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Quantitative G6PD point-of-care test can be used reliably on cord blood to identify male and female newborns at increased risk of neonatal hyperbilirubinaemia: a mixed method study

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5 1 TITLE6 2 **Quantitative G6PD point-of-care test can be used reliably on cord blood to identify male and**
7 3 **female newborns at increased risk of neonatal hyperbilirubinaemia: a mixed method study**
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28 ABSTRACT

29 Objectives: New point-of-care (POC) quantitative G6PD testing devices developed to provide safe
30 radical cure for *P. vivax* malaria may be used to diagnose G6PD deficiency in newborns at risk of severe
31 neonatal hyperbilirubinaemia, improving clinical care, and preventing related morbidity and mortality.

32 Methods: We conducted a mixed-methods study analyzing technical performance and usability of the
33 "STANDARD G6PD" Biosensor when used by trained midwives on cord blood samples at two rural
34 clinics on the Thailand-Myanmar border.

35 Results: In 307 cord blood samples, the Biosensor had a sensitivity of 1.000 (95%CI 0.859-1.000) and a
36 specificity of 0.993 (95% CI 0.971-0.999) as compared to gold standard spectrophotometry to diagnose
37 G6PD deficient newborns using a receiving operator characteristic (ROC) analysis-derived threshold of
38 ≤ 4.8 IU/gHb. The Biosensor had a sensitivity of 0.727 (95%CI: 0.498-0.893) and specificity of 0.933
39 (95%CI: 0.876-0.969) for 30-70% activity range in females using ROC analysis-derived range of 4.9 to
40 9.9 IU/gHb. These thresholds allowed identification of all G6PD deficient neonates and 80% of female
41 neonates with intermediate phenotypes.

42 Need of phototherapy treatment for neonatal hyperbilirubinaemia was higher in neonates with
43 deficient and intermediate phenotypes as diagnosed by either reference spectrophotometry or
44 Biosensor.

45 Focus group discussions found high levels of learnability, willingness, satisfaction, and suitability for
46 the Biosensor in this setting. The staff valued the capacity of the Biosensor to identify newborns with
47 G6PD deficiency early ("We can know that early, we can counsel the parents about the chances of their
48 children getting jaundice") and at the POC, including in more rural settings ("Because we can know the
49 right result of the G6PD deficiency in a short time. Especially for the clinic which does not have a lab").

50 Conclusions: The Biosensor is a suitable tool in this resource-constrained setting to identify newborns
51 with abnormal G6PD phenotypes at increased risk of neonatal hyperbilirubinaemia.

52

53 Strengths and limitations of this study

- 54 • The technical performance of the G6PD quantitative point-of-care diagnostic device was
55 assessed against the current gold-standard spectrophotometric assay.
- 56 • Receiving operator characteristic analysis was used to identify the best diagnostic thresholds.
- 57 • Usability among clinical personnel from a resource-constrained setting was analysed using a
58 conceptual framework developed for similar settings.
- 59 • Fewer than planned focus group discussions were conducted and they occurred in a single
60 clinical site providing a possibly narrower point of view on the usability topics explored.

61 INTRODUCTION

62 Pathologically increased levels of bilirubin during the first week of life, i.e. neonatal
63 hyperbilirubinaemia (NH), are common and dangerous for the developing brain. The most severe form
64 of NH, kernicterus, causes neurological sequelae in >80% of neonates (56/100,000 live births globally,
65 [1]). Every year, an estimated twenty-four million newborns are at risk of NH-related adverse outcomes
66 with three-quarters of mortality occurring in sub-Saharan Africa and South Asia [1,2]. These
67 preventable deaths and disabilities disproportionately affect neonates where universal health care and
68 treatment options are scarce, if not absent [3].

69 Several genetic and clinical factors influence the timing and evolution of NH, including G6PD deficiency,
70 ABO blood group incompatibility, prematurity/low birth weight and sepsis [4]. Early identification of
71 these risk factors can dramatically improve neonatal clinical management during the first days of life
72 [5].

73 The enzymatic defect of G6PD deficiency, caused by mutations on the X-linked G6PD gene, is a known
74 risk factor for increased levels of bilirubin after birth and it is associated with susceptibility to drug-
75 induced haemolysis [6]. Risk of severe NH is increased in both deficient and heterozygous newborns
76 with abnormal phenotypes [7-9] and universal neonatal screening of G6PD deficiency is supported by
77 WHO in populations where more than 3-5% of males are affected [10].

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3 78 G6PD deficiency is particularly prevalent among neonates from tropical regions [11], where clinical
4
5 79 care is often provided in a non-tertiary hospital or clinic context. Knowledge of G6PD status by medical
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7 80 staff and parents can aid in avoiding potentially haemolytic antibiotics or other agents (such as
8
9 81 naphthalene), improved follow-up, and heightened awareness of signs and symptoms of severe NH.

11
12 82 G6PD deficiency is very common among the Karen and Burman population along the Thailand-
13
14 83 Myanmar border (9-18% in males, [12]) where it is associated with an increased risk to develop NH
15
16 84 requiring phototherapy both in G6PD deficient (over 4-fold [13]) and in heterozygous females (over 2-
17
18 85 fold [5]) as compared to wild type genotype neonates. In a recent study, screening of G6PD by
19
20 86 qualitative Fluorescent Spot Test (FST) on cord blood failed to identify almost 10% of G6PD deficient
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22 87 neonates [14].

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27 88 Demonstrating usability of new quantitative Point-Of-Care (POC) G6PD diagnostic tests by locally
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29 89 trained clinical staff can inform clinical deployment in this setting and in other rural settings. This study
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31 90 assessed the technical performance and usability of the “G6PD STANDARD” (SD Biosensor, Korea) test
32
33 91 when used by trained midwives in two clinics along the Thailand-Myanmar border.

34 35 36 37 92 MATERIALS AND METHODS

38 39 93 Study design

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41 94 A mixed-methods study was conducted to evaluate both the technical performance of the “G6PD
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43 95 STANDARD” (SD Biosensor, Korea) test (henceforth “Biosensor”) and its usability by midwives in a non-
44
45 96 tertiary setting. G6PD enzymatic activity and haemoglobin concentration measured by the device were
46
47 97 compared to the gold standard reference spectrophotometric assay and haematology analyser,
48
49 98 respectively. Performance of the G6PD fluorescent spot test (FST) currently used routinely at the point-
50
51 99 of-care, was also compared to the reference and new test.

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54
55 100 Following local staff training, user proficiency was assessed before study start; usability was explored
56
57 101 using focus group discussions (FGD) at the end of the study.

102 Study setting and population

103 The study was conducted in SMRU clinics situated along the Thailand-Myanmar border in Tak province
104 (Thailand) where free antenatal care and birthing services are provided for migrant women of
105 predominantly Karen and Burman ethnicity.

106 SMRU midwives come from the same population as the pregnant women and patients seeking care at
107 SMRU clinics. The majority of midwives have primary or secondary education and receive clinical
108 training on-site. Pregnant women attending SMRU clinics at Wang Pha (WPA) and Maw Ker Thai (MKT)
109 were informed about the study at regular antenatal care visits in the 3rd trimester. Informed consent
110 procedures and eligibility assessments for mothers were completed before labour commenced.
111 Eligibility of neonates was assessed immediately after delivery, and those born at an estimated
112 gestational age (EGA) by ultrasound ≥ 35 weeks with no severe maternal complications at delivery and
113 no severe neonatal illness were included. In order to allow laboratory analyses to be performed within
114 30 hours from collection, only neonates born during week days were included. For all neonates,
115 indication for starting phototherapy treatment followed the recommendations of the UK NICE
116 guidelines [15].

