care	Self-developed questions adapted from the Serious Illness Conversation Guide (24) and the AEOLI questionnaire (25)
- Symptom load	Edmonton Symptom Assessment System (ESAS) (26)
- Health-related quality of life (HRQoL) and wellbeing	EORTC QLQ-C15-PAL quality of life question (27) & EuroQol 5 Dimension questionnaire (EQ-5D-5L) (28) ICECAP Supportive Care Measure (ICECAP-SCM) (29)
- Attitudes towards euthanasia ^a	10-item Euthanasia scale (30)
- Health and social care resource use, absenteeism from work	(Partial) Health Economics Questionnaire (HEQ)(31)
- Sociodemographic characteristics	Self-developed questions and HEQ
<i>Relatives</i>	
- Concerns, expectations and preferences around dying and end-of-life care	Self-developed questions inspired by the Serious Illness Conversation Guide and the AEOLI questionnaire
- Health-related quality of life (HRQoL)	EORTC QLQ-C15-PAL & EQ-5D-5L
- Well-being	ICECAP Close Person Questionnaire (ICECAP-CPM) (32)
- Informal care provision	iMTA Valuation of Informal Care Questionnaire (iVICQ)(33) and Informal Care Cost Assessment Questionnaire (CIIQ) (34)
- Attitudes towards euthanasia	10-item Euthanasia scale
- Bereavement	Hogan Grief Reaction Checklist (HGRC, despair and personal growth subscales) (35)
- Quality of care for dying patients	International questionnaire Care of the Dying Evaluation (iCODE) (36)
<i>Physicians</i>	
- Patients' diagnosis, co-morbidities and life expectancy, perspective on patients' treatment aims and functional status	Based on the SPICT-criteria and the Australian version of the Karnofsky Performance Status (37)
- Evaluation of care in the dying phase	Adapted and based on the Swedish Quality of Dying Registry (38)
II. Obtained from medical files	

- Use of medical interventions, medication and costs of medical care in the last week of life.
- Patient survival
III. Obtained from qualitative interviews
- In-depth insights into experiences, concerns, expectations and preferences around dying and end-of-life care among patients, relatives and healthcare professionals.

^a In Norway and Iceland, one self-developed question will be used instead of the 10-item Euthanasia scale. No questions will be asked about euthanasia in Germany.

Data management

This study will be conducted in accordance with the General Data Protection Regulation and national research ethics and privacy guidelines (39). One common data management system will be used to safely process and store data of all participating patients, relatives and physicians across clinical sites and countries. In some countries, participants can choose to directly enter data into this system; in that case, they consent to use of their e-mail address for communication purposes. In all other cases, data are entered anonymously by selected local research assistants, and a study number will be generated to link data of participants with a local communication database.

Sample size

The primary outcomes are measured at baseline and 4 weeks post-inclusion. It is expected that 30% of all patients who complete the baseline assessment will be lost to follow-up, due to death, significant deterioration of health, or other causes. In that case, 70% of patients who complete the baseline measurement will be able to complete the assessment after 4 weeks at follow-up 1. Further, it is expected that 80% of all patients who complete the baseline assessment can be followed until death, whereas the remaining 20% are expected to either survive until the end of the data collection period or become lost to follow-up. Regarding the relatives, it is expected that in case patients who complete the baseline assessment die during follow-up, half of the bereaved relatives (i.e. 40% of all baseline patients), will be willing to complete a post-bereavement questionnaire (follow-up 2). The total cohort would thus include 2200 patients (n=200 per country) at baseline, 1540 patients (n=140 per country) at follow-up assessment 1, and 880 bereaved relatives (n=80 per country).

Analyses

Primary outcomes

The primary outcomes are experiences, concerns, expectations and preferences around dying and end-of-life care of patients in the last phase of life and their relatives, at baseline and after 4 weeks follow-

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3 up, and will be described in frequencies and narrative descriptions. Descriptive statistics will be used to
4 summarize characteristics of the study participants (age, gender, education, religion, socioeconomic
5 status, marital status, place of residence, quality of life, symptom load) by country and site. Associations
6 with country and patient characteristics will be analysed in a multilevel modelling approach, taking
7 account of clustering effects at country level. All statistical tests will be two-sided and considered
8 significant if $p < 0.05$. Repeated measures analyses of variance will be conducted to assess the
9 development of outcomes between baseline and 4 weeks follow-up. Multivariate Imputation by Chained
10 Equations (MICE) will be used to handle missing data.
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18 *Secondary outcomes*

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20 Secondary outcomes for patients include symptom load, HRQoL and wellbeing, and attitudes towards
21 physician-assistance in dying. Secondary outcomes for relatives include HRQoL, well-being, informal care
22 provision, attitudes towards physician-assistance in dying and bereavement. The statistical models and
23 methods used to analyse secondary outcomes are similar to those for the primary outcomes. The
24 relationship of the relative to the patient will be taken into account in multivariable models, in addition
25 to the characteristics mentioned for the analysis of the primary outcome.
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31 *Health-economic analysis*

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33 The outcomes as assessed in this study allow inter alia for a comprehensive assessment of health
34 resource utilization and costs for medication and care, as well as patients' and relatives' quality of life
35 and well-being. The study therefore includes a cost-effectiveness analyses of interventions used in end-
36 of-life care. In addition, a framework for the value assessment of palliative and end-of-life care will be
37 developed (40).
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43 **Qualitative interviews**

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45 The interviews will be recorded and transcribed verbatim. Data will be thematically analysed in an
46 iterative process on different levels: within each country, within three subgroups of countries and across
47 all countries. The analysis will be focused on identifying experiences, concerns, expectations and
48 preferences, as well as underlying values and norms. In addition, comparison of patients' perspectives
49 will be explored by gender and age to gain a better understanding of differences in phenomena
50 between subgroups.
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Embedded intervention studies

The iLIVE project includes a number of studies that are embedded in the cohort study. The research protocols for these studies will be described elsewhere. A brief description is presented here.

iLIVE medication study

Discussion of appropriate medication to alleviate symptoms is one of the key clinical issues in improving care of dying patients (41). At the same time, potentially inappropriate medication is often continued until a very late stage in patients' illness trajectory (42). This concern will be addressed in the iLIVE Medication Study, in which a digital clinical tool, a so-called Clinical Decision Support System (CDSS), will be used to optimize medication management in the last phase of life. A previous version of this tool to guide physicians in medication prescription and de-prescription for residents of nursing homes was developed and tested in the Netherlands (43). In the iLIVE project, we developed an adapted version of this CDSS, the CDSS-OPTIMED, that supports physicians in optimizing their prescription of medications for patients with a limited life expectancy. The CDSS-OPTIMED will be evaluated in three countries participating in the iLIVE project (The Netherlands, Sweden and Switzerland).

iLIVE volunteer study

Volunteer services to support patients dying in hospitals, and their families, are relatively uncommon and empirical evidence of the usefulness of such services is scarce. This concern will be addressed in the iLIVE Volunteer Study, in which an international hospital palliative care volunteer training programme will be developed. This programme will underpin the implementation of palliative care volunteer services to support patients dying in hospital and their families, within five participating hospitals in five countries (the Netherlands, United Kingdom, Norway, Slovenia, and Spain). The iLIVE Volunteer Study will evaluate the implementation, use and experience of the iLIVE Volunteer Service.

