## Enduring undetectable MRD and updated outcomes in relapsed/refractory CLL after fixed-duration venetoclax-rituximab

John F Seymour,<sup>1</sup> Thomas J Kipps,<sup>2</sup> Barbara F Eichhorst,<sup>3</sup> James D'Rozario,<sup>4†</sup> Carolyn J Owen,<sup>5</sup> Sarit Assouline,<sup>6</sup> Nicole Lamanna,<sup>7</sup> Tadeusz Robak,<sup>8</sup> Javier de la Serna,<sup>9</sup> Ulrich Jaeger,<sup>10</sup> Guillaume Cartron,<sup>11</sup> Marco Montillo,<sup>12</sup> Clemens Mellink,<sup>13</sup> Brenda Chyla,<sup>14</sup> Anesh Panchal,<sup>15\*</sup> Tong Lu,<sup>16</sup> Jenny Q Wu,<sup>16\*</sup> Yanwen Jiang,<sup>16</sup> Marcus Lefebure,<sup>15</sup> Michelle Boyer,<sup>15</sup> and Arnon P Kater<sup>17</sup>

<sup>1</sup>Peter MacCallum Cancer Centre, Royal Melbourne Hospital and University of Melbourne, Melbourne, Australia; <sup>2</sup>UCSD Moores Cancer Center, San Diego, CA; <sup>3</sup>University of Cologne, Faculty of Medicine and University Hospital Cologne, Department I of Internal Medicine and Center of Integrated Oncology Aachen, Bonn, Cologne, Dusseldorf; Cologne, Germany; <sup>4</sup>The John Curtin School of Medical Research, Australian National University, Canberra, Australia; <sup>5</sup>University of Calgary, Calgary, AB, Canada; <sup>6</sup>Segal Cancer Centre, Lady Davis Institute, Jewish General Hospital, Montreal, QC, Canada; <sup>7</sup>Herbert Irving Comprehensive Cancer Center, Columbia University Medical Center, New York, NY; <sup>8</sup>Medical University of Lodz, Copernicus Memorial Hospital, Lodz, Poland; <sup>9</sup>Hospital Universitario 12 de Octubre, Madrid, Spain; <sup>10</sup>Dept. of Medicine I, Division of Hematology and Hemostaseology, Medical University of Vienna, Vienna, Austria: <sup>11</sup>Department of Hematology, Centre Hospitalier Universitaire de Montpellier (UMR-CNRS 5535), Montpellier, France; <sup>12</sup>Department of Hematology, 12 Hematology, Niguarda Cancer Center, ASST Grande Ospedale Metropolitano Niguarda, Milan, Italy; <sup>13</sup>Department of Human Genetics, Amsterdam University Medical Centers, University of Amsterdam,

Amsterdam, the Netherlands; <sup>14</sup>AbbVie, North Chicago, IL; <sup>15</sup>Roche Products Ltd, Welwyn Garden City, United Kingdom; <sup>16</sup>Genentech, Inc., South San Francisco, CA; and <sup>17</sup>Department of Hematology, Cancer Center Amsterdam, Lymphoma and Myeloma Center Amsterdam, Amsterdam University Medical Centers, Amsterdam, the Netherlands

\*Former employee. <sup>†</sup>Deceased.

**Correspondence:** Arnon P Kater, MD, PhD; Department of Hematology, Cancer Center Amsterdam, Lymphoma and Myeloma Center Amsterdam, Amsterdam University Medical Centers, Meibergdreef 9, 1105AZ Amsterdam, the Netherlands; Phone: +31 20 5665785; Fax: +31 20 6919743; Email: a.p.kater@amsterdamumc.nl

#### **Supplementary materials**

#### Supplementary methods

#### MRD analysis of peripheral blood

For minimal residual disease (MRD) in peripheral blood, results from allele-specific oligonucleotide polymerase chain reaction (ASO-PCR) and flow cytometry were combined to enable a robust dataset and a conservative approach to MRD-negativity calculation (described below) while minimizing missing data caused by the technical failure of individual methodologies noticed during the conduct of the study. The feasibility of combining the MRD results from the two methods is supported by the concordance rate of 85.4% from 1,291 pairs of post-baseline peripheral blood samples measured by both ASO-PCR and flow cytometry.

Given the overall high concordance rate between ASO-PCR and flow cytometry,<sup>29</sup> a conservative hierarchical algorithm for combining MRD results from the two assays was established to determine MRD status for each patient at each timepoint:

- Step 1. MRD positive by either ASO-PCR or flow = MRD positive
- Step 2. A sample not MRD positive by Step 1, and MRD negative by ASO-PCR and/or flow = MRD negative
- Step 3. MRD undetermined by both ASO-PCR and flow = MRD positive

In addition, patients for whom no post-baseline MRD assessment was available at a specific time point were considered "MRD positive" for that particular time point. These measures ensure a conservative approach for reporting MRD results in this study.

#### MRD doubling time analysis

The logistic model was based on a population-based clonal growth model using longitudinal MRD assessment data post-end of treatment (EOT). The EOT MRD level below the limit of detection was simulated for each individual, based on the MRD model and the identified covariates. This model is longitudinal in nature and was developed considering both measurable and unmeasurable data, in a way that the entire MRD dynamic at, and post-EOT could be simulated numerically, including samples that were below the limit of detection. Prognostic markers and patient demographics were screened as covariates for impact on key model parameters, based on statistical and graphical assessments. Variables with >20% missing data or with low representation (<10% in any category) were excluded.

Individual MRD regrowth trajectories post-EOT were simulated based on the parameter estimates and the identified covariates from the MRD model. Doubling time was derived from the MRD regrowth trajectory for each patient, defined as the time needed to double the estimated MRD value at the first post-EOT MRD sample.

