

Determinants of COVID-19 vaccine willingness among people incarcerated in Canadian federal prisons: a cross-sectional study

Journal:	CMAJ Open	
	·	
Manuscript ID	<u> </u>	
Manuscript Type:	Cross-sectional	
Date Submitted by the Author:	21-Sep-2021	
Complete List of Authors:	Romanchuk, Kathryn; Research Institute of the McGill University Health Centre Linthwaite, Blake; Research Institute of the McGill University Health Centre Cox, Joseph; Research Institute of the McGill University Health Centre, Medicine Park, Hyejin; Research Institute of the McGill University Health Centre Dussault, Camille; Research Institute of the McGill University Health Centre Basta, Nicole; McGill University Faculty of Medicine and Health Sciences, Epidemiology, Biostatistics, and Occupational Health Varsaneux, Olivia; Correctional Service Canada Worthington, James; Correctional Service Canada Lebouché, Bertrand; Research Institute of the McGill University Health Centre MacDonald, Shannon; University of Alberta, Faculty of Nursing Ismail, Shainoor; Public Health Agency of Canada Kronfli, Nadine; McGill University Health Centre; Research Institute of the McGill University Health Centre	
Keywords:	Infectious diseases, Public health	
More Detailed Keywords:	Prison, Corrections, COVID-19 vaccine	
Abstract:	Background: Maximizing COVID-19 vaccine uptake among people in prison is essential in mitigating future outbreaks. We aimed to determine factors associated with willingness to receive a COVID-19 vacine in Canadian federal prisons prior to vaccine availability. Methods: Three Canadian federal prisons were chosen based on previously low influenza vaccine uptake. Participants completed a self-administered questionnaire on knowledge, attitude, and beliefs towards vaccines. The primary outcome was "willingness to receive a COVID-19 vaccine", measured using a five-point Likert to the question "If a safe and effective COVID-19 vaccine becomes available in prison, how likely are you to get vaccinated?". The association of independent variables,	

identified a priori, with willingness were examined using logistic regression and crude and adjusted odds ratio (aOR) with 95% confidence intervals (CI) were calculated.

Results: A total of 229 participants were included (median age: 46; female: 20%, Indigenous: 25%); 189 (83%) were willing to receive a COVID-19 vaccine. Participants who received the 2019-2020 influenza vaccine (aOR 4.24, 95% CI 1.61-12.40; vs. not) and who perceived vaccines to be important (aOR 10.20, 95% CI 4.33-26.00; vs. not) had higher odds of COVID-19 vaccine willingness. Conversely, those in medium security (aOR 0.27, 95% CI 0.07-0.88; vs. minimum security) had lower odds of COVID-19 vaccine willingness.

Interpretation: The majority (83%) of participants were willing to receive a COVID-19 vaccine prior to vaccine roll-out. While reasons for vaccine hesitancy are complex, efforts focused on those who do not perceive vaccines as important and those in high-security prisons may improve vaccine willingness.

SCHOLARONE™ Manuscripts

STROBE Statement—Checklist of items that should be included in reports of cross-sectional studies

	Item No	Recommendation	Page No
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
		done and what was found	
Introduction			Τ
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5-6
Objectives	3	State specific objectives, including any prespecified hypotheses	6
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of	6.7
		recruitment, exposure, follow-up, and data collection	6-7
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of	_
•		participants	7
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders,	
		and effect modifiers. Give diagnostic criteria, if applicable	8
Data sources/	8*	For each variable of interest, give sources of data and details of methods of	
measurement		assessment (measurement). Describe comparability of assessment methods	8-9
		if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	7,9
Study size	10	Explain how the study size was arrived at	7
Quantitative	11	Explain how quantitative variables were handled in the analyses. If	,
variables	••	applicable, describe which groupings were chosen and why	NA
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	9
		confounding	9
		(b) Describe any methods used to examine subgroups and interactions	NA
		(c) Explain how missing data were addressed	9
		(d) If applicable, describe analytical methods taking account of sampling	3.7.1
		strategy	NA
		(e) Describe any sensitivity analyses	NA
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers	
Turtiorpunts	15	potentially eligible, examined for eligibility, confirmed eligible, included in	10
		the study, completing follow-up, and analysed	10
		(b) Give reasons for non-participation at each stage	10
		(c) Consider use of a flow diagram	25
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical,	10-1
Descriptive data	14		
		social) and information on exposures and potential confounders	26
		(b) Indicate number of participants with missing data for each variable of interest	NA
Outcome data	15*	Report numbers of outcome events or summary measures	11
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted	
		estimates and their precision (eg, 95% confidence interval). Make clear	11

		(b) Report category boundaries when continuous variables were categorized	NA
		© If relevant, consider translating estimates of relative risk into absolute risk	NA
		for a meaningful time period	INA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and	NA
		sensitivity analyses	INA
Discussion			
Key results	18	Summarise key results with reference to study objectives	11-12
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias	14-15
		or imprecision. Discuss both direction and magnitude of any potential bias	14-13
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	
		limitations, multiplicity of analyses, results from similar studies, and other	11-15
		relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	14-15
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study	16
		and, if applicable, for the original study on which the present article is based	16

^{*}Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

Determinants of COVID-19 vaccine willingness among people incarcerated in Canadian federal prisons: a cross-sectional study

Kathryn Romanchuk¹, Blake Linthwaite¹ MScPH, Joseph Cox^{1,2,3} MD MSc, Hyejin Park¹ MScPH, Camille Dussault¹ MScPH, Nicole E. Basta² PhD, Olivia Varsaneux⁴ MSc, James Worthington⁴ MBChB, Bertrand Lebouché^{1,3,5,6} MD PhD, Shannon E. MacDonald^{7,8} RN PhD, Shainoor J. Ismail⁹ MD MSc, and Nadine Kronfli^{1,2,3} MD MPH