Core Outcome Set for care of the dying

It is important to identify the most important outcomes for care of dying patients through the perspective of patients, family members, researchers, and health professionals. Despite a variety of available tools to assess different dimensions of palliative care, there is no consensus yet on which outcomes need to be measured in the last days of life. Therefore, this project will establish a Core Outcome Set (COS) for care of dying patients that includes valid, reliable and precise outcomes to enable international benchmarking, quality improvement and research in the last days of life (44).

Patient and Public involvement

The project results will be disseminated through the project website (www.iliveproject.eu), publications in scientific journals and at conferences. Within the project, there will be a working group focusing on enhancing the engagement of the community at large with the reality of death and dying. One of the aims is to actively promote societal debate and engagement with death and dying. This will be achieved by developing a detailed dissemination plan for efficient engagement of citizens, patients and families, healthcare professionals, volunteers and policymakers throughout the project, and effective dissemination of emerging outcomes.

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DISCUSSION

The iLIVE study has several strengths. Going through the last phase of life is a complex personal experience, which is best understood while acknowledging the diverse and dynamic preferences of patients and their families. Due to the international nature of this project, we are able to investigate end-of-life experiences across different cultures and among groups varying by age, gender, illness and care setting. Further, we combine the perspectives of the most relevant stakeholders, that is, patients who are in the last phase of life and their relatives, as well as healthcare staff providing end-of-life care. Furthermore, patients and relatives will complete questionnaires at multiple time points, which enables us to analyse potential adaptations within subjects over time. Another strength relates to the post-bereavement assessment among relatives, which provides insights in the experience of care in the dying phase as well as the impact of these experiences on relatives' wellbeing and bereavement after the death of a patient. Lastly, the study population is relatively large which enables us to perform subgroup analyses.

We expect to encounter several challenges in this study. Recruiting patients in the last phase of life for research studies is often difficult. For instance, healthcare professionals or family members may be hesitant to provide researchers' access to incurably ill patients, due to concerns about burdening or distressing them, a phenomenon referred to as 'gatekeeping' (45). In many studies, this has led to considerably smaller study samples than desired. To minimize this risk, we have involved multiple clinical sites in almost all participating countries, planned for modest numbers of participants per site and applied conservative estimates of expected drop out. In addition, we will screen all potentially eligible patients and keep track of inclusion and exclusion numbers, as well as reasons for non-participation or exclusion.

In addition, completing a questionnaire about concerns, preferences and expectations concerning the end of life can be uncomfortable, especially for patients with a serious illness and a limited life expectancy. However, patients in the last phase of life and their caregivers have repeatedly been reported to appreciate being given the opportunity to participate in research studies, even when they are close to death (46, 47). Participation in this study may nevertheless cause emotional burden for patients, which is why participants are encouraged to indicate this on the questionnaire and contact a

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3 healthcare team member or the research team, so that the patient can be referred for support, e.g. to
4 their GP, attending physician or another healthcare worker. In addition, the study was designed to
5 minimize the data collection burden as much as possible.
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10 Another challenge of this study relates to the COVID-19 pandemic. The COVID-19 pandemic has raised
11 much attention to measures and interventions to protect the health of the population, such as social
12 distancing. Vulnerable people with a severe illness have been particularly affected. Due to COVID-19
13 associated measures, patients can have an increased risk of unresolved care needs, feelings of
14 abandonment, loneliness, and helplessness, and relatives may, as a result, suffer from prolonged and
15 complicated grief (48). In addition, healthcare professionals have to deal with many pandemic related
16 challenges and may experience significant pressure. It is likely that the experiences and concerns of
17 patients and their relatives will be influenced by measures to control the virus, especially those that are
18 taken during the peaks of the pandemic.
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26 In conclusion, the iLIVE project is aimed at increasing our understanding of the experience of dying in
27 different settings and cultures around the world, and of the concerns, expectations, preferences and
28 needs of dying patients and their relatives. Such understanding is currently lacking, but key to the
29 development of effective and efficient palliative and end-of-life care and public health policies.
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38 **Acknowledgements**

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42 **Author contributions**

43 The consortium has evolved from a successful collaboration of clinicians and researchers that developed
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46 SM, BHR, MS, KRS, VS, JS, RM, KS, JS, VAT, HVDK, CCDVDR, LVZ, RV, SCZ contributed to the design of the
47 study. BY wrote the first draft of the manuscript and revised with input from all authors. All authors read
48 and approved the final manuscript.
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Ethics approval and consent to participate

The cohort study has been approved by Ethical Committees and the institutional review boards (IRB's) of participating institutes in all the countries. Physicians, (research) nurses and research staff will be involved in the recruitment of participants. Patients and their relatives will be asked for permission to be contacted, have the study explained, be invited to participate, be provided written informed consent.

Ethical committees:

- Regional Committees for Medical and Health Research Ethics (35035), Norway.
- Komisija Republike Slovenije za Medicinsko etiko (0120-129/2020/3), Slovenia.
- Health Research Authority (HRA) and Health and Care Research Wales (HCRW) (272927), UK.
- Comité de Ética de la Investigación Provincial de Málaga. Hospital Regional Universitario de Malaga, Spain.
- Swedish Ethical Review Authority (2020-01956). Lund University, Norway.
- The National Bioethics Committee (VSN-20-129), Iceland.
- Ethics Commission of Cologne University, Faculty of Medicine (19-1456_1).
- Gesundheits-, Sozial und Integrationsdirektion Kantonale Ethikkommission für die Forschung (2020-02569), Switzerland.
- Medical research Ethics Committees United (MEC-U) (R20.004), The Netherlands.
- Dictamen del Comité de ética del instituto Lanari, University of Buenos Aires.

Consent to publish

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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Data sharing statement

The study plans to share data per request and as overall study results.

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Supplementary Table 1. The Supportive and Palliative Care Indicators Tool (SPICT).

