**Reporting Cultural Adaptation in Psychological Trials - The RECAPT criteria** Eva Heim, Ricarda Mewes, et al., 2021 Manuscript published in Clinical Psychology in Europe, <u>doi:https://doi.org/10.32872/cpe.6351</u>

### Template for documenting cultural adaptations of psychological interventions

Low quality of reporting: 4 or fewer Moderate quality of reporting: 5-8 [Minimum criteria] High quality of reporting: 9 or more [Most criteria]

### A) Set-up

Criterion 1: Definition of the target population (Text in italics highlights the sections completed by researchers and provides examples)								
Category	Description	Results						
Target group	Description of elements that are potentially relevant for cultural adaptation, e.g. language, religion, migration status, age	Adult Afghan and Syrian refugees in Germany; middle-age adults (25-65); Arabic speaking with limited German proficiency; resettlement in Germany between 1-5 years prior to the adaptation process						

Criterion 2: Team and roles This table presents relevant information related to the researchers' backgrounds. Columns can be re-named if necessary.									
<mark>(Text in italics highligh</mark>	its the section	ns completed by researc	hers and provides examp	nles)					
Team member	Gender	Disciplinary background	Level / experience /other relevant information	Cultural characteristics	Role				
Researcher 1	female	Medical anthropology	Post-doctoral researchers (4 years after PhD)	4 years of experience living in community comparable to target population	Responsible for cultural adaptatation, i.e., literature review, implementation of focus groups, documentation, monitoring				
Researcher 2	female	Clinical psychologist	Professor (12 years after PhD)		Supervisor, discussant				
Researcher 3	male	Clinical psychologist	Professor (20 years after PhD),	native-speaker	Expert, discussant				
Mental health advocate/ service user	female	Peer specialist provider	Bachelors degree	Resident of community comparable to target population	Expert, discussant				

## B) Formative research

#### **Criterion 5: Formative research methods**

(Text in italics highlights the sections completed by researchers and provides examples)

Category	Suggested sources (not exhaustive)	Suggested items to be reported	Results
Literature review	Desk review (Greene et al., 2017)	<ul> <li>Searched databases</li> <li>Search terms</li> <li>If applicable, inclusion / exclusion criteria</li> <li>Identified references</li> </ul>	
Qualitative methods	<ul> <li>For reporting qualitative methods: <ul> <li>Consolidated criteria for reporting qualitative research (COREQ, Tong et al., 2007)</li> </ul> </li> <li>For assessing cultural concepts of distress <ul> <li>Cultural Formulation Interview in DSM-5 (American Psychiatric Association, 2013),</li> <li>Short Explanatory Model Interview (SEMI, Lloyd et al., 1998),</li> <li>Barts Explanatory Model Inventory (BEMI, Rüdell et al., 2009)</li> <li>McGill Illness Narrative Interview (MINI, Groleau et al., 2006).</li> </ul> </li> <li>For data collection on intervention adaptation: <ul> <li>Cognitive interviewing (Willis, 2004)</li> <li>Cultural Relevance Questionnaire (CRQ, Salamanca-Sanabria et al., 2019)</li> </ul> </li> </ul>	<ul> <li>Participant selection (i.e., selection, method of approach, sample size, reasons for refusing);</li> <li>Setting for data collection (e.g., home, clinic);</li> <li>Method of data collection (i.e., interview guide, recording, duration)</li> <li>Analysis methods (i.e., how themes were derived from the data)</li> </ul>	We sought to provide insights into beliefs about causes of posttraumatic stress disorder (PTSD) held by Sub- Saharan African asylum seekers living in Germany. To this aim, we used a quantitative and qualitative methodological triangulation strategy based on a vignette describing symptoms of PTSD. In the qualitative part of the study, asylum seekers reviewed the results of the quantitative study part within eight focus group discussions (n = 26), sampled from groups of the three main countries of origin. Focus group discussions were moderated using key questions from the SEMI. The discussions took part in prepared interview rooms throughout different cities in Germany. The average duration of the focus group discussions was 1 h 30min. Focus group discussions were recorded, transcribed, and analyzed using Interpretative Phenomenological Analysis (IPA). The software MAXQDA© version 12 was used to organize and manage data analysis. Within the focus group discussions, six attribution categories of participants' causal beliefs were identified: (a) traumatic life experiences, (b) psychological causes, (c) social causes, (d) post-migration stressors, (e) religious causes, and (f) supernatural causes (Grupp et al., 2018).
Quantitative methods	For reporting quantitative methods: STROBE Statement ( <u>https://www.strobe-</u> <u>statement.org/index.php?id=strobe-home</u> )	<ul> <li>Study aim and hypotheses</li> <li>Participants (i.e., selection, method of approach, sample size, reasons for refusing);</li> <li>Study design (e.g., experimental, cross-secional, longitudinal);</li> <li>Method of data collection (i.e., interviews, questionnaires)</li> <li>Analysis methods</li> </ul>	Objective: We aimed to provide insights into help- seeking intentions and lay beliefs about cures for PTSD held by asylum seekers from Sub-Saharan Africa living in Germany. We hypothesized that Sub-Saharan African asylum seekers would express a higher intention to seek religious and medical treatment and a lower intention to seek psychological treatment than German participants without a migration background. Methods: To address this objective, we used a quantitative and qualitative methodological triangulation strategy based on a vignette describing symptoms of PTSD. In the quantitative part of the study, asylum seekers (n=119), predominantly from Eritrea (n=41), Somalia (n= 36), and Cameroon (n = 25), and a German comparison sample without a migration background (n=120) completed the General Help-Seeking Questionnaire (GHSQ).