¹Centre for Outcomes Research and Evaluation, Research Institute of the McGill University
Health Centre

²Department of Epidemiology, Biostatistics and Occupational Health, School of Population and Global Health, McGill University

³Department of Medicine, Division of Infectious Diseases and Chronic Viral Illness Service, McGill University Health Centre

⁴Correctional Service Canada (CSC)

⁵Canadian Institutes of Health Research Strategy for Patient-Oriented Research Mentorship Chair in Innovative Clinical Trial in HIV, Research Institute of the McGill University Health Centre

⁶Department of Family Medicine, McGill University

⁷Faculty of Nursing, University of Alberta

⁸School of Public Health, University of Alberta

⁹Division of Immunization Programs and Pandemic Preparedness, Centre for Immunization and Respiratory Infectious Diseases, Public Health Agency of Canada and Metro City Medical Clinic

Corresponding author:

Nadine Kronfli

1001 Decarie Boulevard, D02.4110

Montreal, Quebec H4A 3J1, Canada

Telephone: 1-514-934-1934

Fax: 1-514-843-2092

Email: nadine.kronfli@mcgill.ca

Word count: 2812

Abstract

Background: Maximizing COVID-19 vaccine uptake among people in prison is essential in mitigating future outbreaks. We aimed to determine factors associated with willingness to receive a COVID-19 vacine in Canadian federal prisons prior to vaccine availability.

Methods: Three Canadian federal prisons were chosen based on previously low influenza vaccine uptake. Participants completed a self-administered questionnaire on knowledge, attitude, and beliefs towards vaccines. The primary outcome was "willingness to receive a COVID-19 vaccine", measured using a five-point Likert to the question "If a safe and effective COVID-19 vaccine becomes available in prison, how likely are you to get vaccinated?". The association of independent variables, identified *a priori*, with willingness were examined using logistic regression and crude and adjusted odds ratio (aOR) with 95% confidence intervals (CI) were calculated.

Results: A total of 229 participants were included (median age: 46; female: 20%, Indigenous: 25%); 189 (83%) were willing to receive a COVID-19 vaccine. Participants who received the 2019-2020 influenza vaccine (aOR 4.24, 95% CI 1.61-12.40; vs. not) and who perceived vaccines to be important (aOR 10.20, 95% CI 4.33-26.00; vs. not) had higher odds of COVID-19 vaccine willingness. Conversely, those in medium security (aOR 0.27, 95% CI 0.07-0.88; vs. minimum security) had lower odds of COVID-19 vaccine willingness.

Interpretation: The majority (83%) of participants were willing to receive a COVID-19 vaccine prior to vaccine roll-out. While reasons for vaccine hesitancy are complex, efforts focused on

those who do not perceive vaccines as important and those in high-security prisons may improve vaccine willingness.

Introduction

Canadian correctional settings have witnessed several large SARS-CoV-2 outbreaks since the start of the pandemic.¹⁻³ Many of the risk factors that predispose correctional settings to SARS-CoV-2 outbreaks – close living conditions,⁴⁻⁶ challenges in accessing and implementing effective infection prevention and control measures,^{5,7} an aging and comorbid population,⁴⁻⁶ and limited autonomy impacting access to health care⁶ – are non-modifiable, underscoring the importance of COVID-19 vaccination. The Canadian National Advisory Committee on Immunization prioritized "resident and staff of congregate settings" such as correctional settings for early COVID-19 vaccination in December 2020.⁸ However, vaccine uptake rates have remained historically low in Canadian prisons despite the routine availability and promotion of vaccination since the 1990s.⁹ Given the disproportionate incarceration of people experiencing social and health inequities,¹⁰⁻¹⁴ maximizing vaccine acceptance is essential in preventing morbidity and mortality due to vaccine-preventable diseases among the 30,000 adults currently incarcerated in Canadian federal and provincial/territorial prison each day.¹¹

Very few studies have thus far sought to understand predictors of COVID-19 vaccine acceptance among people incarcerated in prison. The few that have, have found that race/ethnicity, ^{15,16} age, ¹⁶ correctional facility type and security level, ^{15,16} presence of chronic health conditions, ¹⁵ and a history of COVID-19¹⁵ were associated with vaccine willingness or acceptance. Drawing from studies conducted in the general population, in addition to these factors, ¹⁷⁻¹⁹ higher socioeconomic status and level of education, ²⁰ previous influenza vaccination, ^{21,22} and acceptance of other routine vaccines ²³ were predictors of COVID-19 vaccine acceptance. Understanding determinants of COVID-19 vaccine acceptance is crucial in preventing severe cases, hospitalizations, and deaths, and mitigating future outbreaks and the

consequent harms in correctional settings. Additional studies are needed to understand who remains at risk of COVID-19 vaccine hesitancy such that targeted interventions can be designed to improve vaccination uptake. Cognizant of the knowledge gaps that exist in correctional settings, we aimed to determine factors associated with COVID-19 vaccine willingness in Canadian federal prisons.

Methods

Study design and setting

We conducted an observational cross-sectional study in three Canadian federal prisons.

Correctional Service Canada (CSC) oversees federal corrections, where adult individuals serve sentences of two years or more. Three Canadian federal correctional facilities, representing the sites with historically low influenza vaccine uptake (and thus hypothesized to have lower willingess towards COVID-19 vaccination), served as the study sites: Matsqui Institution (MI) in British Columbia, Grand Valley Institution for Women (GVIW) in Ontario, and Federal Training Centre (FTC) in Quebec. MI is a minimum and medium-security prison that houses 313 men.