117 Blood analyses for technical evaluation of Biosensor

118 Two milliliters of cord blood were collected into EDTA from the umbilical cord using an established
119 SMRU SOP. An aliquot of anticoagulated blood was used by the midwives in the delivery room for the
120 Biosensor following manufacturer's instructions within one hour of collection (Appendix 1). Tests were
121 repeated if the test result was an error or "HI" (a result obtained when G6PD activity is very high,
122 outside the instrument analytic range). High-level and low-level Biosensor controls were run weekly or
123 monthly (depending on availability) from April 2020 until May 2021.

124 An aliquot of anticoagulated blood was analysed by G6PD fluorescent spot test (FST) at the clinical
125 laboratory. The remaining blood was stored at 4°C until shipment to the central SMRU laboratory on
126 the same day.

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3 127 Gold standard reference testing for G6PD and haemoglobin were performed by spectrophotometric
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5 128 assay and haematology analyser (with complete blood and reticulocyte counts), respectively, at the
6
7 129 SMRU central laboratory.

9
10 130 G6PD spectrophotometric assay was performed using Pointe Scientific kits (assay kit # G7583-180, Lysis
11
12 131 Buffer # G7583-LysSB). Kinetic determination of G6PD activity at 340 nm was performed using a
13
14 132 SHIMAZU UV-1800 spectrophotometer with temperature controlled cuvette compartment (30°C).
15
16 133 Samples were analysed in double and mean activity was expressed in IU/gHb using the Hb
17
18 134 concentration obtained by complete blood count analysis. The final result was calculated using
19
20 135 manufacturer's Temperature Control Factor of 1.37. Two controls (Normal, Intermediate or Deficient;
21
22 136 Analytic Control Systems, Inc. USA) were analysed at every run and results compared to expected
23
24 137 ranges provided by manufacturer. Complete blood count was performed using a CeltacF MEK-8222K
25
26 138 haematology analyser (Nihon Kohden, Japan). Three-levels quality controls were run every day and
27
28 139 device maintenance and calibration were performed regularly. Reticulocytes were analysed by
29
30 140 microscopy after staining with supervital staining Crystal Violet.

31
32
33
34 141 Buffy coat recovered from whole blood after centrifugation was stored at -20°C for later DNA
35
36 142 extraction using standard columns kit (Favorgen Biotech, Taiwan). Genotyping for G6PD common
37
38 143 mutations was performed through established SOPs [16]. Mahidol mutation was analysed in all
39
40 144 samples. Other mutations were only analysed in phenotypically deficient or intermediate samples
41
42 145 (G6PD < 9.31IU/gHb by reference test) with wild type or heterozygote Mahidol genotypes. Viangchan,
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44 146 Chinese-4, Kaiping, Canton, Union and Mediterranean were analysed first and full gene sequence was
45
46 147 performed if none of these mutations were found.

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51 52 53 149 **Biosensor training, user proficiency and usability assessment**

54 150 Midwives of WPA and MKT SMRU clinics were trained for use of Biosensor and were eligible to
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56 151 participate in the usability component of the study following informed consent. Two to four training
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58 152 sessions were provided at each clinic in the local language by an experienced laboratory technician
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3 153 (author LA). The sessions lasted from 1 to 2 hours and included a short introduction about the test, a
4
5 154 practical demonstration using imitation blood, and supervised use of the biosensor by each midwife.
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7 155 Midwives were allowed to practice the procedure the week following the training prior to taking a user
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9 156 proficiency test. The proficiency test was administered by author LA in the local language and it
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11 157 consisted of a questionnaire (modified from a questionnaire developed by PATH
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13 158 ([https://www.finddx.org/wp-content/uploads/2020/09/PATH_STANDARD-G6PD-User-Competency-](https://www.finddx.org/wp-content/uploads/2020/09/PATH_STANDARD-G6PD-User-Competency-Assessment-quiz_08oct19.pdf)
14
15 159 [Assessment-quiz_08oct19.pdf](https://www.finddx.org/wp-content/uploads/2020/09/PATH_STANDARD-G6PD-User-Competency-Assessment-quiz_08oct19.pdf)) and direct observation of two consecutive tests. Midwives were asked
16
17 160 to explain out-loud their actions while performing the first test. The proficiency test was analysed by
18
19 161 authors GB and GG and midwives who scored <85% were re-trained before study start. A visual aid
20
21 162 with all critical steps of the procedure was printed and available in the delivery room during the study.
22
23 163 The usability component of the study followed the conceptual framework for acceptance and use of a
24
25 164 rapid diagnostic test for malaria proposed by Asimwe et al. [17] that evaluates 6 components:
26
27 165 learnability, willingness, suitability, satisfaction, efficacy, and effectiveness. The focus group
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29 166 discussions (FGD) specifically focused on 4 main themes of learnability, willingness, satisfaction, and
30
31 167 suitability. Due to COVID, only two of the planned six total FGD were conducted. The midwives were
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33 168 grouped by their seniority, with senior and junior midwives together, and midwife assistants in a
34
35 169 separate group in order to encourage honest and open conversation. One researcher (KKA) facilitated
36
37 170 the FGD while an experienced assistant took notes; both were fluent in Burmese and Karen languages
38
39 171 used in the FGD. Immediately following the FGD, research staff debriefed and noted main themes of
40
41 172 the discussion. FGDs were audio-recorded and subsequently translated and transcribed in English. Two
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43 173 researchers (MG and GB) independently analysed the transcript using thematic analysis based on the
44
45 174 pre-set framework [17] using Taguette (a free and open access qualitative data analysis software,
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47 175 <https://joss.theoj.org/papers/10.21105/joss.03522>) and confirmed findings with the KKA. Face-to-face
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49 176 meeting and exchange of notes allowed for triangulation between the researchers.
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177 Blood analysis for assessment of neonatal hyperbilirubinaemia

178 Routine clinical care for newborns included at least one total serum bilirubin (TSB) test before
179 discharge (around 48h of life) using capillary blood measured on-site by the rapid quantitative
180 bilirubinometer BR-501 (Apel Co. Ltd, Japan).

182 Sample size and statistical analyses

183 The expected prevalence of G6PD deficiency in the population living at the border is 9-18% in males
184 and 2-4% in female [12, 16] corresponding to approximately 20-30% heterozygous females, 60% of
185 whom have intermediate activity [18]. Assuming that the proportion of females and males in the
186 neonate population is 50%, 9% were expected to be G6PD deficient and 7% to be G6PD intermediate.
187 In order to obtain 95% CI of the limits of agreement within 0.5 SD of the difference, about 31 neonates
188 with deficiency and 25 with intermediate phenotypes were needed, with a minimum total sample size
189 of 350 samples.

190 Clinical data were double entered in MACRO and collated with laboratory data; data were analysed
191 using SPSSv27.

192 Male median (MM) was calculated in all males with wild type genotypes in both the references
193 spectrophotometric assay and the Biosensor. Deficiency was defined as enzymatic activity below 30%
194 of MM by reference spectrophotometry and receiving operator characteristic (ROC)-derived 30%
195 threshold by Biosensor; intermediate phenotypes were defined as enzymatic activity between 30%
196 and 70% of the MM or ROC-derived threshold.

197 Mean and standard deviation (SD) were reported for continuous variables. Categorical variables were
198 compared by Chi-squared test and ANOVA. Bland-Altman plot was used to inspect correspondence
199 between G6PD activity detected by Biosensor compared to the spectrophotometry assay [19].
200 Correlation was assessed using Pearson's coefficient of correlation and Interclass Correlation
201 Coefficient (ICC). Area under the curve (AUC) of the ROC curve [20] was calculated at different activity
202 thresholds to analyse clinical performances (i.e. sensitivity and specificity) of the Biosensor. Cohen's

203 Kappa coefficient was calculated for categories of phenotypes identified by Biosensor and
204 spectrophotometry.

205 For analysis of haematologic features and risk of neonatal hyperbilirubinaemia, neonates gestational
206 ages assessed by ultrasound were categorized as ≤ 38 and >38 weeks according to epidemiologic
207 studies conducted previously in the same population [21].