#### Supplementary results

## Progression-free survival (PFS) disease growth amongst patients with undetectable (u)MRD at EOT by immunoglobulin heavy chain gene (IGHV) mutation status

Among patients with mutated IGHV disease in the venetoclax plus rituximab (VenR) arm who achieved uMRD status at EOT, only one PFS event (4.3%) was recorded, compared with 21 PFS events (37.5%) in patients with unmutated IGHV disease achieving the same landmark depth of response. The median PFS for those with unmutated IGHV disease was 39.7 months (95% confidence interval [CI]: 29.0, not evaluable [NE]). Median PFS in patients with mutated IGHV disease was not reached (NR; supplemental Figure 4A). Time to MRD relapse was similar for both mutated and unmutated IGHV groups, with a median time from EOT to MRD conversion of 22.6 months (95% CI: 8.1, NE) and 18.2 months (95% CI: 8.4, 28.0), respectively (supplemental Figure 4B). Those with mutated IGHV disease, however, demonstrated a slower rate of disease progression manifestation (by International Workshop Group on CLL [iwCLL] criteria) following MRD conversion compared with those with unmutated IGHV disease: median time to progressive disease from MRD conversion was NR among the mutated IGHV group vs 20.7 months (95% CI: 14.7, 25.6) for the unmutated IGHV group (supplemental Figure 4C).

Patients treated with VenR who are able to achieve uMRD at EOT have already demonstrated durable, long-term responses. These data indicate that this long-term PFS benefit is stratified by unmutated IGHV status, with those with mutated IGHV disease having the most durable benefit.

## Supplemental Table 1. Baseline disease characteristics by MRD response

Characteristic, n (%)	uMRD n = 83	Low-MRD+ n = 23	High-MRD+ n = 12
Time from first diagnosis (years), n	83	23	12
Mean (SD)	7.37 (5.34)	8.50 (5.00)	7.11 (3.85)
Median (range)	5.80 (0.5–28.4)	7.12 (0.8–19.7)	6.07 (1.4–13.9)
ECOG performance status	83	23	12
0	46 (55.4)	18 (78.3)	8 (66.7)
≥1	37 (44.6)	5 (21.7)	4 (33.3)
Fludarabine-refractory <sup>†</sup>	82	22	11
Yes	9 (11.0)	2 (9.1)	2 (18.2)
No	73 (89.0)	20 (90.9)	9 (81.8)
Creatinine clearance <sup>‡</sup>	83	23	12
<50 mL/min	1 (1.2)	1 (4.3)	1 (8.3)
≥50 mL/min	82 (98.8)	22 (95.7)	11 (91.7)
Baseline TLS risk	83	23	12
High	23 (27.7)	3 (13.0)	5 (41.7)
Medium	46 (55.4)	14 (60.9)	6 (50.0)
Low	14 (16.9)	6 (26.1)	1 (8.3)
Bulky disease (lymph nodes with the largest diameter)	76	21	12
<5 cm	37 (48.7)	17 (81.0)	7 (58.3)
≥5 cm	39 (51.3)	4 (19.0)	5 (41.7)
<10 cm	64 (84.2)	20 (95.2)	10 (83.3)
≥10 cm	12 (15.8)	1 (4.8)	2 (16.7)
Absolute lymphocyte count	83	23	12
<25 x 10 <sup>9</sup> /L	26 (31.3)	6 (26.1)	3 (25.0)

## status\* at the EOT visit in VenR-treated patients with completed 2 years of PFS

≥25 x 10 <sup>9</sup> /L	57 (68.7)	17 (73.9)	9 (75.0)
<100 x 10 <sup>9</sup> /L	60 (72.3)	17 (73.9)	9 (75.0)
≥100 x 10 <sup>9</sup> /L	23 (27.7)	6 (26.1)	3 (25.0)
Presence of B-symptoms	83	23	12
Fever	1 (1.2)	0	0
Night sweats	26 (31.3)	11 (47.8)	1 (8.3)
Weight loss	4 (4.8)	1 (4.3)	0
del(17p) status	58	19	9
Not deleted	54 (93.1)	18 (94.7)	6 (66.7)
Deleted	4 (6.9)	1 (5.3)	3 (33.3)
Stratification factor: risk status $(derived)^{\$}$	83	23	12
High	49 (59.0)	7 (30.4)	7 (58.3)
Low	34 (41.0)	16 (69.6)	5 (41.7)
IGHV mutation status	82	21	11
Mutated	23 (28.0)	7 (33.3)	3 (27.3)
Unmutated	56 (68.3)	13 (61.9)	8 (72.7)
Unknown	3 (3.7)	1 (4.8)	0
TP53 mutation status	82	23	12
Mutated	13 (15.9)	4 (17.4)	4 (33.3)
Unmutated	69 (84.1)	19 (82.6)	8 (66.7)
Beta-2 microglobulin	81	23	11
≤3.5 mg/L	26 (32.1)	11 (47.8)	6 (54.5)
>3.5 mg/L	55 (67.9)	12 (52.2)	5 (45.5)
Number of prior cancer therapies	83	23	12
1	51 (61.4)	18 (78.3)	5 (41.7)
2	26 (31.3)	4 (17.4)	3 (25.0)
≥3	6 (7.2)	1 (4.3)	4 (33.3)

Note: Patients with missing results are not included in the summary.

ASO-PCR, allele-specific oligonucleotide polymerase chain reaction; del(17p), chromosome 17p deletion; ECOG, Eastern Cooperative Oncology Group; EOT, end of treatment; IGHV, immunoglobulin heavy chain gene; MRD, minimal residual disease; PFS, progression-free survival; SD, standard deviation; TLS, tumor lysis syndrome; uMRD, undetectable minimal residual disease; Ven, venetoclax; VenR, venetoclax-rituximab.