Over one-third (112; 36%) of incarcerated people in this facility are Indigenous and one-fifth (65; 20%) are from other ethnic minority groups (Asian, Black, Hispanic, and other). GVIW is a multi-security level prison with minimum, medium, and maximum levels, housing 169 incarcerated women. Approximately one-third (53; 31%) of incarcerated people in this facility are Indigenous and one-quarter (42; 25%) are from other minority groups. FTC is a minimum and medium-security prison housing 420 incarcerated men; less than one-fifth of incarcerated people in this facility are Indigenous (70; 17%) or from other minority groups (57; 14%). Both

GVIW and FTC were sites of prior COVID-19 outbreaks; eight and 163 individuals tested positive, respectively.²⁴

We included individuals aged 18 years or older who were able and willing to consent to study participation in either English or French. We excluded individuals who had been previously vaccinated with a COVID-19 vaccine (older, medically-vulnerable federal inmates were offered COVID-19 vaccination in January 2021²⁵), those whose sentences would end within 14 days, and/or those who posed a security risk to the research team as determined by facility staff. Participants did not receive compensation for their participation as per CSC regulations.

Data collection

Convenience sampling of individuals meeting the eligibility criteria was undertaken. Potential participants in every second cell were approached sequentially by site-level CSC nurses. Given COVID-19 visitation restrictions, CSC nurses were trained by the research team to describe the study to prospective participants, review the information and consent forms, and obtain verbal consent. To reduce response bias, participants who agreed to participate were given self-administered questionnaires to complete in their cells focused on knowledge, attitude, and beliefs towards vaccines in general, and more specifically, the COVID-19 vaccine. Individuals requiring assistance with reading and writing could request nursing support to complete their questionnaires. Individuals were recruited across the three sites between March 31 and April 19, 2021 until 240 were consented – a sample size chosen for an alternative primary endpoint (change in willingness to receive a COVID-19 vaccine post-educational intervention). The number of participants recruited from each site was proportional to the study site population.

Outcome

The primary outcome measure was "willingness to receive a COVID-19 vaccine". Willingness was measured by participant response to the question "If a safe and effective COVID-19 vaccine becomes available in prison, how likely are you to get vaccinated?", choosing among a five-point Likert scale (very willing, somewhat willing, uncertain, somewhat unwilling, very unwilling). Self-reported willingness to be vaccinated served as a proxy for vaccine acceptance as mass vaccination (i.e. following older, medically-vulnerable federal inmates) across CSC sites began post-recruitment. For our primary outcome, we dichotomized responses into "willing" (very and somewhat willing) and "not willing" (all other responses). Independent variables were determined a priori using published literature on vaccine hesitancy among incarcerated individuals and the general population. These included: 1. Demographic characteristics: age, ^{16,17} biologic sex, ¹⁶ ethnicity, ^{15,16,18,19} and highest level of education completed; ²⁰ 2. Clinical characteristics: chronic health conditions related to COVID-19 severity (asthma, cancer, chronic blood disorder, congestive heart disease, chronic kidney disease, chronic lung disease, chronic neurological disorders, diabetes, HIV, hypertension, other immunocompromised condition, and liver disease), 15 smoking history, 21-26 and self-reported prior COVID-19; 15 and 3. Vaccinerelated characterisics: self-reported receipt of the 2019-2020 seasonal influenza vaccine, ^{22,22} perceived vaccine importance (measured by participant response to the question "Vaccines are important for me" from the World Health Organization (WHO) Strategic Advisory Group of Experts on Immunization (SAGE) vaccine hesitancy tool),²¹ and facility security level (minimum vs. medium vs. maximum). 15,16 For perceived vaccine importance, responses were reported using a five-point Likert scale (strongly agree, mostly agree, uncertain, mostly disagree, strongly

disagree) and dichotomized into "yes" (strongly and mostly agree) and "no" (uncertain, mostly, and strongly disagree). While factors related to socioeconomic status (housing security, high gross yearly income, and stable income source) have been found to be associated with vaccine acceptance,²⁰ they were excluded from the analysis as they do not apply to our study population due to long incarceration periods (median incarceration of study participants = 4.1 years).

Statistical analysis

Summary statistics, medians, and interquartile ranges (IQR) for continuous variables and counts and proportions for categorical variables were calculated to describe the study sample. Participants who reported multiple ethnicities (n=14), one of which was Indigenous, were classified as Indigenous. Those who selected "prefer not to describe" (n=3) or "other ethnicity" (n=20) were classified as other visible minority. Where possible, we made conservative assumptions to address "prefer not to answer" or "don't know", such that any misclassification would bias any effect to the null. For example, participants who preferred not to describe their smoking status (n=5) were classified as never having smoked, participants who did not know or preferred not to answer receipt of the 2019/2020 influenza vaccine were categorized as not having received it, and participants who preferred not to describe their chronic health conditions (n=15) were considered not to have any. Only participants with missing outcome data for COVID-19 vaccination willingness or who preferred not to describe their educational level were removed (n=12) because no conservative assumptions could be made. Variables identified a priori were included in the final logistic regression analysis and crude and adjusted odds ratio (aOR) with 95% confidence intervals (CI) were calculated to determine factors associated with

willingness to receive COVID-19 vaccination. All analyses were performed using R statistical software (version 4.0.3).

Ethics Approval

This study was approved by the McGill University Health Centre Research Ethics Board (REB #2021-7547).

Results

Participants' sociodemographic and clinical characteristics by correctional facility

Overall, 311 people across the three federal prisons were invited to participate (n=88 at MI, n=74 at GVIW, and n=149 at FTC). Of these, 67 (22%) declined participation (Figure 1). An additional 15 participants were excluded as they either had missing questionnaire data (n=12) or represented duplicate participants (i.e. same participant was consented twice; n=3), leaving a total of 229 participants in the analysis.

