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# **BMJ Open**

#### Pain Predict Genetics: Protocol for a prospective observational study of clinical and genetic factors to predict the development of postoperative pain.

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1	Pain Predict Gene	tics: Protocol for a prospective observational
2	study of clinical an	d genetic factors to predict the development
3	of postoperative pa	ain.
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	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 22 23 24 25 26	<ul> <li>study of clinical and</li> <li>of postoperative particle</li> <li>Song Li<sup>1</sup>, Regina L.M. van Bo</li> <li>Vissers<sup>2</sup></li> <li>Authors' Affiliations: <ul> <li><sup>1</sup> Department of Human Gen</li> <li>medical center, Nijmegen, The</li> </ul> </li> <li><sup>2</sup> Department of Anesthesiol</li> <li>center, Nijmegen, The Nether</li> <li>Song Li: <ul> <li>Regina (Rianne) van Boekel:</li> <li>Song Li:</li> <li>Regina (Rianne) van Boekel:</li> </ul> </li> <li>Sandra van den Heuvel</li> <li>Marieke Coenen: <ul> <li>Kris C.P. Vissers</li> </ul> </li> <li>Corresponding author: <ul> <li>Regina L.M. van Boekel</li> </ul> </li> <li>Radboud University Medical</li> <li>Department of Anesthesiolo</li> <li>PO Box 9101, intern 549</li> <li>6500 HB, Nijmegen, The Nether</li> </ul>

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1 2		
2 3 4	34	Abstract
5 6	35	Introduction Postoperative pain remains a challenging medical condition impacting the quality
7 8 9	36	of life of every patient. Although several predictive factors for postoperative pain have been
10 11	37	identified, an adequate prediction of postoperative pain in patients at risk has not been
12 13 14	38	achieved yet.
15 16 17	39	The primary objective of this study is to identify specific genetic risk factors for the development
18 19	40	of acute and chronic postoperative pain to construct a prediction model facilitating a more
20 21 22	41	personalized postoperative pain management for each individual. The secondary objectives are
23 24	42	to build a databank enabling researchers to identify other risk factors for postoperative pain, for
25 26	43	instance, demographic and clinical outcome indicators; provide insight into (genetic) factors that
27 28 29	44	predict pharmacological pain relief; investigate the relationship between acute and chronic
30 31 32	45	postoperative pain.
33 34 35	46	Methods and analysis In this prospective, observational study, patients who undergo elective
36 37	47	surgery will be recruited to a sample size of approximately 10,000 patients. Postoperative acute
38 39	48	and chronic pain outcomes will be collected through questionnaires at different time points
40 41 42	49	after surgery in the follow-up of six months. Potential genetic, demographic, and clinical risk
43 44	50	factors for prediction model construction will be collected through blood, questionnaires, and
45 46 47	51	electronic health records, respectively.
48 49 50	52	Genetic factors associated with acute and/or chronic postoperative pain will be identified using
51 52 53	53	a genome-wide association (GWA) analysis. Clinical risk factors as stated in the secondary
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3 4	54	objectives will be assessed by multivariable regression. A clinical easy-to-use prediction model
5 6	55	will be created for postoperative pain to allow clinical use for the stratification of patients.
7 8		
9	56	Ethics and dissemination The Institutional Review Board of the Radboud university medical
10 11 12	57	center approved the study (authorization number: 2012/117). The results of this study will be
13 14 15	58	made available through peer-reviewed scientific journals and presentations at relevant
16 17 18	59	conferences, which will finally contribute to personalized postoperative pain management.
19 20	60	Trial registration number NCT02383342
21 22 23 24	61	
25 26	62	Keywords: Postoperative pain, Genome-wide association study (GWAS), Risk factor, Prediction
27 28 29	63	model, Pharmacogenetics
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1 2		
2 3 4	68	Strengths and limitations of this study
5 6	69	• This is a large prospective study to identify genetic and other risk factors for
7 8 9	70	postoperative pain.
10 11	71	We will build a databank with comprehensive interdisciplinary measurements that assess
12 13	72	postoperative pain from multiple perspectives.
14 15 16	73	Outcome measurements of pain by patient-reported outcomes, rather than evaluated by
17 18	74	professionals.
19 20	75	<ul> <li>The primary goal of this study is to identify genetic variants as biomarkers of</li> </ul>
21 22 23	76	postoperative pain, but the collected blood samples enable future research to
24 25	77	characterize the multi-omics biomarker signatures of postoperative pain.
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	79	Introduction
	80	Pain after surgery remains a challenging medical and societal problem <sup>1</sup> . Pain is one of the most
	81	common postsurgical side effects, with moderate to severe acute postoperative pain occurring
)	82	in about 41% of the patients <sup>2-4</sup> . Severe postoperative pain is associated with an increased
<u>2</u> 3 1	83	incidence of postoperative complications <sup>5</sup> , including prolonged hospital stay, readmissions, and
5	84	significant reduction of patient satisfaction and quality of life <sup>67</sup> . Besides, acute postoperative
7 3 2	85	pain is associated with chronic pain development after surgery <sup>8</sup> . A recent position paper from
) 	86	the International Association for the Study of Pain stated that among the almost 40 million
2 3	87	people undergoing surgery globally each year, one out of ten develops chronic postsurgical pain
+ 5 5	88	(CPSP), and one out of hundred suffers from severe CPSP, which will negatively affect patients'
7 3	89	quality of life <sup>9</sup> . In addition, postoperative pain is a considerable burden on health care service
) 	90	costs, both directly due to patients' increased consumption of medical care and indirectly due to
2 3	91	absenteeism, reduced productivity, and increased social welfare payments <sup>10-15</sup> .
+ 5 5	92	The management of both acute postoperative pain <sup>2 31</sup> and CPSP <sup>2 32</sup> has remained suboptimal.
, 3 9	93	Despite major investments in clinical protocols and guidelines for structural pain management,
)	94	infrastructure, and acute pain services (APS), no significant outcome improvements in the
2 3 1	95	quality of postoperative pain management for individual patients have been achieved in the last
5	96	fifteen years <sup>10 11</sup> .
7 3 9	97	Given the high incidence of postoperative pain, identifying patients at risk for CPSP before the
)   )	98	operation is important to apply more personalized pain prevention strategies. The most
- 3 1	99	important demographic and clinical risk factors for postoperative pain are younger age, female
5	100	sex, smoking, history of depressive symptoms, anxiety symptoms, sleep difficulties, higher body

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101	mass index, presence of preoperative pain, and use of preoperative analgesics <sup>16</sup> . Based on
102	these factors, models have been developed to predict severe acute postoperative pain $^{1718}$ and
103	CPSP <sup>19 20</sup> . A recent study has evaluated a presurgical risk score for CPSP in a prospective cohort,
104	and it reliably identified about 70% of the patients undergoing surgeries at risk of CPSP <sup>21 22</sup> .
105	As a multifactorial trait, the incidence variation of CPSP in the population can be explained
106	partly by the demographic and clinical risk factors mentioned above, and partly due to the
107	genetic and epigenetic differences among patients <sup>23 24</sup> . To improve the accuracy and power of
108	prediction, efforts have been made to predict CPSP using genetic variants <sup>19 22</sup> . However, no
109	unequivocal genetic predictors have been found yet. In addition, many exploratory studies
110	investigated the possible role of candidate genes in postoperative pain development. In
111	particular, associations have been found between CPSP and the $\mu$ -opioid receptor (OPRM1) and
112	<i>catechol-O-methyl transferase (COMT)</i> genes <sup>25 26</sup> . Still, these results have not been confirmed
113	by others. OPRM1 is also associated with basal pain sensitivity differences <sup>27</sup> , which could be
114	caused by the altered opioid binding potential in the central nervous system <sup>28</sup> . More recently,
115	hypothesis-free methods, such as genome-wide association studies, have been applied for CPSP
116	to identify markers across the genome <sup>29 30</sup> . One of the studies showed that a genetic variant in
117	the protein-kinase C gene is linked to neuropathic pain after complete joint replacement. This
118	gene is involved in long-term potentiation, synaptic plasticity, chronic pain, and memory,
119	indicating that this gene may be relevant for neuropathic pain initiation. The disadvantage of
120	this study is that it was small in terms of patient numbers and only focused on one specific
121	surgical procedure.

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122	Besides genetic variants for altered pain sensitivity, also gene variants in drug metabolism can
123	play a role. Understanding the reasons for ineffective treatment can facilitate the early
124	identification of patients at risk and provide more effective and customized postoperative
125	management. Some associated genes with pain treatment outcomes are also involved in pain
126	development, such as COMT <sup>33-35</sup> . Genes involved in the action site of active drugs or the drugs'
127	metabolism might play a role in the therapeutic response of this drug. A well-known example is
128	the <i>cytochrome P450</i> ( <i>CYP</i> ) family investigated for several drugs (e.g., codeine and tramadol) <sup>36</sup> .
129	However, this area has never been charted in a large population <sup>37</sup> .
130	To date, adequate prediction of patients at risk for postoperative pain in clinical practice has not
131	been achieved for several reasons. First, although many demographic, clinical, and lifestyle
132	factors of postoperative pain have been reported <sup>16</sup> , a lack of consensus on the best outcome
133	indicators for postoperative pain management <sup>38 39</sup> hinders choosing the proper outcome
134	variables for prediction model construction. Second, the potential genetic risk factors of
135	postoperative pain prediction remain obscure. The role of genetic factors in postoperative pain
136	have not been investigated sufficiently, making it challenging to select appropriate genetic risk
137	factors to construct a prediction model. Third, when prediction models are updated, external
138	validation (i.e., in a new population) is important before being implemented in a clinical setting
139	<sup>40-43</sup> , which is often difficult due to the lack of validation cohorts. For these reasons, we
140	hypothesize that a global structural multicenter diagnostic program of postoperative pain in a
141	surgical patient population will be valuable for better identifying patients at risk of CPSP and
142	ultimately preventing postoperative pain using individualized pharmacological and non-
143	pharmacological interventions.

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6 7	145	Objectives
8	145	
9 10	146	The primary objective of the Pain Predict Genetics (PPG) study is to identify genetic risk factors
11 12 13	147	for acute and chronic postoperative pain development and to construct a prediction model for
14 15	148	personalized postoperative pain management.
16 17 18	149	The secondary objectives of the PPG study are to 1) identify other risk factors for the
19 20 21	150	development of acute and chronic postoperative pain; 2) provide insights into complications
22 23	151	and other clinical outcome indicators after surgery; 3) provide insights into the relationship
24 25 26	152	between acute and chronic postoperative pain; 4) identify (genetic) factors that predict
27 28	153	pharmacological pain relief.
29 30 31	154	The extensive data collection on (chronic) postoperative pain development of patients
32 33	155	undergoing surgery offers many possibilities for additional research questions using
34 35 36	156	conventional statistical methods and artificial intelligence, e.g., machine learning. The cohort
37 38 39	157	could be used to 1) conduct epidemiological studies; 2) investigate other parameters (for
40 41	158	example, types of surgery) that are involved in the development of chronic postoperative pain;
42 43 44	159	3) validate new prediction models for (chronic) postoperative pain; 4) identify factors for the
45 46	160	postoperative outcome (for example, death, long-term hospitalization, complications); 5)
47 48 49	161	collaborate with other groups to perform large-scale analysis to identify predictors for the
50 51	162	development of (chronic) postoperative pain.
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1 2		
3 4 5	163	Methods and analyses
5 6	164	Study design
7 8 9	165	A prospective, observational study of 10,000 patients will undergo elective surgery. This study
10 11	166	will run for at least ten years, during which period it must be possible to include the intended
12 13	167	number of patients. Patient inclusion after CMO (Human Research Committee, in Dutch
14 15 16	168	Commissie Mensgebonden Onderzoek) approval was started in March 2015, and patient
17 18	169	inclusion was temporarily stopped in 2020 due to COVID restrictions. In the near future, this
19 20 21	170	study will be continued as a multicenter study; hospitals have already been approached and
22 23	171	indicated that they intend to participate.
24 25		
26	172	Patient and public involvement
27 28 29	173	During the design of the study the patients aided in the pilot phase of the questionnaires, during
29 30 31	174	the recruitment the patients are informed concerning the project. In addition, patient reported
32 33	175	outcomes will be used. Patients will be informed about the outcome of the study at several
34 35 36	176	moments (depending on the obtained results).
37		
38 39	177	Participants
40 41	178	Patients who undergo electvie surgry and are eligible for this study will be approached before
42 43 44	179	their planned surgery during the preoperative consultation. In this way, potential participants
44 45 46	180	will have sufficient time to consider the study information. If any questions arise, it is possible to
47 48	181	contact the researchers by telephone or ask the questions during the preoperative consultation.
49 50 51	182	During the preoperative consultation (outpatient clinic or by telephone), the physician
52 53	183	(assistant) will ask the patient if they are interested to participating in the study. If the patient is
54 55 56	184	willing to participate, the informed consent form will be signed and dated. If patients have an
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2 3 4	185	online preoperative consultation, this procedure will take place digitally, and patients receive						
5 6 7	186	the study forms (signed in advance) at home to return if they consent.						
8 9 10	187	Patients are eligible for study inclusion if they 1) are older or equal to 16 years; 2) undergo						
11 12	188	elective surgery with an incision, including cardiothoracic surgery (e.g., cardiomyotomy), general						
13 14	189	surgery (e.g., breast resection), neurological surgery (e.g., nerve decompression), oral and						
15 16 17	190	maxillofacial surgery (e.g., removal of head and neck benign and malignant tumors),						
18 19	191	otorhinolaryngology (e.g., tympanoplasty), plastic surgery (e.g., breast reconstruction), trauma						
20 21 22	192	and orthopedic surgery (e.g., arthroplasty), urology (e.g., prostatectomy) and vascular surgery						
23 24	193	(e.g., treatment of varicose veins); 3) can read and understand the patient information; 4) will						
25 26 27	194	provide informed consent. Patients will be excluded if they 1) intend to undergo another						
27 28 29	195	surgery within six months; 2) do not have enough knowledge of the language in words and						
30 31 32	196	understanding to complete questionnaires.						
33		Measurements						
34 35	197	Measurements						
36 37	198	Questionnaires						
38 39	199	After written informed consent, participants will be asked to complete questionnaires before						
40 41 42	200	and after their surgery. An overview of the study workflow and data collection time points can						
43 44	201	be found in Figure 1 and Table 1. All patient data will be stored in an online digital database,						
45 46 47	202	Castor <sup>44</sup> . The reliability and validity of all questionnaires for measurement collection have been						
47 48 49	203	validated in the corresponding populations.						
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## Table 1: Overview of data collection

	то	Day -1	Surgery	Day 1	Day 2	Day 3	Week 1	Week 6	Month 3	Month 6
Informed consent	x									
Questionnaires	•			•	•	•	•	•	•	•
Demografic data		x								
Incision size		x		x						
Pain scores		x		x	x	x	х	x	х	x
Physical activities			6	x	x	х	x			
Pain disability index		х					х	x	х	x
APAIS		х								
PCS		х		1						
PSQ		x								
Chronic pain		х							x	x
IDS depression		х								
Brief pain inventory					10				х	x
Data electronic medical	file			·						
Physical status by ASA										x
Type of surgery							6/			x
Duration of surgery										x
Type of anesthesia										x
Complications										x
Hospital stay										x
Pain medication use										x
Incision size										x
Second surgery within 6 months										x

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General clinical outcome indicators										x
Body material*	Body material*									
1x10 ml blood for DNA			х							

\*In the event that it is not possible to collect a blood sample during surgery, the subject may be asked to provide a DNA sample via a saliva collection tube.

APAISI, Amsterdam Pre-operative Anxiety and Information Scale. PCS, Pain Catastrophizing Scale. PSQ, Pain Sensitivity questionnaire. IDS, Inventory of Depressive Symptomatology. ASA, American Society of Anesthesiologists classification.