\*MRD blood response status was derived from combining ASO-PCR and flow cytometry results; <sup>†</sup>per investigator assessment. Indicating not fludarabine-refractory did not mean patients were exposed to fludarabine; <sup>‡</sup>based on Cockcroft–Gault formula; <sup>§</sup>high-risk status was defined as having ANY of the following features: del(17p), or no response to front-line chemotherapy-containing regimen, or relapsed disease within 12 months after chemotherapy alone or within 24 months after chemoimmunotherapy. All others were considered to be of low-risk status. One patient in the VenR arm and two patients in the BR arm had an unknown or missing risk status.

## Supplemental Table 2. MRD growth model covariates: baseline variables available for covariate testing from the 211

## included patients

SCREENINGMRD level at screening0ARMTreatment arm0IGHVIGHV mutation status8.1P17/TP53del(17p)/TP53 mutation status1.4AGEAge0SEXGender0BWTBody weight0.5ATMATM mutation status32.2	NA 91	NA VenR	0	
IGHVIGHV mutation status8.1P17/TP53del(17p)/TP53 mutation status1.4AGEAge0SEXGender0BWTBody weight0.5	-	VonB	•	0
P17/TP53del(17p)/TP53 mutation status1.4AGEAge0SEXGender0BWTBody weight0.5		Venik	0	0
PT/TP53status1.4AGEAge0SEXGender0BWTBody weight0.5	55	Mutated	0	0
SEXGender0BWTBody weight0.5	51	Mutated	0	0
BWT Body weight 0.5	NA	NA	0	0
	55	Female	0	0
$\Delta TM$ mutation status 32.2	NA	NA	0	0
	36	Mutated	0	1
BIRC3 BIRC3 mutation status 32.3	7	Mutated	1	1
NFKBIE NFKBIE mutation status 32.2	12	Mutated	1	1
NOTCH1 NOTCH1 mutation status 32.2	31	Mutated	0	1
TP53 TP53 mutation status 3.3	40	Mutated	0	0
SF3B1 SF3B1 gene mutation 32.2	23	Mutated	0	1
XPO1 XPO1 mutation 32.2	22	Mutated	0	1
COMP3 Complex karyotype with ≥3 abnormalities 24.6	45	Abnormal	0	1
COMP5 Complex karyotype with ≥5 24.6 abnormalities	12	Abnormal	1	1
aCGH17p13 deletion of 17p13 by 24.6 aCGH	15	Mutated	1	1
FISH17p deletion of 17p by FISH 0	34	Mutated	0	0
CH11QDLC Chromosome 11q deletion 9.5	67	Abnormal	0	0
CH12TRIC Trisomy 12 9.5	35			
MS13QDLC Monosomy 13q deletion 9.5	30	Abnormal	0	0

NS13QDLC	Nullisomy 13q deletion	9.5	65	Abnormal	0	0
CH13QDLC	Chromosome 13q deletion	9.5	40	Abnormal	0	0
	Responder (PR/CR) or					
RSP	non-responder (PD/SD) to	0.5	28	Responder	0	0
	treatment					
TLS	Tumor lysis syndrome risk	0	60	High risk	0	0
. 20	category	Ũ		i ligit tion	Ū	U U
CLLPRLN	Prior line of CLL therapy	0	75	≥2 prior lines of therapy	0	0
	category	č	10		Ŭ	ů,

Exclusion Flag 1 was set to 1 if the lowest number of patients in a certain category for a binary outcome was lower than or equal to 10% of the study population, which was 21 patients; Exclusion Flag 2 was set to 1 if the missing data exceeded 20%; The covariate screening used the variables with Exclusion Flag 1 & 2 = 0. "Lowest patient number in certain Category" and "Corresponding Status of the Category" were set to NA for the continuous covariates; TLS risk category and CLLPRLN were dichotomized into binary variables before the analysis. aCGH, array comparative genomic hybridization; ATM, ataxia telangiectasia mutated; CLL, chronic lymphocytic leukemia; CR, complete response; del(17p),

acon, anay comparative genomic hybridization, Arm, ataxia telanglectasia mutated, CLL, chronic lymphocytic ledkenna, CK, complete response, dei(17p

chromosome 17p deletion; FISH, fluorescence in situ hybridization; IGHV, immunoglobulin heavy chain gene; MRD, minimal residual disease; NA, not

applicable; PD, progressive disease; PR, partial response; SD, stable disease; VenR, venetoclax-rituximab.

#### Supplemental Table 3. Multivariate Cox analysis for PFS among the patients

who 2 years of Ven therapy without PD and who had a valid MRD assessment

at EOT (n = 118)

Effect/covariate included in the model	Degrees of freedom	Parameter estimate (standard error)	HR (95% CI)	P value*
MRD status at EOT (reference: positive, n = 35)				
Negative, n = 83	1	-1.59197 (0.310)	0.20 (0.11, 0.37)	< .0001
Age at screening (reference: <65 years, n = 60)				
≥65 years, n = 58	1	-0.40333 (0.327)	0.67 (0.35, 1.27)	.2181
Baseline IGHV (reference: unmut, n = 77)	2			.0214
Mut, n = 33	1	-1.49031 (0.546)	0.23 (0.08, 0.66)	.0063
Other*, n = 8	1	-0.45325 (0.544)	0.64 (0.22, 1.84)	.4045
TLS risk at screening (reference: high, n =31)				
Low/medium, n = 87	1	-0.46762 (0.326)	0.63 (0.33, 1.19)	.1518
TP53 (reference: mut, n = 21)	1			.1819
Unmut, n = 96	1	-0.46358 (0.347)	0.63 (0.32, 1.24)	.1819
Other*, n = 1	0	0.00000 (NE)	NE (NE, NE)	NE

Grade 3–4 AEs with  $\geq$ 2% difference in incidence rate between treatment arms within the safety evaluable population are shown. Treatment-emergent AEs and AEs reported in the post-treatment period are included. Multiple occurrences of the same AE in an individual are counted only once on individual rows.

AE, adverse event; CI, confidence interval; EOT, end of treatment; HR, hazard ratio; IGHV, immunoglobulin heavy chain gene; TLS, tumor lysis syndrome; MRD, minimal residual disease; mut, mutated; NE, not estimable; PD, progressive disease; PFS, progression-free survival; unmut, Unmutated; ven, venetoclax.

