

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (<u>http://bmjopen.bmj.com</u>).

If you have any questions on BMJ Open's open peer review process please email <u>info.bmjopen@bmj.com</u>

BMJ Open

## **BMJ Open**

## **Risk of cardiovascular events after Streptococcus pneumoniae infection: A retrospective cohort LIFE study**

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-059713
Article Type:	Original research
Date Submitted by the Author:	30-Nov-2021
Complete List of Authors:	Nishimura, Naoaki; Kyushu University Fukuda, Haruhisa; Kyushu University
Keywords:	Epidemiology < INFECTIOUS DISEASES, Cardiac Epidemiology < CARDIOLOGY, EPIDEMIOLOGY

<b>SCHOLARONE</b> <sup>™</sup>	
Manuscripts	



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our <u>licence</u>.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which <u>Creative Commons</u> licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

reliez oni

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

## **BMJ** Open

## Risk of cardiovascular events after *Streptococcus pneumoniae* infection: A retrospective cohort LIFE study

Naoaki Nishimura<sup>1)</sup>, Haruhisa Fukuda<sup>2)\*</sup>

<sup>1)</sup> Kyushu University School of Medicine, Fukuoka, Kyushu, Japan

<sup>2)</sup> Kyushu University Graduate School of Medical Sciences, Fukuoka, Kyushu, Japan

\* Corresponding Author:

Haruhisa Fukuda, MPH, PhD

Department of Health Care Administration and Management, Kyushu University Graduate School of Medical Sciences

3-1-1 Maidashi Higashi-ku Fukuoka 812-8582, Japan Phone: +81-92-642-6956 Fax: +81-92-642-6961

Email address: h fukuda@hcam.med.kyushu-u.ac.jp

## \* Word count

2615 words

**Contributors** NN and HF designed the study. HF provided the data. NN analyzed the data. NN prepared the first draft of the manuscript. HF made critical revisions to the manuscript. All authors reviewed and approved the final draft.

**Funding** The construction of the LIFE Study database was funded by a Grant-in-Aid for Scientific Research by the Japan Society for the Promotion of Science (Grant No. JP20H00563). Data analysis and publication were funded by an Investigator-Sponsored Research grant from Pfizer Japan Inc. **Competing interest** HF received an Investigator-Sponsored Research grant from Pfizer Japan Inc. **Patient and public involvement** Patients and/or the public were not involved in the design, conduct, reporting, or dissemination plans of this research.

Patient consent for publications Not required.

**Data availability statement** The data used in this study were acquired under agreements with the participating municipalities, which stipulate that the data can only be used by authorized research institutions and cannot be shared with third parties.

3 4	Abstract
5	Objectives: To elucidate the risk of cardiovascular event occurrence following Streptococcus
6 7	pneumoniae infection.
8	<b>Design:</b> Retrospective cohort study using a LIFE Study database.
9 10	Setting: Three municipalities in Japan.
11	Participants: Municipality residents who were enrolled in either National Health Insurance
12 13	or the Latter-Stage Elderly Healthcare System from April 2014 to March 2020.
13	Exposure: Occurrence of S. pneumoniae infection.
15 16	Primary Outcome Measures: Occurrence of one of the following cardiovascular events that
17	led to hospitalization after S. pneumoniae infection: (1) coronary heart disease (CHD), (2)
18 19	heart failure (HF), (3) stroke, or (4) arrhythmia.
20	Results: S. pneumoniae-infected patients were matched with non-infected patients for each
21 22	cardiovascular event. We matched 271 infected patients and 88,407 non-infected patients for
22	CHD, 242 infected patients and 80,025 non-infected patients for HF, 252 infected patients and
24 25	87,626 non-infected patients for stroke, and 299 infected patients and 91,631 non-infected
26	patients for arrhythmia. During follow-up, the incidence rates for the matched infected and
27 28	non-infected patients were, respectively, 59.2 (95% confidence interval: 37.5-88.8) and 53.5
28 29	(52.3–54.8) per 1000 person-years for CHD; 89.0 (60.9–125.7) and 64.7 (63.3–66.0) per 1000
30 31	person-years for HF; 95.7 (66.7–133.1) and 52.0 (50.8–53.2) per 1000 person-years for
31 32	stroke; and 66.0 (43.5–96.0) and 40.0 (38.9–41.0) per 1000 person-years for arrhythmia.
33	Infected patients were significantly more likely to develop stroke (adjusted hazard ratio: 1.86,
34 35	95% confidence interval:1.26–2.76) and arrhythmia (1.58, 1.03–2.43) than their non-infected
36	counterparts.
37 38	<b>Conclusions:</b> <i>S. pneumoniae</i> infections elevate the risk of subsequent stroke and arrhythmia

Conclusions: S. pneumoniae infections elevate the risk of subsequent stroke and arrhythmia occurrence. These findings indicate that pneumococcal infections not only have short-term effects on patients' health, but also increase their mid-to long-term susceptibility to serious cardiovascular events.

## Keywords

Streptococcus pneumoniae infection; cardiovascular events; retrospective cohort study

## Article Summary (Strengths and Limitations of this study)

- This study comparatively examined both *Streptococcus pneumoniae*-infected patients and noninfected controls to elucidate the association between pneumococcal infections and subsequent cardiovascular events.
- While prior studies mostly focused on short-term outcomes, our study period spanned from April 2014 to March 2020 to examine the mid-to long-term risks of cardiovascular events following pneumococcal infection.
- Despite a relatively large study sample and long study period, *S. pneumoniae* infections and cardiovascular events were identified using only diagnosis codes in the claims data.
- Our study did not account for patients' lifestyle factors (e.g., tobacco and alcohol consumption), socioeconomic factors, or pneumococcal vaccination statuses.

## Introduction

Community-acquired pneumonia is a major infectious disease that frequently leads to hospitalization, and exhibits high morbidity and mortality rates across numerous countries [1, 2]. *Streptococcus pneumoniae* is the causal pathogen for a large proportion of pneumonia cases that require hospital-based care [3]. As older persons are more susceptible to pneumococcal pneumonia [4], this condition represents a particularly serious public health problem in countries with aging populations. In addition to its acute effects, pneumonia is also known to increase the mid-to long-term health risks of infected patients, thereby placing a heavy clinical and economic burden on patients and society [2, 5].

Previous cohort studies have reported that pneumonia is associated with an increased risk of the following conditions: overall cardiac events [6-14], acute coronary syndrome [6, 8, 9, 11, 12, 14-17], heart failure (HF) [6, 9-14, 16, 18], arrhythmia [6, 8-14, 16, 17, 19, 20], and stroke [11, 12, 17]. However, the majority of these studies focused on pneumonia patients without comparisons with non-infected controls, and generally used relatively short follow-up periods. Furthermore, only a few studies in the existing literature have explored the effects of pneumonia on subsequent cardiovascular disease [7, 18, 21].

In order to accurately evaluate the impact of *S. pneumoniae* infection on subsequent cardiovascular disease, there is a need for long-term cohort studies that compare infected patients with matched non-infected controls. This study aimed to elucidate the risk of cardiovascular event occurrence following *S. pneumoniae* infection using administrative claims data acquired from infected and non-infected patients in 3 Japanese municipalities. The study also examined if these risks differ among age groups.

#### Methods

#### Study data

Data were provided by the Longevity Improvement & Fair Evidence (LIFE) Study, which is managed by Kyushu University (Fukuoka, Japan). In the LIFE Study, participating municipalities voluntarily provide administrative claims data for research purposes. These claims data are acquired from the municipalities' residents who are enrolled in either National Health Insurance or the Latter-Stage Elderly Healthcare System, and encompass information on patient characteristics and reimbursement claims for all insurance-covered healthcare provided in the inpatient and outpatient settings. Enrollees in National Health Insurance include the self-employed, agricultural and fishery workers, part-time workers, retirees, and their dependents. Enrollees in the Latter-Stage Elderly Healthcare System include residents aged ≥75 years. The number of municipalities participating in the LIFE Study varies over time owing to differences in agreement contracts, with the earliest participant providing data from April 2014. The majority of the participating municipalities provide data from April

2015 onward. As of 2021, the LIFE Study is able to conduct longitudinal studies with 5-year follow-up periods.

For this study, claims data from April 2014 to March 2020 were acquired from insurance enrollees who were residing in 3 municipalities (residential populations: 58,000, 121,600, and 305,200) in Fukuoka Prefecture.

#### Study subjects

First, patients with *S. pneumoniae* infections were identified through diagnosis codes developed by Japan's Ministry of Health, Labour and Welfare. The identification method was based on the approach described by Imai et al. [22]. The occurrence of subsequent cardiovascular events (coronary heart disease [CHD], HF, arrhythmia, and stroke) was identified using International Classification of Diseases, 10th revision (ICD-10) codes. We excluded patients with records of cardiovascular events before *S. pneumoniae* infection, patients with records of cardiovascular events during the index hospitalization for *S. pneumoniae* infection, and patients without any claims data  $\geq$ 12 months before *S. pneumoniae* infection.

Next, we set each infected patient's index date as the last day of the month containing a recorded *S. pneumoniae* infection. The infected patients were then matched with a cohort of non-infected patients according to age (within 5 years), sex, and Charlson Comorbidity Index score at the index date using sampling without replacement. Charlson Comorbidity Index scores were calculated based on the following conditions: myocardial infarction, congestive HF, peripheral vascular disease, cerebrovascular disease, dementia, chronic pulmonary disease, rheumatic disease, peptic ulcer disease, liver disease, diabetes with/without chronic complications, hemiplegia or paraplegia, malignancy, metastatic solid tumor, and HIV/AIDS. The index date for each non-infected patient was set as the same date as his/her matched infected case. We excluded non-infected patients who had experienced cardiovascular events before their index dates.

#### *Outcome measure*

The outcome measure was the occurrence of a cardiovascular event that led to hospitalization after the *S. pneumoniae* infection date. Among inpatients, the infection date was set as the first date of admission for the in-hospital treatment of an *S. pneumoniae* infection. Among outpatients, the infection date was set as the first date of any medical treatment with a diagnosis code indicating an *S. pneumoniae* infection.

Next, we examined the subsequent occurrence of each of the following 4 cardiovascular events that led to hospitalization: (1) CHD (ICD-10 codes: I20-25), (2) HF

#### **BMJ** Open

(I11, I50), (3) stroke (I60-69), and (4) arrhythmia (I47-49). The occurrence date of each cardiovascular event was set as the date of admission for the in-hospital treatment of that event.

Patients who had died during the observation period without developing any cardiovascular event were followed-up until the last date of medical treatments in the claims data. Patients who had died during the observation period after developing a cardiovascular event were followed-up until the date of the cardiovascular event occurrence. All survivors were followed-up until the end of their municipality's observation period. The ends of the observation periods ranged from September 2019 to March 2020 among the municipalities. **Figure 1** shows an overview of the follow-up process.

#### Statistical analysis

Our analysis was designed to examine the possible effects of *S. pneumoniae* infection on the subsequent occurrence of cardiovascular events, and to determine if these effects differed among age groups. For each of the 4 target cardiovascular events, we calculated the number of events for the infected group and non-infected group during the observation period, and estimated the incidence rates per 1000 person-years. Cox proportional hazards models were constructed to estimate the hazard ratio (HRs) and 95% confidence interval (CIs) of each cardiovascular event in the infected group relative to the non-infected group. The Kaplan–Meier method was used to calculate the cumulative probability of cardiovascular event occurrence in the 2 groups. In addition, we analyzed the patients stratified according to the following age groups: 0-49 years, 50-64 years, and  $\geq 65$  years.

All statistical analyses were performed using R (version 4.1.0) and R Studio (version 1.4.1106) software. Two-tailed *P* values below 0.05 were considered statistically significant.

#### Ethical considerations

The study was approved by the Kyushu University Institutional Review Board for Clinical Research (Approval No. 2019-406).

#### Patient and public involvement

Patients and the public were not involved in the design, conduct or reporting in our study.

#### Results

 We first identified 698 *S. pneumoniae*-infected patients and 253,302 non-infected patients between April 1, 2014 and March 31, 2020 (**Figure 2**). After applying the exclusion criteria, 489 eligible infected patients were included in the analysis. Among the infected patients that could be successfully matched with non-infected patients for each cardiovascular event, we identified 271 infected patients without prior CHD, 242 infected patients without prior HF, 252 infected patients without prior stroke, and 299 infected patients without prior arrhythmia. Using matching criteria of age, sex, and Charlson Comorbidity Index score, we matched 88,407, 80,025, 87,626, and 91,631 non-infected controls with the infected patients for CHD, HF, stroke, and arrhythmia, respectively. The non-infected patients were followed-up from the first *S. pneumoniae* infection date of their matched infected patients. **Table 1** shows the characteristics and comorbidities of the infected and non-infected patients.

 
 Table 2 summarizes the risk of each cardiovascular event after S. pneumoniae
 infection. The observation periods of the infected and non-infected patients (weighted by the proportion of the infected patients) were, respectively, 389 and 141,705 person-years for CHD; 359 and 135,271 person-years for HF; 366 and 140,452 person-years for stroke; and 409 and 143,792 person-years for arrhythmia. During follow-up, the incidence rates for the infected and non-infected patients were, respectively, 59.2 (95% CI: 37.5-88.8) and 53.5 (95% CI: 52.3–54.8) per 1000 person-years for CHD; 89.0 (60.9–125.7) and 64.7 (63.3–66.0) per 1000 person-years for HF; 95.7 (66.7–133.1) and 52.0 (50.8–53.2) per 1000 person-years for stroke; and 66.0 (43.5–96.0) and 40.0 (38.9–41.0) per 1000 person-years for arrhythmia. The unadjusted HRs for cardiovascular event occurrence in infected patients (relative to noninfected patients) were 1.10 (95% CI: 0.71–1.72) for CHD, 1.37 (0.92–2.05) for HF, 1.84 (1.27–2.66) for stroke, and 1.64 (1.08–2.48) for arrhythmia. After adjusting for age, sex, and Charlson Comorbidity Index score, infected patients were significantly more likely to develop stroke (adjusted HR: 1.86, 95% CI:1.26–2.76) and arrhythmia (adjusted HR: 1.58, 95% CI: 1.03-2.43) than their non-infected counterparts. In the age-stratified analysis, S. pneumonia infections were significantly associated with a substantially higher risk of stroke occurrence in patients aged 50-64 years. Among older patients aged ≥65 years, S. pneumonia infections were significantly associated with an increased risk for all 4 cardiovascular events.

Figure 3 presents the Kaplan–Meier curves of each cardiovascular event. When compared with non-infected patients, infected patients had a significantly higher risk of incident HF, stroke, and arrhythmia (all P < 0.0001); but not CHD (P = 0.064).

#### Discussion

Through an analysis of National Health Insurance and Latter-Stage Elderly Healthcare System enrollees residing in 3 Japanese municipalities, this study comparatively examined the incidence of cardiovascular events between *S. pneumoniae*-infected patients and non-infected

#### **BMJ** Open

patients. Our results showed that the experience of *S. pneumoniae* infection significantly elevates the risk of subsequent stroke and arrhythmia. Among patients aged 50–64 years, *S. pneumoniae* infection was associated with an increased risk of stroke. Furthermore, *S. pneumoniae* infection increased the risk of all 4 cardiovascular events among older patients aged  $\geq$ 65 years. These findings may help to identify at-risk targets for expanded pneumococcal vaccination programs.

Recent studies have shown that patients with community-acquired pneumonia have a higher frequency of cardiovascular events [8, 10, 11, 16, 18, 21, 24, 25]. Our estimated incidence of arrhythmia after *S. pneumoniae* infection (9.0%) was slightly higher than that of a previous meta-analysis, which estimated an overall incidence of 7.2% among inpatients with community-acquired pneumonia [24]. This discrepancy may be explained by the fact that the meta-analysis had only included studies with short-term outcomes.

In our analysis, the estimated incidence of stroke after *S. pneumoniae* infection was considerably higher than those found in previous studies [11,16]. Perry et al. reported a stroke incidence of 0.17% in 40,979 patients during 90 days of admission for pneumonia, whereas Violi et al. reported a stroke incidence of 1.0% in 1,182 patients hospitalized for community-acquired pneumonia during in-hospital follow-up (median length of hospital stay: 11 days). Accordingly, those 2 studies had focused on the short-term incidence of stroke. However, the risk of stroke increases with age, and longer follow-up periods after *S. pneumoniae* infection would therefore provide a more accurate depiction of its risks. Furthermore, Perry et al. used ICD-9 codes to identify stroke, whereas Violi et al. identified stroke cases through clinical manifestations confirmed by computed tomography or magnetic resonance imaging [11,16]. Stroke diagnostic methods are generally reliant on imaging data, and many medical facilities in Japan are equipped with on-site computed tomography and/or magnetic resonance imaging scanners. This enables the accurate diagnosis of stroke, including cases of milder strokes, throughout Japan.

Among the studies that reported a high frequency of subsequent cardiovascular events in pneumonia patients, few have actually compared infected patients with non-infected controls. Eurich et al. performed a long-term prospective cohort study of both inpatients and outpatients with community-acquired pneumonia, and found that these infections substantially increased the risk of HF across different age groups and disease severity [18]. During a median follow-up period of 9.9 years, 11.9% of patients with pneumonia developed incident HF compared with 7.4% of the non-infected controls; furthermore, 13.3% of patients with pneumococcal bacteremia developed incident HF [18]. In contrast, 13.0% of our infected patients developed incident HF compared with 12.0% of their non-infected counterparts, with no significant difference between the groups. This discrepancy may be influenced by the fact that Eurich et al. used a control group that only controlled for age (five-year age bands) and sex, only investigated outpatients in emergency departments, and focused on severe pneumonia infections. In contrast, our study included outpatients from all types of medical

 institutions, and our control group comprised patients without any *S. pneumoniae* infection. Our study also utilized a research design that differed from Eurich et al. [18], which only matched for age and sex, and adjusted for the effects for coexisting conditions by including them as covariates in analytical models. However, we matched infected patients and noninfected controls not only by age and sex, but also by coexisting conditions through Charlson Comorbidity Index scores.

To our knowledge, few studies have shown the long-term risks of subsequent arrhythmia and stroke after S. pneumoniae infection (including non-hospitalized cases) relative to non-infected controls. Severe cases of pneumonia require hospital-based care, especially among older adults. Therefore, studies that focus on hospitalized pneumonia patients would overlook the risks associated with less severe cases. For example, although patients aged  $\leq 65$  years may have milder S. pneumoniae infections and a correspondingly lower risk of hospitalization than older patients, these infections could still elevate the risk of subsequent cardiovascular events in the younger age groups. As this study used insurance claims data that incorporated both inpatient and outpatient data, we were able to identify the risk of cardiovascular events after S. pneumoniae infection in patients regardless of whether they required hospitalization. Moreover, our study excluded patients who had subsequent cardiovascular events during the index hospital stay for S. pneumoniae infection. For patients who were admitted to hospital due to S. pneumoniae infection, we only monitored for cardiovascular events that occurred after discharge. Most studies have reported the short-term risks of cardiovascular events during or after acute infections, and the long-term impact of pneumonia on subsequent cardiovascular disease occurrence is less clear. Therefore, our study provides new insight into the mid-to long-term effects of milder S. pneumoniae infections treated in outpatient settings as well as severe S. pneumoniae infections that require hospitalization.