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206	The first digital questionnaire must be completed the day before the surgery (no longer than
207	one week before). Before surgery, the following parameters will be collected (Table 1,
208	Supplementary File 1): demographic characteristics (such as gender, age, BMI), expected
209	incision size in mm, pain intensity, pain disability, preoperative anxiety and need for
210	information, pain catastrophizing, pain sensitivity, preoperative chronic pain characteristics, and
211	depressive symptoms.
212	After surgery, the following parameters will be collected: actual incision size in mm on day 1;
213	pain intensity on day 1, 2, 3, week 1 and 6, and month 3 and 6; physical activities on day 1, 2, 3,
214	week 1; pain disability on week 1 and 6, and month 3 and 6; postoperative chronic pain
215	characteristics on month 3 and 6; characteristics of pain on month 3 and 6.
216	Pain intensity will be measured with an 11-point numerical rating scale (NRS) at rest and during
217	a normal patient action at that time <sup>18</sup> . The endpoints represent the extremes of the pain
218	experience: 0 means "no pain at all", and 10 means "worst possible pain".
219	Pain disability (disability associated with pain) will be measured by the widely used Pain
220	Disability Index Dutch language version (PDI) <sup>4546</sup> . The PDI is a 7-item questionnaire to
221	investigate the magnitude of the self-reported disability in different situations such as work,
222	leisure time, daily life activities, and sports. The questionnaire is constructed on an 11-point NRS
223	in which 0 means "no disability" and 10 means "maximum disability".
224	Preoperative anxiety and need for information will be evaluated by the Amsterdam
225	Preoperative Anxiety and Information Scale (APAIS) <sup>47</sup> . The APAIS consists of six questions and
226	each score on a 5-point Likert scale from 1 (not at all) to 5 (extremely), with four questions to
	14

2 3 4	227	assess the patient's preoperative anxiety score and two questions to assess the patient's need
5 6 7 8 9 10	228	for information regarding the scheduled surgery and anesthesia <sup>18</sup> .
	229	Pain catastrophizing is generally described as an absurd negative orientation towards hurtful
10 11 12	230	stimuli and is important in pain coping <sup>48</sup> . It will be measured by the Pain Catastrophizing Scale
13 14 15 16 17	231	(PCS), a self-evaluating questionnaire consisting of 13 questions. People are asked to indicate
	232	the degree to which they have thoughts and feelings when experiencing pain using the 0 (not at
18 19	233	all) to 4 (all the time) scale, and a total score will be yielded (range from 0 to 52).
20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45	234	The Pain Sensitivity Questionnaire (PSQ) will measure patients' preoperative pain sensitivity <sup>49</sup>
	235	<sup>50</sup> . The PSQ consists of 17 questions that describe daily life situations; respondents score their
	236	pain intensity for these situations on an NRS by scoring 0 (not painful) to 10 (severest pain
	237	imaginable).
	238	Chronic pain characteristics will be measured preoperatively and postoperatively by five
	239	questions. The definition of chronic pain is in agreement with IASP terminology of chronic
	240	postsurgical pain, i.e., "chronic pain that develops or increases in intensity after a surgical
	241	procedure persists beyond the healing process, i.e., at least 3 months after the surgery" $^9$ .
	242	Patients will be asked to indicate whether they had a recent pain experience, the site of pain
	243	and whether it lasted more than three months $^{5152}$ .
46 47 48	244	The severity of overall depressive symptoms will be assessed by the Inventory of Depressive
49 50	245	Symptomatology Self Report (IDS-SR) <sup>53 54</sup> . IDS-SR is a 30-item questionnaire, and each item has
51 52 53	246	four statements scored on a four-point scale from 0 to 3. There are two items about either
54 55 56	247	increasing or decreasing appetite and two items about increasing or decreasing weight. Only the
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248 item with the higher score from both pairs will be chosen. The total score is based on 28 items and ranges from 0 to 84. 249 Physical activities (ability to perform normal activities) will be measured by questions assessing 250 the degree of physical activities interfered by surgery, including bed activities (such as turning), 251 breathing deeply of coughing, sleeping, and activities out of bed. Each item is scored on an 11-252 point NRS in which 0 means did not interfere and 10 means completely interfered. These 253 254 questions are derived from the validated International Pain Outcomes questionnaire and are found responsive to asking patients about their ability to perform normal activities directly after 255 surgery 55. 256 Characteristics of pain will be measured by the Brief Pain Inventory – Short Form (BPI-SF), which 257 is a shortened version of the Brief Pain Inventory <sup>56</sup>. BPI-SF evaluates pain severity during the 258

past 24 hours and current level, with 0 representing "no pain" and 10 "the worst pain

260 imaginable". Seven items in BPI-SF assess interference with daily functioning (such as general

activity, walking, and work) on an 11-point scale, where 0 represents "no interference" and 10

262 "complete interference".

<sup>12</sup> 263 Collection of body material

264 One tube of blood will be collected for DNA isolation. The burden for the patient is minimalized 265 as blood will be taken using the intravenous line in place for surgery. If it is impossible to collect 266 blood presurgically or postsurgically, we will collect saliva for DNA isolation (Genefix DNA saliva 267 collectors; GFX-02/50, Isohelix).

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2 3	268	Clinical information
4 5		
6 7	269	The following clinical information will be collected from the electronic patient file six months
8 9	270	after operation (Table 1): physical status by The American Society of Anesthesiologists
10 11	271	classification (ASA-status); type of surgery; duration of surgery; type of anesthesia;
12 13 14	272	postoperative complications within 30 days after surgery, one-time retrospectively, which is
15 16	273	defined as any medical adverse outcome occurring between admission and 30 days after
17 18	274	operation. Complications occurring in the operation room and complications directly related to
19 20 21	275	anesthesia (e.g., nausea which resolves immediately after medication in the operation room)
22 23	276	will not be included <sup>5 57</sup> . Furthermore, data on pain medication use, before surgery and after
24 25 26	277	surgery; actual incision size in mm; second surgery within 6 months; general clinical outcome
27 28	278	indicators, including surgical site infection at 30 days, stroke within 30 days of surgery, death
29 30 31	279	within 30 days of surgery, admission to the intensive care unit within 14 days of surgery,
32 33	280	readmission to hospital within 30 days of surgery, and length of hospital stay (with or without
34 35 36	281	in-hospital mortality) will be collected <sup>38</sup> .
37		
38 39	282	Sample size calculation
40 41	283	The power of the genetic study is based on the primary research question investigating which
42 43	284	genetic factors are associated with postoperative pain. Power is calculated using the Genetic
44 45 46	285	Power Calculator <sup>58</sup> , and the estimated number of patients is based on a GWA approach. For
47 48	286	chronic postoperative pain, we assume a case-control analysis for discrete traits (2df test), a risk
49 50 51	287	allele frequency of 30%, a linkage disequilibrium (D') of 0.8, a prevalence of chronic
52 53	288	postoperative pain of 15%, and the relative risk of chronic postoperative pain for persons who
54 55 56	289	are heterozygous of 1.5 and for homozygous persons of 2.25. For a power of 80% with a p-value
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290	cut off 5 × 10 <sup>-8</sup> (genome-wide significance threshold), we need 750 patients with chronic
291	postoperative pain and 4,250 people without chronic postoperative pain. For acute pain, the
292	power is even higher. With the same population, we have more than 80% power to detect a
293	relative risk of 1.2 and 1.44 for heterozygous and homozygous patients, respectively. This higher
294	power is due to the higher prevalence of acute (moderate to severe) pain of 55%. Most
295	importantly, results will be replicated in the additional study participants, as the total number of
296	patients included in the study will be 10,000. In addition, we will use cohorts of our
297	collaborators for replication purposes.
298	Statistical analysis
299	The key objective is to identify genetic risk factors that can predict development of acute or
300	chronic postoperative pain and validate previously reported SNPs. A GWA approach will be used
301	as the main analysis. Phenotype data and DNA will be used to identify genetic factors. We will
302	use 5,000 patients for the discovery of genetic variants. Samples will be genotyped with the
303	Infinium Global Screening Array (Illumina). Pre-imputation quality control, principal component
304	analyses, and imputation will follow the RICOPILI pipeline <sup>59</sup> . The 1000 Genomes reference panel
305	will be used for imputation, followed by post-imputation quality control in PLINK <sup>60</sup> . Associations
306	between SNPs and the presence of acute or chronic pain will be performed using cutting-edge
307	methods when data collection is finished. Results will be to ensure validity. SNPs that can be
308	validated will be included in the prediction model described below.
309	Secondary objectives include identifying other potential risk factors for acute and chronic
310	postoperative pain. Therefore, a univariate association of each potential predictor will be
311	calculated and tested in a multivariable regression model. We will use a least absolute shrinkage

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	312	and selection operator (Lasso) regression. Shrinkage is where data values are shrunk towards a	
	313	central point, like the mean. Lasso is a regression analysis method that performs both variable	
	314	selection and regularization to enhance the prediction accuracy and interpretability of the	
0 1	315	statistical model it produces. After identifying these risk factors, a prediction rule will be created	
2 3 4	316	for acute and chronic postoperative pain. Based on this prediction rule, a simple, clinically easy	
4 5 6	317	applicable tool will be developed to allow clinical use for the stratification of patients. The	
7 8	318	predictive performance will be studied in another cohort of patients to test whether the rule is	
9 0 1	319	generalizable across time and place. Because it appears from the literature that acute and	
2 3	320	chronic pain are correlated after surgery, additional correlation analysis will be performed to	
4 5 6	321	investigate this correlation in the data.	
7 8	322	Similar approaches will be followed to identify the clinical and genetic factors that predict	
9 0			
1 2	323	pharmacological pain relief. For some pain medicines, genes that impact pain relief are already	
3 4	324	known (e.g., CYP2D6 and morphine). We will first investigate those genes to see if these variants	
5 6	325	indeed contribute to pharmacological pain relief differences.	
7 8 9 0 1	326		
2 3	327	Ethics and dissemination:	
4 5	328	The study will be conducted according to the principles of the Declaration of Helsinki version	
6 7 8	329	2013 and in accordance with the Medical Research Involving Human Subjects Act and Good	
9 0	330	Clinical Practice. The study was approved by the local ethics committee for human research in	
1 2 3	331	Nijmegen (Medical Review Ethics Committee Region Arnhem-Nijmegen, authorization number:	
4 5	332	2012/117). This study was registered on ClinicalTrials.gov (NCT02383342).	
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3 4	333	The privacy of the participants is guaranteed by storing encrypted data. Every participant will	
5 6 7	334	receive a pseudo-anonymous study number. No identifying data is recorded within the meaning	
<ul> <li>of the law. The key is only accessible to the study team and monitors. Data and ma</li> </ul>			
10 11 12	336	only be used in coded form within possible collaborations.	
13 14	337	The results of this study will be made available through peer-reviewed scientific journals and	
15 16 17	338	presentations at relevant conferences. After a thorough evaluation, decisions will be made	
18 19	339	regarding including the identified risk factors and constructed prediction models into clinical	
20 21 22	340	guidelines, thus facilitating personalized postoperative pain management.	
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25 26	341	Discussion	
27 28	342	This cohort will be a large prospective study to identify risk factors for postoperative pain and to	
29 30	343	build and evaluate dedicated prediction models for postoperative pain in surgical patients. In	
31 32 33	344	addition, the comprehensive information collected in this study will also enable us to answer	
33 34 35	345	other research questions regarding postoperative pain, such as the relationships between acute	
36 37	346	and chronic postoperative pain development. Eventually, these results will be applied in the	
38 39 40	347	clinical settings to improve the quality of life for patients who develop postoperative pain.	
41 42 43	348	The strengths of this study are that we will include all elective major operations rather than	
44 45	349	limiting to one specific operation as in previous studies <sup>30</sup> , which allows us to investigate the	
46 47 48	350	shared genetic background of postoperative pain in different operations. Furthermore, as there	
49 50	351	are discrepancies in pain intensity scores understanding $^{61}$ and pain management decisions $^{6162}$	
51 52 53	352	between patients and caregivers, the patient's perspective should be respected and assessed for	
54 55 56	353	pain evaluation and management <sup>63 64</sup> . Therefore, pain assessment will be conducted by patients	
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2 3 4	354	themselves (patient-reported outcomes) rather than professionals in this study, leading to a
5 6	355	more comprehensive outcome assessment and interpretation <sup>65</sup> . Moreover, the single-use of
7 8 9	356	NRS might be inadequate for patients' pain experience evaluation and pain management
10 11	357	decisions <sup>64 66 67</sup> . Thus, another strength of this cohort is that the experience of pain will be
12 13 14	358	estimated by multidimensional measurements focusing on patients' overall functionality rather
15 16	359	than merely a NRS pain score. Besides, the comprehensively collected information for
17 18 19	360	postoperative pain in this cohort also empowers analysis that cannot be performed in large-
20 21	361	scale registry data (e.g., UK Biobank) as such phenotype data is not available in those datasets.
22 23 24	362	The data collected in this cohort will also enable additional research using conventional and
24 25 26	363	cutting-edge statistical methods like artificial intelligence.
27 28	364	The possible limitations of this study are that we will only investigate DNA variants as
29 30 31	365	biomarkers for pain prediction as our primary research goal. However, other epigenetic <sup>67 68</sup> ,
32 33	366	transcriptomic <sup>68</sup> , proteomics <sup>69</sup> , and metabolic markers <sup>70</sup> are also potentially involved in
34 35 36	367	(postoperative) pain development. For instance, recent studies indicate that methylation
37 38	368	patterns might predict opioid treatment outcomes 67 68. As the DNA sample of patients is
39 40 41	369	accessible, we will be able to investigate additional related research questions, such as the
42 43	370	association between epigenetic changes and postoperative pain in the future. In addition, when
44 45 46	371	prediction tools are applied in clinical settings, the sensitivity and specificity of prediction tools
47 48	372	are crucial to evaluate their adequacy and usefulness <sup>71</sup> . Although the measurement tools used
49 50 51	373	in prediction models are well-validated and verified (see methods), our findings could still be
52 53	374	subject to false positive or negative errors because all measurement tools have limitations.
54 55 56	375	Furthermore, chronic pain assessment is more complex than acute pain <sup>72</sup> , and GWAS findings
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2 3	376	are sometimes incidental <sup>73</sup> . We will consider seeking other available cohorts for validation and
4 5 6	377	applying other statistical methods to validate our findings in future studies, such as polygenic
7 8	378	risk scores <sup>74</sup> . Another potential limitation is that loss of follow-up of patients might result in
9 10		
11 12	379	lower patient numbers than expected. Despite this potential concern, we still expect a sufficient
13 14	380	sample size as additional centres will start patient incudion, and the measurements are mainly
15 16 17	381	from patient-reported outcomes via digital follow-up.
18 19	382	Identifying the genetic background of postoperative pain development may give valuable
20 21 22	383	insights into the mechanisms underlying the relationship between postoperative pain and
23 24	384	complications after surgery. This may open the way to identify new targets for treatment and
25 26 27	385	potentially simplify the risk profiling assay for future use, yielding a simpler, more accurate, and
28 29	386	cost-efficient assay or product. The contribution of improved prevention and treatment of pain
30 31	387	after surgery will benefit many patients undergoing surgery and society by decreasing health
32 33 34 35	388	care service costs.
36 37	389	
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40 41	390	Trial status
42 43	391	Patient recruitment is expected to continue until 2025. Recruitment has already started in
44 45	392	Radboud university medical center, with more than 500 patients recruited as of October 2021.
46 47 48 49	393	National and international collaborations will be greatly accepted after careful consideration.
50 51 52	394	Author contributions
53 54	395	All authors were responsible for the study design. SL drafted the manuscript. All authors
55 56	396	critically reviewed the manuscript.
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29 30		The authors have no relevant financial or non-financial interests to disclose.
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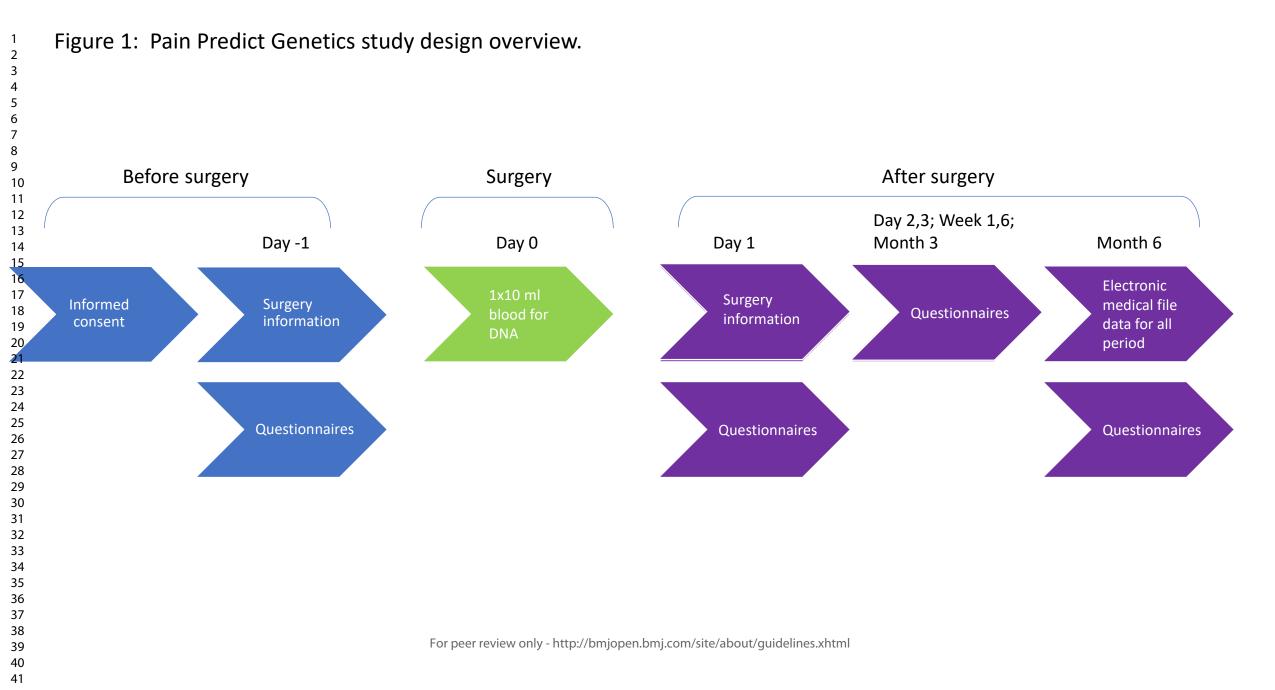


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Appendix j: Brief Pain Inventory

#### General data

- What is your year of birth?
- What is your gender? male/female
- What is your length? \_\_\_\_\_ cm
- What is your weight? \_\_\_\_\_ kg
- What country were you born in?\_\_\_\_\_

- What country(ies) were your parents born in?\_\_\_\_\_

- What country(ies) were your grandparents born in?\_\_\_\_\_
- What human race are you? (black, white, Asian, etc.)\_\_\_\_\_

Data of the surgery:

- Would you please describe your surgery:

- How much pain do you expect after surgery (0= no pain, 10=worst pain imaginable)
- Will you stay one or more nights in the hospital after surgery? Yes / No

Appendix b: Pain before and after surgery

Pain before and after surgery

Circle how much pain you have, expressed as a number. The pain score means a score between 0 and 10, where 0 means no pain and 10 means the worst pain imaginable. For your pain, consider a figure between 0 and 10. You also tick whether you think the pain is acceptable or not.