\*Missing/unknown/undetermined.

#### Supplemental Table 4. ORR\* to subsequent BTKi-based therapy or Ven-based

	VenR arm	BR arm
	(n = 67)	(n = 123)
Response to Ven-based subsequent	t therapy <sup>†</sup>	
Evaluable patients overall	n = 18	n = 10
Best ORR	13 (72.2)	8 (80.0)
CR/CRi	1 (5.6)	3 (30.0)
PR/nPR	12 (66.7)	5 (50.0)
SD	1 (5.6)	1 (10.0)
PD	2 (11.1)	1 (10.0)
Non-responder	2 (11.1)	0
Patients with unmut-IGHV <sup>‡</sup>	n = 17	n = 4
ORR	12 (70.6)	4 (100)
CR	1 (5.9)	1 (25.0)
PR/nPR	11 (64.7)	3 (75.0)
Patients with mut-IGHV <sup>‡</sup>	n = 0	n = 3
ORR	NA	1 (33.3)
CR	NA	0
PR/nPR	NA	1 (33.3)
Response to BTKi-based subsequer	nt therapy <sup>§</sup>	
	n = 14	n = 56
Best ORR	14 (100)	47 (83.9)
CR/CRi	1 (7.1)	9 (16.1)
PR/nPR	13 (92.9)	38 (67.9)
SD	0	5 (10.7)
PD	0	3 (5.4)
Patients with unmut-IGHV <sup>1</sup>	n = 8	n = 41
ORR	8 (100)	33 (80.5)
CR	0	7 (17.1)
PR/nPR	8 (100)	26 (63.4)
Patients with mut-IGHV <sup>1</sup>	n = 4	n = 10
ORR	4 (100)	90 (90.0)
CR	1 (25.0)	2 (20.0)
PR/nPR	3 (75.0)	7 (70.0)

therapy in evaluable patients with PD following initial treatment in MURANO.

Values are n (%)

\*Best ORR, median treatment duration and number of patients remaining on therapy were calculated among patients with evaluable responses; responses were classed as evaluable if they were reported by the investigators prior to discontinuation or initiation of subsequent line of therapy. Responses in patients who were treated with their next line of therapy for insufficient time to have their response assessed, or those patients who had no response assessments reported, were considered unevaluable; <sup>†</sup>Median (range) treatment duration 11.4 (0.7–37.6) months in the VenR arm and 13.5 (0.2–30.7) months in the BR arm; <sup>‡</sup>IGHV status not available for 1 patient (nPR) in the VenR arm and 3 patients in the BR arm (2 CR and 1 PR); <sup>§</sup>Median (range) treatment duration 21.9 (5.6–59.2) months in the VenR arm and 26.6 (0–50.4) months in the BR arm; <sup>¶</sup>IGHV status not available for 2 patients in the VenR arm (2 PR) and 5 patients in the BR arm (5 PR).

CR, complete response; CRi, complete response with incomplete bone marrow recovery; PR, partial response; nPR, nodular partial response; SD, stable disease.

Supplemental Table 5. Overview of safety data from the MURANO trial for both study arms (clinical cutoff date: May 8, 2020)

AE, n (%)	VenR n = 194	BR n = 188
Grade 3–4 AEs		
Neutropenia	115 (59.3)	76 (40.4)
Anemia	21 (10.8)	26 (13.8)
Thrombocytopenia	12 (6.2)	19 (10.1)
Febrile neutropenia	7 (3.6)	18 (9.6)
Pneumonia	10 (5.2)	15 (8.0)
Infusion-related reaction	4 (2.1)	10 (5.3)
Tumor lysis syndrome*	6 (3.1)	2 (1.1)
Hyperglycemia	4 (2.1)	0
Hypotension	0	5 (2.7)
Hypogammaglobulinemia	4 (2.1)	0
Richter's transformation	7 (3.6)	6 (3.2)
Second primary malignancies <sup>†</sup>	30 (15.5)	24 (12.8)
Basal cell carcinoma	9 (4.6)	5 (2.7)
Squamous cell carcinoma of skin	8 (4.1)	2 (1.1)
Squamous cell carcinoma	2 (1.0)	4 (2.1)
Myelodysplastic syndrome	3 (1.5)	1 (0.5)
Metastatic malignant melanoma	2 (1.0)	1 (0.5)
Malignant melanoma	1 (0.5)	1 (0.5)
Acute myeloid leukemia	1 (0.5)	1 (0.5)
Colorectal cancer	2 (1.0)	0
Adenocarcinoma of colon	0	1 (0.5)

Colorectal adenocarcinoma	0	1 (0.5)
Colon cancer	1 (0.5)	0
Lung neoplasm malignant	0	2 (1.1)
Prostate cancer	1 (0.5)	1 (0.5)
Adenocarcinoma gastric	1 (0.5)	0
Breast cancer	1 (0.5)	0
Keratoacanthoma	1 (0.5)	0
Lung adenocarcinoma stage III	1 (0.5)	0
Lymphoma	0	1 (0.5)
Medullary thyroid cancer	0	1 (0.5)
Metastases to lung	0	1 (0.5)
Metastasis	1 (0.5)	0
Pancreatic carcinoma	1 (0.5)	0
Plasma cell myeloma	1 (0.5)	0
Skin squamous cell carcinoma recurrent	0	1 (0.5)
Transitional cell carcinoma	0	1 (0.5)