	Criterion 5: Formative research methods (Text in italics highlights the sections completed by researchers and provides examples)							
Category	Suggested sources (not exhaustive)	Suggested items to be reported	Results					
			Results: Asylum seekers showed a high intention to seek religious, medical, and psychological treatment for symptoms of PTSD. However, asylum seekers indicated a higher preference to seek help from religious authorities and general practitioners, as well as a lower preference to enlist psychological and traditional help sources than Germans without a migration background (Grupp et al., 2019).					

(Text in italics highlights the sections completed by researchers and provides examples)								
Category	Description	Results	Source					
Idioms of distress, specific target symptoms	Socially acceptable terms for expressing distress, culturally salient symptoms	<ul> <li>Thinking too much</li> <li>Point in the heart</li> <li>Anger / aggression</li> <li>Withdrawal</li> <li>Pain</li> </ul>	<ul> <li>Literature review (cite references)</li> <li>Focus groups</li> <li>Key informant interviews</li> </ul>					
Explanatory and ethnopsychological models	General assumptions about human suffering, disorder-specific assumptions / beliefs; models relating suffering to concepts of the self, body, emotions, etc.	• Human suffering is caused by god or fate	<ul> <li>Literature review (cite references)</li> <li>Focus groups</li> <li>Key informant interviews</li> </ul>					
Cultural concepts of distress	Syndromes described for a particular target group	• khyâl attacks	<ul> <li>Literature review (cite references)</li> <li>Focus groups</li> <li>Key informant interviews</li> </ul>					
Beliefs about the course of the disorder and help-seeking behaviour	General assumptions about and healing, coping, and help-seeking, specific strategies applied before	• Fatalism: Suffering is part of human life and has to be endured with patience	<ul> <li>Literature review (cite references)</li> <li>Focus groups</li> <li>Key informant interviews</li> </ul>					
Mental health related stigma	<ul> <li>In community samples: Knowledge, attitude and behaviours related to people with mental disorders</li> <li>In clinical samples: Experienced and anticipated negative attitudes and behaviours in the family and community</li> </ul>	• People with substance abuse disorders are to be blamed	Literature review     (cite references)					