Pain while being at rest at this moment (0-10)	No pain 0-1-2-3-4-5-6-7-8-9-10 worst pain imaginable
Pain score at this moment if you perform a normal effort (0-10)	No pain 0-1-2-3-4-5-6-7-8-9-10 worst pain imaginable
Do you think pain is acceptable to you at this moment?	Pain acceptable   pain not acceptable
Only pre-operatively: How much pain do you expect after surgery?	No pain 0-1-2-3-4-5-6-7-8-9-10 worst pain imaginable

Appendix c: Physical activities

Physical activities

Circle the one number below that best describes how much, since your surgery, pain interfered with or prevented you from doing physical activities, expressed by figure. The score means a figure between 0 and 10, where 0 means no interference and 10 means complete interference.

1. How much has pain interfered with or prevented you from doing activities in bed such as turning, sitting up, changing position (0= did not interfere, 10= completely interfered)

0-1-2-3-4-5-6-7-8-9-10

2. How much has pain interfered with or prevented you from breathing deeply of coughing (0= did not interfere, 10= completely interfered)

0-1-2-3-4-5-6-7-8-9-10

3. How much has pain interfered with or prevented you from sleeping (0= did not interfere, 10= completely interfered)

0-1-2-3-4-5-6-7-8-9-10

4. Have you been out of bed since your surgery? Yes/no

0-1-2-3-4-5-6-7-8-9-10

5. If yes, how much did pain interfere or prevent you from doing activities out of bed such as walking, sitting in a chair, standing at the sink (0= did not interfere, 10= completely interfered)

0-1-2-3-4-5-6-7-8-9-10

Appendix d: Pain disability index

We would like to know how much pain is preventing you from doing what you would normally do or from doing it as well as you normally would. Respond to each category indicating the overall impact of pain in your life, not just when pain is at its worst.

For each of the 7 categories of life activity listed, please circle the number on the scale that describes the level of disability you typically experience. A score of 0 means no disability at all, and a score of 10 signifies that all of the activities in which you would normally be involved have been totally disrupted or prevented by your pain.

In case of no pain, please circle "0".

1. Family/Home Responsibilities	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This category refers to activities of the home or family. It includes chores or duties performed around the house (e.g. yard work) and errands or favors for other family members (e.g. driving the children to school).	per (		
2. Recreation	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This disability includes hobbies, sports, and other similar leisure time activities.		10	
3. Social activity	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This category refers to activities, which involve participation with friends and acquaintances other than family members. It includes parties, theater, concerts, dining out, and other social functions.		0,	
4. Occupation	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This category refers to activities that are part of or directly related to one's job. This includes non-paying jobs as well, such as that of a housewife or volunteer.			
5. Sexual behavior	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This category refers to the frequency and quality of one's sex life.			-
6. Self care	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability

This category includes activities, which involve personal maintenance and independent daily living (e.g. taking a shower, driving, getting dressed,	
etc.)	
7. Life-support activities	No disability 0-1-2-3-4-5-6-7-8-9-10 Worst disability
This category refers to basic life supporting behaviors such as eating, sleeping and breathing.	

Appendix e: Anxiety and need for information

Anxiety and need for information

Please circle the number on the scale that describes your experience:

The Amsterdam Preoperative Anxiety and Information Scale (APAIS):	Not at all				Extremely
I am worried about the anesthetic	1	2	3	4	5
The anesthetic is on my mind continually	1	2	3	4	5
I am worried about the procedure	1	2	3	4	5
The procedure is on my mind continually	1	2	3	4	5
I would like to know as much as possible about the anesthetic	1	2	3	4	5
I would like to know as much as possible about the procedure	1	2	3	4	5

Appendix f: Pain Catastrophizing Scale (PCS)

Pain Catastrophizing Scale (PCS)

We are interested in the types of thoughts and feelings that you have when you are in pain. Listed below are thirteen statements describing different thoughts and feelings that may be associated with pain. Using the following scale, please indicate the degree to which you have these thoughts and feelings when you are experiencing pain.

0=not at all 1=to a slight degree 2=to a moderate degree 3=to a great degree 4=all the time

When I'm in pain .......
I worry all the time about whether the pain will end
I feel I can't go on
It's terrible and I think that it's never going to get any better
It's awful and I feel that it overwhelms me
I feel that I can't stand it any more
I become afraid that the pain will get worse
I keep thinking of other painful events

8. I anxiously want the pain to go away 9. I can't seem to keep it out of my mind 10. I keep thinking about how much it hurts 11. I keep thinking about how badly I want the pain to stop 12. There's nothing I can do to reduce the intensity of the pain 13. I wonder whether something serious may happen 

Appendix g: Pain Sensitivity Questionnaire

Pain Sensitivity Questionnaire

This questionnaire contains a series of questions in which you should imagine yourself in certain

situations. You should then decide if these situations would be painful for you and if yes, how painful

they would be.

# Let 0 stand for no pain; 1 is an only just noticeable pain arid 10 the most severe pain that you can imagine or consider possible.

Please mark the scale with a cross on the number that is most true for you. Keep in mind that there are no "right" or "wrong" answers; only your personal assessment of the situation counts. Please try as much as possible not to allow your fear or aversion of the imagined situations affect your assessment of painfulness.

1. Imagine you bump your shin badly on a hard edge, for example, on the edge of a glass coffee

table. How painful would that be for you?

0 = not at all painful, 10= most severe pain imaginable

0-----7-----8-----9-----10

2. Imagine you burn your tongue on a very hot drink.

3. Imagine your muscles are slightly sore as the result of physical activity.

0------7-----8------9-----10

4. Imagine you trap your finger in a drawer.

5. Imagine you take a shower with lukewarm water.

6. Imagine you have mild sunburn on your shoulders.

	08910
7.	Imagine you grazed your knee falling off your bicycle. 012345678910
8.	Imagine you accidentally bite your tongue or cheek badly while eating. 012345678910
9.	Imagine walking across a cool tiled floor with bare feet. 012345678910
10	Imagine you have a minor cut on your finger and inadvertently get lemon juice in the wound.
11	. Imagine you prick your fingertip on the thorn of a rose.
12	. Imagine you stick your bare hands in the snow for a couple of minutes or bring your hands
	in contact with snow for some time, for example, while making snowballs. 01235678910
13	. Imagine you shake hands with someone who has a normal grip. 012345678910
14	. Imagine you shake hands with someone who has a very strong grip. 012345678910
15	. Imagine you pick up a hot pot by inadvertently grabbing its equally hot handles. 012345678910
16	. Imagine you are wearing sandals and someone with heavy boots steps on your foot. 012345678910

17. Imagine you bump your elbow on the edge of a table ("funny bone"). 0-----1-----2-----3-----5------6------7-----8-------10

for open teries only

Appendix h: Chronic pain

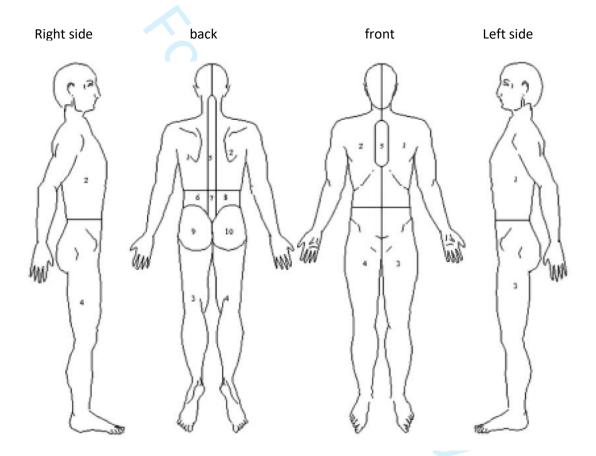
Chronic pain

Did you experience any pain in the last month that lasted for a day or more?

□ Yes, next question

□ No

Can you indicate in the drawings below where you suffer (have suffered) from pain?



Is this the same spot as the spot you are operated on? Yes/no Does the pain differ from the pain before surgery? Yes/no How long have you been affected by the above-mentioned pain?

- Less than three months
- More than three months

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#### Appendix i: Inventory of depressive symptomatology (self-report) (IDS-SR) INVENTORY OF DEPRESSIVE SYMPTOMATOLOGY (SELF-REPORT) (IDS-SR) NAME: TODAY'S DATE Please circle the one response to each item that best describes you for the past seven days. 1. Falling Asleep: Feeling Anxious or Tense: 0 I never take longer than 30 minutes to fall 0 I do not feel anxious or tense. asleep. I feel anxious (tense) less than half the time. I take at least 30 minutes to fall asleep, less 2 I feel anxious (tense) more than half the time. 1 than half the time. 3 I feel extremely anxious (tense) nearly all of the 2 I take at least 30 minutes to fall asleep, more time. than half the time. 3 I take more than 60 minutes to fall asleep, more than half the time. 8. Response of Your Mood to Good or Desired Events: 2. Sleep During the Night: 0 My mood brightens to a normal level which lasts for several hours when good events occur. 0 I do not wake up at night. 1 My mood brightens but I do not feel like my I have a restless, light sleep with a few brief normal self when good events occur. 1 awakenings each night. 2 My mood brightens only somewhat to a rather 2 I wake up at least once a night, but I go back to limited range of desired events. sleep easily. 3 My mood does not brighten at all, even when 3 I awaken more than once a night and stay very good or desired events occur in my life. awake for 20 minutes or more, more than half the time. 9. Mood in Relation to the Time of Day: 3. Waking Up Too Early: 0 There is no regular relationship between my 0 Most of the time. I awaken no more than 30 mood and the time of day. 1 My mood often relates to the time of day minutes before I need to get up. 1 More than half the time, I awaken more than 30 because of environmental events (e.g., being minutes before I need to get up. alone, working). 2 In general, my mood is more related to the time 2 I almost always awaken at least one hour or so before I need to, but I go back to sleep of day than to environmental events. eventually. 3 My mood is clearly and predictably better or I awaken at least one hour before I need to, and worse at a particular time each day. 3 can't go back to sleep. 9A. Is your mood typically worse in the morning, 4. Sleeping Too Much: afternoon or night? (circle one) 0 I sleep no longer than 7-8 hours/night, without 9B. Is your mood variation attributed to the napping during the day. environment? (yes or no) (circle one) 1 I sleep no longer than 10 hours in a 24-hour period including naps. 2 I sleep no longer than 12 hours in a 24-hour 10. The Quality of Your Mood: period including naps. 3 I sleep longer than 12 hours in a 24-hour period 0 The mood (internal feelings) that I experience is including naps. very much a normal mood. My mood is sad, but this sadness is pretty 1 much like the sad mood I would feel if someone 5. Feeling Sad: close to me died or left. 0 I do not feel sad 2 My mood is sad, but this sadness has a rather I feel sad less than half the time. different quality to it than the sadness I would 2 I feel sad more than half the time. feel if someone close to me died or left. 3 I feel sad nearly all of the time. 3 My mood is sad, but this sadness is different from the type of sadness associated with grief 6. Feeling Irritable: or loss. 0 I do not feel irritable. I feel irritable less than half the time. 2 I feel irritable more than half the time. 3 I feel extremely irritable nearly all of the time.

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#### Please complete either 11 or 12 (not both)

- 11. Decreased Appetite:
  - 0 There is no change in my usual appetite.
  - I eat somewhat less often or lesser amounts of 1 food than usual.
  - 2 I eat much less than usual and only with personal effort.
  - 3 I rarely eat within a 24-hour period, and only with extreme personal effort or when others persuade me to eat.
- 12. Increased Appetite:
  - 0 There is no change from my usual appetite.
  - I feel a need to eat more frequently than usual.
  - 2 I regularly eat more often and/or greater amounts of food than usual.
  - 3 I feel driven to overeat both at mealtime and between meals.

#### Please complete either 13 or 14 (not both)

- 13. Decreased Weight (Within the Last Two Weeks):
  - 0 I have not had a change in my weight.
  - I feel as if I've had a slight weight loss. 1
  - I have lost 2 pounds or more. 2
  - 3 I have lost 5 pounds or more.

#### 14. Increased Weight (Within the Last Two Weeks):

- 0 I have not had a change in my weight.
- I feel as if I've had a slight weight gain.
- I have gained 2 pounds or more. 2
- 3 I have gained 5 pounds or more.
- 15. Concentration/Decision Making:
  - 0 There is no change in my usual capacity to concentrate or make decisions.
  - 1 I occasionally feel indecisive or find that my attention wanders.
  - 2 Most of the time, I struggle to focus my attention or to make decisions.
  - 3 I cannot concentrate well enough to read or cannot make even minor decisions.

#### 16. View of Myself:

- I see myself as equally worthwhile and 0 deserving as other people.
- I am more self-blaming than usual.
- 2 I largely believe that I cause problems for
- others. I think almost constantly about major and minor 3 defects in myself.

- 17. View of My Future:
- 0 I have an optimistic view of my future.
  - 1 I am occasionally pessimistic about my future, but for the most part I believe things will get better.
  - 2 I'm pretty certain that my immediate future (1-2 months) does not hold much promise of good things for me.
  - 3 I see no hope of anything good happening to me anytime in the future.
- 18. Thoughts of Death or Suicide:
  - 0
  - I do not think of suicide or death. I feel that life is empty or wonder if it's worth 1
  - living.
  - 2 I think of suicide or death several times a week for several minutes.
  - 3 I think of suicide or death several times a day in some detail, or I have made specific plans for suicide or have actually tried to take my life.
- 19. General Interest:
  - 0 There is no change from usual in how
  - interested I am in other people or activities. 1 I notice that I am less interested in people or activities.
  - 2 I find I have interest in only one or two of my formerly pursued activities.
  - 3 I have virtually no interest in formerly pursued activities
- 20. Energy Level:
  - 0 There is no change in my usual level of energy.
  - I get tired more easily than usual.
  - 2 I have to make a big effort to start or finish my usual daily activities (for example, shopping, homework, cooking or going to work).
  - 3 I really cannot carry out most of my usual daily activities because I just don't have the energy.
- 21. Capacity for Pleasure or Enjoyment (excluding sex):
  - 0 I enjoy pleasurable activities just as much as usual.
  - 1 I do not feel my usual sense of enjoyment from pleasurable activities.
  - 2 I rarely get a feeling of pleasure from any activity.
  - 3 I am unable to get any pleasure or enjoyment from anything.

58 59 60

1

#### 22. Interest in Sex (Please Rate Interest, not Activity):

- 0 I'm just as interested in sex as usual.
- My interest in sex is somewhat less than usual or I do not get the same pleasure from sex as I used to.
- I have little desire for or rarely derive pleasure from sex.
- 3 I have absolutely no interest in or derive no pleasure from sex.
- 23. Feeling slowed down:
  - 0 I think, speak, and move at my usual rate of speed.
  - I find that my thinking is slowed down or my voice sounds dull or flat.
  - 2 It takes me several seconds to respond to most questions and I'm sure my thinking is slowed.
  - 3 I am often unable to respond to questions without extreme effort.

#### 24. Feeling restless:

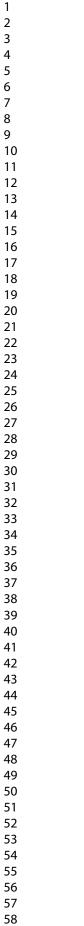
- 0 I do not feel restless.
- 1 I'm often fidgety, wring my hands, or need to shift how I am sitting.
- 2 I have impulses to move about and am quite restless.
- 3 At times, I am unable to stay seated and need to pace around.

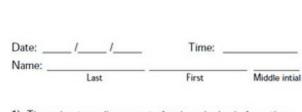
#### 25. Aches and pains:

- 0 I don't have any feeling of heaviness in my arms or legs and don't have any aches or pains.
- Sometimes I get headaches or pains in my stomach, back or joints but these pains are only sometime present and they don't stop me from doing what I need to do.
- I have these sorts of pains most of the time.
   These pains are so bad they force me to stop what I am doing.
- 26. Other bodily symptoms:
  - 0 I don't have any of these symptoms: heart pounding fast, blurred vision, sweating, hot and cold flashes, chest pain, heart turning over in my chest, ringing in my ears, or shaking.
  - I have some of these symptoms but they are mild and are present only sometimes.
  - I have several of these symptoms and they bother me quite a bit.
     I have several of these symptoms and when
  - 3 I have several of these symptoms and when they occur I have to stop doing whatever I am doing.