208 Statistical significance was assessed at the 5% level.

209 Patient and Public Involvement statement

210 At the outset of the study, the research team engaged the local population through a local ethics and
211 research advisory committee, the Tak Province Community Advisory Board, Thailand. This group is
212 comprised of community leaders, and were asked to advise on study design, process, and outcomes
213 of interest, and subsequently approved the study (TCAB201904).

214 RESULTS

215 A total of 331 cord blood samples were collected between April 2020 and November 2021; six were
216 clotted and excluded from all analysis. Of the remaining 325 samples, 257 (79%) were collected in MKT
217 clinic and 68 in WPA clinic, in 166 (51%) female and 159 male neonates. Mean (SD) of estimated
218 gestational age of newborns was 39.1 (1.0) weeks.

219 General haematologic characteristics

220 As expected for this specimen, haematological characteristics of cord blood (Table 1) show higher
221 white blood cell count, haemoglobin concentrations, reticulocyte counts and larger cellular volumes
222 compared to adult blood. Reticulocyte counts and red cell distribution width were higher in neonates
223 <38 weeks gestational age ($P=0.02$ and $P=0.01$ respectively) while the other indexes did not differ by
224 gestational age groups.

225

226

227 **Table 1.** Haematologic characteristics of cord blood samples according to newborn gestational age. Results are shown as mean (SD)

EGA (weeks)	N*	WBC (10 ³ / uL)	NEU (10 ³ / uL)	LYM (10 ³ / uL)	RBC (10 ⁶ / uL)	HGB (g/dL)	HCT (%)	MCV (fL)	MCH (pg)	MCHC (g/dL)	RDW (%)	PLT (10 ³ / uL)	Reticulocyte (%)
<38	19	13.1 (3.6)	9.6 (3.3)	2.7 (1.7)	4.3 (0.4)	14.4 (1.7)	48.0 (5.4)	110.9 (6.6)	33.2 (2.7)	29.9 (1.5)	16.8 (1.5)	259.2 (66.2)	2.8 (1.8)
≥38	298	14.3 (3.8)	10.8 (3.6)	2.8 (1.6)	4.5 (0.5)	14.5 (1.7)	49.0 (5.2)	109.0 (7.9)	32.3 (3.0)	29.6 (1.4)	16.0 (1.2)	261.4 (47.7)	2.1 (1.1)
<i>P</i> _{ANOVA}		0.17	0.16	0.88	0.14	0.68	0.43	0.30	0.21	0.41	0.01	0.85	0.02

228

229 * Number of samples analysed by haematology analyser was 317 out of 325; 7 samples were analysed by Hemocue and result used to calculate G6PD
 230 enzymatic activity.

231

232 G6PD genotypes

233 A total of 26 hemizygous mutated males (21 Mahidol, 2 Kaiping, 1 Viangchan, 1 Coimbra, 1 Orissa), 3
234 homozygous mutated females (Mahidol), 34 heterozygous females (32 Mahidol, 1 Canton, 1
235 Viangchan) and 262 wild type (129 females and 133 males) were found. Overall allelic frequency of all
236 mutated alleles was 13.4%. The distribution of G6PD activity by spectrophotometry and biosensor
237 associated with different genotypes are shown in Figures 1 and Supplementary Tables 1 and 2.

238 Fluorescent spot test

239 The poor performances of the FST in cord blood were confirmed here, with the FST failing to identify
240 23% (7/30) of deficient neonates and 100% of the intermediate females (22/22; Table 2).

241 Technical evaluation of Biosensor

242 Male medians by reference spectrophotometric assay and Biosensor

243 MM G6PD activity by spectrophotometer was 13.3 IU/gHb giving a 30% threshold of 4.0 IU/gHb for
244 diagnosis of deficiency; intermediate activity (30-70%) in females ranged between 4.1 and 9.3 IU/gHb.
245 The cord blood-specific 30% spectrophotometric threshold identified all the hemizygous male and
246 homozygous female newborns (Figure 1A).

247 MM of G6PD activity by Biosensor calculated on 307 samples was 14.4 IU/gHb giving a 30% threshold
248 of 4.3 IU/gHb for diagnosis of deficiency. Intermediate activity (30-70%) in females ranged between
249 4.4 and 10.1 IU/gHb (Figure 1B).

250 In 7% of cases (23/325), the Biosensor provided an initial result of "HI" activity without a numeric value.
251 Of the 19 samples retested, 14 had "HI" results again and 5 samples had an activity ranging from 17.3
252 to 20.0 IU/gHb; all samples with initial or confirmed "HI" results were normal by spectrophotometry
253 and had a wild type genotype. Overall, 18 samples (5.5% of the total) did not have a final numeric
254 result by Biosensor but would have been considered "normal", according to the spectrophotometric
255 assay.

256 Biosensor performance

257 Biosensor performance was assessed for 307/325 samples that yielded numeric results. The mean
258 ($\pm 1.96SD$) difference in enzymatic activity between Biosensor and spectrophotometry was 1.05 IU/gHb
259 (LoA: -3.52 to 5.62 IU/gHb) as represented in the Bland-Altman plot in Figure 2A. A very strong
260 correlation between enzymatic activity by Biosensor and reference spectrophotometry was observed
261 (Pearson's $r=0.855$, $p<0.001$; ICC=0.905, $p<0.001$).

262 The mean ($\pm 1.96SD$) difference in Hb between the Biosensor and haematology analyser was 0.70 g/dL
263 (LoA: -2.83 to 4.23 g/dL) (Figure 2B). A moderate correlation between Hb levels by Biosensor and
264 haematology analyser was observed (Pearson's $r=0.637$, $p<0.001$; ICC=0.728, $p<0.001$).

265 Area under the curve (AUC) of the ROC analysis (Figure 3A) of the 30% threshold was 0.999 (95%CI:
266 0.997-1.000); ROC analysis showed that 30% of Biosensor MM (4.3IU/gHb) was associated with
267 sensitivity of 0.931 (95%CI: 0.758-0.988) and specificity of 0.989 (95%CI: 0.966-0.997) while a threshold
268 of 4.8IU/gHb had a sensitivity of 1.000 (95%CI: 0.859-1.000) and a specificity of 0.993 (95% CI: 0.971-
269 0.999). This second threshold was therefore used for the subsequent analyses.

270 AUC of the ROC analysis (Figure 3B) for the 70% threshold was 0.972 (95%CI: 0.949-0.994) and ROC
271 analysis showed that a threshold of 9.9IU/gHb had a better sensitivity and specificity as compared to
272 the 70% of Biosensor MM (10.1 IU/gHb). The ROC-derived threshold had a sensitivity of 0.842 (95%CI:
273 0.716-0.921) and specificity of 0.984 (95%CI: 0.957-0.995) to identify samples with $\leq 70\%$ activity and
274 was used for subsequent analyses.

275 AUC of the ROC analysis for the range 30-70% activity was 0.935 (95%CI: 0.887-0.983); sensitivity and
276 specificity for intermediate phenotypes in females were 0.727 (95%CI 0.498-0.893) and 0.933 (95%CI:
277 0.876-0.969) respectively based on ROC-derived thresholds as compared to 0.592 (95%CI: 0.390-0.770)
278 and 0.953 (95%CI: 0.897-0.980) using Biosensor MM thresholds.

