

Utilisation and costs of mental health-related service use among adolescents

--Manuscript Draft--

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Full Title:	Utilisation and costs of mental health-related service use among adolescents
Short Title:	Adolescent mental health service use and costs
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Keywords:	Service Utilisation, Mental Health, Adolescence, Health Economics
Abstract:	<p>Background: The high burden of care for adolescents with mental health disorders represents a challenge to the public sector, especially in low and middle-income countries. We aimed to estimate the costs to the public purse of health, education, criminal justice and social care service use associated with psychiatric disorders among adolescents in Brazil; and to examine whether the trajectory of psychopathology and its impact on daily life, and parental stigma towards mental illness may be associated with service utilisation and costs.</p> <p>Methods: Data on reported service use among adolescents from a prospective community cohort (n=1,400) were combined with Brazilian unit costs. Logistic regression and generalised linear models were used to examine predictors of service use and associated costs, respectively.</p> <p>Results: Twenty-two percent of those who presented with a psychiatric disorder used some type of service for their mental health in the previous twelve months. Higher odds of service use were associated with having a diagnosed mental disorder (either incident [OR=2.49, 95%CI=1.44-4.30, p=0.001], remittent [OR=2.16, 95%CI=1.27-3.69, p=0.005] or persistent [OR=3.01, 95%CI=1.69-5.36, p<0.001]), higher impact of symptoms on adolescent's life (OR=1.32, 95%CI=1.19-1.47, p<0.001) and lower parental stigma toward mental illness (OR=1.12, 95%CI=1.05-1.20, p=0.001). Average annual cost of service use was 527.14 USD (s.d.= 908.10). Higher cost was predicted by higher disorder impact (b=0.25, 95%CI=0.12-0.39, p<0.001), lower parental stigma (b=0.12, 95%CI=0.02-0.23, p=0.020) and white ethnicity (b=0.55, 95%CI=0.04-1.07, p=0.036). Conclusion: The impact of emotional and behavioural symptoms on adolescents' lives and parental stigmatising attitudes toward mental illness were the main predictors both of service use and costs.</p>
Order of Authors:	<p>Carolina Ziebold</p> <p>Wagner Silva-Ribeiro</p> <p>Derek King</p> <p>David McDaid</p> <p>Maurício Hoffmann</p> <p>Renee Romeo</p> <p>Pedro Pan</p> <p>Euripides Miguel</p> <p>Rodrigo Bressan</p> <p>Luis Augusto Rohde</p> <p>Giovanni Salum</p> <p>Jair Mari</p> <p>Sara Evans-Lacko</p>
Response to Reviewers:	We appreciate the careful revision of our manuscript and the comments of the

reviewers. We are pleased to be invited to submit the revised version of our paper to PLOS ONE.

Please find attached both an unmarked version of the revised manuscript and one version with changes marked in red. Our point-by-point responses to the reviewers' comments (unquoted italics) and details of the changes we have performed to our revised manuscript are given below.

Reviewer #1:

General Comment: Very relevant and interesting study. Well written paper, I found it pleasant to read. I would recommend some minor adjustments

Response: We appreciate your positive feedback, the careful revision of our manuscript and your comments.

Comment 1: Abstract- When only reading the abstract, the distinction between incident, remittent and persistent disorder in the Results section is a bit confusing. For the abstract, I would recommend rewriting this sentence for example: "Higher odds of service use were associated with having a diagnosed mental disorder (either incident, remittent or persistent), higher impact of symptoms etc."

Response: Thank you for your comment. We have rewritten this sentence as follows: Higher odds of service use were associated with having a diagnosed mental disorder (either incident [OR=2.49, 95%CI=1.44-4.30, p=0.001], remittent [OR=2.16, 95%CI=1.27-3.69, p=0.005] or persistent [OR=3.01, 95%CI=1.69-5.36, p<0.001]), higher impact of symptoms..

Comment 2: Introduction- This study focuses on the economic cost of mental disorders in young people (line 52). Therefore, it should be better introduced why, in addition to (mental) health services, also education, criminal justice and social care services were investigated.

Response: We have edited the introduction as follows:

The high prevalence and potentially enduring nature of these impacts make addressing youth mental health conditions particularly important, but this is a challenge for public systems with limited resources (Knapp M; Evans-Lacko S, 2015). Economic costs associated with youth mental health conditions involve a wide range of sectors including health, educational, social care, and criminal justice services [9,10]. This can represent a substantial cost to the public purse, yet it could also be considered a wise investment given the evidence that effective treatment can mitigate the impact of poor mental health (Knapp et al., 2011).

Comment 3: Line 55: male gender is mostly not associated with higher use of mental health services. Please specify the association between these factors and specific services.

Response: We appreciate your suggestion. We have edited this paragraph in the revised version of the manuscript:

Some studies from high-income countries suggest that lower socioeconomic status, as well as clinical features (illness severity and impact of disorders) are associated with use of health, special education, and social care services, while male gender and older age are associated with more criminal justice services contacts [11,13,14]. These sociodemographic and clinical characteristics are also associated with greater mental health-related treatment costs among young people [11,14,15]

Comment 4: Methods: Data and participants. I understand that not all information about the Brazilian High-Risk Cohort was included in this paper. I would want to know, however, based on what information the children became part of this high risk cohort. Are they COPMI?

Response: Thanks for the important point you raised. We have added information in the methods on the Brazilian High-Risk cohort sampling procedures as follows: This study is nested within the Brazilian High-Risk Cohort (BHRC), which is an ongoing prospective longitudinal study that comprises a community sample and a high-risk sub-sample (a sample at increased risk of mental disorders) of young people from Sao Paulo and Porto Alegre, Brazil. A detailed description of the sample and procedures can be found elsewhere [25]. Briefly, during the registry day, 12,500 parents of young people aged 6 to 14 years attending 57 schools (22 in Porto Alegre and 35 in São Paulo) were invited to a screening of mental health disorders using the Family History

Screen (FHS) [26]. A total of 8,012 families (9,937 eligible children, 45,394 family members) were interviewed. Based on the percentage of members in the family that screened positively for psychiatric disorders, an index of family load for each potential eligible child was computed. The final cohort comprised 2,511 young people; 957 were randomly selected, and 1,554 were a sub-sample at increased risk of mental disorders based on the FHS.

Comment 5: Methods: Measures. Why only maternal educational level?

- Furthermore, this paragraph forms a clear description of appropriate measures.

Response: As stated in the methods section, the socioeconomic group variable comprised head of household educational level in addition to other household socioeconomic indicators. As some research suggests that mothers educational level is particularly important for recognition and help-seeking, we also included this variable as a separate indicator. As the vast majority of caregiver respondents were mothers (in 93% of cases the biological mother [information included in the revised manuscript]) we focused on maternal education rather than estimating the educational level of other caregivers.

Comment 6: Results. Very clear description and informative tables.

Response: Thank you very much for your positive feedback.

Comment 7: Discussion- Line 325: "We found that the health sector was clearly the main sector providing mental health care for youth." That's quite obvious. I would recommend rewriting this, for example: "We found that the health sector was clearly the main sector accessed by youth with mental disorders."

Response: Thank you very much for your suggestion. We rewrote this sentence as follows:

We found that the health sector was clearly the main sector accessed by youth with mental disorders.

Comment 8: In the present study, only 20% of young people with a diagnosed mental disorder received any form of care. In addition to reducing inequality in service use among children, these data also argue for lowering barriers to care for young people in general. I would recommend stating this in the conclusion as well.

Response: Thanks for your suggestion. We have edited the first paragraph of the conclusions as follows:

Our findings suggest that the main drivers of health-related service use costs among adolescents in Brazil were impact of mental health problems, in addition to lower stigma toward people with mental illness among guardians and White ethnicity. In the present study, only 22.4% of young people with a diagnosed mental disorder received any form of care. In addition to reducing inequality in service use among children, our findings also argue for lowering barriers to care, in particular addressing caregiver stigma. Furthermore, because lower use of services in adolescence may be associated with worse outcomes across the life course [47], it is needed to further explore measures to reduce inequalities in service utilisation by young people, even though this implies higher short-term costs.

Comment 9: Line 329: "The lack of youth-oriented primary care mental health programmes". Is this also the reason why GP's/family doctors were less frequently visited?

Response: We appreciate your comment, and we agree with your interpretation of this result. We have edited the referred sentence:

The lack of youth-oriented primary care mental health programmes limits access to treatment when symptoms start to have an impact on adolescent functioning. This can explain why we found a low rate of mental health-related contacts with GP/ family doctors. As a result, contact with specialist mental health services only happens when the disorder has significant negative impact on the lives of young people.

Comment 10: Line 359-361: this reads like the impact of mental health problems on children's lives should be increased because it would support help-seeking. Please, rewrite.

Response: We have rewritten this paragraph:

Guardian's lower stigmatising attitudes towards mental disorders may be crucial to support young people in accessing, engaging and maintaining contact with mental

health-related services. Various anti-stigma interventions have demonstrated effectiveness for improving help-seeking [49], but few have been implemented in LMICs. Further studies are needed to design and implement anti-stigma interventions in LMICs. On the other hand, health and education policies need to better support guardians to access appropriate and timely services in their communities, before the symptoms have a significant impact on adolescent functioning.

Comment 11: Line 363: effectiveness should be effective

Response: Thank you very much, we have corrected this error.

Comment 12: In future research, it would be interesting to not only assess parental stigma but also stigma among the adolescents themselves.

Response: We agree with you, and we are planning to evaluate the association between mental health-related service use and youth stigma towards mental illness in future cohort's assessments.

Reviewer #2:

General comment: It's good to see more representative research from LMICs, trying to bridge the existing knowledge gap. This study's most significant plus point is that it looks at service use and service cost from multiple angles, shedding light on demographic, clinical and systemic factors that contribute to service use cost. However, this manuscript does require significant improvement in language and content. Here are my main suggestions:

Response: We appreciate your positive opinion of our work, the careful revision of our manuscript and your valuable comments.

Comment 1: The language of the manuscript can be crisper. Multiple places sentences look disjointed or elongated. The paragraphs are changed too frequently in some places, with each of these paragraphs containing only one or two sentences.

Response: Thanks for your comment. We have revised and edited the language through the manuscript.

Comment 2: Introduction: In line 57, please clarify whether by 'education services' authors mean remedial education services or some other kind of services?

Response: Thanks for your comment. We have indicated 'special education' in the revised version of the manuscript.

Comment 3: Introduction: The lines 55-58 are difficult to follow: authors claim that certain demographic and clinical characteristics are associated with a greater likelihood of using certain services as per existing research. However, it's not clear how this connects with the assertion about young people in the same sentence.

Response: Thanks for your comment. We have edited and separated these sentences: Some studies from high-income countries suggest that lower socioeconomic status, as well as clinical features (illness severity and impact of disorders) are associated with use of health, special education, and social care services, while male gender and older age are associated with more criminal justice service contacts [11,13,14]. These sociodemographic and clinical characteristics are also associated with greater mental health-related treatment costs among young people [11,14,15].

Comment 4: Introduction: The importance of studying parental stigma needs to be built better.

Response: We appreciate your suggestion. We have included the following changes: Families also play a central role in young people's contact with services. One study from the UK found that lower mental illness-related stigma among caregivers was associated with an increased likelihood of young people's mental health service use [16]. Stigmatising attitudes toward mental illness amongst parents may influence service contacts due to shame and fears of labelling their child's mental health condition [16]. There are clear links between stigma and reduced help-seeking [17], reduced adherence to treatment and early withdrawal from services [17,18]. However, little is known about/ how parental stigma could impact on young people service use and costs.

Comment 5: Introduction: I'm not sure what is meant by 'beyond diagnosis', are authors implying the existing studies cover the cost of diagnosis only or for limited kinds of disorders. Some clarification here would be helpful.

Response: Thanks for your suggestion. We have edited this sentence as follows: Additionally, little is known about how, in addition to the type of disorder, whether persistence of psychopathology from childhood to adolescence, disorders' impact on adolescent's daily life (i.e., functioning), and key barriers to care such as stigma, could influence costs.

Comment 6: Introduction: The way lines 72-73 are written makes it sound like Brazil is a high-income country

Response: We appreciate your comment. We have deleted 'Similar to most high income countries' in the revised version of the manuscript.

Comment 7: Introduction: In line 88, it's unclear what characteristics the authors are referring to and whether the following hypothesis is related to a subset of these characteristics?

Response: We have rewritten this sentence to clarify the characteristics under study: Second, we examine how costs vary according to: mental health trajectories, impact of the disorder on everyday life, and parent/guardian stigma towards mental illness.

Comment 8: Methods: In line 96, some information on how these children were classified as high risk will be helpful. The authors have said the details are somewhere else, but a brief description here will make it easier for the reader to understand the sample.

Response: Thanks for your suggestion. As explained in response to Reviewer 1's comment 4, we have included a brief description of the Brazilian High-Risk Cohort sampling procedures.

Comment 9: Methods: In line 99, it was slightly hard to follow study timelines. Was this study carried out after the first follow-up in 2014-2015 or as part of the follow-up?

Response: We have tried to clarify this including the following information: Cohort participants were interviewed at baseline (aged 6-14 years, calendar year:2010-2011, n=2,511), and at first follow-up (N=2010, aged 9-17 years, calendar year 2014). After completing the BHRC first follow-up interview, 1,881 parents/guardians were invited to respond to a supplementary interview which included a comprehensive assessment of mental health related service use (calendar year: 2014-2015, young people participants aged 10-18 years).

Comment 10: Methods: The authors can use consistent terminology: children or young people. As of now, this has varied from one sentence to another.

Response: Thanks for your comment. We have revised and edited the methods section in order to use consistently the term young people.

Comment 11: The '!' in line 102 seems typo.

Response: We appreciate your comment. We have deleted this typo.

Comment 12: Methods: In lines 127-130, it's unclear why young people were not interviewed at baseline but were included during the 3-year follow-up?

Response: This was because participants were younger at baseline and so we relied on parent's report, given limitations in funding and resources. Given that older adolescents are better at reporting internalising symptoms, both guardian and youth interviews were performed at 3-year follow-up. We included this explanation in the revised version of the manuscript:

At baseline, diagnostic assessment and interviews were performed with guardians only. Previous literature has found that self-reports on internalising conditions during adolescence is higher compared with parental report. This can be explained because internalising problems, such as anxiety or depression, would be less observable by guardians, being advisable to consider both reports to reach a reliable evaluation of adolescent mental health [30,31]. For this reason, diagnostic assessment at 3-year follow-up was performed considering guardian reports and additional information from interviews with the young people about internalising conditions.

Comment 13: Methods: Do authors have any psychometric properties of the adapted version of Service Assessment for Children and Adolescents that can be reported in this publication?

Response:

The parent-report SACA has been shown to be a valid measure of young people's service use ($\kappa = 0.76$; [Hoagwood et al., 2000]) with test-retest reliability for past-year reports (ranging from 0.75 to 0.86; [Horwitz et al., 2001]). We have not assessed the psychometric properties of the adapted version of the Service Assessment for Children and Adolescents for Brazilian participants yet. We have included this limitation in the revised version of the manuscript.

Comment 14: Results: In line 224, the authors refer to Table 1. However, without any commentary on the significance of data in this table, the authors jump to a new set of findings. All this makes it slightly hard to follow what is being presented.

Response: We appreciated your comment. We have edited this paragraph:

Table 1 describes sociodemographic and clinical characteristics of participants. The sample comprised 1,400 adolescents with a mean age of 14 years ($s.d=1.98$). The majority were white males from low SEG, and only 10% of mothers had university education. 23.3% ($n= 326$) of adolescents had a psychiatric disorder in the previous 12 months, of which 177 (54.3%) were incident and 149 (45.7%) persistent cases since baseline. 213 (15.2%) participants had remitted from a baseline psychiatric diagnosis. Participants with externalising disorders were more likely to have persistent trajectories ($RR=2.19$, $95\%CI=1.38-3.48$, $p<0.001$). Participants categorised as persistent also reported greater disorder impact ($=2.34$, $95\%CI=2.11-2.58$, $p<0.001$). 22.4% of those who presented with a psychiatric disorder reported using some type of service for their mental health in the previous twelve months. The proportion of service use among those who presented a persistent psychiatric condition was 27%. Table 1 also describes the mean costs of mental health-related service use in the past year, by psychiatric trajectory (from no diagnosis to persistent psychiatric diagnosis). Bivariate analyses showed a non-significant association between psychiatric trajectory and mean annual costs.