Range 0-84 Score:

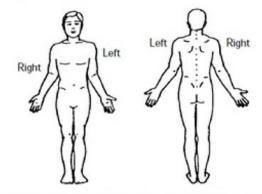
- 27. Panic/Phobic symptoms:
  - 0 I have no spells of panic or specific fears (phobia) (such as animals or heights).
  - I have mild panic episodes or fears that do not usually change my behavior or stop me from functioning.
  - 2 I have significant panic episodes or fears that force me to change my behavior but do <u>not</u> stop me from functioning.
  - 3 I have panic episodes at least once a week or severe fears that stop me from carrying on my daily activities.
- 28. Constipation/diarrhea:
  - 0 There is no change in my usual bowel habits.
  - I have intermittent constipation or diarrhea which is mild.
  - 2 I have diarrhea or constipation most of the time but it does not interfere with my day-to-day functioning.
  - 3 I have constipation or diarrhea for which I take medicine or which interferes with my day-to-day activities.
- 29. Interpersonal Sensitivity:
  - 0 I have not felt easily rejected, slighted, criticized or hurt by others at all.
  - I have occasionally felt rejected, slighted, criticized or hurt by others.
  - 2 I have often felt rejected, slighted, criticized or hurt by others, but these feelings have had only slight effects on my relationships or work.
  - 3 I have often felt rejected, slighted, criticized or hurt by others and these feelings have impaired my relationships and work.
- 30. Leaden Paralysis/Physical Energy:
  - 0 I have not experienced the physical sensation of feeling weighted down and without physical energy.
  - 1 I have occasionally experienced periods of feeling physically weighted down and without physical energy, but without a negative effect on work, school, or activity level.
  - 2 I feel physically weighted down (without physical energy) more than half the time.
  - 3 I feel physically weighted down (without physical energy) most of the time, several hours per day, several days per week.





Appendix j: Brief Pain Inventory

- 1) Throughout our lives, most of us have had pain from time to time (such as minor headaches, sprains, and toothaches). Have you had pain other than these everyday kinds of pain today?
  - 1. Yes 2. No
- 2) On the diagram, shade in the areas where you feel pain. Put an X on the area that hurts the most.



3) Please rate your pain by circling the one number that best describes your pain at its worst in the past 24 hours.

0	1	2	3	4	5	6	7	8	9	10
No								Pain	as ba	d as
pair	1						2	you ca	n ima	igine

4) Please rate your pain by circling the one number that best describes your pain at its least in the past 24 hours.

0	1	2	3	4	5	6	7	8	9	10
No								Pain	as ba	id as
pair	1							you ca	n ima	igine

5) Please rate your pain by circling the one number that best describes your pain on average.

0	1	2	3	4	5	6	7	8	9	10
No								Pain	as ba	id as
pair	1						3	you ca	n ima	igine

6) Please rate your pain by circling the one number that tells how much pain you have right now.

0	1	2	3	4	5	6	7	8	9	10
No								Pain	as ba	id as
pair	ı							you ca	n ima	igine

- 7) What treatments or medications are you receiving for your pain?
- 8) In the past 24 hours, how much relief have pain treatments or medications provided? Please circle the one percentage that most shows how much relief you have received.

0% No	10	20	30	40	50	60	70	80	90 Co	100% mplete
relief										relief
) Cir pas		he on hour								the

A. General activity

9

10 0.01	10101		9						
0 1 Does not interfere	-	3	4	5	6	7		9 omple interf	
B. Mod	bd								
0 1 Does not interfere		3	4	5	6	7		9 omple interf	
C. Wa	lking	ability	1						
0 1 Does not interfere	2	3	4	5	6	7		9 omple interf	0.000
D. Nor and		work ewor		des bo	oth wo	ork out	tside t	he ha	ome
0 1 Does not interfere	0.000	3	4	5	6	7		9 omple interf	etely
E. Rela	tions	with	other	peopl	le				
0 1 Does not interfere	2	3	4	5	6	7		9 omple interf	
F. Sleep	þ								
0 1 Does not interfere	2	3	4	5	6	7		9 omple interf	1.5.5
G. Enjo	oyme	nt of	life						
0 1	2	3	4	5	6	7	8	9	10

0 Doe: inter	s not fere	2	3	4	5	6	/		9 omple interf	
F.	Sleep	0								
0 Doe: inter	1 s not fere	2	3	4	5	6	7		9 omple interf	1.5
G.	Enjo	oyme	nt of	life						
0 Doe:	1 s not	2	3	4	5	6	7	8 Co	9 omple	10 etely

interfere

interferes

# Reporting checklist for genetic association study.

Based on the STREGA guidelines.

# Instructions to authors

Title

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the STREGAreporting guidelines, and cite them as:

Little J, Higgins JP, Ioannidis JP, Moher D, Gagnon F, von Elm E, Khoury MJ, Cohen B, Davey-Smith

G, Grimshaw J, Scheet P, Gwinn M, Williamson RE, Zou GY, Hutchings K, Johnson CY, Tait V,

Wiens M, Golding J, van Duijn C, McLaughlin J, Paterson A, Wells G, Fortier I, Freedman M, Zecevic

M, King R, Infante-Rivard C, Stewart A, Birkett N; STrengthening the REporting of Genetic

Association Studies. STrengthening the REporting of Genetic Association Studies (STREGA): An

Extension of the STROBE Statement.

Reporting Item

Page Number

## Title and abstract

#1a Indicate the study's design with a commonly used 1 term in the title or the abstract

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1 2	Abstract	<u>#1b</u>	Provide in the abstract an informative and	3
3 4			balanced summary of what was done and what	
5 6			was found	
7				
8 9	Background/rationale			
10 11				
12 13		<u>#2</u>	Explain the scientific background and rationale for	6
14			the investigation being reported	
15 16				
17 18	Objectives			
19 20				
21		<u>#3</u>	State specific objectives, including any	9
22 23 24			prespecified hypotheses. State if the study is the	
25 26			first report of a genetic association, a replication	
27 28			effort, or both.	
29				
30 31	Study design			
32 33				
34 35		<u>#4</u>	Present key elements of study design early in the	10
36			paper	
37 38				
39 40	Setting			
41 42		#5	Describe the actting locations, and relevant	10
43		<u>#5</u>	Describe the setting, locations, and relevant	10
44 45			dates, including periods of recruitment, exposure,	
46 47			follow-up, and data collection	
48 49				
50	Eligibility criteria			
51 52				
53 54		<u>#6a</u>	Cohort study – Give the eligibility criteria, and the	11
55 56			sources and methods of selection of participants.	
57 58			Describe methods of follow-up. Case-control	
59	-	or poor row	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	
60	F	or heet ten	iew only - http://binjopen.binj.com/site/about/guidelines.xhtml	

1 2			study – Give the eligibility criteria, and the sources	
3 4			and methods of case ascertainment and control	
5 6			selection. Give the rationale for the choice of	
7 8			cases and controls. Cross-sectional study – Give	
9 10			the eligibility criteria, and the sources and	
11 12 13			methods of selection of participants. Give	
14 15			information on the criteria and methods for	
16 17			selection of subsets of participants from a larger	
18 19			study, when relevant.	
20 21				
22 23		<u>#6b</u>	Cohort study – For matched studies, give	n/a, not matched
24 25			matching criteria and number of exposed and	study
26 27			unexposed. Case-control study – For matched	
28 29			studies, give matching criteria and the number of	
30 31 32			controls per case.	
33 34	Variables			
35 36	Vanabics			
37 38		<u>#7a</u>	Clearly define all outcomes, exposures,	11, 14-16
39 40			predictors, potential confounders, and effect	
41 42			modifiers. Give diagnostic criteria, if applicable	
43 44 45		<u>#7b</u>	Clearly define genetic exposures (genetic	16
46 47			variants) using a widely-used nomenclature	
48 49			system. Identify variables likely to be associated	
50 51 52			with population stratification (confounding by	
53 54			ethnic origin).	
55 56	- /			
57 58	Data			
59 60		For peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1	sources/measurement
2	

2 3				
4 5		<u>#8a</u>	For each variable of interest give sources of data	11, 14-16
6 7			and details of methods of assessment	
8 9			(measurement). Describe comparability of	
10 11			assessment methods if there is more than one	
12 13			group. Give information separately for for exposed	
14 15 16			and unexposed groups if applicable.	
17 18 19		<u>#8b</u>	Describe laboratory methods, including source	16
20 21			and storage of DNA, genotyping methods and	
22 23			platforms (including the allele calling algorithm	
24 25 26			used, and its version), error rates and call rates.	
27 28			State the laboratory / centre where genotyping	
29 30			was done. Describe comparability of laboratory	
31 32			methods if there is more than one group. Specify	
33 34 35			whether genotypes were assigned using all of the	
36 37			data from the study simultaneously or in smaller	
38 39			batches.	
40 41 42 43	Bias			
44 45 46		<u>#9a</u>	Describe any efforts to address potential sources	21
47 48			of bias	
49 50 51		<u>#9b</u>	Describe any efforts to address potential sources	21
52 53			of bias	
54 55 56	Study size			
57 58				
59 60		For peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2		<u>#10</u>	Explain how the study size was arrived at	17
3 4 5 6	Quantitative variables			
7 8		<u>#11</u>	Explain how quantitative variables were handled	18
9 10 11			in the analyses. If applicable, describe which	
12 13			groupings were chosen, and why. If applicable,	
14 15			describe how effects of treatment were dealt with.	
16 17 18 19	Statistical methods			
20 21		<u>#12a</u>	Describe all statistical methods, including those	18-19
22 23 24			used to control for confounding. State software	
24 25 26			version used and options (or settings) chosen.	
27 28 29		<u>#12b</u>	Describe any methods used to examine	n/a to protocol
30 31			subgroups and interactions	paper.
32 33 34		<u>#12c</u>	Explain how missing data were addressed	n/a to protocol
35 36 37				paper.
37 38 39		#12d	If applicable, explain how loss to follow-up was	n/a to protocol
40 41			addressed	paper.
42 43 44				
45 46		<u>#12e</u>	Describe any sensitivity analyses	n/a to protocol
47 48				paper.
49 50 51		<u>#12f</u>	State whether Hardy-Weinberg equilibrium was	18
51 52 53			considered and, if so, how.	
54 55		<u>#12g</u>	Describe any methods used for inferring	18
56 57 58			genotypes or haplotypes	
59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2		<u>#12h</u>	Describe any methods used to assess or address	18
3 4 5			population stratification.	
6 7		<u>#12i</u>	Describe any methods used to address multiple	18
8 9 10			comparisons or to control risk of false positive	
11 12			findings.	
13 14 15		<u>#12j</u>	Describe any methods used to address and	18
16 17			correct for relatedness among subjects	
18 19 20	Participants			
21 22				
23 24		<u>#13a</u>	Report numbers of individuals at each stage of	n/a to protocol
25 26			study—eg numbers potentially eligible, examined	paper.
27 28			for eligibility, confirmed eligible, included in the	Participant
29 30			study, completing follow-up, and analysed. Give	enrollment is not
31 32 33			information separately for for exposed and	finished yet
34 35			unexposed groups if applicable. Report numbers	
36 37			of individuals in whom genotyping was attempted	
38 39 40			and numbers of individuals in whom genotyping	
41 42			was successful.	
43 44 45		<u>#13b</u>	Give reasons for non-participation at each stage	n/a to protocol
45 46 47				paper.
48 49				Participant
50 51				enrollment is not
52 53 54				finished yet
55 56		#13c	Consider use of a flow diagram	See figure 1
57 58		<u>,, 100</u>		
59 60		For peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2 3	Descriptive data			
4 5		<u>#14a</u>	Give characteristics of study participants (eg	n/a to protocol
6 7			demographic, clinical, social) and information on	paper.
8 9 10			exposures and potential confounders. Give	Participant
10 11 12			information separately for exposed and	enrollment is not
13 14			unexposed groups if applicable. Consider giving	finished yet
15 16 17			information by genotype	
18 19 20		<u>#14b</u>	Indicate number of participants with missing data	
20 21 22			for each variable of interest	
23 24 25		<u>#14c</u>	Cohort study – Summarize follow-up time, e.g.	
26 27			average and total amount.	
28 29 30	Outcome data			
30 31 32				
33 34		<u>#15</u>	Cohort study Report numbers of outcome events	n/a to protocol
35 36			or summary measures over time. Give information	paper.
37 38			separately for exposed and unexposed groups if	Participant
39 40			applicable. Report outcomes (phenotypes) for	enrollment is not
41 42			each genotype category over time Case-control	finished yet
43 44 45			study – Report numbers in each exposure	
43 46 47			category, or summary measures of exposure.Give	
48 49			information separately for cases and controls .	
50 51			Report numbers in each genotype category.	
52 53			Cross-sectional study – Report numbers of	
54 55 56			outcome events or summary measures. Give	
57 58			information separately for exposed and	
59 60		For peer revie	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2			unexposed groups if applicable. Report outcomes	
3 4 5			(phenotypes) for each genotype category	
6 7	Main results			
8 9		<u>#16a</u>	Give unadjusted estimates and, if applicable,	n/a to protocol
10 11 12			confounder-adjusted estimates and their precision	paper.
13 14			(eg, 95% confidence interval). Make clear which	Participant
15 16			confounders were adjusted for and why they were	enrollment is not
17 18 19			included	finished yet
20 21 22		<u>#16b</u>	Report category boundaries when continuous	
23 24 25			variables were categorized	
26 27		<u>#16c</u>	If relevant, consider translating estimates of	
28 29 30			relative risk into absolute risk for a meaningful	
31 32			time period	
33 34 35		<u>#16d</u>	Report results of any adjustments for multiple	
36 37 38			comparisons	
39 40	Other analyses			
41 42 43		#17a	Report other analyses done—e.g., analyses of	n/a to protocol
43 44 45		<u></u>	subgroups and interactions, and sensitivity	paper.
46 47			analyses	Participant
48 49			,	enrollment is not
50 51 52				finished yet
53 54				-
55 56		<u>#17b</u>	Report other analyses done—e.g., analyses of	
57 58 59			subgroups and interactions, and sensitivity	
60		For peer revie	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2			analyses	
3 4		<u>#17c</u>	Report other analyses done—e.g., analyses of	
5 6 7			subgroups and interactions, and sensitivity	
, 8 9			analyses	
10 11 12 13	Key results			
14 15		<u>#18</u>	Summarise key results with reference to study	n/a to protocol
16 17			objectives	paper.
18 19 20				Participant
20 21 22				enrollment is not
23 24				finished yet
25 26 27 28	Limitations			
29 30		<u>#19</u>	Discuss limitations of the study, taking into	21
31 32 33			account sources of potential bias or imprecision.	
33 34 35			Discuss both direction and magnitude of any	
36 37 38			potential bias.	
39 40 41	Interpretation			
42 43 44		<u>#20</u>	Give a cautious overall interpretation considering	21
45 46			objectives, limitations, multiplicity of analyses,	
47 48			results from similar studies, and other relevant	
49 50			evidence.	
51 52 53 54	Generalisability			
55 56		<u>#21</u>	Discuss the generalisability (external validity) of	21
57 58 59			the study results	
60		For peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2 2	Funding
3 4 5	<b>#22</b> Give the source of funding and the role of the 23
6 7	funders for the present study and, if applicable, for
8 9 10	the original study on which the present article is
11 12	based
13 14 15	None The STREGA checklist is distributed under the terms of the Creative Commons Attribution
16 17	License CC-BY. This checklist can be completed online using https://www.goodreports.org/, a tool
18 19	made by the EQUATOR Network in collaboration with Penelope.ai
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# **BMJ Open**

### Pain Predict Genetics: Protocol for a prospective observational study of clinical and genetic factors to predict the development of postoperative pain.

