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Induction of Labour for Predicted Macrosomia: Study Protocol for the 'Big Baby' Randomised Controlled Trial

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Complete List of Authors:	<p>Ewington, Lauren; University of Warwick Faculty of Medicine, Biomedical Sciences; University Hospitals Coventry and Warwickshire NHS Trust, Women's and Children's</p> <p>Gardosi, Jason; Perinatal Institute</p> <p>Lall, Ranjit; University of Warwick, Warwick Clinical Trials Unit</p> <p>Underwood, Martin; Warwick University, Warwick Clinical Trials Unit; University Hospitals Coventry and Warwickshire NHS Trust</p> <p>Fisher, Joanne; University of Warwick Warwick Medical School, Clinical Trials Unit</p> <p>Wood, Sara; University of Warwick, Warwick Clinical Trials Unit</p> <p>Griffin, Ryan; University of Warwick, Warwick Clinical Trials Unit</p> <p>Harris, Kirsten; University of Warwick</p> <p>Bick, Debra; University of Warwick, Warwick Clinical Trials Unit, Warwick Medical School</p> <p>Booth, Katie; University of Warwick, Warwick Clinical Trials Unit</p> <p>Brown, Jaclyn; University of Warwick, Clinical Trials Unit</p> <p>Butler, Emily; Perinatal Institute</p> <p>Fowler, Kelly; Perinatal Institute</p> <p>Williams, Mandy; Perinatal Institute</p> <p>Deshpande, Sanjeev; Shrewsbury and Telford Hospital NHS Trust</p> <p>Gornall, Adam; Shrewsbury and Telford Hospital NHS Trust</p> <p>Dewdney, Jackie; Erb's Palsy Group</p> <p>Hillyer, Karen; Erb's Palsy Group</p> <p>Gates, Simon; University of Birmingham, Cancer Research UK Clinical Trials Unit</p> <p>Jones, Ceri; University Hospitals Coventry and Warwickshire NHS Trust</p> <p>Mistry, Hema; University of Warwick, Warwick Clinical Trials Unit</p> <p>Petrou, Stavros; Nuffield Department of Primary Care Health Sciences</p> <p>Slowther, Anne-Marie; University of Warwick, Social Sciences and Systems in Health</p> <p>Willis, Adrian; University of Warwick, Warwick Clinical Trials Unit</p> <p>Quenby, Siobhan; University of Warwick; University Hospitals Coventry and Warwickshire NHS Trust</p>
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Induction of Labour for Predicted Macrosomia: Study Protocol for the 'Big Baby' Randomised Controlled Trial

Lauren Jade Ewington^{1,2}, Jason Gardosi³, Ranjit Lall⁴, Martin Underwood^{2,4}, Joanne Fisher⁴, Sara Wood⁴, Ryan Griffin⁴, Kirsten Harris⁴, Debra Bick⁴, Katie Booth⁴, Jaclyn Brown⁴, Emily Butler³, Kelly Fowler³, Mandy Williams³, Sanjeev Deshpande⁵, Adam Gornall⁵, Jackie Dewdney⁶, Karen Hillyer⁶, Simon Gates⁷, Ceri Jones², Hema Mistry⁴, Stavros Petrou⁸, Anne-Marie Slowther⁹, Adrian Willis⁴, Siobhan Quenby^{1,2}

Author Affiliations:

1. Division of Biomedical Sciences, University of Warwick, Coventry, UK
2. University Hospitals Coventry and Warwickshire NHS Trust, Coventry, UK
3. Perinatal Institute, Birmingham, UK
4. Warwick Clinical Trials Unit, University of Warwick, Coventry, UK
5. Shrewsbury and Telford Hospitals NHS Trust, Telford, UK
6. Erb's Palsy Group, Coventry, UK
7. Cancer Clinical Trials Unit, University of Birmingham, Birmingham, UK
8. Nuffield Department of Primary Care Health Sciences, University of Oxford, Oxford, UK
9. Social Sciences and Systems in Health, University of Warwick, Coventry, UK

Corresponding Author:

Professor Siobhan Quenby: s.quenby@warwick.ac.uk

ABSTRACT

Introduction

Large-for-gestational age fetuses have an increased risk of shoulder dystocia. This can lead to neonatal fractures, brachial plexus injury, hypoxic ischaemic encephalopathy and death. Early induction of labour in women with a fetus suspected to be macrosomic may mitigate the risk of shoulder dystocia. The Big Baby Trial aims to find if induction of labour at 38⁺⁰-38⁺⁴ weeks' gestation, in pregnancies with suspected large-for-gestational age fetuses, reduces the incidence of shoulder dystocia.

Methods and Analysis

The Big Baby Trial is a multicentre, prospective, individually randomised controlled trial of induction of labour at 38⁺⁰ to 38⁺⁴ weeks' gestation versus standard care among women whose fetuses have an estimated fetal weight >90th customised centile according to ultrasound scan at 35⁺⁰ to 38⁺⁰ weeks' gestation. There is a parallel cohort study for women who decline randomisation because they opt for induction, expectant management or caesarean section. Up to 4,000 women will be recruited and randomised to induction of labour or to standard care. The primary outcome is the incidence of shoulder dystocia; assessed by an independent expert group, blind to treatment allocation, from delivery records. Secondary outcomes include birth trauma, fractures, haemorrhage, caesarean section rate and length of inpatient stay. The main trial ran seamlessly following an internal pilot study. A qualitative reporting, health economic evaluation and parallel process evaluation are included.

Ethics and Dissemination

The study received a favourable opinion from the South West – Cornwall and Plymouth Health Research Authority on 23/03/2018 (IRAS project ID 229163).

Trial Registration Number

ISRCTN18229892

STRENGTHS AND LIMITATIONS OF THIS STUDY

- This is the largest trial assessing if induction of labour decreases the incidence of shoulder dystocia in women with a suspected large-for-gestational age fetus.
- The main trial ran seamlessly following an internal pilot study. The trial includes qualitative reporting, and health economic and process evaluations.
- Women declining randomisation and opting for an elective caesarean section can consent to participate in a parallel cohort study to collect maternal and neonatal health outcomes.

For peer review only

INTRODUCTION

Shoulder dystocia occurs when an infant's head has been delivered vaginally and the shoulder becomes stuck behind a woman's pubic bone. This can lead to maternal and fetal complications. Maternal complications include haemorrhage, third- and fourth-degree perineal tears and psychological sequelae. Infant complications include fractures of the clavicle and humerus, brachial plexus injury, hypoxic ischaemic encephalopathy and death(1-3). Shoulder dystocia and its complications are common indications for litigation in obstetrics with settlements dealt with by the UK NHS Litigation Authority (now called NHS Resolution) from 250 cases between 2000 to 2010 costing over £100 million(4).

Fetal macrosomia is a well described risk factor for shoulder dystocia(5). This is variably defined as a neonatal birthweight >4.0Kg or 4.5Kg, or >90th customised or non-customised fetal weight centile. Preventative measures start with antenatal awareness of risk factors including fetal growth and size, maternal obesity and diabetes.

Earlier delivery is likely to reduce the birthweight of the infant and mitigate the main risk factor for shoulder dystocia. However, it is uncertain whether this strategy would work to reduce shoulder dystocia and its associated complications, and what effect this might have on caesarean section rates and maternal complications after delivery. Research into prevention by induction is timely, in light of conflicting messages. The Royal College of Obstetricians and Gynaecologists (RCOG) does not currently recommend induction of labour for women with a suspected macrosomic fetus in the absence of diabetes(6). However, two systematic reviews and meta-analyses found that induction of labour reduced the risk of shoulder dystocia in women who had a macrosomic fetus(7, 8). Both reviews were largely based upon the 2015 randomised controlled trial by Boulvain and colleagues of 822 pregnancies with a fetus with an estimated weight greater than the 95th centile(9). While inducing labour may reduce the risk of shoulder dystocia, it has not been shown to decrease adverse neonatal sequelae and induction is associated with a longer, more painful labour and increased risk of operative delivery(10).

The management of large-for-gestational age and macrosomic pregnancies in obstetrics was the focus of a landmark legal case heard by the UK Supreme Court in 2014(11). Mrs

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3 Montgomery had type 1 diabetes and had a macrosomic baby, she was concerned about
4 delivering her baby vaginally, but was not adequately informed of the risk of shoulder
5 dystocia. During the delivery, shoulder dystocia occurred leading to a 12-minute delay in
6 delivering the infant's body. Her son suffered from hypoxic ischaemic encephalopathy. A case
7 was made that as Mrs Montgomery was not adequately informed of the risk of shoulder
8 dystocia and its associated complications, and the alternative modes of delivery, namely
9 caesarean section, she could not make a well-informed decision about the delivery of her son,
10 therefore there was negligence in consent. After failed appeals at the Court of Session and
11 the Inner house the case was finally heard at the UK Supreme court. The Supreme Court
12 judgment in this case highlighted the obligation of clinicians to explain the risks and benefits
13 of all treatment options, including that of no treatment, to women in order for them give a
14 valid consent. It is therefore imperative to have robust evidence from randomised controlled
15 trials on which to base these discussions. An investigation into the value of induction to
16 reduce the incidence of shoulder dystocia in women with a suspected macrosomic fetus will
17 give women and clinicians the information they need in planning their mode of delivery.
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32 The research question is 'does induction of labour at 38⁺⁰ to 38⁺⁴ weeks' gestation, in
33 pregnancies with suspected large-for-gestational age fetuses, reduce the incidence of
34 shoulder dystocia?'.
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40 This manuscript describes the trial design, setting, participants and recruitment, the
41 intervention and control groups, randomisation, outcome measures, sample size, ethical
42 considerations and dissemination. A separate manuscript will detail the statistical analysis
43 plan, trial process evaluation and health economic analysis plan.
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STUDY OBJECTIVES

Primary Objective

The primary objective is to determine the effectiveness of induction of labour at 38⁺⁰ to 38⁺⁴ weeks' gestation in reducing the incidence of shoulder dystocia in suspected large-for-gestational age fetuses.

Secondary Objective

Secondary objectives are to collect comparative data on intrapartum, perinatal, infant, maternal obstetric and long-term maternal outcomes. We will collect comparative data on maternal perceptions of their labour/birth care and physical and psychological health at two and six months postnatally.

We will report composite outcomes for intrapartum birth injury, prematurity associated problems and maternal intrapartum complication.

METHODS AND ANALYSIS

This protocol manuscript was written in concordance with the SPIRIT guidelines (12).

Trial Design

The Big Baby Trial is a multicentre, prospective, individually randomised controlled trial of induction of labour at 38⁺⁰ to 38⁺⁴ weeks' gestation versus standard care of fetuses that are large-for-gestational age according to ultrasound scan at 35⁺⁰ to 38⁺⁰ weeks' gestation. Our definition of large-for-gestational age is an estimated fetal weight >90th customised fetal weight centile using the woman's own customised Gestation Related Optimal Weight (GROW) chart(13). These charts provide the standard for assessment of fetal growth and newborn size, are recommended by RCOG Green Top Guidelines(14) and are in use in approximately 76% of NHS Trusts and Health Boards. The GROW 90th customised centile identifies more babies at risk of adverse outcomes than large-for-gestational age by conventional standards(15-18). Furthermore, GROW has been shown to be a better predictor of shoulder dystocia than the UK-WHO birthweight standard(19).

There is a parallel cohort study for women who decline randomisation, but wish to participate in research. This cohort includes two sub-groups. The first is women who request a planned caesarean section. The second is women who request to be delivered by early induction of labour or expectant management. The primary objective of the cohort study is to provide comparative data on those who choose planned caesarean section and confirm generalisability of the baseline data and primary outcome with the main trial.

The trial is conducted and managed by the Warwick Clinical Trials Unit and sponsored by the University Hospitals Coventry and Warwickshire NHS Trust. Funding is provided by the National Institute for Health Research (NIHR) following a commissioned call from the Health Technology Assessment Programme (HTA study reference 16/77/02). The trial is being conducted in accordance with the principals of the Declaration of Helsinki and Good Clinical Practice.

Trial Setting

Although we initially planned to recruit from 60 NHS Trusts over the course of the trial to enable us to enhance recruitment, this approach has changed. We now aim to recruit 80 NHS Trusts across the UK that use customised GROW charts. Staff participating in the trial must demonstrate and document a willingness to comply with the protocol, the principles of Good Clinical Practice and regulatory requirements. Furthermore, they must be prepared to participate in training and adhere to the protocol.

Participants and Recruitment

Inclusion Criteria

The study participants are women aged ≥ 18 years with a fetus above the 90th customised GROW fetal weight centile on ultrasound scan at 35⁺⁰ to 38⁺⁰ weeks' gestation with a cephalic presentation.

Exclusion Criteria

Box 1 lists the exclusion criteria for the study.

Box 1 – Exclusion Criteria

Exclusion Criteria
Multiple pregnancy
Pregnancy with a breech or transverse lie position
Contra-indication to induction of labour
A fetus with a known serious abnormality
A home birth or elective caesarean section already planned
A caesarean section or induction indicated due to other health conditions such as cardiac disease or hypertensive disorders
Women taking medications and/or insulin therapy for diabetes or gestational diabetes (women with these conditions who are not taking medication are eligible)
A current diagnosis of a major psychiatric disorder requiring antipsychotic medication
A previous stillbirth or neonatal death ≤ 28 days
A current intrauterine fetal death
Prisoners

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Women unable to give informed consent e.g. learning or communication difficulties that prevent the understanding of the information provided

Recruitment

Women are identified based on an ultrasound scan, performed either as part of serial fetal growth assessment or for a different indication. If the fetus has an estimated fetal weight >90th customised centile from 28⁺⁰ – 38⁺⁰ weeks' gestation, the woman can be approached and offered information about the study. Women are informed of the risks and benefits of participating and the possible risks and benefits of other delivery options. The participant information sheet and participant consent form have been assessed for clarity by the Plain English Campaign and a Crystal Mark obtained for these. By approaching women from 28⁺⁰ weeks' gestation, they have time to consider their participation, ask questions to health care professionals and discuss the trial with their family and friends.