A previous study identified the major causative organisms of community-acquired pneumonia to be *S. pneumoniae*, *Haemophilus influenzae*, *Mycoplasma pneumoniae*, *Chlamydophila pneumoniae*, *Legionella pneumophila*, *Staphylococcus aureus*, and several viral pathogens (including influenza A and B) [26]. *S. pneumoniae* reportedly reduces cardiac contractility by increasing cardiomycyte uptake of bacterial cell wall antigens [27]. Many studies that seek to understand the pathogenesis of cardiovascular events following pneumonia focus on infections caused by *S. pneumoniae* [28]. Several studies have proposed that *S. pneumoniae* cell wall components and pneumolysin (a pore-forming toxin) trigger pro-inflammatory mechanisms that ultimately result in cardiac damage [29-32]. Furthermore, the infection-mediated hyperactivation of platelets can create a pro-inflammatory and prothrombotic environment that facilitates the occurrence of cardiovascular events and cardiac damage [30]. Pneumonia and other infections can trigger fever, hypoxia, and hemodynamic disturbance in patients, which are all risk factors of atrial fibrillation and its associated cardiac damage [33].

#### **BMJ** Open

The study limitations are as follows. First, *S. pneumoniae* infections and cardiovascular events were identified using diagnosis codes and ICD-10 codes, respectively. Therefore, our analysis may be vulnerable to coding errors. Second, our study could not account for patients' lifestyle factors (e.g., tobacco and alcohol consumption), socioeconomic factors, or pneumococcal vaccination statuses. Third, our study population was limited to enrollees of Japan's National Health Insurance and Latter-Stage Elderly Healthcare System, and the findings may not be extrapolatable to those enrolled in other insurance systems.

### Conclusion

*S. pneumoniae* infections elevate the risk of subsequent stroke and arrhythmia occurrence. These findings indicate that pneumococcal infections do not only have short-term effects on patient health, but also increase the mid-to long-term susceptibility to serious cardiovascular events. With a greater understanding of *S. pneumoniae* infection's far-reaching impact, further studies are needed to explore the possible benefits of expanding current pneumococcal vaccination programs.

## References

- 1. Trotter CL, Stuart JM, George R, Miller E. Increasing hospital admissions for pneumonia, England. Emerg Infect Dis 2008; 14: 727–33
- 2. Eurich DT, Marrie TJ, Minhas-Sandhu JK, Majumdar SR. Ten-Year Mortality after Community-acquired Pneumonia. A Prospective Cohort. Am J Respir Crit Care Med 2015; 192: 597-604.
- 3. Musher DM. Streptococcus pneumoniae. In: Mandell GL, Bennett JE, Dolin R, eds. Mandell, Douglass and Bennett's principles and practice of infectious diseases. Philadelphia: Churchill Livingstone 2005: 2392–411
- 4. Fry AM, Shay DK, Holman RC, Curns AT, Anderson LJ. Trends in hospitalizations for pneumonia among persons aged 65 years or older in the United States, 1988–2002. JAMA 2005; 294: 2712–2719.
- Jasti H, Mortensen EM, Obrosky DS, Kapoor WN, Fine MJ. Causes and risk factors for rehospitalization of patients hospitalized with community-acquired pneumonia. Clin Infect Dis 2008; 46: 550–6.
- 6. Postma DF, Spitoni C, Van Werkhoven CH, Van Elden LJR, Oosterheert JJ, Bonten MJM. Cardiac events after macrolides or fluoroquinolones in patients hospitalized for community-acquired pneumonia: Post-hoc analysis of a cluster-randomized trial. BMC Infect Dis 2019; 19: 17.
- Corrales-Medina VF, Alvarez KN, Weissfeld LA, Angus DC, Chirinos JA, Chang CH, Newman A, Loehr L, Folsom AR, Elkind MS, et al. Association Between Hospitalization for Pneumonia and Subsequent Risk of Cardiovascular Disease. JAMA 2015; 313: 264–274.
- Cangemi R, Calvieri C, Falcone M, et al. Relation of Cardiac Complications in the Early Phase of Community-Acquired Pneumonia to Long-Term Mortality and Cardiovascular Events. Am J Cardiol 2015; 116: 647-651.
- 9. Griffin AT, Wiemken TL, Arnold FW. Risk factors for cardiovascular events in hospitalized patients with community-acquired pneumonia. Int J Infect Dis 2013; 17: e1125-e1129.
- 10. Corrales-Medina VF, Musher DM, Wells GA, Chirinos JA, Chen L, Fine MJ. Cardiac complications in patients with community-acquired pneumonia: incidence, timing, risk factors, and association with short-term mortality. Circulation 2012; 125: 773-781.
- 11. Violi F, Cangemi R, Falcone M, et al. Cardiovascular Complications and Short-term Mortality Risk in Community-Acquired Pneumonia. Clin Infect Dis 2017; 64: 1486-1493.
- 12. Aliberti S, Ramirez J, Cosentini R, et al. Acute myocardial infarction versus other cardiovascular events in community-acquired pneumonia. ERJ Open Res 2015; 1: 00020-2015
- 13. Viasus D, Garcia-Vidal C, Manresa F, Dorca J, Gudiol F, Carratalà J. Risk stratification and prognosis of acute cardiac events in hospitalized adults with community-acquired pneumonia. J Infect 2013; 66: 27-33.
- 14. Cilli A, Cakin O, Aksoy E, et al. Acute cardiac events in severe community-acquired pneumonia: A multicenter study. Clin Respir J 2018; 12: 2212-2219.
- 15. Chen PC, Liao WI, Wang YC, et al. An Elevated Glycemic Gap is Associated With Adverse Outcomes in Diabetic Patients With Community-Acquired Pneumonia. Medicine 2015; 94: e1456.
- 16. Perry TW, Pugh MJ, Waterer GW, et al. Incidence of cardiovascular events after hospital admission for pneumonia. Am J Med 2011; 124: 244-251.
- 17. Mandal P, Chalmers JD, Choudhury G, Akram AR, Hill AT. Vascular complications are associated with poor outcome in community-acquired pneumonia. QJM 2011; 104: 489-495.
- 18. Eurich DT, Marrie TJ, Minhas-Sandhu JK, Majumdar SR. Risk of heart failure after community acquired pneumonia: prospective controlled study with 10 years of follow-up. BMJ 2017; 356: j413.
- 19. Cangemi R, Calvieri C, Taliani G, et al. Left Atrium Dilatation and Left Ventricular Hypertrophy Predispose to Atrial Fibrillation in Patients With Community-Acquired Pneumonia. Am J Cardiol 2019; 124: 723-728.
- Pieralli F, Biondo B, Vannucchi V, et al. Performance of the CHA2DS2-VASc score in predicting new onset atrial fibrillation during hospitalization for community-acquired pneumonia. Eur J Intern Med 2019; 62: 24-28.
- 21. Corrales-Medina VF, Serpa J, Rueda AM, et al. Acute bacterial pneumonia is associated with the occurrence of acute coronary syndromes. Medicine. 2009; 88: 154-159.
- 22. Imai K, Petigara T, Kohn MA, Nakashima K, Aoshima M, Shito A, Kanazu S. Risk of pneumococcal diseases in adults with underlying medical conditions: a retrospective, cohort study using two Japanese healthcare databases. BMJ Open 2018; 8: e018553.
- 23. Quan H, Li B, Couris CM, et al. Updating and validating the Charlson comorbidity index and score for risk adjustment in hospital discharge abstracts using data from 6 countries. Am J Epidemiol 2011; 173: 676-682.
- 24. Tralhão A, Póvoa P. Cardiovascular Events After Community-Acquired Pneumonia: A Global Perspective with Systematic Review and Meta-Analysis of Observational Studies. J Clin Med 2020; 9: 414.
- 25. Musher DM, Rueda AM, Kaka AS, Mapara SM. The association between pneumococcal pneumonia and acute cardiac events. Clin Infect Dis 2007; 45: 158-165.
- 26. Chong CP, Street PR. Pneumonia in the elderly: a review of the epidemiology, pathogenesis, microbiology, and clinical features. South Med J 2008; 101: 1141-1179.
- 27. Fillon S, Soulis K, Rajasekaran S, et al. Platelet-activating factor receptor and innate immunity: uptake of

gram-positive bacterial cell wall into host cells and cell-specific pathophysiology. J Immunol 2006; 177: 6182-6191.

- 28. Feldman C, Anderson R. Platelets and Their Role in the Pathogenesis of Cardiovascular Events in Patients With Community-Acquired Pneumonia. Front Immunol 2020; 11: 577303.
- 29. Feldman C, Normark S, Henriques-Normark B, Anderson R. Pathogenesis and prevention of risk of cardiovascular events in patients with pneumococcal community-acquired pneumonia. J Intern Med 2019; 285: 635-652.
- 30. Anderson R, Nel JG, Feldman C. Multifaceted Role of Pneumolysin in the Pathogenesis of Myocardial Injury in Community-Acquired Pneumonia. Int J Mol Sci 2018; 19: 1147.
- 31. Shenoy AT, Beno SM, Brissac T, Bell JW, Novak L, Orihuela CJ. Severity and properties of cardiac damage caused by Streptococcus pneumoniae are strain dependent. PLoS One 2018; 13: e0204032.
- 32. Alhamdi Y, Neill DR, Abrams ST, et al. Circulating Pneumolysin Is a Potent Inducer of Cardiac Injury during Pneumococcal Infection. PLoS Pathog 2015; 11: e1004836.
- 33. Kirchhof P, Benussi S, Kotecha D, et al. 2016 ESC Guidelines for the management of atrial fibrillation developed in collaboration with EACTS. Eur Heart J 2016; 37: 2893-2962.

to occur and the second

#### BMJ Open

	CHI	D	HF		Strol	ke	Arrhy	thmia
	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected
N	88,407	271	80,025	242	87,626	252	91,631	299
Cardiovascular event incidence	7,588 (8.6%)	23 (8.5%)	8,746 (11%)	32 (13%)	7,308 (8.3%)	35 (14%)	5,745 (6.3%)	27 (9.0%)
Age, mean (y)	77.4	77.6	75.9	76.2	76.5	76.8	77.9	78.1
Men	45,345 (51%)	139 (51%)	44,642 (56%)	135 (56%)	47,290 (54%)	136 (54%)	48,114 (53%)	157 (53%)
Women	43,062 (49%)	132 (49%)	35,383 (44%)	107 (44%)	40,336 (46%)	116 (46%)	43,517 (47%)	142 (47%)
Myocardial infarction	0 (0%)	0 (0%)	992 (1.2%)	3 (1.2%)	695 (0.8%)	2 (0.8%)	1,226 (1.3%)	4 (1.3%)
Congestive heart failure	13,375 (15%)	41 (15%)	4,299 (5.4%)	13 (5.4%)	11,127 (13%)	32 (13%)	11,952 (13%)	39 (13%)
Peripheral vascular disease	4,567 (5.2%)	14 (5.2%)	6,283 (7.9%)	19 (7.9%)	6,954 (7.9%)	20 (7.9%)	6,742 (7.4%)	22 (7.4%)
Cerebrovascular disease	17,290 (20%)	53 (20%)	15,542 (19%)	47 (19%)	7,998 (9.1%)	23 (9.1%)	19,307 (21%)	63 (21%)
Dementia	13,701 (15%)	42 (15%)	9,590 (12%)	29 (12%)	7,302 (8.3%)	21 (8.3%)	12,565 (14%)	41 (14%)
Chronic pulmonary disease	30,665 (35%)	94 (35%)	29,761 (37%)	90 (37%)	31,643 (36%)	91 (36%)	33,404 (36%)	109 (36%)
Rheumatic disease	2,610 (3.0%)	8 (3.0%)	3,637 (4.5%)	11 (4.5%)	3,477 (4.0%)	10 (4.0%)	3,677 (4.0%)	12 (4.0%)
Peptic ulcer disease	8,156 (9.2%)	25 (9.2%)	8,598 (11%)	26 (11%)	7,650 (8.7%)	22 (8.7%)	7,968 (8.7%)	26 (8.7%)
Mild liver disease	15,659 (18%)	48 (18%)	17,195 (21%)	52 (21%)	15,995 (18%)	46 (18%)	15,016 (16%)	49 (16%)
Diabetes without chronic complications	1,957 (2.2%)	6 (2.2%)	2,315 (2.9%)	7 (2.9%)	2,434 (2.8%)	7 (2.8%)	1,839 (2.0%)	6 (2.0%)
Diabetes with chronic complications	3,262 (3.7%)	10 (3.7%)	3,968 (5.0%)	12 (5%)	4,520 (5.2%)	13 (5.2%)	3,677 (4.0%)	12 (4.0%)
Hemiplegia or paraplegia	1,305 (1.5%)	4 (1.5%)	1,323 (1.7%)	4 (1.7%)	348 (0.4%)	1 (0.4%)	919 (1.0%)	3 (1.0%)
Renal disease	3,262 (3.7%)	10 (3.7%)	3,968 (5.0%)	12 (5%)	4,868 (5.6%)	14 (5.6%)	5,210 (5.7%)	17 (5.7%)
Malignancy	8,808 (10%)	27 (10%)	11,243 (14%)	34 (14%)	10,432 (12%)	30 (12%)	10,113 (11%)	33 (11%)
Moderate or severe liver disease	0 (0%)	0 (0%)	331 (0.4%)	1 (0.4%)	0 (0%)	0 (0%)	306 (0.3%)	1 (0.3%)
Metastatic solid tumor	1,957 (2.2%)	6 (2.2%)	1,653 (2.1%)	5 (2.1%)	1,391 (1.6%)	4 (1.6%)	1,226 (1.3%)	4 (1.3%)
HIV/AIDS	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)

Table 1. Characteristics and comorbidities of Streptococcus pneumoniae-infected and non-infected patients

 Values are presented as number (percentage) unless stated otherwise. Abbreviations: CHD, coronary heart disease; HF, heart failure.

### BMJ Open

	C	HD	HF		Stroke		Arrhythmia	
	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected
Overall								
N	88,407	271	80,025	242	87,626	252	91,631	299
Incidence, n (%)	7,588 (8.6%)	23 (8.5%)	8,746 (11%)	32 (13%)	7,308 (8.3%)	35 (14%)	5,745 (6.3%)	27 (9.0%)
Person-years of follow-up	141,705	389	135,271	359	140,452	366	143,792	409
Incidence rate per 1000 person-years (95% CI)	53.5 (52.3-54.8)	59.2 (37.5-88.8)	64.7 (63.3-66.0)	89 (60.9-125.7)	52 (50.8-53.2)	95.7 (66.7 - 133.1)	40 (38.9-41.0)	66 (43.5-96.0)
Unadjusted Hazard ratio (95% CI)	-	1.10 (0.71-1.72)	-	1.37 (0.92-2.05)	-	1.84 (1.27-2.66)	-	1.64 (1.08-2.48) 1.58 (1.03-2.43)
Adjusted hazard ratio <sup>a</sup> (95% CI)	-	1.12 (0.71-1.78)	-	1.34 (0.88-2.06)	-	1.86 (1.26-2.76)		
N (%)								
By age group								
0–49 years	3,674 (4.2%)	11 (4.1%)	3,729 (4.7%)	11 (4.5%)	3,574 (4.1%)	10 (4.0%)	3,459 (3.8%)	11 (3.7%)
50–64 years	7,730 (8.7%)	25 (9.2%)	7,256 (9.1%)	22 (9.1%)	7,661 (8.7%)	23 (9.1%)	7,639 (8.3%)	25 (8.4%)
≥65 years	77,003 (87%)	235 (87%)	69,039 (86%)	209 (86%)	76,390 (87%)	219 (87%)	80,533 (88%)	263 (88%)
Incidence rate per 1000 person-years (95% CI)								
0–49 years	4.6 (3.2-6.4)	0 (0-185.8)	3.7 (2.4-5.4)	0 (0-185.8)	4.6 (3.2-6.5)	0 (0-200.4)	8.3 (6.3-10.8)	0 (0-185.8)
50-64 years	29.5 (27.0-32.1)	18.9 (0.5-105.5)	11.8 (10.2-13.5)	20.9 (0.5-116.4)	7.4 (6.2-8.7)	60.0 (12.4-175.3)	15.0 (13.3-16.9)	19.1 (0.5-106.2)
≥65 years	60.3 (58.9-61.8)	69.6 (43.6-105.4)	76.9 (75.3-78.6)	106.3 (72.2-150.8)	62.2 (60.8-63.7)	107.6 (73.6-152.0)	45.5 (44.3-46.7)	77.1 (50.4-113.0)
Adjusted hazard ratio <sup>a</sup> (95% CI)								
0–49 years	-	0	-	0		0	-	0
50–64 years	-	0.75 (0.08-6.85)	-	2.34 (0.31-17.9)		7.97 (2.47-25.8)	-	1.85 (0.26-13.5)
≥65 years	-	1.17 (0.73-1.88)	-	1.34 (0.87-2.07)		1.73 (1.15-2.61)	-	1.60 (1.03-2.49)

<sup>a</sup> Adjusted for age, sex, and Charlson Comorbidity Index score. Abbreviations: CHD, coronary heart disease; HF, heart failure; CI, confidence interval.

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

## **Figure legends**

Figure 1. Overview of the follow-up process for *Streptococcus pneumoniae*-infected and non-infected patients

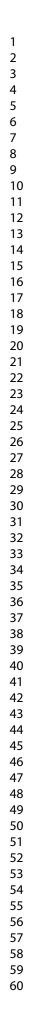
Endpoints refer to the occurrence of a target cardiovascular event.

**Figure 2. Selection of** *Streptococcus pneumoniae***-infected and non-infected patients for analysis** Abbreviations: CHD, coronary heart disease; HF, heart failure.