Comment 15: The 12-month service use and service use cost means are presented in Tables 1 and 2. Repeating the same findings across two tables should be avoided
Response: We have deleted the last line of Table 2 (overall services cost).

Comment 16: The paragraph on page 12 lacks a description of the cost associated with each service? For e.g., although CAPS is not a highly prevalent service, the associated cost makes for a lion contribution to the public purse. This needs to be presented and discussed.

Response: Thank you very much for your suggestion. We edited this paragraph as follows:

Utilisation of mental health services in the previous 12 months and associated cost by type of service are presented in Table 2. Overall, 10.0% of the sample ($n=143$) used some sort of health, education, criminal justice or social care service for mental health problems. Disaggregating by sectors, the health sector had highest proportion of service users (9%), while the education and social care and criminal justice sectors were less frequently contacted with a 1.8% and 1.3% of users, respectively. Outpatient mental health services, most notably psychologists and psychiatrists in settings other than community mental health clinics, were the most frequently used services/professionals. Inpatient services and general health services such as GP/family doctor, paediatrician and emergency department, were less frequently used. In the education sector, school assistant was the most type of service used by young people, while guardianship council was the most frequently social care service contacted. The total cost of 12-month mental health-related service use for the public purse was 70,110.23 USD. The sector that presented higher total annual cost was the health sector, followed by the education and finally the social care and criminal justice sectors. The services that generated the greatest total costs for the health sector were psychologist (11,339.64 USD) and CAPS (9,628.01 USD). Among those who used services, the average annual cost of service use amounted to 527.14 USD ($SD=908.10$ USD, $range=8.77-7,605.58$ USD, $median=221.10$ USD, $interquartile\ range=545.28$) per user. Individuals using CAPS (specialty mental health) services (1.1% of the sample) had the highest mean number of visits during the previous year and the highest associated costs among health services. The second highest mean

costs in the health sector were related to hospitalizations in psychiatric hospitals and alcohol and drugs clinics, while the lowest mean costs were attributed to emergency department, paediatrician, outpatient alcohol and drugs and GP/family doctor contacts. Although only 0.1% of individuals used shelters, this type of social service had the highest associated mean cost. Education services were used by 1.8% of individuals and these services had the second highest associated mean costs.

Comment 17: Discussion: In line 288, the use of the terms 'above and beyond' doesn't convey much. To the best of my knowledge, the current analysis nowhere helps to reach this conclusion of above and beyond. I am requesting authors to look at terminology closely.

Response: Thanks for your suggestion. We have removed this language.

We found that impact of mental health problems on daily life and parental stigma were the most consistent and robust drivers of mental health service use and associated costs..

Comment 18: Discussion: Lines 301-312 can be streamlined and better organised.

Response: We have edited the cited lines as follows:

We did not find any study exploring the impact of parental stigmatising attitudes toward mental illness on child treatment costs. Other research has shown that parental stigma can impede problem recognition and help-seeking [17,43]. Higher stigma amongst parents and caregivers may discourage or delay service access for their children [16], which may reduce the short-term public sector direct costs of treatment but be detrimental in the long run. Future research needs to further explore the mechanisms through which parental stigma may be related to service/treatment selection and treatment adherence, in order to explain its impact on treatment costs. Moreover, as lower parental stigma may facilitate earlier service contact, it would be interesting to investigate if lower parental stigma may result in lower costs in the longer term.

Comment 19: Discussion: Line 327: The number of CAPS users was less, but the number of visits and costs for those who used it were very high. These were not reflected in the discussion, nor were its implication for the restructuring health system.

Response: We appreciate your comment. We have edited the discussion as suggested:

In Brazil, access to CAPS does not require any referral. However, the number of CAPS services are limited, and they are focused on treatment of severe mental disorders [24]. The high costs incurred by the mental health sector for the treatment of psychiatric disorders in CAPS may be a result of both, the severity of patients consulting these services and because these services provide intensive outpatient treatments (reflected by the highest number of visits we found), which is costly compared with no-specialized services. It is important to highlight that the lack of youth-oriented primary care mental health services in Brazil which limits access to treatment. This could explain why we found low frequency of mental health-related contacts with GP/ family doctors. As a result, contact with specialist mental health services only happens when the disorder has significant negative impact on the lives of young people. (Moved from the conclusion as suggested in your last comment). In this sense, the organisation of a mental health network of care for adolescents, integrating primary care, social care, education, criminal justice and community youth-specialist services, according to the impact of cases, must be considered in Brazil to adequately plan and allocate scarce public budgets [47].

Comment 20: Discussion: The hypothesis stated that researchers were interested in examining the impact of persistence of psychiatric disorders from childhood to adolescence on service costs; however, the discussion did not give much attention to this part.

Response: Thanks for rising this important comment. We have included the following paragraph:

Contrary to what we expected, we did not find an association between disorder persistence and costs. Our analyses instead found that impact of the disorder on adolescent's life was the most important clinical predictor and that this was what seemed to drive service use rather than type or persistence of diagnosis.

Nevertheless, it is important to consider that we have estimated annual costs, and these do not necessarily reflect the cumulative economic costs of persistent cases across childhood and adolescence.

	<p>Comment 21: Conclusion: Some of the text in the last paragraph of the conclusion, i.e. those referring to implications, can be moved to discussion and expanded further. I'm not able to comment on cost analysis as this is not my area of expertise. Response: Thanks for your suggestions, we have moved some conclusions to the discussion as explained in response to your Comment #20.</p>
<p>Additional Information:</p>	
<p>Question</p>	<p>Response</p>
<p>Financial Disclosure</p> <p>Enter a financial disclosure statement that describes the sources of funding for the work included in this submission. Review the submission guidelines for detailed requirements. View published research articles from PLOS ONE for specific examples.</p> <p>This statement is required for submission and will appear in the published article if the submission is accepted. Please make sure it is accurate.</p> <p>Unfunded studies Enter: <i>The author(s) received no specific funding for this work.</i></p> <p>Funded studies Enter a statement with the following details:</p> <ul style="list-style-type: none"> • Initials of the authors who received each award • Grant numbers awarded to each author • The full name of each funder • URL of each funder website • Did the sponsors or funders play any role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript? • NO - Include this sentence at the end of your statement: <i>The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.</i> • YES - Specify the role(s) played. <p>* typeset</p>	<p>The research presented in this article was funded by the European Research Council under the European Union's Seventh Framework Programme (FP7/2007-2013)/ERC grant agreement no 337673, and supported by the National Institute of Developmental Psychiatry for Children and Adolescents, a science and technology institute funded by Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq; National Council for Scientific and Technological Development; grant numbers 573974/2008-0 and 465550/2014-2) and Fundação de Amparo à Pesquisa do Estado de São Paulo (FAPESP grant number 2008/57896-8 and 2014/50917-0). SEL receives support from the UK Medical Research Council in relation to the Mentalkit-Brazil project (MR/R022763/1) and the Economic and Social Research Council. CZ received a doctoral scholarship and research abroad scholarship by the Fundação de Amparo à Pesquisa do Estado de São Paulo (grant number 2018/05586-7 and 2019/08731-0). MSH was supported by the Newton International Fellowship (Ref: NIFR1\181942), awarded by the Academy of Medical Sciences through the UK Government's Newton Fund Programme. The funding organisations had no role in the study design; collection, analysis or interpretation of data; in the writing of this article; or in the decision to submit the article for publication.</p>
<p>Competing Interests</p> <p>Use the instructions below to enter a competing interest statement for this submission. On behalf of all authors, disclose any competing interests that</p>	<p>All authors report no conflict of interest associated with this publication. Luis Augusto Rohde has received grant or research support from, served as a consultant to, and served on the speakers' bureau of Aché, Bial, Medice, Novartis/Sandoz, Pfizer/Upjohn, and Shire/Takeda in the last three years. The ADHD and Juvenile Bipolar Disorder Outpatient Programs chaired by Dr Rohde have received unrestricted educational and research support from the following pharmaceutical companies in the last three years:</p>

<p>could be perceived to bias this work—acknowledging all financial support and any other relevant financial or non-financial competing interests.</p> <p>This statement is required for submission and will appear in the published article if the submission is accepted. Please make sure it is accurate and that any funding sources listed in your Funding Information later in the submission form are also declared in your Financial Disclosure statement.</p> <p>View published research articles from PLOS ONE for specific examples.</p> <p>NO authors have competing interests</p> <p>Enter: <i>The authors have declared that no competing interests exist.</i></p> <p>Authors with competing interests</p> <p>Enter competing interest details beginning with this statement:</p> <p><i>I have read the journal's policy and the authors of this manuscript have the following competing interests: [insert competing interests here]</i></p> <p>* typeset</p>	<p>Novartis/Sandoz and Shire/Takeda. Dr Rohde has received authorship royalties from Oxford Press and ArtMed. None of these commercial relationships alter our adherence to PLOS ONE policies on sharing data and materials.</p>
<p>Ethics Statement</p> <p>Enter an ethics statement for this submission. This statement is required if the study involved:</p> <ul style="list-style-type: none"> • Human participants • Human specimens or tissue • Vertebrate animals or cephalopods • Vertebrate embryos or tissues • Field research <p>Write "N/A" if the submission does not require an ethics statement.</p>	<p>This research was carried out in accordance with the latest version of the Declaration of Helsinki This research was carried out in accordance with the latest version of the Declaration of Helsinki. Parental written informed consent was obtained from all the research subjects. Young people provided verbally informed assent (documented as part of the consent form, and witnessed by the interviewer), and those who were able to read and write also provided written consent. All procedures were approved by the Ethics Committee of the Federal University of São Paulo-UNIFESP (N° 2.879.533 and - CAAE 06457219.9.0000.5505), Hospital de Clínicas de Porto Alegre (CAAE 06457219.9.3001.5327) and the European Research Commission</p>

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- Give the name of the institutional review board or ethics committee that approved the study
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- Include an approval number if one was obtained
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Access to data and Data sharing: CZ have full access to all the data used in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. Data were provided by the Brazilian High-Risk Cohort study and are available upon request in the Open Science Framework public repository (<https://osf.io/ktz5h/>).

XXX Institutional Data Access / Ethics Committee (contact via XXX) for researchers who meet the criteria for access to confidential data.

The data underlying the results presented in the study are available from (include the name of the third party and contact information or URL).

- This text is appropriate if the data are owned by a third party and authors do not have permission to share the data.

* typeset

Additional data availability information:

Utilisation and costs of mental health-related service use among adolescents

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21 **Abstract**

22 **Background:** The high burden of care for adolescents with mental health disorders represents
23 a challenge to the public sector, especially in low and middle-income countries. We aimed to
24 estimate the costs to the public purse of health, education, criminal justice and social care
25 service use associated with psychiatric disorders among adolescents in Brazil; and to examine
26 whether the trajectory of psychopathology and its impact on daily life, and parental stigma
27 towards mental illness, may be associated with service utilisation and costs.

28 **Methods:** Data on reported service use among adolescents from a prospective community
29 cohort (n=1,400) were combined with Brazilian unit costs. Logistic regression and generalised
30 linear models were used to examine predictors of service use and associated costs, respectively.


31 **Results:** Twenty-two percent of those who presented with a psychiatric disorder used some type
32 of service for their mental health in the previous twelve months. Higher odds of service use
33 were associated with having a diagnosed mental disorder (either incident, [OR=2.49,
34 95%CI=1.44-4.30, p=0.001], remittent [OR=2.16, 95%CI=1.27-3.69, p=0.005] or persistent
35 [OR=3.01, 95%CI=1.69-5.36, p<0.001]), higher impact of symptoms on adolescent's life
36 (OR=1.32, 95%CI=1.19-1.47, p<0.001) and lower parental stigma toward mental illness
37 (OR=1.12, 95%CI=1.05-1.20, p=0.001). Average annual cost of service use was 527.14 USD
38 (s.d.= 908.10). Higher cost was predicted by higher disorder impact ($\beta=0.25$, 95% CI=0.12-0.39,
39 p<0.001), lower parental stigma ($\beta=0.12$, 95%CI=0.02–0.23, p=0.020) and white ethnicity
40 ($\beta=0.55$, 95%CI=0.04–1.07, p=0.036). **Conclusion:** The impact of emotional and behavioural
41 symptoms on adolescents' lives and parental stigmatising attitudes toward mental illness were
42 the main predictors both of service use and costs.

43 **Key words:** Service Utilisation, Mental Health, Adolescence, Health Economics

44 **Introduction**

45 Mental health conditions affect 13.4% of children and adolescents globally, representing
46 the leading cause of disability in this age group [1]. They can have long-term impacts on health
47 and social outcomes into adulthood [2–7]. The high prevalence and potentially enduring nature
48 of these impacts make addressing youth mental health conditions particularly important, but
49 this is a challenge for public systems with limited resources [8]. Economic costs associated with
50 youth mental health conditions involve a wide range of sectors including health, educational,
51 social care, and criminal justice services [9,10]. This can represent a substantial cost to the
52 public purse, yet it could also be considered a wise investment given the evidence that effective
53 treatment can mitigate the impact of poor mental health [2]. Estimating the economic cost of
54 mental disorders in young people from the perspective of the public purse and understanding
55 which factors are associated with these costs could support more effective and efficient policy
56 planning and care delivery [8,11,12].

57 Some studies from high-income countries suggest that lower socioeconomic status, as
58 well as clinical features (illness severity and impact of disorders) are associated with use of
59 health, special education, and social care services, while male gender and older age are
60 associated with more criminal justice services contacts [11,13,14]. These sociodemographic
61 and clinical characteristics are also associated with greater mental health-related treatment costs
62 among young people [11,14,15]. Families also play a central role in young people's contact
63 with services. One study from the UK found that lower mental illness-related stigma among
64 caregivers was associated with an increased likelihood of young people's mental health service
65 use [16]. Stigmatising attitudes toward mental illness amongst parents may influence service
66 contacts due to shame and fears of labelling their child's mental health condition [16]. There
67 are clear links between stigma and reduced help-seeking [17], reduced adherence to treatment

68 and early withdrawal from services [17,18]. However, little is known about how parental
69 stigma could impact on young people service use and costs. 

70 Most costing studies have focused on a single disorder, commonly autism, attention
71 deficit hyperactivity disorder or conduct disorders [10]. Additionally, little is known about how,
72 in addition to the type of disorder, whether persistence of psychopathology from childhood to
73 adolescence, disorders' impact on adolescent's daily life (i.e. functioning), and key barriers to
74 care such as stigma, could influence costs.

75 There are a limited number of studies reporting on prevalence of mental health service
76 use in low and middle-income countries (LMICs) [19–21], however, none use validated service
77 use measures. Moreover, prevalence of any use does not capture the intensity of use (e.g.
78 number or type of visits) needed to understand the economic impact of child mental health
79 problems. From a global mental health perspective, examining this issue in a LMIC context,
80 where resources are scarce, is of major significance. Brazil provides universal access to health
81 services and education for the entire population that is free at the point of use, while private
82 health care and education are used by about 20% of the population [22–24]. Estimating the
83 economic cost of mental disorders among young people to the public purse, and understanding
84 which factors are associated with these costs in Brazil is essential for public policy planning,
85 specifically to optimise investment. This approach could also be of value for similar health and
86 welfare systems.


87 Furthermore, examining the variation in costs according to clinical characteristics of
88 adolescents, beyond type of diagnosis, is important as the impact of psychopathology on daily
89 life and the trajectory of psychopathology from childhood to adolescence, may support service
90 planning and resource allocation in relation to clinical characteristics in a preventive and
91 responsive way.

92 The aim of this study is to estimate the costs associated with health, education, criminal
93 justice and social care services among a cohort of young people in Brazil. We first present the
94 annual aggregate cost to the public purse and then disaggregate this impact to reflect and
95 understand the relative costs to different sectors. Second, we examine how costs vary according
96 to: mental health trajectories, impact of the disorder on everyday life, and parent/guardian
97 stigma towards mental illness. We hypothesise that persistence of psychiatric disorders from
98 childhood to adolescence and associated impact on adolescents' lives have the greatest
99 influence on costs. However, we also expect that lower levels of parental stigma towards mental
100 illness will predict greater likelihood of service use and hence higher costs.