General SPICT indicators:
<ul style="list-style-type: none"> • Unplanned hospital admission. • Performance status is poor or deteriorating, with limited reversibility (e.g. stays in bed or in a chair for more than half the day). • Depends on others for care due to increasing physical and/or mental health problems; person's carer needs more help and support. • Progressive weight loss; remains underweight; low muscle mass. • Persistent symptoms despite optimal treatment of underlying condition(s). • Person (or family) asks for palliative care; chooses to reduce, stop or not have treatment; or wishes to focus on quality of life.
Disease-specific SPICT indicators:
Cancer: <ul style="list-style-type: none"> • Functional ability deteriorating due to progressive cancer. • Too frail for cancer treatment or treatment is for symptom control.
Neurological disease: <ul style="list-style-type: none"> • Progressive deterioration in physical and/or cognitive function despite optimal therapy. • Speech problems with increasing difficulty communicating and/or progressive difficulty with swallowing. • Recurrent aspiration pneumonia; breathless or respiratory failure. • Persistent paralysis after stroke with significant loss of function and ongoing disability.
Heart/vascular disease: <ul style="list-style-type: none"> • Heart failure or extensive, untreatable coronary artery disease; with breathlessness or chest pain at rest or on minimal effort. • Severe, inoperable peripheral vascular disease.
Respiratory disease: <ul style="list-style-type: none"> • Severe, chronic lung disease; with breathlessness at rest or on minimal effort between exacerbations. • Persistent hypoxia needing long-term oxygen therapy. • Has needed ventilation for respiratory failure or ventilation is contraindicated.
Kidney disease: <ul style="list-style-type: none"> • Stage 4 or 5 chronic kidney disease (eGFR < 30ml/min) with deteriorating health. • Kidney failure complicating other life limiting conditions or treatments. • Stopping or not starting dialysis.
Liver disease: <ul style="list-style-type: none"> • Cirrhosis with one or more complications in the past year: diuretic resistant ascites; hepatic encephalopathy; hepatorenal syndrome; bacterial peritonitis; or recurrent variceal bleeds. • Liver transplant is not possible.
Dementia/ frailty¹: <ul style="list-style-type: none"> • Unable to dress, walk or eat without help. • Eating and drinking less, difficulty with swallowing. • Urinary and faecal incontinence. • Not able to communicate by speaking; little social interaction. • Frequent falls; fractured femur. • Recurrent febrile episodes or infections, aspiration pneumonia.
Other conditions: <ul style="list-style-type: none"> • Deteriorating and at risk of dying with other conditions or complications that are not reversible; any treatment available will have a poor outcome.

¹ If a patient with mild cognitive impairment is considered eligible, the physician is requested to assess this patient's capacity using a locally available validated capacity assessment instrument.

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STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cohort studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	0
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	1
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	6/7
		(b) For matched studies, give matching criteria and number of exposed and unexposed	na
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	7-9
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8-9
Bias	9	Describe any efforts to address potential sources of bias	na
Study size	10	Explain how the study size was arrived at	10
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	na
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	11
		(b) Describe any methods used to examine subgroups and interactions	11
		(c) Explain how missing data were addressed	11
		(d) If applicable, explain how loss to follow-up was addressed	11
		(e) Describe any sensitivity analyses	na
Results			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	na
		(b) Give reasons for non-participation at each stage	na
		(c) Consider use of a flow diagram	na
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	na
		(b) Indicate number of participants with missing data for each variable of interest	na
		(c) Summarise follow-up time (eg, average and total amount)	na
Outcome data	15*	Report numbers of outcome events or summary measures over time	na
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	na
		(b) Report category boundaries when continuous variables were categorized	na
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	na
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	na
Discussion			
Key results	18	Summarise key results with reference to study objectives	na
Limitations			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	na
Generalisability	21	Discuss the generalisability (external validity) of the study results	14
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	16

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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LIVE WELL, DIE WELL - AN INTERNATIONAL COHORT STUDY ON EXPERIENCES, CONCERNS AND PREFERENCES OF PATIENTS IN THE LAST PHASE OF LIFE: THE RESEARCH PROTOCOL OF THE iLIVE STUDY

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Manuscripts

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3 **1 LIVE WELL, DIE WELL - AN INTERNATIONAL COHORT STUDY ON EXPERIENCES, CONCERNS AND**
4 **2 PREFERENCES OF PATIENTS IN THE LAST PHASE OF LIFE: THE RESEARCH PROTOCOL OF THE iLIVE**
5 **3 STUDY**
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ABSTRACT

Introduction: Adequately addressing the needs of patients at the end of life and their relatives is pivotal in preventing unnecessary suffering and optimizing their quality of life. The purpose of the iLIVE study is to contribute to high-quality personalized care at the end of life in different countries and cultures, by investigating the experiences, concerns, preferences and use of care of terminally ill patients and their families.

Methods and analysis: The iLIVE study is an international cohort study in which patients with an estimated life expectancy of six months or less are followed until they die. In total, 2200 patients will be included in 11 countries, i.e. 200 per country. In addition, one relative per patient is invited to participate. All participants will be asked to fill in a questionnaire, at baseline and after four weeks. If a patient dies within six months of follow-up, the relative will be asked to fill in a post-bereavement questionnaire. Healthcare use in the last week of life will be evaluated as well; healthcare staff who attended the patient will be asked to fill in a brief questionnaire to evaluate the care that was provided. Qualitative interviews will be conducted with patients, relatives and healthcare professionals in all countries to gain more in-depth insights.

Ethics and dissemination: The cohort study has been approved by Ethical Committees and the institutional review boards (IRB's) of participating institutes in all countries. Results will be disseminated through the project website, publications in scientific journals and at conferences. Within the project, there will be a working group focusing on enhancing the engagement of the community at large with the reality of death and dying.

Trial Registration number: NCT04271085

Keywords: End of life, concerns, preferences, quality of care, cohort study, dying patients

Strengths and limitations of this study

- Due to the international nature of this study, we are able to investigate end-of-life experiences across different cultures and among groups varying by age, gender, illness and care setting.
- This study combines the perspectives of the most relevant stakeholders: patients who are in the last phase of life and their relatives, as well as healthcare staff providing end-of-life care.
- The study population is relatively large which enables to perform subgroup analyses.
- Although patients in the last phase of life and their caregivers have repeatedly reported to appreciate being given the opportunity to participate in research studies, completing a questionnaire about concerns, preferences and expectations concerning the end of life can be uncomfortable.

Peer review only

1 INTRODUCTION

2 Over the past decades, increasing attention has been given to improving care for people in the last
3 phase of life. Literature suggests that most people wish to be free from pain and other symptoms, to be
4 treated with dignity and respect and to maintain a sense of autonomy and control over their last days
5 (1-4). In addition, many individuals wish to be informed of their limited life expectancy (5). However,
6 there is a substantial amount of variation in the definition of a 'good death'. Preferences for the end of
7 life are dynamic and influenced by individual and multidimensional characteristics, such as age, gender,
8 illness, care setting, financial resources, culture and social relationships (6).

9 Medical care at the end of life is not optimally addressing the needs and preferences of all patients (7).
10 This is in many cases caused by barriers such as the unpredictable course of a terminal illness,
11 communication difficulties, and the complexity of care needs of dying patients and their families (8).
12 Many terminally ill patients are for instance unable to express their goals and preferences for medical
13 treatment or care, due to physical deterioration or mental incapacity (9, 10). Moreover, since clinicians
14 tend to focus on diagnosis, therapy and cure, the imminence of death is often not openly and timely
15 acknowledged in patients with an advancing chronic illness (11, 12). A recent longitudinal study reported
16 that end-of-life care was discussed between physicians and patients with terminal cancer in less than
17 20% of cases, and the frequency of these discussions only increased significantly in the last month of life
18 (13). Consequently, patients often receive treatment aimed at prolonging life until a very late stage in
19 their illness trajectory, with a considerable burden for the patient (14). Inadequately addressing the
20 needs of the patients not only deteriorates the quality of patients' last phase of life (15), but also
21 increases the risk of complicated grief in bereaved family members (16).