Figure 3. Kaplan–Meier estimates for cardiovascular events in *Streptococcus pneumoniae*-infected and non-infected patients

(A) Coronary heart disease (CHD), (B) heart failure (HF), (C) stroke, and (D) arrhythmia

1	
2	
3	
4	
5	
6 7	
8	Streptococcus pneumoniae Endpoint
9	Infected Follow-up period
10	Initial claims data
11	Initial claims data
12	Non-infected
13	Follow-up period Index date Endpoint
14	April 1, 2014
15 16	
17	Figure 1. Overview of the follow-up process for Streptococcus pneumoniae-infected and non-infected
18	patients
19	Endpoints refer to the occurrence of a target cardiovascular event.
20	35x12mm (300 x 300 DPI)
21	
22	
23	
24 25	
26	
27	
28	
29	
30	
31	
32 33	
34	
35	
36	
37	
38	
39	
40 41	
42	
43	
44	
45	
46	
47	
48 49	
49 50	
51	
52	
53	
54	
55	
56	
57 58	
59	
60	For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml



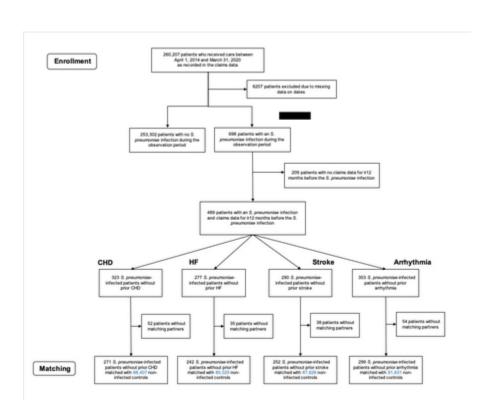
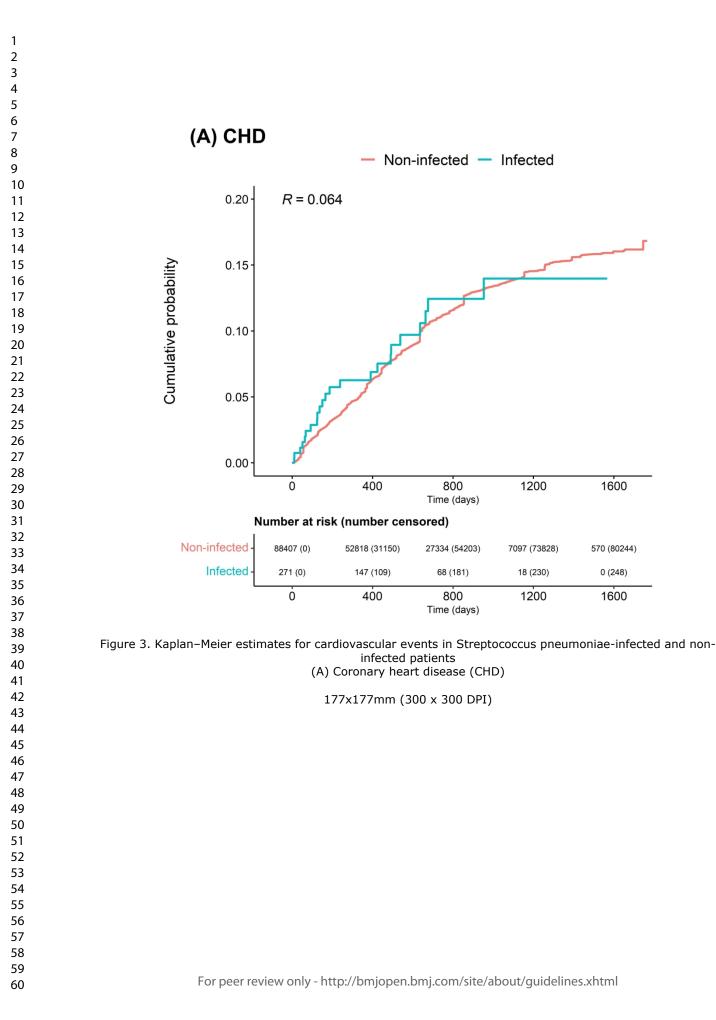
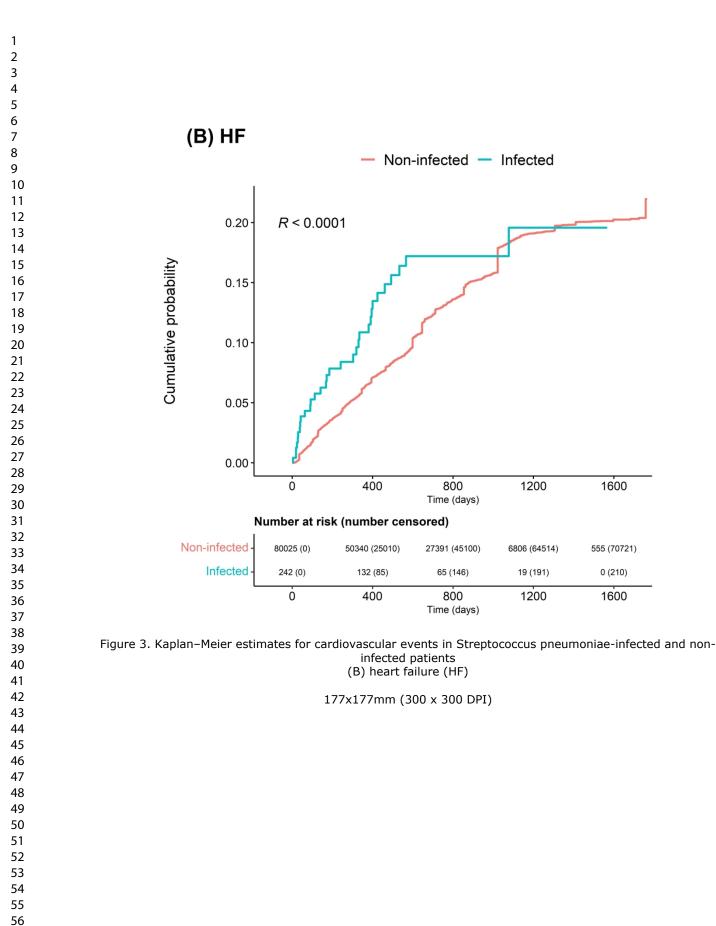
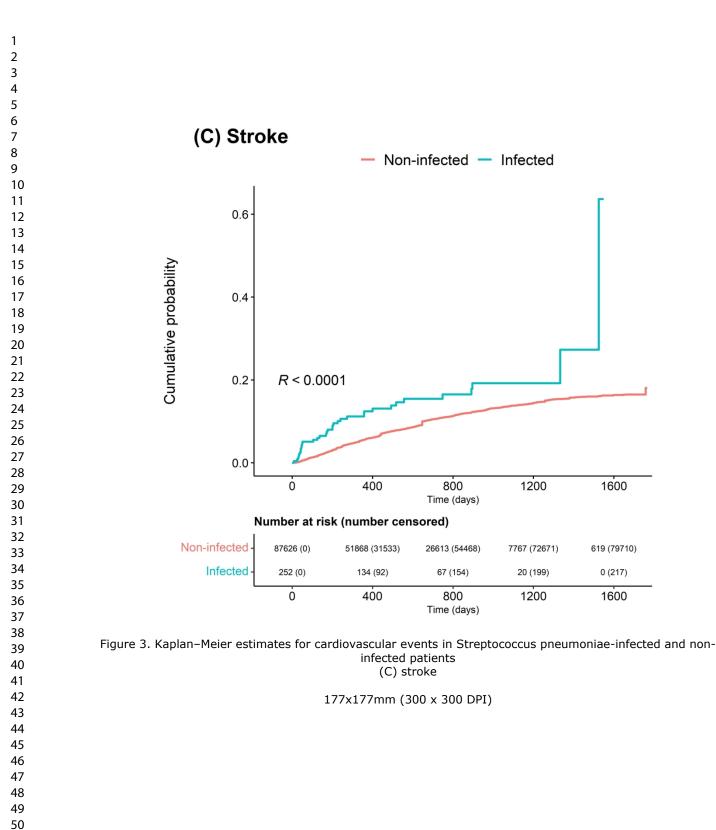


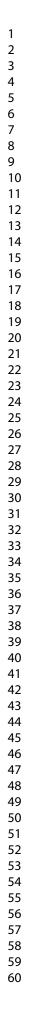
Figure 2. Selection of Streptococcus pneumoniae-infected and non-infected patients for analysis. Abbreviations: CHD, coronary heart disease; HF, heart failure.

37x31mm (300 x 300 DPI)









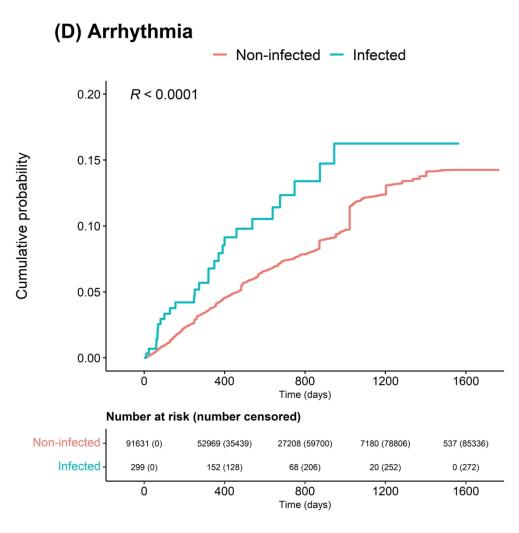


Figure 3. Kaplan–Meier estimates for cardiovascular events in Streptococcus pneumoniae-infected and noninfected patients (D) arrhythmia

177x177mm (300 x 300 DPI)

**BMJ** Open

# **BMJ Open**

## **Risk of cardiovascular events after Streptococcus pneumoniae infection: A retrospective cohort LIFE study**

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-059713.R1
Article Type:	Original research
Date Submitted by the Author:	29-Jul-2022
Complete List of Authors:	Nishimura, Naoaki; Kyushu University School of Medicine, Fukuda, Haruhisa; Kyushu University
<b>Primary Subject Heading</b> :	Cardiovascular medicine
Secondary Subject Heading:	Epidemiology, Health services research, Infectious diseases, Public health
Keywords:	Epidemiology < INFECTIOUS DISEASES, Cardiac Epidemiology < CARDIOLOGY, EPIDEMIOLOGY





I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our <u>licence</u>.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which <u>Creative Commons</u> licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

reliez oni

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

#### **BMJ** Open

6 7	2	Risk of cardiovascular events after Streptococcus pneumoniae infection: A retrospective
8	3	cohort LIFE study
9 10	4	Naoaki Nishimura <sup>1)</sup> , Haruhisa Fukuda <sup>2)*</sup>
11	5	Tvuouki Tvisiminuru , Turumisu Tukudu
12		1) Krushy University School of Medicine Fullysky Versely Lener
13 14	6	<sup>1)</sup> Kyushu University School of Medicine, Fukuoka, Kyushu, Japan
15	7	<sup>2)</sup> Kyushu University Graduate School of Medical Sciences, Fukuoka, Kyushu, Japan
16	8	
17 18	9	* Corresponding Author:
19	10	Haruhisa Fukuda, MPH, PhD
20 21	11	Department of Health Care Administration and Management, Kyushu University Graduate School of
22	12	Medical Sciences
23 24	13	3-1-1 Maidashi Higashi-ku Fukuoka 812-8582, Japan
25	14	Phone: +81-92-642-6956 Fax: +81-92-642-6961
26 27	15	Email address: fukuda.haruhisa.977@m.kyushu-u.ac.jp
28	16	
29 30	17	
31 32	18	
32 33	19	
34 35	20	
36	21	
37 38	22	
39	23	
40 41	24	
42 43	25	
44	26	
45 46	27	
47	28	
48 49	29	
50 51	30	
52		
53		
54 55		
56		
57		
58 59		
60		
		1

Page 3 of 21

1 2		
3	31	Abstract
4 5	32	<b>Objectives:</b> To elucidate the risk of cardiovascular event occurrence following <i>Streptococcus</i>
6 7	33	pneumoniae infection.
8	34	Design: Retrospective cohort study using a LIFE Study database.
9 10	35	Setting: Three municipalities in Japan.
10 11	36	Participants: Municipality residents who were enrolled in either National Health Insurance
12 13	37	or the Latter-Stage Elderly Healthcare System from April 2014 to March 2020.
13 14	38	Exposure: Occurrence of S. pneumoniae infection.
15 16	39	Primary Outcome Measures: Occurrence of one of the following cardiovascular events that
17	40	led to hospitalization after S. pneumoniae infection: (1) coronary heart disease (CHD), (2)
18 19	41	heart failure (HF), (3) stroke, or (4) atrial fibrillation (AF).
20	42	Results: S. pneumoniae-infected patients were matched with non-infected patients for each
21 22	43	cardiovascular event. We matched 209 infected patients and 43,499 non-infected patients for
22	44	CHD, 179 infected patients and 44,148 non-infected patients for HF, 221 infected patients and
24 25	45	44,768 non-infected patients for stroke, and 241 infected patients and 39,568 non-infected
25 26	46	patients for AF. During follow-up, the incidence rates for the matched infected and non-
27 28	47	infected patients were, respectively, 38.6 (95% confidence interval: 19.9-67.3) and 30.4
28 29	48	(29.1–31.8) per 1000 person-years for CHD; 69.6 (41.9–108.8) and 50.5 (48.9–52.2) per 1000
30 31	49	person-years for HF; 75.4 (48.3–112.2) and 35.5 (34.1–36.9) per 1000 person-years for
32	50	stroke; and 34.7 (17.9–60.6) and 11.2 (10.4–12.0) per 1000 person-years for AF. Infected
33 34	51	patients were significantly more likely to develop stroke (adjusted hazard ratio: 2.05, 95%
34 35	52	confidence interval:1.22-3.47; adjusted subdistribution hazard ratio: 1.94, 95% confidence
36 37	53	interval: 1.15–3.26) and AF (3.29, 1.49–7.26; 2.74, 1.24-6.05) than their non-infected
37 38	54	counterparts.
39 40	55	Conclusions: S. pneumoniae infections elevate the risk of subsequent stroke and AF
40 41	56	occurrence. These findings indicate that pneumococcal infections not only have short-term
42 43	57	effects on patients' health, but also increase their mid-to long-term susceptibility to serious
45 44	58	cardiovascular events.
45 46	59	
40 47		
48 49		
49 50		
51 52		
53		
54 55		
56		
57 58		
58 59		
60		

2			
3 4	60	Aı	ticle Summary (Strengths and Limitations of this study)
5	61	•	This study comparatively examined both Streptococcus pneumoniae-infected patients and non-
6 7	62		infected controls to elucidate the association between pneumococcal infections and subsequent
8	63		cardiovascular events.
9 10	64	•	While prior studies mostly focused on short-term outcomes, our study period spanned from April 2014
11	65		to March 2020 to examine the mid-to long-term risks of cardiovascular events following
12 13	66		pneumococcal infection.
14	67	•	Despite a relatively large study sample and long study period, S. pneumoniae infections and
15 16	68		cardiovascular events were identified using only diagnosis codes in the claims data.
17	69	•	Our study did not account for patients' lifestyle factors (e.g., tobacco and alcohol consumption),
18 19	70		socioeconomic factors, or pneumococcal vaccination statuses.
20	71		
21 22			
22			
24 25			
26			Our study did not account for patients' lifestyle factors (e.g., tobacco and alcohol consumption), socioeconomic factors, or pneumococcal vaccination statuses.
27 28			
29			
30 31			
32			
33 34			
35			
36 37			
38			
39 40			
40			
42 43			
43 44			
45 46			
40 47			
48 49			
50			
51 52			
52			
54 55			
55 56			
57			
58 59			

1 2		
3	72	Introduction
4 5	73	Community-acquired pneumonia is a major infectious disease that frequently leads to
6	74	hospitalization, and exhibits high morbidity and mortality rates across numerous countries [1,
7 8	75	2]. <i>Streptococcus pneumoniae</i> is the causal pathogen for a large proportion of pneumonia
9	76	cases that require hospital-based care [3]. As older persons are more susceptible to
10 11	77	pneumococcal pneumonia [4], this condition represents a particularly serious public health
12	78	problem in countries with aging populations. In addition to its acute effects, pneumonia is also
13 14	79	known to increase the mid-to long-term health risks of infected patients, thereby placing a
15	80	heavy clinical and economic burden on patients and society [2, 5].
16 17	81	Previous cohort studies have reported that pneumonia is associated with an increased
18	82	risk of the following conditions: overall cardiac events [6-14], acute coronary syndrome [6, 8,
19 20	83	9, 11, 12, 14-17], heart failure (HF) [6, 9-14, 16, 18], atrial fibrillation (AF) [6, 8-14, 16, 17,
21	84	19, 20], and stroke [11, 12, 17]. However, the majority of these studies focused on pneumonia
22 23	85	patients without comparisons with non-infected controls, and generally used relatively short
24 25	86	follow-up periods. Furthermore, only a few studies in the existing literature have explored the
25 26	87	effects of pneumonia on subsequent cardiovascular disease [7, 18, 21].
27 28	88	In order to accurately evaluate the impact of S. pneumoniae infection on subsequent
28 29	89	cardiovascular disease, there is a need for long-term cohort studies that compare infected
30 31	90	patients with matched non-infected controls. This study aimed to elucidate the risk of
32	91	cardiovascular event occurrence following S. pneumoniae infection using administrative
33 34	92	claims data acquired from infected and non-infected patients in 3 Japanese municipalities. The
35	93	study also examined if these risks differ among age groups.
36 37	94	
38		
39 40	95	Methods
41	96	Study data
42 43		
44	97	Data were provided by the Longevity Improvement & Fair Evidence (LIFE) Study, which is
45 46	98	managed by Kyushu University (Fukuoka, Japan) [22]. In the LIFE Study, participating
47	99	municipalities voluntarily provide administrative claims data for research purposes. These
48 49	100	claims data are acquired from the municipalities' residents who are enrolled in either National
50	101	Health Insurance or the Latter-Stage Elderly Healthcare System, and encompass information
51 52	102	on patient characteristics and reimbursement claims for all insurance-covered healthcare
53	103	provided in the inpatient and outpatient settings. Enrollees in National Health Insurance
54 55	104	include the self-employed, agricultural and fishery workers, part-time workers, retirees, and
56	105	their dependents. Enrollees in the Latter-Stage Elderly Healthcare System include residents
57 58	106	aged $\geq$ 75 years. The number of municipalities participating in the LIFE Study varies over
59	107	time owing to differences in agreement contracts, with the earliest participant providing data
60		1

108 from April 2014. The majority of the participating municipalities provide data from April

2015 onward. As of 2021, the LIFE Study is able to conduct longitudinal studies with 5-yearfollow-up periods.

For this study, claims data from April 2014 to March 2020 were acquired from insurance enrollees who were residing in 3 municipalities (residential populations: 58,000, 121,600, and 305,200) in Fukuoka Prefecture. The claims datasets contained records of diagnoses (Japanese diagnosis codes and International Classification of Diseases, 10th revision [ICD-10] codes), dates of treatments and admissions, and coexisting conditions (Charlson Comorbidity Index scores). Charlson Comorbidity Index scores were generated from ICD-10 codes recorded in both inpatient and outpatient claims.

#### 21 119 *Study subjects*

First, patients with S. pneumoniae infections were identified through combinations of ICD-10 codes and/or Japanese diagnosis codes developed by the Ministry of Health, Labour and Welfare. We used the combinations of codes proposed by Imai et al. [23]. In this study, we considered all types of S. pneumoniae infections, including invasive pneumococcal diseases. The occurrence of subsequent cardiovascular events (coronary heart disease [CHD], HF, stroke, and AF) was identified using ICD-10 codes. We excluded patients with records of previous in-hospital cardiovascular events from their earliest recorded dates within the observation period until S. pneumoniae infection, patients with records of cardiovascular events during the index hospitalization for S. pneumoniae infection, and patients without any claims data  $\geq 12$  months before S. pneumoniae infection. 