Overall, the median age was 46 years (Table 1). A minority (20%) of participants self-identified as female, all of whom were incarcerated at GVIW. Indigenous people made up 25% of the study sample and more than one-third of participants at MI and GVIW; less than one-fifth of participants were from other visible minority groups. The majority (66%) had a secondary school level education or less. Half of participants reported no chronic health conditions related to COVID-19 morbidity and mortality. A minority (8%) of participants reported a history of COVID-19; however, this percentage was higher at FTC, the site with the largest SARS-CoV-2 outbreak.²⁴ Approximately half had received the 2019-2020 influenza vaccine, and the majority (74%) perceived vaccines as not important. had never previously declined another vaccine

offered by a health care provider. Seventy-five percent (75%) of the study sample was housed in medium security. Participant sociodemographic and clinical characteristics differed across correctional facilities.

COVID-19 vaccine willingness

A total of 189 (83%) participants were willing to receive a COVID-19 vaccine (64 (77%) at MI, 35 (76%) at GVIW, and 90 (90%) at FTC). In the multivariable analyses, there was no significant association between willingness to receive a COVID-19 vaccine and age, biologic sex, ethnicity, education, number of chronic health conditions, history of smoking or COVID-19 (Table 2). Participants who received the 2019-2020 influenza vaccine (aOR 4.24, 95% CI 1.61-12.40; vs. not) and who perceived vaccines to be important (aOR 10.20, 95% CI 4.33-26.00; vs. not) had higher odds of being willing to receive a COVID-19 vaccine. Conversely, those in medium security (aOR 0.27, 95% CI 0.07-0.88; vs. minimum security) had lower odds of being willing to receive a COVID-19 vaccine.

Interpretation

Our cross-sectional study explored factors associated with willingness to receive a COVID-19 vaccine among people incarcerated in three Canadian federal prisons – those with historically low influenza vaccine uptake, and as such, expected to be less receptive towards COVID-19 vaccination. Our study found that incarcerated adults who had previously received influenza vaccination and those who perceived vaccines as important had four and ten times higher odds of being willing to receive a COVID-19 vaccine, respectively. These findings may be explained by increased contact with health care providers, targeted messaging and education to those at higher

risk of SARS-CoV-2-related morbidity and mortality, or a higher "perceived risk" of COVID-19.^{15,27} Similar to previous studies, ^{15,16} we also found that individuals in higher-security settings (i.e. medium versus minimum) had seven times lower odds of being willing to receive a COVID-19 vaccine. A recent qualitative study by the research team demonstrated that this may be explained by feelings of distrust towards correctional employees, limited access to information, and a lower perceived risk of COVID-19 due to restricted visits and interactions;²⁸ however, additional research is needed to better understand and address reasons why incarcerated individuals are unnwilling to receive COVID-19 vaccination as they were not investigated here.

Despite historically low influenza vaccine uptake at the selected study sites, 83% of participants reported being willing to receive a COVID-19 vaccine. This proportion is comparable to the Canadian adult population; 74% of the general population had received at least one dose of a COVID-19 vaccine by September 10, 2021.²⁹ The relatively high proportion of participants who reported being willing to receive a COVID-19 vaccine may in part be explained by a study population that is almost exclusively housed in minimum- and medium-security prisons (vs. maximum) or due to selection bias during recruitment. Among individuals approached by CSC nurses, only 22% declined participation – a proportion that is considerably lower than most Canadian prison-based studies. This suggests the possibility of selection bias, resulting in a recruited population that may have been more representative of the Canadian general population (versus the general prison population). We did not collect demographic information among individuals who declined participation, which could have informed how our sample deviates from the overall prison populations as well as possible selection bias. Certainly, while the proportion reporting willingness to get vaccinated was higher than what has been found in other prison-based studies, ^{15,30} it may also highlight that prison-based outreach efforts, as was

done by CSC nurses at all sites, can be effective in increasing COVID-19 vaccine uptake. Furthermore, individuals with greater contacts – namely those in minimum security – were more willing to receive the COVID-19 vaccine, underscoring that a step-wise approach (from highest to lowest risk) to COVID-19 vaccination in correctional settings could be considered where vaccine supply is limited. That said, given the non-modifiable risk factors that predispose correctional settings to SARS-CoV-2 outbreaks, all incarcerated individuals should be prioritized for COVID-19 vaccination, ^{5,10} particularly in settings where decarceration (i.e. the early release of incarcerated individuals) is rare. ¹¹

Studies examining determinants of vaccination decision-making have resulted in several proposed models of acceptance and refusal.^{26,31-38} The various models illustrate the difficulty of categorizing attitudes about vaccination. That said, it is clear that attitudes towards vaccination are the result of complex interactions between different social, cultural, political, and personal factors in vaccine decision-making.²⁷ We found that incarcerated individuals who did not perceive vaccines as important were less likely to be willing to accept COVID-19 vaccination. This finding has important implications going forward. First, additional studies are urgently needed to better understand the reasons contributing to vaccine hesitancy among incarcerated populations. Given the unique environment, these reasons (e.g. trust with correctional employees, risk perception in congregate settings, etc.) are expected to differ significantly from those in the general population, precluding the generalizability of population-based studies to people in prison. Using the data generated from prison-based studies, focused interventions may seek to address modifiable individual-, interpersonal-, and system-level factors. Second, vaccinehesitant individuals may refuse some vaccines, but agree to others. These studies may additionally contribute to our understanding of vaccine hesitancy for other vaccines routinely

offered in prison such as hepatitis A and B or influenza, thereby potentially impacting overall vaccine uptake. Finally, vaccine hesitancy is not fixed and may change with shifting contexts or when a vaccine and its related information are offered multiple times. Therefore, consideration must be given to different multi-modal approaches for offering COVID-19 vaccination, particularly among vaccine-hesitant populations.