The obstetrician, or consultant midwife in charge of the woman's care is asked to provide 'obstetric confirmation', to confirm they agree for their patient to participate in the trial and receive either induction of labour or standard care. This confirmation must be completed before randomisation. To be eligible a confirmatory ultrasound scan must be performed between 35⁺⁰ – 38⁺⁰ weeks' gestation. If the fetus has an estimated fetal weight >90th customised GROW centile during this gestation interval and fulfils the other eligibility criteria, the woman can participate in the trial.

Intervention and Control

Intervention

Data from the West Midlands Perinatal Episode Electronic Record (PEER) database of 161,936 pregnancies found that the median length of pregnancy for large for gestational age fetuses was 39⁺⁴ weeks' gestation (277 days). We further ascertained that the weekly increment of fetal weight gain in large-for-gestational age pregnancies is approximately 200g. In the trial conducted by Boulvain and colleagues, the difference in fetal weight between the induction and expectant management groups was 287g(9). Based on this, we expect that for a difference of 300g between the intervention and control arms, an interval of 1.5 weeks is required. Therefore, the intervention window for induction of labour is set at 38⁺⁰ to 38⁺⁴

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3 weeks' (266-270 days) gestation. This will ensure an approximate average of eleven days
4 separation in gestation days between groups. Induction prior to this window may decrease
5 the risk of shoulder dystocia but would increase the risk of neonatal complications(20-22).
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7 The method of induction is by the usual practice at the participating site Trust.
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14 The control is standard care.
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17 18 **Outcome Measures**

19 20 Primary Outcome

21 The primary outcome measure is the incidence of shoulder dystocia, defined by the RCOG as
22 'a vaginal cephalic delivery that requires additional obstetric manoeuvres to deliver the fetus
23 after the head has delivered and gentle traction has failed'(6). These data are being extracted
24 from clinical notes.
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30 As the sites are unblinded, all delivery notes are reviewed by an independent expert panel to
31 confirm if shoulder dystocia has occurred. The independent panel consists of a senior
32 obstetrician, a senior neonatologist, a senior midwife and a trainee obstetrician. Delivery
33 notes are anonymised. The independent panel is blind to the trial allocation. Two panel
34 members review each set of notes and categorise the notes into: 1. delivered by caesarean
35 section; 2. no shoulder dystocia; 3. shoulder dystocia; or 4. needs more clarification. Where
36 more clarification is needed, additional information is being sought from trial sites. If there is
37 discrepancy between panel members, the entire panel discusses the case until a consensus
38 decision is made.
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49 50 Secondary Outcomes

51 The secondary outcomes are grouped into maternal peripartum, fetal peripartum, neonatal
52 outcomes and longer-term outcomes. The secondary outcomes captured from the admission
53 for delivery are defined in Box 2.
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58 59 Box 2. Secondary Outcomes 60

Maternal Peripartum	Fetal Peripartum	Neonatal
Duration of hospital stay prior to delivery	Time recorded between delivery of the head and delivery of the body	Stillbirth
Duration of hospital stay after delivery	Time in labour ward	Neonatal death
Mode of delivery	Time from commencement of the active second stage of labour until fetal expulsion	Birthweight
Perineal tears		Gestation at birth
Vaginal and cervical lacerations		Apgar score at five minutes
Primary postpartum haemorrhage		Fractures
Clinician defined sepsis		Brachial plexus injury
Fever >38.0°C given antibiotics		Clinician defined sepsis
Retained placenta		Given antibiotics
Uptake of breastfeeding		Admission to the neonatal unit (intensive, special or transitional care)
Hospital readmission within 30 days of postnatal inpatient discharge		Duration of hospital stay
Death		Hypoxic ischaemic encephalopathy
		Use of phototherapy
		Respiratory morbidity
		Hypoglycaemia

Randomised participants and participants in the cohort study opting for an elective caesarean section are asked to complete questionnaires at two and six months postpartum. The outcomes for the infants are assessed according to the proportion under specialist medical care at two months for a problem related to intrapartum experience, maternal report of infant health concerns at six months, in hospital healthcare costs and hospital readmission within 30 days of postnatal inpatient discharge. Responses from these questionnaires identify infants who have sustained a potential birth-related injury. Relevant data related to the injury are being requested from sites and an independent adjudication committee will classify these as delivery / not delivery related. This will be undertaken by the same independent

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3 adjudication committee that is to review the delivery notes. Box 3 details the longer-term
4 maternal and neonatal outcomes.
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9 **Box 3. Longer-term Maternal and Neonatal Outcomes**

Longer-term Outcomes
Maternal experience (six simple questions) at two months(23)
Duration of exclusive breastfeeding at two and six months
Health-related quality of life (EQ-5D-5L) at two and six months(24)
Edinburgh Postnatal Depression Scale score at two and six months(25)
Impact of Events Scale at two months(26)
Postpartum bonding questionnaire at two months(27)
Maternal report of infant health at two and six months
Urinary incontinence ICIQ-UI short form at two and six months(28)
Faecal incontinence at two and six months
Sexual function at six months
Maternal and infant death at six months from HES-ONS linked mortality data
Participants health resource used for the economic analysis for mother and baby at two and six months

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31 The three composite outcomes are:

- 32 1. Peripartum birth injury - includes one or both of fractured or brachial plexus injury.
- 33 2. Prematurity associated problems which include one or more of phototherapy,
- 34 clinician defined sepsis before discharge from hospital, or respiratory support
- 35 3. Maternal peripartum complications which include one or more of 3rd and 4th degree
- 36 perineal tears, vaginal/cervical lacerations, clinician defined sepsis before discharge
- 37 from hospital or primary postpartum haemorrhage.
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45 **Sample Size**

46 The true incidence of shoulder dystocia in women with a fetus >90th customised GROW centile
47 is unknown. In the trial by Boulvain and colleagues on suspected macrosomia, the incidence
48 of shoulder dystocia, defined as 'difficulty with delivery of the shoulders not resolved by
49 McRoberts manoeuvre', in the control arm was 16/411 (3.9%)(9). In the Big Baby Trial, we
50 have used a similar definition of shoulder dystocia, and have estimated the incidence of
51 shoulder dystocia in the control group to be 4%. Boulvain et al. found a relative risk for
52 significant shoulder dystocia in the intervention group to be 0.32 (95% CI 0.12-0.85)(9).
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54 Considering this, we have set the effect size to 50% reduction in the primary outcome to 2%.
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3 This reduction is considered clinically worthwhile. To achieve a 50% reduction in the primary
4 outcome at a 5% significance level with 90% power, 1,626 women would need to be allocated
5 to each arm, with a sample size of 3252 women.
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10 The sample size for this trial has been increased from 3,252 by 23% to 4,000. This is to allow
11 for some women giving birth prior to the intervention, and to account for uncertainty in the
12 event rate in the control group. In the trial by Boulvain and colleagues, 31/408 women (7.6%)
13 gave birth prior to the intervention(9). The increase in the sample size also takes into account
14 the unknown incidence of the primary outcome, an expected small loss of primary outcome,
15 and any effect of clustering at site - although an unpublished analysis of national Growth
16 Assessment Protocol (GAP) data by the Perinatal Institute indicated the intra-cluster
17 correlation coefficient for being large-for-gestational age to be <0.00055, suggesting that any
18 effect will be negligible.
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29 The trial Data Monitoring and Ethics Committee are presented with a closed and open report
30 of the data every six months of the study. A key event analysis was undertaken once primary
31 outcome data were collected for 1,000 participants, given the uncertainty in the sample size
32 estimate. The Data Monitoring and Ethics Committee was asked to advise if a sample size
33 adjustment was required based upon the incidence of shoulder dystocia in the control arm.
34 These data were available on the 5th February 2020 and were considered by the Data
35 Monitoring and Ethics Committee who were unanimous in their satisfaction of the original
36 planned target and recommended that the trial continues to recruit the planned 4,000
37 women.
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47 **Internal Pilot, Process Evaluation and Qualitative Interviews**

48 Recruitment was assessed when ten sites had been recruiting for three months. A formative
49 process evaluation was undertaken to assess barriers to recruitment of sites and participants
50 and barriers to follow-up. This included interviews with ten clinicians to explore adherence to
51 study protocol, impact on workload and impact of the trial on the woman's decision-making
52 process. Feedback from the pilot study and process evaluation allowed us to run seamlessly
53 into the main study. This will be described in a further manuscript.
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Randomisation

Randomisation is provided by Warwick Clinical Trials Unit using an online web application or telephone. Women are randomised using minimisation, balancing site, fetal weight centile ($\leq 95^{\text{th}}$ or $> 95^{\text{th}}$ estimated fetal weight centile) and maternal age (≤ 35 or > 35 years of age). To ensure allocation concealment, randomisation only takes place once all the baseline data have been collected. Women are randomised to either booking or induction of labour between 38⁺⁰-38⁺⁴ weeks' gestation or to standard care. Women are immediately informed of the allocation.

Data Collection

Anonymised data are entered into a secured password protected trial database, developed by the programming team at Warwick Clinical Trials Unit, either at site or by the Warwick Clinical Trials Unit. Participants are identified by a unique study number. All data are stored securely and held in accordance with the relevant UK data protection legislation.

The baseline data collected are maternal height, weight, age, parity, ethnic origin, previous obstetric history, current obstetric history, tobacco use and use of antenatal corticosteroids. Women are asked to complete the EQ-5D-5L health-related quality of life questionnaire(24), Edinburgh Postnatal Depression Scale score(25), urinary incontinence ICIQ-UI short form(28), and questions on faecal incontinence and sexual function at baseline.

The fetal and neonatal outcomes collected are detailed in Box 2. In addition, we are collecting data on the proportion of infants under specialist medical care at two months for a problem related to intrapartum experience, a maternal report of infant health at six months and in-hospital costs. The maternal outcomes collected are described in Box 2. Longer-term maternal outcomes to be collected are described in Box 3.

Follow-up questionnaires are sent to participants at two- and six-months postpartum. We check the hospital electronic record for notification of a neonatal death in all infants participating in the study who were discharged home, prior to sending the follow-up questionnaires. All study related data are stored in accordance with all applicable regulatory requirements and access is restricted to authorised personnel. Trial records and associated

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3 documentation will be archived for 25 years for the randomised participants and ten years
4 for the cohort participants.
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9 For the parallel cohort we collect the same baseline data as the randomised controlled trial.
10 For women requesting a planned caesarean section we collect the same maternal, neonatal
11 and infant outcomes as the randomised controlled trial. There is a limited data collection for
12 and infant outcomes as the randomised controlled trial. There is a limited data collection for
13 women in the cohort study who request induction or standard care. Women have been
14 consented to be approached for longer-term follow up.
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18 19 **Data Analysis**

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21 All analyses will be by intention to treat at the time of randomisation. The primary analysis
22 will compare the incidence of shoulder dystocia between the intervention and control groups.
23 The comparison will be made using logistic regression models both unadjusted and adjusted
24 for appropriate covariates. Other secondary binary outcomes will be assessed in a similar way.
25 Continuous outcomes will be analysed using linear regression models; both adjusted and
26 unadjusted analyses will be computed. A description of the data analyses are described in a
27 further manuscript.
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ETHICS AND DISSEMINATION

Ethical Conduct of the Trial

The trial complies with all UK legislation and Warwick Clinical Trials Unit standard operating procedures. Health Research Authority approval and NHS Trust R&D approval was obtained before participants were enrolled in the trial. The trial's International Standard Randomised Controlled Trial number is 18229892.

A key ethical challenge in this trial was to ensure that robust informed consent was obtained from participants. The trial requires women to consent to being randomised to a specific management pathway for the birth of their child rather than the standard clinical practice of a shared decision-making process with their clinician. It was therefore an imperative to provide the best possible information to women about the risks and benefits of all management options so they could make an informed decision about trial participation in the wider context of decision-making about their clinical care. In developing our information materials and consent processes we were guided by the standard set by the Supreme Court judgment in Montgomery(11). The key steps we took to develop the information and consent processes were:

- A review of all relevant literature from the RCOG, National Institute for Health and Care Excellence and other published works.
- Development of participant facing materials with the patient and public involvement representatives.
- A thorough peer review obstetricians of all participant facing materials.
- The inclusion of a cohort group to respect the woman's preferred choice.

Adverse Event Management

Adverse events are being collected from the time of randomisation until delivery. Serious adverse events are being collected from the time of randomisation until 30 days after initial discharge following delivery. Adverse events and serious adverse events are being identified when collecting outcome data or when completing the two-month follow-up questionnaires.

For the trial only, adverse events affecting the woman or her baby which could be potentially related to the pregnancy, delivery or care of the neonate are being collected. Adverse events are being collected for all participants in the randomised controlled trial and participants in the cohort study requesting an elective caesarean section.

Serious adverse events are only being collected for participants in the randomised controlled trial and need to be reported to Warwick Clinical Trials Unit within 24 hours of the site being made aware of the event. Certain events that would meet the definition of serious adverse events are common in pregnancy and for this trial do not need to be reported as serious adverse events. These events are being reported in the trial case report forms and comparative rates will be monitored by the data monitoring and ethics committee. Serious adverse events that require immediate reporting for the woman and neonate are described in Box 4.

Box 4: Serious adverse events that require immediate reporting for the woman and neonate

Maternal Serious Adverse Events	Neonatal Serious Adverse Events
Maternal death	Stillbirth
Inpatient admission to intensive care and/or high dependency unit at any time during pregnancy/postnatal period	Infant death
Readmission to hospital within 30 days of initial postnatal discharge	Inpatient admission to the neonatal unit
Antenatal hospital admission not related to pregnancy	Inpatient readmission to hospital within 30 days of initial postnatal discharge*
Transfer out of the maternity unit for further inpatient care	
Inpatient admission to a mental health unit	
Symphysiotomy	

*Except for respiratory tract infection, jaundice, urinary tract infection, weight loss lasting less than 5 days, reflux and constipation.