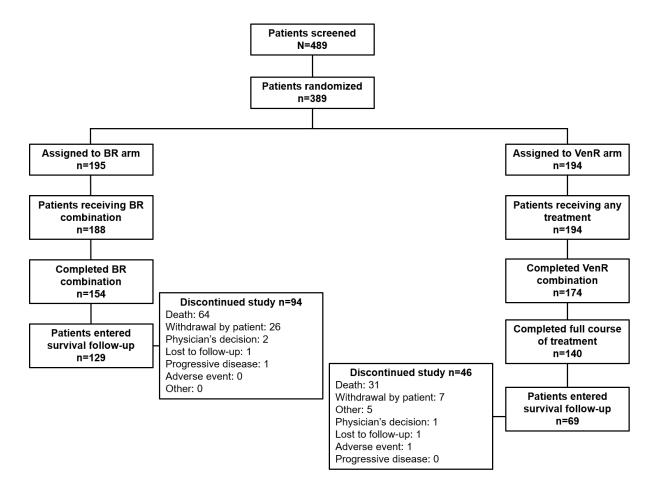
Grade 3–4 AEs with  $\geq$ 2% difference in incidence rate between treatment arms within the safety evaluable population are shown. Treatment-emergent AEs and AEs reported in the post-treatment period are included. Multiple occurrences of the same AE in an individual are counted only once on individual rows.

AE, adverse event; BR, bendamustine-rituximab; TLS, tumor lysis syndrome; VenR, venetoclax-rituximab.

\*Laboratory-confirmed TLS; †any grade reported.

## Supplemental Figure 1. Patient disposition through the study and 5-year

#### follow-up.



BR, bendamustine-rituximab; VenR, venetoclax-rituximab.

# Supplemental Figure 2. Forest plots for investigator-assessed PFS subgroup analyses: demographics; stratification factors; biomarkers; and baseline characteristics.

			iustine+ timab 195)	Veneto Ritux (N=1	imab			Venetoclax+	Bendamustine+
Demographic Subgroups	Total n	n	Median (Months)	n	Median (Months)	Hazard Ratio	95% Wald Cl	Rituximab	Rituximab
All Patients	389	195	17.0	194	53.6	0.21	(0.16, 0.27)		
Age Group 65 (yr) < 65 >= 65	186 203	89 106	15.4 21.7	97 97	49.0 57.0	0.20 0.20	(0.14, 0.29) (0.14, 0.30)		
Age Group 75 (yr) < 75 >= 75	336 53	171 24	16.4 20.0	165 29	53.5 64.5	0.21 0.24	(0.16, 0.28) (0.12, 0.51)		
Sex Male Female	287 102	151 44	16.4 18.6	136 58	53.7 52.4	0.20 0.22	(0.15, 0.28) (0.13, 0.37)	, H	
Race White Non-White	359 12	178 6	17.1 16.3	181 6	53.5 NE	0.21 0.30	(0.16, 0.28) (0.05, 1.68)	<b>–</b>	+
							1/	100	1 100

Randomization StrataTotal nN (Months)Median nHazard (Months)95% Wald RatioRituximab betterAll Patients38919517.019453.60.21(0.16, 0.27)Risk Status (IvRS) Low High1788822.99056.60.20(0.13, 0.29)Low High21110715.410453.40.21(0.15, 0.31)			Bendam Ritux (N=	imab	Veneto Ritux (N=1	imab			Venetoclax+	Bendamustine+
Risk Status (IvRS) Low  178  88  22.9  90  56.6  0.20  (0.13, 0.29)  Image: Constraint of the state sta	Randomization Strata		n		n				Rituximab	Rituximab
Low  178  88  22.9  90  56.6  0.20  (0.13, 0.29)  Her    High  211  107  15.4  104  53.4  0.21  (0.15, 0.31)  Her    Geographic Region (IVRS)	All Patients	389	195	17.0	194	53.6	0.21	(0.16, 0.27)		
United States/Canada    34    18    15.8    16    49.0    0.17    (0.06, 0.50)    Image: I										
	Australia/New Zealand Western Europe Central and Eastern Europe	86 131 130	42 65 66	24.5 17.1 15.5	44 66 64	53.4 54.7 53.5	0.23 0.19 0.21	(0.13, 0.41) (0.12, 0.30) (0.13, 0.33)		

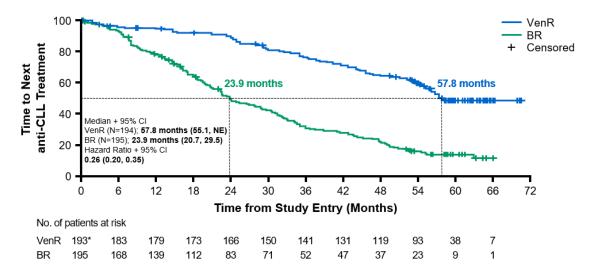
		Bendam Ritux (N=1	imab	Veneto Ritux (N=1	imab			Venetoclax+	Bendamustine+
Biomarker	Total n	n	Median (Months)	n	Median (Months)	Hazard Ratio	95% Wald Cl	Rituximab	Rituximab
All Patients	389	195	17.0	194	53.6	0.21	(0.16, 0.27)	<b>.</b>	
Chromosome 17p Deletion (by aCGH) Normal Abnormal	249 39	124 22	19.6 7.8	125 17	56.6 29.5	0.17 0.18	(0.12, 0.24) (0.07, 0.48)		
Chromosome 11q Deletion Normal Abnormal	217 125	105 64	22.1 15.7	112 61	53.7 53.8	0.24 0.16	(0.17, 0.34) (0.10, 0.26)	+ <b>■</b> +	
p53 Mutation Unmutated Mutated	276 99	132 51	20.5 12.9	144 48	54.7 37.4	0.18 0.26	(0.13, 0.24) (0.16, 0.43)	 ₩  ₩	
Baseline IgVH Mutation Status Mutated Unmutated	104 246	51 123	24.2 15.7	53 123	NE 52.2	0.14 0.19	(0.07, 0.26) (0.13, 0.26)	■+1   <b>■</b>	
Baseline Beta-2 Microglobulin <= 3.5 mg/L > 3.5 mg/L	123 252	59 127	16.3 18.7	64 125	NE 53.4	0.17 0.24	(0.11, 0.28) (0.18, 0.34)	H	
p53 Mutation and/or 17p Deletion (by aCGH) Unmutated Mutated	202 108	98 55	19.6 13.4	104 53	56.6 37.4	0.17 0.26	(0.11, 0.25) (0.16, 0.42)	⊦∎≓ ⊦ <sub>7</sub> ∎−1	
								,     	 
							1/	100	1 100