Next, we set each infected patient's index date as the last day of the month containing a recorded S. pneumoniae infection. The infected patients were then matched with a cohort of non-infected patients according to age (within 5 years), sex, Charlson Comorbidity Index score, and hospitalization at the index date using sampling without replacement. Using the method proposed by Quan et al. [24], Charlson Comorbidity Index scores were calculated based on the following conditions: myocardial infarction, congestive HF, peripheral vascular disease, cerebrovascular disease, dementia, chronic pulmonary disease, rheumatic disease, peptic ulcer disease, liver disease, diabetes with/without chronic complications, hemiplegia or paraplegia, malignancy, metastatic solid tumor, and HIV/AIDS. When examining the occurrence of AF after S. pneumoniae infection, we included the comorbidity of AF as a matching criterion. Infected patients who could not be matched with non-infected patients were excluded. The index date for each non-infected patient was set as the same date as his/her matched infected case. Each patient's Charlson Comorbidity Index score was calculated using claims data for 30 days before the index date. We also excluded non-infected 

## BMJ Open

3 4	144	patients who had experienced cardiovascular events that led to hospitalization before their
4 5	145	index dates.
6 7	146	
7 8 9 10	147	Outcome measure
11	148	The outcome measure was the occurrence of a cardiovascular event that led to hospitalization
12 13	149	after the S. pneumoniae infection date. Among inpatients, the infection date was set as the
13 14 15 16	150	first date of admission for the in-hospital treatment of an S. pneumoniae infection. Among
	151	outpatients, the infection date was set as the first date of any medical treatment with a
17	152	diagnosis code indicating an S. pneumoniae infection. We focused on the first infection
18 19	153	episode for patients who had multiple infection episodes during the observation period.
20	154	Next, we examined the subsequent occurrence of each of the following 4
21	155	cardiovascular events that led to hospitalization: (1) CHD (ICD-10 codes: I20-25), (2) HF
22 23	156	(I50), (3) stroke (I61-63, 65-66), and (4) AF (I48). The occurrence date of each cardiovascular
24	157	event was set as the date of admission for the in-hospital treatment of that event.
25 26	158	Patients who had died during the observation period without developing any
27	159	cardiovascular event were followed-up until the last date of medical treatments in the claims
28 29	160	data. Patients who had died during the observation period after developing a cardiovascular
30 31 32	161	event were followed-up until the date of the cardiovascular event occurrence. All survivors
	162	were followed-up until the end of their municipality's observation period. The ends of the
33	162	observation periods ranged from September 2019 to March 2020 among the municipalities.
34 35	164	Figure 1 shows an overview of the follow-up process.
36	165	rigure r shows an overview of the follow-up process.
37 38	105	
39 40	166	Statistical analysis
41	167	Our analysis was designed to examine the possible effects of <i>S. pneumoniae</i> infection on the
42 43	168	subsequent occurrence of cardiovascular events, and to determine if these effects differed
44	169	among age groups. For each of the 4 target cardiovascular events, we calculated the number
45 46	170	of events for the infected group and non-infected group during the observation period, and
47	171	estimated the incidence rates per 1000 person-years. Cox proportional hazards models were
48 49	172	constructed to estimate the hazard ratio (HRs) and 95% confidence interval (CIs) of each
50	173	cardiovascular event in the infected group relative to the non-infected group. Subdistribution
51 52	174	HRs were also estimated with Cox proportional hazards models using the Fine-Gray
52 53 54 55 56	175	competing risk approach in which death was regarded as a competing event. The Kaplan–
	176	Meier method was used to calculate the cumulative probability of cardiovascular event
	177	occurrence in the 2 groups. In addition, we analyzed the patients stratified according to the
57 58	178	following age groups: $0-49$ years, $50-64$ years, and $\geq 65$ years.
59 60		

All statistical analyses were performed using R (version 4.1.0) and R Studio (version
1.4.1106) software. Two-tailed *P* values below 0.05 were considered statistically significant.

182 Patient and public involvement

Patients and/or the public were not involved in the design, conduct, reporting, or dissemination plansof this research.

## **Results**

We first identified 698 S. pneumoniae-infected patients and 253,302 non-infected patients between April 1, 2014 and March 31, 2020 (Figure 2). After applying the exclusion criteria, 489 eligible infected patients were included in the analysis. There were 22 invasive pneumococcal disease cases (4.5%) and 467 non-invasive pneumococcal disease cases (95.5%). Among the infected patients that could be successfully matched with non-infected patients for each cardiovascular event, we identified 209 infected patients without prior CHD, 179 infected patients without prior HF, 221 infected patients without prior stroke, and 241 infected patients without prior AF. Using the various matching criteria, we matched 43,499, 44,148, 44,768, and 39,568 non-infected controls with the infected patients for CHD, HF, stroke, and AF, respectively. The non-infected patients were followed-up from the first S. pneumoniae infection date of their matched infected patients. Table 1 shows the 

198 characteristics and comorbidities of the infected and non-infected patients.

## BMJ Open

	CHD		HF		Stroke		AF	
	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected
N	43,499	209	44,148	179	44,768	221	39,568	241
Cardiovascular event incidence	2,090 (4.8%)	12 (5.7%)	3,790 (8.6%)	19 (11%)	2,502 (5.6%)	24 (11%)	703 (1.8%)	12 (5.0%)
Age, mean (y)	77.0	77.1	75.4	75.6	77.5	77.7	77.5	77.7
Men	22,062 (51%)	106 (51%)	24,417 (55%)	99 (55%)	22,890 (51%)	113 (51%)	20,523 (52%)	125 (52%
Women	21,437 (49%)	103 (49%)	19,731 (45%)	80 (45%)	21,878 (49%)	108 (49%)	19,045 (48%)	116 (48%
Hospital admission	30,595 (70%)	147 (70%)	29,103 (66%)	118 (66%)	31,804 (71%)	157 (71%)	29,224 (74%)	178 (74%
Myocardial infarction	0 (0%)	0 (0%)	247 (0.6%)	1 (0.6%)	203 (0.5%)	1 (0.5%)	164 (0.4%)	1 (0.4%)
Congestive heart failure	4,787 (11%)	23 (11%)	987 (2.2%)	4 (2.2%)	4,051 (9.0%)	20 (9.0%)	4,433 (11%)	27 (11%)
Peripheral vascular disease	1,249 (2.9%)	6 (2.9%)	1,233 (2.8%)	5 (2.8%)	1,418 (3.2%)	7 (3.2%)	1,149 (2.9%)	7 (2.9%)
Cerebrovascular disease	6,036 (14%)	29 (14%)	5,179 (12%)	21 (12%)	4,254 (9.5%)	21 (9.5%)	5,254 (13%)	32 (13%)
Dementia	6,244 (14%)	30 (14%)	4,933 (11%)	20 (11%)	5,267 (12%)	26 (12%)	4,761 (12%)	29 (12%)
Chronic pulmonary disease	12,488 (29%)	60 (29%)	14,305 (32%)	58 (32%)	12,762 (29%)	63 (29%)	11,000 (28%)	67 (28%)
Rheumatic disease	624 (1.4%)	3 (1.4%)	1,233 (2.8%)	5 (2.8%)	810 (1.8%)	4 (1.8%)	985 (2.5%)	6 (2.5%)
Peptic ulcer disease	2,914 (6.7%)	14 (6.7%)	3,453 (7.8%)	14 (7.8%)	2,633 (5.9%)	13 (5.9%)	2,134 (5.4%)	13 (5.4%
Mild liver disease	6,868 (16%)	33 (16%)	7,399 (17%)	30 (17%)	6,685 (15%)	33 (15%)	4,925 (12%)	30 (12%)
Diabetes without chronic complications	624 (1.4%)	3 (1.4%)	493 (1.1%)	2 (1.1%)	405 (0.9%)	2 (0.9%)	328 (0.8%)	2 (0.8%)
Diabetes with chronic complications	1,249 (2.9%)	6 (2.9%)	1,726 (3.9%)	7 (3.9%)	1,215 (2.7%)	6 (2.7%)	1,149 (2.9%)	7 (2.9%)
Hemiplegia or paraplegia	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Renal disease	416 (1.0%)	2 (1.0%)	493 (1.1%)	2 (1.1%)	810 (1.8%)	4 (1.8%)	657 (1.7%)	4 (1.7%)
Malignancy	3,538 (8.1%)	17 (8.1%)	4,686 (11%)	19 (11%)	4,051 (9.0%)	20 (9.0%)	3,284 (8.3%)	20 (8.3%
Moderate or severe liver disease	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Metastatic solid tumor	833 (1.9%)	4 (1.9%)	987 (2.2%)	4 (2.2%)	608 (1.4%)	3 (1.4%)	657 (1.7%)	4 (1.7%)
HIV/AIDS	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
AF							328 (0.8%)	2 (0.8%)

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

2		
3 4	201	Table 2 summarizes the risk of each cardiovascular event after S. pneumoniae
4 5	202	infection. The observation periods of the matched infected and non-infected patients
6 7	203	(weighted by the proportion of the infected patients) were, respectively, 311 and 68,706
8	204	person-years for CHD; 273 and 74,999 person-years for HF; 318 and 70,454 person-years for
9 10	205	stroke; and 346 and 62,986 person-years for AF. The median observation periods of the
11	206	matched infected and non-infected patients were 823 days for CHD, 827 days for HF, 820
12 13	207	days for stroke, and 797 days for AF. During follow-up, the incidence rates for the infected
14	208	and non-infected patients were, respectively, 38.6 (95% CI: 19.9-67.3) and 30.4 (95% CI:
15 16	209	29.1-31.8) per 1000 person-years for CHD; 69.6 (41.9-108.8) and 50.5 (48.9-52.2) per 1000
17	210	person-years for HF; 75.4 (48.3-112.2) and 35.5 (34.1-36.9) per 1000 person-years for
18 19	211	stroke; and 34.7 (17.9–60.6) and 11.2 (10.4–12.0) per 1000 person-years for AF. The
20	212	unadjusted HRs for cardiovascular event occurrence in infected patients (relative to non-
21 22	213	infected patients) were 1.27 (95% CI: 0.72-2.24) for CHD, 1.38 (0.88-2.16) for HF, 2.12
23	214	(1.42–3.17) for stroke, and 3.11 (1.76–5.50) for AF. After adjusting for age, sex, Charlson
24 25	215	Comorbidity Index score, and coexisting AF (only for the outcome of AF), infected patients
26	216	were significantly more likely to develop stroke (adjusted HR: 2.05, 95% CI:1.22-3.47) and
27 28	217	AF (adjusted HR: 3.29, 95% CI: 1.49–7.26) than their non-infected counterparts. When death
29	218	was regard as a competing event, infected patients were still significantly more likely to
30 31	219	develop stroke (adjusted subdistribution HR: 1.94, 95% CI: 1.15-3.26) and AF (2.74, 1.24-
32	220	6.05) than their non-infected counterparts.
33 34		6.05) than their non-infected counterparts.
35		
36 37		
38		
39 40		
41		
42 43		
44		
45 46		
40		

#### BMJ Open

	CHD		HF		Stroke		AF	
	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected
Overall								
Ν	43,499	209	44,148	179	44,768	221	39,568	241
Incidence, n (%)	2,090 (4.8%)	12 (5.7%)	3,790 (8.6%)	19 (11%)	2,502 (5.6%)	24 (11%)	703 (1.8%)	12 (5.0%)
Person-years of follow-up	68,706	311	74,999	273	70,454	318	62,986	346
Incidence rate per 1000 person-years (95% CI)	30.4 (29.1-31.8)	38.6 (19.9-67.3)	50.5 (48.9-52.2)	69.6 (41.9-108.8)	35.5 (34.1-36.9)	75.4 (48.3-112.2)	11.2 (10.4-12.0)	34.7 (17.9-60.6)
Unadjusted hazard ratio (95% CI)		1.27 (0.72-2.24)	-	1.38 (0.88-2.16)	-	2.12 (1.42-3.17)	-	3.11 (1.76-5.50)
Adjusted hazard ratio <sup>a</sup> (95% CI)		1.20 (0.60-2.39)	-	1.18 (0.58-2.37)	-	2.05 (1.22-3.47)	-	3.29 (1.49-7.26)
Adjusted subdistribution hazard ratio <sup>a</sup> (95% CI)	-	1.19 (0.63-2.26)		1.13 (0.60-2.13)		1.94 (1.15-3.26)		2.74 (1.24-6.05
By age group								
N (%)								
0–49 years	1,958 (4.5%)	9 (4.3%)	2,304 (5.2%)	9 (5.0%)	1,895 (4.2%)	9 (4.1%)	1,533 (3.9%)	9 (3.7%)
50-64 years	3,963 (9.1%)	21 (10%)	4,080 (9.2%)	18 (10%)	3,620 (8.1%)	19 (8.6%)	3,305 (8.4%)	22 (9.1%)
≥65 years	37,578 (86%)	179 (86%)	37,765 (86%)	152 (85%)	39,253 (88%)	193 (87%)	34,730 (88%)	210 (87%)
Incidence rate per 1000 person-years (95% CI)								
0–49 years	8.0 (5.6-11.0)	0 (0-200.4)	15.0 (11.8-18.7)	0 (0-200.4)	3.0 (1.6-5.1)	0 (0-200.4)	1.2 (0.3-3.0)	0 (0-200.4)
50-64 years	5.4 (4.0-7.0)	21.8 (0.6-121.5)	29.4 (26.1-33.1)	24.3 (0.6-135.1)	35.1 (31.3-39.3)	69.7 (14.4-203.6)	6.2 (4.6-8.2)	21.4 (0.5-119.2
≥65 years	36.7 (35.1-38.3)	44.5 (22.2-79.7)	57.1 (55.2-59.0)	84.4 (50.0-133.4)	38.0 (36.5-39.7)	81.8 (50.6-125.0)	12.6 (11.7-13.6)	39.2 (19.6-70.1
Adjusted hazard ratio <sup>a</sup> (95% CI)								
0–49 years	-	0	-	0	/	0	-	0
50-64 years	-	4.06 (0.56-29.38)	-	0.82 (0.12-5.86)	-	1.98 (0.64-6.19)	-	3.47 (0.48-25.02
≥65 years	-	1.21 (0.64-2.10)	-	1.48 (0.78-1.97)		2.15 (1.29-3.04)	-	3.11 (1.58-5.20)

<sup>a</sup> Adjusted for age, sex, Charlson Comorbidity Index score, and coexisting AF (only for the outcome of AF). LLLAbbreviations: CHD, coronary heart disease; HF, heart failure; AF, atrial fibrillation; CI, confidence interval

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

In the age-stratified analysis, *S. pneumonia* infections were not significantly associated with a higher risk of the 4 cardiovascular events in patients aged 50–64 years. Among older patients aged  $\geq$ 65 years, *S. pneumonia* infections were significantly associated with substantially higher risks of stroke and AF occurrence.

Figure 3 presents the Kaplan–Meier curves of each cardiovascular event. When compared with non-infected patients, infected patients had a significantly higher risk of incident HF, stroke, and AF (all P < 0.0001); but not CHD (P = 0.046).

Figure 4 presents the cumulative incidence curves for cardiovascular events where
death was regarded as a competing event.

#### 233 Discussion

Through an analysis of National Health Insurance and Latter-Stage Elderly Healthcare System enrollees residing in 3 Japanese municipalities, this study comparatively examined the incidence of cardiovascular events between S. pneumoniae-infected patients and non-infected patients. Our results showed that the experience of S. pneumoniae infection significantly elevates the risk of subsequent stroke and AF. S. pneumoniae infection increased the risk of these cardiovascular events among older patients aged  $\geq 65$  years. While S. pneumonia infections were not significantly associated with a higher risk of these cardiovascular events in patients aged 50–64 years, the ratios were relatively high and more studies should be conducted. These findings may help to identify at-risk targets for expanded pneumococcal vaccination programs. 

Recent studies have shown that patients with community-acquired pneumonia have a higher frequency of cardiovascular events [8, 10, 11, 16, 18, 21, 25, 26]. Our estimated incidence of AF after S. pneumoniae infection was 5.0%. The incidence of arrhythmia (ICD-10 codes: I47-49) in this study was estimated to be 9.0%, which is slightly higher than that of a previous meta-analysis that estimated an overall incidence of 7.2% among inpatients with community-acquired pneumonia [25]. This discrepancy may be explained by the fact that the meta-analysis had only included studies with short-term outcomes. 

In our analysis, the estimated incidence of stroke after S. pneumoniae infection was considerably higher than those found in previous studies [11,16]. Perry et al. reported a stroke incidence of 0.17% in 40,979 patients during 90 days of admission for pneumonia, whereas Violi et al. reported a stroke incidence of 1.0% in 1,182 patients hospitalized for community-acquired pneumonia during in-hospital follow-up (median length of hospital stay: 11 days). Accordingly, those 2 studies had focused on the short-term incidence of stroke. However, the risk of stroke increases with age, and longer follow-up periods after S. pneumoniae infection would therefore provide a more accurate depiction of its risks. Furthermore, Perry et al. used ICD-9 codes to identify stroke, whereas Violi et al. identified stroke cases through clinical manifestations confirmed by computed tomography or magnetic resonance imaging [11,16]. 

Stroke diagnostic methods are generally reliant on imaging data, and many medical facilities
in Japan are equipped with on-site computed tomography and/or magnetic resonance imaging
scanners. This enables the accurate diagnosis of stroke, including cases of milder strokes,
throughout Japan.

Among the studies that reported a high frequency of subsequent cardiovascular events in pneumonia patients, few have actually compared infected patients with non-infected controls. Eurich et al. performed a long-term prospective cohort study of both inpatients and outpatients with community-acquired pneumonia, and found that these infections substantially increased the risk of HF across different age groups and disease severity [18]. During a median follow-up period of 9.9 years, 11.9% of patients with pneumonia developed incident HF compared with 7.4% of the non-infected controls; furthermore, 13.3% of patients with pneumococcal bacteremia developed incident HF [18]. In contrast, 13.0% of our infected patients developed incident HF compared with 12.0% of their non-infected counterparts, with no significant difference between the groups. This discrepancy may be influenced by the fact that Eurich et al. used a control group that only controlled for age (five-year age bands) and sex, only investigated outpatients in emergency departments, and focused on severe pneumonia infections. In contrast, our study included outpatients from all types of medical institutions, and our control group comprised patients without any S. pneumoniae infection. Our study also utilized a research design that differed from Eurich et al. [18], which only matched for age and sex, and adjusted for the effects for coexisting conditions by including them as covariates in analytical models. However, we matched infected patients and non-infected controls not only by age and sex, but also by coexisting conditions through Charlson Comorbidity Index scores. 

To our knowledge, few studies have shown the long-term risks of subsequent stroke and AF after S. pneumoniae infection (including non-hospitalized cases) relative to non-infected controls. Severe cases of pneumonia require hospital-based care, especially among older adults. Therefore, studies that focus on hospitalized pneumonia patients would overlook the risks associated with less severe cases. For example, although patients aged  $\leq 65$  years may have milder S. pneumoniae infections and a correspondingly lower risk of hospitalization than older patients, these infections could still elevate the risk of subsequent cardiovascular events in the younger age groups. As this study used insurance claims data that incorporated both inpatient and outpatient data, we were able to identify the risk of cardiovascular events after S. pneumoniae infection in patients regardless of whether they required hospitalization. Moreover, our study excluded patients who had subsequent cardiovascular events during the index hospital stay for S. pneumoniae infection. For patients who were admitted to hospital due to S. pneumoniae infection, we only monitored for cardiovascular events that occurred after discharge. Most studies have reported the short-term risks of cardiovascular events 

during or after acute infections, and the long-term impact of pneumonia on subsequent 

cardiovascular disease occurrence is less clear. Therefore, our study provides new insight into the mid-to long-term effects of milder S. pneumoniae infections treated in outpatient settings as well as severe S. pneumoniae infections that require hospitalization. A previous study identified the major causative organisms of community-acquired

pneumonia to be S. pneumoniae, Haemophilus influenzae, Mycoplasma pneumoniae, Chlamydophila pneumoniae, Legionella pneumophila, Staphylococcus aureus, and several viral pathogens (including influenza A and B) [27]. S. pneumoniae reportedly reduces cardiac contractility by increasing cardiomyocyte uptake of bacterial cell wall antigens [28]. Many studies that seek to understand the pathogenesis of cardiovascular events following pneumonia focus on infections caused by S. pneumoniae [29]. Several studies have proposed that S. pneumoniae cell wall components and pneumolysin (a pore-forming toxin) trigger pro-inflammatory mechanisms that ultimately result in cardiac damage [30-33]. Furthermore, the infection-mediated hyperactivation of platelets can create a pro-inflammatory and prothrombotic environment that facilitates the occurrence of cardiovascular events and cardiac damage [31]. Pneumonia and other infections can trigger fever, hypoxia, and hemodynamic disturbance in patients, which are all risk factors of AF and its associated cardiac damage [34]. 