For all serious adverse events a clinical assessment of causality is being made by a medical doctor as to whether the event is related to the booking of induction of labour. If the site or sponsor determine that there is a possible, probable, or definite relationship to the intervention then an assessment of expectedness is completed. Related and unexpected serious adverse events are expedited to the Health Research Authority Research Ethics

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3 Committee, the sponsor and the chairs of the Trial Steering Committee and Data Monitoring
4 and Ethics Committee.
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8 **Monitoring**

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10 All clinicians involved in obtaining consent are required to have completed Good Clinical
11 Practice training. A programme of training is being delivered to all staff participating in the
12 trial at site level. Data entered onto the trial database are being checked for accuracy and
13 completeness by Warwick Clinical Trials Unit in accordance with the trial data management
14 plan. A risk assessment is being undertaken and forms the basis of the trial monitoring plan.
15 Following site initiation, the trial team is in regular contact with sites.
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23 **Patient and Public Involvement**

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25 Karen Hillyer (Chair) and Jackie Dewdney (Board Member) of the Erb's Palsy group are actively
26 involved in the planning and development of this trial. The Erb's Palsy group is a UK-based
27 not for profit organisation which offers advice, support and information to families affected
28 by Erb's Palsy. Karen and Jackie led on the development of all patient-facing materials. As co-
29 applicants they are involved in all aspects of the trial and will help inform the interpretation
30 of the final results and dissemination of findings.
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38 **Progress so far**

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40 The trial started recruiting on 8th June 2018. As of 17th September 2021, there are 2261
41 randomised participants and 1566 cohort participants. Recruitment was paused on the 23rd
42 March 2020 because of the COVID-19 pandemic. This restarted on a site-by-site basis
43 depending on site capacity from 22nd May 2020.
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49 **Dissemination**

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51 The trial results will be reported in the NIHR journals library and published in an open access
52 peer reviewed journal. Findings will be made available on the University of Warwick and
53 Perinatal Institute websites. Abstracts will be submitted to major national and international
54 conferences. Three dissemination events will be held for key stakeholders at the end of the
55 trial. The trial will be reported in accordance with CONSORT guidelines. All publications will
56 be submitted to the NIHR-HTA Programme for approval prior to submission for publication.
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CHANGES MADE SINCE FUNDING AGREED

Since submission of the detailed project description to the NIHR-HTA some changes have been made to the protocol and agreed by the Trial Steering Committee, and Data Monitoring and Ethics Committee. This section details the changes made and reasons for these.

Initially we predicted we would need 60 sites to reach our recruitment target. Over the course of the trial, it was evident this would need to be increased to 80 sites to enable us to improve recruitment and reach our target of 4,000 women randomised in a timely manner. In the application to the NIHR-HTA we wanted to collect outcomes on women in the cohort study who had requested an elective caesarean section. It was decided by the Trial Management Group and Trial Steering Committee that this should be extended to include outcomes on women who decline randomisation but chose either to have an early induction of labour or expectant management. The objective of this group was to provide comparative data on those who choose the timing of the birth and to confirm generalisability of the baseline data and primary outcome. Women with a current intrauterine fetal death were added to the current exclusion criteria as it is inappropriate to randomise these women and different plans would be made regarding their delivery. Prisoners were also added as a new exclusion criterion as there is a different ethical framework for their participation in medical research.

In the initial application to the NIHR-HTA we suggested that SAEs will be reported for any incidences of stillbirth, maternal death, serious intrapartum injury to the fetus or any other event that could be classified with similar severity. Once the trial had started recruiting a substantial number of SAEs were being reported that were classified as outcomes for the trial. Therefore, more formal guidance was formulated to avoid repetition in the data collection for events that did not meet the definition of SAE and to give clear instructions to the sites about what needed to be reported.

As a consequence of ongoing COVID -19 risk we are implementing a new consent process to allow for remote electronic consent rather than all consent being taken in person.

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AUTHOR CONTRIBUTIONS

All authors read and approved the manuscript. All authors have contributed to the study design. SQ and JG are the Co-chief Investigators and oversee the running of the study. MU input into all aspects of the study design and support in running the study. LE is a Clinical Research Fellow and assisted with all aspects of the delivery of the interventions at site level. SW, KH, RG and JB managed the trial and data management. DB, EB, KF, SD, AG provided the clinician and midwifery input into the study. JF carried out the process evaluation. KB, RL and SG were the statisticians for the study. JD, KH were the Patient and Public Involvement representatives. SP and HM provided oversight of the health economic aspects of the study. A-MS was the ethicist for the study. AW and MW oversaw the programming and database management and CJ was the sponsorship representative.

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COMPETING INTERESTS STATEMENT

JG is the director of the Perinatal Institute, a not for profit organisation, limited by guarantee, and a qualified provider of maternity support services to the NHS. It derives its income from some of its products and services, including the award-winning GAP program mentioned in this protocol, through which they have been able to implement training, e-learning and protocols in the majority of Trusts and Health Boards in the UK. GAP includes the standardised, RCOG endorsed customised GROW charts which will be used to identify large-for-gestational age as the entry point for this trial.

MU is chief investigator or co-investigator on multiple previous and current research grants from the UK National Institute for Health Research, Arthritis Research UK and is a co-investigator on grants funded by the Australian NHMRC. He is an NIHR Senior Investigator. He has received travel expenses for speaking at conferences from the professional organisations hosting the conferences. He is a director and shareholder of Clinvivo Ltd <http://www.clinvivo.com> that provides electronic data collection for health services research. He is part of an academic partnership with Serco Ltd, funded by the European Social Fund, related to return to work initiatives. He is a co-investigator on two NIHR funded studies receiving additional support from Stryker Ltd. He has accepted honoraria for teaching/lecturing from consortium for advanced research training in Africa. He was until March 2020 an editor of the NIHR journal series, and a member of the NIHR Journal Editors Group, for which he received a fee.

WORD COUNT

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STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	ItemNo	Description	Page found
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	2
	2b	All items from the World Health Organization Trial Registration Data Set	2
Protocol version	3	Date and version identifier	n/a
Funding	4	Sources and types of financial, material, and other support	7,23
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	1,22
	5b	Name and contact information for the trial sponsor	7,22
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	7
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	throughout
Introduction			
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	5
	6b	Explanation for choice of comparators	9
Objectives	7	Specific objectives or hypotheses	5

1				
2	Trial	8	Description of trial design including type of trial (eg, parallel group, crossover,	7
3	design		factorial, single group), allocation ratio, and framework (eg, superiority,	
4			equivalence, noninferiority, exploratory)	
5				
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7				
8	Methods: Participants, interventions, and outcomes			
9				
10	Study	9	Description of study settings (eg, community clinic, academic hospital) and list	7
11	setting		of countries where data will be collected. Reference to where list of study sites	
12			can be obtained	
13				
14	Eligibility	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria	8
15	criteria		for study centres and individuals who will perform the interventions (eg,	
16			surgeons, psychotherapists)	
17				
18				
19	Interventio	11a	Interventions for each group with sufficient detail to allow replication, including	9
20	ns		how and when they will be administered	
21				
22		11b	Criteria for discontinuing or modifying allocated interventions for a given trial	9
23			participant (eg, drug dose change in response to harms, participant request, or	
24			improving/worsening disease)	
25				
26		11c	Strategies to improve adherence to intervention protocols, and any procedures	n/a
27			for monitoring adherence (eg, drug tablet return, laboratory tests)	
28				
29		11d	Relevant concomitant care and interventions that are permitted or prohibited	n/a
30			during the trial	
31				
32				
33	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement	10
34			variable (eg, systolic blood pressure), analysis metric (eg, change from	
35			baseline, final value, time to event), method of aggregation (eg, median,	
36			proportion), and time point for each outcome. Explanation of the clinical	
37			relevance of chosen efficacy and harm outcomes is strongly recommended	
38				
39				
40	Participant	13	Time schedule of enrolment, interventions (including any run-ins and	9
41	timeline		washouts), assessments, and visits for participants. A schematic diagram is	
42			highly recommended (see Figure)	
43				
44	Sample	14	Estimated number of participants needed to achieve study objectives and how	12
45	size		it was determined, including clinical and statistical assumptions supporting any	
46			sample size calculations	
47				
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49	Recruitme	15	Strategies for achieving adequate participant enrolment to reach target sample	13
50	nt		size	
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52 **Methods: Assignment of interventions (for controlled trials)**

54 Allocation:

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2	Sequen	16a	Method of generating the allocation sequence (eg, computer-generated	14
3	ce		random numbers), and list of any factors for stratification. To reduce	
4	generat		predictability of a random sequence, details of any planned restriction (eg,	
5	ion		blocking) should be provided in a separate document that is unavailable to	
6			those who enrol participants or assign interventions	
7				
8				
9	Allocati	16b	Mechanism of implementing the allocation sequence (eg, central telephone;	14
10	on		sequentially numbered, opaque, sealed envelopes), describing any steps to	
11	conceal		conceal the sequence until interventions are assigned	
12	ment			
13	mecha			
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17	Implem	16c	Who will generate the allocation sequence, who will enrol participants, and	14
18	entatio		who will assign participants to interventions	
19	n			
20				
21	Blinding	17a	Who will be blinded after assignment to interventions (eg, trial participants,	14
22	(masking)		care providers, outcome assessors, data analysts), and how	
23				
24		17b	If blinded, circumstances under which unblinding is permissible, and procedure	n/a
25			for revealing a participant's allocated intervention during the trial	
26				
27				
28	Methods: Data collection, management, and analysis			
29				
30	Data	18a	Plans for assessment and collection of outcome, baseline, and other trial data,	14
31	collection		including any related processes to promote data quality (eg, duplicate	
32	methods		measurements, training of assessors) and a description of study instruments	
33			(eg, questionnaires, laboratory tests) along with their reliability and validity, if	
34			known. Reference to where data collection forms can be found, if not in the	
35			protocol	
36				
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38		18b	Plans to promote participant retention and complete follow-up, including list of	14
39			any outcome data to be collected for participants who discontinue or deviate	
40			from intervention protocols	
41				
42	Data	19	Plans for data entry, coding, security, and storage, including any related	14
43	managem		processes to promote data quality (eg, double data entry; range checks for	
44	ent		data values). Reference to where details of data management procedures can	
45			be found, if not in the protocol	
46				
47				
48	Statistical	20a	Statistical methods for analysing primary and secondary outcomes. Reference	14
49	methods		to where other details of the statistical analysis plan can be found, if not in the	
50			protocol	
51				
52		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	14
53				
54		20c	Definition of analysis population relating to protocol non-adherence (eg, as	14
55			randomised analysis), and any statistical methods to handle missing data (eg,	
56			multiple imputation)	
57				
58				
59	Methods: Monitoring			
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2	Data	21a	Composition of data monitoring committee (DMC); summary of its role and	13
3	monitoring		reporting structure; statement of whether it is independent from the sponsor	
4			and competing interests; and reference to where further details about its	
5			charter can be found, if not in the protocol. Alternatively, an explanation of why	
6			a DMC is not needed	
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8				
9		21b	Description of any interim analyses and stopping guidelines, including who will	13
10			have access to these interim results and make the final decision to terminate	
11			the trial	
12				
13	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and	16
14			spontaneously reported adverse events and other unintended effects of trial	
15			interventions or trial conduct	
16				
17	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the	18
18			process will be independent from investigators and the sponsor	
19				
20				
21	Ethics and dissemination			
22				
23	Research	24	Plans for seeking research ethics committee/institutional review board	16
24	ethics		(REC/IRB) approval	
25	approval			
26				
27	Protocol	25	Plans for communicating important protocol modifications (eg, changes to	8
28	amendme		eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators,	
29	nts		REC/IRBs, trial participants, trial registries, journals, regulators)	
30				
31				
32	Consent	26a	Who will obtain informed consent or assent from potential trial participants or	9
33	or assent		authorised surrogates, and how (see Item 32)	
34				
35		26b	Additional consent provisions for collection and use of participant data and	n/a
36			biological specimens in ancillary studies, if applicable	
37				
38	Confidenti	27	How personal information about potential and enrolled participants will be	14
39	ality		collected, shared, and maintained in order to protect confidentiality before,	
40			during, and after the trial	
41				
42				
43	Declaratio	28	Financial and other competing interests for principal investigators for the	24
44	n of		overall trial and each study site	
45	interests			
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47	Access to	29	Statement of who will have access to the final trial dataset, and disclosure of	in main
48	data		contractual agreements that limit such access for investigators	protocol
49				
50	Ancillary	30	Provisions, if any, for ancillary and post-trial care, and for compensation to	n/a
51	and post-		those who suffer harm from trial participation	
52	trial care			
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55	Dissemina	31a	Plans for investigators and sponsor to communicate trial results to participants,	18
56	tion policy		healthcare professionals, the public, and other relevant groups (eg, via	
57			publication, reporting in results databases, or other data sharing	
58			arrangements), including any publication restrictions	
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2	31b	Authorship eligibility guidelines and any intended use of professional writers	22
3			
4	31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	18
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Appendices

10	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	n/a
11				
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14	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	n/a
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*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.