		Bendam Rituxi (N=1	imab	Veneto Ritux (N=1	imab			Venetoclax+	Bendamustine+
Baseline Characteristics	Total n	n	Median (Months)	n	Median (Months)	Hazard Ratio	95% Wald Cl	Rituximab	Rituximab
All Patients	389	195	17.0	194	53.6	0.21	(0.16, 0.27)	, i	
Renal Impairment Status Normal Mild Moderate	157 184 48	78 90 27	14.2 21.0 20.5	79 94 21	53.0 54.7 44.3	0.20 0.20 0.33	(0.13, 0.30) (0.13, 0.29) (0.16, 0.67)	╵ ┞╋┥ ┞╋┥	
Hepatic Impairment Status Normal Mild Moderate Severe	296 74 18 1	150 37 7 1	16.6 17.1 15.4 33.9	146 37 11	53.4 53.8 NE	0.18 0.28 0.21 NE	(0.13, 0.25) (0.15, 0.52) (0.05, 0.86) ( NE , NE )		
Rai Stage Stage 0 Stage 1 Stage 2 Stage 3 Stage 4	82 107 104 45 51	39 59 56 22 19	19.5 15.5 21.2 24.2 14.1	43 48 48 23 32	NE 56.6 53.5 53.7 44.6	0.20 0.13 0.19 0.30 0.32	(0.11, 0.37) (0.07, 0.23) (0.11, 0.33) (0.14, 0.65) (0.16, 0.64)		
Bulky Disease (Lymph Nodes with the Largest Dia < 5 cm >= 5 cm	m <b>eter)</b> 197 172	97 88	16.6 15.8	100 84	53.8 48.4	0.21 0.19	(0.14, 0.30) (0.13, 0.29)		
Bulky Disease (Lymph Nodes with the Largest Dia < 10 cm >= 10 cm	i <b>meter</b> ) 319 50	158 27	17.0 13.5	161 23	53.6 41.7	0.20 0.22	(0.15, 0.27) (0.10, 0.46)		
Number of Prior Regimens 1 2 >=3	228 101 60	117 43 35	16.4 21.2 12.9	111 58 25	54.0 53.5 48.5	0.18 0.27 0.22	(0.13, 0.26) (0.16, 0.45) (0.10, 0.47)		
Number of Prior Regimens 1 > 1	228 161	117 78	16.4 18.6	111 83	54.0 53.1	0.18 0.25	(0.13, 0.26) (0.17, 0.38)	⊢ ₩ <b>■</b> ₩ ₩₩	
Refractory vs. Relapse to Most Recent Prior Thera Refractory Relapse	59 330	29 166	13.6 18.6	30 164	31.9 53.8	0.34 0.19	(0.17, 0.66) (0.14, 0.25)	┟┼╼╌┤	
Response Duration to Most Recent Prior Therapy < 12 Months >= 12 Months	92 238	43 123	15.8 19.6	49 115	53.5 54.0	0.18 0.19	(0.10, 0.31) (0.14, 0.27)	⊢∎-1 ₩∎1	
p53 Mutation High Low Clone High Clone (> 20%) Low Clone (5% to =< 20%) Unmutated	66 33 276	36 15 132	11.1 15.2 20.5	30 18 144	36.0 47.9 54.7	0.31 0.21 0.18	(0.17, 0.56) (0.08, 0.53) (0.13, 0.24)		
							1/	100 1	100

aCGH, array comparative genomic hybridization; CI, confidence interval; IgHV, immunoglobulin heavy chain gene; IvRS, interactive voice response system;

NE, not estimable; PFS, progression-free survival; yr, year.

#### Supplemental Figure 3. Kaplan-Meier estimates of TTNT in the overall intent-



to-treat population.

TTNT is defined as time from initiation of BR or VenR to next anti-CLL treatment, or death (whichever occurs first).<sup>†</sup>1 patient omitted due to invalid date for commencement of follow-up therapy. BR, bendamustine-rituximab; CI, confidence interval; CLL, chronic lymphocytic leukemia; NE, not estimable; TTNT, time to next treatment; VenR, venetoclax-rituximab.

## Supplemental Figure 4. Forest plots for OS subgroup analyses: demographic; stratification factors; biomarkers; and

## baseline characteristics.

		Bendam Ritux (N=	imab	Veneto Ritux (N=1	imab			Venetocla×+	Bendamustine+
Demographic Subgroups	Total n	n	Median (Months)	n	Median (Months)	Hazard Ratio	95% Wald Cl	Rituximab	Rituximab better
All Patients	389	195	NE	194	NE	0.42	(0.27, 0.64)	H	
Age Group 65 (yr) < 65 >= 65	186 203	89 106	NE NE	97 97	NE NE	0.53 0.36	(0.28, 1.01) (0.20, 0.64)	, ,-∰-, ,-∰-,	
Age Group 75 (yr) < 75 >= 75	336 53	171 24	NE NE	165 29	NE NE	0.41 0.44	(0.26, 0.65) (0.16, 1.22)	⊢ H <b>≣</b> H ⊢■−	4
Sex Male Female	287 102	151 44	NE NE	136 58	NE NE	0.41 0.44	(0.25, 0.68) (0.19, 0.99)		
Race White Non-White	359 12	178 6	NE NE	181 6	NE NE	0.42 <0.01	(0.27, 0.66) (0.00, NE)	<   ↓ ↓ ↓ ↓ ↓	
		Bendamustine+ Rituximab (N=195)		Venetoclax+ Rituximab (N=194)			1/	100 Venetoclax+	1 100 Bendamustine+
Randomization Strata	Total <sup>–</sup> n	n	Median (Months)	n	Median (Months)	Hazard Ratio	95% Wald Cl	Rituximab	Rituximab
All Patients	389	195	NE	194	NE	0.42	(0.27, 0.64)	, H <b>ala</b> h	
Risk Status (IvRS) Low High	178 211	88 107	NE NE	90 104	NE NE	0.39 0.43	(0.20, 0.78) (0.25, 0.74)	  -∰-   -∰-	
Geographic Region (IvRS) United States/Canada Australia/New Zealand Western Europe Central and Eastern Europe Asia	34 86 131 130 8	18 42 65 66 4	NE NE 53.0 NE	16 44 66 64 4	NE NE NE NE	0.72 0.62 0.32 0.35 <0.01	(0.16, 3.24) (0.25, 1.55) (0.13, 0.75) (0.19, 0.67) (0.00, NE)		