Although we found that vaccine willingness among incarcerated people was relatively high, ongoing efforts are needed to increase uptake and prevent outbreaks in these congregate settings. Experts have argued that educational interventions will be key to reinforce trust in science-based interventions like vaccination,^{39,40} particularly as a result of the medical mistrust that emerged from disruptions caused by the COVID-19 pandemic. In fact, studies have confirmed that prison-based vaccination programs have the potential to increase vaccine uptake if partnered with education.^{41,43} However, while education may be necessary, studies have shown only modest improvements in vaccine uptake with education,^{44,45} underscoring that education will likely need to be paired with other interventions to achieve increased uptake. While alternative strategies (e.g., other providers such as peers, media or content) could be developed simultaneously and tailored to the needs of incarcerated people who express vaccine hesitancy,^{46,47} building trust with those incarcerated will be critical moving forward.⁴⁸ Nurses, as "trusted individuals", could also be considered valuable resources to increasing COVID-19 vaccination rates in Canadian correctional settings.

Limitations

There are limitations to our study. First, we restricted our study sample to individuals incarcerated in three of 43 Canadian correctional facilities. Our results may thus not be

generalizable to other CSC correctional facilities, including maximum security, and where the demographic characteristics of those incarcerated differs. Second, the proportion willing to receive a COVID-19 vaccine was higher than what has been found in other prison-based studies, 15,30 which may be attributable to outreach efforts made by CSC and selection bias. Thus, our findings may not be generalizable to Canadian provincial prisons, where the tunrover of incarcerated people is much higher. Third, study consent and data collection were obtained by CSC nurses, which may have introduced response biases such as acquiescence, social desirability, and dissent biases; however, the impact of these biases was limited with the use of self-administered questionnaires, and the inclusion of CSC nurses as trusted allies in the consent process. Fourth, the primary outcome, COVID-19 vaccine willingness, was used as a proxy for vaccine acceptance. As individual-level data was not available, we could not perform a sensitivity analysis using COVID-19 vaccine uptake as the dependent variable.

Conclusion

We identified key factors associated with COVID-19 vaccine willingness among people incarcerated in Canadian federal prisons. While the majority of participants were willing to receive a COVID-19 vaccine prior to vaccine roll-out, efforts focused on those who do not perceive vaccines as important and those in high-security prisons may improve vaccine willingness going forward.

Declaration of Competing Interest: KR, BL¹, HP, CD, OV, JW, NEB, SEM, and SJI have not conflicts of interest to declare. JC has research funding from ViiV Healthcare and Gilead Sciences, and reports remuneration for advisory work (ViiV Healthcare, Gilead Sciences and Merck Canada). BL² reports research funding from Gilead Sciences, Merck and ViiV Healthcare, advisory fees from Gilead Sciences, ViiV Healthcare, Merck, and speaker fees from Gilead Sciences, ViiV Healthcare and Merck. NK reports research funding from Gilead Sciences, advisory fees from Gilead Sciences, ViiV Healthcare, Merck and Abbvie, and speaker fees from Gilead Sciences and Merck.

Contributors: Nadine Kronfli and Nicole Basta were involved in study conceptualization and design. Kathryn Romanchuk analyzed and interpreted the data. Kathryn Romanchuk and Nadine Kronfli drafted the manuscript. All of the authors critically reviewed the manuscript for important intellectual content and approved the final manuscript as submitted.

Funding: This work was supported by a grant from the McGill Interdisciplinary Initiative in Infection and Immunity. NEB is supported by a Canada Research Chair (Tier 2) in Infectious Disease Prevention. BL² holds a Canadian Institutes for Health Research, Strategy for Patient-Oriented Research Mentorship Chair in Innovative Clinical Trials for HIV Care and also supported by a career award, LE 250, from the Quebec's Ministry of Health for researchers in Family Medicine. NK is supported by a career award from the *Fonds de Recherche Québec – Santé (FRQ-S; Junior 1)*.

Acknowledgements: We would like to thank the site teams at Matsqui Institution, Grand Valley Institution for Women, and Federal Training Centre for assisting with recruitment.

Data sharing: The study protocol, statistical analysis plan, and informed consent forms are available on request to the corresponding author. Individual-level data cannot be made available by the authors.



References

- Correctional Service Canada. Testing of inmates in federal correctional institutions for COVID-19. 2021-01-06 [cited 2021 Jan 06]; Available from: https://www.cscscc.gc.ca/001/006/001006-1014-fr.shtml.
- Gouvernement du Québec. Nombre de cas dans les établissements de détention. 2021-01-06 [cited 2021 Jan 06]; Available from: https://www.quebec.ca/sante/problemes-de-sante/a-z/coronavirus-2019/situation-coronavirus-quebec/#c57309.
- Government of Canada. Coronavirus disease 2019 (COVID-19): Epidemiology update.
 2021-01-06 [cited 2021 Jan 06]; Available from: https://health-infobase.canada.ca/covid-19/epidemiological-summary-covid-19-cases.html.
- 4. Saloner, Parish, Ward, MN, et al. *COVID-19 Cases and Deaths in Federal and State Prisons*.

 JAMA. 2020;324(6):602-603. doi:10.1001/jama.2020.12528
- 5. Beaudry, G., et al., *Managing outbreaks of highly contagious diseases in prisons: a systematic review.* BMJ Glob Health, 2020. **5**(11).
- Hawks, Woolhandler, & McCormick. JAMA Intern Med. 2020;180(8):1041-1042.
 doi:10.1001/jamainternmed.2020.1856. Retrieved from:
 https://jamanetwork.com/journals/jamainternalmedicine/fullarticle/2765271
- 7. Blair, A., A. Parnia, and A. Siddiqi, Testing lags and emerging COVID-19 outbreaks in federal penitentiaries: A view from Canada. medRxiv, 2020: p. 2020.05.02.20086314.
- 8. Government of Canada. *Preliminary guidance on key populations for early COVID-19 immunization*. 2020-11-03 [cited 2021 Jan 06]; Available from: https://www.canada.ca/en/public-health/services/immunization/national-advisory-committee-on-immunization-naci/guidance-key-populations-early-covid-19-immunization.html.