Journal:	BMJ Open
Manuscript ID	bmjopen-2022-066134.R1
Article Type:	Protocol
Date Submitted by the Author:	12-Sep-2022
Complete List of Authors:	LI, SONG; Radboudumc Radboud Institute for Health Sciences, Department of Human Genetics van Boekel, Regina L.M.; Radboudumc, Department of Anesthesiology, Pain and Palliative Medicine van den Heuvel, Sandra ; Radboudumc, Department of Anesthesiology, Pain and Palliative Medicine Coenen, Marieke J.H.; Radboudumc Radboud Institute for Health Sciences, Department of Human Genetics Vissers, Kris ; Radboudumc, Department of Anesthesiology, Pain and Palliative Medicine
<b>Primary Subject Heading</b> :	Surgery
Secondary Subject Heading:	Epidemiology, Genetics and genomics, Surgery
Keywords:	PAIN MANAGEMENT, GENETICS, SURGERY, EPIDEMIOLOGY

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1	Pain Predict Gene	tics: Protocol for a prospective observational			
2	study of clinical and genetic factors to predict the development				
3	of postoperative pa	ain.			
4	•. • • • • • • • • • •				
5	Song Li <sup>1</sup> . Regina L.M. van Bo	ekel <sup>2</sup> , Sandra A.S. van den Heuvel <sup>2</sup> , Marieke J.H. Coenen <sup>1</sup> , Kris C.P			
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	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 22 23 24 25 26	<ul> <li>study of clinical and</li> <li>of postoperative particle</li> <li>Song Li<sup>1</sup>, Regina L.M. van Bo</li> <li>Vissers<sup>2</sup></li> <li>Authors' Affiliations: <ul> <li><sup>1</sup> Department of Human Gen</li> <li>medical center, Nijmegen, The</li> </ul> </li> <li><sup>2</sup> Department of Anesthesiol</li> <li>center, Nijmegen, The Nether</li> <li>Song Li: <ul> <li>Regina (Rianne) van Boekel:</li> <li>Song Li:</li> <li>Regina (Rianne) van Boekel:</li> </ul> </li> <li>Sandra van den Heuvel</li> <li>Marieke Coenen: <ul> <li>Kris C.P. Vissers</li> </ul> </li> <li>Corresponding author: <ul> <li>Regina L.M. van Boekel</li> </ul> </li> <li>Radboud University Medical</li> <li>Department of Anesthesiolo</li> <li>PO Box 9101, intern 549</li> <li>6500 HB, Nijmegen, The Nether</li> </ul>			

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11	31	The number of text pages: 28
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13	22	The actual number of figures and tables: 1 Figure 1 Table, and 1 Supplementary file
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1 2		
2 3 4	34	Abstract
5 6	35	Introduction Postoperative pain remains a challenging medical condition impacting the quality
7 8 9	36	of life of every patient. Although several predictive factors for postoperative pain have been
10 11	37	identified, an adequate prediction of postoperative pain in patients at risk has not been
12 13 14	38	achieved yet.
15 16 17	39	The primary objective of this study is to identify specific genetic risk factors for the development
18 19	40	of acute and chronic postoperative pain to construct a prediction model facilitating a more
20 21 22	41	personalized postoperative pain management for each individual. The secondary objectives are
23 24	42	to build a databank enabling researchers to identify other risk factors for postoperative pain, for
25 26	43	instance, demographic and clinical outcome indicators; provide insight into (genetic) factors that
27 28 29	44	predict pharmacological pain relief; investigate the relationship between acute and chronic
30 31 32	45	postoperative pain.
33 34 35	46	Methods and analysis In this prospective, observational study, patients who undergo elective
36 37	47	surgery will be recruited to a sample size of approximately 10,000 patients. Postoperative acute
38 39	48	and chronic pain outcomes will be collected through questionnaires at different time points
40 41 42	49	after surgery in the follow-up of six months. Potential genetic, demographic, and clinical risk
43 44	50	factors for prediction model construction will be collected through blood, questionnaires, and
45 46 47	51	electronic health records, respectively.
48 49 50	52	Genetic factors associated with acute and/or chronic postoperative pain will be identified using
51 52 53 54	53	a genome-wide association (GWA) analysis. Clinical risk factors as stated in the secondary
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3 4	54	objectives will be assessed by multivariable regression. A clinical easy-to-use prediction model
5 6 7	55	will be created for postoperative pain to allow clinical use for the stratification of patients.
8 9 10	56	Ethics and dissemination The Institutional Review Board of the Radboud university medical
11 12	57	center approved the study (authorization number: 2012/117). The results of this study will be
13 14	58	made available through peer-reviewed scientific journals and presentations at relevant
15 16 17	59	conferences, which will finally contribute to personalized postoperative pain management.
18 19 20	60	Trial registration number NCT02383342
21 22 23 24	61	
25 26	62	Keywords: Postoperative pain, Genome-wide association study (GWAS), Risk factor, Prediction
27 28 29	63	model, Pharmacogenetics
30 31 32	64	
33 34 35	65	model, Pharmacogenetics
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2 3 4	68	Strengths and limitations of this study
5 6	69	<ul> <li>This is a large prospective study to identify genetic and other risk factors for</li> </ul>
7 8	70	postoperative pain.
9 10 11	71	We will build a databank with comprehensive interdisciplinary measurements that assess
12 13	72	postoperative pain from multiple perspectives.
14 15		
16 17	73	<ul> <li>Outcome measurements of pain by patient-reported outcomes, rather than evaluated by</li> </ul>
18 19	74	professionals.
20 21	75	• The investigating biomarkers of postoperative pain are limited to genetic variants.
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	77	Introduction
	78	Pain after surgery remains a challenging medical and societal problem <sup>1</sup> . Pain is one of the most
	79	common postsurgical side effects, with moderate to severe acute postoperative pain occurring
)	80	in about 41% of the patients <sup>2-4</sup> . Severe postoperative pain is associated with an increased
2 3 1	81	incidence of postoperative complications <sup>5</sup> , including prolonged hospital stay, readmissions, and
5	82	significant reduction of patient satisfaction and quality of life <sup>67</sup> . Besides, acute postoperative
7 3	83	pain is associated with chronic pain development after surgery <sup>8</sup> . A recent position paper from
, ) 	84	the International Association for the Study of Pain stated that among the almost 40 million
2 3	85	people undergoing surgery globally each year, one out of ten develops chronic postsurgical pain
+ 5 5	86	(CPSP), and one out of hundred suffers from severe CPSP, which will negatively affect patients'
7 3	87	quality of life <sup>9</sup> . In addition, postoperative pain is a considerable burden on health care service
) ) 	88	costs, both directly due to patients' increased consumption of medical care and indirectly due to
2 3	89	absenteeism, reduced productivity, and increased social welfare payments <sup>10-15</sup> .
1 5 5	90	The management of both acute postoperative pain <sup>2 16</sup> and CPSP <sup>2 17</sup> has remained suboptimal.
, 7 3	91	Despite major investments in clinical protocols and guidelines for structural pain management,
) )	92	infrastructure, and acute pain services (APS), no significant outcome improvements in the
1 <u>2</u> 3	93	quality of postoperative pain management for individual patients have been achieved in the last
1 5	94	fifteen years <sup>10 11</sup> .
5 7 2	-	
) )	95	Given the high incidence of postoperative pain, identifying patients at risk for CPSP before the
 <u>)</u>	96	operation is important to apply more personalized pain prevention strategies. The most
3 1	97	important demographic and clinical risk factors for postoperative pain are younger age, female
5 7	98	sex, smoking, history of depressive symptoms, anxiety symptoms, sleep difficulties, higher body
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99	mass index, presence of preoperative pain, and use of preoperative analgesics <sup>18</sup> . Based on
100	these factors, models have been developed to predict severe acute postoperative pain $^{1920}$ and
101	CPSP <sup>21 22</sup> . A recent study has evaluated a presurgical risk score for CPSP in a prospective cohort,
102	and it reliably identified about 70% of the patients undergoing surgeries at risk of CPSP <sup>23 24</sup> .
103	As a multifactorial trait, the incidence variation of CPSP in the population can be explained
104	partly by the demographic and clinical risk factors mentioned above, and partly due to the
105	genetic and epigenetic differences among patients <sup>25 26</sup> . To improve the accuracy and power of
106	prediction, efforts have been made to predict CPSP using genetic variants <sup>21 24</sup> . However, no
107	unequivocal genetic predictors have been found yet. In addition, many exploratory studies
108	investigated the possible role of candidate genes in postoperative pain development. In
109	particular, associations have been found between CPSP and the $\mu$ -opioid receptor (OPRM1) and
110	catechol-O-methyl transferase (COMT) genes <sup>27 28</sup> . Still, these results have not been confirmed
111	by others. OPRM1 is also associated with basal pain sensitivity differences <sup>29</sup> , which could be
112	caused by the altered opioid binding potential in the central nervous system <sup>30</sup> . More recently,
113	hypothesis-free methods, such as genome-wide association studies, have been applied for CPSP
114	to identify markers across the genome <sup>31 32</sup> . One of the studies showed that a genetic variant in
115	the protein-kinase C gene is linked to neuropathic pain after complete joint replacement. This
116	gene is involved in long-term potentiation, synaptic plasticity, chronic pain, and memory,
117	indicating that this gene may be relevant for neuropathic pain initiation. The disadvantage of
118	this study is that it was small in terms of patient numbers and only focused on one specific
119	surgical procedure.

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20 Besides genetic variants for altered pain sensitivity, also gene variants in drug metabolism can play a role. Understanding the reasons for ineffective treatment can facilitate the early 21 22 identification of patients at risk and provide more effective and customized postoperative 23 management. Some associated genes with pain treatment outcomes are also involved in pain development, such as COMT<sup>33-35</sup>. Genes involved in the action site of active drugs or the drugs' 24 25 metabolism might play a role in the therapeutic response of this drug. A well-known example is 26 the cytochrome P450 (CYP) family investigated for several drugs (e.g., codeine and tramadol) <sup>36</sup>. 27 However, this area has never been charted in a large population <sup>37</sup>. 28 To date, adequate prediction of patients at risk for postoperative pain in clinical practice has not been achieved for several reasons. First, although many demographic, clinical, and lifestyle 29 factors of postoperative pain have been reported <sup>18</sup>, a lack of consensus on the best outcome 30 indicators for postoperative pain management <sup>38</sup><sup>39</sup> hinders choosing the proper outcome 31 32 variables for prediction model construction. Second, the potential genetic risk factors of postoperative pain prediction remain obscure. The role of genetic factors in postoperative pain 33 have not been investigated sufficiently, making it challenging to select appropriate genetic risk 34 35 factors to construct a prediction model. Third, when prediction models are updated, external 36 validation (i.e., in a new population) is important before being implemented in a clinical setting <sup>40-43</sup>, which is often difficult due to the lack of validation cohorts. For these reasons, we 37 38 hypothesize that a global structural multicenter diagnostic program of postoperative pain in a surgical patient population will be valuable for better identifying patients at risk of CPSP and 39 ultimately preventing postoperative pain using individualized pharmacological and non-40

141 pharmacological interventions.

1 2 3 4 5 6	142	
6 7 8	143	Objectives
8 9 10	144	The primary objective of the Pain Predict Genetics (PPG) study is to identify genetic risk factors
11 12	145	for acute and chronic postoperative pain development and to construct a prediction model for
13 14 15	146	personalized postoperative pain management.
16 17 18	147	The secondary objectives of the PPG study are to build a databank enabling researchers to 1)
19 20	148	identify other risk factors for the development of acute and chronic postoperative pain; 2)
21 22 23	149	provide insights into complications and other clinical outcome indicators after surgery; 3)
24 25	150	provide insights into the relationship between acute and chronic postoperative pain; 4) identify
26 27 28	151	(genetic) factors that predict pharmacological pain relief. The databank will be open to the
29 30	152	public with access fees, and reasonable requests will be discussed in the research group before
31 32 33	153	approval.
34 35 36	154	The extensive data collection on (chronic) postoperative pain development of patients
37 38	155	undergoing surgery offers many possibilities for additional research questions using
39 40 41	156	conventional statistical methods and artificial intelligence, e.g., machine learning. The cohort
42 43	157	could be used to 1) conduct epidemiological studies; 2) investigate other parameters (for
44 45 46	158	example, types of surgery) that are involved in the development of chronic postoperative pain;
40 47 48	159	3) validate new prediction models for (chronic) postoperative pain; 4) identify factors for the
49 50 51	160	postoperative outcome (for example, death, long-term hospitalization, complications); 5)
52 53	161	collaborate with other groups to perform large-scale analysis to identify predictors for the
54 55 56 57	162	development of (chronic) postoperative pain.
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3 4	163	Methods and analyses
5	105	
6 7	164	Study design
, 8 9	165	A prospective, observational study of 10,000 patients will undergo elective surgery. This study
10 11	166	will run for at least ten years, during which period it must be possible to include the intended
12 13 14	167	number of patients. Patient inclusion after CMO (Human Research Committee, in Dutch
14 15 16	168	Commissie Mensgebonden Onderzoek) approval was started in March 2015, and patient
17 18	169	inclusion was temporarily stopped in 2020 due to COVID restrictions. In the near future, this
19 20 21	170	study will be continued as a multicenter study; hospitals have already been approached and
22 23	171	indicated that they intend to participate.
24		
25 26	172	Patient and public involvement
27 28	173	During the design of the study the patients aided in the pilot phase of the questionnaires, during
29 30 31	174	the recruitment the patients are informed concerning the project. In addition, patient reported
32 33	175	outcomes will be used. Patients will be informed about the outcome of the study at several
34 35	176	moments (depending on the obtained results).
36 37		
38	177	Participants
39 40	178	Patients who undergo electvie surgry and are eligible for this study will be approached before
41	170	rations who undergo elective surgry and are engine for this study will be approached before
42 43 44	179	their planned surgery during the preoperative consultation. In this way, potential participants
44 45 46	180	will have sufficient time to consider the study information. If any questions arise, it is possible to
47 48	181	contact the researchers by telephone or ask the questions during the preoperative consultation.
49 50 51	182	During the preoperative consultation (outpatient clinic or by telephone), the physician
52 53	183	(assistant) will ask the patient if they are interested to participating in the study. If the patient is
54 55	184	willing to participate, the informed consent form will be signed and dated. If patients have an
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2 3 4	185	online preoperative consultation, this procedure will take place digitally, and patients receive							
5 6 7	186	the study forms (signed in advance) at home to return if they consent.							
8 9 10	187	Patients are eligible for study inclusion if they 1) are older or equal to 16 years; 2) undergo							
11 12	188	elective surgery with an incision, including cardiothoracic surgery (e.g., cardiomyotomy), general							
13 14	189	surgery (e.g., breast resection), neurological surgery (e.g., nerve decompression), oral and							
15 16 17	190	maxillofacial surgery (e.g., removal of head and neck benign and malignant tumors),							
18 19	191	otorhinolaryngology (e.g., tympanoplasty), plastic surgery (e.g., breast reconstruction), trauma							
20 21 22	192	and orthopedic surgery (e.g., arthroplasty), urology (e.g., prostatectomy) and vascular surgery							
23 24	193	(e.g., treatment of varicose veins); 3) can read and understand the patient information; 4) will							
25 26 27	194	provide informed consent. Patients will be excluded if they 1) intend to undergo another							
27 28 29	195	surgery within six months; 2) do not have enough knowledge of the language in words and							
30 31 32	196	understanding to complete questionnaires. Measurements							
33									
34 35	197	Measurements							
36 37	198	Questionnaires							
38 39	199	After written informed consent, participants will be asked to complete questionnaires before							
40 41 42	200	and after their surgery. An overview of the study workflow and data collection time points can							
43 44	201	be found in Figure 1 and Table 1. All patient data will be stored in an online digital database,							
45 46 47	202	Castor <sup>44</sup> . The reliability and validity of all questionnaires for measurement collection have been							
47 48 49	203	validated in the corresponding populations.							
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## Table 1: Overview of data collection

	то	Day -1	Surgery	Day 1	Day 2	Day 3	Week 1	Week 6	Month 3	Month 6
Informed consent	x									
Questionnaires			•	•			•	•	•	•
Demografic data		x								
Incision size		x		x						
Pain scores		x		x	x	x	x	x	x	x
Physical activities			4	x	x	x	х			
Pain disability index		x					x	x	x	x
APAIS		x								
PCS		x		1						
PSQ		x								
Chronic pain		x							x	x
IDS depression		x								
Brief pain inventory									x	x
Data electronic medical	file									
Physical status by ASA										x
Type of surgery							6/			x
Duration of surgery										x
Type of anesthesia										x
Complications										x
Hospital stay										x
Pain medication use										x
Incision size										x
Second surgery within 6 months										x

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General clinical outcome indicators						x
Body material*						
1x10 ml blood for DNA		х				

\*In the event that it is not possible to collect a blood sample during surgery, the subject may be asked to provide a DNA sample via a saliva collection tube.

APAISI, Amsterdam Pre-operative Anxiety and Information Scale. PCS, Pain Catastrophizing Scale. PSQ, Pain Sensitivity questionnaire. IDS, Inventory of Depressive Symptomatology. ASA, American Society of Anesthesiologists classification.