101 **Methods**

102 **Data and participants**

103 This study is nested within the Brazilian High-Risk Cohort (BHRC), which is an
104 ongoing prospective longitudinal study that comprises a community sample and a high-risk sub-
105 sample (a sample at increased risk of mental disorders) of young people from Sao Paulo and
106 Porto Alegre, Brazil. A detailed description of the sample and procedures can be found
107 elsewhere [25]. Briefly, during the registry day, 12,500 parents of young people aged 6 to 14
108 years attending 57 schools (22 in Porto Alegre and 35 in São Paulo) were invited to a screening
109 of mental health disorders using the Family History Screen (FHS) [26]. A total of 8,012 families
110 (9,937 eligible children, 45,394 family members) were interviewed. Based on the percentage
111 of members in the family that screened positively for psychiatric disorders, an index of family
112 load for each potential eligible child was computed. The final cohort comprised 2,511 young
113 people; 957 were randomly selected, and 1,554 were a sub-sample at increased risk of mental
114 disorders based on the FHS. Cohort participants were interviewed at baseline (aged 6-14 years,

115 calendar year:2010-2011, n=2,511), and at first follow-up (N=2010, aged 9-17 years, calendar
116 year 2014). After completing the BHRC first follow-up interview, 1,881 parents/guardians were
117 invited to respond to a supplementary interview which included a comprehensive assessment
118 of mental health related service use (calendar year: 2014-2015, young people participants aged
119 10-18 years). Among those contacted, 1,400 (74.4%) guardians (in 93.1% of cases the
120 biological mother) completed the interview –982 (70.1%) by telephone and 418 (29.9%) face-
121 to-face  See flow chart in S1 Fig.). There were no significant differences in persistence of
122 psychopathology or impact of psychopathology on adolescents' lives among respondents
123 versus non-respondents.

124 This research was carried out in accordance with the latest version of the Declaration of
125 Helsinki. Parental written informed consent was obtained from all the research subjects. Young
126 people provided verbally informed assent (documented as part of the consent form, and
127 witnessed by the interviewer), and those who were able to read and write also provided written
128 consent. All procedures were approved by the Ethics Committee of the Federal University of
129 São Paulo-UNIFESP (Nº 2.879.533 and CAAE 06457219.9.0000.5505), Hospital de Clínicas
130 de Porto Alegre (CAAE 06457219.9.3001.5327) and the European Research Commission. Data
131 were provided by the Brazilian High-Risk Cohort study and are available upon request in the
132 Open Science Framework public repository (<https://osf.io/ktz5h/>).

133

134 **Measures**

135 **Sociodemographic Characteristics**

136 Data on the following sociodemographic characteristics were collected: gender, age at follow-
137 up, ethnicity (white and non-white: black, Asian, indigenous or mixed-race), socioeconomic
138 group (SEG), and maternal educational level (no/basic, secondary or university education).

139 SEG was defined according to a Brazilian standardized questionnaire [27]. Based on families'
140 assets and head of household's education level, a total score ranging for 0 to 46 is given, where
141 greater scores represent higher socioeconomic status. In this study, SEG was categorised as
142 "low" (0-22) and "high" (23-46).

143

144 **Psychopathology**

145

146 **Psychiatric diagnosis:** Psychiatric diagnoses were assessed at baseline and follow-up using the
147 Brazilian-Portuguese version of the Development and Well-being Assessment (DAWBA)
148 [28,29], which is a highly structured interview used to generate DSM-IV diagnoses. Trained
149 interviewers gathered information on current problems causing significant distress or social
150 impairment. At baseline, diagnostic assessment and interviews were performed with guardians
151 only. Previous literature has found that self-report on internalising conditions during
152 adolescence is higher compared with parental report. This can be explained because
153 internalising problems, such as anxiety or depression, would be less observable by guardians,
154 being advisable to consider both reports to reach a reliable evaluation of adolescent mental
155 health [30,31]. For this reason, diagnostic assessment at 3-year follow-up was performed
156 considering guardian reports and additional information from interviews with the young people
157 about internalising conditions. Computerised diagnostic probabilities were then generated
158 based on responses those were carefully evaluated by 9 trained psychiatrists who determined
159 the diagnosis.

160

161 **Broad psychiatric diagnostic categories:** Based on previous literature [32], follow-up
162 DAWBA diagnoses were grouped into three broad categories: distress-related disorders

163 (including depression, generalised anxiety disorder, obsessive – compulsive disorder, tic, eating
164 disorder), fear-related disorders (including panic, agoraphobia, social anxiety, specific phobia
165 and separation anxiety) and externalising disorders (including conduct disorder, oppositional
166 defiant disorder and attention deficit/hyperactivity disorder).

167

168 **Persistence of diagnosis:** Four categories of diagnostic persistence were created based on
169 presence of diagnosis at baseline and/or follow-up: 1) no diagnosis (no diagnosis at both time
170 points), 2) incident (no diagnosis at baseline and presence of diagnosis at follow-up), 3)
171 remittent (presence of diagnosis at baseline and no diagnosis at follow-up), 4) persistent
172 (presence of diagnosis at both time points).

173

174 **Impact of mental health problems at follow-up:** was measured according to the ‘impact
175 supplement’ of the Strength and Difficulties Questionnaire (SDQ) which is part of DAWBA.
176 This supplement assesses the impact of behavioural and emotional difficulties on adolescent’s
177 lives according to guardian reports. A total score (0-10) was generated by summing 5 items:
178 distress, social impairment in family life, friendships, learning, and leisure activities [33].
179 Higher scores represent greater impact. The impact score has demonstrated internal
180 consistency, cross-informant correlations, and stability measured across time [33].

181

182 **Parent-reported stigma towards mental health problems**

183 To assess parental stigma, we applied the Brazilian Portuguese version of the Reported
184 and Intended Behaviour Scale (RIBS-BP) [34,35]. The intended behaviour subscale assesses
185 future intended stigmatising behaviour across four domains: living with, working with, living
186 nearby and continuing a relationship with someone with a mental health problem. Higher scores

187 represent lower stigma. The RIBS-BP has demonstrated good internal consistency, and good to
188 excellent construct validity [35].

189

190 **Service use**

191 The Service Assessment for Children and Adolescents (SACA) [36] was used to ask
192 guardians about service contacts made in the past 12 months in response to concerns regarding
193 their child's emotions and behaviour, including alcohol and drugs. The SACA assesses type,
194 nature, frequency and duration of services used, treatments received and settings in which
195 services were delivered. Overall concordance between parent report and records ($\kappa=0.76$)
196 [36] and test-retest reliability for 12-month ($\kappa=0.75-0.86$) service use on the parent version
197 of the SACA is strong [37].

198 We received permission from the SACA developers to translate and adapt the
199 instrument to the Brazilian context in consultation with experts in the Brazilian mental health
200 system to ensure we covered the relevant service types and settings in Brazil. The list of services
201 and professionals was grouped into three sectors: 1) health care: inpatient services (psychiatric
202 hospital, psychiatric unit in a general hospital, alcohol and drug clinic); outpatient services
203 (Centre for psychosocial care [CAPS], which are the community mental health services in
204 Brazil; mental health clinics; specialist mental health professionals (psychiatrists and
205 psychologists in settings other than CAPS and mental health clinics); general health services
206 and professionals (emergency room, paediatrician, general practitioner [GP] or family doctor);
207 2) education: special school and special education in regular school (special room and special
208 needs class assistant); 3) social care and criminal justice: overnight stay in a shelter or detention
209 centre; probation programme contact; and home visit of the guardianship council (services
210 responsible for child-rights protection).

211

212 **Estimation of costs**

213 Data collected on use of services from the BHRC were combined with unit costs to
214 derive service use costs in Brazilian Reals for the financial year 2018 and then converted to US
215 dollars (based on December 31 2018 conversion rate 1 Real=0.2581 dollars, according to the
216 Brazilian Central Bank) [38].

217 **Unit costs:** Detailed information on source of information and unit cost values for each service
218 is available in S1 Table. Where available, we applied unit costs previously reported in the
219 Brazilian literature [39,40]. However, as costs of many services have not previously been
220 reported, we performed a thorough consultation process gathering relevant data from public
221 databases of the Ministries of Education and Health, and the social care departments of the
222 municipalities of Porto Alegre and São Paulo (S1 Table).

223 Unit costs were attached to data on service use frequencies for each type of service
224 (based on the SACA) based on 2018 prices or the latest available year converted to 2018 prices
225 using the Nationwide Consumer Price Index. The Brazil Central Bank's calculator was used to
226 apply the index [41]. Once obtained, information on the unit cost of each service was used to
227 calculate the total annual cost by sector (health, education, social care and criminal justice) for
228 each participant by multiplying the frequency of use (e.g. number of visits, nights) by unit cost.

229 **Data Analysis**


230 Data were analysed using STATA, version 14. First, we described prevalence of socio-
231 demographic and clinical characteristics overall and by persistence of psychopathology.
232 Between-group differences were compared using chi-squared tests. For interval variables,
233 means and standard deviations were calculated and overall significance was tested using one-

234 way analysis of variance. A significance parameter of $p < .05$ (two-tailed) was applied for all
235 tests.

236 Unadjusted odds ratios and coefficients for each predictor and covariate in relation to
237 mental health service use and costs are presented in S2 and S3 Tables. To compare the relative
238 impact between our three main predictors (i.e., psychopathological trajectories, impact of the
239 disorder and parental stigma) of service use and costs we also present logistic regression models
240 for each of these variables adjusting for sociodemographic characteristics (gender, age,
241 mother's education, ethnicity and SEG) and dummy variables (mode of data collection and city
242 of residence) (S4-S6 Tables for service use and S7-S9 Tables for costs). We then used
243 multivariable analyses to examine the association between guardian and adolescent
244 characteristics with service use (logistic regression models) and associated costs (generalised
245 linear models – GLM), overall and by sector: 1) health; 2) education; and 3) social care and
246 criminal justice. All multivariable analyses were adjusted by socio-demographic characteristics,
247 mode of data collection and city. For costs GLM, we analysed the subset of participants who
248 used services in the previous 12 months ($n=143$). Annual costs for each participant were
249 included in the models as a scalar dependent variable, with a Gamma distribution [42], using
250 the log-link function.

251 **Results**

252 Table 1 describes sociodemographic and clinical characteristics of participants. The
253 sample comprised 1,400 adolescents with a mean age of 14 years (s.d=1.98). The majority were
254 white males from low SEG, and only 10% of mothers had university education. 23.3% ($n= 326$)
255 of adolescents had a psychiatric disorder in the previous 12 months, of which 177 (54.3%) were
256 incident and 149 (45.7%) persistent cases since baseline. 213 (15.2%) participants had remitted
257 from a baseline psychiatric diagnosis. Participants with externalising disorders were more likely

258 to have persistent trajectories (RR=2.19, 95% CI=1.38-3.48, p<0.001). Participants categorised
259 as persistent also reported greater disorder impact ($\beta=2.34$, 95% CI=2.11-2.58, p<0.001). 22.4%
260 of those who presented with a psychiatric disorder reported using some type of service for their
261 mental health in the previous twelve months. The proportion of service use among those who
262 presented a persistent psychiatric condition was 27%.  Table 1 also describes the mean costs of
263 mental health-related service use in the past year, by psychiatric trajectory (from no diagnosis
264 to persistent psychiatric diagnosis). Bivariate analyses showed a non-significant association
265 between psychiatric trajectory and mean annual costs.

266 **Table 1. Sociodemographic and clinical characteristics by trajectories of psychopathology (n=1,400).**

	No psychiatric diagnosis (n=861)	Incident psychiatric diagnosis (n=177)	Remittent psychiatric diagnosis (n=213)	Persistent psychiatric diagnosis (n=149)	Overall sample (n=1,400)	p
	N (%)	N (%)	N (%)	N (%)	N (%)	
<i>Sociodemographic characteristics</i>						
Male gender	503 (58.4)	81 (45.8)	134 (62.9)	83 (55.7)	801 (57.2)	0.005
Female gender	358 (41.6)	96 (54.2)	79 (37.1)	66 (44.3)	599 (42.8)	
Age, mean (s.d)	14.50 (2.02)	14.58 (1.90)	14.39 (1.88)	14.67 (1.99)	14.51 (1.98)	0.564
High SEG	359 (41.7)	63 (35.6)	71 (33.3)	61 (40.9)	554 (39.6)	0.095
Low SEG	502 (58.3)	114 (64.4)	142 (66.7)	88 (59.1)	846 (60.4)	
White ethnicity	484 (56.2)	106 (60.2)	116 (54.5)	84 (57.1)	790 (56.6)	0.704
Non-White ethnicity	377 (43.8)	70 (39.8)	97 (45.5)	63 (42.9)	607 (43.5)	
<i>Guardians characteristics</i>						
Maternal no/basic education	387 (45.1)	78 (44.6)	96 (45.3)	59 (39.9)	620 (44.5)	0.953
Maternal secondary education	384 (44.8)	78 (44.6)	93 (43.9)	71 (48.0)	626 (44.9)	
Maternal university education	87 (10.14)	19 (10.9)	23 (10.9)	18 (12.2)	147 (10.6)	
<i>Clinical characteristics</i>						
Any Psychiatric Diagnosis	-	177 (54.3)	-	149 (45.7)	326 (23.3)	<0.001
Fear-related	-	92 (52.0)	-	72 (48.3)	164 (11.7)	<0.001
Distress-related	-	70 (40.0)	-	60 (40.3)	130 (9.3)	<0.001
Externalising	-	49 (27.7)	-	68 (45.6)	117 (8.4)	0.001
SDQ impact mean score (s.d)	0.28 (0.73)	1.49 (1.91)	0.78 (1.51)	2.62 (2.41)	0.78 (1.52)	<0.001
<i>Mental health-related service use</i>						
12-months service use	43 (5.0)	32 (18.0)	27 (12.7)	41 (27.5)	143 (10.21)	<0.001
Mean service use costs USD\$ (s.d)	326.41 (395.53)	581.90 (1360.19)	644.35 (795.50)	628.50 (901.02)	527.14 (908.10)	0.400

267 Notes: Results in bold are significant. SEG, socioeconomic group; SDQ, Strength and Difficulties Questionnaire. 3 missing data in ethnicity variable, 10 missing data
268 in maternal education variable.

269 **Frequency of mental health-related service use and annual service** 270 **use costs**

271 Utilisation of mental health services in the previous 12 months and associated cost by
272 type of service are presented in Table 2. Overall, 10.0% of the sample (n=143) used some sort
273 of health, education, criminal justice or social care service for mental health problems.
274 Disaggregating by sectors, the health sector had highest proportion of service users (9%), while
275 the education and social care and criminal justice sectors were less frequently contacted with a
276 1.8% and 1.3% of users respectively. Outpatient mental health services, most notably
277 psychologists and psychiatrists in settings other than community mental health clinics, were the
278 most frequently used services/professionals. Inpatient services and general health services such
279 as GP/family doctor, paediatrician and emergency department, were less frequently used. In the
280 education sector, school assistant was the most type of service used by young people, while
281 guardianship council was the most frequently social care service contacted. The total cost of
282 12-month mental health-related service use for the public purse was 70,110.23 USD. The sector
283 that presented higher total annual cost was the health sector, followed by the education and
284 finally the social care and criminal justice sectors. The services that generated the greatest total
285 costs for the health sector were psychologist (11,339.64 USD) and CAPS (9,628.01 USD).
286 Among those who used services, the average annual cost of service use amounted to 527.14
287 USD (SD= 908.10 USD, range=8.77- 7,605.58 USD, median=221.10 USD, interquartile
288 range=545.28) per user. Individuals using CAPS (specialty mental health) services (1.1% of the
289 sample) had the highest mean number of visits during the previous year and the highest
290 associated costs among health services. The second highest mean costs in the health sector were
291 related to hospitalizations in psychiatric hospitals and alcohol and drugs clinics, while the
292 lowest mean costs were attributed to emergency department, paediatrician, outpatient alcohol

293 and drugs and GP/family doctor contacts. Although only 0.1% of individuals used shelters, this
294 type of social service had the highest associated mean cost. Education services were used by
295 1.8% of individuals and these services had the second highest associated mean costs.
296

297 **Table 2. 12-month mental health-related service use and costs by type of service (n = 143).**