22 So far, studies have mostly explored the perspectives and experiences regarding factors that are
23 important in end-of-life care of citizens and physicians (17-19), but the need to include the perspective
24 of patients and their relatives has been acknowledged as well (4, 8, 20). Studies investigating the needs
25 and preferences of patients in their last phase of life have mostly included patients with cancer, and
26 studied preferences on specific components of palliative care (21). Little is known on patients'
27 concerns, goals and sources of strength during their last phase of life (22). In addition, no studies have
28 investigated these aspects within a context of diversity in diagnosis, culture, gender and age.

29
30 We expect that patients in the last phase of life consider dignity, respect, social relations, autonomy,
31 symptoms and pain control as important. Although some of these themes may be universal, we

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2
3 1 hypothesise that differences will exist in concerns, expectations and preferences based on gender, age,
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5 2 illness, care setting and culture.
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8 4 The first aim of the iLIVE study is to provide in-depth understanding of the experiences, concerns,
9
10 5 expectations and preferences of patients in the last phase of life and their relatives. The second aim is to
11
12 6 assess variability in these concerns, expectations and preferences by culture, gender, and age,
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14 7 healthcare-related and socio-economic factors. The international character of the iLIVE study provides a
15
16 8 framework for unprecedented international comparative insights. A better understanding of needs and
17
18 9 outcomes in end-of-life care will thus contribute to the development and advancement of policies to
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20 10 support dignified dying in various cultures and settings.
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The iLIVE study is a prospective observational cohort study involving terminally ill patients in hospital and non-hospital sites in 11 participating countries: Argentina, Germany, Iceland, the Netherlands, New Zealand, Norway, Slovenia, Sweden, Switzerland, Spain, and the United Kingdom. Countries from three continents over the world were included in the study to ensure cultural diversity within the study population. Terminally ill patients will be followed until they die or for a maximum of six months after inclusion. Participating patients and one of their relatives will complete questionnaires about their experiences, concerns, expectations and preferences around dying and use of end-of-life care. This 4-year study started in September 2020 and is currently ongoing.

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In order to have a diverse study population regarding clinical and sociodemographic characteristics, we will recruit participants from different types of clinical settings. Patients will be recruited in the 11 participating countries, from in total 20 hospitals (oncology, internal medicine, surgery, palliative care unit, medical physics, thoracic medicine and pulmonology departments), 7 specialized palliative care institutes, and 8 out-of-hospital settings (nursing homes).

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In total, 2200 patients with a maximum estimated life expectancy of six months will be included, regardless of their diagnosis, gender, or place of residence (Table 1). Eligibility is assessed using a modified version of the Gold Standards Framework Proactive Identification Guidance (GSF-PIG) and the Supportive and Palliative Care Indicators Tool 2017 (SPICT) (20). The GSF-PIG starts with the “surprise question”, asking whether the physician would be surprised if a patient were to die within one year (23). For the present study, we adapted this question into whether the physician would be surprised if a patient were to die within six months. If the physician is uncertain about the surprise question, the patient is eligible when at least one SPICT indicator is present (24). SPICT is a tool to identify persons with poor or deteriorating health for assessment and care planning, using general indicators and clinical signs of life-limiting conditions (Supplemental Table 1). All physicians will be informed on how to apply the GSF-PIG and the SPICT tool to assess eligibility.

1 Participating patients are asked to identify a relative, for instance, a family member or friend. Relatives
 2 are eligible if they are 18 years of age or older. Patients and relatives need to be aware that the patient
 3 is unlikely to recover from his or her illness. The exclusion criteria for patients also apply to relatives.

4
 5 **Table 1.** Inclusion and exclusion criteria for patients and relatives.

<i>Inclusion criteria for patients</i>	<i>Exclusion criteria for patients and relatives</i>
18 years of age or older	Unable to provide informed consent
Attending physician would not be surprised if the patient were to die within 6 months	Incapable of filling in questionnaires in the country's main language or in English
In case of uncertainty about surprise question: at least one SPICT indicator	
Awareness that recovery is unlikely	
Written informed consent to participate	
<i>Inclusion criteria for relatives</i>	
18 years of age or older	
Awareness that recovery of the patient is unlikely	
Written informed consent to participate	

6
 7 **Recruitment procedure**

8 In the 11 countries, across all participating clinical sites, physicians are responsible for screening patients
 9 for eligibility. All consecutive patients admitted to a clinical ward or visiting an outpatient clinic will be
 10 screened for eligibility. Eligible patients are informed about the study by their attending physician or
 11 nurse, who provides them with an information leaflet. If patients agree to be informed about study
 12 participation, a researcher or research nurse from the local study team contacts them, answers their
 13 questions, and asks them if they consent to participate. If the patient consents, the researcher asks
 14 them to consider whether a close relative might also be willing to participate. After obtaining written
 15 informed consent from patients and, if applicable, relatives, they will be asked to fill in the baseline
 16 questionnaire.

17 In each country, five patients, five relatives and five healthcare professionals will be interviewed.
 18 Patients and relatives completing the questionnaire face-to-face will be asked whether they are
 19 interested in an additional in-depth interview. Patients and relatives completing the questionnaire

1
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3 1 online or on paper (by post) will be approached by telephone. Patients and relatives who do not
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5 2 participate in the questionnaire study are also allowed to participate. If patients and/or relatives are
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7 3 eligible and interested, the researcher or research nurse approaches them to explain further procedures
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9 4 and to conduct the interview. They will have the option of participating in a face-to-face or skype
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11 5 interview.

12 6 Interviews will be conducted with healthcare professionals who are employed in the participating sites.
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14 7 Two criteria will be guiding the selection of healthcare professionals: (1) their work includes end-of-life
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16 8 care, and (2) they have several years of experience with end-of-life care. There will be variation in
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18 9 profession and work setting among participants. The healthcare professional will be contacted by
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20 10 telephone or email inviting them to take part in the study.

11 12 **Measurements**

13 The iLIVE cohort study includes several measurements (Table 2):

- 14
15 1) Questionnaires. Patients, relatives and attending physicians are asked to fill in questionnaires.
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17 Patients and relatives will complete questionnaires upon enrolment in the study (baseline
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19 assessment) and four weeks later (follow-up 1). For patients who die during the follow-up period of
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21 six months, relatives will also complete a questionnaire eight to ten weeks after the death of the
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23 patient (follow-up 2). Questionnaires for patients and relatives are administered on paper, online,
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25 or through telephone or face-to-face interviews. Physicians will complete a paper questionnaire at
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27 patient enrolment (baseline assessment) and after the death of a patient (follow-up 2).

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Completing the questionnaire will take approximately 30-45 minutes. In the online version of the
questionnaire, participants are allowed to save their answers and continue at a later time point. The
same is applicable to completing the paper version of the questionnaire and the face-to-face
interview.