- Nancy, V.-A., et al. Vaccination Coverage among Prisoners: A Systematic Review.
 International Journal of Environmental Research and Public Health, 2020. 17, DOI: 10.3390/ijerph17207589.
- Kronfli, N. and M.J. Akiyama, Prioritizing incarcerated populations for COVID-19 vaccination and vaccine trials. EClinicalMedicine, 2021. 31.
- 11. Malakieh, J., Adult and youth correctional statistics in Canada, 2018/2019. Juristat: Canadian Centre for Justice Statistics, 2020: p. 3-23
- 12. Nowotny, K.M. and A. Kuptsevych-Timmer, *Health and justice: framing incarceration as a social determinant of health for Black men in the United States*. Sociology Compass, 2018.

 12(3): p. e12566.
- 13. Singh, D., S. Prowse, and M. Anderson, *Overincarceration of Indigenous people: a health crisis*. CMAJ, 2019. **191**(18): p. E487-E488.
- Wang, E.A., J. Zenilman, and L. Brinkley-Rubinstein, Ethical Considerations for COVID-19
 Vaccine Trials in Correctional Facilities. JAMA, 2020. 324(11): p. 1031-1032.
- 15. Chin, Leidner, Obispo, Ryckman, Liu, Prince, Alarid-Escudero, Andrews, Salomon, Goldhaber-Fiebert, & Studdert. Covid-19 Vaccine Acceptance in California State Prisons. The New England Journal of Medicine. 2021. Available from: https://www.nejm.org/doi/full/10.1056/NEJMc2105282
- 16. Stern, M., Piasecki, A., Strick, L., Rajeshwar, P., Tyagi, E., Dolovich, S., Patel, P., Fukunaga, R., & Furukawa, N. Willingness to Receive a COVID-19 Vaccination Among Incarcerated or Detained Persons in Correctional and Detention Facilities: Four States, September–December 2020. Morbidity and Mortality Weekly. 2021. Available from: https://www.ncbi.nlm.nih.gov/pmc/articles/PMC8022882/

- 17. Kourlaba, Kourkouni, Maistreli, Tsopela, Molocha, Triantafyllou, Koniordou, Kopsidas, Chorianopoulou, Maroudi-Manta, Filippou, & Zaoutis. Willingness of Greek general population to get a COVID-19 vaccineGlobal Health Research and Policy. 2021. doi: 10.1186/s41256-021-00188-1 Available from: https://www.ncbi.nlm.nih.gov/pmc/articles/PMC7843240/
- 18. Quach, Hamid, Pereira, Heidebrecht, Deeks, Crowcroft, Quan, Brien & Kwong. Influenza vaccination coverage across ethnic groups in Canada. CMAJ Group. 2012. Available from: https://www.cmaj.ca/content/184/15/1673.short
- 19. Thorneloe, Wilcockson, Lamb, Jordan, Arden. *Willingness to receive a COVID-19 vaccine among adults at high-risk of COVID-19: a UK-wide survey*. In print: PsyArXiv. 2020. DOI: 10.31234/osf.io/fs9wk.
- 20. Lazarus, Ratzan, Palayew, Gostin, Larson, Rabin, Kimball & El-Mohandes. A global survey of potential acceptance of a COVID-19 vaccine. Nature Medicine. 2021. Available from: https://www.nature.com/articles/s41591-020-1124-9
- 21. Fisher, Bloomstone, Walder, Crawford, Fouayzi, & Mazor. *Attitudes Toward a Potential SARS-CoV-2 Vaccine: A survey of U.S. adults*. Annals of Internal Medicine. 2020. Available from: https://www.acpjournals.org/doi/full/10.7326/M20-3569
- 22. Crawshaw, J., et al. Factors affecting COVID-19 vaccination acceptance and uptake among the general public: a living behavioural science evidence synthesis. 2021 April 30, 2021 [cited 2021 May 27]; Available from: https://www.mcmasterforum.org/docs/default-source/product-documents/living-evidence-syntheses/covid-19-living-evidence-synthesis-4.1---factors-affecting-covid-19-vaccination-acceptance-and-uptake-among-the-general-public.pdf?sfvrsn=5368712f_7

- 23. Fridman, Gershon, & Gneezy. COVID-19 and vaccine hesitancy: A longitudinal study. Plos One. 2021. Available from: https://journals.plos.org/plosone/article?id=10.1371/journal.pone.0250123#pone-0250123-g001
- 24. Government of Canada. Testing of inmates in federal correctional institutions for COVID-19.2021. Available from: https://www.canada.ca/en/correctional-service/campaigns/covid-19/inmate-testing.html
- 25. Government of Canada. *Correctional Service Canada COVID-19 Vaccine Roll-out*. 2021.