The study limitations are as follows. First, S. pneumoniae infections and cardiovascular events were identified using diagnosis codes and ICD-10 codes, respectively. Therefore, our analysis may be vulnerable to coding errors. Second, our study could not account for patients' lifestyle factors (e.g., tobacco and alcohol consumption), socioeconomic factors, or pneumococcal vaccination statuses. In addition, we also could not account for differences in medications and treatments (especially at discharge) among the patients. Third, our study population was limited to enrollees of Japan's National Health Insurance and Latter-Stage Elderly Healthcare System, and the findings may not be extrapolatable to those enrolled in other insurance systems. Fourth, our study excluded patients with previous in-hospital cardiovascular events. However, the real-world population of patients would include those with such events. This approach could introduce selection bias and affect the comparability of our findings with real-world scenarios. Fifth, we excluded infected patients who were not matched with non-infected controls. With consideration to the relatively low number of infected patients, the exclusion of these non-matched patients could potentially reduce the power of our statistical analyses. Sixth, our study outcomes focused on cardiovascular events that resulted in hospitalization, and did not consider events without in-hospital care. Therefore, our study may overlook the impact of S. pneumoniae infection on subsequent occurrences of mild cardiovascular disease. Seventh, previous outpatient treatments were not included in the analysis, which could result in selection bias. Eighth, the 

Page 15 of 21

1

BMJ Open

2		
3	335	index date for matching was set as the last day of the month with S. pneumoniae infection
4 5	336	instead of the claims date, which could result in lead-time bias. Finally, we did not consider
6 7	337	the random effects of hospitals or municipalities in our multivariate analyses. Patients treated
8	338	in the same hospital or municipality may be similar to one another. However, all the study
9 10	339	municipalities were located in the same prefecture, and there were no major differences in
11	340	characteristics at the regional or hospital level. Additionally, pneumonia is a common disease
12 13	341	that hospitals regularly treat, and it is unlikely that there would be a large bias at the hospital
14	342	level.
15 16	343	
17 18	344	Conclusion
19 20	345	S. pneumoniae infections elevate the risk of subsequent stroke and AF occurrence. These
21 22	346	findings indicate that pneumococcal infections do not only have short-term effects on patient
23	347	health, but also increase the mid-to long-term susceptibility to serious cardiovascular events.
24 25	348	With a greater understanding of S. pneumoniae infection's far-reaching impact, further studies
26	349	are needed to explore the possible benefits of expanding current pneumococcal vaccination
27 28	350	programs.
29	351	
30 31	352	
32	353	Contributors NN and HF designed the study. HF provided the data. NN analyzed the data. NN
33 34	354	prepared the first draft of the manuscript. HF made critical revisions to the manuscript. All authors
35	355	reviewed and approved the final draft.
36 37	356	Funding The construction of the LIFE Study database was funded by a Grant-in-Aid for Scientific
38 39	357	Research by the Japan Society for the Promotion of Science (Grant No. JP20H00563). Data analysis
40	358	and publication were funded by an Investigator-Sponsored Research grant from Pfizer Japan Inc.
41 42	359	Competing interest HF received an Investigator-Sponsored Research grant from Pfizer Japan Inc.
43	360	Ethical considerations The study was approved by the Kyushu University Institutional Review
44 45	361	Board for Clinical Research (Approval No. 2019-406).
46	362	Patient consent for publications Not required.
47 48	363	Data availability statement The data used in this study were acquired under agreements with the
49 50	364	participating municipalities, which stipulate that the data can only be used by authorized research
50 51	365	institutions and cannot be shared with third parties.
52 53 54 55	366	
55 56 57 58 59 60		14

## **References**

- Trotter CL, Stuart JM, George R, Miller E. Increasing hospital admissions for pneumonia, England. Emerg Infect Dis 2008; 14: 727–33
- Eurich DT, Marrie TJ, Minhas-Sandhu JK, Majumdar SR. Ten-Year Mortality after Community-acquired Pneumonia. A Prospective Cohort. Am J Respir Crit Care Med 2015; 192: 597-604.
- Musher DM. Streptococcus pneumoniae. In: Mandell GL, Bennett JE, Dolin R, eds. Mandell, Douglass and Bennett's principles and practice of infectious diseases. Philadelphia: Churchill Livingstone 2005: 2392–411
- Fry AM, Shay DK, Holman RC, Curns AT, Anderson LJ. Trends in hospitalizations for pneumonia among persons aged 65 years or older in the United States, 1988–2002. JAMA 2005; 294: 2712–2719.
- Jasti H, Mortensen EM, Obrosky DS, Kapoor WN, Fine MJ. Causes and risk factors for rehospitalization of patients hospitalized with community-acquired pneumonia. Clin Infect Dis 2008; 46: 550–6.
- Postma DF, Spitoni C, Van Werkhoven CH, Van Elden LJR, Oosterheert JJ, Bonten MJM. Cardiac events after macrolides or fluoroquinolones in patients hospitalized for community-acquired pneumonia: Post-hoc analysis of a cluster-randomized trial. BMC Infect Dis 2019; 19: 17.
- Corrales-Medina VF, Alvarez KN, Weissfeld LA, Angus DC, Chirinos JA, Chang CH, Newman A, Loehr L,
   Folsom AR, Elkind MS, et al. Association Between Hospitalization for Pneumonia and Subsequent Risk of
   Cardiovascular Disease. JAMA 2015; 313: 264–274.
- Cangemi R, Calvieri C, Falcone M, et al. Relation of Cardiac Complications in the Early Phase of Community-Acquired Pneumonia to Long-Term Mortality and Cardiovascular Events. Am J Cardiol 2015; 116: 647-651.
- Griffin AT, Wiemken TL, Arnold FW. Risk factors for cardiovascular events in hospitalized patients with community-acquired pneumonia. Int J Infect Dis 2013; 17: e1125-e1129.
- Corrales-Medina VF, Musher DM, Wells GA, Chirinos JA, Chen L, Fine MJ. Cardiac complications in patients with community-acquired pneumonia: incidence, timing, risk factors, and association with shortterm mortality. Circulation 2012; 125: 773-781.
   Violi F, Cangemi R, Falcone M, et al. Cardiovascular Complications and Short-term Mortality Risk in
  - 11. Violi F, Cangemi R, Falcone M, et al. Cardiovascular Complications and Short-term Mortality Risk in Community-Acquired Pneumonia. Clin Infect Dis 2017; 64: 1486-1493.
  - 12. Aliberti S, Ramirez J, Cosentini R, et al. Acute myocardial infarction versus other cardiovascular events in community-acquired pneumonia. ERJ Open Res 2015; 1: 00020-2015
- Viasus D, Garcia-Vidal C, Manresa F, Dorca J, Gudiol F, Carratalà J. Risk stratification and prognosis of acute cardiac events in hospitalized adults with community-acquired pneumonia. J Infect 2013; 66: 27-33.
- 14. Cilli A, Cakin O, Aksoy E, et al. Acute cardiac events in severe community-acquired pneumonia: A multicenter study. Clin Respir J 2018; 12: 2212-2219.
  15. Chen PC, Liao WI, Wang YC, et al. An Elevated Glycemic Gap is Associated With Adverse Outcomes in
- 401
   15. Chen PC, Liao WI, Wang YC, et al. An Elevated Glycemic Gap is Associated With Adverse Outcomes in Diabetic Patients With Community-Acquired Pneumonia. Medicine 2015; 94: e1456.
- 403
   16. Perry TW, Pugh MJ, Waterer GW, et al. Incidence of cardiovascular events after hospital admission for pneumonia. Am J Med 2011; 124: 244-251.
- 405
  407
  17. Mandal P, Chalmers JD, Choudhury G, Akram AR, Hill AT. Vascular complications are associated with poor outcome in community-acquired pneumonia. QJM 2011; 104: 489-495.
  407
  18. Eurich DT, Marrie TJ, Minhas-Sandhu JK, Majumdar SR, Risk of heart failure after community acquired
  - 18. Eurich DT, Marrie TJ, Minhas-Sandhu JK, Majumdar SR. Risk of heart failure after community acquired pneumonia: prospective controlled study with 10 years of follow-up. BMJ 2017; 356: j413.
- 409
  19. Cangemi R, Calvieri C, Taliani G, et al. Left Atrium Dilatation and Left Ventricular Hypertrophy Predispose
  410
  411
  412
  413
  414
  414
  414
  414
  415
  416
  416
  417
  417
  417
  418
  419
  410
  410
  410
  410
  410
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411
  411</
- 411 20. Pieralli F, Biondo B, Vannucchi V, et al. Performance of the CHA2DS2-VASc score in predicting new onset
  412 atrial fibrillation during hospitalization for community-acquired pneumonia. Eur J Intern Med 2019; 62: 24413 28.
- 414 21. Corrales-Medina VF, Serpa J, Rueda AM, et al. Acute bacterial pneumonia is associated with the occurrence of acute coronary syndromes. Medicine. 2009; 88: 154-159.
  - 416 22. Fukuda H, Ishiguro C, Ono R, Kiyohara K. The Longevity Improvement & Fair Evidence (LIFE) Study:
    417 Overview of the Study Design and Baseline Participant Profile. J Epidemiol 2022; 10.2188
  - 418 23. Imai K, Petigara T, Kohn MA, Nakashima K, Aoshima M, Shito A, Kanazu S. Risk of pneumococcal diseases in adults with underlying medical conditions: a retrospective, cohort study using two Japanese healthcare databases. BMJ Open 2018; 8: e018553.
- 421
  42. Quan H, Li B, Couris CM, et al. Updating and validating the Charlson comorbidity index and score for risk adjustment in hospital discharge abstracts using data from 6 countries. Am J Epidemiol 2011; 173: 676-682.
- 423
  423
  424
  425. Tralhão A, Póvoa P. Cardiovascular Events After Community-Acquired Pneumonia: A Global Perspective with Systematic Review and Meta-Analysis of Observational Studies. J Clin Med 2020; 9: 414.
- 58 425 26. Musher DM, Rueda AM, Kaka AS, Mapara SM. The association between pneumococcal pneumonia and 59

1			
2			
3	426		acute cardiac events. Clin Infect Dis 2007; 45: 158-165.
4	427	27	Chong CP, Street PR. Pneumonia in the elderly: a review of the epidemiology, pathogenesis, microbiology,
5	428		and clinical features. South Med J 2008; 101: 1141-1179.
6	429	28.	Fillon S, Soulis K, Rajasekaran S, et al. Platelet-activating factor receptor and innate immunity: uptake of
7	430		gram-positive bacterial cell wall into host cells and cell-specific pathophysiology. J Immunol 2006; 177:
8	431		6182-6191.
9	432	29.	Feldman C, Anderson R. Platelets and Their Role in the Pathogenesis of Cardiovascular Events in Patients
10	433		With Community-Acquired Pneumonia. Front Immunol 2020; 11: 577303.
11	434	30.	Feldman C, Normark S, Henriques-Normark B, Anderson R. Pathogenesis and prevention of risk of
12	435		cardiovascular events in patients with pneumococcal community-acquired pneumonia. J Intern Med 2019;
12	436		285: 635-652.
13 14	437	31.	Anderson R, Nel JG, Feldman C. Multifaceted Role of Pneumolysin in the Pathogenesis of Myocardial Injury
14	438		in Community-Acquired Pneumonia. Int J Mol Sci 2018; 19: 1147.
	439	32.	
16 17	440		caused by Streptococcus pneumoniae are strain dependent. PLoS One 2018; 13: e0204032.
17	441	33.	Alhamdi Y, Neill DR, Abrams ST, et al. Circulating Pneumolysin Is a Potent Inducer of Cardiac Injury during
18	442		Pneumococcal Infection. PLoS Pathog 2015; 11: e1004836.
19 20	443	34.	Kirchhof P, Benussi S, Kotecha D, et al. 2016 ESC Guidelines for the management of atrial fibrillation
20	444		developed in collaboration with EACTS. Eur Heart J 2016; 37: 2893-2962.
21			
22			
23			
24 25			
25 26			
20 27			
27 28			
20 29			
30			developed in collaboration with EACTS. Eur Heart J 2016; 37: 2893-2962.
31			
32			
33			
34			
35			
36			
37			
38			
39			
40			
41			
42			
43			
44			
45			
46			
47			
48			
49			
50			
51			
52			
53			
54			
55			
56			
57			
58			
50			

1 2		
2 3	445	Figure 1. Overview of the follow-up process for Streptococcus pneumoniae-infected and non-infected
4 5	446	patients
6	447	Endpoints refer to the occurrence of a target cardiovascular event.
7	448	
8 9	449	
10	450	Figure 2. Selection of Streptococcus pneumoniae-infected and non-infected patients for analysis
11 12	451	Prior CHD, HF, stroke, and AF refer only to previous events with in-hospital treatments. Abbreviations: CHD,
13	452	coronary heart disease; HF, heart failure; AF, atrial fibrillation.
14 15	453	
16	454	
17 18	455	Figure 3. Kaplan–Meier estimates for cardiovascular events in Streptococcus pneumoniae-infected and
18 19	456	non-infected patients
20	457	(A) Coronary heart disease (CHD), (B) heart failure (HF), (C) stroke, and (D) AF (Atrial fibrillation)
21 22	458	
23	459	
24 25	460	Figure 4. Cumulative incidence curves for cardiovascular events in <i>Streptococcus pneumoniae</i> -infected
26	461	and non-infected patients
27	462	(A) Coronary heart disease (CHD), (B) heart failure (HF), (C) stroke, and (D) AF (Atrial fibrillation)
28 29		
30		
31 32		
33		
34 35		
36		
37		
38 39		
40		
41 42		
43		
44		
45 46		
47		
48 49		
50		
51 52		
53		
54		
55 56		
57		
58 59		
60		

1	
2	
3	
4	
5	
6	
7	Streptococcus pneumoniae
8	infection Endpoint
9	Infected Follow-up period
10	Initial claims data
11	
12	Initial claims data
13	Non-infected Follow-up period
14	Index date Endpoint
15	· · · · · · · · · · · · · · · · · · ·
16	April 1, 2014
17	
18	Figure 1. Overview of the follow-up process for Streptococcus pneumoniae-infected and non-infected
19	patients.
20	Legend: Endpoints refer to the occurrence of a target cardiovascular event.
21	
22	1028x342mm (72 x 72 DPI)
23	
23	
25	
26	
20	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
53 54	
55	
56	
57	
58	
59	For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml
60	r or peer review only - http://binjopen.binj.com/site/about/guidelines.xhtml

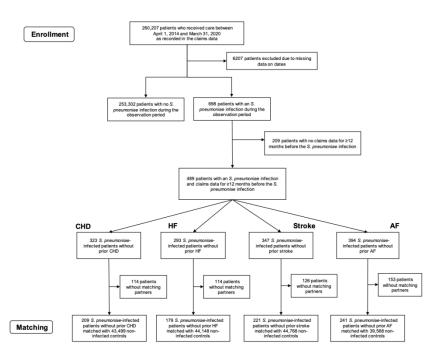


Figure 2. Selection of Streptococcus pneumoniae-infected and non-infected patients for analysis Legend: Prior CHD, HF, stroke, and AF refer only to previous events with in-hospital treatments. Abbreviations: CHD, coronary heart disease; HF, heart failure; AF, atrial fibrillation.

579x403mm (72 x 72 DPI)

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

(B) HF

0.15

0.10

0.05

0.00

Infected

(D) AF

0.15

0.10

0.05

0.00

Cumulative probability

Cumulative probability

0.20 P < 0.0001

Ó

44148 (0)

179 (0)

Ó

0.20 P < 0.0001

Ó

39568 (0)

241 (0)

Ó

Number at risk (num

400

23666 (15642)

129 (103)

400

800

Time (days)

er censored)

11347 (27606)

60 (169)

800

Time (days)

1200

606 (35270 19 (210)

1200

Number

400

100 (63)

400

27718 (14145) 14933

at risk (num

800

er censored)

51 (109)

800

Time (days)

Non-infected - Infected

53) 4808 (35606)

Tim

1200

15 (145)

1200

1600

436 (39931)

0 (160)

1600

1600

268 (3860 0 (229)

1600

Non-infected - Infected

(A) CHD

0.20

0.15

0 10

0.05

0.00

Non-infected

Infected

(C) Stroke

0.4

0.2

0.0

0.6 P < 0.0001

Ó

Number

44768 (0)

221 (0)

Ó

400

116 (89)

400

at risk (number censored)

26236 (17262) 12926 (29799)

800

Time (days)

56 (144)

800

Time (days)

Ó

43499 (0)

209 (0)

Ó

Numb

400

117 (85)

400

800

55 (142)

800

Time (days)

85) 12434 (29141) 4043 (37388)

Т

at risk (number censored)

Non-infected

1200

16 (181)

1200

Infected

1200

18 (181)

1200

1600

384 (41020)

0 (197)

1600

1600

380 (41885) 0 (197)

1600

infected patients

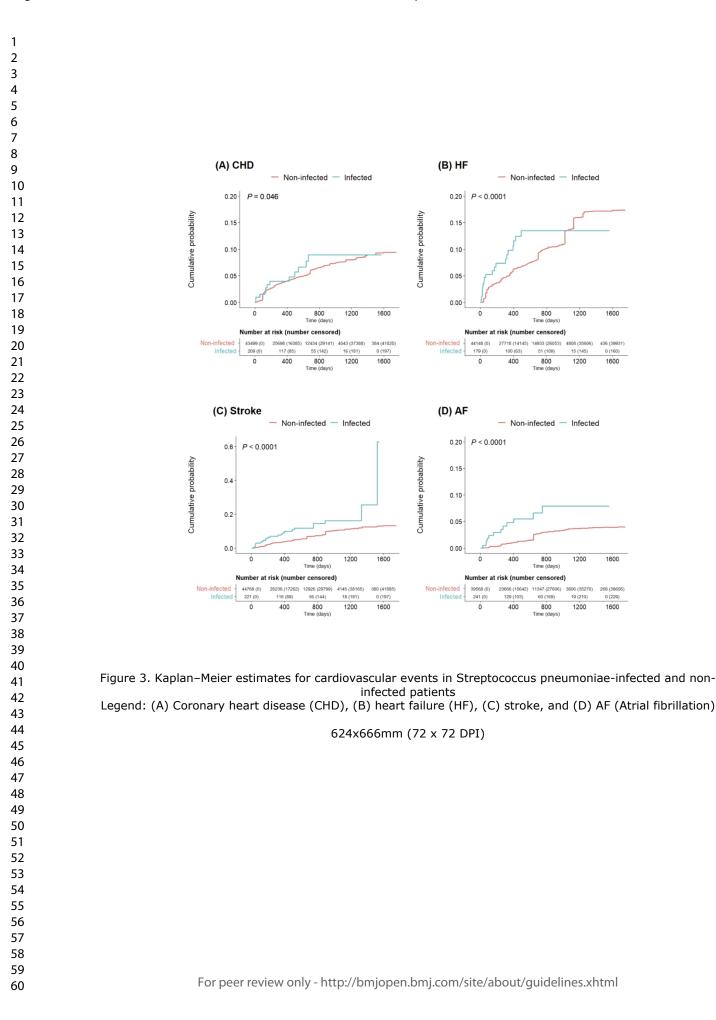
624x666mm (72 x 72 DPI)

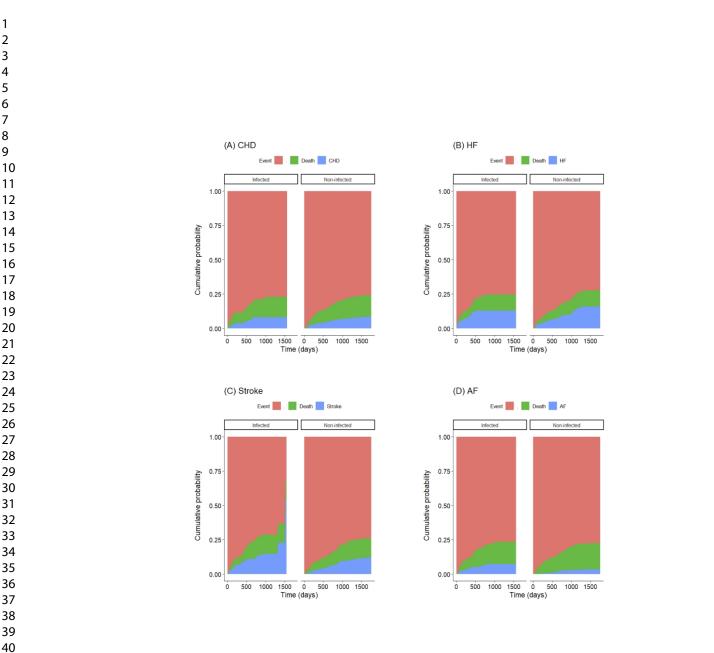
Cumulative probability

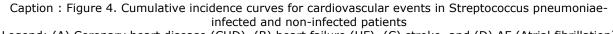
Cumulative probability

P = 0.046

Non-infected - Infected







Legend: (A) Coronary heart disease (CHD), (B) heart failure (HF), (C) stroke, and (D) AF (Atrial fibrillation)