279 When comparing phenotypes defined according to the 30% and 70% thresholds of spectrophotometry
280 and ROC-derived threshold for Biosensor (Table 2), the Biosensor correctly identified all deficient and

281 normal males and all deficient females. In females, the Biosensor incorrectly identified 9% (2/22) of
 282 intermediate females (activity by spectrophotometry 33% and 62%) as deficient, and 7% (9/130) of
 283 phenotypically normal female neonates as intermediate (activity by spectrophotometer ranging from
 284 71% to 113%). It also misdiagnosed 18% (4/22) of intermediate samples as normal. Of these 4 samples,
 285 3 were Mahidol heterozygotes and 1 was a wild type and their enzymatic activity by
 286 spectrophotometry ranged from 54% to 64%. Cohen's kappa coefficient was 0.841, $p < 0.001$. Overall,
 287 the majority of samples with discordant results (11/15) were identified by the Biosensor as having a
 288 "worse" phenotype. Characteristics of the 15 samples with discordant results are reported in
 289 Supplementary Table 3.

290

291 **Table 2.** Diagnostic performance of FST and Biosensor as compared to gold standard
 292 spectrophotometry.

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		<i>Spectrophotometry</i>				
		Male		Female		
		Deficient	Normal	Deficient	Intermediate	Normal
FST	Deficient	20	0	2	0	0
	Normal	6*	133	2*	22	137
	Total	26	133	4	22	137
Biosensor	Deficient	26	0	4	2 [#]	0
	Intermediate	NA	NA	0	16	9 [§]
	Normal	0	125	0	4 ^{&}	121
	Total	26	125	4	22	130

303 Phenotypes are based on 30% and 70% thresholds for spectrophotometry. For Biosensor, threshold
 304 for deficiency is ≤ 4.8 IU/gHb and 4.9 to 9.9 IU/gHb for intermediate, both obtained by ROC analysis.
 305 Total sample for Biosensor was 307; total sample for FST was 322 (3 samples were not analysed by
 306 FST at the clinic)

307

308 *Enzymatic activities ranging from 12% to 27% of spectrophotometry MM.

309 [#] Two Mahidol heterozygotes with activity by spectrophotometry of 33% and 62% of MM.

310 [§] Two Mahidol heterozygotes and 7 wild type samples with enzymatic activity by spectrophotometry
 311 ranging from 71% to 113%.

312 [&] Three Mahidol heterozygotes and 1 wild type samples with enzymatic activity by
 313 spectrophotometry ranging from 54% to 64%.

314 Characteristics of discordant samples are reported in Supplementary Table 1.

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6 319 No difference in results were observed by clinic (ICC=0.899, $p<0.001$ in MKT and ICC=0.930, $p<0.001$ in
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9 320 WPA) or user. In MKT clinic where the test was used over 20 months, a trend of larger absolute mean
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11 321 differences in activity (Biosensor - Spectrophotometry) were observed in the last 4-8 months of use as
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13 322 compared to the first 12 months (Supplementary Figure 1).

323 Risk of neonatal hyperbilirubinaemia

324 Risk of neonatal hyperbilirubinemia by phenotype (determined by spectrophotometry) was assessed
325 in term neonates (EGA \geq 38weeks). A significantly larger proportion of G6PD deficient neonates (29%)
326 underwent phototherapy for treatment of NH as compared to G6PD normal (6%, RR[95%CI] =4.9 [2.3-
327 10.5]; $P<0.001$). A larger proportion of female neonates with intermediate phenotypes (90% of whom
328 were heterozygotes) required phototherapy (15%), although in this small cohort the difference did not
329 reach statistical significance (RR[95%CI] =2.6 [0.8-8.1]; $P=0.13$; supplementary Table 4. Relative risk by
330 quantitative phenotypes were similar to those already established by genotypes in the same
331 population [5].

332 Biosensor training, user proficiency and usability assessment

333 A total of 22 midwives in two clinics were initially trained and completed the users' proficiency test,
334 including 7 senior, 10 junior and 5 assistant midwives. Median (min-max) observed score from the
335 questionnaire (max 7 points) and observed tests (max 18 points) was 22.1 (18-24.5). The median score
336 did not differ by seniority: assistant 21.4 (18.0-23.5), junior 22.0 (19.3-24.5), senior 22.8 (21.0-24.5);
337 most midwives (72%) had a score >21 points ($>85\%$ of maximum score). The most common mistakes
338 in the questionnaire were on how to mix the blood and the buffer (pipetting 10 times vs shaking the
339 buffer tube) and on volume of blood mixture to transfer into the device. On observation, the most
340 common mistakes were failure to check the date on Biosensor screen and failure to check test expiry
341 date (rated as minor mistakes as expired test strips are automatically recognized by the Biosensor and
342 rejected).

343 Two focus group discussions were held in December 2021 in MKT clinic, four weeks after completion
 344 of the sample collection at that site; one FGD included 6 senior and junior midwives, and one included
 345 6 assistant midwives. Discussions on satisfaction, learnability, willingness, and suitability and future
 346 use are summarized in Table 3. Overall satisfaction was high, although staff were concerned with
 347 invalid results, and found it challenging to dedicate one member of the team to perform the biosensor
 348 test in the delivery room in the busy postpartum period. In terms of learnability, the midwife assistants
 349 reported learning the device more easily, though some were anxious about missing steps. The senior
 350 staff were anxious about mistakes and clotted blood, and reported the need to refer to the instructions
 351 as a problem. Contrary to the positive expressions to keep using the device at the clinic, the midwives'
 352 willingness to use the device was not high and they requested a dedicated staff to perform the test or
 353 the test to be done in the laboratory. In terms of suitability and future use, the midwives found the
 354 results clinically useful and a valuable diagnostic tool in both their setting and field clinics. However,
 355 they were concerned about neglecting clinical care while doing a laboratory test, the cost of the device,
 356 and emphasized the need for good training.

357 **Table 3.** Selected quotes by theme from focus group discussions.

Theme	Quotes
A. Satisfaction	<p>“It is very good for the children. It is good to know if the child has G6PD deficiency or not from birth. The advantage of the device is that it can detect the children without having to do a heel stick on the baby. On the other hand, there is an increase in work.... But now that we are good at using it, it’s fine.” [FGD1]</p> <p>“Sometimes if someone is doing the test by using the device it means there are fewer staffs to be with mothers and babies which is not good.” [FGD1]</p>
B. Learnability	<p>“After the one-time training, we had 1 or 2 times experiences practically. Then we can do it.” [FGD2]</p> <p>“I am really scared I will forget the steps.” [FGD2]</p> <p>“We have to look at the book very often, if not we forget the process of what to put and how to put it.” [FGD1]</p>
C. Willingness	<p>“Facilitator: Yes. What do you think about keeping on using this device in the future? Participant: Of course. It is good. Participant: Yes, it is good. But if we can have a specific staff to do it then it will be better.” [FGD2]</p> <p>“To make changes, take out the blood and send it to the lab. Then only lab staff have to</p>

	do that.” [FGD1]
D. Suitability & Future Use	<p>“Because we can know that early, we can have counseling with the parents about the chances of their children getting yellow skin. We can take time to counsel.” [FGD1]</p> <p>“Because we can know the right result of the G6PD deficiency in a short time. Especially for the clinic which doesn’t have a lab then it is difficult to know the G6PD status. But with this device, they will only need to take a little blood from the baby and they can know the result of G6PD.” [FGD2]</p>

358

359 DISCUSSION

360 This is the first study to assess clinical performance and usability by locally trained health workers of
 361 the “STANDARD G6PD” Biosensor test for identification of G6PD deficient and intermediate
 362 phenotypes in cord blood. Current data, together with previously collected evidence from clinical trials
 363 in the same population [5], clearly indicate that newborn heterozygous girls with G6PD intermediate
 364 phenotypes, who are not identified by the FST, are at increased risk of NH and require phototherapy
 365 [7, 8]. The availability of a validated POC quantitative test such as the Biosensor and its inclusion in
 366 diagnostics guidelines for neonatal care at birth will allow identification of this group of neonates and
 367 better clinical care in several settings [22-25]. Together with other easy-to-use non-invasive tools for
 368 diagnosis of NH (e.g. Transcutaneous bilirubinometers), this study provides evidence that Biosensor
 369 could be used in non-tertiary rural settings for identification of neonates who need referral to higher
 370 levels of care. In settings where phototherapy is available, this study indicates that the Biosensor is a
 371 better option than FST to support clinical management of neonates. Technical performance of the
 372 Biosensor using ROC-derived threshold was comparable to that observed in adult blood in laboratory
 373 and field studies [26-29].

