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# Heat Stress Illness Outcomes and Annual Indices of Outdoor Heat at U.S. Army Installations --Manuscript Draft--

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Abstract:	This study characterized associations between annually scaled thermal indices and annual heat stress illness (HSI) morbidity outcomes, including heat stroke and heat exhaustion, among active-duty soldiers at ten Continental U.S. (CONUS) Army installations from 1991 to 2018. We fit negative binomial models for 3 types of HSI morbidity outcomes and 104 annual indices, adjusting for installation-level effects and long-term trends with a block bootstrap approach. Ambulatory (out-patient) and reportable event HSI outcomes displayed positive association patterns with the assessed annual indices of heat in our models. For example, a one-degree Fahrenheit (°F) increase in mean temperature between May and September was associated with a 1.05 (95% confidence interval [CI]: 1.00, 1.11) times greater rate of ambulatory encounters. The annual-scaled rate ratios and their uncertainties may be applied to climate projections for a wide range of thermal indices to estimate future HSI burden and impacts to medical readiness.
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## Heat at U.S. Army Installations 2 3 Stephen A. Lewandowski<sup>1,2, #a\*</sup>, Marianthi-Anna Kioumourtzoglou<sup>1</sup>, Jeffrey L. Shaman<sup>1</sup> 4 5 <sup>1</sup> Department of Environmental Health Sciences, Columbia University Mailman School of Public 6 Health, New York, New York, United States of America <sup>2</sup> Department of Preventive Medicine and Biostatistics, Uniformed Services University of the 7 8 Health Sciences, Bethesda, Maryland, United States of America 9 <sup>#a</sup> Current Address: Department of Preventive Medicine and Biostatistics, Uniformed Services 10 University of the Health Sciences, Bethesda, Maryland, United States of America \* Corresponding author 11 12 E-mail: stephen.lewandowski@usuhs.edu (SL) 13 14 Acknowledgements / Disclosures. The opinions and assertions expressed herein are those of the authors and do not necessarily 15 reflect the official policy or position of the Uniformed Services University or the Department of 16 Defense. S.L was supported by the U.S. Army Long Term Health Education and Training 17 18 (LTHET) program. J.S. and Columbia University disclose partial ownership of SK Analytics. 19 J.S. also reports receiving consulting fees from Merck and BNI. 20 21

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## 22 Abstract

23 This study characterized associations between annually scaled thermal indices and annual 24 heat stress illness (HSI) morbidity outcomes, including heat stroke and heat exhaustion, among 25 active-duty soldiers at ten Continental U.S. (CONUS) Army installations from 1991 to 2018. We 26 fit negative binomial models for 3 types of HSI morbidity outcomes and 104 annual indices, 27 adjusting for installation-level effects and long-term trends with a block bootstrap approach. 28 Ambulatory (out-patient) and reportable event HSI outcomes displayed positive association 29 patterns with the assessed annual indices of heat in our models. For example, a one-degree 30 Fahrenheit (°F) increase in mean temperature between May and September was associated with a 31 1.05 (95% confidence interval [CI]: 1.00, 1.11) times greater rate of ambulatory encounters. The 32 annual-scaled rate ratios and their uncertainties may be applied to climate projections for a wide 33 range of thermal indices to estimate future HSI burden and impacts to medical readiness.

## 34 Introduction

35 Heat stress illnesses (HSIs) pose a preventable, potentially fatal, health threat with serious 36 impacts to military training and readiness [1,2]. HSIs occur when the effects of environmental 37 heat stress, combined with metabolic heat generated from physical activity, exceed 38 thermoregulatory and heat exchange capacities, resulting in elevated core temperature [3]. This 39 heat strain manifests as a continuum of outcomes, including heat stroke, heat exhaustion, edema, cramps, and fainting. In the U.S. Arm ziagnosed cases of heat stroke and heat exhaustion have 40 41 increased in recent years as average annual temperatures and high temperature records continue 42 to rise [4,5]. Military service members who train in the Continental U.S. (CONUS) experience

elevated risks from heat exposure compared to similar age groups in the general population dueto increased time outdoors, high physical exertion levels, clothing burden, and equipment loads.