624x666mm (72 x 72 DPI)

# **BMJ Open**

#### Risk of cardiovascular events leading to hospitalization after Streptococcus pneumoniae infection: A retrospective cohort LIFE study

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-059713.R2
Article Type:	Original research
Date Submitted by the Author:	07-Oct-2022
Complete List of Authors:	Nishimura, Naoaki; Kyushu University School of Medicine, Fukuda, Haruhisa; Kyushu University
<b>Primary Subject Heading</b> :	Cardiovascular medicine
Secondary Subject Heading:	Epidemiology, Health services research, Infectious diseases, Public health
Keywords:	Epidemiology < INFECTIOUS DISEASES, Cardiac Epidemiology < CARDIOLOGY, EPIDEMIOLOGY





I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our <u>licence</u>.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which <u>Creative Commons</u> licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

reliez on

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

Risk of cardiovascular events leading to hospitalization after *Streptococcus pneumoniae*infection: A retrospective cohort LIFE study

Naoaki Nishimura<sup>1)</sup>, Haruhisa Fukuda<sup>2)\*</sup>

<sup>1)</sup> Kyushu University School of Medicine, Fukuoka, Kyushu, Japan

<sup>2)</sup> Kyushu University Graduate School of Medical Sciences, Fukuoka, Kyushu, Japan

\* Corresponding Author:

10 Haruhisa Fukuda, MPH, PhD

11 Department of Health Care Administration and Management, Kyushu University Graduate School of

12 Medical Sciences

- 24 13 3-1-1 Maidashi Higashi-ku Fukuoka 812-8582, Japan
  - 14 Phone: +81-92-642-6956 Fax: +81-92-642-6961
  - 15 Email address: fukuda.haruhisa.977@m.kyushu-u.ac.jp

Page 3 of 24

1 2		
3	16	Abstract
4 5	17	<b>Objectives:</b> To elucidate the risk of cardiovascular event occurrence following <i>Streptococcus</i>
6 7	18	pneumoniae infection.
8	19	Design: Retrospective cohort study using a LIFE Study database.
9 10	20	Setting: Three municipalities in Japan.
11	21	Participants: Municipality residents who were enrolled in either National Health Insurance
12 13	22	or the Latter-Stage Elderly Healthcare System from April 2014 to March 2020.
14	23	Exposure: Occurrence of S. pneumoniae infection.
15 16	24	Primary Outcome Measures: Occurrence of one of the following cardiovascular events that
17	25	led to hospitalization after S. pneumoniae infection: (1) coronary heart disease (CHD), (2)
18 19	26	heart failure (HF), (3) stroke, or (4) atrial fibrillation (AF).
20	27	Results: S. pneumoniae-infected patients were matched with non-infected patients for each
21 22	28	cardiovascular event. We matched 209 infected patients and 43,499 non-infected patients for
23	29	CHD, 179 infected patients and 44,148 non-infected patients for HF, 221 infected patients and
24 25	30	44,768 non-infected patients for stroke, and 241 infected patients and 39,568 non-infected
26	31	patients for AF. During follow-up, the incidence rates for the matched infected and non-
27 28	32	infected patients were, respectively, 38.6 (95% confidence interval: 19.9-67.3) and 30.4 (29.1-
29	33	31.8) per 1000 person-years for CHD; 69.6 (41.9-108.8) and 50.5 (48.9-52.2) per 1000
30 31	34	person-years for HF; 75.4 (48.3-112.2) and 35.5 (34.1-36.9) per 1000 person-years for stroke;
32	35	and 34.7 (17.9-60.6) and 11.2 (10.4-12.0) per 1000 person-years for AF. Infected patients
33 34	36	were significantly more likely to develop stroke (adjusted hazard ratio: 2.05, 95% confidence
35	37	interval:1.22-3.47; adjusted subdistribution hazard ratio: 1.94, 95% confidence interval: 1.15-
36 37	38	3.26) and AF (3.29, 1.49-7.26; 2.74, 1.24-6.05) than their non-infected counterparts.
38	39	Conclusions: S. pneumoniae infections elevate the risk of subsequent stroke and AF
39 40	40	occurrence. These findings indicate that pneumococcal infections not only have short-term
41	41	effects on patients' health, but also increase their mid-to long-term susceptibility to serious
42 43	42	cardiovascular events.
44	43	
45 46		

2			
3 4	44	Ar	ticle Summary (Strengths and Limitations of this study)
5	45	•	This study comparatively examined both Streptococcus pneumoniae-infected patients and non-
6 7	46		infected controls to elucidate the association between pneumococcal infections and subsequent
8	47		cardiovascular events.
9 10	48	•	While prior studies mostly focused on short-term outcomes, our study period spanned from April 2014
11	49		to March 2020 to examine the mid-to long-term risks of cardiovascular events following
12 13	50		pneumococcal infection.
14	51	•	Despite a relatively large study sample and long study period, S. pneumoniae infections and
15 16	52		cardiovascular events were identified using only diagnosis codes in the claims data.
17	53	•	Our study did not account for patients' lifestyle factors (e.g., tobacco and alcohol consumption),
18 19	54		socioeconomic factors, or pneumococcal vaccination statuses.
20	55		
21 22			
23			
24 25			
26			
27 28			
29			
30 31			Our study did not account for patients' lifestyle factors (e.g., tobacco and alcohol consumption), socioeconomic factors, or pneumococcal vaccination statuses.
32			
33 34			
35			
36 37			
38			
39 40			
41			
42 43			
44			
45 46			
47			
48 49			
50			
51 52			
53			
54 55			
56			
57 58			
59			

3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16 17	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
20 29	
30	
31	
32	
33 24	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	
60	

# 56 Introduction

57 Community-acquired pneumonia is a major infectious disease that frequently leads to

58 hospitalization, and exhibits high morbidity and mortality rates across numerous countries [1,

59 2]. *Streptococcus pneumoniae* is the causal pathogen for a large proportion of pneumonia

60 cases that require hospital-based care [3]. As older persons are more susceptible to

pneumococcal pneumonia [4], this condition represents a particularly serious public health
problem in countries with aging populations. In addition to its acute effects, pneumonia is also
known to increase the mid-to long-term health risks of infected patients, thereby placing a
heavy clinical and economic burden on patients and society [2, 5].

Previous cohort studies have reported that pneumonia is associated with an increased risk of the following conditions: overall cardiac events [6-14], acute coronary syndrome [6, 8, 9, 11, 12, 14-17], heart failure (HF) [6, 9-14, 16, 18], atrial fibrillation (AF) [6, 8-14, 16, 17, 19, 20], and stroke [11, 12, 17]. However, the majority of these studies focused on pneumonia patients without comparisons with non-infected controls, and generally used relatively short follow-up periods. Furthermore, only a few studies in the existing literature have explored the effects of pneumonia on subsequent cardiovascular disease [7, 18, 21].

In order to accurately evaluate the impact of *S. pneumoniae* infection on subsequent cardiovascular disease, there is a need for long-term cohort studies that compare infected patients with matched non-infected controls. This study aimed to elucidate the risk of cardiovascular event occurrence following *S. pneumoniae* infection using administrative claims data acquired from infected and non-infected patients in 3 Japanese municipalities. The study also examined if these risks differ among age groups.

#### 79 Methods

#### 80 Study data

81 Data were provided by the Longevity Improvement & Fair Evidence (LIFE) Study, which is 82 managed by Kyushu University (Fukuoka, Japan) [22]. In the LIFE Study, participating 83 municipalities voluntarily provide administrative claims data for research purposes. These 84 claims data are acquired from the municipalities' residents who are enrolled in either National 85 Health Insurance or the Latter-Stage Elderly Healthcare System, and encompass information 86 on patient characteristics and reimbursement claims for all insurance-covered healthcare 87 provided in the inpatient and outpatient settings. Enrollees in National Health Insurance 88 include the self-employed, agricultural and fishery workers, part-time workers, retirees, and 89 their dependents. Enrollees in the Latter-Stage Elderly Healthcare System include residents 90 aged  $\geq$ 75 years. The number of municipalities participating in the LIFE Study varies over 91 time owing to differences in agreement contracts, with the earliest participant providing data 92 from April 2014. The majority of the participating municipalities provide data from April

2015 onward. As of 2021, the LIFE Study is able to conduct longitudinal studies with 5-year follow-up periods.

For this study, claims data from April 2014 to March 2020 were acquired from insurance enrollees who were residing in 3 municipalities (residential populations: 58,000, 121,600, and 305,200) in Fukuoka Prefecture. The claims datasets contained records of diagnoses (Japanese diagnosis codes and International Classification of Diseases, 10th revision [ICD-10] codes), dates of treatments and admissions, and coexisting conditions. For the coexisting conditions, we analyzed the list of comorbidities included in the Charlson comorbidity index using ICD-10 codes recorded in both inpatient and outpatient claims. 

#### Study subjects

First, patients with S. pneumoniae infections were identified through combinations of ICD-10 codes and/or Japanese diagnosis codes developed by the Ministry of Health, Labour and Welfare. We used the combinations of codes proposed by Imai et al. [23]. In this study, we considered all types of S. pneumoniae infections, including invasive pneumococcal diseases. The occurrence of subsequent cardiovascular events leading to hospitalization (coronary heart disease [CHD], HF, stroke, and AF) was identified using ICD-10 codes. We excluded patients with records of previous in-hospital cardiovascular events from their earliest recorded dates within the observation period until S. pneumoniae infection, patients with records of cardiovascular events during the index hospitalization for S. pneumoniae infection, and patients without any claims data  $\geq 12$  months before *S. pneumoniae* infection.

Next, we set each infected patient's index date as the last day of the month containing a recorded S. pneumoniae infection. The infected patients were then exactly matched with a cohort of non-infected patients according to age (within 5 years), sex, comorbidities, and hospitalization at the index date using sampling without replacement. The comorbidities included the following conditions: myocardial infarction, congestive HF, peripheral vascular disease, cerebrovascular disease, dementia, chronic pulmonary disease, rheumatic disease, peptic ulcer disease, liver disease, diabetes with/without chronic complications, hemiplegia or paraplegia, malignancy, metastatic solid tumor, and HIV/AIDS [24]. When examining the occurrence of AF after S. pneumoniae infection, we also included the comorbidity of AF as a matching criterion. Infected patients who could not be matched with non-infected patients were excluded. The index date for each non-infected patient was set as the same date as his/her matched infected case. Each patient's comorbidities were identified using claims data for 30 days before the index date. We also excluded non-infected patients who had experienced cardiovascular events that led to hospitalization before their index dates. 

#### *Outcome measure*

The outcome measure was the occurrence of a cardiovascular event that led to hospitalization after the S. pneumoniae infection date. Among inpatients, the infection date was set as the first date of admission for the in-hospital treatment of an S. pneumoniae infection. Among outpatients, the infection date was set as the first date of any medical treatment with a diagnosis code indicating an S. pneumoniae infection. We focused on the first infection episode for patients who had multiple infection episodes during the observation period. Next, we examined the subsequent occurrence of each of the following 4 cardiovascular events that led to hospitalization: (1) CHD (ICD-10 codes: I20-25), (2) HF (I50), (3) stroke (I61-63, 65-66), and (4) AF (I48). The occurrence date of each cardiovascular event was set as the date of admission for the in-hospital treatment of that event. Patients who had died during the observation period without developing any cardiovascular event were followed-up until the last date of medical treatments in the claims data. Patients who had died during the observation period after developing a cardiovascular event were followed-up until the date of the cardiovascular event occurrence. All survivors were followed-up until the end of their municipality's observation period. The ends of the observation periods ranged from September 2019 to March 2020 among the municipalities. Figure 1 shows an overview of the follow-up process. Statistical analysis Our analysis was designed to examine the possible effects of S. pneumoniae infection on the

subsequent occurrence of cardiovascular events, and to determine if these effects differed among age groups. For each of the 4 target cardiovascular events, we calculated the number of events for the infected group and non-infected group during the observation period, and estimated the incidence rates per 1000 person-years. Cox proportional hazards models were constructed to estimate the hazard ratio (HRs) and 95% confidence interval (CIs) of each cardiovascular event in the infected group relative to the non-infected group. Subdistribution HRs were also estimated with Cox proportional hazards models using the Fine-Gray competing risk approach in which death was regarded as a competing event. The Kaplan-Meier method was used to calculate the cumulative probability of cardiovascular event occurrence in the 2 groups. In addition, we analyzed the patients stratified according to the following age groups: 0–49 years, 50–64 years, and  $\geq$ 65 years. All statistical analyses were performed using R (version 4.1.0) and R Studio (version 

 1.4.1106) software. Two-tailed *P* values below 0.05 were considered statistically significant. 163 163

*Patient and public involvement* 

Patients and/or the public were not involved in the design, conduct, reporting, or dissemination plansof this research.

12 168 **Results** 

We first identified 698 S. pneumoniae-infected patients and 253,302 non-infected patients between April 1, 2014 and March 31, 2020 (Figure 2). After applying the exclusion criteria, 489 eligible infected patients were included in the analysis. There were 22 invasive pneumococcal disease cases (4.5%) and 467 non-invasive pneumococcal disease cases (95.5%). Among the infected patients that could be successfully matched with non-infected patients for each cardiovascular event, we identified 209 infected patients without prior CHD, 179 infected patients without prior HF, 221 infected patients without prior stroke, and 241 infected patients without prior AF. Using the various matching criteria, we matched 43,499, 44,148, 44,768, and 39,568 non-infected controls with the infected patients for CHD, HF, stroke, and AF, respectively. The non-infected patients were followed-up from the first S. pneumoniae infection date of their matched infected patients. Table 1 shows the characteristics and comorbidities of the infected and non-infected patients. The covariate balance summaries before and after matching for the target cardiovascular events are 

<sup>33</sup> 182 presented in Supplementary Tables 1–4.

**Table 2** summarizes the risk of each cardiovascular event after S. pneumoniae
 infection. The observation periods of the matched infected and non-infected patients (weighted by the proportion of the infected patients) were, respectively, 311 and 68,706 person-years for CHD; 273 and 74,999 person-years for HF; 318 and 70,454 person-years for stroke; and 346 and 62,986 person-years for AF. The median observation periods of the matched infected and non-infected patients were 823 days for CHD, 827 days for HF, 820 days for stroke, and 797 days for AF. During follow-up, the incidence rates for the infected and non-infected patients were, respectively, 38.6 (95% CI: 19.9-67.3) and 30.4 (95% CI: 29.1-31.8) per 1000 person-years for CHD; 69.6 (41.9-108.8) and 50.5 (48.9-52.2) per 1000 person-years for HF; 75.4 (48.3–112.2) and 35.5 (34.1–36.9) per 1000 person-years for stroke; and 34.7 (17.9-60.6) and 11.2 (10.4-12.0) per 1000 person-years for AF. The unadjusted HRs for cardiovascular event occurrence in infected patients (relative to non-infected patients) were 1.27 (95% CI: 0.72-2.24) for CHD, 1.38 (0.88-2.16) for HF, 2.12 (1.42–3.17) for stroke, and 3.11 (1.76–5.50) for AF. After adjusting for age, sex, comorbidities, and coexisting AF (only for the outcome of AF), infected patients were significantly more likely to develop stroke (adjusted HR: 2.05, 95% CI:1.22-3.47) and AF (adjusted HR: 3.29, 95% CI: 1.49-7.26) than their non-infected counterparts. When death was regard as a competing event, the adjusted subdistribution HRs were 1.19 (95% CI: 0.63–2.26)

#### BMJ Open

	CHI	)	HF		Stro	ke	Α	F
	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected
N	43,499	209	44,148	179	44,768	221	39,568	241
Cardiovascular event incidence	2,090 (4.8%)	12 (5.7%)	3,790 (8.6%)	19 (11%)	2,502 (5.6%)	24 (11%)	703 (1.8%)	12 (5.0%
Age, mean (y)	77.0	77.1	75.4	75.6	77.5	77.7	77.5	77.7
Men	22,062 (51%)	106 (51%)	24,417 (55%)	99 (55%)	22,890 (51%)	113 (51%)	20,523 (52%)	125 (52%
Women	21,437 (49%)	103 (49%)	19,731 (45%)	80 (45%)	21,878 (49%)	108 (49%)	19,045 (48%)	116 (48%
Hospital admission	30,595 (70%)	147 (70%)	29,103 (66%)	118 (66%)	31,804 (71%)	157 (71%)	29,224 (74%)	178 (74%
Myocardial infarction	0 (0%)	0 (0%)	247 (0.6%)	1 (0.6%)	203 (0.5%)	1 (0.5%)	164 (0.4%)	1 (0.4%)
Congestive heart failure	4,787 (11%)	23 (11%)	987 (2.2%)	4 (2.2%)	4,051 (9.0%)	20 (9.0%)	4,433 (11%)	27 (11%)
Peripheral vascular disease	1,249 (2.9%)	6 (2.9%)	1,233 (2.8%)	5 (2.8%)	1,418 (3.2%)	7 (3.2%)	1,149 (2.9%)	7 (2.9%)
Cerebrovascular disease	6,036 (14%)	29 (14%)	5,179 (12%)	21 (12%)	4,254 (9.5%)	21 (9.5%)	5,254 (13%)	32 (13%)
Dementia	6,244 (14%)	30 (14%)	4,933 (11%)	20 (11%)	5,267 (12%)	26 (12%)	4,761 (12%)	29 (12%)
Chronic pulmonary disease	12,488 (29%)	60 (29%)	14,305 (32%)	58 (32%)	12,762 (29%)	63 (29%)	11,000 (28%)	67 (28%)
Rheumatic disease	624 (1.4%)	3 (1.4%)	1,233 (2.8%)	5 (2.8%)	810 (1.8%)	4 (1.8%)	985 (2.5%)	6 (2.5%)
Peptic ulcer disease	2,914 (6.7%)	14 (6.7%)	3,453 (7.8%)	14 (7.8%)	2,633 (5.9%)	13 (5.9%)	2,134 (5.4%)	13 (5.4%
Mild liver disease	6,868 (16%)	33 (16%)	7,399 (17%)	30 (17%)	6,685 (15%)	33 (15%)	4,925 (12%)	30 (12%)
Diabetes without chronic complications	624 (1.4%)	3 (1.4%)	493 (1.1%)	2 (1.1%)	405 (0.9%)	2 (0.9%)	328 (0.8%)	2 (0.8%)
Diabetes with chronic complications	1,249 (2.9%)	6 (2.9%)	1,726 (3.9%)	7 (3.9%)	1,215 (2.7%)	6 (2.7%)	1,149 (2.9%)	7 (2.9%)
Hemiplegia or paraplegia	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Renal disease	416 (1.0%)	2 (1.0%)	493 (1.1%)	2 (1.1%)	810 (1.8%)	4 (1.8%)	657 (1.7%)	4 (1.7%)
Malignancy	3,538 (8.1%)	17 (8.1%)	4,686 (11%)	19 (11%)	4,051 (9.0%)	20 (9.0%)	3,284 (8.3%)	20 (8.3%
Moderate or severe liver disease	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Metastatic solid tumor	833 (1.9%)	4 (1.9%)	987 (2.2%)	4 (2.2%)	608 (1.4%)	3 (1.4%)	657 (1.7%)	4 (1.7%)
HIV/AIDS	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
AF							328 (0.8%)	2 (0.8%)