BMJ Open

Induction of Labour for Predicted Macrosomia: Study Protocol for the 'Big Baby' Randomised Controlled Trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-058176.R1
Article Type:	Protocol
Date Submitted by the Author:	27-Apr-2022
Complete List of Authors:	<p>Ewington, Lauren; University of Warwick Faculty of Medicine, Biomedical Sciences; University Hospitals Coventry and Warwickshire NHS Trust, Women's and Children's</p> <p>Gardosi, Jason; Perinatal Institute</p> <p>Lall, Ranjit; University of Warwick, Warwick Clinical Trials Unit</p> <p>Underwood, Martin; Warwick University, Warwick Clinical Trials Unit; University Hospitals Coventry and Warwickshire NHS Trust</p> <p>Fisher, Joanne; University of Warwick Warwick Medical School, Clinical Trials Unit</p> <p>Wood, Sara; University of Warwick, Warwick Clinical Trials Unit</p> <p>Griffin, Ryan; University of Warwick, Warwick Clinical Trials Unit</p> <p>Harris, Kirsten; University of Warwick</p> <p>Bick, Debra; University of Warwick, Warwick Clinical Trials Unit, Warwick Medical School</p> <p>Booth, Katie; University of Warwick, Warwick Clinical Trials Unit</p> <p>Brown, Jaclyn; University of Warwick, Clinical Trials Unit</p> <p>Butler, Emily; Perinatal Institute</p> <p>Fowler, Kelly; Perinatal Institute</p> <p>Williams, Mandy; Perinatal Institute</p> <p>Deshpande, Sanjeev; Shrewsbury and Telford Hospital NHS Trust</p> <p>Gornall, Adam; Shrewsbury and Telford Hospital NHS Trust</p> <p>Dewdney, Jackie; Erb's Palsy Group</p> <p>Hillyer, Karen; Erb's Palsy Group</p> <p>Gates, Simon; University of Birmingham, Cancer Research UK Clinical Trials Unit</p> <p>Jones, Ceri; University Hospitals Coventry and Warwickshire NHS Trust</p> <p>Mistry, Hema; University of Warwick, Warwick Clinical Trials Unit</p> <p>Petrou, Stavros; Nuffield Department of Primary Care Health Sciences</p> <p>Slowther, Anne-Marie; University of Warwick, Social Sciences and Systems in Health</p> <p>Willis, Adrian; University of Warwick, Warwick Clinical Trials Unit</p> <p>Quenby, Siobhan; University of Warwick; University Hospitals Coventry and Warwickshire NHS Trust</p>
Primary Subject Heading:	Obstetrics and gynaecology
Secondary Subject Heading:	Obstetrics and gynaecology
Keywords:	OBSTETRICS, MEDICAL ETHICS, Ultrasonography < OBSTETRICS

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Manuscripts

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4 1 **Induction of Labour for Predicted Macrosomia:**
5 2 **Study Protocol for the 'Big Baby' Randomised Controlled Trial**
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9 4 Lauren Jade Ewington^{1,2}, Jason Gardosi³, Ranjit Lall⁴, Martin Underwood^{2,4}, Joanne Fisher⁴,
10 5 Sara Wood⁴, Ryan Griffin⁴, Kirsten Harris⁴, Debra Bick⁴, Katie Booth⁴, Jaclyn Brown⁴, Emily
11 6 Butler³, Kelly Fowler³, Mandy Williams³, Sanjeev Deshpande⁵, Adam Gornall⁵, Jackie
12 7 Dewdney⁶, Karen Hillyer⁶, Simon Gates⁷, Ceri Jones², Hema Mistry⁴, Stavros Petrou⁸, Anne-
13 8 Marie Slowther⁹, Adrian Willis⁴, Siobhan Quenby^{1,2}
14
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20 9
21 10 **Author Affiliations:**

- 22 11 1. Division of Biomedical Sciences, University of Warwick, Coventry, UK
23 12 2. University Hospitals Coventry and Warwickshire NHS Trust, Coventry, UK
24 13 3. Perinatal Institute, Birmingham, UK
25 14 4. Warwick Clinical Trials Unit, University of Warwick, Coventry, UK
26 15 5. Shrewsbury and Telford Hospitals NHS Trust, Telford, UK
27 16 6. Erb's Palsy Group, Coventry, UK
28 17 7. Cancer Clinical Trials Unit, University of Birmingham, Birmingham, UK
29 18 8. Nuffield Department of Primary Care Health Sciences, University of Oxford, Oxford,
30 19 UK
31 20 9. Social Sciences and Systems in Health, University of Warwick, Coventry, UK
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41 22 **Corresponding Author:**

42 23 Professor Siobhan Quenby: s.quenby@warwick.ac.uk

24 **ABSTRACT**

25 **Introduction**

26 Large-for-gestational age (LGA) fetuses have an increased risk of shoulder dystocia. This can
27 lead to adverse neonatal outcomes and death. Early induction of labour in women with a
28 fetus suspected to be macrosomic may mitigate the risk of shoulder dystocia. The Big Baby
29 Trial aims to find if induction of labour at 38⁺⁰-38⁺⁴ weeks' gestation, in pregnancies with
30 suspected LGA fetuses, reduces the incidence of shoulder dystocia.

32 **Methods and Analysis**

33 The Big Baby Trial is a multicentre, prospective, individually randomised controlled trial of
34 induction of labour at 38⁺⁰ to 38⁺⁴ weeks' gestation versus standard care as per each hospital
35 trust (median gestation of delivery 39⁺⁴) among women whose fetuses have an estimated
36 fetal weight >90th customised centile according to ultrasound scan at 35⁺⁰ to 38⁺⁰ weeks'
37 gestation. There is a parallel cohort study for women who decline randomisation because
38 they opt for induction, expectant management or caesarean section. Up to 4,000 women will
39 be recruited and randomised to induction of labour or to standard care. The primary outcome
40 is the incidence of shoulder dystocia; assessed by an independent expert group, blind to
41 treatment allocation, from delivery records. Secondary outcomes include birth trauma,
42 fractures, haemorrhage, caesarean section rate and length of inpatient stay. The main trial is
43 ongoing, following an internal pilot study. A qualitative reporting, health economic evaluation
44 and parallel process evaluation are included.

46 **Ethics and Dissemination**

47 The study received a favourable opinion from the South West – Cornwall and Plymouth Health
48 Research Authority on 23/03/2018 (IRAS project ID 229163). Study results will be reported in
49 the NIHR journal library and published in an open access peer reviewed journal. We will plan
50 dissemination events for key stakeholders.

52 **Trial Registration Number**

53 ISRCTN18229892

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3 55 **STRENGTHS AND LIMITATIONS OF THIS STUDY**
4

- 5 56 • This is the largest trial assessing if induction of labour decreases the incidence of
6 shoulder dystocia in women with a suspected large-for-gestational age fetus.
7 57
8
9 58 • The main trial is currently open to recruitment, following a successful internal pilot
10 59 study. The trial includes qualitative reporting, and health economic and process
11 60 evaluations.
12
13 61 • Women declining randomisation and opting for an elective caesarean section can
14 62 consent to participate in a parallel cohort study to collect maternal and neonatal
15 63 health outcomes.
16
17 64 • Recruitment is challenging as women and clinicians often have a preference regarding
18 65 timing and mode of birth and decline randomisation. Therefore, it is unclear if the
19 66 women randomised into the trial are representative of the population.
20
21 67 • Currently in the UK there is no guidance on the management of suspected large-for-
22 68 gestational age pregnancies, meaning the gestation of delivery of the standard care
23 69 group is varied. Ongoing analysis of data from participants already involved shows the
24 70 median gestation of delivery is 39+4 weeks' gestation.
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72 INTRODUCTION

73 Shoulder dystocia occurs when an infant's head has been delivered vaginally and the shoulder
74 becomes stuck behind a woman's pubic bone. This can lead to maternal and fetal
75 complications. Maternal complications include haemorrhage, third- and fourth-degree
76 perineal tears and psychological sequelae. Infant complications include fractures of the
77 clavicle and humerus, brachial plexus injury, hypoxic ischaemic encephalopathy and death(1-
78 3). Shoulder dystocia and its complications are common indications for litigation in obstetrics
79 with settlements dealt with by the UK NHS Litigation Authority (now called NHS Resolution)
80 from 250 cases between 2000 to 2010 costing over £100 million(4).

81
82 Fetal macrosomia is a well described risk factor for shoulder dystocia(5). This is variably
83 defined as a neonatal birthweight >4.0Kg or 4.5Kg, or >90th customised or non-customised
84 fetal weight centile. Preventative measures start with antenatal awareness of risk factors
85 including fetal growth and size, maternal obesity, and diabetes.

86
87 Earlier delivery is likely to reduce the birthweight of the infant and mitigate the main risk
88 factor for shoulder dystocia. However, it is uncertain whether this strategy would work to
89 reduce shoulder dystocia and its associated complications, and what effect this might have
90 on caesarean section rates and maternal complications after delivery. Research into
91 prevention by induction is timely, in light of conflicting messages. The Royal College of
92 Obstetricians and Gynaecologists (RCOG) does not currently recommend induction of labour
93 for women with a suspected macrosomic fetus in the absence of diabetes(6). However, two
94 systematic reviews and meta-analyses found that induction of labour reduced the risk of
95 shoulder dystocia in women who had a macrosomic fetus(7, 8). Both reviews were largely
96 based upon the 2015 randomised controlled trial by Boulvain and colleagues of 822
97 pregnancies with a fetus with an estimated weight greater than the 95th centile(9). While
98 inducing labour may reduce the risk of shoulder dystocia, it has not been shown to decrease
99 adverse neonatal sequelae and induction is associated with a marginal increased risk of
100 operative delivery(10).

101

102 The management of large-for-gestational age (LGA) and macrosomic pregnancies in
103 obstetrics was the focus of a landmark legal case heard by the UK Supreme Court in 2014(11).

1
2
3 104 Mrs Montgomery had type 1 diabetes and had a macrosomic baby, she was concerned about
4
5 105 delivering her baby vaginally, but was not adequately informed of the risk of shoulder
6
7 106 dystocia. During the delivery, shoulder dystocia occurred leading to a 12-minute delay in
8
9 107 delivering the infant's body. Her son suffered from hypoxic ischaemic encephalopathy. A case
10
11 108 was made that as Mrs Montgomery was not adequately informed of the risk of shoulder
12
13 109 dystocia and its associated complications, and the alternative modes of delivery, namely
14
15 110 caesarean section, she could not make a well-informed decision about the delivery of her son,
16
17 111 therefore there was negligence in consent. After failed appeals at the Court of Session and
18
19 112 the Inner house the case was finally heard at the UK Supreme court. The Supreme Court
20
21 113 judgment in this case highlighted the obligation of clinicians to explain the risks and benefits
22
23 114 of all treatment options, including that of no treatment, to women for them give a valid
24
25 115 consent. It is therefore imperative to have robust evidence from randomised controlled trials
26
27 116 on which to base these discussions. An investigation into the value of induction to reduce the
28
29 117 incidence of shoulder dystocia in women with a suspected macrosomic fetus will give women
30
31 118 and clinicians the information they need in planning their mode of delivery.
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33 119

32 120 The research question is 'does induction of labour at 38⁺⁰ to 38⁺⁴ weeks' gestation, in
33
34 121 pregnancies with suspected LGA fetuses, reduce the incidence of shoulder dystocia?'.
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36 122

37
38 123 This manuscript describes the trial design, setting, participants and recruitment, the
39
40 124 intervention and control groups, randomisation, outcome measures, sample size, ethical
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42 125 considerations, and dissemination. A separate manuscript will detail the statistical analysis
43
44 126 plan, trial process evaluation and health economic analysis plan.
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3 127 **STUDY OBJECTIVES**

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5 128 **Primary Objective**

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7 129 The primary objective is to determine the effectiveness of induction of labour at 38⁺⁰ to 38⁺⁴
8
9 130 weeks' gestation in reducing the incidence of shoulder dystocia in suspected LGA fetuses.

10
11 131

12 132 **Secondary Objective**

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14 133 Secondary objectives are to collect comparative data on intrapartum, perinatal, infant,
15
16 134 maternal obstetric and long-term maternal outcomes. We will collect comparative data on
17
18 135 maternal perceptions of their labour/birth care and physical and psychological health at two
19
20 136 and six months postnatally. We will report composite outcomes for intrapartum birth injury,
21
22 137 prematurity associated problems and maternal intrapartum complication.

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139 **METHODS AND ANALYSIS**

140 This protocol manuscript was written in concordance with the SPIRIT guidelines (12).

141

142 **Trial Design**

143 The Big Baby Trial is a multicentre, prospective, individually randomised controlled trial of
144 induction of labour at 38⁺⁰ to 38⁺⁴ weeks' gestation versus standard care of fetuses that are
145 LGA according to ultrasound scan at 35⁺⁰ to 38⁺⁰ weeks' gestation. Our definition of LGA is an
146 estimated fetal weight >90th customised fetal weight centile using the woman's own
147 customised Gestation Related Optimal Weight (GROW) chart(13). These charts provide the
148 standard for assessment of fetal growth and newborn size, are recommended by RCOG Green
149 Top Guidelines(14) and are in use in approximately 76% of NHS Trusts and Health Boards.
150 GROW charts adjust for maternal height, weight in early pregnancy, parity, ethnic origin, and
151 gender where known. Pathological variables such as diabetes and smoking are not adjusted
152 for(13, 15). The GROW 90th customised centile identifies more babies at risk of adverse
153 outcomes than LGA by conventional standards(16-19). Furthermore, GROW has been shown
154 to be a better predictor of shoulder dystocia than the UK-WHO birthweight standard(20).

155

156 There is a parallel cohort study for women who decline randomisation but wish to participate
157 in research. This cohort includes two sub-groups. The first is women who request a planned
158 caesarean section. The second is women who request to be delivered by early induction of
159 labour or expectant management. The primary objective of the cohort study is to provide
160 comparative data on those who choose planned caesarean section and confirm
161 generalisability of the baseline data and primary outcome with the main trial.

162

163 The trial is conducted and managed by the Warwick Clinical Trials Unit and sponsored by the
164 University Hospitals Coventry and Warwickshire NHS Trust. Funding is provided by the
165 National Institute for Health Research (NIHR) following a commissioned call from the Health
166 Technology Assessment Programme (HTA study reference 16/77/02). The trial is being
167 conducted in accordance with the principals of the Declaration of Helsinki and Good Clinical
168 Practice (GCP).

169

170 **Trial Setting**

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3 171 Although we initially planned to recruit from 60 NHS Trusts over the course of the trial to
4
5 172 enable us to enhance recruitment, this approach has changed. We now aim to recruit 80 NHS
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7 173 Trusts across the UK that use customised GROW charts. Staff participating in the trial must
8
9 174 demonstrate and document a willingness to comply with the protocol, the principles of GCP
10
11 175 and regulatory requirements. Furthermore, they must be prepared to participate in training
12
13 176 and adhere to the protocol.

177

178 **Participants and Recruitment**

179 Inclusion Criteria

180 The study participants are women aged ≥ 18 years with a fetus above the 90th customised
181 GROW fetal weight centile on ultrasound scan at 35⁺⁰ to 38⁺⁰ weeks' gestation with a cephalic
182 presentation.