28 *Baseline assessment*

29 The baseline questionnaire for patients includes questions on their experiences, concerns,
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31 expectations and preferences around dying and end-of-life care. Questions also address health-
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33 related quality of life, symptoms, decision-making, social support, and about attitudes towards
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35 euthanasia. Finally, questions are asked about health economic aspects, such as patients'

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3 1 employment status, use of healthcare and informal care needs. Relatives will also complete a
4
5 2 questionnaire about their experiences, concerns, expectations and preferences around the last
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7 3 phase of life of the patient, their own health-related quality of life, their employment status and
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9 4 their provision of informal care. Attending physicians fill in a questionnaire about patients'
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11 5 diagnosis, co-morbidities, life expectancy, and their perspective on patients' current treatment
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13 6 aims. Where possible, validated measures that are commonly used to evaluate important aspects in
14
15 7 end-of-life care are used to collect the data. (Table 2)
16
17 8

16 17 9 *Follow-up 1*

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19 10 Four weeks after the baseline assessment, patients and relatives are asked to complete a follow-up
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21 11 questionnaire to assess changes as compared to baseline.
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23 24 13 *Follow-up 2*

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26 14 In case a participating patient dies, participating relatives are after eight to ten weeks asked to fill in
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28 15 a post-bereavement questionnaire, to assess their experience of the last days of life of the deceased
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30 16 patient, their appreciation of the quality of end-of-life care and family support, and their
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32 17 bereavement process. The physician or another healthcare staff member who attended the patient
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34 18 in the dying phase is also asked to complete a questionnaire to evaluate care in the dying phase.
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36 19 More specifically, questions will be asked on the place of death, symptoms and if they were treated,
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38 20 whether the patient and the family were informed that the patient was in the final stage of life,
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40 21 how long before death the patient lost the ability to express his/her will, and whether anyone was
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42 22 present at the time of death.
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46 24 2) Medical file. Healthcare use in the patient's last week of life is assessed using a checklist. Items to
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48 25 be assessed include: place of care, medical complications, medication use, major medical and
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50 26 surgical interventions and care, goals of care statements, resuscitation policy and non-treatment
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52 27 decisions.
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55 29 3) Qualitative interviews. More in-depth insight will be obtained in complementary personal
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57 30 interviews with patients, relatives and healthcare professionals. The same eligibility criteria apply as
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59 31 in the cohort study. The sample of interviewees will be controlled for age and gender per country,
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32 to allow a comparative analysis. The interviews will be semi-structured using a topic guide that is

1 based on Giger-Davidhizar-Haff's model for cultural assessment in end-of-life care (25), the ABCD
 2 model for effectively addressing and integrating cultural needs and issues in clinical care (26), and
 3 perception of disease questions (27).

4
 5 During the interviews with patients, questions will be asked about their understanding of the
 6 illness, relationship with family, concerns, difficulties to discuss end-of-life topics, and decision-
 7 making. Comparable questions about these topics will be asked to relatives. Healthcare
 8 professionals will be asked questions about the care they aim to provide, collaboration with other
 9 professionals, communication with patients, decision-making, and values and beliefs when working
 10 with dying patients.

11
 12 **Table 2.** Measurements among patients, relatives and physicians within the iLIVE project.

I. Measured by questionnaire	Measurement instrument
<i>Patients</i>	
- Concerns, expectations and preferences of patients around dying and end-of-life care	Self-developed questions adapted from the Serious Illness Conversation Guide (28) and the AEOLI questionnaire (29)
- Symptom load	Edmonton Symptom Assessment System (ESAS) (30)
- Health-related quality of life (HRQoL) and wellbeing	EORTC QLQ-C15-PAL quality of life question (31) & EuroQol 5 Dimension questionnaire (EQ-5D-5L) (32) ICECAP Supportive Care Measure (ICECAP-SCM) (33)
- Attitudes towards euthanasia ^a	10-item Euthanasia scale (34)
- Health and social care resource use, absenteeism from work	(Partial) Health Economics Questionnaire (HEQ)(35)
- Sociodemographic characteristics	Self-developed questions and HEQ
<i>Relatives</i>	
- Concerns, expectations and preferences around dying and end-of-life care	Self-developed questions inspired by the Serious Illness Conversation Guide and the AEOLI questionnaire
- Health-related quality of life (HRQoL)	EORTC QLQ-C15-PAL & EQ-5D-5L
- Well-being	ICECAP Close Person Questionnaire (ICECAP-CPM) (36)
- Informal care provision	iMTA Valuation of Informal Care Questionnaire (iVICQ)(37) and Informal Care Cost Assessment Questionnaire (CIQ) (38)
- Attitudes towards euthanasia	10-item Euthanasia scale
- Bereavement	Hogan Grief Reaction Checklist (HGRC, despair and personal growth subscales) (39)
- Quality of care for dying patients	International questionnaire Care of the Dying Evaluation (iCODE) (40)
<i>Physicians</i>	

- Patients' diagnosis, co-morbidities and life expectancy, perspective on patients' treatment aims and functional status	Based on the SPICT-criteria and the Australian version of the Karnofsky Performance Status (41)
- Evaluation of care in the dying phase	Adapted and based on the Swedish Quality of Dying Registry (42)
II. Obtained from medical files	
- Use of medical interventions, medication and costs of medical care in the last week of life.	
- Patient survival	
III. Obtained from qualitative interviews	
- In-depth insights into experiences, concerns, expectations and preferences around dying and end-of-life care among patients, relatives and healthcare professionals.	

^a In Norway and Iceland, one self-developed question will be used instead of the 10-item Euthanasia scale. No questions will be asked about euthanasia in Germany. Researchers from these countries were concerned that study participants would become anxious by these questions.

Translation of questionnaires

Where possible, published and validated versions of existing instruments in the languages of the participating countries will be used. Where necessary, instruments will be translated. An instrument that has been translated correctly is conceptually equivalent to the source instrument (43-45) and thereby enables collection and pooling data from various linguistic and cultural regions. Translations will be performed according to the standard proposed by the EORTC Quality of Life Group (46). The translation process will thus include two forward translations from English to the target language, development of a provisional consensus version, two backward translations, and a careful comparison with the original. This will be repeated iteratively until a satisfactory result is obtained. The original developers of the instruments will provide feedback during this process and approve the final translations. Self-developed questions will be developed in English and translated following the same standards. The final translations will also be tested as part of the study questionnaire pilot testing in each country.

Data management

This study will be conducted in accordance with the General Data Protection Regulation and national research ethics and privacy guidelines (47). One common data management system will be used to safely process and store data of all participating patients, relatives and physicians across clinical sites and countries. In some countries, participants can choose to directly enter data into this system; in that case, they consent to use of their e-mail address for communication purposes. In all other cases, data are entered anonymously by selected local research assistants, and a study number will be generated to link data of participants with a local communication database.