45 The environmental properties affecting heat exchange include air temperature, air 46 humidity, wind speed, and solar, sky, and ground radiation [3]. A wide range of methods and 47 indices exist to classify the thermal environment as it relates to thermal stress and physiological 48 effects [6]. The primary index used by the U.S. Army is the wet bulb globe temperature 49 (WBGT). The WBGT is a weighted average of natural wet-bulb temperature (weight, w=70%), 50 globe thermometer temperature (w=20%), and dry-bulb temperature (w=10%) in outdoor, non-51 shaded conditions [7]. Another commonly reported metric is the U.S. National Weather 52 Service's (NWS) heat index. The NWS heat index (HI) represents an apparent temperature 53 measure of thermal comfort based on air temperature and relative humidity and serves as a basis 54 for excessive heat warnings [8]. The U.S. Army Public Health Center also applies the NWS HI 55 as an indicator for heat risk days, defined as days with an HI greater than 90 degrees Fahrenheit 56 (°F) for more than one hour [4]. Although the WBGT and HI are most often applied to short-57 term (hourly, daily, heat wave event) exposures, averages or aggregates from these instruments 58 can also assist with characterization of long-term (seasonal, annual) heat and humidity risks.

The objective of this study was to characterize the association between indices of heat and annual HSI morbidity outcomes among active-duty soldiers at ten CONUS Army installations in the context of rising temperature and humidity conditions. Resulting estimates for the sensitivity of health outcomes to changing environmental conditions can inform long-term planning assumptions and provide a basis for evaluating the effectiveness of prevention measures.

## 65 Materials and Methods

## 66 Health Outcome Data

We obtained HSI outcome counts and rates of hospitalization (in-patient), ambulatory 67 68 visits (out-patient), and reportable medical events from the Defense Medical Epidemiology 69 Database (DMED), which contains summarized, non-Privacy Act data from the Defense Medical Surveillance System (DMSS) [9]. We queried primary diagnosis renational Classification of 70 71 Diseases (ICD) codes for active-duty U.S. Army servicemembers. For ICD-9, used through 72 2015, we applied 992-series codes, categorized as "effects of heat and light" [10]. We used ICD-73 10 series T67 codes for 2016 – 2018 data [11]. The counts and rates in this study aggregate all 74 conditions within these code groups, with heat stroke and heat exhaustion representing the 75 majority of cases across each of the three outcome types. Hospitalization data were available 76 from 1990 - 2018, ambulatory from 1997 - 2018, and reportable events from 1995 - 2018. We 77 excluded the initial years for hospitalizations and ambulatory encounters (1990 and 1997, 78 respectively) from analyses due to signs of incomplete reporting. Additionally, we queried 79 injuries and illnesses of all types to consider potential long-term trends due to changes in 80 reporting systems or access-to-care and to assess the relative burden of disease due to HSIs. We 81 selected ten U.S. Army CONUS installations based on previously reported *Medical Surveillance* Monthly Report (MSMR) HSI rates and exploratory DMED findings [1]. The ten included 82 83 locations account for over 78% of all CONUS active-duty Army HSI cases for the examined 84 years. Fort Irwin, CA, the next highest location, reported less than half the HSI cases as the tenth ranked site, Fort Bliss, TX, and features rotational training cycles at its National Training Center
that challenge exposure assumptions.

## 87 Meteorology Data

88 Meteorological estimates from the North American Land Data Assimilation System 2 89 (NLDAS-2) forcing dataset served as the primary source of weather and atmospheric data[12]. 90 NLDAS is a National Aeronautics and Space Administration (NASA) / National Oceanic and Atmospheric Administration (NOAA)-led multi-institution project that constructs gridded 91 92 surface meteorological datasets through the assimilation and merging of fields derived from 93 gauge-based and remotely-sensed observations and re-analyses, with validation from groundbased observations [12]. NLDAS-2 data are available on a 1/8<sup>th</sup>-degree spatial scale at hourly 94 95 frequencies from 1979 to present. We selected NLDAS grid cells containing the centroid of each 96 installation based on shapefiles from the Department of Defense (DoD) Military Installations, 97 Ranges, and Training Areas (MIRTA) Dataset [13].

