Air pollution disparities and equality assessments of US national decarbonization strategies

Supplemental Information (SI)

Teagan Goforth^{1,*} and Destenie Nock^{1,2,*}

- 1. Engineering and Public Policy, Carnegie Mellon University, USA
- 2. Civil and Environmental Engineering, Carnegie Mellon University, USA

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A. Model description

Figure S-1 presents the regions from Regional Energy Deployment System (ReEDS) that are used in the subnational analysis.



Figure S-1: ReEDS regions used in model evaluation (shapefile sourced from NREL ReEDS)¹.

Other than carbon policy implementations, no changes were made to the ReEDS' Mid_Case' inputs defined in the model inputs. The ReEDS' Mid_Case' uses the middle price assumptions for technologies. Relevant ReEDS 'Mid_Case' inputs and assumptions include: sequential solve (solve one year before continuing to the next), price assumptions for all technologies are from the US Energy Information Administration (EIA) 's Annual Energy Outlook (AEO) reference and mid-case, and current policy assumptions (AB32, CSAPR, wind Production Tax Credit (PTC), RGGI, state RPS). These cost, policy, and solve assumptions stay the same throughout all the scenarios. See the ReEDS documentation for a more thorough explanation of assumptions and inputs¹.

Table S-1 describes the carbon cap, national RPS, and low carbon technology mandates as input into ReEDS for each corresponding scenario.

Table S-1: Description of carbon cap (Mt CO₂/year), renewable portfolio standards (percent renewable energy generation per year), and low carbon technology mandates (percent low carbon technology generation per year) for each scenario.

	No	Carbon cap		Rene	wable e	Low carbon			
	carbon	(Mt		generation mandate			generation		
	policies	CO ₂ /	year)	(%)			mandate		
							(%	6)	
Year	А	В	С	D	Е	F	G	Н	
2010	-	4,808	4,808	0	0	0	0	0	
2011	-	4,808	4,808	0	0	0	0	0	
2012	-	4,808	4,808	0	0	0	0	0	
2013	-	4,808	4,808	0	0	0	0	0	
2014	-	4,808	4,808	0	0	0	0	0	
2015	-	4,808	4,808	0	0	0	0	0	
2016	-	4,808	4,808	0	0	0	0	0	
2017	-	4,808	4,808	0	0	0	0	0	
2018	-	4,808	4,808	0	0	0	0	0	
2019	-	4,808	4,808	0	0	0	0	0	
2020	-	1,341	1,341	20	20	20	20	20	
2021	-	1,427	1,405	22	25	23	25	23	
2022	-	1,329	1,258	24	31	25	31	25	
2023	-	1,221	1,168	26	36	28	36	28	
2024	-	1,116	1,092	28	41	31	41	31	
2025	-	1,002	979	30	47	33	47	33	
2026	-	973	889	32	52	36	52	36	
2027	-	951	775	34	57	39	57	39	
2028	-	928	649	36	63	41	63	41	
2029	-	896	524	38	68	44	68	44	
2030	-	844	464	40	73	47	73	47	
2031	-	802	402	42	79	49	79	49	
2032	-	766	323	44	84	52	84	52	
2033	-	734	245	46	89	55	89	55	
2034	-	700	178	48	95	57	95	57	
2035	-	668	167	50	100	60	100	60	
2036	-	637	160	52	100	63	100	63	
2037	-	610	154	54	100	65	100	65	
2038	-	586	146	56	100	68	100	68	
2039	-	575	137	58	100	71	100	71	
2040	-	582	126	60	100	73	100	73	
2041	-	589	114	62	100	76	100	76	
2042	-	594	103	64	100	79	100	79	
2043	-	601	92	66	100	81	100	81	

2044	-	608	80	68	100	84	100	84
2045	-	613	69	70	100	87	100	87
2046	-	619	57	72	100	89	100	89
2047	-	624	46	74	100	92	100	92
2048	-	629	34	76	100	95	100	95
2049	-	634	23	78	100	97	100	97
2050	-	639	11	80	100	100	100	100

B. Emission Rates

The emissions rate sources for each technology type included in the environmental sustainability analysis are shown in Table S-2.

 Table S-2: Emission rates sources.