374 The phenotypic classification provided by the Biosensor was superior to the currently available
 375 qualitative test (FST) both for deficient and for intermediate phenotypes. Among intermediate
 376 phenotypes, 80% were identified as either deficient or intermediate, allowing a better identification
 377 of neonates at potential jaundice risk as compared to the currently used FST-based diagnosis [14, 30].
 378 Poor performance of FST can be explained by the higher G6PD enzymatic activity at birth as compared

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3 379 to adulthood [31, 32]; this is probably the result of several haematological factors including younger
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5 380 red cell age, increased number of reticulocytes with higher G6PD activity [33, 34] and higher WBC
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7 381 count [28] as observed here. Importantly, because of higher enzymatic activity in cord blood,
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9 382 thresholds established in adult blood cannot be used to identify deficient or intermediate phenotypes
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11 383 by either spectrophotometry or Biosensor at birth and would have missed identification of 10% (3/29)
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13 384 deficient neonates (2/26 deficient males and 1/4 deficient females) and 86% (19/22) intermediate
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15 385 females.

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18 386 Biosensor haemoglobin values had a moderate correlation with those assessed by automatic
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20 387 haematology analyser. Although cord (and neonatal) blood samples have higher haemoglobin levels
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22 388 and increased viscosity, Biosensor's performance in measuring G6PD activity was not worse at higher
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24 389 haemoglobin levels.

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27 390 While the Biosensor provided a numeric result in 94.5% of cases, in few cases an "error" message or a
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29 391 "HI" result was obtained which, according to the protocol, required re-analysis of the sample. Samples
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31 392 that tested "HI" were confirmed to be normal, both phenotypically by spectrophotometry and by
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33 393 genotype (all wild type). In routine practice it will not be needed to repeat the test in samples showing
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35 394 "HI" result should the manufacturers include this information in the instructions for use.

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38 395 The usability component highlighted important themes to be taken into consideration for future use
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40 396 of the Biosensor at birth. The midwives have been involved in previous research regarding neonatal
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42 397 jaundice and appreciated the importance of early G6PD diagnosis to identify newborns most at risk of
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44 398 neonatal hyperbilirubinaemia and to facilitate optimal clinical care and parental counselling. The non-
45
46 399 invasive nature of cord blood analysis was considered an advantage. In this setting, the SMRU
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48 400 midwives recommended that the test be performed by dedicated staff or by the available laboratory
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50 401 to assure appropriate clinical care is provided to the newborns and mothers; nevertheless, they
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52 402 estimated that in more rural contexts it may be appropriate for trained birth attendants to perform
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54 403 the test. Of note, midwives considered their reliance on reading the visual aid while performing the
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56 404 test (which is standard practice in laboratories) a weakness and this aspect might need to be taken
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3 405 into account when training clinic field staff. Usability results obtained here might not be generalizable
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5 406 to every other context but there are data being collected in several rural and community-based
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7 407 settings that corroborate ease of use of this device to guide malaria treatment after appropriate
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9 408 training [26, 35, 36] .
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12 409 Although midwives felt uncertain about properly conducting the test at the beginning of the study, the
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14 410 laboratory data showed highly accurate results in the first 12 months of use and very good results in
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16 411 the latter 8 months, supporting suitability of the test among health care workers without prior
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18 412 experience in diagnostics. Follow up studies should explore the causes of this slight decrease in quality
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20 413 over time which could be attributed to environmental or users' factors as well as device durability over
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22 414 >1 year of use in tropical conditions.
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28 416 Limitations

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30 417 A practical limitation of Biosensor testing on cord blood is the extra step needed to collect the blood
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32 418 with a syringe from the cord. A sampling device that collects a fixed volume of blood directly from the
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34 419 cord would streamline the process.
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37 420 It is very likely that performance and reference ranges observed here in cord blood could apply to
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39 421 neonatal capillary or venous blood collected within the first 24 hours of life but this was not evaluated
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41 422 during the study.
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44 423 The study was conducted in a period critically influenced by the COVID-19 pandemic. Travel restrictions
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46 424 resulted in a delayed study start, reduced enrolment in one clinic (WPA), and a protracted enrolment
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48 425 duration of the study overall. Fewer than planned FGD were conducted—including planned discussions
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50 426 at key time points during the study—and they occurred in a single clinical site providing a possibly
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52 427 narrower point of view on the usability topics explored. Additional staff stressors and human resource
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54 428 limitations due to COVID-19 and the political unrest in 2021 were not assessed but may have
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56 429 influenced the results of both the technical and usability components of the study.
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3 431 CONCLUSIONS
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5 432 The “STANDARD G6PD” Biosensor is a reliable POC tool to support the perinatal care of newborns at
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7 433 higher risk of neonatal hyperbilirubinemia by demonstrating very high sensitivity in identification of
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9 434 deficient newborns and high sensitivity in identification of female newborns with intermediate activity.
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11 435 Its use by trained personnel in rural clinics and birthing centers with a high prevalence of G6PD
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13 436 deficiency, together with assessment of bilirubin levels before discharge, has the potential to avert
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15 437 disability and death from hyperbilirubinaemia.
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19 438 Extending use of the Biosensor for newborn testing in countries where it is already deployed for
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21 439 malaria case management in resource-constrained settings [37], would provide a higher return on this
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23 440 investment. Use of Biosensor in populations with prevalent G6PD deficiency outside malaria endemic
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25 441 regions might increase the benefit-cost ratio of universal screening [38] in all settings [39].
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45 448 ETHICAL APPROVAL

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47
48 449 The study was approved by Oxford Tropical Research Ethics Committee, UK (OxTREC 532-19), the
49
50 450 Mahidol University Faculty of Tropical Medicine Ethics Committee, Thailand (TMEC 19-048, MUTM
51
52 451 2019-080-02) and the Tak Province Border Community Ethics Advisory Board (TCAB201904). Written
53
54 452 informed consent was obtained from literate mothers and midwives; a thumbprint was obtained in
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56 453 the presence of a literate witness for illiterate mothers.
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3 454 **AUTHORS' CONTRIBUTION**
4

5 455 Substantial contributions to the conception or design of the work: GB, AH, FN, VIV and RM.