98 NLDAS fields include air temperature at 2 meters above the surface, specific humidity at 2 meters above the surface, surface pressure, wind speed, and bias-corrected surface downward 99 100 shortwave radiation. We calculated relative humidity from specific humidity, temperature, and 101 atmospheric pressure; heat index (HI) from temperature and relative humidity based on a US 102 National Weather Service algorithm [8]; and outdoor WBGT from air temperature, relative 103 humidity, solar irradiance, barometric pressure, and wind speed using the method of Liljegren et 104 al., based on principles of heat and mass transfer [14,15]. We conducted sensitivity analyses 105 comparing NLDAS centroid estimates with eleven years of hourly data produced by the 14<sup>th</sup> Weather Squadron from nearest weather station d observed consistency in line with the 106 107 distance to the station.

We compiled 104 annual indices of hea  $\overline{\mathcal{P}}$  rough multiple aggregations of hourly 108 109 temperature, HI, and WBGT estimates in absolute and relative terms, averaged either over the 110 entire calendar year or the heat season, defined as 01 May through 30 September. We included 111 the former full-year average, as a prior evaluation assessed that approximately 17% of all HSI 112 cases occurred during non-summer months, variable by location [16]. Absolute measures 113 included annual mean temperatures and counts of heat risk days or hours above specified 114 thresholds based on heat category cut-offs for HI and WBGT. Mean values were calculated over 115 24-hour periods to capture minimum temperatures, which can impact recovery from heat 116 exposure. We calculated relative measures with reference to 1990 to 2019 climatologies for each 117 day of the year and each location. These relative indices included annual mean daily anomalies, annual maximum daily anomalies, counts of days above daily 85<sup>th</sup>, 90<sup>th</sup>, and 95<sup>th</sup> 118 119 percentiles (mean and maximum values), and counts of days one or two standard deviations 120 above daily temperature climate norms for mean and maximum values.

121

### 122 Statistical Analyses

123To evaluate time trends in our exposure metrics, we fit linear models regressing each124index of heat on time for each installation. We evaluated outcome measures in a similar manner,125with simple linear regressions for rates of each outcome type over time, by installation and with126combined rates ( $\frac{sum of counts}{sum of population}$ ) for all ten installations.

We applied negative binomial regression to model the over-dispersed count outcomes for hospitalizations, ambulatory encounters, and reportable events [17]. The index of heat served as the exposure of interest, in units of °F, number of days, or number of hours. We set indicator installations and set the active-duty Army population of each installation for each year as an offset. Our resulting regression formula for the log of the rate predicted by the exposure and installation indicator variables is:  $\log\left(\frac{outcome \ count_l}{population_l}\right) = \widehat{\beta_0} + \widehat{\beta_1} index \ value +$ 

variables for each installation to account for potentially confounding factors varying across

130

 $\sum_{i=2}^{10} \widehat{\beta}_i I$  (*installation*<sub>i</sub> = j), with Fort Bliss, TX set as the reference installation. We 134 135 accounted for confounding by year, which is associated with long-term trends in both the 136 exposure and outcome, by applying a block bootstrap approach that shuffles replicated selections 137 of the data to reduce effects of serial correlation [18]. The time variable includes elements which 138 we are limited in our ability to decompose, such as changes in access to care, admission protocols, coding practices, and reporting systems in addition to soldier demographics, fitness 139 140 levels, and training intensities. We hypothesize that if we were to only include year as a term in a 141 standard model without a blocked bootstrap approach, the trend would capture a portion of the 142 outcome variability associated with the changes in heat we are investigating and bias estimates 143 towards the null, while failure to adjust for trends through time in any manner would bias results 144 away from the null.

145 To construct block bootstraps, we randomly selected two-year intervals with replacement 146 and assembled these intervals into a new series with the approximate length of the base time 147 series. We conducted 10,000 replications of this process on select indices for each model 148 (represented in Fig 2), calculated beta coefficients for each iteration, and constructed 149 nonparametric basic (empirical) bootstrap confidence intervals [19,20]. For the remainder of 150 indices, we conducted 2,000 bootstrap replications. We assessed sensitivity by comparing non-151 bootstrap models (with and without a year term), original single observation bootstraps, and 152 three-year block interval bootstraps. In the two-year block models, we also examined biascorrected and accelerated (BCa) bootstrap intervals, which incorporate parameters for the
proportion of bootstrap estimates less than the observed statistic and for the skewness of the
bootstrap distribution [21]. We conducted all statistical and spatial analyses using R Statistical
Software (version 3.6.1) [22]. The R code is available at https://github.com/sal2222/annual\_hsi.