	Users	Number of visits/ nights	Number of nights/visits per user ^a	Total annual cost per service	Annual cost per user
Type of service	n (%)	Total	Mean (Range; s.d.)	USD ^{a,b}	Mean (Range; s.d.)
Health Sector					
<i>Inpatient mental health services³</i>					
Psychiatric hospital	7 (0.5)	73	10.6 (1 – 30;11.87)	4,015.72	573.67 (66.42-1,992.72;691.76)
Psychiatric unit in general hospital	1 (0.1)	1	1 (1)	40.49	40.49
AD clinic	3 (0.2)	48	16 (6 – 27;10.73)	1,767.90	589.30 (191.87-1,096.36;462.10)
<i>Outpatient mental health services</i>					
Centre for psychosocial care (CAPS)	15 (1.1)	452	30.15(1-180;49.38)	9,628.01	740.62 (24.56-4,421.03;1212.73)
Mental Health clinic	17 (1.2)	308	18.13(1-70;19.08)	5,644.64	352.79 (19.46-1,362.50;371.28)
Psychiatrist	33 (2.4)	217	6.56 (1-48;8.95)	5,803.90	181.37(27.64-1,326.60;247.29)
Psychologist	71 (5.1)	1,081	15.23 (1-60;14.97)	11,339.64	171.81(11.28-676.99;168.91)
AD clinic	2 (0.1)	2	1 (1)	14.74	14.74
<i>General Health</i>					
Emergency department	4 (0.3)	9	2.25 (1-4;1.50)	156.0	39.00 (17.34-69.34;26.00)
Paediatrician	3 (0.2)	10	3.33 (2-4;1.16)	120.54	40.18 (24.11-48.22;13.92)
GP/family doctor	5 (0.4)	23	4.60 (2-9;2.97)	403.25	80.65 (35.07-157.80;52.01)
<i>Overall health service use</i>	126 (9.0)			37,679.94	324.83 (11.28-4575.70;590.55)
Educational sector⁴					
Special School	7(0.5)		School Year	8,564.92	1,223.56 (1,155.72-1,250.70; 44.53)
Special Class	5 (0.4)		School Year	6,063.55	1,212.71 (1,155.72-1,250.70; 52.02)
School Assistant	12 (0.9)		School Year	14,723.52	1,226.96 (1,155.72-1,250.70; 42.95)
<i>Overall education service use</i>	23 (1.8)			29,351.94	1,276.17 (1,155.72-2,501.40; 270.73)
Social care and criminal justice sector					
Shelter	2 (0.1)	210	105 (90-120;21.21)	5,599.95	2,799.98 (2,755.34-2,888.48; 63,12)
Guardianship Council home visit	11 (0.8)	31	2.85 (1-5;1.73)	201.84	25.23 (8.77-43.87;15.15)
Probation programme	8 (0.6)		Six months	1,875.48	234.44
<i>Overall social care and criminal justice related service use</i>	18 (1.3)			4,687.47	334.82 (8.77-2,888.48;1,155.72)

298 ^aTotal cost health sector N=116, Total cost education sector, N=23, Total cost social care and criminal justice sector, N=14. Total cost, N=133. Cases with missing
299 values in 'frequency of visits' were not included in costs estimates: CAPS=2, mental health clinic=1, psychiatrist=1, psychologist=5, AD clinic=1, guardianship
300 council=3.

301 ^bCosts are expressed U.S. Dollars, 2018 prices. Brazilian Central Bank conversion rate: Brazilian Real=0.2581, December 31st 2018[38]

302 **Characteristics associated with mental health-related service use**

303 Having an incident, remittent or persistent psychiatric disorder, as well as the higher
304 impact of behavioural and emotional difficulties on the adolescents' lives and lower parental
305 stigma, all predicted higher odds of any 12-month service use (Table 3). Service contacts in the
306 health sector were also predicted by the same factors. Service use in the educational sector was
307 predicted by impact, lower parental stigma and low SEG. There were no factors significantly
308 associated with use of social care and criminal justice services.

309

310 **Table 3. Multivariable logistic regression models: Predictors of 12-month mental health service utilisation (n=1,390^a).**

Predictors	Any service use		Health service use		Education service use		Social care and criminal justice service use	
	OR (95%CI)	p	OR (95%CI)	p	OR (95%CI)	p	OR (95%CI)	p
<i>Sociodemographic characteristics</i>								
Male gender (Ref)	-		-		-		-	
Female gender	0.87 (0.59-1.28)	0.488	0.98 (0.65-1.46)	0.901	0.67 (0.24-.84)	0.435	1.28 (0.48-3.40)	0.627
Age (in years)	1.02 (0.92-1.12)	0.703	1.00 (0.90-1.11)	0.942	0.98 (0.78-1.25)	0.886	1.25 (0.97-1.60)	0.084
High SEG (Ref)								
Low SEG	1.30 (0.86-1.98)	0.211	1.11 (0.72-1.70)	0.646	4.31 (1.29-14.39)	0.018	2.97 (0.75-11.77)	0.122
White ethnicity (Ref)	-		-		-		-	
Non-White ethnicity	1.17 (0.79-1.72)	0.442	0.97 (0.64-1.46)	0.869	0.53 (0.19-1.48)	0.225	2.59 (0.92-7.28)	0.071
<i>Guardians characteristics</i>								
Maternal no/basic education (Ref)	-		-		-		-	
Maternal secondary education	1.23 (0.82-1.85)	0.315	1.30 (0.84-1.99)	0.238	1.77 (0.66-4.78)	0.257	0.51 (0.17-1.54)	0.233
Maternal university education	1.14 (0.59-2.20)	0.698	1.17 (0.59-2.31)	0.658	1.33 (0.24-7.53)	0.744	1.02 (0.19-5.54)	0.981
Lower parental stigma (RIBS scores)	1.12 (1.05-1.20)	0.001	1.11 (1.03-1.18)	0.003	1.22 (1.01-1.48)	0.042	1.01 (0.94-1.25)	0.251
<i>Clinical characteristics</i>								
No psychiatric diagnosis (Ref)	-		-		-		-	
Incident psychiatric diagnosis	2.49 (1.44-4.30)	0.001	2.57 (1.45-4.58)	0.001	2.29 (0.51-10.97)	0.281	2.54 (0.61-10.52)	0.199
Remittent psychiatric diagnosis	2.16 (1.27-3.69)	0.005	2.22 (1.25-3.93)	0.006	3.24 (0.84-12.50)	0.087	1.98 (0.45-8.75)	0.369
Persistent psychiatric diagnosis	3.01 (1.69-5.36)	<0.001	3.33 (1.82-6.08)	<0.001	2.82 (0.65-12.37)	0.168	3.65 (0.88-15.09)	0.073
SDQ impact score	1.32 (1.19-1.47)	<0.001	1.32 (1.19-1.47)	<0.001	1.51 (1.24-1.84)	<0.001	1.22 (0.97-1.55)	0.096
Test statistics	LR $\chi^2(13)= 129.35$ p<0.001		LR $\chi^2(13)= 122.81$, p<0.001		LR $\chi^2(13)= 57.46$, p<0.001		LR $\chi^2(13)= 28.36$, p=0.008	
	Pseudo-R ² =0.14		Pseudo-R ² =0.15		Pseudo-R ² =0.25		Pseudo-R ² =0.15	

311 ^aFrom the total sample, N=1,400, 10 cases had missing data in mother's education and 3 in ethnicity variables. Results in bold are statistically significant (p<0.05).

312 Models adjusted by collection instrument and city.

313

314 **Characteristics associated with greater mental health related**
315 **service use costs**

316 When all three sectors were combined into a single total cost variable, greater impact,
317 lower parental stigma and white ethnicity were associated with higher costs (Table 4). Each
318 additional impact score predicted an increase in mean costs of 142.59 USD ($p < 0.001$). For
319 parental stigma, each additional RIBS-BP score (indicating lower stigma) increased mean cost
320 by 69.32 USD ($p = 0.020$). White ethnicity was associated with having higher mean costs of
321 295.49 USD ($p = 0.036$), compared with non-white participants. No association was found
322 between broad diagnosis categories and costs (S10 Table).

323 When looking at predictors of costs according to sector, disorder impact was associated
324 with greater health sector service use (predicted mean cost by each impact score = 66.26 USD,
325 $p = 0.019$). We did not find any significant association of psychiatric trajectories, impact of
326 disorder or parental stigma with education or social care/criminal justice sectors' costs.

327

328 **Table 4. Generalised linear models: parental and clinical characteristics associated with cost of mental health service use in the last 12 months,**
 329 **overall and by sector.**

Predictors	Any service use N= 131		Health service use N=115		Education service use N=22		Social care and criminal justice service use N=14	
	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p	β (95% CI)	p
<i>Sociodemographic characteristics</i>								
Male gender (Ref)	-		-		-		-	
Female gender	0.05 (-0.50-0.59)	0.866	0.06 (-0.61-0.73)	0.854	-0.03 (-0.29-0.24)	0.857	14.41 (-5.34-34.17)	0.153
Age (in years)	-0.05 (-0.19-0.10)	0.522	0.06 (-0.14-0.24)	0.572	0.02 (-0.02-0.06)	0.886	-2.11 (-4.87-0.65)	0.133
High SEG (Ref)								
Low SEG	0.47 (-0.08-1.03)	0.092	-0.13 (-0.78-0.53)	0.706	-0.03 (-0.36-0.29)	0.839	3.32 (-8.52-15.15)	0.583
White ethnicity (Ref)	-		-		-		-	
Non-White ethnicity	-0.55 (-1.07- -0.04)	0.036	-0.12 (-0.75-0.51)	0.707	0.09 (-0.10-0.27)	0.368	-4.28 (-9.80-1.24)	0.129
<i>Guardians characteristics</i>								
Maternal no/basic education (Ref)	-		-		-		-	
Maternal secondary education	0.27 (-0.29-0.82)	0.341	-0.10 (-0.78-0.58)	0.776	-0.07 (-0.23-0.10)	0.418	4.95 (-2.19-12.08)	0.174
Maternal university education	0.003 (-0.90-0.91)	0.995	-0.34 (-1.38-0.69)	0.515	0.40 (-0.07-0.87)	0.094	-	-
Lower parental stigma (RIBS score)	0.12 (0.12-0.39)	0.020	0.04 (-0.07-0.16)	0.465	0.002 (-0.06-0.06)	0.948	0.05 (-0.98-1.08)	0.922
<i>Clinical characteristics</i>								
No psychiatric diagnosis (Ref)	-		-		-		-	
Incident psychiatric diagnosis	-0.14 (-0.83-0.55)	0.693	0.15 (-0.71-1.00)	0.735	0.07 (-0.15-0.29)	0.548	-23.61 (-54.48-7.27)	0.134
Remittent psychiatric diagnosis	0.39 (-0.35-1.14)	0.298	0.09 (-0.85-1.04)	0.847	-0.01 (-0.23-0.21)	0.928	-2.17 (-7.42-3.07)	0.417
Persistent psychiatric diagnosis	-0.39 (-1.16-0.38)	0.315	-0.42 (-1.40- 0.58)	0.412	0.14 (-0.11-0.39)	0.276	-17.23 (-36.84-2.39)	0.085
SDQ impact score	0.25 (0.12-0.39)	<0.001	0.20 (1.19-1.47)	0.019	0.01 (-0.02-0.04)	0.458	-0.34 (-1.51-0.83)	0.569
Test statistics ^a	AIC 16.97193		AIC 16.24671		AIC 20.26365		AIC 15.83608	
	BIC -353.9633		BIC -308.0671		BIC -24.59063		BIC 1.230216	
	R ² = 0.22		R ² = 0.15		R ² = 0.79		R ² = 0.90	

330 Notes: Results in bold are significant (p<0.05). Models adjusted by city and method of interview.

331 ^aCameron & Windmeijer's R-squared, measure of goodness of fit for the class of exponential family regression models.

332 **Discussion**

333 We analysed data on mental health-related service use and associated costs among a
334 prospective community cohort of young people in Brazil. We found that impact of mental health
335 problems on daily life and parental stigma were the most consistent and robust drivers of mental
336 health service use and associated costs.

337 **Drivers of mental-health service use costs**

338 The association between disorder impact and mental health-related service use and costs
339 that we found has been observed in previous research, providing further support that impact
340 and impairment tend to be the strongest and most robust predictors of mental health service use
341 [13,33] and costs [14]. Contrary to what we expected, we did not find an association between
342 disorder persistence and costs. Our analyses instead found an that impact of the disorder on
343 adolescent's life was the most important clinical predictor and that this was what seemed to
344 drive service use rather than type or persistence of diagnosis. Nevertheless, it is important to
345 consider that we have estimated annual costs, and these do not necessarily reflect the cumulative
346 economic costs of persistent cases across childhood and adolescence.

347 A novel result we found was that lower parental stigma was associated with greater
348 service use and higher costs. Our findings suggest that the ways in which parents perceive
349 mental illness in adolescents may significantly influence help-seeking. We are aware of one
350 study which showed that young people's likelihood of service use across health and education
351 settings was greater among caregivers who reported less intended stigmatising behaviours [16]
352 Another study indicated that low parental stigmatising attitudes toward mental disorders
353 increased recognition of mental health problems in preadolescents (10-12 years) [43].

354 We did not find any study exploring the impact of parental stigmatising attitudes toward
355 mental illness on child treatment costs. Other research has shown that parental stigma can


356 impede problem recognition and help-seeking [17,43]. Higher stigma amongst parents and
357 caregivers may discourage or delay service access for their children [16], which may reduce the
358 short-term public sector direct costs of treatment but be detrimental in the long run. Future
359 research needs to further explore the mechanisms through which parental stigma may be related
360 to service/treatment selection and treatment adherence, in order to explain its impact on
361 treatment costs. Moreover, as lower parental stigma may facilitate earlier service contact, it
362 would be interesting to investigate if lower parental stigma may result in lower costs in the
363 longer term.

364 Among sociodemographic variables, we found that low SEG predicted higher odds of
365 educational service use. This may be related to the fact that young people living in deprived
366 circumstances are more likely to be affected by developmental problems [44], and, therefore,
367 are more likely to use special education services [11]. Although our study did not identify any
368 differences in service use according to ethnicity, we found white ethnicity was associated with
369 higher service use costs. This may reflect disparities in the type of mental health treatment
370 offered or available to non-white children/adolescents. According to previous studies, non-
371 white children/adolescents are less likely to receive adequate mental health treatment [45],
372 including lower likelihood of psychopharmacological prescriptions [46], compared with white
373 children/adolescents.

374

375 **The economic impact of adolescent mental health care by sectors**

376 We found that the health sector was clearly the main sector accessed by youth with
377 mental disorders. Within the health sector, specialty mental health care was used more
378 frequently and was more costly than primary care. In Brazil, access to CAPS does not require
379 any referral. However, the number of CAPS services are limited, and they are focused on

380 treatment of severe mental disorders [24].  The high costs incurred by the mental health sector
381 for the treatment of psychiatric disorders in CAPS may be a result of both, the severity of
382 patients consulting these services and because these services provide intensive outpatient
383 treatments (reflected by the highest number of visits we found), which is costly compared with
384 no-specialized services. It is important to highlight that the lack of youth-oriented primary care
385 mental health services in Brazil limits access to treatment. This could explain why we found
386 low frequency of mental health-related contacts with GP/ family doctors. As a result, contact
387 with specialist mental health services only happens when the disorder has significant negative
388 impact on the lives of young people. In this sense, the organisation of a mental health network
389 of care for adolescents, integrating primary care, social care, education, criminal justice and
390 community youth-specialist services, according to the impact of cases, must be considered in
391 Brazil to adequately plan and allocate scarce public budgets [47].

392 We found that mental-health related educational service use was less prevalent
393 compared with health service use, nevertheless –as previous studies have shown– [11,14]
394 educational service use was also associated with higher costs. In Brazil, while special education
395 services are provided in regular schools, their use is restricted to students with disabilities and
396 developmental disorders [48], so only adolescents with severe mental disorders are likely to be
397 eligible.

398

399 **Limitations**

400 Our study has several limitations. First, the psychometric properties of the adapted
401 version of the SACA have not been evaluated yet. Second, as we were not able to access
402 administrative records, service use assessment was limited to guardians' reports. However, the
403 concordance between parent report and records for service use on the parental version of the

404 SACA is strong [36]. Third, as most of the unit cost were specifically identified for the cities
405 where the HRC is being conducted, São Paulo and Porto Alegre, they are not necessarily
406 generalisable to the whole country. Fourth, due to the limited number of participants using each
407 type of service, we were unable to compare factors related with use and associated costs of
408 specific types of service. Furthermore, given our estimates come from observational cohort
409 data, we are not able to establish causality.

410 **Conclusions**

411 Our findings suggest that the main drivers of health-related service use costs among
412 adolescents in Brazil were impact of mental health problems, in addition to lower stigma toward
413 people with mental illness among guardians and White ethnicity. In the present study, only
414 22.4% of young people with a diagnosed mental disorder received any form of care. In addition
415 to reducing inequality in service use among children, our findings also argue for lowering
416 barriers to care, in particular addressing caregiver stigma. Furthermore, because lower use of
417 services in adolescence may be associated with worse outcomes across the life course [47], it
418 is needed to further explore measures to reduce inequalities in service utilisation by young
419 people, even though this implies higher short-term costs.