	Operating Emission Rates					
	CO ₂ eq.	NO _x	SO ₂	PM _{2.5}		
Biopower	1	1	1			
Solar photovoltaic	1	1	1	-		
Concentrated solar power	1	1	1	-		
(CSP)						
Onshore wind	1	1	1	-		
Offshore wind	1	1	1	-		
Nuclear	1	1	1	-		
Natural gas combustion	1	1	1	2		
turbine (CT)						
Natural gas combined cycle	1	1	1	2		
(CC)						
Natural gas CCS	1	1	1	2		
Hydropower	1	1	1	-		
Geothermal	1	1	1			
Oil-Gas-Steam	1	1	1	2		
Coal	1	1	1	2		
IGCC	1	1	1	2		
Coal CCS	1	1	1	2		
Battery storage	1	1	1	-		
Pumped hydropower	1	1	1	-		

Table S-3 presents the heat rates for each fossil fuel plant used to estimate the emissions rate. Since most renewable technologies do not convert fuel to electricity, they do not have a heat rate (excluding biopower). We also assume renewable energy besides biopower does not emit anything in operation.

Technology	CO ₂ fuel rate	NO _x fuel rate	SO ₂ fuel rate	PM _{2.5} fuel rate	Heat rate	
	[lb/MMBtu]	[lb/MMBtu]	[lb/MMBtu]	[lb/MMBtu]	[MMBtu/MWh]	
Coal	211	0.153	0.470	0.016	9.669	
Cofire	179	0.130	0.411	0.029	10.112	
Coal CCS	21.1	0.085	0.056	0.016	10.157	
Coal IGCC	211	0.085	0.056	0.016	7.920	
Gas CC	117	0.02	0.005	0.007	6.341	
Gas CCS	11.7	0.02	0.005	0.007	7.505	
Gas CT	117	0.15	0.015	0.007	9.36	
Oil-Gas-	137	0.172	0.299	0.116	10.648	
Steam						
Biopower	0	0	0.08	0.101	13.5	
Nuclear	0	0	0	-	10.461	

Table S-3: Heat rates for power plants in MMBtu/MWh and fuel rates in pounds (lb)/MMBtu.¹

C. InMAP specifications

The Intervention Model for Air Pollution (InMAP) intakes emissions at the ReEDS level and area weights them across the ReEDS region to fit InMAP defined regions (1x1 km to 48x48 km squares). InMAP uses these emissions input to estimate the average annual concentration. While running, InMAP uses a reaction-advection-diffusion equation that estimates where air pollution ends up as ambient concentrations. The model uses a steady state formulation for each time step and continues to run until the air pollution concentrations reach steady state (the change in concentration is zero). Within each time step, each region accounts for the flux of new emissions and how pollution concentrations are affected by physical and chemical processes. Once the model reaches steady state, it outputs a shapefile with the annual average ambient concentrations for each region. ³

InMAP uses area-weighting to distribute emissions at the ReEDS level (134 regions) to the InMAP level (squares with 1 to 48 km sides). Equations S-1a and S-1b display the two-step process for area-weighting⁴. In Equation S-1a, the areal weight for each InMAP region is calculated, where $W_{I,i}$ is the areal weight for the InMAP region i (in km²), $A_{I,i}$ is the area of the InMAP region (in km²), and $A_{R,j}$ is the area of the ReEDS region j. Equation S-1b calculates the areal weighted air pollution value in each InMAP region, where $E_{I,i}$ is the estimated air pollution magnitude in each InMAP region and $Q_{R,j}$ is the PM, NO_x, or SO₂ air pollution (in kilograms) in each ReEDS region j.

$$W_{I,i} = \frac{A_{I,i}}{A_{R,j}}$$
(S-1a)
$$E_{I,i} = W_{I,i} * Q_{R,j}$$
(S-1b)

InMAP requires emissions inputs in shapefile form at the ReEDS region to perform this area weighting. Figure S-2(a-c) below shows the NO_x, SO₂, and PM emissions inputs, respectively (in metric tonnes) for InMAP, which show the total emissions in each region in 2020, 2035, and 2050.