## 157 **Results**

158 We found that CONUS active-duty Army HSI ambulatory and reportable event rates 159 increased over the study period. Assessing outcome patterns for all types of injuries and 160 illnesses, we observed that ambulatory rates sharply increased over time and hospitalization rates 161 generally declined from 1991 to 1997 and then steadied. Reportable event rates displayed 162 random variability but were the most stable outcome measure over time. The mean counts and 163 rates for each installation are listed in Table 1, along with mean burden, representing the percent 164 of all encounters or events attributed to HSIs. Fourteen installation-outcome type pairs exhibited 165 a positive, linear trend for annual rate at  $\alpha = 0.05$  over the included years and two had a negative 166 trend. Fig 1 displays the positive trends of the combined HSI rates from the ten installations over 167 time (p < 0.001 for ambulatory and reportable event regression slopes, p = 0.12 for 168 hospitalizations).

	Ambulatory (1998-2018)			Hospitalization (1991-2018)			Reportable Events (1995- 2018)		
Installation	Mean	Mean	Mean	Mean	Mean	Mean	Mean	Mean	Mean
	Count	Rate	Burden	Count	Rate	Burden	Count	Rate	Burden
	(SD)	(SD)	% (SD)	(SD)	(SD)	% (SD)	(SD)	(SD)	% (SD)
Fort Bliss, TX	28.33 (16 .6)	1.57 (0. 58) <sup>a</sup>	0.01 (0.00)	1.75 (1. 88)	0.11 (0 .12) <sup>b</sup>	0.10 (0.09)	3.50 (3. 88)	0.22 (0 .23)	0.63 (0.68)
Fort Benning,	535.48 (2	26.51 (	0.15	38.00 (2	1.93 (0	2.52	67.38 (5	3.42 (2	18.69
GA	90.58)	15.00) <sup>a</sup>	(0.05)	0.14)	.96) <sup>a</sup>	(1.52)	4.45)	.84) <sup>a</sup>	(11.43)
Fort Bragg,	702.52 (2	15.51 (	0.13	31.00 (1	0.72 (0	1.04	140.83 (	3.21 (1	11.57
NC	71.78)	5.28) <sup>a</sup>	(0.05)	3.01)	.31)	(0.53)	60.03)	.41)	(5.51)

169	Table 1. Heat stress illness outcomes (all HSI types).
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Fort Campbell,	191.76 (1	6.81 (4.	0.05	10.00 (5	0.38 (0	0.54	45.08 (3	1.59 (1	6.77
KY	12.63)	08) <sup>a</sup>	(0.02)	.48)	.19)	(0.31)	7.7)	.35) <sup>a</sup>	(6.04)
Fort Hood, TX	110.81 (3	2.68 (1.	0.02	7.71 (4.	0.19 (0	0.24	27.46 (2	0.64 (0	1.33
	6.94)	03) <sup>a</sup>	(0.01)	09)	.11) <sup>a</sup>	(0.15)	5.12)	.56)	(0.90)
Fort Jackson,	265.29 (2	27.84 (	0.13	3.25 (2.	0.34 (0	0.38	52.92 (8	5.63 (9	13.22
SC	02.53)	22.31) <sup>a</sup>	(0.09)	88)	.32)	(0.38)	3.18)	.09) <sup>a</sup>	(17.25)
Fort Leonard	59.86 (51	6.24 (5.	0.03	3.21 (2.	0.36 (0	0.39	7.00 (5.	0.76 (0	3.70
Wood, MO	.3)	50)	(0.02)	56)	.29)	(0.38)	79)	.65)	(4.12)
Fort Polk, LA	74.67 (49	9.21 (6.	0.06	4.64 (3.	0.53 (0	0.72	22.00 (2	2.77 (3	8.35
	.06)	24) <sup>a</sup>	(0.03)	01)	.38)	(0.63)	3.8)	.09) <sup>a</sup>	(7.98)
Fort Riley, KS	42.67 (27	2.89 (1.	0.02	1.71 (1.	0.12 (0	0.17	8.96 (5.	0.67 (0	2.60
	.28)	54)	(0.01)	65)	.11) <sup>a</sup>	(0.16)	74)	.42)	(1.94)
Fort Stewart,	69.57 (40	4.22 (2.	0.03	7.86 (15	0.58 (1	0.57	18.71 (1	1.14 (1	2.88
GA	.98)	44) <sup>a</sup>	(0.01)	.67)	.38) <sup>b</sup>	(0.93)	7.68)	.08)	(2.12)

170 Rates are per 1,000 persons per year. Burden is calculated as the percentage of HSI encounters

<sup>a</sup> Positive linear regression slope for HSI rate over year at  $\alpha = 0.05$ .