 Available from: https://www.canada.ca/en/correctional-service/news/2021/01/correctional-service-canada-covid-19-vaccine-roll-out.html
- 26. Kashyap, Dhasmana, Massey, Kotnala, Zafar, Jaggi, Yallapu, & Chauhan. *Smoking and COVID-19: Adding Fuel to the Flame*. International Journal of Molecular Sciences. 2020. Available from: Available from: https://www.mdpi.com/1422-0067/21/18/6581/htm
- 27. Dubé E, Laberge C, Guay M, Bramadat P, Roy R, Bettinger J. Vaccine hesitancy: an overview. Human Vaccines Immunotherapeutics. 2013. doi: 10.4161/hv.24657. Available from: https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3906279/
- 28. Ortiz-Paredes, D., Varsaneux, O., Worthington, J., Park, H., MacDonald, S., Basta, N., Lebouché, B., Cox, J., Ismail, S., & Kronfli, N. Reasons for COVID-19 Vaccine Refusal Among People Incarcerated in Canadian Federal Prisons. Submitted to Health Psychology. 2021.
- 29. Government of Canada. COVID-19 vaccination in Canada. 2021. Available from: https://health-infobase.canada.ca/covid-19/vaccination-coverage/

- 30. Berk, Murphy, Chan, Kane, Rich, Brinkley-Rubinstein. SARS-CoV-2 Vaccination Uptake in a Correctional Setting. In print: MedRxiv. 2021. Available from: https://doi.org/10.1101/2021.04.27.21252790
- 31. Benin AL, Wisler-Scher DJ, Colson E, Shapiro ED, Holmboe ES. Qualitative analysis of mothers' decision- making about vaccines for infants: the importance of trust. Pediatrics 2006; 117:1532-41; PMID:16651306; http://dx.doi.org/10.1542/peds.2005-1728.
- 32. Brown K, Fraser G, Ramsay M, Shanley R, Cowley N, van Wijgerden J, et al. Attitudinal and demographic predictors of measles-mumps-rubella vaccine (MMR) uptake during the UK catch-up campaign 2008-09: cross-sectional survey. PLoS One 2011; 6:e19381; PMID:21602931; http://dx.doi.org/10.1371/journal.pone.0019381.
- 33. Burton-Jeangros C, Golay M, Sudre P. Compliance and resistance to child vaccination: a study among Swiss mothers. Rev Epidemiol Sante Publique 2005; 53:341-50; PMID:16353509; http://dx.doi.org/10.1016/ S0398-7620(05)84616-4.
- 34. Gust D, Brown C, Sheedy K, Hibbs B, Weaver D Nowak G. Immunization attitudes and beliefs among parents: beyond a dichotomous perspective. Am J Health Behav 2005; 29:81-92; PMID:15604052; http://dx.doi.org/10.5993/AJHB.29.1.7.
- 35. Halperin SA. How to Manage Parents Unsure About Immunization. Can J Contin Med Educ 2000; 2000:62-75.
- 36. Nichter M. Vaccinations in the Third World: a consideration of community demand. Soc Sci Med 1995; 41:617-32; PMID:7502096; http://dx.doi. org/10.1016/0277-9536(95)00034-5.
- 37. Rogers A, Pilgrim D, Gust ID, Stone DH, Menzel PT. The pros and cons of immunisation.

 Health Care Anal 1995; 3:99-115; PMID:10143365; http://dx.doi. org/10.1007/BF02198210.

- 38. Streefland P, Chowdhury AMR, Ramos-Jimenez P. Patterns of vaccination acceptance. Soc Sci Med 1999; 49:1705-16; PMID:10574240; http://dx.doi. org/10.1016/S0277-9536(99)00239-7.
- 39. Dawson, A., et al., Key Ethical Concepts and Their Application to COVID-19 Research.

 Public Health Ethics, 2020. 13(2): p. 127-132.
- 40. Rutjens, B.T., S. van der Linden, and R. van der Lee, Science skepticism in times of COVID-19. Group Processes & Intergroup Relations, 2021. 24(2): p. 276-283.
- 41. Buck, J., et al., Hepatitis B Vaccination in Prison: The Perspectives of Formerly Incarcerated Men. Journal of Correctional Health Care, 2006. 12(1): p. 12-23.
- 42. Devine, A., M. Karvelas, and V. Sundararajan, Evaluation of a prison-based hepatitis B immunisation pilot project. Australian and New Zealand Journal of Public Health, 2007. 31(2): p. 127-130.
- 43. Winter, R.J., et al., A nurse-led intervention improved blood-borne virus testing and vaccination in Victorian prisons. Aust N Z J Public Health, 2016. 40(6): p. 592-594.
- 44. Piedimonte, S., et al., Impact of an HPV Education and Vaccination Campaign among Canadian University Students. Journal of obstetrics and gynaecology Canada: JOGC = Journal d'obstetrique et gynecologie du Canada: JOGC, 2018. 40(4): p. 440-446.
- 45. Yu, H., L. Qian, and C. Yaping Evaluation of two health education interventions to improve the varicella vaccination: a randomized controlled trial from a province in the east China.

 BMC Public Health, 2018. 18, 144 DOI: 10.1186/s12889-018-5070-0.
- 46. Cataldi, J.R., M.E. Kerns, and S.T. O'Leary, Evidence-based strategies to increase vaccination uptake: a review. Current Opinion in Pediatrics, 2020. 32(1).

- 47. Paul, E., A. Steptoe, and D. Fancourt, Attitudes towards vaccines and intention to vaccinate against COVID-19: Implications for public health communications. Lancet Reg Health Eur, 2021. 1: p. 100012.
- 48. Gagnon, D. and È. Dubé. Literature Review on Effective Strategies to Improve Vaccine

 Acceptance and Uptake. 2019 [cited 2021 July 2]; Available from:

 https://canvax.ca/sites/default/files/201902/Literature%20Review%20on%20Effective%20Strategies%20to%20Improve%20VAU_3.

