Supplementary Information

Energy comparison of sequential and integrated CO2 capture and electrochemical conversion

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Supplementary note 1

Estimation of energy consumption for the integrated electrolyser

A summary of variables definition and values for the equations below are given in Supplementary Table 1.

Anode potential

We assumed the anode reaction for the gas-fed electrolyser is to evolve oxygen from water in a basic environment (1 M KOH aqueous solution, $pH = 14$). The anode's overpotential is the same as the gasfed CO2 electrolysis process. The charge-transfer reactions at the anode can be described by the Tafel equation when the anode overpotential is larger than 200 mV:

$$
j = j_o \times \left(\frac{c}{c^{ref}}\right)^{\gamma} \times exp\left(\frac{\alpha_a z_o F}{RT} \times \eta\right)
$$
 (1)

The overall anode potential can be calculated by:

$$
E_{anode} = U + \eta \tag{2}
$$

Ohmic loss

We assumed the electrode is far more electronically conductive than the membrane and electrolytes. The ohmic losses should be a sum of resistances at the ion-exchange membrane, catholyte and anolyte. The ohmic area specific resistance of the membrane or electrolytes can be determined by:

$$
ASR_k = \frac{1}{\sigma_k} \times L_k \tag{3}
$$

The ohmic loss can be estimated by:

$$
\eta_{ohm} = \sum_{k} ASR_k \times j \tag{4}
$$

Supplementary Figure 1 shows a sum of our estimated anode potential and ohmic loss based on our assumptions. (see Supplementary Table 1)

Supplementary Figure 1 Relation between current densities and potentials (without cathode potential) for the integrated CO₂ electrolyser with (a) 30 wt% monoethanolamine solution as the **catholyte and (b) 30 wt% monoethanolamine solution with inorganic salts as the catholyte that has the same ionic conductivity (21.5 S m-1) for the 1 M KOH.**

The overall cell voltage can be estimated by:

$$
E_{cell} = E_{anode} - E_{cathode} + \eta_{ohm}
$$
 (5)

The cathode potentials were extracted from literature data summarized in Supplementary Table 2. The Nernstian overpotential, defined as the potential related to the pH differences at cathode and anode, was not considered in this work.

The energy consumed to convert 1 mol $CO₂$ to CO electrochemically can be calculated by:

$$
Q = \frac{E_{cell} \times j \times z \times F}{FE_{CO} \times j}
$$
 (6)

Estimation of energy consumption for gas-fed $CO₂$ electrolyser

We estimated the energy consumption by using the Eq.1-7, where the cell voltages were reported in recent literature, summarized in Supplementary Table 3.

Supplementary Table 1 Summary of variables definition and values for supplementary note 1

Supplementary Table 2 Summary of recent reports on electrochemical CO2 reduction directly from concentrated amine solutions

* These values are our estimations because the original paper did not report the exact values.

Supplementary Table 3 Summary of examples of normal CO2 electrolysis to produce CO.

Supplementary note 2

Sequential route

The flow diagram of the sequential route is shown in Supplementary Figure 2.

Separation Route (Sep)

Supplementary Figure 2 A flow diagram of separation of CO2 capture and electrolysis process to produce CO.

Key assumptions

The following are the key assumptions for the carbon balance.

CO2 electrolysis process

- The electrolysis cell voltage is 3 V with a CO Faradaic efficiency of 90%. This assumption can be validated by Supplementary Table 3.
- We assumed the gas-fed electrolyser has a 50% CO₂ utilisation efficiency, meaning for 1 mol CO_2 conversion to CO , 1 mol CO_2 would be lost in the formation of (bi)carbonate. The CO2 loss in (bi)carbonate is due to the high local pH at the catalyst surface, which leads to the homogenous reactions between OH and $CO₂$. (see reactions (7) – (9)) This phenomenon has been reported in recent literatures.²¹ Although this critical issue can be addressed by introducing protons close to the catalyst surface (e.g., using acidic catholyte, $22,23$ cationexchange membrane, 24 and bipolar membrane 25), in this work we chose the widely reported CO2 electrolyser containing alkaline catholytes (e.g., KOH) or based on membraneelectrode assemblies in the sequential route.

$$
CO_2 + H_2O + 2e^- \to CO + 2OH^-
$$
 (7)

$$
CO_2 + 2OH^- \to CO_3^{2-} + H_2O \tag{8}
$$

$$
CO_2 + OH^- \rightarrow HCO_3^- \tag{9}
$$

The formed (bi)carbonate does not evolve $CO₂$ in the electrolyser. There are studies reporting the detection of CO2 from the anode chamber likely due to the acidification at the anode, but such process will cause increased anode overpotential. For simplicity, we assumed all the formed (bi)carbonates will be regenerated in the regeneration unit downstream.