<sup>b</sup> Negative linear regression slope for HSI rate over year at  $\alpha = 0.05$ .

#### 174 Fig 1. Combined HSI outcome rates for ten CONUS Army installations. The line represents

a linear model and the shaded area models 95% confidence levels. Note that the scales vary by

176 outcome category by orders of magnitude.

177	We also detected	positive long terr	n (decadal) trends	among many indices of heat,

178 compiled over the entire calendar year or restricted to heat season months, across a majority of

179 major CONUS Army installations. Table 2 displays summary statistics for a focused selection of

180 indices and highlights indices with significant positive linear time trends at  $\alpha = 0.05$ . Among 104

181 reviewed indices, representing 1,040 index-installation pairs, 599 pairs had significant positive

- 182 slopes between 1991 2018 (57.6%). Note that three of the indices (30 pairs) reflect daily
- 183 standard deviations and, thus, reflect temperature variability rather than mean temperature. Four
- 184 index-installation pairs displayed negative slopes: minimum daily indices over the full year at
- 185 Fort Riley, KS (temperature, WBGT, HI) and Fort Stewart, GA (WBGT).

<sup>171</sup> compared to the total of all documented injuries and illnesses.

		Full Year		Heat Season (May - September)			
Installation	Temperature (°F) Mean (SD)	Heat Index (°F) Mean (SD)	WBGT (°F) Mean (SD)	Temperature (°F) Mean (SD)	Heat Index (°F) Mean (SD)	WBGT (°F) Mean (SD)	
Fort Bliss, TX	49.97 (1.26) <sup>a</sup>	49.59 (1.29) a	48.49 (1.16) a	66.14 (1.33) <sup>a</sup>	66.29 (1.52) a	64.63 (1.27) a	
Fort Benning, GA	66.30 (1.08) <sup>a</sup>	67.02 (1.18) a	63.43 (1.00) a	79.64 (1.39) <sup>a</sup>	82.53 (1.69) a	76.15 (0.95) a	
Fort Bragg, NC	59.02 (1.31) <sup>a</sup>	59.36 (1.39) a	56.89 (1.13)	74.82 (1.60) <sup>a</sup>	76.84 (1.94) a	72.35 (1.26)	
Fort Campbell, KY	62.98 (0.91) <sup>a</sup>	60.75 (0.87) a	54.31 (0.80)	75.67 (1.16)	73.98 (1.02)	64.92 (0.74)	
Fort Hood, TX	64.92 (1.21) <sup>a</sup>	65.42 (1.26) a	62.21 (1.02) a	78.89 (1.54) <sup>a</sup>	81.29 (1.71) a	75.44 (0.98) a	
Fort Jackson, SC	55.93 (1.82) <sup>a</sup>	55.59 (1.70) a	52.39 (1.28)	74.84 (2.27) <sup>a</sup>	75.49 (2.11) a	69.86 (1.22)	
Fort Leonard Wood, MO	54.58 (1.08) <sup>a</sup>	52.73 (0.97) a	47.98 (0.76)	71.12 (1.48)	69.35 (1.31)	62.42 (0.84)	
Fort Polk, LA	66.77 (1.08) <sup>a</sup>	63.84 (1.03) a	55.49 (0.76)	82.45 (1.43) <sup>a</sup>	79.29 (1.30) a	66.33 (0.91)	
Fort Riley, KS	51.50 (1.23)	50.64 (1.23)	50.65 (1.13)	60.93 (1.32)	60.47 (1.44)	60.28 (1.19)	
Fort Stewart, GA	63.31 (1.49) <sup>a</sup>	62.75 (1.31) a	58.13 (0.96)	80.53 (2.35) <sup>a</sup>	81.05 (1.94) a	73.04 (0.90)	

#### 186 **Table 2. Summary of select annual indices of heat (1991-2018).**

<sup>a</sup> Positive linear regression slope at  $\alpha = 0.05$ , i.e. a warming trend.