(a) NO_x



(b) SO₂



(c) PM_{2.5}

Figure S-2: Total (a) NO_x (b) SO_2 and (c) $PM_{2.5}$ emissions in each ReEDS region 2020, 2035, and 2050 for each scenario.

D. Generation

Table S-4 summarizes the percent of annual generation and the magnitude of generation for the main technologies across scenarios and by decade.

Table S-4: Summary of percent and magnitude of generation from main technologies by year and scenario.

		20)10	2020		2030		2040		2050	
	Technology	%	PWh	%	PWh	%	PWh	%	PWh	%	PWh
	Coal	50.6	2.05	26.3	1.10	23.9	1.07	18.6	0.91	7.46	0.41
	Natural Gas	18.7	0.76	35.1	1.46	32.6	1.46	26.5	1.29	19.9	1.08
	Natural Gas	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00
	CCS										
A: Base	Nuclear	19.7	0.80	17.7	0.74	15.1	0.68	12.0	0.58	6.9	0.37
	Solar PV	0.1	0.00	3.6	0.15	7.9	0.35	14.1	0.69	20.9	1.14
	CSP	0.0	0.00	0.06	0.0	0.24	0.01	0.52	0.03	0.48	0.03
	Onshore Wind	2.6	0.11	8.4	0.35	10.6	0.48	19.1	0.93	33.8	1.83
	Offshore Wind	0.0	0.00	0.0	0.00	1.3	0.06	1.6	0.08	3.1	0.17
	Coal	50.6	2.05	16.2	0.67	5.5	0.25	1.7	0.08	4.7	0.25
	Natural Gas	18.7	0.76	40.3	1.68	35.2	1.58	27.5	1.35	19.7	1.07
	Natural Gas	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00
B. US	CCS										
NDC	Nuclear	19.7	0.80	17.7	0.74	15.0	0.68	11.9	0.58	6.9	0.37
	Solar PV	0.1	0.00	3.9	0.16	9.6	0.43	14.8	0.73	20.3	1.11
	CSP	0.0	0.00	0.1	0.00	0.2	0.01	0.8	0.04	0.7	0.04
	Onshore Wind	2.6	0.11	13.0	0.54	24.5	1.10	33.8	1.66	37.0	2.01
	Offshore Wind	0.0	0.00	0.0	0.00	1.3	0.06	1.6	0.08	3.1	0.17
	Coal	50.6	2.05	16.2	0.67	0.2	0.01	0.0	0.00	0.0	0.00
	Natural Gas	18.7	0.76	40.3	1.68	26.8	1.21	6.1	0.30	0.2	0.01
	Natural Gas	0.0	0.00	0.0	0.00	0.0	0.00	7.1	0.35	3.1	0.17
C: 1.5C	CCS	10.5	0.00	0.54	15.5	0.50	1.5.0		0.50		0.00
Pathway	Nuclear	19.7	0.80	0.74	17.7	0.68	15.0	11.5	0.58	5.5	0.30
	Solar PV	0.1	0.00	3.9	0.16	14.1	0.64	19.5	0.97	27.8	1.55
	CSP	0.0	0.00	0.1	0.00	0.2	0.01	1.2	0.06	1.4	0.08
	Onshore Wind	2.6	0.11	13.0	0.54	33.7	1.52	44.2	2.21	49.9	2.78
	Offshore wind	0.0	0.00	0.0	0.00	1.2	0.06	1./	0.09	4.2	0.23
	Coal	50.6	2.05	26.3	1.10	18.4	0.83	/./	0.38	2.6	0.14
	Natural Gas	18.7	0.76	35.1	1.40	20.5	1.19	20.2	0.99	10.8	0.60
	Natural Gas	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00
D: 80%	Nuclear	10.7	0.80	177	0.74	15.0	0.68	11.0	0.58	63	0.35
RE 2050	Solar PV	0.1	0.80	3.6	0.74	10.2	0.08	16.5	0.38	22.1	1.22
	CSP	0.1	0.00	0.1	0.15	0.3	0.40	0.7	0.01	0.6	0.03
	Onshore Wind	2.6	0.00	0.1 8.4	0.00	19.8	0.01	33.4	1.64	0.0 // 9	2.49
	Offshore Wind	2.0	0.00	0.4	0.00	17.0	0.05	16	0.08	4.1	0.24
	Coal	50.6	2.05	26.3	1.10	0.9	0.00	0.0	0.00	0.0	0.00
	Natural Gas	18.7	0.76	35.1	1.10	15.83	0.04	0.0	0.00	0.0	0.00
	Natural Gas	0.0	0.70	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00
	CCS	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00
E: 100%	Nuclear	19.7	0.80	17.7	0.74	9.7	0.44	0.0	0.00	0.0	0.00
RE 2035	Solar PV	0.1	0.00	3.6	0.15	16.8	0.77	35.0	1 84	31.0	1 78
	CSP	0.0	0.00	0.1	0.00	0.4	0.02	9.6	0.51	9.8	0.56
	Onshore Wind	2.6	0.00	8.4	0.00	45.9	2.10	45.3	2.39	48.4	2.78
	Offshore Wind	0.0	0.00	0.1	0.00	1.2	0.05	15.5	0.08	3 5	0.20
	Sublicite wind	0.0	0.00	0.0	0.00	1.4	0.05	1.5	0.00	5.5	0.20