- The formed (bi)carbonates will be regenerated to become $CO₂$ for electrolysis. The energy for the regeneration is assumed to be 254 kJ mol⁻¹, including 231 kJ mol⁻¹ heat duty and 23 kJ mol⁻¹ electricity.^{21,26}
- The product of CO_2 conversion is the mixture of CO and H_2 , which can be the feedstock for the manufacturing processes such as syngas in the Fischer-Tropsch process.
- The electrolyser has a single-pass overall $CO₂$ conversion rate of 50 %, meaning that 25 mol% of CO product and 25 mol% of (bi)carbonate formation.

Amine scrubbing unit

- This work limits the scope to the traditional monoethanolamine-based scrubbing process due to the widely reported data and demonstration of pilot plants in the past decades.²⁷⁻³²
- The amine scrubbing unit for the downstream separation requires similar energy to recover $CO₂$ from amine solutions.
- The CO_2 loading in the CO_2 -rich amine stream from the absorption column is 0.5 mol CO_2 per mol amine, and the CO_2 -lean stream contains 0.3 mol per mol amine.^{33,34}
- The heat duty to separate $CO₂$ from amine solutions in the stripper is between $88 203$ kJ mol⁻¹ if there is heat integration in the process.^{30,32,35,36} The baseline is assumed to be 179 kJ mol $_{CO2}$ ⁻¹ to reflect a higher TRL proof of concept.
- Compression of the CO_2 after CO_2 recovery requires 14 19 kJ mol⁻¹.

Overall process

- A pressure swing adsorption process is assumed to separate $CO₂$ from the electrolyser cathode gas effluent because the pressure-swing adsorption only requires $14.5 - 36.4$ kJ per mol $CO₂$.³⁷⁻³⁹
- This work is only focused on evaluating the dominant energies involved in this sequential route, which includes the heat to regenerate the amine, the heat for electrolyser gas product separation, and electrical energy for the $CO₂$ conversion. Other energies required for pump, compressor, cooling and hot water utilities are not considered in our model.
- The thermal energy price is assumed to be \$2.69 per million British Thermal Units⁴⁰, and the electricity price is assumed to be $$0.04$ per KWh.⁴¹

Carbon balance

$$
F_{in} - F_{out} - F_c = 0 \tag{10}
$$

$$
F_{in} = F_o + F_s + F_c \tag{11}
$$

$$
F_{out} = F_s + F_p \tag{12}
$$

We assumed the single pass conversion x of CO_2 in the electrolyser is 0.5, so F_{in} and F_s indicate the $CO₂$ inlet and outlet flow rates, respectively. Therefore, the $CO₂$ balance is:

$$
F_{in} - F_s = F_{in} x \tag{13}
$$

We assumed that the overall process is at steady state and all the CO₂ captured are converted to CO.

$$
F_o = F_p \tag{14}
$$

The $CO₂$ utilisation efficiency is assumed to 50%, so the carbonation rate and CO production rate is same.

$$
F_c = F_p \tag{15}
$$

Combining Eq. 2-1, 2-4, 2-5, 2-6 yields the influent flow rate:

$$
F_{in} = \frac{2F_o}{x} \tag{16}
$$

Combining Eq. 2-4 and 2-7 yields the $CO₂$ recovery rate from separation process.

$$
F_s = 2F_o(\frac{1}{x} - 1) \tag{17}
$$

Energy analysis

Energy for amine regeneration and $CO₂$ recovery:

$$
Q_{ar} = Q_{sar} \times \frac{F_o}{F_o} \tag{18}
$$

$$
Q_{psa} = Q_{sspa} \times \frac{F_s}{F_o}
$$
 (19)

The equivalent work for the amine regeneration can be calculated from:

$$
W_{ar} = \epsilon_{turbine} \times \frac{T_{stm} - T_{sink}}{T_{stm}} \times Q_{ar}
$$
 (20)

Because of $F_c = F_o$ in our assumption, the energy to recover one molar CO₂ from the (bi)carbonate is:

$$
Q_c = Q_{sc} \times \frac{F_c}{F_o} \tag{21}
$$

Energy required for $CO₂$ electrolysis:

$$
Q_e = \frac{E \times j}{j \times FE/(z \times F)} \times \frac{F_o}{F_o} \tag{22}
$$

9

Energy required for product separation:

$$
Q_{psa} = Q_{spsa} \times \frac{F_s}{F_o} \tag{23}
$$

The sum of the key energies for the sequential route is:

$$
Q_{sequential} = Q_{ar} + Q_{compression} + Q_c + Q_e + Q_{psa}
$$
\n(24)

If there is no carbonate formation, then the conversion rate x is the conversion rate of $CO₂$ to $CO₂$ product. There are following changes in calculating F_s and Q_{sep} .

$$
F_s = F_o(\frac{1}{x} - 1)
$$
 (25)

$$
Q_{psa} = Q_{spsa} \times \frac{F_s}{F_o} \tag{26}
$$

$$
Q_{sequential} = Q_{ar} + Q_{compression} + Q_e + Q_{psa}
$$
 (27)

Integrated route

The flow diagram of the integrated route is shown in Supplementary Figure 3.