1 100

1/100

		Bendamustine+ Rituximab (N=195)		Venetoclax+ Rituximab (N=194)				Venetocla×+	Bendamustine+
Biomarker	Total n	n	Median (Months)	n	Median (Months)	Hazard Ratio	95% Wald Cl	Rituximab	Rituximab better
All Patients	389	195	NE	194	NE	0.42	(0.27, 0.64)	H	
Chromosome 17p Deletion (by aCGH) Normal Abnormal	249 39	124 22	NE NE	125 17	NE 58.5	0.24 2.02	(0.13, 0.45) (0.61, 6.74)	⊦∎₁ ⊦	
Chromosome 11q Deletion Normal Abnormal	217 125	105 64	NE NE	112 61	NE NE	0.57 0.14	(0.34, 0.98) (0.05, 0.41)	┝╌╋╌┤	
p53 Mutation Unmutated Mutated	276 99	132 51	NE NE	144 48	NE NE	0.33 0.57	(0.19, 0.57) (0.27, 1.20)	╵ ┝╋╋┥ ┝┼╋╴	H
Baseline IgVH Mutation Status Mutated Unmutated	104 246	51 123	NE NE	53 123	NE NE	0.21 0.45	(0.07, 0.62) (0.27, 0.76)		
Baseline Beta-2 Microglobulin <= 3.5 mg/L > 3.5 mg/L	123 252	59 127	NE NE	64 125	NE NE	0.41 0.43	(0.18, 0.94) (0.26, 0.71)		1
p53 Mutation and/or 17p Deletion (by aCGH) Unmutated Mutated	202 108	98 55	NE NE	104 53	NE NE	0.25 0.66	(0.13, 0.50) (0.32, 1.34)	┝┼ <b>╋</b> ┼┤ ┝┼╋	
								, , , , , , , , , , , , , , , , , , , ,	
							1/	100	1 100

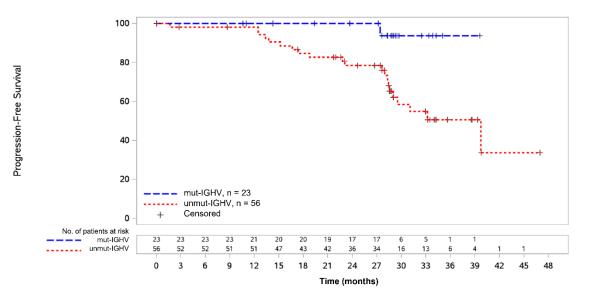
		Bendamustine+ Rituximab (N=195)		Venetoclax+ Rituximab (N=194)				Venetoclax+	Bendamustine+
Baseline Characteristics	Total n	n	Median (Months)	n	Median (Months)	Hazard Ratio	95% Wald Cl	Rituximab	Rituximab better
All Patients	389	195	NE	194	NE	0.42	(0.27, 0.64)		
Renal Impairment Status Normal Mild Moderate	157 184 48	78 90 27	NE NE	79 94 21	NE NE	0.40 0.40 0.51	(0.21, 0.79) (0.21, 0.74) (0.15, 1.73)		-
Hepatic Impairment Status Normai Mild Moderate Severe	296 74 18 1	150 37 7 1	NE NE NE 48.5	146 37 11	NE NE NE	0.50 0.22 0.37 NE	(0.31, 0.81) (0.07, 0.65) (0.02, 5.85) ( NE , NE )		
Rai Stage Stage 0 Stage 1 Stage 2 Stage 2 Stage 3 Stage 4	82 107 104 45 51	39 59 56 22 19	NE NE NE 54.5	43 48 48 23 32	NE NE NE NE NE	0.31 0.59 0.22 0.49 0.43	(0.11, 0.89) (0.27, 1.29) (0.07, 0.65) (0.16, 1.48) (0.17, 1.08)		4
Bulky Disease (Lymph Nodes with the Largest Dia <5 cm >= 5 cm	meter) 197 172	97 88	NE NE	100 84	NE NE	0.33 0.57	(0.18, 0.61) (0.31, 1.04)	H <b>a</b> ti	
Bulky Disease (Lymph Nodes with the Largest Dia <10 cm >= 10 cm	meter) 319 50	158 27	NE 47.8	161 23	NE NE	0.45 0.39	(0.27, 0.73) (0.16, 0.96)	, <b>*</b>	
Number of Prior Regimens 1 2 >=3	228 101 60	117 43 35	NE NE 50.7	111 58 25	NE NE	0.30 0.63 0.43	(0.16, 0.57) (0.30, 1.31) (0.16, 1.12)		-1
Number of Prior Regimens 1 > 1	228 161	117 78	NE NE	111 83	NE NE	0.30 0.53	(0.16, 0.57) (0.30, 0.95)		
		Bendam Ritux (N=	imab	Ritu	oclax+ kimab 194)		1/1	100 1 Venetoclax+	100 Bendamustine+
Baseline Characteristics	Total n	n	Median (Months)	n	Median (Months)	Hazard Ratio	95% Wald Cl	Rituximab better	Rituximab better
All Patients	389	195	NE	194	NE	0.42	(0.27, 0.64)	⊢∎	
Refractory vs. Relapse to Most Recent Prior Thera Refractory Relapse	1 <b>py</b> 59 330	29 166	NE NE	30 164	NE NE	0.71 0.35	(0.29, 1.76) (0.22, 0.58)	┝╋┥	
Response Duration to Most Recent Prior Therapy < 12 Months >= 12 Months	92 238	43 123	NE NE	49 115	NE NE	0.31 0.38	(0.12, 0.80) (0.21, 0.66)		
<b>p53 Mutation High Low Clone</b> High Clone (> 20%) Low Clone (5% to =< 20%) Unmutated	66 33 276	36 15 132	NE NE	30 18 144	NE NE NE	0.54 0.62 0.33	(0.22, 1.33) (0.17, 2.32) (0.19, 0.57)		
							1	/10 3/10	1 3 10