 pdf

Figure 1. Sample selection flow chart

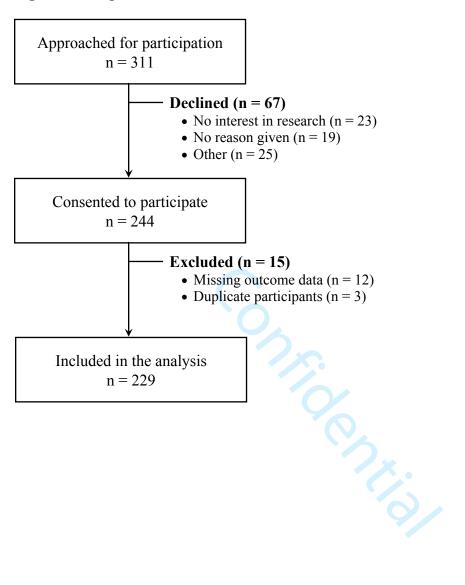


Table 1: Baseline participant characteristics by correctional facility

	Correctional facility			
	MI	GVIW	FTC	Total
	n = 83 (36%)	n = 46 (20%)	n = 100 (44%)	n = 229
Demographic charact	teristics			
Age (median [IQR])	42 [36, 52]	35.5 [30, 44]	53 [44, 61]	46 [36, 56]
Biologic sex				
Female	0 (0%)	45 (98%)	0 (0%)	45 (20%)
Male	83 (100%)	1 (2%)	100 (100%)	184 (80%)
Ethnicity	(0)	3		
White	37 (45%)	19 (41%)	74 (74%)	130 (57%)
Indigenous	29 (35%)	18 (39%)	11 (11%)	58 (25%)
Other visible	17 (20%)	9 (20%)	15 (15%)	41 (18%)
minority				
Education		Ç		
Secondary or less	64 (77%)	34 (74%)	52 (52%)	150 (66%)
College or higher	19 (23%)	12 (26%)	48 (48%)	79 (40%)
Clinical characteristic	cs			
Chronic health				
conditions*				
None	50 (60%)	21 (45%)	44 (44%)	115 (50%)
One or more	33 (40%)	25 (55%)	56 (56%)	114 (50%)
Smoking history				

Current smoker	36 (43.5%)	20 (44%)	21 (21%)	77 (34%)
Former smoker	36 (43.5%)	19 (41%)	56 (56%)	111 (48%)
Never smoker	11 (13%)	7 (15%)	23 (23%)	41 (18%)
Self-reported				
history of COVID-				
19				
No	82 (99%)	44 (96%)	85 (85%)	211 (92%)
Yes	1 (1%)	2 (4%)	15 (15%)	18 (8%)
Vaccine-related chara	ncteristics			
Receipt of the 2019-	10,			
2020 seasonal				
influenza				
vaccination				
No	47 (57%)	24 (52%)	49 (49%)	120 (52%)
Yes	36 (43%)	22 (48%)	51 (51%)	109 (48%)
Vaccine importance				
No	57 (69%)	32 (70%)	81 (81%)	170 (74%)
Yes	26 (31%)	14 (30%)	19 (19%)	59 (26%)
Carceral characteristics				
Security level				
Minimum	0 (0%)	9 (20%)	46 (46%)	56 (25%)
Medium [†]	83 (100%)	37 (80%)	54 (54%)	173 (75%)

FTC = Federal Training Centre; GVIW = Grand Valley Institution for Women; IQR = Interquartile range; MI = Matsqui Institution.

*Chronic health conditions include asthma, cancer, chronic blood disorder, congestive heart disease, chronic kidney disease, chronic lung disease, chronic neurological disorders, diabetes, HIV, hypertension, other immunocompromised condition, and liver disease

† Includes two participants in maximum security

Note: Percentages rounded to the nearest whole number



Table 2: Association between multiple factors of interest and willingness to receive COVID-19 vaccination among adults incarcerated in Canada (n=229)

Variable	Unadjusted OR (95% CI)	Adjusted OR (95% CI)	
Demographic characteristics	s		
Age			
18 years	Reference	Reference	
(continuous)	1.03 (1.00-1.05)	0.98 (0.94-1.02)	
Biologic sex			
Male	Reference	Reference	
Female	0.65 (0.31-1.45)	0.45 (0.16-1.29)	
Ethnicity			
White	Reference	Reference	
Indigenous	0.42 (0.20-0.91)	0.49 (0.18-1.35)	
Other visible minority	0.47 (0.20-1.11)	0.44 (0.14-1.45)	
Education		<i>*</i>	
Secondary or less	Reference	Reference	
Trade/college diploma	1.34 (0.65-2.90)	1.07 (0.42-2.80)	
Clinical characteristics			
Chronic health conditions			
None	Reference	Reference	
One or more	1.96 (1.01-3.91)	1.78 (0.73-4.53)	
Smoking history			
Never Smoker	Reference	Reference	

Former Smoker	0.61 (0.21-1.52)	0.25 (0.05-1.00)
Current Smoker	0.64 (0.21-1.72)	0.53 (0.11-2.27)
Self-reported history of		
COVID-19	Reference	Reference
No		
Yes	4.59 (0.91-83.60)	2.53 (0.33-54.20)
Vaccine-related characteristics		
Receipt of the 2019-2020		
seasonal influenza		
vaccination		
No	Reference	Reference
Yes	6.16 (2.77-15.70)	4.24 (1.61-12.40)
Vaccine importance		
No	Reference	Reference
Yes	11.90 (5.73-25.80)	10.20 (4.33-26.00)
Carceral characteristics		
Security level		
Minimum	Reference	Reference
Medium	0.26 (0.08-0.70)	0.27 (0.07-0.88)

 $\overline{\text{CI} = \text{Confidence interval; OR} = \text{odds ratio.}}$

*Chronic health conditions include asthma, cancer, chronic blood disorder, congestive heart disease, chronic kidney disease, chronic lung disease, chronic neurological disorders, diabetes, HIV, hypertension, other immunocompromised condition, and liver disease

†Includes two participants in maximum security