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206	The first digital questionnaire must be completed the day before the surgery (no longer than
207	one week before). Before surgery, the following parameters will be collected (Table 1,
208	Supplementary File 1): demographic characteristics (such as gender, age, BMI), expected
209	incision size in mm, pain intensity, pain disability, preoperative anxiety and need for
210	information, pain catastrophizing, pain sensitivity, preoperative chronic pain characteristics, and
211	depressive symptoms.
212	After surgery, the following parameters will be collected: actual incision size in mm on day 1;
213	pain intensity on day 1, 2, 3, week 1 and 6, and month 3 and 6; physical activities on day 1, 2, 3,
214	week 1; pain disability on week 1 and 6, and month 3 and 6; postoperative chronic pain
215	characteristics on month 3 and 6; characteristics of pain on month 3 and 6.
216	Pain intensity will be measured with an 11-point numerical rating scale (NRS) at rest and during
217	a normal patient action at that time <sup>20</sup> . The endpoints represent the extremes of the pain
218	experience: 0 means "no pain at all", and 10 means "worst possible pain".
219	Pain disability (disability associated with pain) will be measured by the widely used Pain
220	Disability Index Dutch language version (PDI) <sup>4546</sup> . The PDI is a 7-item questionnaire to
221	investigate the magnitude of the self-reported disability in different situations such as work,
222	leisure time, daily life activities, and sports. The questionnaire is constructed on an 11-point NRS
223	in which 0 means "no disability" and 10 means "maximum disability".
224	Preoperative anxiety and need for information will be evaluated by the Amsterdam
225	Preoperative Anxiety and Information Scale (APAIS) <sup>47</sup> . The APAIS consists of six questions and
226	each score on a 5-point Likert scale from 1 (not at all) to 5 (extremely), with four questions to

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2 3 4	227	assess the patient's preoperative anxiety score and two questions to assess the patient's need
5 6 7	228	for information regarding the scheduled surgery and anesthesia <sup>20</sup> .
8 9 10	229	Pain catastrophizing is generally described as an absurd negative orientation towards hurtful
10 11 12	230	stimuli and is important in pain coping <sup>48</sup> . It will be measured by the Pain Catastrophizing Scale
13 14	231	(PCS), a self-evaluating questionnaire consisting of 13 questions. People are asked to indicate
15 16 17	232	the degree to which they have thoughts and feelings when experiencing pain using the 0 (not at
18 19 20	233	all) to 4 (all the time) scale, and a total score will be yielded (range from 0 to 52).
21 22	234	The Pain Sensitivity Questionnaire (PSQ) will measure patients' preoperative pain sensitivity 49
23 24 25	235	<sup>50</sup> . The PSQ consists of 17 questions that describe daily life situations; respondents score their
26 27	236	pain intensity for these situations on an NRS by scoring 0 (not painful) to 10 (severest pain
28 29 30	237	imaginable).
31 32 33	238	The severity of overall depressive symptoms will be assessed by the Inventory of Depressive
34 35	239	Symptomatology Self Report (IDS-SR) <sup>51 52</sup> . IDS-SR is a 30-item questionnaire, and each item has
36 37 38	240	four statements scored on a four-point scale from 0 to 3. There are two items about either
38 39 40	241	increasing or decreasing appetite and two items about increasing or decreasing weight. Only the
41 42	242	item with the higher score from both pairs will be chosen. The total score is based on 28 items
43 44 45	243	and ranges from 0 to 84.
46 47 48	244	Physical activities (ability to perform normal activities) will be measured by questions assessing
49 50	245	the degree of physical activities interfered by surgery, including bed activities (such as turning),
51 52	246	breathing deeply of coughing, sleeping, and activities out of bed. Each item is scored on an 11-
53 54 55	247	point NRS in which 0 means did not interfere and 10 means completely interfered. These
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questions are derived from the validated International Pain Outcomes questionnaire and are
 found responsive to asking patients about their ability to perform normal activities directly after
 surgery <sup>53</sup>.

Characteristics of pain will be measured by the Brief Pain Inventory – Short Form (BPI-SF), which 51 is a shortened version of the Brief Pain Inventory <sup>54</sup>. BPI-SF evaluates pain severity during the 52 53 past 24 hours and current level, with 0 representing "no pain" and 10 "the worst pain 54 imaginable". Seven items in BPI-SF assess interference with daily functioning (such as general activity, walking, and work) on an 11-point scale, where 0 represents "no interference" and 10 55 "complete interference". 56 57 Collection of body material One tube of blood will be collected for DNA isolation. The burden for the patient is minimalized 58 as blood will be taken using the intravenous line in place for surgery. If it is impossible to collect 59

260 blood presurgically or postsurgically, we will collect saliva for DNA isolation (Genefix DNA saliva 261 collectors; GFX-02/50, Isohelix).

262 Clinical information

263 The following clinical information will be collected from the electronic patient file six months

264 after operation (Table 1): physical status by The American Society of Anesthesiologists

265 classification (ASA-status); type of surgery; duration of surgery; type of anesthesia;

266 postoperative complications within 30 days after surgery, one-time retrospectively, which is

267 defined as any medical adverse outcome occurring between admission and 30 days after

268 operation. Complications occurring in the operation room and complications directly related to

269 anesthesia (e.g., nausea which resolves immediately after medication in the operation room)

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Outcome measures

will not be included <sup>5 55</sup>. Furthermore, data on pain medication use, before surgery and after
surgery; actual incision size in mm; second surgery within 6 months; general clinical outcome
indicators, including surgical site infection at 30 days, stroke within 30 days of surgery, death
within 30 days of surgery, admission to the intensive care unit within 14 days of surgery,
readmission to hospital within 30 days of surgery, and length of hospital stay (with or without
in-hospital mortality) will be collected <sup>38</sup>.

277 The outcome measures are acute postoperative pain and chronic postoperative pain. Acute postoperative pain is defined as pain experienced directly after surgery. Thresholds or cut-off 278 points of the pain intensity are set as none to mild (0-3), moderate (4-7), and severe (8-10) <sup>56 57</sup>. 279 The definition of CPSP is in agreement with IASP terminology of chronic postsurgical pain, i.e., 280 281 "chronic pain that develops or increases in intensity after a surgical procedure persists beyond the healing process, i.e., at least 3 months after the surgery" <sup>9</sup>. CPSP will be measured by a 282 chronic pain characteristics questionnaire postoperatively at three and six months. Patients will 283 284 be asked to indicate whether they had a recent pain experience, the site of pain, and whether it lasted more than three months <sup>58 59</sup>. The intensity of CPSP will also be characterized by the pain 285 scores questionnaire using the same threshold as acute postoperative pain. The influence of 286 287 pain on functional and mood changes will be measured by the PDI and the BPI-SF.

288 Sample size calculation

The power of the genetic study is based on the primary research question investigating which
 genetic factors are associated with postoperative pain. Power is calculated using the Genetic
 Power Calculator <sup>60</sup>, and the estimated number of patients is based on a GWA approach. For

3       4       5       6       7       8       9       10       11       12       13       14       15       16       17       18       19       20       12       23       24       25       26       7       8       9       33       34       35       36       37       38       9       10       11       12       13       14       15       16       17       18       19       20       12       23       24       25       26       7       28       29       30       13       23       34       35       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37       38       36       37	
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292	chronic postoperative pain, we assume a case-control analysis for discrete traits (2df test), a risk
293	allele frequency of 30%, a linkage disequilibrium (D') of 0.8, a prevalence of chronic
294	postoperative pain of 15%, and the relative risk of chronic postoperative pain for persons who
295	are heterozygous of 1.5 and for homozygous persons of 2.25. For a power of 80% with a p-value
296	cut off 5 $\times$ 10 <sup>-8</sup> (genome-wide significance threshold), we need 750 patients with chronic
297	postoperative pain and 4,250 people without chronic postoperative pain. For acute pain, the
298	power is even higher. With the same population, we have more than 80% power to detect a
299	relative risk of 1.2 and 1.44 for heterozygous and homozygous patients, respectively. This higher
300	power is due to the higher prevalence of acute (moderate to severe) pain of 55%. Most
301	importantly, results will be replicated in the additional study participants, as the total number of
302	patients included in the study will be 10,000. In addition, we will use cohorts of our
303	collaborators for replication purposes.

#### 304 Statistical analysis

The key objective is to identify genetic risk factors that can predict development of acute or 305 306 chronic postoperative pain and validate previously reported SNPs. A GWA approach will be used 307 as the main analysis. Phenotype data and DNA will be used to identify genetic factors. We will 308 use 5,000 patients for the discovery of genetic variants. Samples will be genotyped with the Infinium Global Screening Array (Illumina). Pre-imputation quality control, principal component 309 analyses, and imputation will follow the RICOPILI pipeline <sup>61</sup>. Potential confounding by ethnic 310 311 origin will be corrected by principal component analyses. The 1000 Genomes reference panel will be used for imputation, followed by post-imputation quality control in PLINK <sup>62</sup>. Associations 312 between SNPs and the presence of acute or chronic pain will be performed using cutting-edge 313

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3 4	314	methods when data collection is finished. Results will be to ensure validity. SNPs that can be
5 6 7	315	validated will be included in the prediction model described below.
8 9 10	316	Secondary objectives include identifying other potential risk factors for acute and chronic
11 12	317	postoperative pain. Therefore, a univariate association of each potential predictor will be
13 14	318	calculated and tested in a multivariable regression model. We will use a least absolute shrinkage
15 16 17	319	and selection operator (Lasso) regression. Shrinkage is where data values are shrunk towards a
18 19	320	central point, like the mean. Lasso is a regression analysis method that performs both variable
20 21 22	321	selection and regularization to enhance the prediction accuracy and interpretability of the
23 24	322	statistical model it produces. After identifying these risk factors, a prediction rule will be created
25 26 27	323	for (moderate to severe) acute and chronic postoperative pain. Based on this prediction rule, a
28 29	324	simple, clinically easy applicable tool will be developed to allow clinical use for the stratification
30 31	325	of patients. The predictive performance will be studied in another cohort of patients to test
32 33 34	326	whether the rule is generalizable across time and place. Because it appears from the literature
35 36	327	that acute and chronic pain are correlated after surgery, additional correlation analysis will be
37 38 39	328	performed to investigate this correlation in the data.
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41 42 43	329	Similar approaches will be followed to identify the clinical and genetic factors that predict
44	330	pharmacological pain relief. For some pain medicines, genes that impact pain relief are already
45 46 47	331	known (e.g., CYP2D6 and morphine). We will first investigate those genes to see if these variants
48 49	332	indeed contribute to pharmacological pain relief differences.
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# 334 Ethics and dissemination:

The study will be conducted according to the principles of the Declaration of Helsinki version
2013 and in accordance with the Medical Research Involving Human Subjects Act and Good
Clinical Practice. The study was approved by the local ethics committee for human research in
Nijmegen (Medical Review Ethics Committee Region Arnhem-Nijmegen, authorization number:
2012/117). This study was registered on ClinicalTrials.gov (NCT02383342).
The privacy of the participants is guaranteed by storing encrypted data. Every participant will
receive a pseudo-anonymous study number. No identifying data is recorded within the meaning

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of the law. The key is only accessible to the study team and monitors. Data and material will

343 only be used in coded form within possible collaborations.

The results of this study will be made available through peer-reviewed scientific journals and presentations at relevant conferences. After a thorough evaluation, decisions will be made regarding including the identified risk factors and constructed prediction models into clinical guidelines, thus facilitating personalized postoperative pain management.

348 Discussion

This cohort will be a large prospective study to identify risk factors for postoperative pain and to build and evaluate dedicated prediction models for postoperative pain in surgical patients. In addition, the comprehensive information collected in this study will also enable us to answer other research questions regarding postoperative pain, such as the relationships between acute and chronic postoperative pain development. Eventually, these results will be applied in the clinical settings to improve the quality of life for patients who develop postoperative pain. Page 21 of 56

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355	The strengths of this study are that we will include all elective major operations rather than
356	limiting to one specific operation as in previous studies <sup>32</sup> , which allows us to investigate the
357	shared genetic background of postoperative pain in different operations. Furthermore, as there
358	are discrepancies in pain intensity scores understanding <sup>63</sup> and pain management decisions <sup>63 64</sup>
359	between patients and caregivers, the patient's perspective should be respected and assessed for
360	pain evaluation and management <sup>65 66</sup> . Therefore, pain assessment will be conducted by patients
361	themselves (patient-reported outcomes) rather than professionals in this study, leading to a
362	more comprehensive outcome assessment and interpretation <sup>67</sup> . Moreover, the single-use of
363	NRS might be inadequate for patients' pain experience evaluation and pain management
364	decisions <sup>66 68 69</sup> . Thus, another strength of this cohort is that the experience of pain will be
365	estimated by multidimensional measurements focusing on patients' overall functionality rather
366	than merely a NRS pain score. Besides, the comprehensively collected information for
367	postoperative pain in this cohort also empowers analysis that cannot be performed in large-
368	scale registry data (e.g., UK Biobank) as such phenotype data is not available in those datasets.
369	The data collected in this cohort will also enable additional research using conventional and
370	cutting-edge statistical methods like artificial intelligence.
371	The possible limitations of this study are that we will only investigate DNA variants as
372	biomarkers for pain prediction as our primary research goal. However, other epigenetic <sup>69 70</sup> ,
373	transcriptomic <sup>70</sup> , proteomics <sup>71</sup> , and metabolic markers <sup>72</sup> are also potentially involved in
374	(postoperative) pain development. For instance, recent studies indicate that methylation
375	patterns might predict opioid treatment outcomes <sup>69 70</sup> . As the DNA sample of patients is

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377	postoperative pain in future researchs, such as investigating the association between epigenetic
378	changes and postoperative pain. In addition, when prediction tools are applied in clinical
379	settings, the sensitivity and specificity of prediction tools are crucial to evaluate their adequacy
380	and usefulness <sup>73</sup> . Although the measurement tools used in prediction models are well-validated
381	and verified (see methods), our findings could still be subject to false positive or negative errors
382	because all measurement tools have limitations. Furthermore, chronic pain assessment is more
383	complex than acute pain <sup>74</sup> , and GWAS findings are sometimes incidental <sup>75</sup> . We will consider
384	seeking other available cohorts for validation and applying other statistical methods to validate
385	our findings in future studies, such as polygenic risk scores <sup>76</sup> . Another potential limitation is that
386	loss of follow-up of patients might result in lower patient numbers than expected. Despite this
387	potential concern, we still expect a sufficient sample size as additional centres will start patient
388	inclusion, and the measurements are mainly from patient-reported outcomes via digital follow-
389	up.
390	Identifying the genetic background of postoperative pain development may give valuable
391	insights into the mechanisms underlying the relationship between postoperative pain and
392	complications after surgery. This may open the way to identify new targets for treatment and
392 393	complications after surgery. This may open the way to identify new targets for treatment and potentially simplify the risk profiling assay for future use, yielding a simpler, more accurate, and
393	potentially simplify the risk profiling assay for future use, yielding a simpler, more accurate, and
393 394	potentially simplify the risk profiling assay for future use, yielding a simpler, more accurate, and cost-efficient assay or product. The contribution of improved prevention and treatment of pain
393 394 395	potentially simplify the risk profiling assay for future use, yielding a simpler, more accurate, and cost-efficient assay or product. The contribution of improved prevention and treatment of pain after surgery will benefit many patients undergoing surgery and society by decreasing health

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3 4	398	Trial status
5 6	399	Patient recruitment is expected to continue until 2025. Recruitment has already started in
7 8 9	400	Radboud university medical center, with more than 500 patients recruited as of October 2021.
10 11 12 13	401	National and international collaborations will be greatly accepted after careful consideration.
14 15	402	Author contributions
16 17	403	RvB, MC and KV are responsible for overall planning and execution, formulation and evolution of
18 19 20	404	overarching research goals and aims, development and design of the methodology. RvB, MC,
21 22	405	and SvdH will be responsible for project management and coordination responsibility. Analyses
23 24 25	406	and data visualization will be conducted by SL, RvB, and MC. SL prepared the draft of the
26 27 28	407	manuscript, and all authors critically revised the manuscript.
29 30 31	408	Acknowledgment
32 33	409	SL was supported by China Scholarship Council (CSC) Grant number 201908130179.
34 35	410	Funding
36 37 38	411	Departmental funding covers the costs of this study [grant number: N/A]. We aim to apply for
39 40	412	extra grants to cover the potential cost of including more patients and the cost of databank
41 42 43	413	maintenance.
44 45		
46 47	414	Competing interests statement
48 49 50 51 52 53	415	The authors have no relevant financial or non-financial interests to disclose.
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3	635	Figure 1: Pain Predict Genetics study design overview. After written informed consent, participants will
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5	636	be asked to complete questionnaires before and after their surgery. One tube of blood will be collected
6	637	for DNA isolation using the intravenous line in place for surgery. Clinical information will be collected
7	638	from the electronic patient file after the operation.
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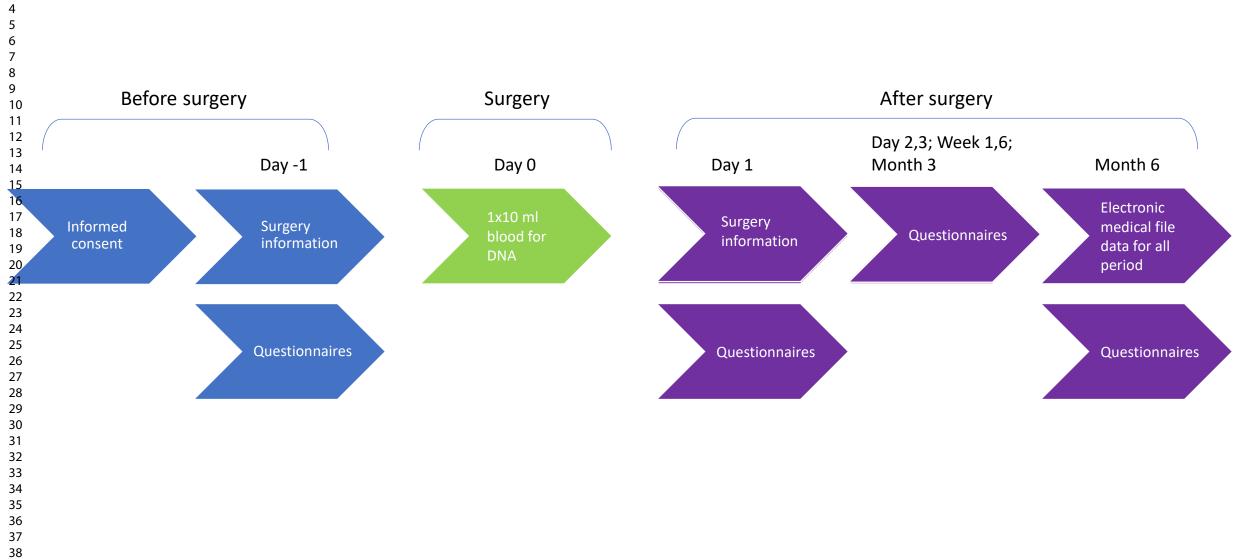


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  - Appendix g: Pain Sensitivity Questionnaire
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  - Appendix j: Brief Pain Inventory

#### Appendix a: General data

#### General data

- What is your year of birth?
- What is your gender? male/female
- What is your length? \_\_\_\_\_ cm
- What is your weight? \_\_\_\_\_ kg
- What country were you born in?\_\_\_\_\_
- What country(ies) were your parents born in?
- What country(ies) were your grandparents born in?\_\_\_\_\_
- What human race are you? (black, white, Asian, etc.)\_\_\_\_\_

Data of the surgery:

- Would you please describe your surgery:

- How much pain do you expect after surgery (0= no pain, 10=worst pain imaginable)
- Will you stay one or more nights in the hospital after surgery? Yes / No

### Appendix b: Pain before and after surgery

#### Pain before and after surgery

Circle how much pain you have, expressed as a number. The pain score means a score between 0 and 10, where 0 means no pain and 10 means the worst pain imaginable. For your pain, consider a figure between 0 and 10. You also tick whether you think the pain is acceptable or not.