183

184 Exclusion Criteria

185 Box 1 lists the exclusion criteria for the study.

186

187 Box 1 – Exclusion Criteria

Exclusion Criteria
Multiple pregnancy
Pregnancy with a breech or transverse lie position
Contra-indication to induction of labour
A fetus with a known serious abnormality
A home birth or elective caesarean section already planned
A caesarean section or induction indicated due to other health conditions such as cardiac disease or hypertensive disorders
Women taking medications and/or insulin therapy for diabetes or gestational diabetes (women with these conditions who are not taking medication are eligible)
A current diagnosis of a major psychiatric disorder requiring antipsychotic medication
A previous stillbirth or neonatal death ≤ 28 days
A current intrauterine fetal death
Prisoners

Women unable to give informed consent e.g. learning or communication difficulties that prevent the understanding of the information provided

188

189 Recruitment

190 Figure 1 describes the pathway women will take through the trial and the expected number
191 of women at each stage. Women are identified based on an ultrasound scan, performed
192 either as part of serial fetal growth assessment or for a different indication. If the fetus has
193 an estimated fetal weight >90th customised centile from 28⁺⁰ – 38⁺⁰ weeks' gestation, the
194 woman can be approached and offered information about the study. Women are informed
195 of the risks and benefits of participating and the possible risks and benefits of other delivery
196 options. These can be found in the participant information sheet (supplementary material).
197 The participant information sheet and participant consent form have been assessed for clarity
198 by the Plain English Campaign and a Crystal Mark obtained for these. By approaching women
199 from 28⁺⁰ weeks' gestation, they have time to consider their participation, ask questions to
200 health care professionals and discuss the trial with their family and friends.

201

202 The obstetrician, or consultant midwife in charge of the woman's care is asked to provide
203 'obstetric confirmation', to confirm they agree for their patient to participate in the trial and
204 receive either induction of labour or standard care. This confirmation must be completed
205 before randomisation. To be eligible a confirmatory ultrasound scan must be performed
206 between 35⁺⁰ – 38⁺⁰ weeks' gestation. If the fetus has an estimated fetal weight >90th
207 customised GROW centile during this gestation interval and fulfils the other eligibility criteria,
208 the woman can participate in the trial.

209

210 Intervention and Control

211 Intervention

212 Data from the West Midlands Perinatal Episode Electronic Record (PEER) database of 161,936
213 pregnancies found that the median length of pregnancy for LGA fetuses was 39⁺⁴ weeks'
214 gestation (277 days). We further ascertained that the weekly increment of fetal weight gain
215 in LGA pregnancies is approximately 200g. In the trial conducted by Boulvain and colleagues,
216 the difference in fetal weight between the induction and expectant management groups was
217 287g(9). Based on this, we expect that for a difference of 300g between the intervention and

1
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3 218 control arms, an interval of 1.5 weeks is required. Therefore, the intervention window for
4
5 219 induction of labour is set at 38⁺⁰ to 38⁺⁴ weeks' (266-270 days) gestation. This will ensure an
6
7 220 approximate average of eleven days separation in gestation days between groups. Induction
8
9 221 prior to this window may decrease the risk of shoulder dystocia but would increase the risk
10
11 222 of neonatal complications(21-23). The method of induction is by the usual practice at the
12
13 223 participating site Trust.

14 224

15
16 225 **Control**

17
18 226 The control is standard care. In the UK there is no guidance on mode and timing of birth in
19
20 227 LGA pregnancies, with practice varying from hospital to hospital and clinician to clinician.
21
22 228 Standard care for this trial is what is provided by that hospital. The trial data monitoring and
23
24 229 ethics committee (DMEC) continue to review the gestation of delivery of the standard care
25
26 230 arm and so far, the median gestation of birth in the standard care arm is 39⁺⁴ weeks'
27
28 231 gestation.

29 232

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31 233 **Outcome Measures**32
33 234 **Primary Outcome**

34
35 235 The primary outcome measure is the incidence of shoulder dystocia, defined by the RCOG as
36
37 236 'a vaginal cephalic delivery that requires additional obstetric manoeuvres to deliver the fetus
38
39 237 after the head has delivered and gentle traction has failed'(6). These data are being extracted
40
41 238 from clinical notes.

42 239

43
44 240 As the sites are unblinded, all delivery notes are reviewed by an independent expert panel to
45
46 241 confirm if shoulder dystocia has occurred. The independent panel consists of a senior
47
48 242 obstetrician, a senior neonatologist, a senior midwife, and a trainee obstetrician. Delivery
49
50 243 notes are anonymised. The independent panel is blind to the trial allocation. Two panel
51
52 244 members review each set of notes and categorise the notes into: 1. delivered by caesarean
53
54 245 section; 2. no shoulder dystocia; 3. shoulder dystocia; or 4. needs more clarification. Where
55
56 246 more clarification is needed, additional information is being sought from trial sites. If there is
57
58 247 discrepancy between panel members, the entire panel discusses the case until a consensus
59
60 248 decision is made.

249

250 Secondary Outcomes

251 The secondary outcomes are grouped into maternal peripartum, fetal peripartum, neonatal
 252 outcomes and longer-term outcomes. The secondary outcomes captured from the admission
 253 for delivery are defined in Box 2.

254

255 Box 2. Secondary Outcomes

Maternal Peripartum	Fetal Peripartum	Neonatal
Duration of hospital stay prior to delivery	Time recorded between delivery of the head and delivery of the body	Neonatal death
Duration of hospital stay after delivery	Time in labour ward	Birthweight
Mode of delivery	Time from commencement of the active second stage of labour until fetal expulsion	Gestation at birth
Perineal tears	Stillbirth	Apgar score at five minutes
Vaginal and cervical lacerations		Fractures
Primary postpartum haemorrhage		Brachial plexus injury
Clinician defined sepsis		Clinician defined sepsis
Fever >38.0°C given antibiotics		Given antibiotics
Retained placenta		Admission to the neonatal unit (intensive, special or transitional care)
Uptake of breastfeeding		Duration of hospital stay
Hospital readmission within 30 days of postnatal inpatient discharge		Hypoxic ischaemic encephalopathy
Death		Use of phototherapy
		Respiratory morbidity
		Hypoglycaemia

256

257 Randomised participants and participants in the cohort study opting for an elective caesarean
 258 section are asked to complete questionnaires at two and six months postpartum. The
 259 outcomes for the infants are assessed according to the proportion under specialist medical
 260 care at two months for a problem related to intrapartum experience, maternal report of
 261 infant health concerns at six months, in hospital healthcare costs and hospital readmission

262 within 30 days of postnatal inpatient discharge. Responses from these questionnaires identify
 263 infants who have sustained a potential birth-related injury. Relevant data related to the injury
 264 are being requested from sites and an independent adjudication committee will classify these
 265 as delivery / not delivery related. This will be undertaken by the same independent
 266 adjudication committee that is to review the delivery notes. Box 3 details the longer-term
 267 maternal and neonatal outcomes.

268

269 Box 3. Longer-term Maternal and Neonatal Outcomes

Longer-term Outcomes
Maternal experience (six simple questions) at two months(24)
Duration of exclusive breastfeeding at two and six months
Health-related quality of life (EQ-5D-5L) at two and six months(25)
Edinburgh Postnatal Depression Scale score at two and six months(26)
Impact of Events Scale at two months(27)
Postpartum bonding questionnaire at two months(28)
Maternal report of infant health at two and six months
Urinary incontinence ICIQ-UI short form at two and six months(29)
Faecal incontinence at two and six months
Sexual function at six months
Maternal and infant death at six months from HES-ONS linked mortality data
Participants health resource used for the economic analysis for mother and baby at two and six months

270

271 The three composite outcomes are:

- 272 1. Peripartum birth injury - includes one or both of fractures or brachial plexus injury.
- 273 2. Prematurity associated problems which include one or more of phototherapy,
 274 clinician defined sepsis before discharge from hospital, or respiratory support
- 275 3. Maternal peripartum complications which include one or more of 3rd and 4th degree
 276 perineal tears, vaginal/cervical lacerations, clinician defined sepsis before discharge
 277 from hospital or primary postpartum haemorrhage.

278

279 **Sample Size**

280 The true incidence of shoulder dystocia in women with a fetus >90th customised GROW centile
 281 is unknown. In the trial by Boulvain and colleagues on suspected macrosomia, the incidence
 282 of shoulder dystocia, defined as 'difficulty with delivery of the shoulders not resolved by
 283 McRoberts manoeuvre', in the control arm was 16/411 (3.9%)(9). In the Big Baby Trial, we

1
2
3 284 have used a similar definition of shoulder dystocia, and have estimated the incidence of
4
5 285 shoulder dystocia in the control group to be 4%. Boulvain et al. found a relative risk for
6
7 286 significant shoulder dystocia in the intervention group to be 0.32 (95% CI 0.12-0.85)(9).
8
9 287 Considering this, we have set the effect size to 50% reduction in the primary outcome to 2%.
10
11 288 This reduction is considered clinically worthwhile. To achieve a 50% reduction in the primary
12
13 289 outcome at a 5% significance level with 90% power, 1,626 women would need to be allocated
14
15 290 to each arm, with a sample size of 3252 women.

16 291

17
18 292 The sample size for this trial has been increased from 3,252 by 23% to 4,000. This is to allow
19
20 293 for some women giving birth prior to the intervention, and to account for uncertainty in the
21
22 294 event rate in the control group. In the trial by Boulvain and colleagues, 31/408 women (7.6%)
23
24 295 gave birth prior to the intervention(9). The increase in the sample size also takes into account
25
26 296 the unknown incidence of the primary outcome, an expected small loss of primary outcome,
27
28 297 and any effect of clustering at site - although an unpublished analysis of national Growth
29
30 298 Assessment Protocol (GAP) data by the Perinatal Institute indicated the intra-cluster
31
32 299 correlation coefficient for being LGA to be <0.00055, suggesting that any effect will be
33
34 300 negligible.

35 301

36 302 The trial DMEC are presented with a closed and open report of the data every six months of
37
38 303 the study. A key event analysis was undertaken once primary outcome data were collected
39
40 304 for 1,000 participants, given the uncertainty in the sample size estimate. The DMEC was asked
41
42 305 to advise if a sample size adjustment was required based upon the incidence of shoulder
43
44 306 dystocia in the control arm. These data were available on the 5th February 2020 and were
45
46 307 considered by the DMEC who were unanimous in their satisfaction of the original planned
47
48 308 target and recommended that the trial continues to recruit the planned 4,000 women.

49 309

50 310 **Internal Pilot, Process Evaluation and Qualitative Interviews**

51
52 311 Recruitment was assessed when ten sites had been recruiting for three months. A formative
53
54 312 process evaluation was undertaken to assess barriers to recruitment of sites and participants
55
56 313 and barriers to follow-up. This included interviews with ten clinicians to explore adherence to
57
58 314 study protocol, impact on workload and impact of the trial on the woman's decision-making
59
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1
2
3 315 process. Feedback from the pilot study and process evaluation allowed us to run seamlessly
4
5 316 into the main study. This will be described in a further manuscript.
6

7 317

8 318 **Randomisation**

9
10 319 Randomisation is provided by Warwick Clinical Trials Unit using an online web application or
11
12 320 telephone. Women are randomised using minimisation, balancing site, fetal weight centile
13
14 321 ($\leq 95^{\text{th}}$ or $> 95^{\text{th}}$ estimated fetal weight centile) and maternal age (≤ 35 or > 35 years of age). To
15
16 322 ensure allocation concealment, randomisation only takes place once all the baseline data
17
18 323 have been collected. Women are randomised to either booking of induction of labour
19
20 324 between 38⁺⁰-38⁺⁴ weeks' gestation or to standard care. Women are immediately informed
21
22 325 of the allocation.
23

24 326

25 327 **Data Collection**

26
27 328 Anonymised data are entered into a secured password protected trial database, developed
28
29 329 by the programming team at Warwick Clinical Trials Unit, either at site or by the Warwick
30
31 330 Clinical Trials Unit. Participants are identified by a unique study number. All data are stored
32
33 331 securely and held in accordance with the relevant UK data protection legislation.
34

35 332

36 333 The baseline data collected are maternal height, weight, age, parity, ethnic origin, previous
37
38 334 obstetric history, current obstetric history, tobacco use and use of antenatal corticosteroids.
39
40 335 Women are asked to complete the EQ-5D-5L health-related quality of life questionnaire(25),
41
42 336 Edinburgh Postnatal Depression Scale score(26), urinary incontinence ICIQ-UI short form(29),
43
44 337 and questions on faecal incontinence and sexual function at baseline.
45

46 338

47 339 The fetal and neonatal outcomes collected are detailed in Box 2. In addition, we are collecting
48
49 340 data on the proportion of infants under specialist medical care at two months for a problem
50
51 341 related to intrapartum experience, a maternal report of infant health at six months and in-
52
53 342 hospital costs. The maternal outcomes collected are described in Box 2. Longer-term
54
55 343 maternal outcomes to be collected are described in Box 3.
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57 344

58 345 Follow-up questionnaires are sent to participants at two- and six-months postpartum. We
59
60 346 check the hospital electronic record for notification of a neonatal death in all infants

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3 347 participating in the study who were discharged home, prior to sending the follow-up
4
5 348 questionnaires. All study related data are stored in accordance with all applicable regulatory
6
7 349 requirements and access is restricted to authorised personnel. Trial records and associated
8
9 350 documentation will be archived for 25 years for the randomised participants and ten years
10
11 351 for the cohort participants.

12 352

14 353 For the parallel cohort we collect the same baseline data as the randomised controlled trial.

16 354 For women requesting a planned caesarean section we collect the same maternal, neonatal,
17
18 355 and infant outcomes as the randomised controlled trial. There is a limited data collection for
19
20 356 women in the cohort study who request induction or standard care. Women have been
21
22 357 consented to be approached for longer-term follow up.

23 358

25 359 **Data Analysis**

27 360 All analyses will be by intention to treat at the time of randomisation. The primary analysis
28
29 361 will compare the incidence of shoulder dystocia between the intervention and control groups.
30
31 362 The comparison will be made using logistic regression models both unadjusted and adjusted
32
33 363 for appropriate covariates. Other secondary binary outcomes will be assessed in a similar way.
34
35 364 Continuous outcomes will be analysed using linear regression models; both adjusted and
36
37 365 unadjusted analyses will be computed. A description of the data analyses are described in a
38
39 366 further manuscript.

