Title:

Basal Ganglia Engagement during REM Sleep Movements in Parkinson's Disease

Running Head:

GPi Activity during REM Sleep and Awake Movements

Author list:

Ajay K. Verma¹*, Sergio Francisco Acosta Lenis¹*, Joshua E. Aman¹, David Escobar Sanabria¹, Jing Wang¹, Amy Pearson¹, Meghan Hill¹, Remi Patriat², Lauren E. Schrock¹, Scott E. Cooper¹, Michael C. Park^{1,3}, Noam Harel^{2,3}, Michael J. Howell¹, Colum D. MacKinnon¹, Jerrold L. Vitek¹, Luke A. Johnson^{1†}

*These authors contributed equally

¹ Department of Neurology, University of Minnesota, Minneapolis, Minnesota, USA

² Department of Radiology, University of Minnesota, Minneapolis, Minnesota, USA

³ Department of Neurosurgery, University of Minnesota, Minneapolis, Minnesota, USA

[†]Corresponding Author:

Luke A. Johnson, PhD Department of Neurology, University of Minnesota Lions Research Building 410 2001 6th St SE Minneapolis, MN 55455 Email: joh03032@umn.edu Minneapolis, MN 55455

+1 314-479-6700

Beta (13-35 Hz) movement-related modulation by direction

b

а



Supplementary Figure 1. GPi DBS recording locations and movement-related beta and highfrequency power modulation by direction.

(a) Schematic of an Abbott directional "1–3–3–1" lead, illustrating in orange that bipolar paired recordings from vertically adjacent segments were used in this study. (b) DBS lead implant

locations for each patient, estimated from preoperative MRI and postoperative CT scans. Axial reconstructions are shown, with recording segment used in primary analysis (**Figure 1**, Results section) shown in orange, chosen based on the segment direction with largest movement-related modulation of beta band activity (see Methods). Recordings were made in right GPi in patients 1-3 and in left GPi in patient 4; left GPi images were mirrored for visualization. Subject 3 was implanted with a Boston Scientific directional lead (see Methods) which has alternative contact labeling compared to Abbott, however for visualization in this figure, directions were assigned A, B and C labels. Distributions (lower panels) and median magnitude (upper panels) of beta band modulation in each direction are presented. To determine statistically significant directionality of oscillatory activity, the Kruskal-Wallis test was performed separately on wake and REM sleep datasets to determine if distributions in the three directions come from the same distribution (p<0.05), followed by pairwise tests correcting for multiple comparisons. Analogous plots but for high-frequency band power modulations are shown in **(c)**. Boxplot elements: center line, median; box limits, upper and lower quartiles; whiskers, 1.5×interquartile range; +sign, outliers.