Pain while being at rest at this moment (0-10)	No pain 0-1-2-3-4-5-6-7-8-9-10 worst pain imaginable
Pain score at this moment if you perform a normal effort (0-10)	No pain 0-1-2-3-4-5-6-7-8-9-10 worst pain imaginable
Do you think pain is acceptable to you at this moment?	Pain acceptable   pain not acceptable
Only pre-operatively: How much pain do you expect after surgery?	No pain 0-1-2-3-4-5-6-7-8-9-10 worst pain imaginable
	Ż

Appendix c: Physical activities

Physical activities

Circle the one number below that best describes how much, since your surgery, pain interfered with or prevented you from doing physical activities, expressed by figure. The score means a figure between 0 and 10, where 0 means no interference and 10 means complete interference.

1. How much has pain interfered with or prevented you from doing activities in bed such as turning, sitting up, changing position (0= did not interfere, 10= completely interfered)

0-1-2-3-4-5-6-7-8-9-10

2. How much has pain interfered with or prevented you from breathing deeply of coughing (0= did not interfere, 10= completely interfered)

0-1-2-3-4-5-6-7-8-9-10

3. How much has pain interfered with or prevented you from sleeping (0= did not interfere, 10= completely interfered)

0-1-2-3-4-5-6-7-8-9-10

4. Have you been out of bed since your surgery?

Yes/no

5. If yes, how much did pain interfere or prevent you from doing activities out of bed such as walking, sitting in a chair, standing at the sink (0= did not interfere, 10= completely interfered)

0-1-2-3-4-5-6-7-8-9-10

Appendix d: Pain disability index

#### Pain disability index

We would like to know how much pain is preventing you from doing what you would normally do or from doing it as well as you normally would. Respond to each category indicating the overall impact of pain in your life, not just when pain is at its worst.

For each of the 7 categories of life activity listed, please circle the number on the scale that describes the level of disability you typically experience. A score of 0 means no disability at all, and a score of 10 signifies that all of the activities in which you would normally be involved have been totally disrupted or prevented by your pain.

In case of no pain, please circle "0".

1. Family/Home Responsibilities	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This category refers to activities of the home or family. It includes chores or duties performed around the house (e.g. yard work) and errands or favors for other family members (e.g. driving the children to school).	per		
2. Recreation	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This disability includes hobbies, sports, and other similar leisure time activities.			
3. Social activity	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This category refers to activities, which involve participation with friends and acquaintances other than family members. It includes parties, theater, concerts, dining out, and other social functions.		07	
4. Occupation	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This category refers to activities that are part of or directly related to one's job. This includes non-paying jobs as well, such as that of a housewife or volunteer.			
5. Sexual behavior	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability
This category refers to the frequency and quality of one's sex life.			
6. Self care	No disability	0-1-2-3-4-5-6-7-8-9-10	Worst disability

This category includes activities, which involve personal maintenance and independent daily living (e.g. taking	
a shower, driving, getting dressed, etc.)	
7. Life-support activities	No disability 0-1-2-3-4-5-6-7-8-9-10 Worst disability
This category refers to basic life supporting behaviors such as	
eating, sleeping and breathing.	

### Appendix e: Anxiety and need for information

Anxiety and need for information

Please circle the number on the scale that describes your experience:

The Amsterdam Preoperative Anxiety and Information Scale (APAIS):	Not at all				Extremely
I am worried about the anesthetic	1	2	3	4	5
The anesthetic is on my mind continually	1	2	3	4	5
I am worried about the procedure	1	2	3	4	5
The procedure is on my mind continually	1	2	3	4	5
I would like to know as much as possible about the anesthetic	1	2	3	4	5
I would like to know as much as possible about the procedure	1	2	3	4	5

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Appendix f: Pain Catastrophizing Scale (PCS)

Pain Catastrophizing Scale (PCS)

We are interested in the types of thoughts and feelings that you have when you are in pain. Listed below are thirteen statements describing different thoughts and feelings that may be associated with pain. Using the following scale, please indicate the degree to which you have these thoughts and feelings when you are experiencing pain.

0=not at all 1=to a slight degree 2=to a moderate degree 3=to a great degree 4=all the time

When I'm in pain .....

1.	I worry all the time about whether the pain will end	0	1	2	3	4
2.	I feel I can't go on	0	1	2	3	4
3.	It's terrible and I think that it's never going to get any better	0	1	2	3	4
4.	It's awful and I feel that it overwhelms me	0	1	2	3	4
5.	I feel that I can't stand it any more	0	1	2	3	4
6.	I become afraid that the pain will get worse	0	1	2	3	4
7.	I keep thinking of other painful events	0	1	2	3	4
8.	I anxiously want the pain to go away	0	1	2	3	4
9.	I can't seem to keep it out of my mind	0	1	2	3	4
10.	I keep thinking about how much it hurts	0	1	2	3	4
11.	I keep thinking about how badly I want the pain to stop	0	1	2	3	4
12.	There's nothing I can do to reduce the intensity of the pain	0	1	2	3	4
13.	I wonder whether something serious may happen	0	1	2	3	4

Appendix g	Pain	Sensitivity	Questionnaire

Pain Sensitivity Questionnaire

This questionnaire contains a series of questions in which you should imagine yourself in certain

situations. You should then decide if these situations would be painful for you and if yes, how painful

they would be.

# Let 0 stand for no pain; 1 is an only just noticeable pain arid 10 the most severe pain that you can imagine or consider possible.

Please mark the scale with a cross on the number that is most true for you. Keep in mind that there are no "right" or "wrong" answers; only your personal assessment of the situation counts. Please try as much as possible not to allow your fear or aversion of the imagined situations affect your assessment of painfulness.

1. Imagine you bump your shin badly on a hard edge, for example, on the edge of a glass coffee

table. How painful would that be for you?

0 = not at all painful, 10= most severe pain imaginable

0-----7-----8------9-----10

2. Imagine you burn your tongue on a very hot drink.

3. Imagine your muscles are slightly sore as the result of physical activity.

0------7-----8------9-----10

4. Imagine you trap your finger in a drawer.

0------7-----8------9-----10

5. Imagine you take a shower with lukewarm water.

0------7-----8------9-----10

6. Imagine you have mild sunburn on your shoulders.

	08910
7.	Imagine you grazed your knee falling off your bicycle.
	08910
8.	Imagine you accidentally bite your tongue or cheek badly while eating.
	08910
9.	Imagine walking across a cool tiled floor with bare feet.
	09
10	. Imagine you have a minor cut on your finger and inadvertently get lemon juice in the wound.
	08910
11	. Imagine you prick your fingertip on the thorn of a rose. 012345678910
40	
12	. Imagine you stick your bare hands in the snow for a couple of minutes or bring your hands
	in contact with snow for some time, for example, while making snowballs.
	01
13	. Imagine you shake hands with someone who has a normal grip.
	01
14	. Imagine you shake hands with someone who has a very strong grip.
	09
15	. Imagine you pick up a hot pot by inadvertently grabbing its equally hot handles.
	09
16	. Imagine you are wearing sandals and someone with heavy boots steps on your foot.
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01	2	3	4	5	6	7	8	9	10

Appendix h: Chronic pain

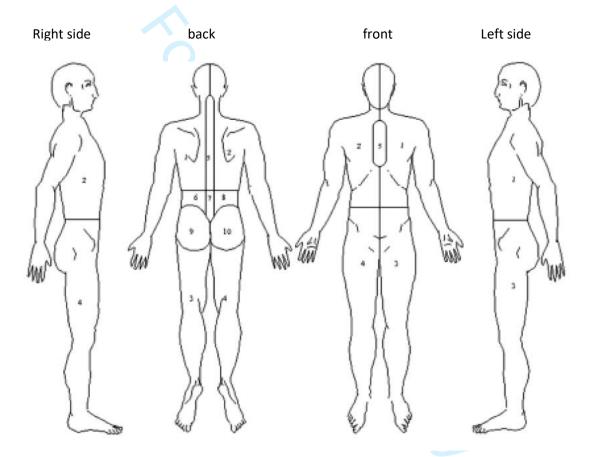
Chronic pain

Did you experience any pain in the last month that lasted for a day or more?

□ Yes, next question

□ No

Can you indicate in the drawings below where you suffer (have suffered) from pain?



Is this the same spot as the spot you are operated on? Yes/no Does the pain differ from the pain before surgery? Yes/no How long have you been affected by the above-mentioned pain?

- Less than three months
- More than three months

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3	Appendix i: Inventory of depressive symptoma	tology (self-report) (IDS-SR)
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5	INVENTORY OF DEPRESSIVE S	MPTOMATOLOGY (SELF-REPORT)
6		S-SR)
7	NAME:	TODAY'S DATE
8	NAME.	
9	Please circle the one response to each item that best descrit	es you for the past seven days.
10	1. Falling Asleep:	7. Feeling Anxious or Tense:
11		-
12	0 I never take longer than 30 minutes to fall asleep.	<ol> <li>I do not feel anxious or tense.</li> <li>I feel anxious (tense) less than half the time.</li> </ol>
13	<ol> <li>I take at least 30 minutes to fall asleep, less</li> </ol>	<ol> <li>I feel anxious (tense) nore than half the time.</li> </ol>
14	than half the time.	3 I feel extremely anxious (tense) nearly all of the
15	2 I take at least 30 minutes to fall asleep, more than half the time.	time.
16	3 I take more than 60 minutes to fall asleep, more	
17	than half the time.	<ol><li>Response of Your Mood to Good or Desired Events:</li></ol>
18	2. Sleep During the Night:	0 My mood brightens to a normal level which
19		lasts for several hours when good events occur.
20	<ol> <li>I do not wake up at night.</li> <li>I have a restless, light sleep with a few brief</li> </ol>	<ol> <li>My mood brightens but I do not feel like my normal self when good events occur.</li> </ol>
21	awakenings each night.	2 My mood brightens only somewhat to a rather
22	2 I wake up at least once a night, but I go back to	limited range of desired events.
23	sleep easily. 3 I awaken more than once a night and stav	3 My mood does not brighten at all, even when very good or desired events occur in my life.
24	awake for 20 minutes or more, more than half	tely good of desired events bood in my me.
25	the time.	9 Mand in Polation to the Time of Deve
26	3. Waking Up Too Early:	<ol><li>Mood in Relation to the Time of Day:</li></ol>
27		0 There is no regular relationship between my
28	0 Most of the time, I swaken no more than 30 minutes before I need to get up.	mood and the time of day. 1 My mood often relates to the time of day
29	1 More than half the time, I awaken more than 30	because of environmental events (e.g., being
30	minutes before I need to get up.	alone, working).
31	2 I almost always awaken at least one hour or so before I need to, but I go back to sleep	2 In general, my mood is more related to the time of day than to environmental events.
32	eventually.	3 My mood is clearly and predictably better or
33	3 I awaken at least one hour before I need to, and	worse at a particular time each day.
34	can't go back to sleep.	9A. Is your mood typically worse in the morning,
35	<ol><li>Sleeping Too Much:</li></ol>	afternoon or night? (circle one)
36	0 I shop as langer than 7.8 hours (sight without	9B. Is your mood variation attributed to the
37	0 I sleep no longer than 7-8 hours/night, without napping during the day.	environment? (yes or no) (circle one)
38	1 I sleep no longer than 10 hours in a 24-hour	
39	period including naps. 2 I sleep no longer than 12 hours in a 24-hour	10. The Quality of Your Mood:
40	period including naps.	to. The datality of Four Mood.
41	3 I sleep longer than 12 hours in a 24-hour period	0 The mood (internal feelings) that I experience is
42	including naps.	very much a normal mood. 1 My mood is sad, but this sadness is pretty
43	5. Feeling Sad:	much like the sad mood I would feel if someone
44	0 I do not feel sad	close to me died or left.
45	1 I feel sad less than half the time.	2 My mood is sad, but this sadness has a rather different quality to it than the sadness I would
46	2 I feel sad more than half the time.	feel if someone close to me died or left.
47	3 I feel sad nearly all of the time.	3 My mood is sad, but this sadness is different from the type of sadness associated with grief
48	<ol><li>Feeling Irritable:</li></ol>	or loss.
49	0 I do not feel irritable.	
50	<ol> <li>I feel irritable less than half the time.</li> <li>I feel irritable more than half the time.</li> </ol>	
50	<ul> <li>I feel extremely irritable nearly all of the time.</li> </ul>	
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#### Please complete either 11 or 12 (not both)

- 11. Decreased Appetite:
  - 0 There is no change in my usual appetite.
  - I eat somewhat less often or lesser amounts of food than usual.
  - 2 I eat much less than usual and only with personal effort.
  - 3 I rarely eat within a 24-hour period, and only with extreme personal effort or when others persuade me to eat.
- 12. Increased Appetite:
  - 0 There is no change from my usual appetite.
  - 1 I feel a need to eat more frequently than usual. 2 I regularly eat more often and/or greater
  - 2 I regularly eat more often and/or greater amounts of food than usual.
  - 3 I feel driven to overest both at mealtime and between meals.

#### Please complete either 13 or 14 (not both)

- 13. Decreased Weight (Within the Last Two Weeks):
  - 0 I have not had a change in my weight.
  - I feel as if I've had a slight weight loss.
  - 2 I have lost 2 pounds or more.
  - 3 I have lost 5 pounds or more.

#### 14. Increased Weight (Within the Last Two Weeks):

- 0 I have not had a change in my weight.
- 1 I feel as if I've had a slight weight gain.
- 2 I have gained 2 pounds or more.
- 3 I have gained 5 pounds or more.
- 15. Concentration/Decision Making:
  - 0 There is no change in my usual capacity to concentrate or make decisions.
  - I occasionally feel indecisive or find that my attention wanders.
  - 2 Most of the time, I struggle to focus my attention or to make decisions.
  - 3 I cannot concentrate well enough to read or cannot make even minor decisions.

#### 16. View of Myself:

- 0 I see myself as equally worthwhile and deserving as other people.
- 1 I am more self-blaming than usual.
- 2 I largely believe that I cause problems for
- others. 3 I think almost constantly about major and minor defects in myself.

- 17. View of My Future:
- 0 I have an optimistic view of my future.
  - 1 I am occasionally pessimistic about my future, but for the most part I believe things will get better.
  - 2 I'm pretty certain that my immediate future (1-2 months) does not hold much promise of good things for me.
  - 3 I see no hope of anything good happening to me anytime in the future.
- 18. Thoughts of Death or Suicide:
  - 0 I do not think of suicide or death.
  - I feel that life is empty or wonder if it's worth living.
  - 2 I think of suicide or death several times a week for several minutes.
  - 3 I think of suicide or death several times a day in some detail, or I have made specific plans for suicide or have actually tried to take my life.
- 19. General Interest:
  - 0 There is no change from usual in how
  - interested I am in other people or activities. 1 I notice that I am less interested in people or activities.
  - 2 I find I have interest in only one or two of my formerly pursued activities.
  - 3 I have virtually no interest in formerly pursued activities.
- 20. Energy Level:
  - 0 There is no change in my usual level of energy.
  - I get tired more easily than usual.
  - 2 I have to make a big effort to start or finish my usual daily activities (for example, shopping, homework, cooking or going to work).
  - 3 I really cannot carry out most of my usual daily activities because I just don't have the energy.
- 21. Capacity for Pleasure or Enjoyment (excluding sex):
  - 0 I enjoy pleasurable activities just as much as usual.
  - I do not feel my usual sense of enjoyment from pleasurable activities.
  - 2 I rarely get a feeling of pleasure from any activity.
  - 3 I am unable to get any pleasure or enjoyment from anything.