40 367

41 368

369 ETHICS AND DISSEMINATION

370 Ethical Conduct of the Trial

371 The trial complies with all UK legislation and Warwick Clinical Trials Unit standard operating
372 procedures. Health Research Authority approval and NHS Trust R&D approval was obtained
373 before participants were enrolled in the trial. The trial's International Standard Randomised
374 Controlled Trial number is 18229892.

375

376 A key ethical challenge in this trial was to ensure that robust informed consent was obtained
377 from participants. The trial requires women to consent to being randomised to a specific
378 management pathway for the birth of their child rather than the standard clinical practice of
379 a shared decision-making process with their clinician. It was therefore an imperative to
380 provide the best possible information to women about the risks and benefits of all
381 management options so they could make an informed decision about trial participation in the
382 wider context of decision-making about their clinical care. In developing our information
383 materials and consent processes we were guided by the standard set by the Supreme Court
384 judgment in Montgomery(11). The key steps we took to develop the information and consent
385 processes were:

- 386 - A review of all relevant literature from the RCOG, National Institute for Health and
387 Care Excellence and other published works.
- 388 - Development of participants facing materials with the patient and public involvement
389 representatives.
- 390 - A thorough peer review of all participant facing materials by obstetricians.
- 391 - The inclusion of a cohort group to respect the woman's preferred choice.

392

393 Adverse Event Management

394 Adverse events are being collected from the time of randomisation until delivery. Serious
395 adverse events are being collected from the time of randomisation until 30 days after initial
396 discharge following delivery. Adverse events and serious adverse events are being identified
397 when collecting outcome data or when completing the two-month follow-up questionnaires.

398

399 For the trial only, adverse events affecting the woman or her baby which could be potentially
400 related to the pregnancy, delivery or care of the neonate are being collected. Adverse events

401 are being collected for all participants in the randomised controlled trial and participants in
402 the cohort study requesting an elective caesarean section.

403

404 Serious adverse events are only being collected for participants in the randomised controlled
405 trial and need to be reported to Warwick Clinical Trials Unit within 24 hours of the site being
406 made aware of the event. Certain events that would meet the definition of serious adverse
407 events are common in pregnancy and for this trial do not need to be reported as serious
408 adverse events. These events are being reported in the trial case report forms and
409 comparative rates will be monitored by the DMEC. Serious adverse events that require
410 immediate reporting for the woman and neonate are described in Box 4.

411

412 Box 4: Serious adverse events that require immediate reporting for the woman and neonate

Maternal Serious Adverse Events	Neonatal Serious Adverse Events
Maternal death	Stillbirth
Inpatient admission to intensive care and/or high dependency unit at any time during pregnancy/postnatal period	Infant death
Readmission to hospital within 30 days of initial postnatal discharge	Inpatient admission to the neonatal unit
Antenatal hospital admission not related to pregnancy	Inpatient readmission to hospital within 30 days of initial postnatal discharge*
Transfer out of the maternity unit for further inpatient care	
Inpatient admission to a mental health unit	
Symphysiotomy	

413 *Except for respiratory tract infection, jaundice, urinary tract infection, weight loss lasting
414 less than 5 days, reflux and constipation.

415

416 For all serious adverse events a clinical assessment of causality is being made by a medical
417 doctor as to whether the event is related to the booking of induction of labour. If the site or
418 sponsor determine that there is a possible, probable, or definite relationship to the
419 intervention then an assessment of expectedness is completed. Related and unexpected
420 serious adverse events are expedited to the Health Research Authority Research Ethics
421 Committee, the sponsor and the chairs of the Trial Steering Committee and DMEC.

422

423 **Monitoring**

1
2
3 424 All clinicians involved in obtaining consent are required to have completed GCP training. A
4
5 425 programme of training is being delivered to all staff participating in the trial at site level. Data
6
7 426 entered onto the trial database are being checked for accuracy and completeness by Warwick
8
9 427 Clinical Trials Unit in accordance with the trial data management plan. A risk assessment is
10
11 428 being undertaken and forms the basis of the trial monitoring plan. Following site initiation,
12
13 429 the trial team is in regular contact with sites.

14 430

16 431 **Patient and Public Involvement**

17
18 432 Karen Hillyer (Chair) and Jackie Dewdney (Board Member) of the Erb's Palsy group are actively
19
20 433 involved in the planning and development of this trial. The Erb's Palsy group is a UK-based
21
22 434 not for profit organisation which offers advice, support and information to families affected
23
24 435 by Erb's Palsy. Karen and Jackie led on the development of all patient-facing materials. As co-
25
26 436 applicants they are involved in all aspects of the trial and will help inform the interpretation
27
28 437 of the final results and dissemination of findings.

29 438

31 439 **Progress so far**

32
33 440 The trial started recruiting on 8th June 2018. As of 17th September 2021, there are 2261
34
35 441 randomised participants and 1566 cohort participants. Recruitment was paused on the 23rd
36
37 442 March 2020 because of the COVID-19 pandemic. This restarted on a site-by-site basis
38
39 443 depending on site capacity from 22nd May 2020.

40 444

42 445 **Dissemination**

43
44 446 The trial results will be reported in the NIHR journals library and published in an open access
45
46 447 peer reviewed journal. Findings will be made available on the University of Warwick and
47
48 448 Perinatal Institute websites. Abstracts will be submitted to major national and international
49
50 449 conferences. Three dissemination events will be held for key stakeholders at the end of the
51
52 450 trial. The trial will be reported in accordance with CONSORT guidelines. All publications will
53
54 451 be submitted to the NIHR-HTA Programme for approval prior to submission for publication.

453 **CHANGES MADE SINCE FUNDING AGREED**

454 Since submission of the detailed project description to the NIHR-HTA some changes have
455 been made to the protocol and agreed by the Trial Steering Committee, and DMEC. This
456 section details the changes made and reasons for these.

457

458 Initially we predicted we would need 60 sites to reach our recruitment target. Over the course
459 of the trial, it was evident this would need to be increased to 80 sites to enable us to improve
460 recruitment and reach our target of 4,000 women randomised in a timely manner. In the
461 application to the NIHR-HTA we wanted to collect outcomes on women in the cohort study
462 who had requested an elective caesarean section. It was decided by the Trial Management
463 Group and Trial Steering Committee that this should be extended to include outcomes on
464 women who decline randomisation but chose either to have an early induction of labour or
465 expectant management. The objective of this group was to provide comparative data on
466 those who choose the timing of the birth and to confirm generalisability of the baseline data
467 and primary outcome. Women with a current intrauterine fetal death were added to the
468 current exclusion criteria as it is inappropriate to randomise these women and different plans
469 would be made regarding their delivery. Prisoners were also added as a new exclusion
470 criterion as there is a different ethical framework for their participation in medical research.

471

472 In the initial application to the NIHR-HTA we suggested that SAEs will be reported for any
473 incidences of stillbirth, maternal death, serious intrapartum injury to the fetus or any other
474 event that could be classified with similar severity. Once the trial had started recruiting a
475 substantial number of SAEs were being reported that were classified as outcomes for the trial.
476 Therefore, more formal guidance was formulated to avoid repetition in the data collection
477 for events that did not meet the definition of SAE and to give clear instructions to the sites
478 about what needed to be reported.

479

480 As a consequence of ongoing COVID -19 risk we are implementing a new consent process to
481 allow for remote electronic consent rather than all consent being taken in person.

482 **Figure 1 – Trial flow diagram with expected numbers of participants.**

483

484

485

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3 559 **AUTHOR CONTRIBUTIONS**
4

5 560 All authors read and approved the manuscript. All authors have contributed to the study
6
7 561 design. SQ and JG are the Co-chief Investigators and oversee the running of the study. MU
8
9 562 input into all aspects of the study design and support in running the study. LE is a Clinical
10
11 563 Research Fellow and assisted with all aspects of the delivery of the interventions at site level.
12
13 564 SW, KH, RG and JB managed the trial and data management. DB, EB, KF, SD, AG provided the
14
15 565 clinician and midwifery input into the study. JF carried out the process evaluation. KB, RL and
16
17 566 SG were the statisticians for the study. JD, KH were the Patient and Public Involvement
18
19 567 representatives. SP and HM provided oversight of the health economic aspects of the study.
20
21 568 A-MS was the ethicist for the study. AW and MW over sited the programming and database
22
23 569 management and CJ was the sponsorship representative.
24

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3 571 **FUNDING STATEMENT**
4

5 572 This project is funded by the National Institute for Health Research, Health Technology
6
7 573 Assessment (NIHR HTA) project number 16/77/02. The views and opinions expressed therein
8
9 574 are those of the authors and do not necessarily reflect those of the HTA, NIHR, NHS or the
10
11 575 Department of Health.
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For peer review only

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3 576 **COMPETING INTERESTS STATEMENT**
4

5 577 JG is the director of the Perinatal Institute, a not for profit organisation, limited by guarantee,
6
7 578 and a qualified provider of maternity support services to the NHS. It derives its income from
8
9 579 some of its products and services, including the award-winning GAP program mentioned in
10
11 580 this protocol, through which they have been able to implement training, e-learning and
12
13 581 protocols in the majority of Trusts and Health Boards in the UK. GAP includes the
14
15 582 standardised, RCOG endorsed customised GROW charts which will be used to identify large-
16
17 583 for-gestational age as the entry point for this trial.
18

18 584

19
20 585 MU is chief investigator or co-investigator on multiple previous and current research grants
21
22 586 from the UK National Institute for Health Research, Arthritis Research UK and is a co-
23
24 587 investigator on grants funded by the Australian NHMRC. He is an NIHR Senior Investigator. He
25
26 588 has received travel expenses for speaking at conferences from the professional organisations
27
28 589 hosting the conferences. He is a director and shareholder of Clinvivo Ltd
29
30 590 <http://www.clinvivo.com> that provides electronic data collection for health services research.
31
32 591 He is part of an academic partnership with Serco Ltd, funded by the European Social Fund,
33
34 592 related to return to work initiatives. He is a co-investigator on two NIHR funded studies
35
36 593 receiving additional support from Stryker Ltd. He has accepted honoraria for
37
38 594 teaching/lecturing from consortium for advanced research training in Africa. He was until
39
40 595 March 2020 an editor of the NIHR journal series, and a member of the NIHR Journal Editors
41
42 596 Group, for which he received a fee.
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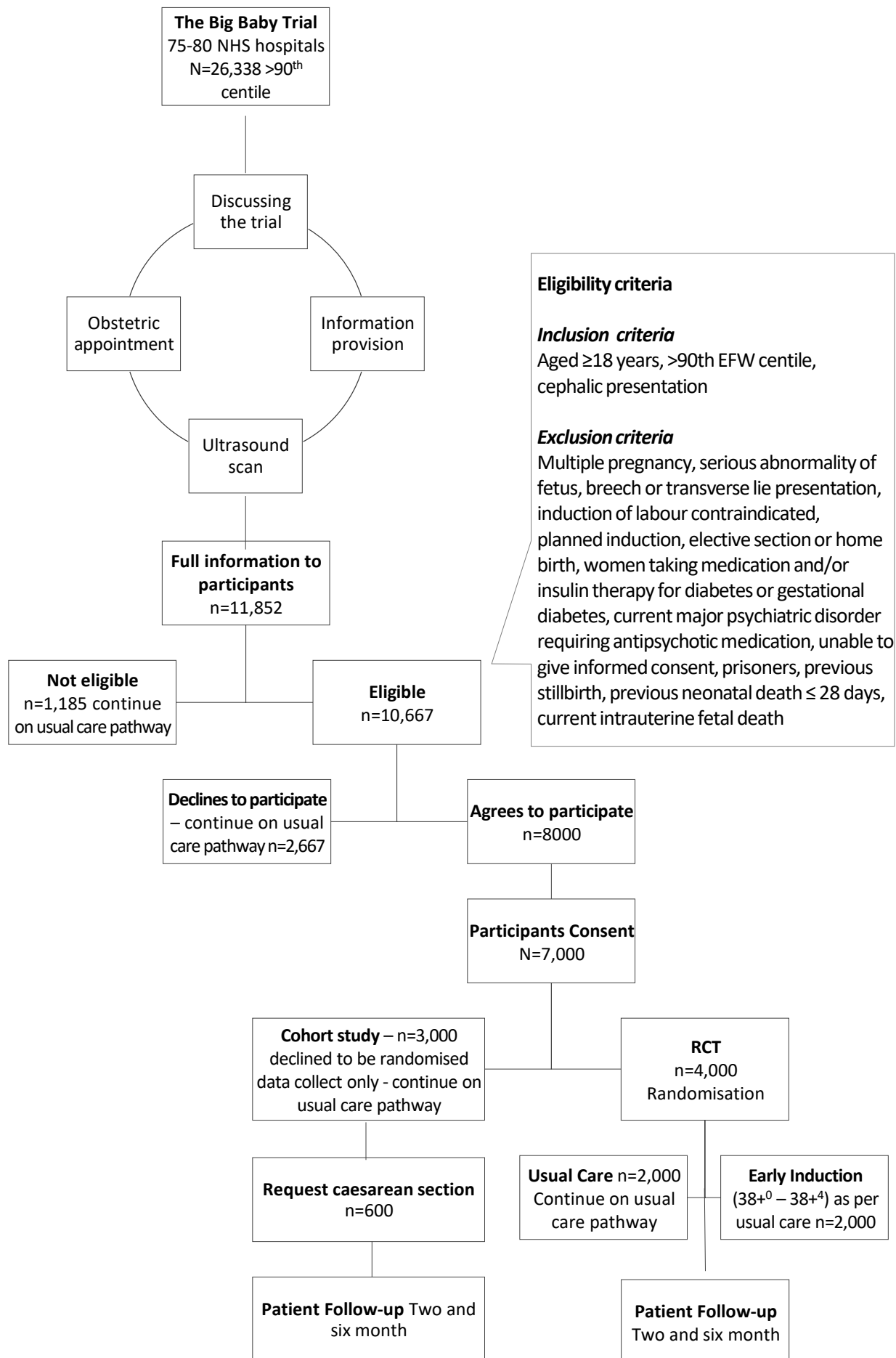
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597 **WORD COUNT**

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Participant Information Sheet for the Big Baby Trial

This information sheet is available in large print, audio and minority language translations. For copies, please email: BigBaby@warwick.ac.uk or download them from the website: <http://warwick.ac.uk/bigbaby>.