6
7 456 Acquisition, analysis or interpretation of data for the work: GB, MEG, EW, GG, PP, PKM, LA, NSW, SW,

8
9 457 KKA, AH, BH, FN, VIC and RM. Drafting the work or revising it critically for important intellectual

10
11 458 content: GB, MEG, EW, GG, PP, PKM, LA, NSW, SW, KKA, AH, BH, FN, VIC and RM. Final approval of

12
13 459 the version to be published: all authors. Agreement to be accountable for all aspects of the work in

14
15 460 ensuring that questions related to the accuracy or integrity of any part of the work are appropriately

16
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24
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26
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40 470 the manuscript.
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46 471 **DATA AVAILABILITY STATEMENT**

47 472 De-identified participant data are available from the Mahidol Oxford Tropical Medicine Data Access

48
49 473 Committee upon request from this link: <https://www.tropmedres.ac/units/moru-bangkok/bioethics->

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51 474 [engagement/datasharing](https://www.tropmedres.ac/units/moru-bangkok/bioethics-engagement/datasharing).
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Quantitative G6PD point-of-care test can be used reliably on cord blood to identify male and female newborns at increased risk of neonatal hyperbilirubinaemia: a mixed method study

Figure 1. Distribution of G6PD enzymatic activity from cord blood samples detected by gold standard spectrophotometry assay (A) and Biosensor (B) according to sex and genotype

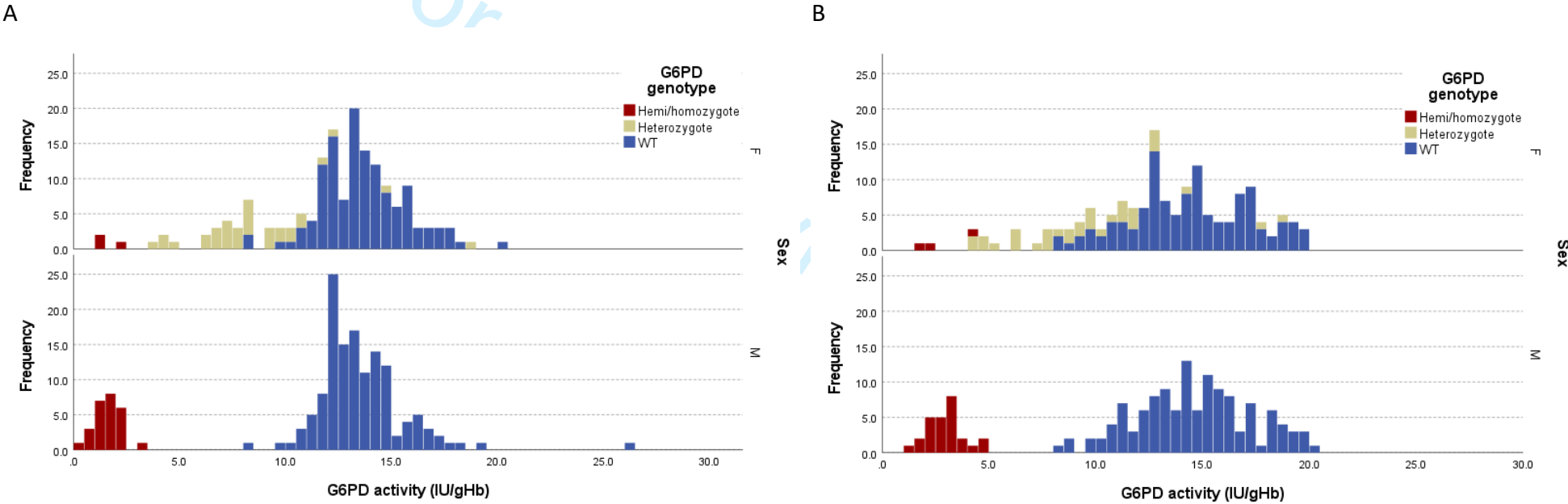
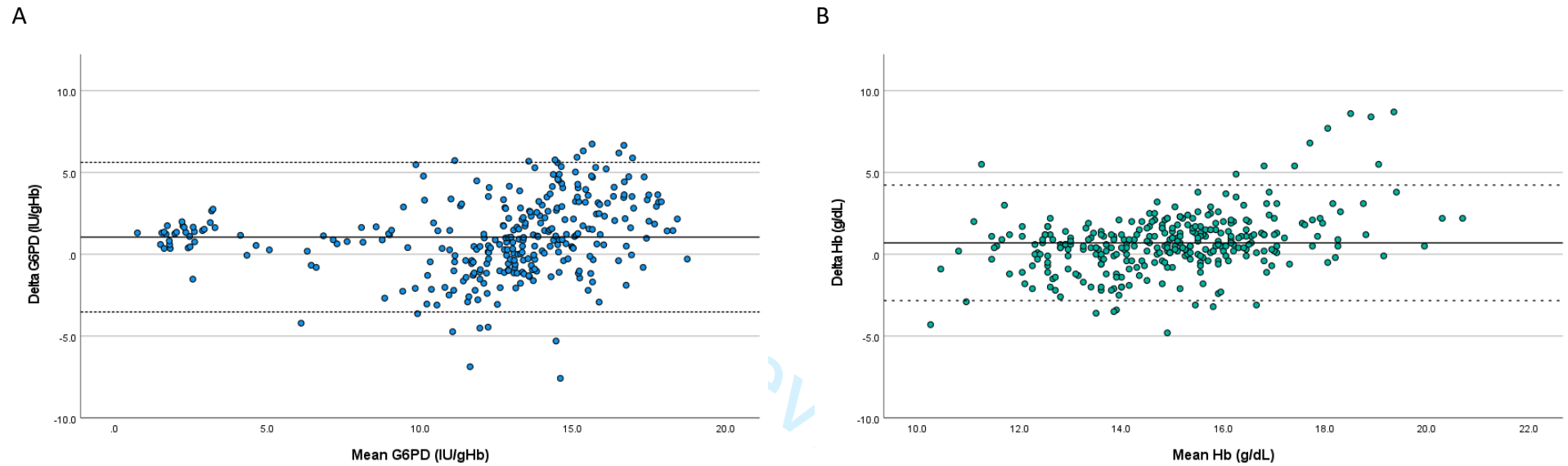


Figure 2. Bland Altman plot of G6PD activity (A) and haemoglobin levels (B) in cord blood comparing gold standard spectrophotometry to Biosensor



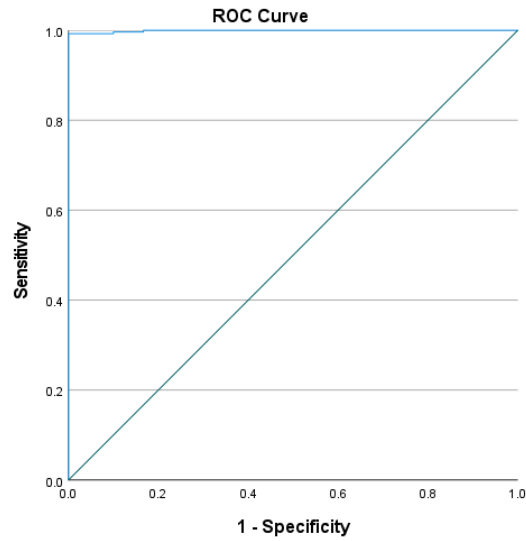
Delta G6PD=G6PD Biosensor- G6PD Spectrophotometry
 Full horizontal line indicates mean difference (1.05IU/gHb); dotted horizontal lines indicate limits of agreement (-3.52 to 5.62IU/gHb)

Delta Hb=Hb Biosensor- Hb Spectrophotometry
 Full horizontal line indicates mean difference (0.70g/dL); dotted horizontal lines indicate limits of agreement (-2.83 to 4.23g/dL)

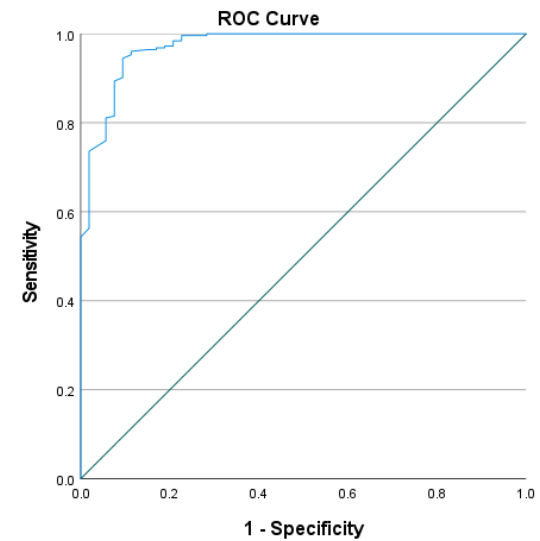
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Figure 3. Receiver Operating Characteristic curve of Biosensor for 30% activity (A) and 70% activity (B) thresholds.