188

189 In our focused analysis of temperature, HI, and WBGT annual means (Fig 2), we found 190 positive associations with ambulatory visits (rate ratio; RR > 1) at  $\alpha = 0.05$  for heat-season 191 temperature and HI, positive associations with hospitalizations for heat-season WBGT, and 192 positive associations with reportable event for full-year temperature and heat-season WBGT. 193 Quantifying our main results, we found that a 1°F increase in mean temperature between May 194 and September is associated with a 1.05 (95% CI: 1.00, 1.11) times greater rate of ambulatory 195 encounters among active-duty Army soldiers at CONUS locations, controlling for installation-196 specific effects. The same temperature increase was associated with an increase in

hospitalization rates by a factor of 1.14 (95% CI: 1.06, 1.21) and a marginal increase in
reportable event rates by a factor of 1.10 (95% CI: 0.98, 1.23).

#### 199 Fig 2. Rate ratios for full-year and heat season indices of heat and HSI encounters at 10

CONUS U.S. Army installations. RRs per 1 degree increase in annual index of heat (mean of
 daily means) from 2-year block bootstrap negative binomial models with basic (empirical)
 confidence intervals based on 10,000 replicates, controlling for installation-level effects. Solid
 points reflect the mean of bootstrap estimates and unfilled points reflect the original sample
 (non-bootstrap) estimate.

205 Out of 312 assessed index-outcome pairs, 142 exhibited positive associations after 206 controlling for installation-level effects and time trends (Table 3). WBGT and HI indices were 207 more likely to indicate a positive association than temperature-only indices. Indices averaged 208 over the full calendar year displayed a higher proportion of positive associations than those 209 averaged over heat season months. Indices based on hourly counts above threshold values were 210 more likely to show positive associations than indices based on counts of days above thresholds. 211 We observed similar associations between anomaly based (relative) and non-anomaly based 212 (absolute) indices.

# Table 3. Annual scale index-HSI outcome rate ratio 95% confidence interval positions from 214 2-year block bootstrap negative binomial models.

	Positive RR >1 (N=142)	Null RR = 1 (N=148)	Negative RR < 1 (N=22)	Total (N=312)
Outcome Type				
Ambulatory	66 (63.5%)	37 (35.6%)	1 (1.0%)	104

Hospitalizations	22	64	18	104
Hospitalizations	(21.2%)	(61.5%)	(17.3%)	101
Reportable Events	54	47	3 (2.9%)	104
Reportable Events	(51.9%)	(45.2%)	5 (2.970)	104
Index Type	(51.570)	(+3.270)		
Temperature	29	44	5 (6.4%)	78
remperature	(37.2%)	(56.4%)	5 (0.470)	70
Heat Index	55	48	11	114
	(48.2%)	(42.1%)	(9.6%)	
WBGT	58	56	6 (5.0%)	120
	(48.3%)	(46.7%)	× ,	
Timeframe				
Full Year	83	73	9 (5.5%)	165
	(50.3%)	(44.2%)	, ,	
May-Sep	59	75	13	147
	(40.1%)	(51.0%)	(8.8%)	
Exposure Measure				
Degree-based	38	45	7 (7.8%)	90
	(42.2%)	(50.0%)		
Day-based	81	87	12	180
	(45.0%)	(48.3%)	(6.7%)	
Hour-based	23	16	3 (7.1%)	42
	(54.8%)	(38.1%)		
Anomaly-Based				
No	60	60	9 (7.0%)	129
	(46.5%)	(46.5%)		
Yes	82	88	13	183
	(44.8%)	(48.1%)	(7.1%)	

215 Counts (row-wise percentage) from basic (empirical) confidence intervals, controlled for

216 location-level effects.

217 In our sensitivity analyses of various models, non-bootstrap negative binomial models

adjusted for year returned RR estimates closer to the null than 2-year block bootstrap models.

219 Results from standard bootstrap models (single year replacement) approximated negative

220 binomial models without adjustment for year. 3-year block bootstrap models returned wider CIs

than 2-year block models, with mean estimates shifted in both directions.

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## 225 **Discussion**

226 In this study, we identified positive decadal trends among indices of heat and humidity 227 and among HSI outcomes at active-duty CONUS Army installations. we found overall positive 228 association patterns for ambulatory and reportable event outcomes with temperature, HI, and 229 WBGT indices in absolute and relative measures. The largely null finding for hospitalization 230 associations may be due to the low number of annual HSI admissions at many locations. Five of 231 the ten CONUS installations averaged fewer than five HSI hospitalizations per year (Table 1). 232 Considering the relative rarity of diagnosed HSI hospitalizations, the availability of ambulatory 233 encounter and reportable event data adds substantial value for the characterization of HSI 234 morbidity.