	CHD		I	HF		Stroke		AF
	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected	Non-infected	Infected
Overall								
Ν	43,499	209	44,148	179	44,768	221	39,568	241
Incidence, n (%)	2,090 (4.8%)	12 (5.7%)	3,790 (8.6%)	19 (11%)	2,502 (5.6%)	24 (11%)	703 (1.8%)	12 (5.0%)
Person-years of follow-up	68,706	311	74,999	273	70,454	318	62,986	346
Incidence rate per 1000 person-years (95% CI)	30.4 (29.1-31.8)	38.6 (19.9-67.3)	50.5 (48.9-52.2)	69.6 (41.9-108.8)	35.5 (34.1-36.9)	75.4 (48.3-112.2)	11.2 (10.4-12.0)	34.7 (17.9-60.6
Unadjusted hazard ratio (95% CI)	-	1.27 (0.72-2.24)	-	1.38 (0.88-2.16)	-	2.12 (1.42-3.17)	-	3.11 (1.76-5.50
Adjusted hazard ratio <sup>a</sup> (95% CI)	- U	1.20 (0.60-2.39)	-	1.18 (0.58-2.37)	-	2.05 (1.22-3.47)	-	3.29 (1.49-7.26
Adjusted subdistribution hazard ratio <sup>a</sup> (95% CI)	-	1.19 (0.63-2.26)		1.13 (0.60-2.13)		1.94 (1.15-3.26)		2.74 (1.24-6.05
By age group								
N (%)								
0–49 years	1,958 (4.5%)	9 (4.3%)	2,304 (5.2%)	9 (5.0%)	1,895 (4.2%)	9 (4.1%)	1,533 (3.9%)	9 (3.7%)
50-64 years	3,963 (9.1%)	21 (10%)	4,080 (9.2%)	18 (10%)	3,620 (8.1%)	19 (8.6%)	3,305 (8.4%)	22 (9.1%)
≥65 years	37,578 (86%)	179 (86%)	37,765 (86%)	152 (85%)	39,253 (88%)	193 (87%)	34,730 (88%)	210 (87%)
Incidence rate per 1000 person-years (95% CI)								
0–49 years	8.0 (5.6-11.0)	0 (0-200.4)	15.0 (11.8-18.7)	0 (0-200.4)	3.0 (1.6-5.1)	0 (0-200.4)	1.2 (0.3-3.0)	0 (0-200.4)
50-64 years	5.4 (4.0-7.0)	21.8 (0.6-121.5)	29.4 (26.1-33.1)	24.3 (0.6-135.1)	35.1 (31.3-39.3)	69.7 (14.4-203.6)	6.2 (4.6-8.2)	21.4 (0.5-119.2
≥65 years	36.7 (35.1-38.3)	44.5 (22.2-79.7)	57.1 (55.2-59.0)	84.4 (50.0-133.4)	38.0 (36.5-39.7)	81.8 (50.6-125.0)	12.6 (11.7-13.6)	39.2 (19.6-70.1
Adjusted hazard ratio <sup>a</sup> (95% CI)								
0–49 years	-	0	-	0	-	0	-	0
50-64 years	-	4.06 (0.56-29.38)	-	0.82 (0.12-5.86)	-	1.98 (0.64-6.19)	-	3.47 (0.48-25.0
≥65 years	-	1.21 (0.64-2.10)	-	1.48 (0.78-1.97)	-	2.15 (1.29-3.04)	-	3.11 (1.58-5.20

Page 11 of 24

1 2 3

#### BMJ Open

3 4	206	for CHD, 1.13 (0.60–2.13) for HF, 1.94 (1.15–3.26) for stroke, and 2.74 (1.24–6.05) for AF.
5	207	Infected patients were still significantly more likely to develop stroke and AF than their non-
6 7	208	infected counterparts.
8 9 10 11 12 13 14 15 16	209	In the age-stratified analysis, S. pneumonia infections were not significantly associated
	210	with a higher risk of the 4 cardiovascular events in patients aged 50-64 years. Among older
	211	patients aged $\geq$ 65 years, <i>S. pneumonia</i> infections were significantly associated with
	212	substantially higher risks of stroke and AF occurrence.
	213	Figure 3 presents the Kaplan–Meier curves of each cardiovascular event. When
	214	compared with non-infected patients, infected patients had a significantly higher risk of
17	215	incident HF, stroke, and AF (all $P < 0.0001$ ); but not CHD ( $P = 0.046$ ).
18 19	216	Figure 4 presents the cumulative incidence curves for cardiovascular events where
20	217	death was regarded as a competing event.
21 22		
23	218	Discussion
24 25	219	Through an analysis of National Health Insurance and Latter-Stage Elderly Healthcare
26	220	System enrollees residing in 3 Japanese municipalities, this study comparatively examined the
27 28	221	incidence of cardiovascular events leading to hospitalization between S. pneumoniae-infected
28 29 30	222	patients and non-infected patients. Our results showed that the experience of S. pneumoniae
	223	infection significantly elevates the risk of subsequent stroke and AF. S. pneumoniae infection
31 32	224	increased the risk of these cardiovascular events among older patients aged $\geq 65$ years. While
33 24	225	S. pneumonia infections were not significantly associated with a higher risk of these
34 35	226	cardiovascular events in patients aged 50-64 years, the ratios were relatively high and more
36	227	studies should be conducted. These findings may help to identify at-risk targets for expanded
37 38	228	pneumococcal vaccination programs.
39	229	Recent studies have shown that patients with community-acquired pneumonia have a
40 41	230	higher frequency of cardiovascular events [8, 10, 11, 16, 18, 21, 25, 26]. Our estimated
42	231	incidence of AF after S. pneumoniae infection was 5.0%. The incidence of arrhythmia (ICD-
43 44	232	10 codes: I47-49) in this study was estimated to be 9.0%, which is slightly higher than that of
45	233	a previous meta-analysis that estimated an overall incidence of 7.2% among inpatients with
46 47	234	community-acquired pneumonia [25]. This discrepancy may be explained by the fact that the
48	235	meta-analysis had only included studies with short-term outcomes.
49 50	236	In our analysis, the estimated incidence of stroke after S. pneumoniae infection was
51	237	considerably higher than those found in previous studies [11,16]. Perry et al. reported a stroke
52 53	238	incidence of 0.17% in 40,979 patients during 90 days of admission for pneumonia, whereas
54	239	Violi et al. reported a stroke incidence of 1.0% in 1,182 patients hospitalized for community-
55 56	240	acquired pneumonia during in-hospital follow-up (median length of hospital stay: 11 days).
57	241	Accordingly, those 2 studies had focused on the short-term incidence of stroke. However, the
58 59	242	risk of stroke increases with age, and longer follow-up periods after S. pneumoniae infection
60	243	would therefore provide a more accurate depiction of its risks. Furthermore, Perry et al. used

ICD-9 codes to identify stroke, whereas Violi et al. identified stroke cases through clinical manifestations confirmed by computed tomography or magnetic resonance imaging [11,16]. Stroke diagnostic methods are generally reliant on imaging data, and many medical facilities in Japan are equipped with on-site computed tomography and/or magnetic resonance imaging scanners. This enables the accurate diagnosis of stroke, including cases of milder strokes, throughout Japan. 

Among the studies that reported a high frequency of subsequent cardiovascular events in pneumonia patients, few have actually compared infected patients with non-infected controls. Eurich et al. performed a long-term prospective cohort study of both inpatients and outpatients with community-acquired pneumonia, and found that these infections substantially increased the risk of HF across different age groups and disease severity [18]. During a median follow-up period of 9.9 years, 11.9% of patients with pneumonia developed incident HF compared with 7.4% of the non-infected controls; furthermore, 13.3% of patients with pneumococcal bacteremia developed incident HF [18]. In contrast, 13.0% of our infected patients developed incident HF compared with 12.0% of their non-infected counterparts, with no significant difference between the groups. This discrepancy may be influenced by the fact that Eurich et al. used a control group that only controlled for age (five-year age bands) and sex, only investigated outpatients in emergency departments, and focused on severe pneumonia infections. In contrast, our study included outpatients from all types of medical institutions, and our control group comprised patients without any S. pneumoniae infection. Our study also utilized a research design that differed from Eurich et al. [18], which only matched for age and sex, and adjusted for the effects for coexisting conditions by including them as covariates in analytical models. However, we matched infected patients and non-infected controls not only by age and sex, but also by comorbidities. 

To our knowledge, few studies have shown the long-term risks of subsequent stroke and AF after S. pneumoniae infection (including non-hospitalized cases) relative to non-infected controls. Severe cases of pneumonia require hospital-based care, especially among older adults. Therefore, studies that focus on hospitalized pneumonia patients would overlook the risks associated with less severe cases. For example, although patients aged ≤65 years may have milder S. pneumoniae infections and a correspondingly lower risk of hospitalization than older patients, these infections could still elevate the risk of subsequent cardiovascular events in the younger age groups. As this study used insurance claims data that incorporated both inpatient and outpatient data, we were able to identify the risk of cardiovascular events after S. pneumoniae infection in patients regardless of whether they required hospitalization. Moreover, our study excluded patients who had subsequent cardiovascular events during the index hospital stay for S. pneumoniae infection. For patients who were admitted to hospital due to S. pneumoniae infection, we only monitored for cardiovascular events that occurred after discharge. Most studies have reported the short-term risks of cardiovascular events during or after acute infections, and the long-term impact of pneumonia on subsequent

Page 13 of 24

1 2

#### BMJ Open

3 4	283	cardiovascular disease occurrence is less clear. Therefore, our study provides new insight into
4 5	284	the mid-to long-term effects of milder S. pneumoniae infections treated in outpatient settings
6	285	as well as severe S. pneumoniae infections that require hospitalization.
7 8 9 10 11 12 13 14 15	286	A previous study identified the major causative organisms of community-acquired
	287	pneumonia to be S. pneumoniae, Haemophilus influenzae, Mycoplasma pneumoniae,
	288	Chlamydophila pneumoniae, Legionella pneumophila, Staphylococcus aureus, and several
	289	viral pathogens (including influenza A and B) [27]. S. pneumoniae reportedly reduces cardiac
	290	contractility by increasing cardiomyocyte uptake of bacterial cell wall antigens [28]. Many
	291	studies that seek to understand the pathogenesis of cardiovascular events following
16 17	292	pneumonia focus on infections caused by <i>S. pneumoniae</i> [29]. Several studies have proposed
18	293	that S. pneumoniae cell wall components and pneumolysin (a pore-forming toxin) trigger pro-
19 20	294	inflammatory mechanisms that ultimately result in cardiac damage [30-33]. Furthermore, the
21	295	infection-mediated hyperactivation of platelets can create a pro-inflammatory and
22 23	296	prothrombotic environment that facilitates the occurrence of cardiovascular events and
24	297	cardiac damage [31]. Pneumonia and other infections can trigger fever, hypoxia, and
25 26	298	hemodynamic disturbance in patients, which are all risk factors of AF and its associated
27	299	cardiac damage [34]. In our analysis, <i>S. pneumonia</i> infections were significantly associated
28 29	300	with higher risks of stroke and AF, but not CHD and HF. These differences may be due to the
30	301	presence of multiple mechanisms that differentially contribute to each type of cardiovascular
31 32	302	event. Further studies are needed to explore these differences in greater depth.
33	303	The study limitations are as follows. First, S. pneumoniae infections and
34 35	304	cardiovascular events were identified using diagnosis codes and ICD-10 codes, respectively.
36	305	Therefore, our analysis may be vulnerable to coding errors. Second, our study could not
37 38	306	account for patients' lifestyle factors (e.g., tobacco and alcohol consumption), socioeconomic
39	307	factors, or pneumococcal vaccination statuses. In addition, we also could not account for
40 41	308	differences in medications and treatments (especially at discharge) among the patients. Third,
42	309	our study population was limited to enrollees of Japan's National Health Insurance and
43 44	310	Latter-Stage Elderly Healthcare System, and the findings may not be extrapolatable to those
45	311	enrolled in other insurance systems. Fourth, our study excluded patients with previous in-
46 47	312	hospital cardiovascular events. However, the real-world population of patients would include
48	313	those with such events. This approach could introduce selection bias and affect the
49 50	314	comparability of our findings with real-world scenarios. Fifth, we excluded infected patients
51	315	who were not matched with non-infected controls. With consideration to the relatively low
52 53	316	number of infected patients, the exclusion of these non-matched patients could potentially
54	317	reduce the power of our statistical analyses. Sixth, our study outcomes focused on
55 56	318	cardiovascular events that resulted in hospitalization, and did not consider events without in-
57	319	hospital care. Therefore, our study may overlook the impact of <i>S. pneumoniae</i> infection on
58 59	320	subsequent occurrences of mild cardiovascular disease. Seventh, previous outpatient
60	321	treatments were not included in the analysis, which could result in selection bias. Eighth, the

index date for matching was set as the last day of the month with S. pneumoniae infection instead of the claims date, which could result in lead-time bias. Finally, we did not consider the random effects of hospitals or municipalities in our multivariate analyses. Patients treated in the same hospital or municipality may be similar to one another. However, all the study municipalities were located in the same prefecture, and there were no major differences in characteristics at the regional or hospital level. Additionally, pneumonia is a common disease that hospitals regularly treat, and it is unlikely that there would be a large bias at the hospital level. 

#### Conclusion

S. pneumoniae infections elevate the risk of subsequent stroke and AF occurrence. These findings indicate that pneumococcal infections do not only have short-term effects on patient health, but also increase the mid-to long-term susceptibility to serious cardiovascular events. With a greater understanding of S. pneumoniae infection's far-reaching impact, further studies are needed to explore the possible benefits of expanding current pneumococcal vaccination programs. 

- Contributorship statament NN and HF designed the study. HF provided the data. NN analyzed the data. NN prepared the first draft of the manuscript. HF made critical revisions to the manuscript. All authors reviewed and approved the final draft.
- Competing interests HF received an Investigator-Sponsored Research grant from Pfizer Japan Inc. Funding The construction of the LIFE Study database was funded by a Grant-in-Aid for Scientific
- Research by the Japan Society for the Promotion of Science (Grant No. JP20H00563). Data analysis
- and publication were funded by an Investigator-Sponsored Research grant from Pfizer Japan Inc.
- Data sharing statement The data used in this study were acquired under agreements with the
- participating municipalities, which stipulate that the data can only be used by authorized research
- institutions and cannot be shared with third parties.
- **Ethics Approval**
- The study was approved by the Kyushu University Institutional Review Board for Clinical Research (Approval No. 2021-423).

1	
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 9 20 21 22 23 24 25 26 27 28 29 30 31 32 33 4 35 36 37 38	
3	353
4	354
5	355
6	356
/	357
8	358
9	359
10	360
11	361
12	362
13	363
14 17	364
15	365
10	300
1/ 10	30/
10	308
19	309
20	370
21	372
22	373
25 24	374
24	375
25	376
20	377
27	378
20	379
30	353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388
30	381
32	382
33	383
34	384
35	385
36	380
37	388
38	380
39	390
40	391
41	392
42	393
43	394
44	395
45	396
46	397
47	398
48	399 400
49	400
50	401 402
51	402 403
52	403
53	404
54	405
55	407
56	408
57	407 408 409

## 353 **References**

- Trotter CL, Stuart JM, George R, Miller E. Increasing hospital admissions for pneumonia, England. Emerg Infect Dis 2008; 14: 727–33
- Eurich DT, Marrie TJ, Minhas-Sandhu JK, Majumdar SR. Ten-Year Mortality after Community-acquired
   Pneumonia. A Prospective Cohort. Am J Respir Crit Care Med 2015; 192: 597-604.
- 358 3. Musher DM. Streptococcus pneumoniae. In: Mandell GL, Bennett JE, Dolin R, eds. Mandell, Douglass and
   359 Bennett's principles and practice of infectious diseases. Philadelphia: Churchill Livingstone 2005: 2392–411
  - Fry AM, Shay DK, Holman RC, Curns AT, Anderson LJ. Trends in hospitalizations for pneumonia among persons aged 65 years or older in the United States, 1988–2002. JAMA 2005; 294: 2712–2719.
- Jasti H, Mortensen EM, Obrosky DS, Kapoor WN, Fine MJ. Causes and risk factors for rehospitalization of patients hospitalized with community-acquired pneumonia. Clin Infect Dis 2008; 46: 550–6.
  - Bostma DF, Spitoni C, Van Werkhoven CH, Van Elden LJR, Oosterheert JJ, Bonten MJM. Cardiac events
    after macrolides or fluoroquinolones in patients hospitalized for community-acquired pneumonia: Post-hoc
    analysis of a cluster-randomized trial. BMC Infect Dis 2019; 19: 17.
    - 67 7. Corrales-Medina VF, Alvarez KN, Weissfeld LA, Angus DC, Chirinos JA, Chang CH, Newman A, Loehr L,
       68 Folsom AR, Elkind MS, et al. Association Between Hospitalization for Pneumonia and Subsequent Risk of
       69 Cardiovascular Disease. JAMA 2015; 313: 264–274.
    - Cangemi R, Calvieri C, Falcone M, et al. Relation of Cardiac Complications in the Early Phase of Community-Acquired Pneumonia to Long-Term Mortality and Cardiovascular Events. Am J Cardiol 2015; 116: 647-651.
    - Griffin AT, Wiemken TL, Arnold FW. Risk factors for cardiovascular events in hospitalized patients with community-acquired pneumonia. Int J Infect Dis 2013; 17: e1125-e1129.
      - Corrales-Medina VF, Musher DM, Wells GA, Chirinos JA, Chen L, Fine MJ. Cardiac complications in patients with community-acquired pneumonia: incidence, timing, risk factors, and association with shortterm mortality. Circulation 2012; 125: 773-781.
      - 11. Violi F, Cangemi R, Falcone M, et al. Cardiovascular Complications and Short-term Mortality Risk in Community-Acquired Pneumonia. Clin Infect Dis 2017; 64: 1486-1493.
      - 12. Aliberti S, Ramirez J, Cosentini R, et al. Acute myocardial infarction versus other cardiovascular events in community-acquired pneumonia. ERJ Open Res 2015; 1: 00020-2015
      - 13. Viasus D, Garcia-Vidal C, Manresa F, Dorca J, Gudiol F, Carratalà J. Risk stratification and prognosis of acute cardiac events in hospitalized adults with community-acquired pneumonia. J Infect 2013; 66: 27-33.
      - 14. Cilli A, Cakin O, Aksoy E, et al. Acute cardiac events in severe community-acquired pneumonia: A multicenter study. Clin Respir J 2018; 12: 2212-2219.
      - 15. Chen PC, Liao WI, Wang YC, et al. An Elevated Glycemic Gap is Associated With Adverse Outcomes in Diabetic Patients With Community-Acquired Pneumonia. Medicine 2015; 94: e1456.
      - 16. Perry TW, Pugh MJ, Waterer GW, et al. Incidence of cardiovascular events after hospital admission for pneumonia. Am J Med 2011; 124: 244-251.
      - 17. Mandal P, Chalmers JD, Choudhury G, Akram AR, Hill AT. Vascular complications are associated with poor outcome in community-acquired pneumonia. QJM 2011; 104: 489-495.
      - 18. Eurich DT, Marrie TJ, Minhas-Sandhu JK, Majumdar SR. Risk of heart failure after community acquired pneumonia: prospective controlled study with 10 years of follow-up. BMJ 2017; 356: j413.
    - Cangemi R, Calvieri C, Taliani G, et al. Left Atrium Dilatation and Left Ventricular Hypertrophy Predispose to Atrial Fibrillation in Patients With Community-Acquired Pneumonia. Am J Cardiol 2019; 124: 723-728.
- Pieralli F, Biondo B, Vannucchi V, et al. Performance of the CHA2DS2-VASc score in predicting new onset atrial fibrillation during hospitalization for community-acquired pneumonia. Eur J Intern Med 2019; 62: 24-28.
- 399 399 400
   21. Corrales-Medina VF, Serpa J, Rueda AM, et al. Acute bacterial pneumonia is associated with the occurrence of acute coronary syndromes. Medicine. 2009; 88: 154-159.
  - Fukuda H, Ishiguro C, Ono R, Kiyohara K. The Longevity Improvement & Fair Evidence (LIFE) Study:
     Overview of the Study Design and Baseline Participant Profile. J Epidemiol 2022; 10.2188
  - Imai K, Petigara T, Kohn MA, Nakashima K, Aoshima M, Shito A, Kanazu S. Risk of pneumococcal diseases in adults with underlying medical conditions: a retrospective, cohort study using two Japanese healthcare databases. BMJ Open 2018; 8: e018553.
- 406
   407
   24. Quan H, Li B, Couris CM, et al. Updating and validating the Charlson comorbidity index and score for risk adjustment in hospital discharge abstracts using data from 6 countries. Am J Epidemiol 2011; 173: 676-682.
- 408
   57
   409
   25. Tralhão A, Póvoa P. Cardiovascular Events After Community-Acquired Pneumonia: A Global Perspective with Systematic Review and Meta-Analysis of Observational Studies. J Clin Med 2020; 9: 414.
   58
   410
   58
   410
   58
   410
- 410
   59
   411
   26. Musher DM, Rueda AM, Kaka AS, Mapara SM. The association between pneumococcal pneumonia and acute cardiac events. Clin Infect Dis 2007; 45: 158-165.
- 412 27. Chong CP, Street PR. Pneumonia in the elderly: a review of the epidemiology, pathogenesis, microbiology,