420 Guardian's lower stigmatising attitudes towards mental disorders may be crucial to
421 support young people in accessing, engaging and maintaining contact with mental health-
422 related services. Various anti-stigma interventions have demonstrated effectiveness for
423 improving help-seeking [49], but few have been implemented in LMICs. Further studies are
424 needed to design and implement anti-stigma interventions in LMICs. On the other hand, health
425 and education policies need to better support guardians to access appropriate and timely
426 services in their communities, before the symptoms have a significant impact on adolescent
427 functioning. We conclude that the organisation of a culturally sensitive mental health network

428 of care for adolescents, integrating primary care, social care, education, criminal justice services
429 and CAPS, must be considered in Brazil to adequately plan and allocate scarce public budgets

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460

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618 **Supporting information**

619 **S1 Fig. Flow chart of Brazilian High-Risk Cohort participants included in the mental-**
620 **health related service use study.**

621 **S1 Table. Unit costs of health, educational, social care and criminal justice related**
622 **services.**

623 **S2 Table. Bivariate analysis: Predictors of 12-month mental health service utilisation.**

624 **S3 Table. Bivariate analysis: Predictors of cost of mental health service use in the last 12**
625 **months.**

626 **S4 Table. Logistic regression models: 12-month mental health service utilisation**
627 **predicted by psychiatric diagnosis trajectories.**

628 **S5 Table. Logistic regression models: 12-month mental health service utilisation**
629 **predicted by impact of behavioural and emotional difficulties on child' life.**

630 **S6 Table. Logistic regression models: 12-month mental health service utilisation**
631 **predicted by parental stigma.**

632 **S7 Table. Generalised linear models: cost of 12-month mental health service utilization**
633 **predicted by psychiatric diagnosis trajectories.**

634 **S8 Table. Generalised linear models: cost of 12-month mental health service utilisation**
635 **predicted by impact of behavioural and emotional difficulties on child' life.**

636 **S9 Table. Generalised linear models: cost of 12-month mental health service utilisation**
637 **predicted by parental stigma.**

638 **S10 Table. Generalised linear models: cost of 12-month mental health service utilisation**
639 **predicted by broad diagnosis categories.**



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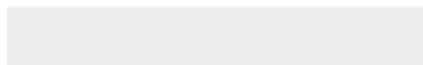
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Utilisation and costs of mental health-related service use among adolescents

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25 **Abstract**

26 **Background:** The high burden of care for adolescents with mental health disorders represents
27 a challenge to the public sector, especially in low and middle-income countries. We aimed to
28 estimate the costs to the public purse of health, education, criminal justice and social care
29 service use associated with psychiatric disorders among adolescents in Brazil; and to examine
30 whether the trajectory of psychopathology and its impact on daily life, and parental stigma
31 towards mental illness, may be associated with service utilisation and costs.

32 **Methods:** Data on reported service use among adolescents from a prospective community
33 cohort (n=1,400) were combined with Brazilian unit costs. Logistic regression and generalised
34 linear models were used to examine predictors of service use and associated costs, respectively.

35 **Results:** Twenty-two percent of those who presented with a psychiatric disorder used some type
36 of service for their mental health in the previous twelve months. Higher odds of service use
37 were associated with having ~~an incident~~ diagnosed mental disorder (either incident,
38 ~~[(OR=2.49, 95%CI=1.44-4.30, p=0.001)],~~ remittent ~~[(OR=2.16, 95%CI=1.27-3.69, p=0.005)]~~
39 ~~or persistent [(OR=3.01, 95%CI=1.69-5.36, p<0.001)]~~ ~~psychiatric disorder~~, higher impact of
40 symptoms on adolescent's life (OR=1.32, 95%CI=1.19-1.47, p<0.001) and lower parental
41 stigma toward mental illness (OR=1.12, 95%CI=1.05-1.20, p=0.001). Average annual cost of
42 service use was 527.14 USD (s.d.= 908.10). Higher cost was predicted by higher disorder
43 impact ($\beta=0.25$, 95%CI=0.12-0.39, p<0.001), lower parental stigma ($\beta=0.12$,
44 95%CI=0.02–0.23, p=0.020) and white ethnicity ($\beta=0.55$, 95%CI=0.04–1.07, p=0.036).

45 **Conclusion:** The impact of emotional and behavioural symptoms on adolescents' lives and
46 parental stigmatising attitudes toward mental illness were the main predictors both of service
47 use and costs.

48 **Key words:** Service Utilisation, Mental Health, Adolescence, Health Economics

49 Introduction

50 Mental health conditions affect 13.4% of children and adolescents globally, representing
51 the leading cause of disability in this age group [1]. They can have long-term impacts on health
52 and social outcomes into adulthood [2–7]. The high prevalence and potentially enduring nature
53 of these impacts make addressing youth mental health conditions particularly important, but
54 this is a challenge for public systems with limited resources [8]. ~~Provision of mental health~~
55 ~~care~~ Economic costs associated with youth mental health conditions- involve a wide range of
56 sectors including health, educational, social care, and criminal justice services [9,10]. This can
57 represent a substantial cost to the public purse, yet it could also be considered a wise investment
58 given the evidence that effective treatment can mitigate the impact of poor mental health [2].
59 Estimating the economic cost of mental disorders in young people from the perspective of the
60 public purse and understanding which factors are associated with these costs could support
61 more effective and efficient policy planning and care delivery [8,11,12].

62 Some studies from high-income countries suggest that ~~male gender, older age and~~ lower
63 socioeconomic status, as well as clinical features (illness severity and impact of disorders) are
64 associated with use of health, special education, and social care services, while male gender
65 and older age and are associated with more criminal justice services contacts [11,13,14]. These
66 sociodemographic and clinical characteristics are also and greater-associated with greater
67 mental health-related treatment costs among young people [11,14,15]. Families also play a
68 central role in young people's contact with services. One study from the UK found that lower
69 mental illness-related stigma among caregivers was associated with an increased likelihood of
70 young people's mental health service use [16]. Stigmatising attitudes toward mental illness
71 amongst parents may influence service contacts due to shame and fears of labelling their child's
72 mental health condition [16]. However, little is known about how parental stigma could impact

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73 ~~on costs which also reflect intensity of service use.~~ There are clear links between stigma and
74 reduced ~~Studies among adults suggest that stigma reduces help-seeking [17], and increases non-~~
75 reduced ~~adherence to treatment and early withdrawal from services [17,18].~~ However, little is
76 known about how parental stigma could impact on young people service use and costs which
77 also reflect intensity of service use.

78 Most costing studies have focused on a single disorder, commonly autism, attention
79 deficit hyperactivity disorder or conduct disorders [10]. Additionally, little is known about how,
80 beyond in addition to the type of diagnosis disorder, whether persistence of psychopathology
81 from childhood to adolescence, ~~and~~ disorders' impact on adolescent's daily life (i.e.
82 functioning), in addition to and key barriers to care such as stigma, could influence costs.

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83 There are a limited number of studies reporting on prevalence of mental health service
84 use in low and middle-income countries (LMICs) [19–21], however, none use validated service
85 use measures. Moreover, prevalence of any use does not capture the intensity of use (e.g.
86 number or type of visits) needed to understand the economic impact of child mental health
87 problems. From a global mental health perspective, examining this issue in a LMIC context,
88 where resources are scarce, is of major significance. ~~Similar to most high income countries,~~
89 Brazil provides universal access to health services and education for the entire population that
90 is free at the point of use, while private health care and education are used by about 20% of the
91 population [22–24]. Estimating the economic cost of mental disorders among young people to
92 the public purse, and understanding which factors are associated with these costs in Brazil is
93 essential for public policy planning, specifically to optimise investment. This approach could
94 also be of value for similar health and welfare systems.

95 Furthermore, examining the variation in costs according to clinical characteristics of
96 adolescents, beyond type of diagnosis, is important as the impact of psychopathology on daily

107 life and the trajectory of psychopathology from childhood to adolescence, may support service
108 planning and resource allocation in relation to clinical characteristics in a preventive and
109 responsive way.

110 The aim of this study is to estimate the costs associated with health, education, criminal
111 justice and social care services among a cohort of young people in Brazil. We first present the
112 annual aggregate cost to the public purse and then disaggregate this impact to reflect and
113 understand the relative costs to different sectors. Second, we examine how costs vary according
114 to: ~~mental health, child trajectories, impact of the disorder on everyday life, and parent/guardian~~
115 ~~stigma towards mental illness characteristics~~. We hypothesise that persistence of psychiatric
116 disorders from childhood to adolescence and associated impact on adolescents' lives have the
117 greatest influence on costs. However, we also expect that lower levels of parental stigma
118 towards mental illness will predict greater likelihood of service use and hence higher costs.

109 **Methods**

110 **Data and participants**

111 This study is nested within the Brazilian High-Risk Cohort (BHRC), which is an
112 ongoing prospective longitudinal study that comprises a community sample and a high-risk sub-
113 sample (a sample ~~with children at~~ increased risk of mental disorders) of young people from Sao
114 Paulo and Porto Alegre, Brazil, ~~who were six to twelve years old at baseline (2010-2011,~~
115 ~~n=2,511).~~ A detailed description of the sample and procedures can be found elsewhere [25].
116 ~~Briefly, during the registry day, 12,500 parents of young people aged 6 to 14 years attending~~
117 ~~57 schools (22 in Porto Alegre and 35 in São Paulo) were invited to a screening of mental health~~
118 ~~disorders using the Family History Screen (FHS) [26]. A total of 8,012 families (9,937 eligible~~
119 ~~children, 45,394 family members) were interviewed. Based on the percentage of members in~~

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120 the family that screened positively for psychiatric disorders, an index of family load for each
121 potential eligible child was computed. The final cohort comprised 2,511 young people; 957
122 were randomly selected, and 1,554 were a sub-sample at increased risk of mental disorders
123 based on the FHS. Cohort participants were interviewed at baseline (aged 6-14 years, calendar
124 year:2010-2011, n=2,511), and at first follow-up (N=2010, aged 9-17 years, calendar year
125 2014).

126 After completing the BHRC first follow-up interview~~(2014-2015, child participants~~
127 ~~aged 10-18 years)~~, 1,881 parents/guardians were invited to respond to a comprehensive
128 supplementary interview which included a comprehensive assessment of mental health related
129 service use (calendar year: 2014-2015, young people participants aged 10-18 years). Among
130 those contacted, 1,400 (74.4%) guardians (in 93.1% of cases the biological mother) completed
131 the interview –982 (70.1%) by telephone and 418 (29.9%) face-to-face– (See flow chart in S1
132 Fig.). There were no significant differences in persistence of psychopathology or impact of
133 psychopathology on adolescents’ lives among respondents versus non-respondents.

134 This research was carried out in accordance with the latest version of the Declaration of
135 Helsinki. ~~Child assent and P~~parental written informed consent was obtained from all the
136 research subjects. Young people provided verbally informed assent (documented as part of the
137 consent form, and witnessed by the interviewer), and those who were able to read and write
138 also provided written consent. All procedures were approved by the Ethics Committee of the
139 Federal University of São Paulo-UNIFESP (Nº 2.879.533 and –CAAE 06457219.9.0000.5505),
140 Hospital de Clínicas de Porto Alegre (CAAE 06457219.9.3001.5327) and the European
141 Research Commission. ▲

142 Data were provided by the Brazilian High-Risk Cohort study and are available upon
143 request in the Open Science Framework public repository (<https://osf.io/ktz5h/>).

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144

145 **Measures**

146 **Sociodemographic Characteristics**

147 Data on the following sociodemographic characteristics were collected: gender, age at follow-
148 up, ethnicity (white and non-white: black, Asian, indigenous or mixed-race), socioeconomic
149 group (SEG), and maternal educational level (no/basic, secondary or university education).
150 SEG was defined according to a Brazilian standardized questionnaire [27]. Based on families’
151 assets and head of household’s education level, a total score ranging for 0 to 46 is given, where
152 greater scores represent higher socioeconomic status. In this study, SEG was categorised as
153 “low” (0-22) and “high” (23-46).

154

155 **Psychopathology**

156

157 **Psychiatric diagnosis:** Psychiatric diagnoses were assessed at baseline and follow-up using the
158 Brazilian-Portuguese version of the Development and Well-being Assessment (DAWBA)
159 [28,29], which is a highly structured interview used to generate DSM-IV diagnoses. Trained
160 interviewers gathered information on current problems causing significant distress or social
161 impairment. At baseline, diagnostic assessment and interviews were performed with guardians
162 only. Previous literature has found that self-report on internalising conditions during
163 adolescence is higher compared with parental report. This can be explained because
164 internalising problems, such as anxiety or depression, would be less observable by guardians,
165 being advisable to consider both reports to reach a reliable evaluation of adolescent mental
166 health [30,31]. For this reason, diagnostic assessment at 3-year follow-up. At 3-year follow-up,
167 diagnostic assessment was performed considering guardian reports and additional information

168 from interviews with the young people about internalising conditions. Computerised diagnostic
169 probabilities were then generated based on responses those were carefully evaluated by 9
170 trained psychiatrists who determined the diagnosis.

171

172 **Broad psychiatric diagnostic categories:** Based on previous literature [32], follow-up
173 DAWBA diagnoses were grouped into three broad categories: distress-related disorders
174 (including depression, generalised anxiety disorder, obsessive – compulsive disorder, tic, eating
175 disorder), fear-related disorders (including panic, agoraphobia, social anxiety, specific phobia
176 and separation anxiety) and externalising disorders (including conduct disorder, oppositional
177 defiant disorder and attention deficit/hyperactivity disorder).

178

179 **Persistence of diagnosis:** Four categories of diagnostic persistence were created based on
180 presence of diagnosis at baseline and/or follow-up: 1) no diagnosis (no diagnosis at both time
181 points), 2) incident (no diagnosis at baseline and presence of diagnosis at follow-up), 3)
182 remittent (presence of diagnosis at baseline and no diagnosis at follow-up), 4) persistent
183 (presence of diagnosis at both time points).

184

185 **Impact of mental health problems at follow-up:** was measured according to the ‘impact
186 supplement’ of the Strength and Difficulties Questionnaire (SDQ) which is part of DAWBA.

187 This supplement assesses the impact of behavioural and emotional difficulties on ~~children’s~~
188 adolescent’s lives according to guardian reports. A total score (0-10) was generated by summing
189 5 items: distress, social impairment in family life, friendships, learning, and leisure activities
190 [33]. Higher scores represent greater impact. The impact score has demonstrated internal
191 consistency, cross-informant correlations, and stability measured across time [33].

192

193 **Parent-reported stigma towards mental health problems**

194 To assess parental stigma, we applied the Brazilian Portuguese version of the Reported
195 and Intended Behaviour Scale (RIBS-BP) [34,35]. The intended behaviour subscale assesses
196 future intended stigmatising behaviour across four domains: living with, working with, living
197 nearby and continuing a relationship with someone with a mental health problem. Higher scores
198 represent lower stigma. The RIBS-BP has demonstrated good internal consistency, and good to
199 excellent construct validity [35].

200

201 **Service use**

202 The Service Assessment for Children and Adolescents (SACA) [36] was used to ask
203 guardians about service contacts made in the past 12 months in response to concerns regarding
204 their child's emotions and behaviour, including alcohol and drugs. The SACA assesses type,
205 nature, frequency and duration of services used, treatments received and settings in which
206 services were delivered. Overall concordance between parent report and records ($\kappa=0.76$)
207 [36] and test-retest reliability for 12-month ($\kappa=0.75-0.86$) service use on the parent version
208 of the SACA is strong [37].

209 We received permission from the SACA developers to translate and adapt the
210 instrument to the Brazilian context in consultation with experts in the Brazilian mental health
211 system to ensure we covered the relevant service types and settings in Brazil. The list of services
212 and professionals was grouped into three sectors: 1) health care: inpatient services (psychiatric
213 hospital, psychiatric unit in a general hospital, alcohol and drug clinic); outpatient services
214 (Centre for psychosocial care [CAPS], which are the community mental health services in
215 Brazil; mental health clinics; specialist mental health professionals (psychiatrists and

216 psychologists in settings other than CAPS and mental health clinics); general health services
217 and professionals (emergency room, paediatrician, general practitioner [GP] or family doctor);
218 2) education: special school and special education in regular school (special room and special
219 needs class assistant); 3) social care and criminal justice: overnight stay in a shelter or detention
220 centre; probation programme contact; and home visit of the guardianship council (services
221 responsible for child-rights protection).