235 We evaluated multiple combinations of heat and humidity index characteristics (104 236 indices, 312 total index-outcome pairs) in our negative binomial association model and classified 237 the resulting RRs as positive, null, or negative. These findings do not necessarily indicate that 238 sets of indices are more correct than others; rather, they may be more sensitive to detecting 239 associations at an annual scale in support of our hypotheses that heat indices and HSI outcomes 240 are related. There is no mechanism for a negative association and we recognize that some 241 associations in either direction may be due to chance. Overall, the finding that WBGT and HI 242 indices "outperformed" ambient temperature indices was expected because they capture the 243 effect of humidity. It was unexpected, however, that indices averaged over the full year would 244 display a positive association rate greater than those averaged over a defined heat season. This 245 result furthers evidence for expanding the boundaries of the traditional heat season and 246 incorporating prevention efforts throughout the year. Among exposure measure types, indices

249 In these analyses, we assumed that the frequencies and intensities of outdoor training 250 events remained consistent over time for each location and that population-level risk factors did 251 not fluctuate. We made these assumptions considering that the major unit compositions and 252 Training and Doctrine Command (TRADOC) and Forces Command (FORSCOM) mission sets 253 at the selected sites remained mostly stable over the evaluated timeframe. Challenges to this 254 assumption could occur from installation population changes due to extended large unit overseas 255 deployments and organizational changes, such as the movement of the Armor School from Fort 256 Knox, KY to Fort Benning, GA in 2011. Likewise, demographics of age, sex, and ethnicity 257 among the base population active duty soldiers have not markedly changed, although 258 generational change in overall fitness levels and body composition represent a risk factor of 259 concern [23–25]. Additionally, we assumed that HSI prevention measures, including annual 260 safety training requirements and monitoring of WBGT heat categories with associated work-rest 261 cycle and hydration recommendations, had not meaningfully varied over the study time-course 262 [3]. The block bootstrap process to adjust for time trends, along with the inclusion of installation 263 indicator variables, mitigate these potential changes within and between installations over time.

There is also a need to consider whether other time-varying trends account for changes in reported HSI rates. Changes in access to care, case definitions, and reporting systems and procedures can all contribute to long-term trends in the outcomes we studied. We observed impacts from such changes when comparing the rates of all ICD-coded illnesses and injuries over time, especially for ambulatory rates. The block bootstrap method also controls for this serial correlation in outcomes. Another limitation with our annually aggregated health outcome counts is that we were unable to discern incident cases from follow-up encounters. The
ambulatory counts and rates are therefore elevated above incidence-based case definition levels;
however, in this aspect they provide representation of the overall burden on the healthcare
system from HSIs.

274 This study assesses the long-term impacts of environmental changes on direct heat-275 related morbidity; however, it lacks the within-year temporal resolution needed to inform day-to-276 day or operational level decisions. Important short-term exposure parameters include the 277 intensity, duration, and timing in season of extreme heat events [26]. Further study of HSI 278 morbidity among physically active populations with outdoor environmental exposures could 279 examine the short-term exposure-response relationship between heat and humidity indices and 280 daily outcomes, considering lagged and non-linear effects and controlling for individual-level 281 risk factors.

## 282 **Conclusion**

283 U.S. Army CONUS installations have broadly experienced rising temperature conditions 284 and increased rates of HSI morbidity over the past two to three decades. In this study, we 285 determine that temperature, HI, and WBGT indices are positively associated with rates of 286 ambulatory encounters and reportable events, controlling for installation-levels effects and 287 accounting for potential confounding by long-term trends in the outcomes and exposures. The 288 annual-scaled rate ratios and their uncertainties can be applied to climate projections for a wide 289 range of thermal indices to estimate future HSI burden and impacts to medical readiness. As an 290 example, we obtained a RR of 1.05 for ambulatory HSI rates for each °F increase in mean 291 temperature between May and September. In 2018, the active-duty population of approximately

- 292 204,291 at the included ten CONUS installations reported 3,612 ambulatory HSI encounters.
- 293 Applying our effect estimate, with a 1 °F increase in the heat season mean temperature, we
- project an increase to 3,793 HSI ambulatory encounters (+181 cases) in the absence of additional
- adaptations or control measures.