- 413 and clinical features. South Med J 2008; 101: 1141-1179.
   414 28 Fillon S Soulis K Rajasekaran S et al Platelet-activativ
  - 414 28. Fillon S, Soulis K, Rajasekaran S, et al. Platelet-activating factor receptor and innate immunity: uptake of gram-positive bacterial cell wall into host cells and cell-specific pathophysiology. J Immunol 2006; 177: 6182-6191.
    - 417
      418
      29. Feldman C, Anderson R. Platelets and Their Role in the Pathogenesis of Cardiovascular Events in Patients With Community-Acquired Pneumonia. Front Immunol 2020; 11: 577303.
    - 419 30. Feldman C, Normark S, Henriques-Normark B, Anderson R. Pathogenesis and prevention of risk of cardiovascular events in patients with pneumococcal community-acquired pneumonia. J Intern Med 2019; 285: 635-652.
    - Anderson R, Nel JG, Feldman C. Multifaceted Role of Pneumolysin in the Pathogenesis of Myocardial Injury
       in Community-Acquired Pneumonia. Int J Mol Sci 2018; 19: 1147.
    - Shenoy AT, Beno SM, Brissac T, Bell JW, Novak L, Orihuela CJ. Severity and properties of cardiac damage
       caused by Streptococcus pneumoniae are strain dependent. PLoS One 2018; 13: e0204032.
    - Alhamdi Y, Neill DR, Abrams ST, et al. Circulating Pneumolysin Is a Potent Inducer of Cardiac Injury during
       Pneumococcal Infection. PLoS Pathog 2015; 11: e1004836.
    - 34. Kirchhof P, Benussi S, Kotecha D, et al. 2016 ESC Guidelines for the management of atrial fibrillation developed in collaboration with EACTS. Eur Heart J 2016; 37: 2893-2962.

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

Figure 1. Overview of the follow-up process for *Streptococcus pneumoniae*-infected and non-infected patients

Endpoints refer to the occurrence of a target cardiovascular event.

#### Figure 2. Selection of Streptococcus pneumoniae-infected and non-infected patients for analysis

Prior CHD, HF, stroke, and AF refer only to previous events with in-hospital treatments. Abbreviations: CHD, coronary heart disease; HF, heart failure; AF, atrial fibrillation.

Figure 3. Kaplan–Meier estimates for cardiovascular events in *Streptococcus pneumoniae*-infected and non-infected patients

(A) Coronary heart disease (CHD), (B) heart failure (HF), (C) stroke, and (D) AF (Atrial fibrillation)

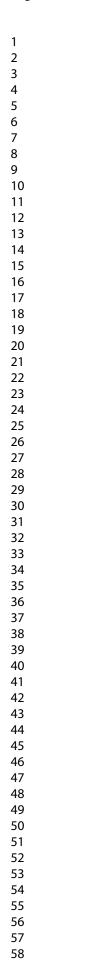
Figure 4. Cumulative incidence curves for cardiovascular events in *Streptococcus pneumoniae*-infected and non-infected patients

(A) Coronary heart disease (CHD), (B) heart failure (HF), (C) stroke, and (D) AF (Atrial fibrillation)

1	
2	
3	
4	
5	
6 7	
8	Streptococcus pneumoniae infection Endpoint
9	Infected Follow-up period
10	Initial claims data
11	
12	Initial claims data
13	Non-infected Follow-up period
14	Index date Endpoint
15	⊢> April 1, 2014
16	
17	Figure 1. Overview of the follow-up process for Streptococcus pneumoniae-infected and non-infected
18	patients
19 20	Legend: Endpoints refer to the occurrence of a target cardiovascular event.
20	
22	1028x342mm (72 x 72 DPI)
23	
24	
25	
26	
27	
28	
29	
30	
31 32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44 45	
45 46	
40	
48	
49	
50	
51	
52	
53	
54	
55	
56 57	
57 58	
58 59	
60	For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml
00	

Page 19 of 24

**BMJ** Open



59

60

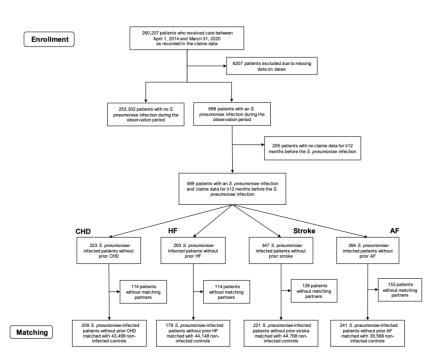


Figure 2. Selection of Streptococcus pneumoniae-infected and non-infected patients for analysis Legend: Prior CHD, HF, stroke, and AF refer only to previous events with in-hospital treatments. Abbreviations: CHD, coronary heart disease; HF, heart failure; AF, atrial fibrillation.

579x403mm (72 x 72 DPI)

Non-infected - Infected

800 Time (de

er censored)

51 (109)

800

Time (days)

Non-infected

1200

4808 (356

15 (145)

1200

Infected

1200

606 (35270 19 (210)

1200

\_

1600

436 (39931)

0 (160)

1600

1600

268 (3860 0 (229)

1600

400

27718 (14145) 14933

100 (63)

400

at risk (nun

0.20 P < 0.0001

Ó

44148 (0)

179 (0)

Ó

0.20 P < 0.0001

Ó

39568 (0)

241 (0)

Ó

Numb

400

23666 (15642)

129 (103)

400

er at risk (nu

800

Time (days)

er censored)

60 (169)

800

Time (days)

11347 (27

0.15

0.10

0.05

0.00

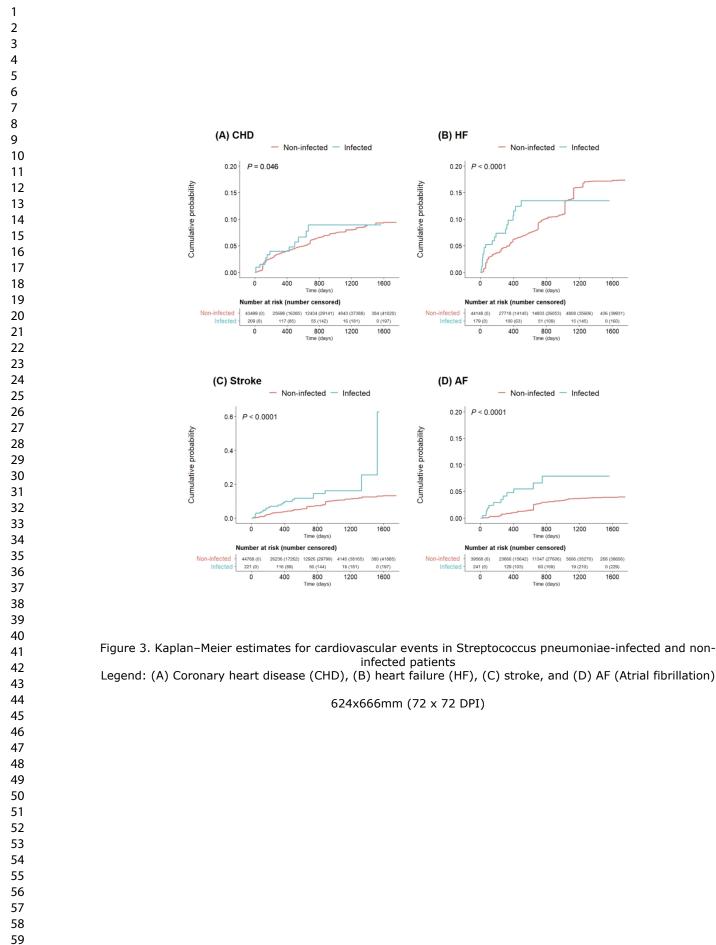
Number

0.15

0.10

0.05

0.00



For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

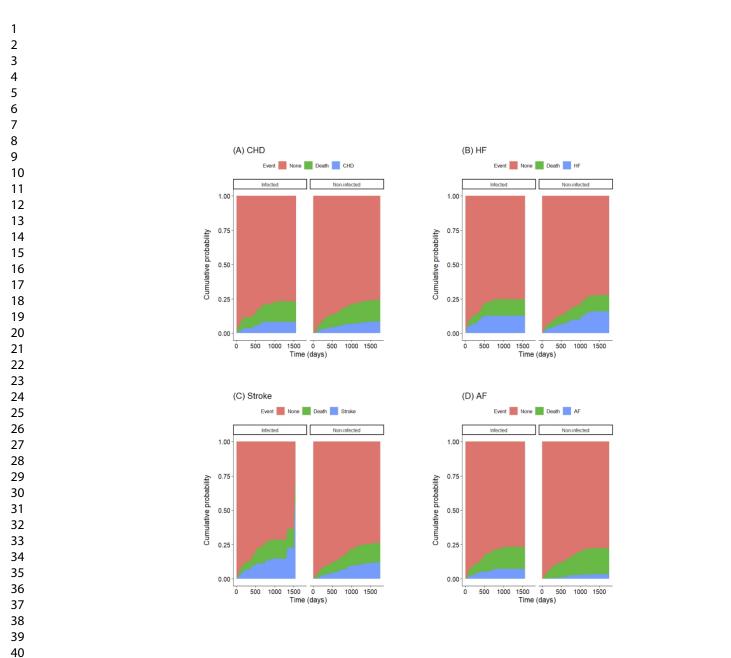


Figure 4. Cumulative incidence curves for cardiovascular events in Streptococcus pneumoniae-infected and non-infected patients Legend: (A) Coronary heart disease (CHD), (B) heart failure (HF), (C) stroke, and (D) AF (Atrial fibrillation)

624x666mm (72 x 72 DPI)

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

	Coronary heart disease								
		Unmatche	d	Matched					
	Mean		Standardized mean	Mean		Standardized mean			
	Infected	Non- infected	differences	Infected	Non- infected	differences			
Age	77.84	68.41	0.48	77.08	77.03	0.0043			
Sex	0.48	0.60	0.25	0.49	0.49	0.00			
Hospital admission	0.79	0.02	5.58	0.70	0.70	0.00			
Myocardial infarction	0.01	0.01	0.02	0.00	0.00	0.00			
Congestive heart failure	0.20	0.06	0.60	0.11	0.11	0.00			
Peripheral vascular disease	0.08	0.05	0.14	0.03	0.03	0.00			
Cerebrovascular disease	0.25	0.12	0.43	0.14	0.14	0.00			
Dementia	0.21	0.05	0.68	0.14	0.14	0.00			
Chronic pulmonary disease	0.37	0.10	0.89	0.29	0.29	0.00			
Rheumatic disease	0.06	0.02	0.32	0.01	0.01	0.00			
Peptic ulcer disease	0.13	0.04	0.40	0.07	0.07	0.00			
Mild liver disease	0.20	0.12	0.25	0.16	0.16	0.00			
Diabetes without chronic			1						
complications	0.06	0.01	0.68	0.01	0.01	0.00			
Diabetes with chronic									
complications	0.05	0.03	0.12	0.03	0.03	0.00			
Hemiplegia or paraplegia	0.02	0.01	0.19	0.00	0.00	0.00			
Renal disease	0.07	0.03	0.26	0.01	0.01	0.00			
Malignancy	0.15	0.05	0.42	0.08	0.08	0.00			
Moderate or severe liver									
disease	0.00	0.00	0.05	0.00	0.00	0.00			
Metastatic solid tumor	0.03	0.01	0.25	0.02	0.02	0.00			
HIV/AIDS	0.00	0.00	0.01	0.00	0.00	0.00			

#### Supplementary Table 1. Covariate balance before and after matching for coronary heart disease

Supplementary Table 2	. Covariate balance before and	after matching for heart failure
-----------------------	--------------------------------	----------------------------------

	Heart failure							
		Unmatche	d	Matched				
	Mean		Standardized	Mean		Standardized		
	Infected	Non- infected	mean differences	Infected	Non- infected	mean differences		
Age	77.08	68.42	0.45	75.56	75.36	0.016		
Sex	0.43	0.59	0.34	0.45	0.45	0.00		
Hospital admission	0.78	0.02	5.40	0.66	0.66	0.00		
Myocardial infarction	0.02	0.01	0.13	0.01	0.01	0.00		
Congestive heart failure	0.10	0.05	0.22	0.02	0.02	0.00		
Peripheral vascular disease	0.12	0.05	0.29	0.03	0.03	0.00		
Cerebrovascular disease	0.25	0.12	0.42	0.12	0.12	0.00		
Dementia	0.19	0.05	0.64	0.11	0.11	0.00		
Chronic pulmonary disease	0.39	0.10	0.95	0.32	0.32	0.00		
Rheumatic disease	0.06	0.02	0.31	0.03	0.03	0.00		
Peptic ulcer disease	0.15	0.05	0.51	0.08	0.08	0.00		
Mild liver disease	0.23	0.12	0.33	0.17	0.17	0.00		
Diabetes without chronic			4.		0.01			
complications	0.06	0.01	0.65	0.01	0.01	0.00		
Diabetes with chronic								
complications	0.06	0.03	0.18	0.04	0.04	0.00		
Hemiplegia or paraplegia	0.02	0.01	0.18	0.00	0.00	0.00		
Renal disease	0.08	0.03	0.33	0.01	0.01	0.00		
Malignancy	0.18	0.05	0.54	0.11	0.11	0.00		
Moderate or severe liver			A					
disease	0.01	0.00	0.09	0.00	0.00	0.00		
Metastatic solid tumor	0.02	0.01	0.11	0.02	0.02	0.00		
HIV/AIDS	0.00	0.00	0.01	0.00	0.00	0.00		

	Stroke							
		Matched	ned					
	Me	an	Standardized	Me	Mean			
	Infected	Non- infected	mean differences	Infected	Non- infected	mean differences		
Age	78.20	68.57	0.50	77.66	77.53	0.011		
Sex	0.46	0.60	0.29	0.49	0.49	0.00		
Hospital admission	0.80	0.02	5.41	0.71	0.71	0.00		
Myocardial infarction	0.03	0.01	0.11	0.00	0.00	0.00		
Congestive heart failure	0.20	0.07	0.52	0.09	0.09	0.00		
Peripheral vascular disease	0.11	0.05	0.24	0.03	0.03	0.00		
Cerebrovascular disease	0.20	0.10	0.31	0.10	0.10	0.00		
Dementia	0.17	0.05	0.53	0.12	0.12	0.00		
Chronic pulmonary disease	0.37	0.11	0.88	0.29	0.29	0.00		
Rheumatic disease	0.05	0.02	0.28	0.02	0.02	0.00		
Peptic ulcer disease	0.11	0.05	0.31	0.06	0.06	0.00		
Mild liver disease	0.19	0.12	0.21	0.15	0.15	0.00		
Diabetes without chronic complications	0.04	0.01	0.42	0.01	0.01	0.00		
Diabetes with chronic complications	0.05	0.03	0.16	0.03	0.03	0.00		
Hemiplegia or paraplegia	0.02	0.01	0.18	0.00	0.00	0.00		
Renal disease	0.10	0.03	0.39	0.02	0.02	0.00		
Malignancy	0.15	0.06	0.41	0.09	0.09	0.00		
Moderate or severe liver disease	0.00	0.00	0.05	0.00	0.00	0.00		
Metastatic solid tumor	0.01	0.01	0.07	0.01	0.01	0.00		
HIV/AIDS	0.00	0.00	0.01	0.00	0.00	0.00		

#### Supplementary Table 3. Covariate balance before and after matching for stroke

	Atrial fibrillation							
	Unmatched Matched							
	Mean		Standardized	Mean		Standardized		
	Non- infected	Infected	mean differences	Non- infected	Infected	mean differences		
Age	78.70	68.92	0.51	77.67	77.52	0.012		
Sex	0.45	0.60	0.29	0.48	0.48	0.00		
Hospital admission	0.83	0.02	5.42	0.74	0.74	0.00		
Myocardial infarction	0.02	0.01	0.09	0.00	0.00	0.00		
Congestive heart failure	0.20	0.07	0.52	0.11	0.11	0.00		
Peripheral vascular disease	0.10	0.05	0.21	0.03	0.03	0.00		
Cerebrovascular disease	0.26	0.12	0.42	0.13	0.13	0.00		
Dementia	0.19	0.06	0.55	0.12	0.12	0.00		
Chronic pulmonary disease	0.38	0.11	0.90	0.28	0.28	0.00		
Rheumatic disease	0.06	0.02	0.32	0.02	0.02	0.00		
Peptic ulcer disease	0.12	0.05	0.36	0.05	0.05	0.00		
Mild liver disease	0.18	0.12	0.18	0.12	0.12	0.00		
Diabetes without chronic	0.05	0.01	0.53	0.01	0.01	0.00		
complications								
Diabetes with chronic complications	0.06	0.03	0.19	0.03	0.03	0.00		
Hemiplegia or paraplegia	0.02	0.01	0.16	0.00	0.00	0.00		
Renal disease	0.10	0.03	0.41	0.02	0.02	0.00		
Malignancy	0.17	0.06	0.50	0.08	0.08	0.00		
Moderate or severe liver	0.01	0.00	0.05	0.00	0.00	0.00		
disease								
Metastatic solid tumor	0.02	0.01	0.17	0.02	0.02	0.00		
HIV/AIDS	0.00	0.00	0.01	0.00	0.00	0.00		
Atrial fibrillation	0.03	0.03	0.02	0.01	0.01	0.00		

#### Supplementary Table 4. Covariate balance before and after matching for atrial fibrillation