	Coal	50.6	2.05	26.3	1.10	13.0	0.59	2.1	0.11	0.0	0.00
	Natural Gas	18.7	0.76	35.1	1.46	25.2	1.14	13.7	0.68		
	Natural Gas	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00
E. 1000/	CCS										
Г. 100% РЕ 2050	Nuclear	19.7	0.80	17.7	0.74	15.0	0.68	10.7	0.53	0.0	0.00
KE 2050	Solar PV	0.1	0.00	3.6	0.15	10.8	0.49	16.8	0.83	35.9	2.05
	CSP	0.0	0.00	0.1	0.00	0.3	0.01	1.1	0.05	3.3	0.19
	Onshore Wind	2.6	0.11	8.4	0.35	25.7	1.16	45.7	2.26	49.3	2.81
	Offshore Wind	0.0	0.00	0.0	0.00	1.3	0.06	1.7	0.08	4.6	0.26
	Coal	50.6	2.05	26.3	1.10	4.8	0.22	0.0	0.00	0.0	0.00
	Natural Gas	18.7	0.76	35.1	1.46	21.8	0.98	0.0	0.00	0.0	0.00
	Natural Gas	0.0	0.00	0.0	0.00	0.2	0.01	13.7	0.69	11.0	0.61
G: Low	CCS										
Carbon	Nuclear	19.7	0.80	17.7	0.74	15.0	0.68	11.4	0.58	6.5	0.36
2035	Solar PV	0.1	0.00	3.6	0.15	14.0	0.63	22.7	1.15	23.7	1.32
	CSP	0.0	0.00	0.1	0.00	0.2	0.01	1.3	0.07	1.2	0.07
	Onshore Wind	2.6	0.11	8.4	0.35	34.2	1.54	40.1	2.03	45.1	2.52
	Offshore Wind	0.0	0.00	0.0	0.00	1.2	0.06	1.6	0.08	3.8	0.02
	Coal	50.6	2.05	26.3	1.10	22.3	1.00	6.1	0.30	0.0	0.00
	Natural Gas	18.7	0.76	35.1	1.46	31.0	1.39	20.5	1.00	0.0	0.00
	Natural Gas	0.0	0.00	0.0	0.00	0.0	0.00	0.0	0.00	6.7	0.37
H: Low	CCS										
Carbon 2050	Nuclear	19.7	0.80	17.7	0.74	15.1	0.68	11.9	0.58	5.7	0.32
	Solar PV	0.1	0.00	3.6	0.15	7.8	0.35	15.5	0.76	26.5	1.48
	CSP	0.0	0.00	0.1	0.00	0.3	0.01	0.8	0.04	0.8	0.05
	Onshore Wind	2.6	0.11	8.4	0.35	13.9	0.62	35.8	1.75	47.7	2.67
	Offshore Wind	0.0	0.00	0.0	0.00	1.3	0.06	1.6	0.08	4.4	0.24