A) 30% activity



B) 70% activity



Quantitative G6PD point-of-care test can be used reliably on cord blood to identify male and female newborns at increased risk of neonatal hyperbilirubinaemia: a mixed method study

Supplementary Figures and Tables

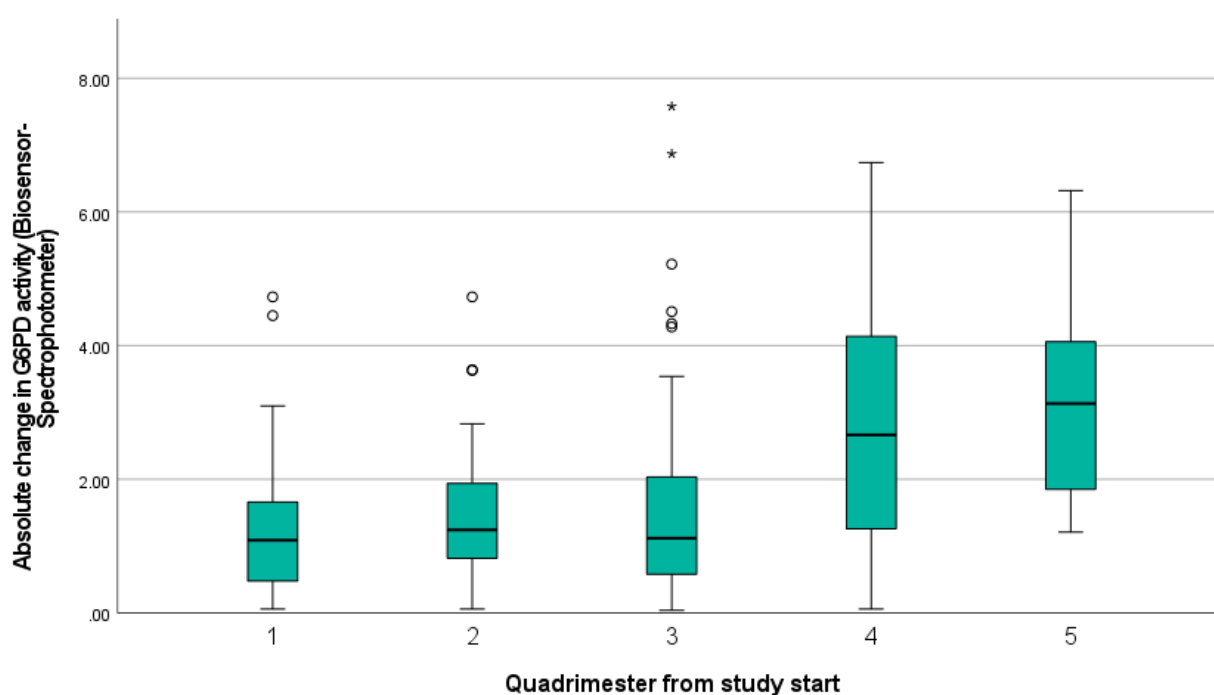
S Table 1. G6PD enzymatic activity (IU/gHb) of cord blood by spectrophotometry according to genotype

G6PD genotype	N	Mean	Std. Deviation	Minimum	Maximum
Hemizygote	26	1.64	0.65	0.09	3.32
Homozygote	3	1.66	0.43	1.38	2.16
Heterozygote	34	8.55	2.97	3.54	18.89
WT	262	13.62	2.02	8.01	26.32
Total	325	12.02	4.14	0.09	26.32

S Table 2. G6PD enzymatic activity (IU/gHb) by Biosensor according to genotype

G6PD genotype	N	Mean	Std. Deviation	Minimum	Maximum
Hemizygote	26	2.87	0.81	1.4	4.6
Homozygote	3	2.70	1.23	1.8	4.1
Heterozygote	34	9.50	3.47	4.0	18.6
WT	244	14.46	2.72	8.1	20.0
Total	307	12.82	4.47	1.4	20.0

S Figure 1. Absolute difference in G6PD activity detected by Biosensor as compared to spectrophotometry over time (only MKT clinic)



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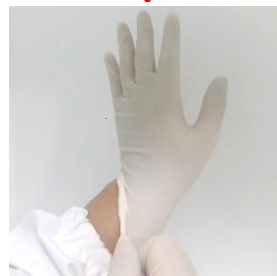
S Table 3. Characteristics of samples misclassified by Biosensor

Clinic	Year	EGA	Sex	Reference G6PD (IU/gHb)	Reference Hb (g/dL)	Percent activity of reference (%)	Reference phenotype	Biosensor G6PD (IU/gHb)	Biosensor Hb (g/dL)	Percent activity of Biosensor (%)	Percent activity of reference (%)	Biosensor phenotype	G6PD genotype Mahidol	Retics (%)	WBC (10 ³ / uL)
MKT	2020	42	F	4.4	15	33	INT	4.3	15.2	30	32	DEF	Heterozygote	1.5	13.6
MKT	2021	40	F	7.1	15.8	54	INT	12.6	16.3	88	95	NOR	Heterozygote	1.3	20.2
MKT	2021	41	F	7.7	14.1	58	INT	12.5	11.5	87	94	NOR	Heterozygote	ND	20.6
MKT	2021	39	F	8.0	15.3	60	INT	10.9	11.7	76	82	NOR	WT	2.3	19.3
MKT	2021	39	F	8.2	14.3	62	INT	4	15.7	28	30	DEF	Heterozygote	2.2	21.1
MKT	2021	39	F	8.5	14.1	64	INT	11.8	14.1	82	89	NOR	Heterozygote	ND	ND
WPA	2021	39	F	9.4	13.3	71	NOR	9.8	13.7	68	74	INT	Heterozygote	1.6	13.8
WPA	2021	38	F	10.2	14.8	77	NOR	7.5	15.3	52	56	INT	Heterozygote	2.1	11.6
WPA	2021	39	F	10.9	15.6	82	NOR	8.8	16.7	61	66	INT	WT	1.6	12.5
MKT	2020	38	F	11.4	16.7	86	NOR	9.3	18.8	65	70	INT	WT	4.8	7.1
WPA	2021	39	F	11.7	14.3	88	NOR	8.1	16.5	56	61	INT	WT	1.8	11.1
WPA	2020	39	F	11.8	15.8	89	NOR	9.8	15.3	68	74	INT	WT	1.9	14.3
MKT	2020	39	F	12.1	12.6	91	NOR	9	13.2	63	68	INT	WT	3.9	14.4
MKT	2021	40	F	14.2	14	107	NOR	9.7	16.3	67	73	INT	WT	1.7	11.3
MKT	2021	37	F	15.1	11.2	113	NOR	8.2	12.1	57	62	INT	WT	3.7	15.5

S Table 4. Phototherapy treatment in newborns with EGA \geq 38 weeks with different G6PD phenotypes

G6PD phenotype by spectrophotometry	PT	No PT	% PT	RR	95%CI	P_{Fisher}
Deficient	8	20	28.6	4.9	2.3-10.5	<0.001
Intermediate	3	17	15.0	2.6	0.8-8.1	0.13
Normal	15	242	5.8			reference
G6PD phenotype by Biosensor						
Deficient	9	21	30.0	5.4	2.5-11.6	<0.001
Intermediate	2	20	9.1	1.7	0.4-6.8	0.49
Normal	13	223	5.5			reference