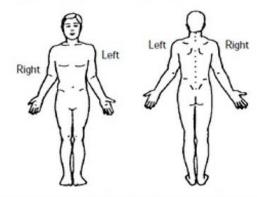
1			
2			
3			
4	22	Inte	rest in Sex (Please Rate Interest, not Activity):
5	22.	inte	iest in Sex (Flease Rate Intelest, not Addivity).
		0	I'm just as interested in sex as usual.
6		1	My interest in sex is somewhat less than usual
7			or I do not get the same pleasure from sex as I
8			used to.
9		2	I have little desire for or rarely derive pleasure
		3	from sex. I have absolutely no interest in or derive no
10		3	pleasure from sex.
11			pleasure from sex.
12	23.	Fee	ling slowed down:
13			•
14		0	I think, speak, and move at my usual rate of
			speed.
15		1	I find that my thinking is slowed down or my
16		~	voice sounds dull or flat.
17		2	It takes me several seconds to respond to most
18		3	questions and I'm sure my thinking is slowed. I am often unable to respond to questions
		3	without extreme effort.
19			
20	24.	Fee	ling restless:
21			
22		0	l do not feel restless.
23		1	I'm often fidgety, wring my hands, or need to
		-	shift how I am sitting.
24		2	I have impulses to move about and am quite
25		3	restless. At times, I am unable to stay seated and need
26		5	to pace around.
27			
28	25.	Ach	es and pains:
29		0	I don't have any feeling of heaviness in my
30			arms or legs and don't have any aches or
31		1	pains. Sometimes Last bendeches or pains in my
32			Sometimes I get headaches or pains in my stomach, back or joints but these pains are only
33			sometime present and they don't stop me from
			doing what I need to do.
34		2	I have these sorts of pains most of the time.
35		3	These pains are so bad they force me to stop
36			what I am doing.
37	26.	Oth	er bodily symptoms:
38		0	I don't have any of these symptoms: heart
39			pounding fast, blurred vision, sweating, hot and cold flashes, chest pain, heart turning over in
40			my chest, ringing in my ears, or shaking.
41		1	I have some of these symptoms but they are
42		-	mild and are present only sometimes.
		2	I have several of these symptoms and they
43		_	bother me quite a bit.
44		3	I have several of these symptoms and when
45			they occur I have to stop doing whatever I am
46			doing.
47	Ran	ge 0	-84 Score:
48			
49			
50			
51			
52			
53			
54			
55			
56			
57			

- 27. Panic/Phobic symptoms:
  - 0 I have no spells of panic or specific fears (phobia) (such as animals or heights).
  - I have mild panic episodes or fears that do not 1 usually change my behavior or stop me from functioning.
  - 2 I have significant panic episodes or fears that force me to change my behavior but do not stop me from functioning.
  - 3 I have panic episodes at least once a week or severe fears that stop me from carrying on my daily activities.
- 28. Constipation/diarrhea:
  - 0 There is no change in my usual bowel habits.
  - 1 I have intermittent constipation or diarrhea which is mild.
  - 2 I have diarrhea or constipation most of the time but it does not interfere with my day-to-day functioning.
  - 3 I have constipation or diarrhea for which I take medicine or which interferes with my day-to-day activities.
- 29. Interpersonal Sensitivity:
  - 0 I have not felt easily rejected, slighted, criticized or hurt by others at all.
  - 1 I have occasionally felt rejected, slighted, criticized or hurt by others.
  - 2 I have often felt rejected, slighted, criticized or hurt by others, but these feelings have had only slight effects on my relationships or work.
  - 3 I have often felt rejected, slighted, criticized or hurt by others and these feelings have impaired my relationships and work.
- 30. Leaden Paralysis/Physical Energy:
  - 0 I have not experienced the physical sensation of feeling weighted down and without physical energy.
  - 1 I have occasionally experienced periods of feeling physically weighted down and without physical energy, but without a negative effect on work, school, or activity level.
  - 2 I feel physically weighted down (without physical energy) more than half the time.
  - 3 I feel physically weighted down (without physical energy) most of the time, several hours per day, several days per week.





- Throughout our lives, most of us have had pain from time to time (such as minor headaches, sprains, and toothaches). Have you had pain other than these everyday kinds of pain today?
  - 1. Yes 2. No
- On the diagram, shade in the areas where you feel pain. Put an X on the area that hurts the most.



 Please rate your pain by circling the one number that best describes your pain at its worst in the past 24 hours.

0	1	2	3	4	5	6	7	8	9	10
No								Pain	as ba	d as
pair	n						3	you ca	n ima	igine

 Please rate your pain by circling the one number that best describes your pain at its least in the past 24 hours.

0	1	2	3	4	5	6	7	8	9	10
No								Pain	as ba	id as
pair	1						3	you ca	n ima	gine

 Please rate your pain by circling the one number that best describes your pain on average.

0	1	2	3	4	5	6	7	8	9	10
No								Pain	as ba	ad as
pair	n						3	you ca	n ima	gine

Please rate your pain by circling the one number that tells how much pain you have right now.

0	1	2	3	4	5	6	7	8	9	10
No								Pain	as ba	id as
pair	ı							you ca	n ima	igine

- 7) What treatments or medications are you receiving for your pain?
- 8) In the past 24 hours, how much relief have pain treatments or medications provided? Please circle the one percentage that most shows how much relief you have received.

0% No relief	10	20	30	40	50	60	70	80	90 Co	100% mplete relief
pas	at 24		s, pair	n has	that o inter					the

0 1 Does not interfere	2	3	4	5	6	7		9 omple interf	1000 C
B. Moo	d								
0 1 Does not interfere	2	3	4	5	6	7		9 omple interf	1000
C. Wall	king a	ability							
0 1 Does not interfere	2	3	4	5	6	7		9 omple interf	0.000
D. Nor and		work eworl	The second second	les bo	th wo	rk out	side t	he h	ome
0 1 Does not interfere	2	3	4	5	6	7		9 omple interi	-
E. Relat	ions	with	other	people	9				
0 1 Does not interfere	2	3	4	5	6	7		9 omple interf	

-	es no erfere							omple	
F	Slee	0			6	7	0	0	10
	1 es no erfere	3	4	5	6	/		9 omple interf	1.5.5

G. Enjoyment of life

0	1	2	3	4	5	6	7	8	9	10
Do	es no	ot						C	omple	etely
inte	erfere	•						1	interf	eres

Title

**BMJ** Open

# Reporting checklist for genetic association study.

Based on the STREGA guidelines.

# Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the STREGAreporting guidelines, and cite them as:

Little J, Higgins JP, Ioannidis JP, Moher D, Gagnon F, von Elm E, Khoury MJ, Cohen B, Davey-Smith

G, Grimshaw J, Scheet P, Gwinn M, Williamson RE, Zou GY, Hutchings K, Johnson CY, Tait V,

Wiens M, Golding J, van Duijn C, McLaughlin J, Paterson A, Wells G, Fortier I, Freedman M, Zecevic

M, King R, Infante-Rivard C, Stewart A, Birkett N; STrengthening the REporting of Genetic

Association Studies. STrengthening the REporting of Genetic Association Studies (STREGA): An

Extension of the STROBE Statement.

Reporting Item

Page Number

# Title and abstract

#1a Indicate the study's design with a commonly used 1 term in the title or the abstract

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Page 48 of 56

1 2	Abstract	<u>#1b</u>	Provide in the abstract an informative and	3
3 4			balanced summary of what was done and what	
5 6			was found	
7 8				
9 10	Background/rationale			
11 12		#2	Explain the scientific background and rationale for	6
13 14			the investigation being reported	
15 16				
17 18 19	Objectives			
20 21		<u>#3</u>	State specific objectives, including any	9
22 23			prespecified hypotheses. State if the study is the	
24 25 26			first report of a genetic association, a replication	
27 28			effort, or both.	
29 30				
31 32	Study design			
33 34		<u>#4</u>	Present key elements of study design early in the	10
35 36			paper	
37 38				
39 40	Setting			
41 42 43		<u>#5</u>	Describe the setting, locations, and relevant	10
44 45			dates, including periods of recruitment, exposure,	
46 47			follow-up, and data collection	
48 49				
50 51	Eligibility criteria			
52 53		<u>#6a</u>	Cohort study – Give the eligibility criteria, and the	11
54 55			sources and methods of selection of participants.	
56 57 58			Describe methods of follow-up. Case-control	
58 59 60	Fi	or peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	
00				

1			study – Give the eligibility criteria, and the sources	
2 3			and methods of case ascertainment and control	
4 5 6			selection. Give the rationale for the choice of	
7 8			cases and controls. Cross-sectional study – Give	
9 10			the eligibility criteria, and the sources and	
11 12			methods of selection of participants. Give	
13 14 15			information on the criteria and methods for	
16 17			selection of subsets of participants from a larger	
18 19 20			study, when relevant.	
21 22		<u>#6b</u>	Cohort study – For matched studies, give	n/a, not matched
23 24 25			matching criteria and number of exposed and	study
26 27			unexposed. Case-control study – For matched	
28 29			studies, give matching criteria and the number of	
30 31			controls per case.	
32 33 34	Variables			
35 36	Valiables			
37 38		<u>#7a</u>	Clearly define all outcomes, exposures,	11, 14-17
39 40			predictors, potential confounders, and effect	
41 42 43			modifiers. Give diagnostic criteria, if applicable	
44 45		<u>#7b</u>	Clearly define genetic exposures (genetic	18
46 47			variants) using a widely-used nomenclature	
48 49 50			system. Identify variables likely to be associated	
51 52			with population stratification (confounding by	
53 54			ethnic origin).	
55 56	Dete			
57 58 59	Data			
60		For peer revie	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

# sources/measurement

1	sources/measureme	i i i i i i i i i i i i i i i i i i i		
2 3 4		<u>#8a</u>	For each variable of interest give sources of data	11, 14-16
5 6 7			and details of methods of assessment	
8 9			(measurement). Describe comparability of	
10 11			assessment methods if there is more than one	
12 13			group. Give information separately for for exposed	
14 15 16			and unexposed groups if applicable.	
17 18		#8b	Describe laboratory methods, including source	16
19 20			and storage of DNA, genotyping methods and	
21 22			platforms (including the allele calling algorithm	
23 24 25			used, and its version), error rates and call rates.	
26 27			State the laboratory / centre where genotyping	
28 29			was done. Describe comparability of laboratory	
30 31			methods if there is more than one group. Specify	
32 33				
34 35 36			whether genotypes were assigned using all of the	
37 38			data from the study simultaneously or in smaller	
39 40			batches.	
41 42	Bias			
43 44 45		#9a	Describe any efforts to address potential sources	22
46 47			of bias	
48 49				
50 51		<u>#9b</u>	Describe any efforts to address potential sources	22
52 53			of bias	
54 55 56	Study size			
57 58				
59 60		For peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2 2		<u>#10</u>	Explain how the study size was arrived at	17
3 4 5 6	Quantitative variables			
7 8		<u>#11</u>	Explain how quantitative variables were handled	18
9 10 11			in the analyses. If applicable, describe which	
11 12 13			groupings were chosen, and why. If applicable,	
14 15			describe how effects of treatment were dealt with.	
16 17 18 19	Statistical methods			
20 21		<u>#12a</u>	Describe all statistical methods, including those	18-19
22 23			used to control for confounding. State software	
24 25 26			version used and options (or settings) chosen.	
20 27 28		#12b	Describe any methods used to examine	n/a ta protocol
29 30		#120	Describe any methods used to examine	n/a to protocol
31 32			subgroups and interactions	paper.
33 34 35		<u>#12c</u>	Explain how missing data were addressed	n/a to protocol
36 37				paper.
38 39		<u>#12d</u>	If applicable, explain how loss to follow-up was	n/a to protocol
40 41			addressed	paper.
42 43 44				
45 46		<u>#12e</u>	Describe any sensitivity analyses	n/a to protocol
47 48				paper.
49 50		<u>#12f</u>	State whether Hardy-Weinberg equilibrium was	18
51 52 53			considered and, if so, how.	
54 55		#12g	Describe any methods used for inferring	18
56 57		<u></u>	genotypes or haplotypes	-
58 59	Fr	or peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	
60		peeriev		

1				
2		<u>#12h</u>	Describe any methods used to assess or address	18
3 4			population stratification.	
5 6				
7		<u>#12i</u>	Describe any methods used to address multiple	18
8 9			comparisons or to control risk of false positive	
10 11			findings.	
12 13			initialitys.	
14		#12j	Describe any methods used to address and	18
15 16				
17 18			correct for relatedness among subjects	
19 20	Participants			
21				
22 23		<u>#13a</u>	Report numbers of individuals at each stage of	n/a to protocol
24 25			study—eg numbers potentially eligible, examined	paper.
26 27				
28 29			for eligibility, confirmed eligible, included in the	Participant
30			study, completing follow-up, and analysed. Give	enrollment is not
31 32			information separately for for exposed and	finished yet
33 34			unexposed groups if applicable. Report numbers	
35 36 37			of individuals in whom genotyping was attempted	
37 38 39			and numbers of individuals in whom genotyping	
40				
41 42			was successful.	
43 44		#13b	Give reasons for non-participation at each stage	n/a to protocol
45 46		<u></u>		·
47 48				paper.
49				Participant
50 51 52				enrollment is not
52 53				finished yet
54 55				-
56 57		<u>#13c</u>	Consider use of a flow diagram	See figure 1
58				
59		Ear poor rovi	ow only http://hmiopon.hmi.com/sito/about/guidalings.yhtml	

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1 2 3	Descriptive data			
4 5		<u>#14a</u>	Give characteristics of study participants (eg	n/a to protocol
6 7			demographic, clinical, social) and information on	paper.
8 9 10			exposures and potential confounders. Give	Participant
10 11 12			information separately for exposed and	enrollment is not
13 14			unexposed groups if applicable. Consider giving	finished yet
15 16			information by genotype	
17 18 10		#145	Indicate number of participants with missing data	
19 20 21		<u>#14b</u>	Indicate number of participants with missing data	
22 23			for each variable of interest	
24 25		<u>#14c</u>	Cohort study – Summarize follow-up time, e.g.	
26 27			average and total amount.	
28 29 30	Outcome data			
30 31 32				
33 34		<u>#15</u>	Cohort study Report numbers of outcome events	n/a to protocol
35 36			or summary measures over time. Give information	paper.
37 38			separately for exposed and unexposed groups if	Participant
39 40			applicable. Report outcomes (phenotypes) for	enrollment is not
41 42			each genotype category over time Case-control	finished yet
43 44 45			study – Report numbers in each exposure	
46 47			category, or summary measures of exposure.Give	
48 49			information separately for cases and controls .	
50 51			Report numbers in each genotype category.	
52 53 54			Cross-sectional study – Report numbers of	
55 56			outcome events or summary measures. Give	
57 58			information separately for exposed and	
59 60		For peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Page	54	of	56

1			unexposed groups if applicable. Report outcomes	
2 3			(phenotypes) for each genotype category	
4 5				
6 7	Main results			
8 9 10		<u>#16a</u>	Give unadjusted estimates and, if applicable,	n/a to protocol
10 11 12			confounder-adjusted estimates and their precision	paper.
13 14			(eg, 95% confidence interval). Make clear which	Participant
15 16			confounders were adjusted for and why they were	enrollment is not
17 18			included	finished yet
19 20				, , , , , , , , , , , , , , , , , , ,
21 22		<u>#16b</u>	Report category boundaries when continuous	
23 24			variables were categorized	
25 26		#16c	If relevant, consider translating estimates of	
27 28 29		<u>,,,,,,,,</u>	relative risk into absolute risk for a meaningful	
30 31				
32 33			time period	
34 35		<u>#16d</u>	Report results of any adjustments for multiple	
36 37			comparisons	
38 39				
40 41	Other analyses			
42 43		<u>#17a</u>	Report other analyses done—e.g., analyses of	n/a to protocol
44 45			subgroups and interactions, and sensitivity	paper.
46 47 48			analyses	Participant
49 50				enrollment is not
51 52				finished yet
53 54 55		#17b	Report other analyses done—e.g., analyses of	
56 57		<u>" 110</u>	subgroups and interactions, and sensitivity	
58 59				
60		For peer revie	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2			analyses	
2 3 4		<u>#17c</u>	Report other analyses done—e.g., analyses of	
5 6			subgroups and interactions, and sensitivity	
7 8			analyses	
9 10				
11 12	Key results			
13 14 15		<u>#18</u>	Summarise key results with reference to study	n/a to protocol
16 17			objectives	paper.
18 19				Participant
20 21				enrollment is not
22 23				finished yet
24 25				inioned yet
26 27 28	Limitations			
28 29 30		<u>#19</u>	Discuss limitations of the study, taking into	21
31 32			account sources of potential bias or imprecision.	
33 34			Discuss both direction and magnitude of any	
35 36			potential bias.	
37 38				
39 40 41	Interpretation			
41 42 43		#20	Give a cautious overall interpretation considering	22
44 45			objectives, limitations, multiplicity of analyses,	
46 47			results from similar studies, and other relevant	
48 49			evidence.	
50 51			evidence.	
52 53	Generalisability			
54 55 56		#21	Discuss the generalisability (external validity) of	22
57 58			the study results	
59 60		For peer revi	ew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Funding		
3 4 5	<u>#22</u> Give	the source of funding and the role of the	23
6 7	funde	ers for the present study and, if applicable, for	
8 9 10	the o	riginal study on which the present article is	
11 12	base	d	
13 14 15	None The STREGA checklist is distri	buted under the terms of the Creative Commo	ns Attribution
16 17	License CC-BY. This checklist can be	e completed online using <u>https://www.goodrepo</u>	<u>orts.org/</u> , a tool
18 19	made by the <u>EQUATOR Network</u> in c	collaboration with Penelope.ai	
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