1 2 3 1 4 2 **Sample size**

5 3 The primary outcomes are measured at baseline and 4 weeks post-inclusion. It is expected that 30% of
6 4 all patients who complete the baseline assessment will be lost to follow-up, due to death, significant
7 5 deterioration of health, or other causes. In that case, 70% of patients who complete the baseline
8 6 measurement will be able to complete the assessment after 4 weeks at follow-up 1. Further, it is
9 7 expected that 80% of all patients who complete the baseline assessment can be followed until death,
10 8 whereas the remaining 20% are expected to either survive until the end of the data collection period or
11 9 become lost to follow-up. Regarding the relatives, it is expected that in case patients who complete the
12 10 baseline assessment die during follow-up, half of the bereaved relatives (i.e. 40% of all baseline
13 11 patients), will be willing to complete a post-bereavement questionnaire (follow-up 2). The total cohort
14 12 would thus include 2200 patients (n=200 per country) at baseline, 1540 patients (n=140 per country) at
15 13 follow-up assessment 1, and 880 bereaved relatives (n=80 per country). The number of 200 patients per
16 14 country enables us to estimate proportions with 95% confidence intervals of approximately $\pm 7\%$. The
17 15 number of recruiting sites will vary from two to six per country.
18 16

19 17 No sample size estimation has been performed for the qualitative interviews since the aim is to explore
20 18 and better understand the variety in experiences of patients, relatives, and physicians, rather than
21 19 having a representative sample per country.
22 20

23 21 **Analysis plan**

24 22 *Primary outcomes*

25 23 The primary outcomes are experiences, concerns, expectations and preferences around dying and end-
26 24 of-life care of patients in the last phase of life and their relatives, at baseline and after 4 weeks follow-
27 25 up, and will be described in frequencies and narrative descriptions. The proportion of patients who have
28 26 certain concerns, expectations and preferences will be described. Sub group analyses will be performed
29 27 to assess cross-gender, cross-age and cross-cultural variety on experiences, concerns, expectations and
30 28 preferences. Narrative descriptions will be translated into English and categorized into themes that will
31 29 be identified within the data.
32 30

33 31 Descriptive statistics will be used to summarize baseline characteristics of the study participants (age,
34 32 gender, education, diagnosis, comorbidities, religion, socioeconomic status, marital status, place of
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1 residence, quality of life, symptom load) by country and site. Statistics on mean/median scores and
2 variance will be presented where applicable. Associations with country and patient characteristics will
3 be analysed in a multilevel modelling approach, taking account of clustering effects at country level.
4 Both univariable and multivariable analyses will be performed. All statistical tests will be two-sided and
5 considered significant if $p < 0.05$. Repeated measures analyses of variance will be conducted to assess
6 the development of outcomes between baseline and 4 weeks follow-up. Multivariate Imputation by
7 Chained Equations (MICE) will be used to handle missing data (48), as we expect that patients may not
8 be able or want to fill in all questions in the questionnaire. MICE is known to be a flexible principled
9 method of addressing missing data and can handle variables of varying types (e.g. continuous or binary).
10 Quantitative analyses will be performed with SPSS 25.0 statistical software.

11 *Secondary outcomes*

12 Secondary outcomes for patients include symptom load, HRQoL and wellbeing, and attitudes towards
13 physician-assistance in dying. Secondary outcomes for relatives include HRQoL, well-being, informal care
14 provision, attitudes towards physician-assistance in dying and bereavement. The prevalence of these
15 outcomes will be described in frequencies, mean/median scores and variance. Associations with country
16 and patient characteristics will be analysed in a multilevel modelling approach, taking account of
17 clustering effects at country level. Both univariable and multivariable analyses will be performed.
18 Repeated measures analyses of variance will be conducted to assess the development of outcomes
19 between baseline and 4 weeks follow-up. The relationship of the relative to the patient will be taken
20 into account in multivariable models, in addition to the characteristics mentioned for the analysis of the
21 primary outcome.

22 *Health-economic analysis*

23 The outcomes as assessed in this study allow inter alia for a comprehensive assessment of health
24 resource utilization and costs for medication and care, as well as patients' and relatives' quality of life
25 and well-being. The study therefore includes a cost-effectiveness analyses of interventions used in end-
26 of-life care. In addition, a framework for the value assessment of palliative and end-of-life care will be
27 developed (49).

1 *Qualitative interviews*

2 The interviews will be recorded and transcribed verbatim. Data will be thematically analysed in an
3 iterative process on different levels: within each country, within three subgroups of countries and across
4 all countries. The analysis will be focused on identifying experiences, concerns, expectations and
5 preferences, as well as underlying values and norms. In addition, comparison of patients' perspectives
6 will be explored by gender and age to gain a better understanding of differences in phenomena
7 between subgroups. Data from the interviews will be imported into NVivo software for analysis.
8

9 **Embedded intervention studies**

10 The iLIVE project includes a number of studies that are embedded in the cohort study. The research
11 protocols for these studies will be described elsewhere. A brief description is presented here.

12 *iLIVE medication study*

13 Discussion of appropriate medication to alleviate symptoms is one of the key clinical issues in improving
14 care of dying patients (50). At the same time, potentially inappropriate medication is often continued
15 until a very late stage in patients' illness trajectory (51). This concern will be addressed in the iLIVE
16 Medication Study, in which a digital clinical tool, a so-called Clinical Decision Support System (CDSS), will
17 be used to optimize medication management in the last phase of life. A previous version of this tool to
18 guide physicians in medication prescription and de-prescription for residents of nursing homes was
19 developed and tested in the Netherlands (52). In the iLIVE project, we developed an adapted version of
20 this CDSS, the CDSS-OPTIMED, that supports physicians in optimizing their prescription of medications
21 for patients with a limited life expectancy. The CDSS-OPTIMED will be evaluated in three countries
22 participating in the iLIVE project (The Netherlands, Sweden and Switzerland).

23 *iLIVE volunteer study*

24 Volunteer services to support patients dying in hospitals, and their families, are relatively uncommon
25 and empirical evidence of the usefulness of such services is scarce. This concern will be addressed in the
26 iLIVE Volunteer Study, in which an international hospital palliative care volunteer training programme
27 will be developed. This programme will underpin the implementation of palliative care volunteer
28 services to support patients dying in hospital and their families, within five participating hospitals in five
29 countries (the Netherlands, United Kingdom, Norway, Slovenia, and Spain). The iLIVE Volunteer Study
30 will evaluate the implementation, use and experience of the iLIVE Volunteer Service.

1 *Core Outcome Set for care of the dying*

2 It is important to identify the most important outcomes for care of dying patients through the
3 perspective of patients, family members, researchers, and health professionals. Despite a variety of
4 available tools to assess different dimensions of palliative care, there is no consensus yet on which
5 outcomes need to be measured in the last days of life. Therefore, this project will establish a Core
6 Outcome Set (COS) for care of dying patients that includes valid, reliable and precise outcomes to enable
7 international benchmarking, quality improvement and research in the last days of life (53). In each
8 country, patients and relatives will be invited to participate during this process.

9 **Patient and Public involvement**

10 An Advisory Board (AB) will be established with research and clinical experts and representatives from
11 all relevant stakeholder groups: current and future patients and their families, healthcare professionals,
12 volunteers, policy makers, and researchers. The AB will engage in and advise on various aspects of the
13 iLIVE project to ensure that the widest perspective on the process and outcomes can be realized.