Criterion 6: Target symptoms, syndromes, needs, and context (Text in italics highlights the sections completed by researchers and provides examples)						
Category	Description	Results	Source			
Specific needs and other relevant contextual information	Differential exposure to social determinants of mental health, other contextual information, access to health systems, and mental health resources	Ongoing armed conflict	• Key informant interviews			

# C) Intervention adaptation

Criterion 7: S	pecific treatment elemer	nts										
Criterion 8: U	Inspecific elements and t	herapeutic techniques										
(Text in italics	s highlights the sections c	ompleted by researchers	and provides examples)									
Decision-Nr.	Mechanisms of action including treatment elements,	Original intervention	Cultural Processes related to mechanism of action	Cultural / contextual adaptation	Evidence base	Quality of evidence Strong		Suggestions fro	m research team		State o	f decision
	techniques, delivery, surface				review, focus groups, qualitative interview	Moderate Weak	Researcher 1	Researcher 2	Researcher 3	Researcher 4	pending	made
1	Specific factor	-	Cultural model of tension generated by inability to manage problems	Problem management added to address post- migration stressors							x	
2	Specific factor	Emotion regulation	Cultural model of generating calmness in the heart-mind to respond to strong emotions	Use of religious rituals as a strategy to regulate strong emotions							х	
3	Unspecific factor	Psychoeducation	Cultural model of strong emotions manifested as somatic complaints (e.g., headaches, heaviness in the body, numbness or tingling)	Information on somatic symtpoms included								x

Criterion 9:	Criterion 9: Surface adaptations										
<mark>(Text in italia</mark>	s highlights the sections comple	ted by researchers and provides	examples)								
Decision-Nr.	ecision-Nr. Treatment elements, Original intervention Cu techniques, delivery, surface			Evidence base e.g., literature	Quality of evidence     Suggestions from       Strong			n research team		State of decision	
				review, focus groups, qualitative interview	Moderate Weak	Researcher 1	Researcher 2	Researcher 3	Researcher 4	pending	made
4	Treatment delivery	Twelve sessions	Five sessions							x	
5	Surface	Written text on relaxation	Illustrations instead of written text								х

## D) Measuring outcomes

Criterion 10: Questionnaires and clinical interviews

Criterion 11: Implementation measures

(Text in italics highlights the sections completed by researchers and provides examples)

Category	Instruments used for outcome assessments	Translation / validation / adaptation	Sources
Clinical Interviews	Cultural Formulation     Interview in DSM-5	Translated and back- translated by study team	American Psychiatric Association (2013)
Questionnaires	<ul> <li>Adaptation guidelines:</li> <li>Transcultural Translation and Adaptation Monitoring Form (van Ommeren et al., 1999)</li> </ul>	• Validated for study population	van Ommeren et al. (1999)
	<ul> <li>Examples of instruments</li> <li>Generalized Anxiety Disorder <ul> <li>7 Questionnaire (GAD-7)</li> </ul> </li> <li>Patient Health Questionnaire <ul> <li>9 (PHQ-9)</li> </ul> </li> </ul>		Kroenke and Spitzer (2002) Spitzer et al. (2006) Sawaya et al. (2016)
Implementation Measures	<ul> <li>Fidelity to intervention         <ul> <li>Problem Management Plus (PM+) treatment fidelity measure</li> </ul> </li> <li>Competency of persons delivering intervention (nonspecific factors)         <ul> <li>ENhancing Assessment of Common Therapeutic Factors (ENACT)</li> </ul> </li> <li>Competency of persons delivering intervention (treatment specific factors)         <ul> <li>EQUIP Problem Management Plus (PM+) Competency scale</li> </ul> </li> </ul>	<ul> <li>PM+ fidelity scale modified to include cultural adaptations for Nepal</li> <li>ENACT competency rating scale developed in Nepal and culturally modified for use in other low- and middle-income country settings</li> <li>PM+ competency scale adapted into Tigrinya and for use in refugee camps in Ethiopia</li> </ul>	Sangraula et al. (2020) Kohrt et al. (2015) Pedersen et al. (in press)

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