E. National Emissions

The operating emissions are a function of the fuel emissions rate (e_f) in pounds/MMBtu, heat rate (*H*) in MMBtu/MWh, generation $(g_{n,t})$ for each technology (n) in each year (t) in MWh, and pounds to grams conversion (α) (Equation S-1). The total operating emissions are the sum of emissions from each technology (*n*).

$$E_{O} = \sum_{i=1}^{n} g_{n} e_{f,n} H_{n} \alpha \qquad (S-2)$$

n

Figures S-3 – S-6 display the national operating emission by technology 2010 - 2050 across each scenario and for each pollutant. For operating emissions, natural gas and coal technologies contribute most emissions. For SO₂ and PM_{2.5} emissions, coal technologies contribute to 99% of operating emissions across all technologies.

Figure S-7 displays the national emissions ratio between $PM_{2.5}$ emissions and total generation (in kg/MWh). We find that the 1.5 C decarbonization pathway (Scenario C) often has the lowest or second lowest ratio over the entire modeling horizon. This most likely stems from Scenario C (1.5 C decarbonization pathway) retiring the entire coal fleet in the same period as Scenario E (100% RE by 2035). The scenarios with 100% RE generation achieve the lowest national emissions ratios in their mandate years.



Figure S-3: National operating CO₂ emissions by technology 2010 – 2050.



Figure S-4: National operating NO_x emissions by technology 2010 - 2050.



Figure S-5: National operating SO₂ emissions by technology 2010 – 2050.



Figure S-6: National operating $PM_{2.5}$ emissions by technology 2010 - 2050.



Figure S-7: Ratio between $PM_{2.5}$ emissions and generation (in kg/MWh). This shows the national emissions ratio of $PM_{2.5}$ emissions per MWh generation. We see this is a similar trend across scenarios as to the national $PM_{2.5}$ emissions (see Figure 2 of the main text).

F. Regional Air Pollution Analysis

Figures S-8 and S-9 show the NO_x and SO_2 emissions distribution in 2020, 2035, and 2050.



Figure S-8: Distribution of NO_x emissions 2020, 2035, and 2050.



Figure S-9: Distribution of SO₂ emissions 2020, 2035, and 2050.

G. Air Pollution Equality Assessments

Here we present the air pollution equality assessments in our analysis. Table S-5 shows the groups and respective sample sizes for the equality analysis. Table S-6 shows the reductions in PM_{2.5} concentrations per billion metric tons of CO₂ reduced from 2020 to 2050. A value of one indicates that the rate of change of PM_{2.5} (in μ g per m³) is the same as the rate of change of CO₂ (in billion metric tons). A value of less than one indicates that the rate of reductions in CO₂ are greater than the rate of reductions in local pollutants. This ratio is useful for understanding the impact of decarbonization policies on the rate of reduction of PM_{2.5} in relation to CO₂. From Table S-6 we see that Scenario D (80% renewable energy by 2050) has the highest reduction of PM2.5 compared to billion metric tons of CO₂ reduced. This means that we see the most benefit in health impacts (represented as reductions in PM_{2.5} concentrations) from reaching 80% renewable energy by 2050 per billion metric tons of CO₂ reduced. Scenario A has the third highest ratio, but overall has the worst health impacts due to this scenario having the highest level of total emissions.

Figures S-10 and S-11 show the population weighted annual average NO_x and SO2 concentration across racial or ethnic groups in all scenarios 2020 – 2050. Interestingly we find that Black communities are always worse off than their racial/ethnic counterparts. Figure S-12, S-13, and S-14 show the population weighted $PM_{2.5}$, NO_x , and SO_2 concentration across all income groups and scenarios 2020 to 2050 respectively. Figure S-15 shows the population weighted $PM_{2.5}$ concentration across race and ethnicity groups for the two highest and two lowest income groups. We see that even within income groups, Black communities are burdened with the most air pollution. Figure 16 shows the absolute change of PM_{2.5} concentration over race and ethnicity groups, showing that Black communities see the largest reductions over the energy transition. Figure 17 shows the percent change of PM_{2.5} with respect to the starting point in 2020 across race and ethnicity groups and scenarios. We see that the percent change across race and ethnicity groups within scenarios is the same over each modeling period, indicating that starting points in 2020 impacts air pollution exposure over the energy transition. Figures S-18 and S-19 show the population weighted NO_x and SO₂ concentration respectively across scenarios and poverty groups. Figure S-20 shows the distribution of percent Black and non-Latinx white census tracts and PM_{2.5} regional distribution in 2020 and 2050.