14 In addition, in order to test the data collection for their acceptability and to maximize feasibility, we will
15 pilot test the questionnaires in each country with 3-5 members from the target groups. Participants will
16 be interviewed about their appreciation of the questionnaire following principles from cognitive
17 interviewing techniques, which include open-ended questions as well specific probes (questions about
18 potential problems). In case any modifications appear warranted, these will be discussed with the
19 Project General Assembly. If relevant, modifications will be tested in additional patients.

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1 ETHICS AND DISSEMINATION

2 The study will be conducted in accordance with national and international regulations and guidelines,
3 including the Declaration of Helsinki (54), and the International Conference on Harmonization (ICH)
4 guidance on Good Clinical Practice (GCP) (55). The study has been approved by Ethical Committees and
5 institutional review boards (IRB's) in all participating countries. The following Ethical Committees have
6 approved the study:

- 7 - Regional Committee for Medical and Health Research Ethics South East D (35035), Norway.
- 8 - Komisija Republike Slovenije za Medicinsko etiko (0120-129/2020/3), Slovenia.
- 9 - Health Research Authority (HRA) and Health and Care Research Wales (HCRW) (272927), UK.
- 10 - Comité de Ética de la Investigación Provincial de Málaga. Hospital Regional Universitario de
11 Malaga, Spain.
- 12 - Swedish Ethical Review Authority (2020-01956). Lund University, Norway.
- 13 - The National Bioethics Committee (VSN-20-129), Iceland.
- 14 - Ethics Commission of Cologne University, Faculty of Medicine (19-1456_1).
- 15 - Gesundheits-, Sozial und Integrationsdirektion Kantonale Ethikkommission für die Forschung
16 (2020-02569), Switzerland.
- 17 - Medical research Ethics Committees United (MEC-U) (R20.004), The Netherlands.
- 18 - Dictamen del Comité de ética del instituto Lanari, University of Buenos Aires.

19
20 This study is registered in ClinicalTrials.gov (Trial Registration number NCT04271085). A Data Safety
21 Monitoring Board (DSMB) has been established.

22
23 All potential participants to the study are provided with oral and written information about the study in
24 the country's language. They will be given at least 72 hours (3 days) to consider participation and ask
25 questions. All participants will be asked to provide written informed consent to confirm their willingness
26 to participate in the study and for the data collection, storage and transfer of data according to
27 established procedures.

28
29 We acknowledge the potential vulnerability of patients in the last phase of life and their relatives, and
30 the risk of overburdening. Completing a questionnaire about concerns, preferences and expectations
31 concerning the end of life can be uncomfortable. However, patients in the last phase of life and their
32 caregivers have repeatedly been reported to appreciate being given the opportunity to participate in

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3 1 research studies, even when they are close to death (56, 57). Participation in this study may
4
5 2 nevertheless cause emotional burden for patients. Study participants will as a matter of principle be
6
7 3 approached as people who are in principle fully capable of participating in research and whose
8
9 4 experiences and concerns are important for healthcare professionals to learn from. If patients feel
10
11 5 burdened by their participation, they are encouraged to indicate that on the questionnaire or to the
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13 6 researcher. Patients are also encouraged to discuss their issues with relatives or a healthcare
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15 7 professional.
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19 9 The project results will be disseminated through the project website (www.iliveproject.eu), publications
20
21 10 in scientific journals and at conferences. Within the project, there will be a working group focusing on
22
23 11 enhancing the engagement of the community at large with the reality of death and dying. One of the
24
25 12 aims is to actively promote societal debate and engagement with death and dying. This will be achieved
26
27 13 by developing a detailed dissemination plan for efficient engagement of citizens, patients and families,
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29 14 healthcare professionals, volunteers and policymakers throughout the project, and effective
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31 15 dissemination of emerging outcomes.
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DISCUSSION

The iLIVE study has several strengths. Going through the last phase of life is a complex personal experience, which is best understood while acknowledging the diverse and dynamic preferences of patients and their families. Due to the international nature of this project, we are able to investigate end-of-life experiences across different cultures and among groups varying by age, gender, illness and care setting. Further, we combine the perspectives of the most relevant stakeholders, that is, patients who are in the last phase of life and their relatives, as well as healthcare staff providing end-of-life care. Furthermore, patients and relatives will complete questionnaires at multiple time points, which enables us to analyse potential adaptations within subjects over time. Another strength relates to the post-bereavement assessment among relatives, which provides insights in the experience of care in the dying phase as well as the impact of these experiences on relatives' wellbeing and bereavement after the death of a patient. Lastly, the study population is relatively large which enables us to perform subgroup analyses.

We expect to encounter several challenges in this study. Recruiting patients in the last phase of life for research studies is often difficult. For instance, healthcare professionals or family members may be hesitant to provide researchers' access to incurably ill patients, due to concerns about burdening or distressing them, a phenomenon referred to as 'gatekeeping' (58). In many studies, this has led to considerably smaller study samples than desired. To minimize this risk, we have involved multiple clinical sites in almost all participating countries, planned for modest numbers of participants per site and applied conservative estimates of expected drop out. In addition, we will screen all potentially eligible patients and keep track of inclusion and exclusion numbers, as well as reasons for non-participation or exclusion.

Another challenge is that persons at the end of life may not be able to complete the follow-up questionnaire as they may become weaker over time. This will be monitored during the study and necessary actions will be taken in order to improve completion of the follow-up questionnaire.

In conclusion, the iLIVE project is aimed at increasing our understanding of the experience of dying in different settings and cultures around the world, and of the concerns, expectations, preferences and

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3 1 needs of dying patients and their relatives. Such understanding is currently lacking, but key to the
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5 2 development of effective and efficient palliative and end-of-life care and public health policies.
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2 -

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12 SIH, MJ, HKC, TMG, BHR, IRT, KS, VAT, EVB, SCZ provided feedback on the manuscript. All authors read
13 and approved the final manuscript.

14 **Ethics approval and consent to participate**

15 The cohort study has been approved by Ethical Committees and the institutional review boards (IRB’s) of
16 participating institutes in all the countries. Physicians, (research) nurses and research staff will be
17 involved in the recruitment of participants.

18 **Consent to publish**

19 Not applicable.

20 **Competing interests**

21 The authors declare that they have no competing interests.

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25 represent the opinion of the European Community and only reflect the opinion of the authors and/or
26 the Consortium.

27 **Data sharing statement**

28 The study plans to share data per request and as overall study results.

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Supplementary Table 1. The Supportive and Palliative Care Indicators Tool (SPICT).