aCGH, array comparative genomic hybridization; CI, confidence interval; IgHV, immunoglobulin heavy chain gene; IvRS, interactive voice response system;

NE, not estimable; OS, overall survival; yr, year.

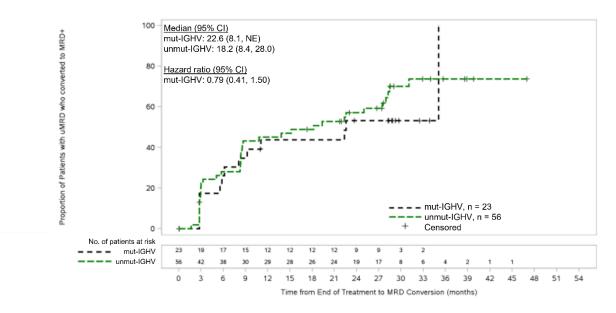
## Supplemental Figure 5. Kaplan–Meier estimates by IGHV status amongst

## patients in the VenR arm.

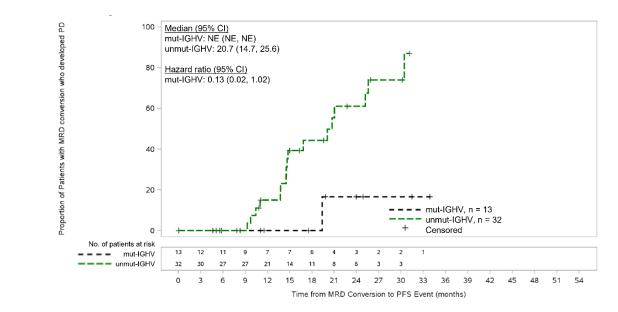


(A) Investigator-assessed PFS from EOT in patients with uMRD status at EOT

(B) Time from EOT to MRD conversion in patients with uMRD status at EOT



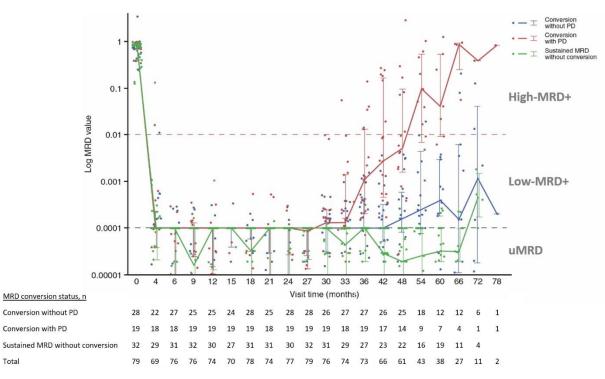
#### (C) Time from MRD conversion to PFS event



CI, confidence interval; EOT, end of treatment; IGHV, immunoglobulin heavy chain gene; MRD, minimal residual disease; mut, mutated; NE, not evaluable; PD, progressive disease; PFS, progression-free survival; uMRD, undetectable minimal residual disease; unmut, unmutated; VenR, venetoclax-rituximab.

Supplemental Figure 6. MRD conversion plot by conversion/PD status (patients completing 2 years of VenR, with uMRD at

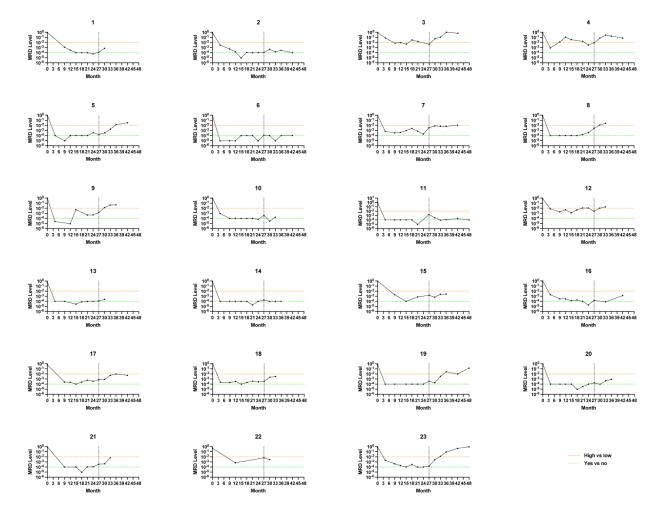
EOT [n = 83]).



MRD shown as median and interquartile range. uMRD <1 CLL cell/10,000 leukocytes. EOT, end of treatment; MRD, minimal residual disease; PD,

progressive disease; uMRD, undetectable minimal residual disease; VenR, venetoclax-rituximab.

#### Supplemental Figure 7. Pre-EOT MRD levels for the 23 patients with low-MRD+ at EOT.



Green line represents threshold for uMRD status ( $<10^{-4}$ ); orange line represents threshold for low-MRD+ ( $\le10^{-4}$  to  $<10^{-2}$ ) to high-MRD+ ( $\ge10^{-2}$ ). EOT, end of treatment; MRD, minimal residual disease.