Key assumptions

We made a few assumptions as follows to simplify our calculations.

Electrolyser

The electrolyser directly reduces $CO₂$ -rich amine stream from the absorber to CO gas product.

- There is no carbonate formation in the electrolyser because there are no gaseous $CO₂$ in the electrolyser to react with OH- .
- Considering the mass transfer limitations, we assume the electrolyser can only achieve a CO2 loading of X in the effluent of the electrolyser.
- The amine solution is stable during electrolysis. Lee et al.⁹ recently demonstrated the ability of amine recycling after CO₂ electrolysis.

Separation

• To maintain the same $CO₂$ loading in the amine solutions, a separation and electrolysis process was included. This process includes amine regenerations, $CO₂$ gas-fed flow-cell electrolyzer, carbonate regeneration, and downstream separation process. This process simplifies our model to estimate the maximum energy penalty due to a higher X than X_{out} .

Amine absorption unit

We assumed both separation and integrated routes have the same absorption unit in the capture process.

Carbon balance

The following equation calculates the flow rate of $CO₂$ in amine solution that is converted in the $CO₂$ electrolyser.

$$
F_{pc} = F_a \times (X - X_{in})
$$
\n⁽²⁸⁾

The flow rate of the rest $CO₂$ to the separation & electrolysis process is

$$
F_{ps} = F_a \times (X - X_{out})
$$
\n⁽²⁹⁾

Energy analysis

The energy required to convert one mole $CO₂$ in the amine solutions in the integrated electrolysis:

$$
Q_{e_integrated} = \frac{F_a}{F_a} \times \frac{X_{in} - X}{X_{in} - X_{out}} \times \frac{E_{integrated} \times j}{j \times FE_{integrated} / (z \times F)}
$$
(30)

The energy required to capture and convert the $CO₂$ in the normal electrolyser in the separation $\&$ electrolysis process:

$$
Q_{e_integrated_s} = \frac{F_a}{F_a} \times \frac{X - X_{out}}{X_{in} - X_{out}} \times \frac{E \times j}{j \times FE/(z \times F)}
$$
(31)

The energy required for amine regeneration in the overall process is:

$$
Q_{ar_integrated} = \frac{F_a}{F_a} \times Q_{sar} + Q_{ar} \times \frac{F_a}{F_a} \times \frac{X - X_{out}}{X_{in} - X_{out}}
$$
(32)

The energy required for (bi)carbonate regeneration process is:

$$
Q_{c\text{-integrated}} = \frac{F_a}{F_a} \times \frac{X - X_{out}}{X_{in} - X_{out}} \times Q_c
$$
 (33)

The sum of the key energies for the integrated route is:

$$
Q_{integrated} = Q_{ecomb} + Q_{ecomb} + Q_{arcomb} + Q_{ccomb}
$$
\n(34)

Supplementary Table 4 lists all the variables used in the carbon balance and energy analysis. The energy cost can be calculated by:

$$
Cost_{thermal} = Q_{thermal} \times \frac{p_{thermal}}{10^6} / 1.055
$$
 (35)

$$
Cost_{electricity} = Q_{electricity} \times \frac{p_{electricity}^2}{3600 \times 44} \times 10^6
$$
 (36)

Supplementary Table 4 Summary of the variables and their definitions

Supplementary Figure 4 A schematic illustration of the integrated route that requires a sizeable absorber to account for the incomplete conversion in the integrated electrolysis system.

Supplementary Figure 5 Impacts on overall integrated energy cost from integrated electrolysers. a, Effect of energy cost **b,** CO FE at a cell voltage at 3, 4, and 5 V and **c,** cell voltages with CO Faradaic efficiency (FE) of 40%, 70%, and 90% on the overall energy cost of the integrated route. The grey dashed line represents the energy cost of the sequential route based on state-of-the-art gas-fed $CO₂$ electrolysers. The blue region means that the integrated route is more energy-efficient than the sequential route.

Supplementary Figure 6 Effects of operating conditions and solvent properties on the overall energy costs of the sequential and integrated route. The overall energy cost of the sequential route and integrated route as a function of \bf{a} , single-pass conversion for gas-fed CO_2 electrolysis (note that the single-pass conversion is the ratio of total CO_2 consumed vs. the CO_2 feed), **b**, CO_2 loading in the effluent of the integrated electrolyser from capture media in the sequential route, **c,** energy required to regenerate amine-based capture medium with heat integration included, and **d**, the energy required to separate product from the effluent stream of gas-fed $CO₂$ electrolysers. The figures show baseline (green), pessimistic (grey), and optimistic (red) scenarios of the integrated route. The blue region is where the integrated route has energy advantages, while the orange region is vice versa.

Supplementary Figure 7 Comparison of the thickness of hydrodynamic boundary layers (δ_{BL}) at **the cathode for (a) integrated electrolysis if the cell configuration is similar to gas-fed H-cell and (b) gas-fed electrolysis.**

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