General SPICT indicators:	
	<ul style="list-style-type: none"> • Unplanned hospital admission. • Performance status is poor or deteriorating, with limited reversibility (e.g. stays in bed or in a chair for more than half the day). • Depends on others for care due to increasing physical and/or mental health problems; person's carer needs more help and support. • Progressive weight loss; remains underweight; low muscle mass. • Persistent symptoms despite optimal treatment of underlying condition(s). • Person (or family) asks for palliative care; chooses to reduce, stop or not have treatment; or wishes to focus on quality of life.
Disease-specific SPICT indicators:	
Cancer:	<ul style="list-style-type: none"> • Functional ability deteriorating due to progressive cancer. • Too frail for cancer treatment or treatment is for symptom control.
Neurological disease:	<ul style="list-style-type: none"> • Progressive deterioration in physical and/or cognitive function despite optimal therapy. • Speech problems with increasing difficulty communicating and/or progressive difficulty with swallowing. • Recurrent aspiration pneumonia; breathless or respiratory failure. • Persistent paralysis after stroke with significant loss of function and ongoing disability.
Heart/vascular disease:	<ul style="list-style-type: none"> • Heart failure or extensive, untreatable coronary artery disease; with breathlessness or chest pain at rest or on minimal effort. • Severe, inoperable peripheral vascular disease.
Respiratory disease:	<ul style="list-style-type: none"> • Severe, chronic lung disease; with breathlessness at rest or on minimal effort between exacerbations. • Persistent hypoxia needing long-term oxygen therapy. • Has needed ventilation for respiratory failure or ventilation is contraindicated.
Kidney disease:	<ul style="list-style-type: none"> • Stage 4 or 5 chronic kidney disease (eGFR < 30ml/min) with deteriorating health. • Kidney failure complicating other life limiting conditions or treatments. • Stopping or not starting dialysis.
Liver disease:	<ul style="list-style-type: none"> • Cirrhosis with one or more complications in the past year: diuretic resistant ascites; hepatic encephalopathy; hepatorenal syndrome; bacterial peritonitis; or recurrent variceal bleeds. • Liver transplant is not possible.
Dementia/ frailty ¹ :	<ul style="list-style-type: none"> • Unable to dress, walk or eat without help. • Eating and drinking less, difficulty with swallowing. • Urinary and faecal incontinence. • Not able to communicate by speaking; little social interaction. • Frequent falls; fractured femur. • Recurrent febrile episodes or infections, aspiration pneumonia.
Other conditions:	<ul style="list-style-type: none"> • Deteriorating and at risk of dying with other conditions or complications that are not reversible; any treatment available will have a poor outcome.

¹ If a patient with mild cognitive impairment is considered eligible, the physician is requested to assess this patient's capacity using a locally available validated capacity assessment instrument.

Supplementary Table 2. Measurement instruments and their scale scores used in the iLIVE study.

Topic	Measurement instrument	Scale scores
<i>Patients</i>		
- Concerns, expectations and preferences of patients around dying and end-of-life care	- Self-developed questions adapted from the Serious Illness Conversation Guide (1) - AEOLI questionnaire (2)	Not applicable Strongly disagree – disagree- neither agree nor disagree – agree- strongly agree – don't know
- Symptom load	Edmonton Symptom Assessment System (ESAS) (3)	0 (no symptom) – 10 (worst possible symptom)
- Health-related quality of life (HRQoL) and wellbeing	EORTC QLQ-C15-PAL quality of life question (4) EuroQol 5 Dimension questionnaire (EQ-5D-5L) (5) ICECAP Supportive Care Measure (ICECAP-SCM) (6)	0 (worst health) – 100 (best health) Questions 1-3: no problems – slight problems- moderate problems – severe problems - unable Questions 4 (pain) & 5 (anxious): no(t) – slight – moderate – severe – extreme(ly) Most of the time –some of the time – only a little of the time - never
- Attitudes towards euthanasia ^a	10-item Euthanasia scale (7)	Strongly disagree – disagree- neither agree nor disagree – agree- strongly agree – don't know
- Health and social care resource use, absenteeism from work	(Partial) Health Economics Questionnaire (HEQ)(8)	Not applicable
- Sociodemographic characteristics	Self-developed questions and HEQ	Not applicable
<i>Relatives</i>		
- Concerns, expectations and preferences around dying and end-of-life care	Self-developed questions inspired by the Serious Illness Conversation Guide and the AEOLI questionnaire	Not applicable

<p>- Health-related quality of life (HRQoL)</p>	<p>EORTC QLQ-C15-PAL</p> <p>EQ-5D-5L</p>	<p>0 (worst health) – 100 (best health)</p> <p>Questions 1-3: no problems – slight problems- moderate problems – severe problems - unable</p> <p>Questions 4 (pain) & 5 (anxious): no(t) – slight – moderate – severe – extreme(ly)</p>
<p>- Well-being</p>	<p>ICECAP Close Person Questionnaire (ICECAP-CPM) (9)</p>	<p>Question 1 -2 : all of the time- most- some- a little- non</p> <p>Question 3-6: fully able – mostly able- mostly unable –completely unable</p>
<p>- Informal care provision</p>	<p>iMTA Valuation of Informal Care Questionnaire (iVICQ)(10) and Informal Care Cost Assessment Questionnaire (CIQ) (11)</p>	<p>Not applicable</p>
<p>- Attitudes towards euthanasia</p>	<p>10-item Euthanasia scale</p>	<p>Strongly disagree – disagree- neither agree nor disagree – agree- strongly agree – don't know</p>
<p>- Bereavement</p>	<p>Hogan Grief Reaction Checklist (HGRC, despair and personal growth subscales) (12)</p>	<p>1= Does not describe me at all 2 = Does not quite describe me 3 = Describes me fairly well 4 = Describes me well 5 = Describes me very well</p>
<p>- Quality of care for dying patients</p>	<p>International questionnaire Care of the Dying Evaluation (iCODE) (13)</p>	<p>Various scales</p>
<p><i>Physicians</i></p>		
<p>- Patients' diagnosis, co-morbidities and life expectancy, perspective on</p>	<p>Based on the SPICT-criteria and the Australian version of the Karnofsky Performance Status (14)</p>	<p>Not applicable</p>

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patients' treatment aims and functional status		
- Evaluation of care in the dying phase	Adapted and based on the Swedish Quality of Dying Registry (15)	Various scales

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STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cohort studies

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	0
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	1
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	6/7
		(b) For matched studies, give matching criteria and number of exposed and unexposed	na
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	7-9
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8-9
Bias	9	Describe any efforts to address potential sources of bias	na
Study size	10	Explain how the study size was arrived at	10
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	na
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	11
		(b) Describe any methods used to examine subgroups and interactions	11
		(c) Explain how missing data were addressed	11
		(d) If applicable, explain how loss to follow-up was addressed	11
		(e) Describe any sensitivity analyses	na
Results			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	na
		(b) Give reasons for non-participation at each stage	na
		(c) Consider use of a flow diagram	na
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	na
		(b) Indicate number of participants with missing data for each variable of interest	na
		(c) Summarise follow-up time (eg, average and total amount)	na
Outcome data	15*	Report numbers of outcome events or summary measures over time	na
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	na
		(b) Report category boundaries when continuous variables were categorized	na
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	na
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	na
Discussion			
Key results	18	Summarise key results with reference to study objectives	na
Limitations			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	na
Generalisability	21	Discuss the generalisability (external validity) of the study results	14
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	16

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.