Table S-5: Equality groups and their respective sample sizes. Emission concentrations were averaged over these groups to get the population weighted average annual concentration in each group.

	Groups	Sample Size
		(number of
		census tracts)
Population in	[0, 10%]	26,850
census tract	(10%, 20%]	22,897
below the	(20%, 30%]	11,611
Poverty Line	(30%, 50%]	8,621
(%)	(50%, 70%]	1,389
	(70%, 100%]	189
Median	[0, \$25k]	4,458
Income	(\$25k, \$50k]	29,389
	(\$50k, \$75k]	22,710
	(\$75k, \$100k]	8,942
	(\$100k, \$125k]	3,843
	(\$125k, \$150k]	1,294
	(\$150k,]	890

Table S-6: Ratio of reductions in PM_{2.5} concentration per billion metric tons of CO₂ from 2020 to 2050 (in μ g-m⁻³ per billion metric tons). The PM reductions are calculated as a population weighted annual average.

Decarbonization Scenario	Black	Non-Latinx	Asian	Latinx/	Indigenous
		White		Hispanic	
Scenario D – 80% RE 2050	0.568	0.474	0.32	0.291	0.311
Scenario F – 100% RE 2050	0.527	0.45	0.302	0.28	0.305
Scenario A – Base	0.526	0.442	0.308	0.269	0.29
Scenario H – Low Carbon 2050	0.526	0.45	0.301	0.278	0.305
Scenario G – Low Carbon 2035	0.525	0.449	0.299	0.276	0.304
Scenario E – 100% RE 2035	0.523	0.448	0.301	0.279	0.303
Scenario C – 1.5°C	0.347	0.295	0.195	0.169	0.183
Scenario B – US NDC	0.327	0.271	0.18	0.144	0.154



Figure S-10: Population weighted annual average NO_x concentration across race and ethnicity groups. We see black populations reside in areas with high NO_x concentrations across all decarbonization scenarios and time periods.



Figure S-11: Population weighted annual average SO₂ concentration across race and ethnicity groups.



Figure S-12: Population weighted $PM_{2.5}$ concentration across all income groups and scenarios from 2020 - 2050.



Figure S-13: Population weighted NO_x concentration across all income groups and scenarios 2020 - 2050.



Figure S-14: Population weighted SO_2 concentration across all income groups and scenarios 2020 - 2050.



Figure S-15: Distribution of population weighted $PM_{2.5}$ concentration across race and ethnicity in the two highest (\$125k-\$150k and >\$150k) and two lowest (<\$25k and \$25k-\$50k) median income brackets for Scenario A (Base Case) and Scenario D (80% RE by 2050 Mandate). This shows that across income groups, Black people are exposed to the highest concentrations of $PM_{2.5}$.



Groups 🔶 Black --- Non-Latinx White --- Indigenous --- Latinx/Hispanic -

Figure S-16: Absolute changes in population weighted $PM_{2.5}$ concentrations (in $\mu g/m^3$) across race and ethnicity groups for each scenario 2020 - 2050.



Groups 🔶 Black --- Non-Latinx White --- Indigenous --- Latinx/Hispanic -

Figure S-17: Percent change of population weighted $PM_{2.5}$ concentration across race and ethnicity groups for each scenario over the energy transition 2020 - 2050.



Figure S-18: Population weighted NO_x concentration across poverty rate groups. We see that areas with high poverty rates reside in areas with high NO_x concentrations across all decarbonization scenarios and time periods.







Figure S-20: Regional distribution of air pollution and racial/ethnic groups. The distribution of racial groups includes the (a) Black and (c) non-Latinx white communities by census tract. The distribution of air pollution in the Base Case (Scenario A) are shown for the years (b) 2020 and (d) 2050. We show the Black and white racial groups because these communities are exposed to the highest concentrations of $PM_{2.5}$ from the electricity sector across the entire modeling horizon in our analysis.

References

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