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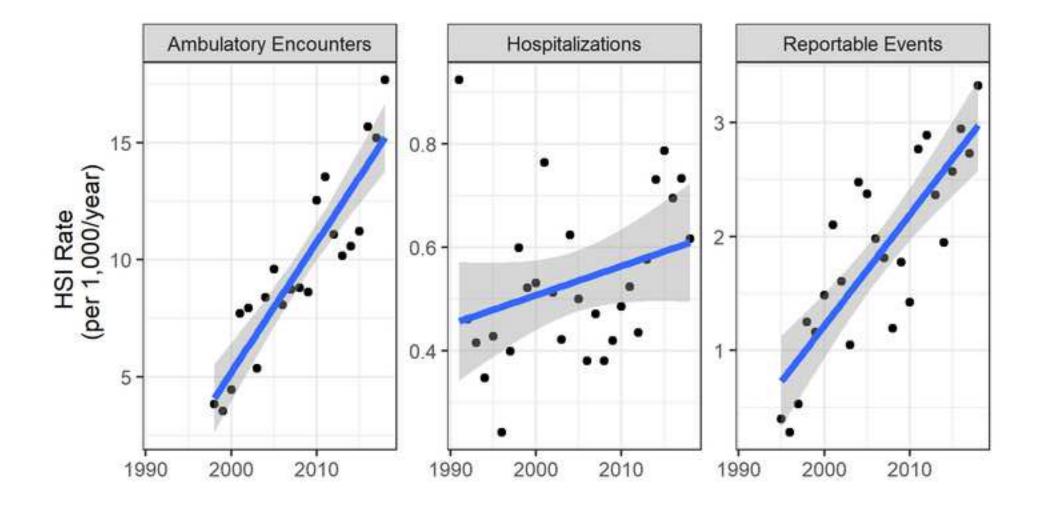
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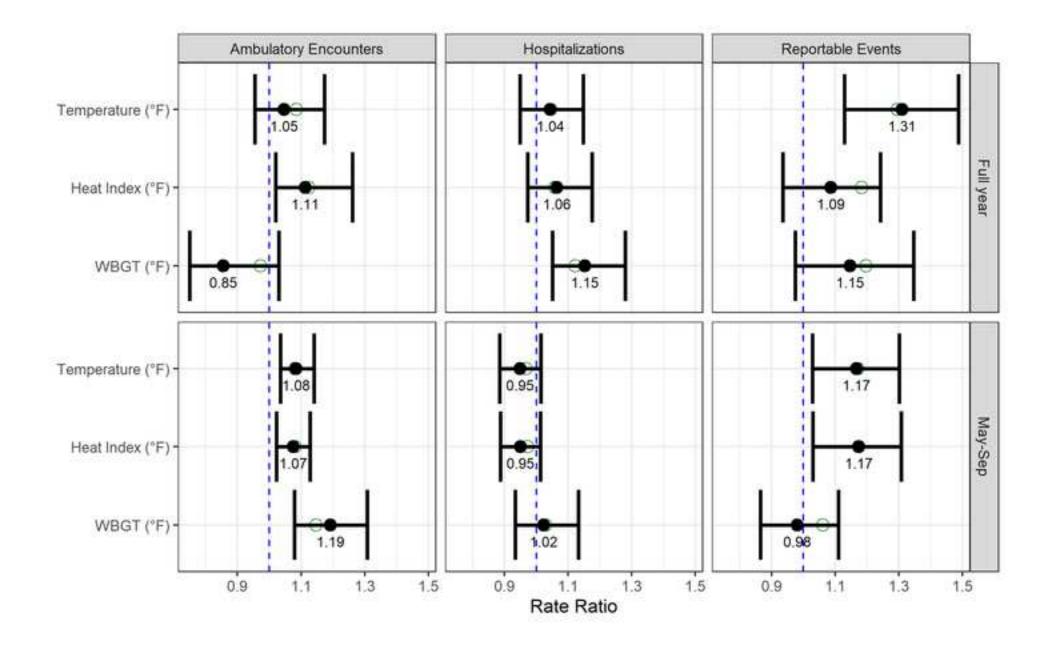
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