Trial title

Induction of labour for predicted macrosomia -The 'Big Baby Trial'.

'Macrosomia' refers to babies who appear to be bigger than expected.

Invitation and brief summary

Your recent ultrasound scan shows that your baby appears bigger than expected. We are inviting you to take part in a research trial to find out the best time to deliver bigger babies. We are aiming for 4000 women across the UK to take part in the research trial.

Before you decide if you want to take part in the trial, please read this information sheet carefully – it explains why the research is being done and what it means for you if you take part. One of our team will go through the information sheet with you and answer any questions you have. You can also discuss the research trial with the obstetrician or midwife looking after you.

What is the trial about?

The purpose of this trial is to find out if 'inducing' (starting) labour earlier than usual, at 38 weeks, makes it less likely that 'shoulder dystocia' will happen in women whose babies appear to be bigger than expected (over the 90th centile on the growth chart).

'Over the 90th centile' Your growth chart is created to estimate the ideal weight your baby should be for your size and ethnicity. One in 10 babies will be bigger than expected (referred to as 'over the 90th centile').

'Shoulder dystocia' is when the baby's head has been born but one of the shoulders becomes stuck behind the woman's pubic bone (one of the bones in the pelvis), delaying the birth of the baby's body.

Women who are told they may have a big baby following their antenatal ultrasound scan will not necessarily have a big baby by the time their baby is delivered.

In most cases, women with big babies have a normal labour and birth and there are no concerns. However, there is an increased chance that the birth may be more difficult, which could result in shoulder dystocia. Shoulder dystocia happens in one in 150 of all vaginal births. We know that shoulder dystocia happens more often in bigger babies, but we cannot be certain how often. We estimate that for big babies, shoulder dystocia could happen in up to one in 25 vaginal births. If shoulder dystocia happens, the midwives and doctors will use different ways to help to free the baby's shoulders, which usually allows the body to be born.



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4 Most babies who experience shoulder dystocia will be fine with no complications. But in
5 around one in 10 cases of shoulder dystocia, there is stretching of the nerves in the baby's
6 neck (brachial plexus injury). This can cause loss of movement in the baby's arm. In most
7 cases this loss of movement is temporary, but in one in 10 of those babies the loss of
8 movement can be permanent. In some cases, the baby may have a broken collarbone, but
9 this heals quickly and easily in babies.
10
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12 We currently do not know the best way to deliver bigger babies. It may be that starting
13 labour earlier, when babies are smaller, means that shoulder dystocia is less likely to
14 happen. This issue has been identified as an important unanswered question for NHS
15 maternity units. The results of this trial will help women, midwives and obstetricians decide
16 on the best way to deliver big babies.
17
18

19 **What will happen if I agree to take part?**

20 You will meet with a member of our research team at the maternity unit, either face-to-face
21 or by telephone or video consultation, who will explain the trial. You are welcome to involve
22 your partner, family member or friend in this discussion. We will ask you about your medical
23 history, any previous pregnancies, and your current pregnancy. We will also review your
24 ultrasound scan results. You can ask our team member any questions you may have. If you
25 are eligible and would like to take part, we will ask you to either sign a consent form or to
26 give your consent during a telephone or video call (we will then fill in the consent form for
27 you following your verbal consent and give you a copy of this).
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31 After you have agreed to take part in the trial and provided your consent, we will ask you to
32 fill in questionnaires about your health, well-being and quality of life. You will be randomly
33 selected to either have your labour induced at around 38 weeks (**the intervention group**) or
34 to continue as normal (**the standard care group**).
35
36

37 If you are randomly selected to have your labour induced, your midwife or obstetrician will
38 organise a time and date for your labour to be started (induction) and they will explain how
39 and when this will happen.
40

41 If you are in the standard care group, you will receive the usual standard care provided by
42 your hospital and will attend your usual antenatal appointments.
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45 If at any point your obstetrician or midwife feels that a different plan needs to be made for
46 your birth they will discuss this with you. If you no longer feel happy about the birth options
47 you have as part of the trial and would like to discuss other options, you can discuss these
48 with your obstetrician or midwife. If your birth is different to the one you were allocated,
49 for example you have a caesarean section, you can still continue to be part of the trial.
50

51 If you agree to take part, we will collect information from your hospital records about the
52 birth of your baby and about your and your baby's health during the time you are in
53 hospital. We will contact you two and six months after your baby is born and ask you to fill
54 in questionnaires about your and your baby's health and well-being. Please see page 6 of
55 this information sheet for more details about the information we will collect.
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What are the clinical alternatives?

All women in the trial will receive the same care that they would have received if the trial was not happening. Even if you do not want to join the full trial, you can still take part in the research. (This is known as the 'cohort study'.) If you agree to join the cohort study, we would like to collect information about your baby's birth to help doctors and midwives make decisions about the best way to deliver big babies in the future. If you are happy for us to collect information about your baby's birth, we will ask you to sign a consent form.

Some women with big babies may decide that they would like their labour to be induced or that they would like to wait for it to start naturally. If you are sure that you want your labour to be induced or that you would like to wait for it to start naturally, please discuss this with your midwife and obstetrician.

If you would like to have your baby by caesarean section, you will have an opportunity to discuss this with your midwife and obstetrician. If you have a caesarean section, we would like to find out information about your birth and also about you and your baby's health after the birth. If you are happy for us to collect this information, we will ask you to sign a consent form. We will also ask you to fill in questionnaires that will include questions about your and your baby's health, well-being and quality of life. We will ask you to fill in a questionnaire when you first agree to take part in the trial and again two and six months after your baby is born. If you tell us your baby has had important health problems over this time, we will collect information about these problems from their hospital and GP records. This will help us to better understand and compare the risks and benefits of a vaginal birth or caesarean section in women with bigger babies.

What are the possible benefits of taking part in the trial?

We do not know if taking part in the research trial would benefit you or your baby. The findings will help us to advise women in the future on the best way to deliver their babies to reduce possible problems during the birth, including the risk of shoulder dystocia.

What are the possible disadvantages and risks of taking part?

Giving birth in the UK is generally very safe, whichever type of birth you have. However, if your baby is big, there can be increased risks to both you and your baby. In this research we are trying to find out the best way to reduce these risks in women who have a normal (vaginal) birth. Sometimes, obstetricians recommend a caesarean section instead of a vaginal birth, and some women may choose to have a caesarean section.

If you have a caesarean section there are different risks to consider. We have summarised what we know about the risks of vaginal births, inducing labour and caesarean sections in the tables below, but at the moment we do not know which type of birth has the fewest risks for women with bigger babies. Your midwife or obstetrician will be able to discuss the risks with you in more detail.

Table 1 Risks of a vaginal birth with a big baby

Risks to the baby	Risks to the woman
We do not know for certain how many big babies will experience shoulder dystocia. We estimate that up to one in 25 big babies will experience shoulder dystocia and will need extra help to deliver their shoulders. Most babies who experience shoulder dystocia will have no long-term effects.	Sometimes the labour can be longer for bigger babies. In the UK, 15 in 100 women who are planning to have a vaginal birth will need to have an emergency caesarean section (please see table 3 below). Some women may need to have a forceps or ventouse (suction) delivery.
One in 10 babies who experience shoulder dystocia will have stretching of the nerves in the neck. This is called brachial plexus injury and can cause loss of movement in the baby's arm. The most common type of brachial plexus injury is Erb's palsy. For one in 10 babies with a brachial plexus injury, the loss of movement will be permanent.	Three in 100 women will have a tear to their vagina that extends into the back passage. This could affect their bowel control if the tear is not identified and repaired.
In babies who experience shoulder dystocia, one in 10 may have a fracture to their collarbone. Four in 100 babies who experience shoulder dystocia may have a fracture to their arm. These heal well.	Sometimes women with a big baby may experience heavier bleeding after the baby is born. In rare cases, some women may need a blood transfusion.
Very rarely, a baby may suffer brain damage if they did not get enough oxygen during the birth because of shoulder dystocia.	

Table 2 Risks of inducing labour with a big baby

Risks to the baby	Risks to the woman
Inducing labour at 38 weeks is safe for the baby. There is some evidence that inducing labour earlier can lead to jaundice in the baby. This usually has no long-term effects.	Often women who have labour induced will find their labour is longer and more painful than for women who go into labour naturally.
This trial aims to find out if inducing labour early, at 38 weeks, reduces the chance of shoulder dystocia. If the baby experiences shoulder dystocia, the possible complications are shown in table 1.	If you have a vaginal birth the risks are shown in table 1. Having labour induced can increase the risk of a tear to your vagina that extends into your back passage.
Babies who are born one or two weeks early are slightly more likely to need extra help at school, for example help with reading. This would affect less than 1% of babies born at 38 weeks compared with those born at 40 weeks.	Sometimes if you are being induced you may need an emergency caesarean section, and the risks of this are shown in table 3.

Table 3 Risks of caesarean section

Risks to the baby	Risks to the woman
One in 10 babies may experience breathing difficulties. Some of these babies will need to have treatment for this in the neonatal unit.	Nine in 100 women report persistent pain at the wound site and in their abdomen for a few months following a caesarean section.
One to two babies in 100 will have a cut to their skin.	Five in 100 women will need to be readmitted to hospital following a caesarean section. This might be because their wound isn't healing or because they have an infection.
Some women report that it takes longer to bond with their baby after a caesarean section.	Six in 100 women will have an infection after a caesarean section. The infection may involve the scar, their bladder or kidneys, or the lining of their womb.
	One in 1000 women may have an injury to their bladder or bowel during a caesarean section. This will need repairing.
	Five in 1000 women bleed heavily (haemorrhage) during a caesarean section. Some of these women will need to have a blood transfusion. In some cases, a woman may need to have a hysterectomy (where the womb is removed) to control the bleeding.
	Five in 1000 women may need to have further surgery after their caesarean section.
	Six in 10,000 women will have a blood clot in their leg or lung following a caesarean section.
	One in four women who have a caesarean section will need another caesarean section if they attempt a vaginal birth in their next pregnancy. If you have a caesarean section and decide to try a vaginal birth in your next pregnancy, you would need extra monitoring in labour as there is a risk (one in 200 women) that the scar in the uterus can open during labour.
	If you have a caesarean section in this pregnancy, in your next pregnancy there is an increased chance of a stillbirth. This is uncommon.
	If you have a caesarean section in this pregnancy and the placenta is low in your next pregnancy, there is an increased chance that the placenta will not come away easily after the baby has been born. This can cause serious bleeding and may mean you need to have a hysterectomy. This is uncommon, but the chance increases with each caesarean section.



What other information will you collect?

University Hospitals Coventry and Warwickshire (UHCW) are the sponsor for this trial. The trial will be managed by Warwick Clinical Trials Unit at the University of Warwick (UoW). UHCW and UoW will use information you provide and information from your hospital records and your GP records to carry out this trial. UHCW will act as the data controller for this trial, which means that they are responsible for looking after the information we collect about you and for making sure we are using it properly. UoW will act as a data processor and be under the instruction of UHCW. The trial sites are also data processors and will also be under the instruction of UHCW. You can find out more about how your information is used, how to exercise your rights relating to processing personal information, and the contact details of the data protection officer at <https://www.uhcw.nhs.uk/privacy/>.

We will collect information from your hospital records about the birth of your baby and about you and your baby's health during the time you are in hospital. This will include ethnic origin and health information, which is regarded as 'special category personal data'. To protect your rights, we will use the minimum amount of personally identifiable information possible. We will collect your name, date of birth, address, phone number and email address from your medical records, so we can contact you about the research and make sure that relevant information about the trial is recorded for your care, and to oversee the quality of the research. In order to do this, individuals from UHCW, UoW and regulatory authorities may review your medical notes and research records.

We will also use your information to contact you two and six months after your baby is born to ask you to fill in questionnaires about you and your baby's health and well-being, and about what, if any, healthcare services you and your baby have used. We may contact you by post, telephone, email or text message. If you tell us that your baby has had important health problems over this time, with your permission, we will collect information about these from your or your baby's hospital and GP records, or we may contact you to discuss these problems further. When we receive your questionnaire, we will review your information and if we find that any important details are missing we will contact you to collect this.

If you have given permission, we may also contact you and ask if you are willing to take part in a telephone or face-to-face interview with a member of our research team about your experiences of taking part in the Big Baby Trial. With your permission, we would also like to interview your partner or birth partner to understand what their experience of taking part in the Big Baby Trial was like.

In the UK, it is very rare that a woman dies during late pregnancy or during or after the birth (this risk is less than one in 10,000). It is also uncommon that a baby dies in the first 28 days after the birth (the risk is less than one in 400). If either of these things happens while you are taking part in this trial, it is important to us that we try to keep any distress to you and your family to a minimum. To help us achieve this, with your permission, we will check your baby's hospital records to check that your baby is alive before we invite you to fill in the two questionnaires (two and six months after the birth) or invite you to take part in an interview or further studies. When we check your baby's records, if we find that your baby has died



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we will not contact you. It is important for us to know if you or your baby has died and if so, what caused this. To give us access to this information, we would like your permission to look at linked information held by an organisation called NHS Digital, who look after healthcare information. We would only collect this information if you did not fill in your two and six month follow-up questionnaires. We will collect your and your baby's NHS numbers from your medical records to do this.

If you have not filled in the two month follow-up questionnaire by the time that we send out the six month questionnaire, we will invite you to fill in a combined two- and six- month follow-up questionnaire six months after the birth.

If you have given us permission, we may also contact you when your child reaches age 16 to ask for their permission to keep their contact details. We would like to keep their contact details in case we want to do more research in the future.

Do I have to take part?