222

223 **Estimation of costs**

224 Data collected on use of services from the BHRC were combined with unit costs to
225 derive service use costs in Brazilian Reals for the financial year 2018 and then converted to US
226 dollars (based on December 31 2018 conversion rate 1 Real=0.2581 dollars, according to the
227 Brazilian Central Bank) [38].

228 **Unit costs:** Detailed information on source of information and unit cost values for each service
229 is available in S1 Table. Where available, we applied unit costs previously reported in the
230 Brazilian literature [39,40]. However, as costs of many services have not previously been
231 reported, we performed a thorough consultation process gathering relevant data from public
232 databases of the Ministries of Education and Health, and the social care departments of the
233 municipalities of Porto Alegre and São Paulo (S1 Table).

234 Unit costs were attached to data on service use frequencies for each type of service
235 (based on the SACA) based on 2018 prices or the latest available year converted to 2018 prices
236 using the Nationwide Consumer Price Index. The Brazil Central Bank's calculator was used to
237 apply the index [41]. Once obtained, information on the unit cost of each service was used to
238 calculate the total annual cost by sector (health, education, social care and criminal justice) for
239 each participant by multiplying the frequency of use (e.g. number of visits, nights) by unit cost.

240 **Data Analysis**

241 Data were analysed using STATA, version 14. First, we described prevalence of socio-
242 demographic and clinical characteristics overall and by persistence of psychopathology.
243 Between-group differences were compared using chi-squared tests. For interval variables,
244 means and standard deviations were calculated and overall significance was tested using one-
245 way analysis of variance. A significance parameter of $p < .05$ (two-tailed) was applied for all
246 tests.

247 Unadjusted odds ratios and coefficients for each predictor and covariate in relation to
248 mental health service use and costs are presented in S2 and S3 Tables. To compare the relative
249 impact between our three main predictors (i.e., psychopathological trajectories, impact of the
250 disorder and parental stigma) of service use and costs we also present logistic regression models
251 for each of these variables adjusting for sociodemographic characteristics (gender, age,
252 mother's education, ethnicity and SEG) and dummy variables (mode of data collection and city
253 of residence) (S4-S6 Tables for service use and S7-S9 Tables for costs). We then used
254 multivariable analyses to examine the association between guardian and adolescent
255 characteristics with service use (logistic regression models) and associated costs (generalised
256 linear models – GLM), overall and by sector: 1) health; 2) education; and 3) social care and
257 criminal justice. All multivariable analyses were adjusted by socio-demographic characteristics,
258 mode of data collection and city.

259 For costs GLM, we analysed the subset of participants who used services in the previous
260 12 months (n=143). Annual costs for each participant were included in the models as a scalar
261 dependent variable, with a Gamma distribution [42], using the log-link function.

262 **Results**

263 Table 1 describes sociodemographic and clinical characteristics of participants. The
264 sample comprised 1,400 adolescents with a mean age of 14 years (s.d=1.98). The majority were
265 white males from low SEG, and only 10% of mothers had university education. 23.3% (n=
266 326) of adolescents had a psychiatric disorder in the previous 12 months, of which 177 (54.3%)
267 were incident and 149 (45.7%) persistent cases since baseline. 213 (15.2%) participants had
268 remitted from a baseline psychiatric diagnosis. Participants with externalising disorders were
269 more likely to have persistent trajectories (RR=2.19, 95%CI=1.38-3.48, p<0.001). Participants
270 categorised as persistent also reported greater disorder impact ($\beta=2.34$, 95%CI=2.11-2.58,
271 p<0.001). 22.4% of those who presented with a psychiatric disorder reported using some type
272 of service for their mental health in the previous twelve months. The proportion of service use
273 among those who presented a persistent psychiatric condition was 27%. Table 1 also describes
274 the mean costs of mental health-related service use in the past year, by psychiatric trajectory
275 (from no diagnosis to persistent psychiatric diagnosis). Bivariate analyses showed a non-
276 significant association between psychiatric trajectory and mean annual costs.

277

278 **Table 1. Sociodemographic and clinical characteristics by trajectories of psychopathology (n=1,400).**

	No psychiatric diagnosis (n=861)	Incident psychiatric diagnosis (n=177)	Remittent psychiatric diagnosis (n=213)	Persistent psychiatric diagnosis (n=149)	Overall sample (n=1,400)	
	N (%)	N (%)	N (%)	N (%)	N (%)	p
<i>Sociodemographic characteristics</i>						
Male gender	503 (58.4)	81 (45.8)	134 (62.9)	83 (55.7)	801 (57.2)	0.005
Female gender	358 (41.6)	96 (54.2)	79 (37.1)	66 (44.3)	599 (42.8)	
Age, mean (s.d)	14.50 (2.02)	14.58 (1.90)	14.39 (1.88)	14.67 (1.99)	14.51 (1.98)	0.564
High SEG	359 (41.7)	63 (35.6)	71 (33.3)	61 (40.9)	554 (39.6)	0.095
Low SEG	502 (58.3)	114 (64.4)	142 (66.7)	88 (59.1)	846 (60.4)	
White ethnicity	484 (56.2)	106 (60.2)	116 (54.5)	84 (57.1)	790 (56.6)	0.704
Non-White ethnicity	377 (43.8)	70 (39.8)	97 (45.5)	63 (42.9)	607 (43.5)	
<i>Guardians characteristics</i>						
Maternal no/basic education	387 (45.1)	78 (44.6)	96 (45.3)	59 (39.9)	620 (44.5)	0.953
Maternal secondary education	384 (44.8)	78 (44.6)	93 (43.9)	71 (48.0)	626 (44.9)	
Maternal university education	87 (10.14)	19 (10.9)	23 (10.9)	18 (12.2)	147 (10.6)	
<i>Clinical characteristics</i>						
Any Psychiatric Diagnosis	-	177 (54.3)	-	149 (45.7)	326 (23.3)	<0.001
Fear-related	-	92 (52.0)	-	72 (48.3)	164 (11.7)	<0.001
Distress-related	-	70 (40.0)	-	60 (40.3)	130 (9.3)	<0.001
Externalising	-	49 (27.7)	-	68 (45.6)	117 (8.4)	0.001
SDQ impact mean score (s.d)	0.28 (0.73)	1.49 (1.91)	0.78 (1.51)	2.62 (2.41)	0.78 (1.52)	<0.001
<i>Mental health-related service use</i>						
12-months service use	43 (5.0)	32 (18.0)	27 (12.7)	41 (27.5)	143 (10.21)	<0.001
Mean service use costs USD\$ (s.d)	326.41 (395.53)	581.90 (1360.19)	644.35 (795.50)	628.50 (901.02)	527.14 (908.10)	0.400

279 Notes: Results in bold are significant. SEG, socioeconomic group; SDQ, Strength and Difficulties Questionnaire. 3 missing data in ethnicity variable, 10 missing data
280 in maternal education variable.

281 **Frequency of mental health-related service use and annual service**
282 **use costs**

283 Utilisation of mental health services in the previous 12 months and associated cost by
284 type of service are presented in Table 2. Overall, 10.0% of the sample (n=143) used some sort
285 of health, education, criminal justice or social care service for mental health problems.
286 Disaggregating by sectors, the health sector had highest proportion of service users (9%), while
287 the education and social care and criminal justice sectors were less frequently contacted with a
288 1.8% and 1.3% of users respectively. ~~—Outpatient mental health services, most notably~~
289 ~~psychologists and psychiatrists in settings other than community mental health clinics, were the~~
290 ~~most prevalent~~ frequently used among all services/professionals. Inpatient services and
291 ~~General health services such as GP/family doctor, paediatrician and emergency department,~~
292 were less frequently used. In the education sector, school assistant was the most type of service
293 used by young people, while guardianship council was the most frequently social care service
294 contacted. The total cost of 12-month mental health-related service use for the public purse was
295 70,110.23 USD. The sector that presented higher total annual cost was the health sector,
296 followed by the education and finally the social care and criminal justice sectors. The services
297 that generated the greatest total costs for the health sector were psychologist (11,339.64 USD)
298 and CAPS (9,628.01 USD). Among those who used services, the average annual cost of service
299 use amounted to 527.14 USD (SD= 908.10 USD, range=8.77- 7,605.58 USD, median=221.10
300 USD, interquartile range=545.28) per user. ~~—Outpatient mental health services, most notably~~
301 ~~psychologists and psychiatrists in settings other than community mental health clinics, were the~~
302 ~~most prevalent among all services/professionals. General health services such as GP/family~~
303 ~~doctor, paediatrician and emergency department, were less frequently used.~~ Individuals using
304 CAPS (specialty mental health) services (1.1% of the sample) had the highest mean number of

305 visits during the previous year and the highest associated costs among health services. The
306 second highest mean costs in the health sector were related to hospitalizations in -psychiatric
307 hospitals and alcohol and drugs clinics, while the lowest mean costs were attributed to
308 emergency department, paediatrician, outpatient alcohol and drugs and GP/family doctor
309 contacts. Although only 0.1% of individuals used shelters, this type of social service had the
310 highest associated mean cost. Education services were used by 1.8% of individuals and these
311 services had the second highest associated mean costs.

312

313 **Table 2. 12-month mental health-related service use and costs by type of service (n = 143).**

	Users	Number of visits/ nights	Number of nights/visits per user ^{a,b}	Total annual cost per service	Annual cost per user
Type of service	n (%)	Total	Mean (Range; s.d.)	USD ^a USD ^{a,b}	Mean (Range; s.d.)
Health Sector					
<i>Inpatient mental health services³</i>					
Psychiatric hospital	7 (0.5)	73	10.6 (1 – 30;11.87)	4,015.72	573.67 (66.42-1,992.72;691.76)
Psychiatric unit in general hospital	1 (0.1)	1	1 (1)	40.49	40.49
AD clinic	3 (0.2)	48	16 (6 – 27;10.73)	1,767.90	589.30 (191.87-1,096.36;462.10)
<i>Outpatient mental health services</i>					
Centre for psychosocial care (CAPS)	15 (1.1)	452	30.15(1-180;49.38)	9,628.01	740.62 (24.56-4,421.03;1212.73)
Mental Health clinic	17 (1.2)	308	18.13(1-70;19.08)	5,644.64	352.79 (19.46-1,362.50;371.28)
Psychiatrist	33 (2.4)	217	6.56 (1-48;8.95)	5,803.90	181.37(27.64-1,326.60;247.29)
Psychologist	71 (5.1)	1,081	15.23 (1-60;14.97)	11,339.64	171.81(11.28-676.99;168.91)
AD clinic	2 (0.1)	2	1 (1)	14.74	14.74
<i>General Health</i>					
Emergency department	4 (0.3)	9	2.25 (1-4;1.50)	156.0	39.00 (17.34-69.34;26.00)
Paediatrician	3 (0.2)	10	3.33 (2-4;1.16)	120.54	40.18 (24.11-48.22;13.92)
GP/family doctor	5 (0.4)	23	4.60 (2-9;2.97)	403.25	80.65 (35.07-157.80;52.01)
<i>Overall health service use</i>	126 (9.0)			37,679.94	324.83 (11.28-4575.70;590.55)
Educational sector⁴					
Special School	7(0.5)		School Year	8,564.92	1,223.56 (1,155.72-1,250.70; 44.53)
Special Class	5 (0.4)		School Year	6,063.55	1,212.71 (1,155.72-1,250.70; 52.02)
School Assistant	12 (0.9)		School Year	14,723.52	1,226.96 (1,155.72-1,250.70; 42.95)
<i>Overall education service use</i>	23 (1.8)			29,351.94	1,276.17 (1,155.72-2,501.40; 270.73)
Social care and criminal justice sector					
Shelter	2 (0.1)	210	105 (90-120;21.21)	5,599.95	2,799.98 (2,755.34-2,888.48; 63,12)
Guardianship Council home visit	11 (0.8)	31	2.85 (1-5;1.73)	201.84	25.23 (8.77-43.87;15.15)
Probation programme	8 (0.6)		Six months	1,875.48	234.44
<i>Overall social care and criminal justice related service use</i>	18 (1.3)			4,687.47	334.82 (8.77-2,888.48;1,155.72)
Overall service use	143(10.2)			70,110.23	527.14 (8.77-7605.58; 908.10)

314 ^aTotal cost health sector N=116, Total cost education sector, N=23, Total cost social care and criminal justice sector, N=14. Total cost, N=133. Cases with
315 missing values in 'frequency of visits' were not included in costs estimates: CAPS=2, mental health clinic=1, psychiatrist=1, psychologist=5, AD clinic=1,
316 guardianship council=3.

317 ^bCosts are expressed U.S. Dollars, 2018 prices. Brazilian Central Bank conversion rate: Brazilian Real=0.2581, December 31st 2018[38]

318 **Characteristics associated with mental health-related service use**

319 Having an incident, remittent or persistent psychiatric disorder, as well as the higher
320 impact of behavioural and emotional difficulties on the adolescents' lives and lower parental
321 stigma, all predicted higher odds of any 12-month service use (Table 3). Service contacts in the
322 health sector were also predicted by the same factors. Service use in the educational sector was
323 predicted by impact, lower parental stigma and low SEG. There were no factors significantly
324 associated with use of social care and criminal justice services.

325

326

Table 3. Multivariable logistic regression models: Predictors of 12-month mental health service utilisation (n=1,390^a390^a).

Predictors	Any service use		Health service use		Education service use		Social care and criminal justice service use	
	OR (95% CI)	p	OR (95% CI)	p	OR (95% CI)	p	OR (95% CI)	p
<i>Sociodemographic characteristics</i>								
Male gender (Ref)	-		-		-		-	
Female gender	0.87 (0.59-1.28)	0.488	0.98 (0.65-1.46)	0.901	0.67 (0.24-.84)	0.435	1.28 (0.48-3.40)	0.627
Age (in years)	1.02 (0.92-1.12)	0.703	1.00 (0.90-1.11)	0.942	0.98 (0.78-1.25)	0.886	1.25 (0.97-1.60)	0.084
High SEG (Ref)								
Low SEG	1.30 (0.86-1.98)	0.211	1.11 (0.72-1.70)	0.646	4.31 (1.29-14.39)	0.018	2.97 (0.75-11.77)	0.122
White ethnicity (Ref)	-		-		-		-	
Non-White ethnicity	1.17 (0.79-1.72)	0.442	0.97 (0.64-1.46)	0.869	0.53 (0.19-1.48)	0.225	2.59 (0.92-7.28)	0.071
<i>Guardians characteristics</i>								
Maternal no/basic education (Ref)	-		-		-		-	
Maternal secondary education	1.23 (0.82-1.85)	0.315	1.30 (0.84-1.99)	0.238	1.77 (0.66-4.78)	0.257	0.51 (0.17-1.54)	0.233
Maternal university education	1.14 (0.59-2.20)	0.698	1.17 (0.59-2.31)	0.658	1.33 (0.24-7.53)	0.744	1.02 (0.19-5.54)	0.981
Lower parental stigma (RIBS scores)	1.12 (1.05-1.20)	0.001	1.11 (1.03-1.18)	0.003	1.22 (1.01-1.48)	0.042	1.01 (0.94-1.25)	0.251
<i>Clinical characteristics</i>								
No psychiatric diagnosis (Ref)	-		-		-		-	
Incident psychiatric diagnosis	2.49 (1.44-4.30)	0.001	2.57 (1.45-4.58)	0.001	2.29 (0.51-10.97)	0.281	2.54 (0.61-10.52)	0.199
Remittent psychiatric diagnosis	2.16 (1.27-3.69)	0.005	2.22 (1.25-3.93)	0.006	3.24 (0.84-12.50)	0.087	1.98 (0.45-8.75)	0.369
Persistent psychiatric diagnosis	3.01 (1.69-5.36)	<0.001	3.33 (1.82-6.08)	<0.001	2.82 (0.65-12.37)	0.168	3.65 (0.88-15.09)	0.073
SDQ impact score	1.32 (1.19-1.47)	<0.001	1.32 (1.19-1.47)	<0.001	1.51 (1.24-1.84)	<0.001	1.22 (0.97-1.55)	0.096
Test statistics	LR $\chi^2(13)=129.35$ p<0.001		LR $\chi^2(13)=122.81$, p<0.001		LR $\chi^2(13)=57.46$, p<0.001		LR $\chi^2(13)=28.36$, p=0.008	
	Pseudo-R ² =0.14		Pseudo-R ² =0.15		Pseudo-R ² =0.25		Pseudo-R ² =0.15	

327

328

^aFrom the total sample, N=1,400, 10 cases had missing data in mother's education and 3 in ethnicity variables. Results in bold are statistically significant (p<0.05). Models adjusted by collection instrument and city.

329

330 **Characteristics associated with greater mental health related**
331 **service use costs**

332 When all three sectors were combined into a single total cost variable, greater impact,
333 lower parental stigma and white ethnicity were associated with higher costs (Table 4). Each
334 additional impact score predicted an increase in mean costs of 142.59 USD ($p < 0.001$). For
335 parental stigma, each additional RIBS-BP score (indicating lower stigma) increased mean cost
336 by 69.32 USD ($p = 0.020$). White ethnicity was associated with having higher mean costs of
337 295.49 USD ($p = 0.036$), compared with non-white participants. No association was found
338 between broad diagnosis categories and costs (S10 Table).

339 When looking at predictors of costs according to sector, disorder impact was associated
340 with greater health sector service use (predicted mean cost by each impact score = 66.26 USD,
341 $p = 0.019$). We did not find any significant association of psychiatric trajectories, impact of
342 disorder or parental stigma with education or social care/criminal justice sectors' costs.

343

344 **Table 4. Generalised linear models: parental and clinical characteristics associated with cost of mental health service use in the last 12 months,**
 345 **overall and by sector.**

Predictors	Any service use N= 131		Health service use N=115		Education service use N=22		Social care and criminal justice service use N=14	
	β (95%CI)	p	β (95%CI)	p	β (95%CI)	p	β (95%CI)	p
<i>Sociodemographic characteristics</i>								
Male gender (Ref)	-		-		-		-	
Female gender	0.05 (-0.50-0.59)	0.866	0.06 (-0.61-0.73)	0.854	-0.03 (-0.29-0.24)	0.857	14.41 (-5.34-34.17)	0.153
Age (in years)	-0.05 (-0.19-0.10)	0.522	0.06 (-0.14-0.24)	0.572	0.02 (-0.02-0.06)	0.886	-2.11 (-4.87-0.65)	0.133
High SEG (Ref)								
Low SEG	0.47 (-0.08-1.03)	0.092	-0.13 (-0.78-0.53)	0.706	-0.03 (-0.36-0.29)	0.839	3.32 (-8.52-15.15)	0.583
White ethnicity (Ref)	-		-		-		-	
Non-White ethnicity	-0.55 (-1.07- -0.04)	0.036	-0.12 (-0.75-0.51)	0.707	0.09 (-0.10-0.27)	0.368	-4.28 (-9.80-1.24)	0.129
<i>Guardians characteristics</i>								
Maternal no/basic education (Ref)	-		-		-		-	
Maternal secondary education	0.27 (-0.29-0.82)	0.341	-0.10 (-0.78-0.58)	0.776	-0.07 (-0.23-0.10)	0.418	4.95 (-2.19-12.08)	0.174
Maternal university education	0.003 (-0.90-0.91)	0.995	-0.34 (-1.38-0.69)	0.515	0.40 (-0.07-0.87)	0.094	-	-
Lower parental stigma (RIBS score)	0.12 (0.12-0.39)	0.020	0.04 (-0.07-0.16)	0.465	0.002 (-0.06-0.06)	0.948	0.05 (-0.98-1.08)	0.922
<i>Clinical characteristics</i>								
No psychiatric diagnosis (Ref)	-		-		-		-	
Incident psychiatric diagnosis	-0.14 (-0.83-0.55)	0.693	0.15 (-0.71-1.00)	0.735	0.07 (-0.15-0.29)	0.548	-23.61 (-54.48-7.27)	0.134
Remittent psychiatric diagnosis	0.39 (-0.35-1.14)	0.298	0.09 (-0.85-1.04)	0.847	-0.01 (-0.23-0.21)	0.928	-2.17 (-7.42-3.07)	0.417
Persistent psychiatric diagnosis	-0.39 (-1.16-0.38)	0.315	-0.42 (-1.40- 0.58)	0.412	0.14 (-0.11-0.39)	0.276	-17.23 (-36.84-2.39)	0.085
SDQ impact score	0.25 (0.12-0.39)	<0.001	0.20 (1.19-1.47)	0.019	0.01 (-0.02-0.04)	0.458	-0.34 (-1.51-0.83)	0.569
Test statistics ^{a±}	AIC 16.97193 BIC -353.9633 R ² = 0.22		AIC 16.24671 BIC -308.0671 R ² = 0.15		AIC 20.26365 BIC -24.59063 R ² = 0.79		AIC 15.83608 BIC 1.230216 R ² = 0.90	

346 Notes: Results in bold are significant (p<0.05). Models adjusted by city and method of interview.

347 ^{a±}Cameron & Windmeijer's R-squared, measure of goodness of fit for the class of exponential family regression models.

348 Discussion

349 We analysed data on mental health-related service use and associated costs among a
350 prospective community cohort of young people in Brazil. We found that impact of mental health
351 problems on daily life and parental stigma were the most consistent and robust drivers of mental
352 health service use and associated costs, ~~above and beyond persistence of psychiatric disorder.~~

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353 Drivers of mental-health service use costs

354 The association between disorder impact and mental health-related service use and costs
355 that we found has been observed in previous research, providing further support that impact
356 and impairment tend to be the strongest and most robust predictors of mental health service use
357 [13,33] and costs [14]. Contrary to what we expected, we did not find an association between
358 disorder persistence and costs. Our analyses instead found an that impact of the disorder on
359 adolescent's life was the most important clinical predictor and that this was what seemed to
360 drive service use rather than type or persistence of diagnosis. Nevertheless, it is important to
361 consider that we have estimated annual costs, and these do not necessarily reflect the cumulative
362 economic costs of persistent cases across childhood and adolescence.

363 A novel result we found was that lower parental stigma was associated with greater
364 service use and higher costs. Our findings suggest that the ways in which parents perceive
365 mental illness in adolescents may significantly influence help-seeking. We are aware of one
366 study which showed that young people's likelihood of service use across health and education
367 settings was greater among caregivers who reported less intended stigmatising behaviours [16]
368 Another study indicated that low parental stigmatising attitudes toward mental disorders
369 increased recognition of mental health problems in preadolescents (10-12 years) [43].

370 We did not find any study exploring the impact of parental stigmatising attitudes toward
371 mental illness on child treatment costs. Other research ~~has~~ shown that parental stigma can

372 impede problem recognition and help-seeking [17,43]. Higher stigma amongst parents and
373 caregivers may discourage or delay service access for their children [16], which may reduce the
374 short-term public sector direct costs of treatment but be detrimental in the long run.

375 ~~Studies among adults suggest that stigma reduces help seeking (Clement et al., 2015),~~
376 ~~and increases non-adherence to treatment and early withdrawal from services (Clement et al.,~~
377 ~~2015; Kamaradova et al., 2016). As these results come from studies conducted with adult~~
378 ~~populations with psychiatric conditions, we~~Future research ~~needs~~ to further explore the
379 mechanisms through which parental stigma may be related to service/treatment selection and
380 treatment adherence, in order to explain its impact on treatment costs. Moreover, as lower
381 parental stigma may facilitate earlier service contact, it would be interesting to investigate if
382 lower parental stigma may result in lower costs in the longer term.

383 Among sociodemographic variables, we found that low SEG predicted higher odds of
384 educational service use. This may be related to the fact that young people living in deprived
385 circumstances are more likely to be affected by developmental problems [44], and, therefore,
386 are more likely to use special education services [11]. Although our study did not identify any
387 differences in service use according to ethnicity, we found white ethnicity was associated with
388 higher service use costs. This may reflect disparities in the type of mental health treatment
389 offered or available to non-white children/adolescents. According to previous studies, non-
390 white children/adolescents are less likely to receive adequate mental health treatment [45],
391 including lower likelihood of psychopharmacological prescriptions [46], compared with white
392 children/adolescents.

393

394 **The economic impact of adolescent mental health care by sectors**

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395 We found that the health sector was clearly the main sector ~~providing mental~~ accessed
396 by youth with mental disorders ~~health care for youth~~. Within the health sector, specialty mental
397 health care was used more frequently and was more costly than primary care. In Brazil, access
398 to CAPS does not require any referral. However, the number of CAPS ~~services~~ are limited, and
399 they are focused on treatment of severe mental disorders [24]. The high costs incurred by the
400 mental health sector for the treatment of psychiatric disorders in CAPS may be a result of both,
401 the severity of patients consulting these services and because these services provide intensive
402 outpatient treatments (reflected by the highest number of visits we found), which is costly
403 compared with no-specialized services. It is important to highlight that ~~the~~ lack of youth-
404 oriented primary care mental health ~~program~~ services in Brazil limits access to treatment
405 when symptoms start to have an impact on adolescent functioning. This could explain why we
406 found low frequency of mental health-related contacts with GP/ family doctors. -As a result,
407 contact with specialist mental health services only happens when the disorder has significant
408 negative impact on the lives of young people. In this sense, the organisation of a mental health
409 network of care for adolescents, integrating primary care, social care, education, criminal justice
410 and community youth-specialist services, according to the impact of cases, must be considered
411 in Brazil to adequately plan and allocate scarce public budgets. [47].
412

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413 We found that mental-health related educational service use was less prevalent
414 compared with health service use, nevertheless –as previous studies have shown– [11,14]
415 educational service use was also associated with higher costs. In Brazil, while special education
416 services are provided in regular schools, their use is restricted to students with disabilities and
417 developmental disorders [48], so only adolescents with severe mental disorders are likely to be
418 eligible.

419

420 **Limitations**

421 Our study has several limitations. First, the psychometric properties of the adapted
422 version of the SACA have not been evaluated yet. Second, as we were not able to access
423 administrative records, service use assessment was limited to guardians' reports. However, the
424 concordance between parent report and records for service use on the parental version of the
425 SACA is strong [36].

426 ~~Second~~Third, as most of the unit cost were specifically identified for the cities where
427 the HRC is being conducted, São Paulo and Porto Alegre, they are not necessarily
428 generalisable to the whole country.

429 ~~Fourth~~Third, due to the limited number of participants using each type of service, we
430 were unable to compare factors related with use and associated costs of specific types of service.
431 Furthermore, given our estimates come from observational cohort data, we are not able to
432 establish causality.

433 **Conclusions**

434 Our findings suggest that the main drivers of health-related service use costs among
435 adolescents in Brazil were impact of mental health problems, in addition to lower stigma toward
436 people with mental illness among guardians and White ethnicity~~lower ethnic barriers~~White
437 ethnicity. In the present study, only 22.4% of young people with a diagnosed mental disorder
438 received any form of care. In addition to reducing inequality in service use among children, our
439 findings also argue for lowering barriers to care, in particular addressing caregiver stigma.
440 Furthermore, ~~B~~because lower use of services in adolescence may be associated with worse
441 outcomes across the life course [47], it is needed to further explore measures to reduce

442 inequalities in service utilisation by ~~children~~ young people, even though this implies higher
443 short-term costs.

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444 ~~Impact of mental health problems on children's lives and guardian's~~ Guardian's lower
445 stigmatising attitudes towards mental disorders may be crucial to support young people in
446 accessing, engaging and maintaining ~~contacts~~ with mental health-related services. ~~In this sense,~~

447 ~~health and education policies need to better support guardians to access appropriate services in~~
448 ~~their communities. In addition, various~~ Various anti-stigma interventions have ~~been~~

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449 ~~effectives~~ demonstrated effectiveness for improving help-seeking [49], but few have been
450 implemented in LMICs. Further studies are needed to design and implement anti-stigma

451 interventions in LMICs. ~~On the other hand,~~ In this sense, health and education policies need to

452 better support guardians to access appropriate and timely services in their communities, before

453 the symptoms have a significant impact on adolescent functioning. ~~In~~ Finally, We conclude that

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454 the organisation of a culturally sensitive mental health network of care for adolescents,

455 integrating primary care, social care, education, criminal justice services and ~~community youth-~~

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456 ~~specialist services~~ CAPS, ~~according to the impact of cases,~~ must be considered in Brazil to

457 adequately plan and allocate scarce public budgets (~~Knapp et al., 2016~~).

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486 ~~the last three years. The ADHD and Juvenile Bipolar Disorder Outpatient Programs~~
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653

654 **Supporting information**

655 **S1 Fig. Flow chart of Brazilian High-Risk Cohort participants included in the mental-**
656 **health related service use study.**

657 **S1 Table. Unit costs of health, educational, social care and criminal justice related**
658 **services.**

659 **S2 Table. Bivariate analysis: Predictors of 12-month mental health service utilisation.**

660 **S3 Table. Bivariate analysis: Predictors of cost of mental health service use in the last 12**
661 **months.**

662 **S4 Table. Logistic regression models: 12-month mental health service utilisation**
663 **predicted by psychiatric diagnosis trajectories.**

664 **S5 Table. Logistic regression models: 12-month mental health service utilisation**
665 **predicted by impact of behavioural and emotional difficulties on child' life.**

666 **S6 Table. Logistic regression models: 12-month mental health service utilisation**
667 **predicted by parental stigma.**

668 **S7 Table. Generalised linear models: cost of 12-month mental health service utilization**
669 **predicted by psychiatric diagnosis trajectories.**

670 **S8 Table. Generalised linear models: cost of 12-month mental health service utilisation**
671 **predicted by impact of behavioural and emotional difficulties on child' life.**

672 **S9 Table. Generalised linear models: cost of 12-month mental health service utilisation**
673 **predicted by parental stigma.**

674 **S10 Table. Generalised linear models: cost of 12-month mental health service utilisation**
675 **predicted by broad diagnosis categories.**

January 27th, 2022

Manuscript number PONE-D-21-10663**Manuscript Title: Utilisation and costs of mental health-related service use among adolescents**

We appreciate the careful revision of our manuscript and the comments of the reviewers. We are pleased to be invited to submit the revised version of our paper to PLOS ONE.

Please find attached both an unmarked version of the revised manuscript and one version with changes marked in red. Our point-by-point responses to the reviewers' comments (unquoted italics) and details of the changes we have performed to our revised manuscript are given below.

Reviewer #1:

General Comment: *Very relevant and interesting study. Well written paper, I found it pleasant to read. I would recommend some minor adjustments*

Response: We appreciate your positive feedback, the careful revision of our manuscript and your comments.

Comment 1: *Abstract- When only reading the abstract, the distinction between incident, remittent and persistent disorder in the Results section is a bit confusing. For the abstract, I would recommend rewriting this sentence for example: "Higher odds of service use were associated with having a diagnosed mental disorder (either incident, remittent or persistent), higher impact of symptoms etc."*

Response: Thank you for your comment. We have rewritten this sentence as follows: Higher odds of service use were associated with having a **diagnosed mental disorder (either incident [OR=2.49, 95%CI=1.44-4.30, p=0.001], remittent [OR=2.16, 95%CI=1.27-3.69, p=0.005] or persistent [OR=3.01, 95%CI=1.69-5.36, p<0.001]),** higher impact of symptoms..

Comment 2: *Introduction- This study focuses on the economic cost of mental disorders in young people (line 52). Therefore, it should be better introduced why, in addition to (mental) health services, also education, criminal justice and social care services were investigated.*

Response: We have edited the introduction as follows:

The high prevalence and potentially enduring nature of these impacts make addressing youth mental health conditions particularly important, but this is a challenge for public systems with limited resources (Knapp M; Evans-Lacko S, 2015). **Economic costs associated with youth mental health conditions involve a wide range of sectors including health, educational, social care, and criminal justice services [9,10]. This can**

represent a substantial cost to the public purse, yet it could also be considered a wise investment given the evidence that effective treatment can mitigate the impact of poor mental health (Knapp et al., 2011).

Comment 3: *Line 55: male gender is mostly not associated with higher use of mental health services. Please specify the association between these factors and specific services.*

Response: We appreciate your suggestion. We have edited this paragraph in the revised version of the manuscript:

Some studies from high-income countries suggest that lower socioeconomic status, as well as clinical features (illness severity and impact of disorders) are associated with use of health, special education, and social care services, while male gender and older age are associated with more criminal justice services contacts [11,13,14]. These sociodemographic and clinical characteristics are also associated with greater mental health-related treatment costs among young people [11,14,15]

Comment 4: *Methods: Data and participants. I understand that not all information about the Brazilian High-Risk Cohort was included in this paper. I would want to know, however, based on what information the children became part of this high risk cohort. Are they COPMI?*

Response: Thanks for the important point you raised. We have added information in the methods on the Brazilian High-Risk cohort sampling procedures as follows:

This study is nested within the Brazilian High-Risk Cohort (BHRC), which is an ongoing prospective longitudinal study that comprises a community sample and a high-risk sub-sample (a sample at increased risk of mental disorders) of young people from Sao Paulo and Porto Alegre, Brazil. A detailed description of the sample and procedures can be found elsewhere [25]. Briefly, during the registry day, 12,500 parents of young people aged 6 to 14 years attending 57 schools (22 in Porto Alegre and 35 in São Paulo) were invited to a screening of mental health disorders using the Family History Screen (FHS) [26]. A total of 8,012 families (9,937 eligible children, 45,394 family members) were interviewed. Based on the percentage of members in the family that screened positively for psychiatric disorders, an index of family load for each potential eligible child was computed. The final cohort comprised 2,511 young people; 957 were randomly selected, and 1,554 were a sub-sample at increased risk of mental disorders based on the FHS.