It is entirely up to you whether or not you take part in the trial or any other part of the research. You do not have to take part and there will be no difference in any aspects of the care that you receive if you choose not to take part. If you want to take part, you will have an opportunity to discuss this sheet with us, and ask us any questions you may have. We will then ask you to sign a consent form to confirm you have agreed to take part. Even after agreeing to take part, if you change your mind you can withdraw from the trial at any time, without having to give a reason. This will not affect the care you receive.

What happens when the research trial stops?

At the end of the trial, which will take 60 months, we will analyse the information we have collected to decide if starting labour early is the best thing to do for women and their babies. In the future, these results will help women who are expecting big babies decide if they should be induced.

Whichever part of the trial you join, we would like to keep the information we hold on you and your baby after the end of the trial. This is so that we can contact you as your baby is growing up (or we can contact your child when he or she reaches age 16) to find out if anything related to the birth has affected their longer-term health. So that we can do this, we would like your permission to look at Hospital Episode Statistics for you or your child (or both). We will collect your and your baby's NHS number to do this.

Expenses and payments

We expect that research visits will be in-line with your routine clinic appointments, so you will not need to make any extra trips to hospital. There will be no payments or travel expenses for taking part in this research.

What if I have a concern?

If you have any concerns, please talk to a member of the research team (details below) or your obstetrician or midwife. They will provide you with all the information you ask for. If you are still not happy, you should contact the << insert as appropriate >>, who can offer



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4 confidential guidance on how to get independent advice. You will have the same legal rights
5 as any other person treated in the NHS. If you or your baby is harmed by negligence you
6 may have grounds for legal action, but you may have to pay any costs involved.
7

8 9 **What will happen if I don't want to carry on with the trial?**

10 Taking part in the research trial is entirely voluntary. If you do not want to continue in the
11 trial, you can withdraw at any time without giving a reason and without it affecting your
12 care in any way. If you decide to withdraw from the trial you can choose to have no further
13 contact from us. However, we will keep the information about you that we have already
14 collected if you do this. Your rights to access, change or move your information are limited
15 as we need to manage your information in specific ways in order for the research to be
16 reliable and accurate.
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19 20 **Will information about me and my baby be kept confidential?**

21 Yes. All information we collect about you and your baby is strictly confidential. Once you have
22 agreed to take part in the trial, we will store your contact details in a secure database which
23 the trial team can access. Research information we collect for the trial will refer to you by a
24 unique trial number, so the risk of you being identified is very low. We will hold contact details
25 and research information in separate parts of the database. In rare circumstances a senior
26 researcher from the trial team may need to pass on information we receive during the trial if
27 there is a concern about a significant risk of harm to you or your baby, or to other people.
28 They will only pass information to a person with authority to deal with such concerns and if
29 possible the researcher will explain to you what information they are passing on and why. All
30 information will be stored securely and held at the Perinatal Institute and the Warwick Clinical
31 Trials Unit, in line with all relevant UK laws, and only authorised staff will have access to it.
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36 When you agree to take part in a research trial, we may give information about your health
37 and care to researchers who are running other research studies in this organisation and in
38 other organisations. These other organisations may be universities, NHS organisations or
39 companies involved in health and care research in this country or abroad. Organisations and
40 researchers will only use your information to carry out research in line with the UK Policy
41 Framework for Health and Social Care Research. The information we share will not identify
42 you and will not be combined with other information in a way that could identify you. The
43 information will only be used for the purpose of health and care research. It will not affect
44 your care, and organisations and researchers cannot use it to contact you. Your information
45 will not be used to make decisions about future services that are available to you, such as
46 insurance.
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50 Your rights to see, change or move your information are limited, as your information is
51 managed in specific ways to make sure the research is reliable and accurate. If you
52 withdraw from the trial, we will keep any information we have already collected about you.
53 To protect your rights, we will collect as few details as possible that could identify you.
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57 To find out more about how your information is handled, you can visit the privacy notices of
58 the data controllers (those responsible for how and why your personal information is
59 collected, used and held).
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4 www.uhcw.nhs.uk/privacy/
5

6 www.warwick.ac.uk/services/idc/dataprotection/privacynotices/researchprivacynotice
7

8 **Who will be able to see my information?**

9 Occasionally we will access your or your baby's medical records to make sure the
10 information we have collected about you both is accurate. Only authorised staff will do this.
11 The people who analyse the information will not be able to identify you. We will ask for your
12 permission to tell your GP that you are taking part in the clinical trial. If you do not want us
13 to tell your GP, you will not be able to take part.
14

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16 Only authorised staff will have access to your personal details and be able to trace your
17 identity. At the end of the study, we will store the information we collect for the trial about
18 you and your baby for at least 25 years if you are in the randomised trial or at least 10 years
19 for the cohort study. This is in line with UK law.
20

21 **What will happen to the results of this trial?**

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23 Once the trial is complete, we will prepare and publish a report. The results will be available
24 to the hospitals that took part in the trial. We may share information relating to the trial in
25 scientific meetings and it may be published in scientific journals. You will not be identified in
26 any reports or publications and none of the information will be able to be traced to you
27 personally. The results of the trial will be published on the Big Baby website
28 <http://warwick.ac.uk/bigbaby> .
29
30

31 **Who is organising and funding this trial?**

32
33 The trial is funded by the National Institute for Health Research (NIHR), Health Technology
34 Assessment Programme. The Government set up the NIHR in 2006 to provide organised
35 funding for research within the NHS. University Hospital Coventry and Warwickshire NHS
36 Trust is sponsoring the trial. This covers the insurance and indemnity costs that apply to
37 research trials. Professor Siobhan Quenby (from University Hospital Coventry &
38 Warwickshire NHS Trust and The University of Warwick) and Professor Jason Gardosi (from
39 the Perinatal Institute) are the chief investigators and have overall responsibility for the
40 trial. The University of Warwick Clinical Trials Unit is organising the administration of the
41 trial. The University of Warwick Clinical Trials Unit is organising the administration of the
42 trial.
43
44

45 **Who has reviewed this trial?**

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47 The trial was reviewed and approved by South West - Exeter Research Ethics Committee
48 (REC) on 1st February 2018. The REC are an independent group of people who review all
49 research carried out in the NHS to protect your safety, rights, well-being and dignity.
50

51 **What if I want to complain?**

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53 If you want to make a complaint, please contact: Research and Development, 4th Floor
54 Rotunda, ADA40007, University Hospitals Coventry and Warwickshire NHS Trust, Clifford
55 Bridge Road, Coventry, CV2 2DX.
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How can I contact the hospital research team?

The hospital research team will be happy to answer any questions about the trial or your involvement in it, either now or in the future, please contact the hospital research team.

Email: <<Please insert>>

Phone: <<Please insert>>

Write to: <<Please insert>>

For more information about the 'Big Baby' trial and other useful information, please visit the Big Baby Project website: <http://warwick.ac.uk/bigbaby>.



Thank you for taking time to read this information sheet.

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STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	ItemNo	Description	Page found
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	2
	2b	All items from the World Health Organization Trial Registration Data Set	2
Protocol version	3	Date and version identifier	n/a
Funding	4	Sources and types of financial, material, and other support	7,23
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	1
	5b	Name and contact information for the trial sponsor	7,22
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	7, 22
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	throughout
Introduction			
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	4-5
	6b	Explanation for choice of comparators	10
Objectives	7	Specific objectives or hypotheses	6

1				
2	Trial	8	Description of trial design including type of trial (eg, parallel group, crossover,	7
3	design		factorial, single group), allocation ratio, and framework (eg, superiority,	
4			equivalence, noninferiority, exploratory)	
5				
6				
7				
8	Methods: Participants, interventions, and outcomes			
9				
10	Study	9	Description of study settings (eg, community clinic, academic hospital) and list	7
11	setting		of countries where data will be collected. Reference to where list of study sites	
12			can be obtained	
13				
14	Eligibility	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria	8
15	criteria		for study centres and individuals who will perform the interventions (eg,	
16			surgeons, psychotherapists)	
17				
18				
19	Interventio	11a	Interventions for each group with sufficient detail to allow replication, including	9
20	ns		how and when they will be administered	
21				
22		11b	Criteria for discontinuing or modifying allocated interventions for a given trial	9
23			participant (eg, drug dose change in response to harms, participant request, or	
24			improving/worsening disease)	
25				
26		11c	Strategies to improve adherence to intervention protocols, and any procedures	n/a
27			for monitoring adherence (eg, drug tablet return, laboratory tests)	
28				
29		11d	Relevant concomitant care and interventions that are permitted or prohibited	n/a
30			during the trial	
31				
32				
33	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement	10-12
34			variable (eg, systolic blood pressure), analysis metric (eg, change from	
35			baseline, final value, time to event), method of aggregation (eg, median,	
36			proportion), and time point for each outcome. Explanation of the clinical	
37			relevance of chosen efficacy and harm outcomes is strongly recommended	
38				
39				
40	Participant	13	Time schedule of enrolment, interventions (including any run-ins and	9, figure1
41	timeline		washouts), assessments, and visits for participants. A schematic diagram is	
42			highly recommended (see Figure)	
43				
44	Sample	14	Estimated number of participants needed to achieve study objectives and how	12
45	size		it was determined, including clinical and statistical assumptions supporting any	
46			sample size calculations	
47				
48				
49	Recruitme	15	Strategies for achieving adequate participant enrolment to reach target sample	9
50	nt		size	
51				

52 **Methods: Assignment of interventions (for controlled trials)**

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54 Allocation:

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2	Sequen	16a	Method of generating the allocation sequence (eg, computer-generated	14
3	ce		random numbers), and list of any factors for stratification. To reduce	
4	generat		predictability of a random sequence, details of any planned restriction (eg,	
5	ion		blocking) should be provided in a separate document that is unavailable to	
6			those who enrol participants or assign interventions	
7				
8				
9	Allocati	16b	Mechanism of implementing the allocation sequence (eg, central telephone;	14
10	on		sequentially numbered, opaque, sealed envelopes), describing any steps to	
11	conceal		conceal the sequence until interventions are assigned	
12	ment			
13	mecha			
14	nism			
15				
16				
17	Implem	16c	Who will generate the allocation sequence, who will enrol participants, and	14
18	entatio		who will assign participants to interventions	
19	n			
20				
21	Blinding	17a	Who will be blinded after assignment to interventions (eg, trial participants,	14
22	(masking)		care providers, outcome assessors, data analysts), and how	
23				
24		17b	If blinded, circumstances under which unblinding is permissible, and procedure	n/a
25			for revealing a participant's allocated intervention during the trial	
26				
27				
28	Methods: Data collection, management, and analysis			
29				
30	Data	18a	Plans for assessment and collection of outcome, baseline, and other trial data,	14
31	collection		including any related processes to promote data quality (eg, duplicate	
32	methods		measurements, training of assessors) and a description of study instruments	
33			(eg, questionnaires, laboratory tests) along with their reliability and validity, if	
34			known. Reference to where data collection forms can be found, if not in the	
35			protocol	
36				
37				
38		18b	Plans to promote participant retention and complete follow-up, including list of	14-15
39			any outcome data to be collected for participants who discontinue or deviate	
40			from intervention protocols	
41				
42	Data	19	Plans for data entry, coding, security, and storage, including any related	14
43	managem		processes to promote data quality (eg, double data entry; range checks for	
44	ent		data values). Reference to where details of data management procedures can	
45			be found, if not in the protocol	
46				
47				
48	Statistical	20a	Statistical methods for analysing primary and secondary outcomes. Reference	15
49	methods		to where other details of the statistical analysis plan can be found, if not in the	
50			protocol	
51				
52		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	15
53				
54		20c	Definition of analysis population relating to protocol non-adherence (eg, as	N/A
55			randomised analysis), and any statistical methods to handle missing data (eg,	
56			multiple imputation)	
57				
58				
59	Methods: Monitoring			
60				

1				
2	Data	21a	Composition of data monitoring committee (DMC); summary of its role and	17
3	monitoring		reporting structure; statement of whether it is independent from the sponsor	
4			and competing interests; and reference to where further details about its	
5			charter can be found, if not in the protocol. Alternatively, an explanation of why	
6			a DMC is not needed	
7				
8				
9		21b	Description of any interim analyses and stopping guidelines, including who will	18
10			have access to these interim results and make the final decision to terminate	
11			the trial	
12				
13	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and	16
14			spontaneously reported adverse events and other unintended effects of trial	
15			interventions or trial conduct	
16				
17	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the	17
18			process will be independent from investigators and the sponsor	
19				
20				
21	Ethics and dissemination			
22				
23	Research	24	Plans for seeking research ethics committee/institutional review board	16
24	ethics		(REC/IRB) approval	
25	approval			
26				
27	Protocol	25	Plans for communicating important protocol modifications (eg, changes to	8
28	amendme		eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators,	
29	nts		REC/IRBs, trial participants, trial registries, journals, regulators)	
30				
31				
32	Consent	26a	Who will obtain informed consent or assent from potential trial participants or	9
33	or assent		authorised surrogates, and how (see Item 32)	
34				
35		26b	Additional consent provisions for collection and use of participant data and	n/a
36			biological specimens in ancillary studies, if applicable	
37				
38	Confidenti	27	How personal information about potential and enrolled participants will be	14
39	ality		collected, shared, and maintained in order to protect confidentiality before,	
40			during, and after the trial	
41				
42				
43	Declaratio	28	Financial and other competing interests for principal investigators for the	24
44	n of		overall trial and each study site	
45	interests			
46				
47	Access to	29	Statement of who will have access to the final trial dataset, and disclosure of	in main
48	data		contractual agreements that limit such access for investigators	protocol
49				
50	Ancillary	30	Provisions, if any, for ancillary and post-trial care, and for compensation to	n/a
51	and post-		those who suffer harm from trial participation	
52	trial care			
53				
54				
55	Dissemina	31a	Plans for investigators and sponsor to communicate trial results to participants,	18
56	tion policy		healthcare professionals, the public, and other relevant groups (eg, via	
57			publication, reporting in results databases, or other data sharing	
58			arrangements), including any publication restrictions	
59				
60				

1			
2	31b	Authorship eligibility guidelines and any intended use of professional writers	22
3			
4	31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	18
5			
6			

Appendices

10	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	Attached
11				
12				
13				
14	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	n/a
15				
16				
17				
18				

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.