Comment 5: *Methods: Measures. Why only maternal educational level?*

- Furthermore, this paragraph forms a clear description of appropriate measures.

Response: As stated in the methods section, the socioeconomic group variable comprised head of household educational level in addition to other household socioeconomic indicators. As some research suggests that mothers educational level is particularly important for recognition and help-seeking, we also included this variable as a separate indicator. As the vast majority of caregiver respondents were mothers (in 93% of cases the biological mother [information included in the revised manuscript])

we focused on maternal education rather than estimating the educational level of other caregivers.

Comment 6: *Results. Very clear description and informative tables.*

Response: Thank you very much for your positive feedback.

Comment 7: *Discussion- Line 325: “We found that the health sector was clearly the main sector providing mental health care for youth.” That’s quite obvious. I would recommend rewriting this, for example: “We found that the health sector was clearly the main sector accessed by youth with mental disorders.”*

Response: Thank you very much for your suggestion. We rewrote this sentence as follows:

We found that the health sector was clearly the main sector **accessed by youth with mental disorders**.

Comment 8: *In the present study, only 20% of young people with a diagnosed mental disorder received any form of care. In addition to reducing inequality in service use among children, these data also argue for lowering barriers to care for young people in general. I would recommend stating this in the conclusion as well.*

Response: Thanks for your suggestion. We have edited the first paragraph of the conclusions as follows:

Our findings suggest that the main drivers of health-related service use costs among adolescents in Brazil were impact of mental health problems, in addition to lower stigma toward people with mental illness among guardians and **White ethnicity**. **In the present study, only 22.4% of young people with a diagnosed mental disorder received any form of care. In addition to reducing inequality in service use among children, our findings also argue for lowering barriers to care, in particular addressing caregiver stigma. Furthermore, because lower use of services in adolescence may be associated with worse outcomes across the life course [47], it is needed to further explore measures to reduce inequalities in service utilisation by young people, even though this implies higher short-term costs.**

Comment 9: *Line 329: “The lack of youth-oriented primary care mental health programmes”. Is this also the reason why GP’s/family doctors were less frequently visited?*

Response: We appreciate your comment, and we agree with your interpretation of this result. We have edited the referred sentence:

The lack of youth-oriented primary care mental health programmes limits access to treatment when symptoms start to have an impact on adolescent functioning. **This can explain why we found a low rate of mental health-related contacts with GP/ family doctors.** As a result, contact with specialist mental health services only happens when the disorder has significant negative impact on the lives of young people.

Comment 10: *Line 359-361: this reads like the impact of mental health problems on*

children's lives should be increased because it would support help-seeking. Please, rewrite.

Response: We have rewritten this paragraph:

Guardian's lower stigmatising attitudes towards mental disorders may be crucial to support young people in accessing, engaging and maintaining contact with mental health-related services. Various anti-stigma interventions have demonstrated effectiveness for improving help-seeking [49], but few have been implemented in LMICs. Further studies are needed to design and implement anti-stigma interventions in LMICs. **On the other hand**, health and education policies need to better support guardians to access appropriate **and timely** services in their communities, **before the symptoms have a significant impact on adolescent functioning**.

Comment 11: *Line 363: effectives should be effective*

Response: Thank you very much, we have corrected this error.

Comment 12: *In future research, it would be interesting to not only assess parental stigma but also stigma among the adolescents themselves.*

Response: We agree with you, and we are planning to evaluate the association between mental health-related service use and youth stigma towards mental illness in future cohort's assessments.

Reviewer #2:

General comment: *It's good to see more representative research from LMICs, trying to bridge the existing knowledge gap. This study's most significant plus point is that it looks at service use and service cost from multiple angles, shedding light on demographic, clinical and systemic factors that contribute to service use cost. However, this manuscript does require significant improvement in language and content. Here are my main suggestions:*

Response: We appreciate your positive opinion of our work, the careful revision of our manuscript and your valuable comments.

Comment 1: *The language of the manuscript can be crisper. Multiple places sentences look disjointed or elongated. The paragraphs are changed too frequently in some places, with each of these paragraphs containing only one or two sentences.*

Response: Thanks for your comment. We have revised and edited the language through the manuscript.

Comment 2: *Introduction: In line 57, please clarify whether by 'education services' authors mean remedial education services or some other kind of services?*

Response: Thanks for your comment. We have indicated 'special education' in the

revised version of the manuscript.

Comment 3: *Introduction: The lines 55-58 are difficult to follow: authors claim that certain demographic and clinical characteristics are associated with a greater likelihood of using certain services as per existing research. However, it's not clear how this connects with the assertion about young people in the same sentence.*

Response: Thanks for your comment. We have edited and separated these sentences: Some studies from high-income countries suggest that lower socioeconomic status, as well as clinical features (illness severity and impact of disorders) are associated with use of health, special education, and social care services, while male gender and older age are associated with more criminal justice service contacts [11,13,14]. These sociodemographic and clinical characteristics are also associated with greater mental health-related treatment costs among young people [11,14,15].

Comment 4: *Introduction: The importance of studying parental stigma needs to be built better.*

Response: We appreciate your suggestion. We have included the following changes: Families also play a central role in young people's contact with services. One study from the UK found that lower mental illness-related stigma among caregivers was associated with an increased likelihood of young people's mental health service use [16]. Stigmatising attitudes toward mental illness amongst parents may influence service contacts due to shame and fears of labelling their child's mental health condition [16]. There are clear links between stigma and reduced help-seeking [17], reduced adherence to treatment and early withdrawal from services [17,18]. However, little is known about/ how parental stigma could impact on young people service use and costs.

Comment 5: *Introduction: I'm not sure what is meant by 'beyond diagnosis', are authors implying the existing studies cover the cost of diagnosis only or for limited kinds of disorders. Some clarification here would be helpful.*

Response: Thanks for your suggestion. We have edited this sentence as follows: Additionally, little is known about how, in addition to the type of disorder, whether persistence of psychopathology from childhood to adolescence, disorders' impact on adolescent's daily life (i.e., functioning), and key barriers to care such as stigma, could influence costs.

Comment 6: *Introduction: The way lines 72-73 are written makes it sound like Brazil is a high-income country*

Response: We appreciate your comment. We have deleted 'Similar to most high income countries' in the revised version of the manuscript.

Comment 7: *Introduction: In line 88, it's unclear what characteristics the authors are referring to and whether the following hypothesis is related to a subset of these characteristics?*

Response: We have rewritten this sentence to clarify the characteristics under study:

Second, we examine how costs vary according to: **mental health trajectories, impact of the disorder on everyday life, and parent/guardian stigma towards mental illness.**

Comment 8: *Methods: In line 96, some information on how these children were classified as high risk will be helpful. The authors have said the details are somewhere else, but a brief description here will make it easier for the reader to understand the sample.*

Response: Thanks for your suggestion. As explained in response to Reviewer 1's comment 4, we have included a brief description of the Brazilian High-Risk Cohort sampling procedures.

Comment 9: *Methods: In line 99, it was slightly hard to follow study timelines. Was this study carried out after the first follow-up in 2014-2015 or as part of the follow-up?*

Response: We have tried to clarify this including the following information:

Cohort participants were interviewed at baseline (aged 6-14 years, calendar year:2010-2011, n=2,511), and at first follow-up (N=2010, aged 9-17 years, calendar year 2014). After **completing** the **BHRC first follow-up interview**, 1,881 parents/guardians were invited to respond to a **supplementary interview which included a comprehensive assessment of mental health related service use (calendar year: 2014-2015, young people participants aged 10-18 years).**

Comment 10: *Methods: The authors can use consistent terminology: children or young people. As of now, this has varied from one sentence to another.*

Response: Thanks for your comment. We have revised and edited the methods section in order to use consistently the term young people.

Comment 11: *The '-' in line 102 seems typo.*

Response: We appreciate your comment. We have deleted this typo.

Comment 12: *Methods: In lines 127-130, it's unclear why young people were not interviewed at baseline but were included during the 3-year follow-up?*

Response: This was because participants were younger at baseline and so we relied on parent's report, given limitations in funding and resources. Given that older adolescents are better at reporting internalising symptoms, both guardian and youth interviews were performed at 3-year follow-up. We included this explanation in the revised version of the manuscript:

At baseline, diagnostic assessment and interviews were performed with guardians only. **Previous literature has found that self-reports on internalising conditions during adolescence is higher compared with parental report. This can be explained because internalising problems, such as anxiety or depression, would be less observable by guardians, being advisable to consider both reports to reach a reliable evaluation of adolescent mental health [30,31]. For this reason, diagnostic assessment at 3-year follow-up was performed considering guardian reports and additional information from interviews with the young people about internalising conditions.**

Comment 13: *Methods: Do authors have any psychometric properties of the adapted version of Service Assessment for Children and Adolescents that can be reported in this publication?*

Response:

The parent-report SACA has been shown to be a valid measure of young people's service use ($\kappa = 0.76$; [Hoagwood et al., 2000]) with test-retest reliability for past-year reports (ranging from 0.75 to 0.86; [Horwitz et al., 2001]). We have not assessed the psychometric properties of the adapted version of the Service Assessment for Children and Adolescents for Brazilian participants yet. We have included this limitation in the revised version of the manuscript.

Comment 14: *Results: In line 224, the authors refer to Table 1. However, without any commentary on the significance of data in this table, the authors jump to a new set of findings. All this makes it slightly hard to follow what is being presented.*

Response: We appreciated your comment. We have edited this paragraph:

Table 1 describes sociodemographic and clinical characteristics of participants. The sample comprised 1,400 adolescents with a mean age of 14 years (s.d.=1.98). The majority were white males from low SEG, and only 10% of mothers had university education. 23.3% (n= 326) of adolescents had a psychiatric disorder in the previous 12 months, of which 177 (54.3%) were incident and 149 (45.7%) persistent cases since baseline. 213 (15.2%) participants had remitted from a baseline psychiatric diagnosis. Participants with externalising disorders were more likely to have persistent trajectories (RR=2.19, 95%CI=1.38-3.48, $p < 0.001$). Participants categorised as persistent also reported greater disorder impact ($\beta = 2.34$, 95%CI=2.11-2.58, $p < 0.001$). 22.4% of those who presented with a psychiatric disorder reported using some type of service for their mental health in the previous twelve months. The proportion of service use among those who presented a persistent psychiatric condition was 27%. Table 1 also describes the mean costs of mental health-related service use in the past year, by psychiatric trajectory (from no diagnosis to persistent psychiatric diagnosis). Bivariate analyses showed a non-significant association between psychiatric trajectory and mean annual costs.

Comment 15: *The 12-month service use and service use cost means are presented in Tables 1 and 2. Repeating the same findings across two tables should be avoided*

Response: We have deleted the last line of Table 2 (overall services cost).

Comment 16: *The paragraph on page 12 lacks a description of the cost associated with each service? For e.g., although CAPS is not a highly prevalent service, the associated cost makes for a lion contribution to the public purse. This needs to be presented and discussed.*

Response: Thank you very much for your suggestion. We edited this paragraph as follows:

Utilisation of mental health services in the previous 12 months and associated cost by type of service are presented in Table 2. Overall, 10.0% of the sample (n=143)

used some sort of health, education, criminal justice or social care service for mental health problems. **Disaggregating by sectors, the health sector had highest proportion of service users (9%), while the education and social care and criminal justice sectors were less frequently contacted with a 1.8% and 1.3% of users, respectively.** Outpatient mental health services, most notably psychologists and psychiatrists in settings other than community mental health clinics, were the most frequently used services/professionals. **Inpatient services and general health services** such as GP/family doctor, paediatrician and emergency department, were less frequently used.

In the education sector, school assistant was the most type of service used by young people, while guardianship council was the most frequently social care service contacted. The total cost of 12-month mental health-related service use for the public purse was 70,110.23 USD. The sector that presented higher total annual cost was the health sector, followed by the education and finally the social care and criminal justice sectors. The services that generated the greatest total costs for the health sector were psychologist (11,339.64 USD) and CAPS (9,628.01 USD). Among those who used services, the average annual cost of service use amounted to 527.14 USD (SD= 908.10 USD, range=8.77- 7,605.58 USD, median=221.10 USD, interquartile range=545.28) per user. Individuals using CAPS (specialty mental health) services (1.1% of the sample) had the highest mean number of visits during the previous year and the highest associated costs among health services. The second highest mean costs in the health sector were related to hospitalizations in psychiatric hospitals and alcohol and drugs clinics, while the lowest mean costs were attributed to emergency department, paediatrician, outpatient alcohol and drugs and GP/family doctor contacts. Although only 0.1% of individuals used shelters, this type of social service had the highest associated mean cost. Education services were used by 1.8% of individuals and these services had the second highest associated mean costs.

Comment 17: *Discussion: In line 288, the use of the terms 'above and beyond' doesn't convey much. To the best of my knowledge, the current analysis nowhere helps to reach this conclusion of above and beyond. I am requesting authors to look at terminology closely.*

Response: Thanks for your suggestion. We have removed this language. We found that impact of mental health problems on daily life and parental stigma were the most consistent and robust drivers of mental health service use and associated costs..

Comment 18: *Discussion: Lines 301-312 can be streamlined and better organised.*

Response: We have edited the cited lines as follows:

We did not find any study exploring the impact of parental stigmatising attitudes toward mental illness on child treatment costs. Other research has shown that parental stigma can impede problem recognition and help-seeking [17,43]. Higher stigma amongst parents and caregivers may discourage or delay service access for their children [16], which may reduce the short-term public sector direct costs of treatment but be detrimental in the long run. **Future research** needs to further explore the mechanisms through which parental stigma may be related to service/treatment

selection and treatment adherence, in order to explain its impact on treatment costs. Moreover, as lower parental stigma may facilitate earlier service contact, it would be interesting to investigate if lower parental stigma may result in lower costs in the longer term.

Comment 19: *Discussion: Line 327: The number of CAPS users was less, but the number of visits and costs for those who used it were very high. These were not reflected in the discussion, nor were its implication for the restructuring health system.*

Response: We appreciate your comment. We have edited the discussion as suggested: In Brazil, access to CAPS does not require any referral. However, the number of CAPS services are limited, and they are focused on treatment of severe mental disorders [24]. **The high costs incurred by the mental health sector for the treatment of psychiatric disorders in CAPS may be a result of both, the severity of patients consulting these services and because these services provide intensive outpatient treatments (reflected by the highest number of visits we found), which is costly compared with no-specialized services. It is important to highlight that the lack of youth-oriented primary care mental health services in Brazil which limits access to treatment. This could explain why we found low frequency of mental health-related contacts with GP/ family doctors.** As a result, contact with specialist mental health services only happens when the disorder has significant negative impact on the lives of young people. (Moved from the conclusion as suggested in your last comment). **In this sense, the organisation of a mental health network of care for adolescents, integrating primary care, social care, education, criminal justice and community youth-specialist services, according to the impact of cases, must be considered in Brazil to adequately plan and allocate scarce public budgets [47].**

Comment 20: *Discussion: The hypothesis stated that researchers were interested in examining the impact of persistence of psychiatric disorders from childhood to adolescence on service costs; however, the discussion did not give much attention to this part.*

Response: Thanks for rising this important comment. We have included the following paragraph:

Contrary to what we expected, we did not find an association between disorder persistence and costs. Our analyses instead found that impact of the disorder on adolescent's life was the most important clinical predictor and that this was what seemed to drive service use rather than type or persistence of diagnosis. Nevertheless, it is important to consider that we have estimated annual costs, and these do not necessarily reflect the cumulative economic costs of persistent cases across childhood and adolescence.

Comment 21: *Conclusion: Some of the text in the last paragraph of the conclusion, i.e. those referring to implications, can be moved to discussion and expanded further. I'm not able to comment on cost analysis as this is not my area of expertise.*

Response: Thanks for your suggestions, we have moved some conclusions to the discussion as explained in response to your Comment #20.