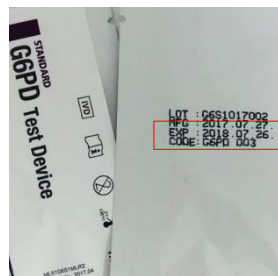
Prepare the machine, test device and buffer (step 1-8) BEFORE doing the blood collection (step 9)



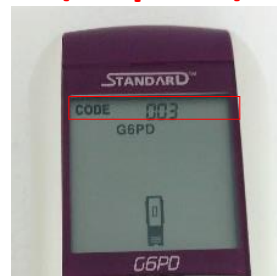
1. Put on gloves



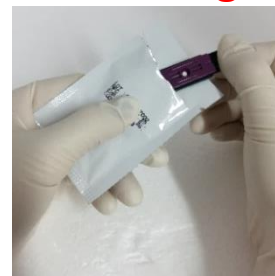
2. Insert codechip (For first time using or open new box of test device)



3. Check the expiry date printed on the foil pouch



4. Check that codechip number on screen correspond to test device



5. Open the foil pouch and take a test device out and hold the test in the right side



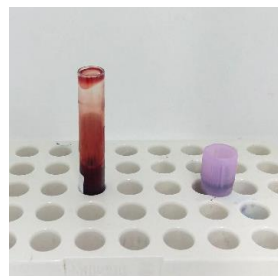
6. Insert the test device



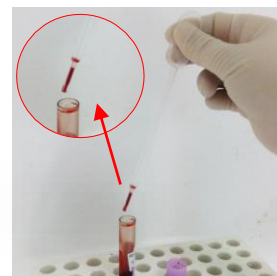
7. Open flap chamber



8. Open buffer tube and place on rack

9. Mix sample tube well by inverting* 10 times
*Gently, no bubbles and no shaking

10. Place on rack



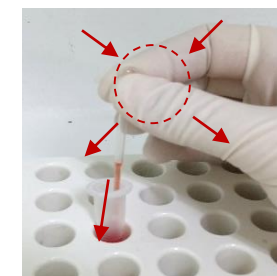
11. Collect blood by using Pasteur pipette



12. Drop blood on para film one drop (Avoid to make bubble)



13. Hold the EZI tube horizontally, and touch the tip of the EZI tube to the blood specimen. Do not close hole.



14. Mix the blood specimen with extraction buffer by pressing and releasing the EZI tube 10 times



15. Discard used EZI tube in the sharp bin



16. Take new EZI tube



17. Hold the EZI tube horizontally, and touch the tip of the EZI tube to the mixed blood specimen. Do not close hole.



18. Apply mixed specimen to the specimen application hole of the test device



19. Close the flap chamber immediately after applying



20. Wait for 2 min for the test result to appear on the screen (Check date) and report results on the logbook



21. Take the used test device out and discard in sharp bin

Section & Topic	No	Item	Reported on page #
TITLE OR ABSTRACT			
	1	Identification as a study of diagnostic accuracy using at least one measure of accuracy (such as sensitivity, specificity, predictive values, or AUC)	2
ABSTRACT			
	2	Structured summary of study design, methods, results, and conclusions (for specific guidance, see STARD for Abstracts)	2
INTRODUCTION			
	3	Scientific and clinical background, including the intended use and clinical role of the index test	4
	4	Study objectives and hypotheses	4
METHODS			
<i>Study design</i>	5	Whether data collection was planned before the index test and reference standard were performed (prospective study) or after (retrospective study)	5
<i>Participants</i>	6	Eligibility criteria	5
	7	On what basis potentially eligible participants were identified (such as symptoms, results from previous tests, inclusion in registry)	5
	8	Where and when potentially eligible participants were identified (setting, location and dates)	5
	9	Whether participants formed a consecutive, random or convenience series	5
<i>Test methods</i>	10a	Index test, in sufficient detail to allow replication	6
	10b	Reference standard, in sufficient detail to allow replication	6
	11	Rationale for choosing the reference standard (if alternatives exist)	NA
	12a	Definition of and rationale for test positivity cut-offs or result categories of the index test, distinguishing pre-specified from exploratory	8-9
	12b	Definition of and rationale for test positivity cut-offs or result categories of the reference standard, distinguishing pre-specified from exploratory	8-9
	13a	Whether clinical information and reference standard results were available to the performers/readers of the index test	5
	13b	Whether clinical information and index test results were available to the assessors of the reference standard	5
<i>Analysis</i>	14	Methods for estimating or comparing measures of diagnostic accuracy	8-9
	15	How indeterminate index test or reference standard results were handled	5
	16	How missing data on the index test and reference standard were handled	NA
	17	Any analyses of variability in diagnostic accuracy, distinguishing pre-specified from exploratory	NA
	18	Intended sample size and how it was determined	8
RESULTS			
<i>Participants</i>	19	Flow of participants, using a diagram	
	20	Baseline demographic and clinical characteristics of participants	10
	21a	Distribution of severity of disease in those with the target condition	11-12
	21b	Distribution of alternative diagnoses in those without the target condition	NA
	22	Time interval and any clinical interventions between index test and reference standard	NA
<i>Test results</i>	23	Cross tabulation of the index test results (or their distribution) by the results of the reference standard	table 2
	24	Estimates of diagnostic accuracy and their precision (such as 95% confidence intervals)	11-12
	25	Any adverse events from performing the index test or the reference standard	NA
DISCUSSION			
	26	Study limitations, including sources of potential bias, statistical uncertainty, and generalisability	16
	27	Implications for practice, including the intended use and clinical role of the index test	17
OTHER INFORMATION			
	28	Registration number and name of registry	NA
	29	Where the full study protocol can be accessed	10
	30	Sources of funding and other support; role of funders	9-10

STARD 2015

AIM

STARD stands for “Standards for Reporting Diagnostic accuracy studies”. This list of items was developed to contribute to the completeness and transparency of reporting of diagnostic accuracy studies. Authors can use the list to write informative study reports. Editors and peer-reviewers can use it to evaluate whether the information has been included in manuscripts submitted for publication.

EXPLANATION

A **diagnostic accuracy study** evaluates the ability of one or more medical tests to correctly classify study participants as having a **target condition**. This can be a disease, a disease stage, response or benefit from therapy, or an event or condition in the future. A medical test can be an imaging procedure, a laboratory test, elements from history and physical examination, a combination of these, or any other method for collecting information about the current health status of a patient.

The test whose accuracy is evaluated is called **index test**. A study can evaluate the accuracy of one or more index tests. Evaluating the ability of a medical test to correctly classify patients is typically done by comparing the distribution of the index test results with those of the **reference standard**. The reference standard is the best available method for establishing the presence or absence of the target condition. An accuracy study can rely on one or more reference standards.

If test results are categorized as either positive or negative, the cross tabulation of the index test results against those of the reference standard can be used to estimate the **sensitivity** of the index test (the proportion of participants *with* the target condition who have a positive index test), and its **specificity** (the proportion *without* the target condition who have a negative index test). From this cross tabulation (sometimes referred to as the contingency or “2x2” table), several other accuracy statistics can be estimated, such as the positive and negative **predictive values** of the test. Confidence intervals around estimates of accuracy can then be calculated to quantify the statistical **precision** of the measurements.

If the index test results can take more than two values, categorization of test results as positive or negative requires a **test positivity cut-off**. When multiple such cut-offs can be defined, authors can report a receiver operating characteristic (ROC) curve which graphically represents the combination of sensitivity and specificity for each possible test positivity cut-off. The **area under the ROC curve** informs in a single numerical value about the overall diagnostic accuracy of the index test.

The **intended use** of a medical test can be diagnosis, screening, staging, monitoring, surveillance, prediction or prognosis. The **clinical role** of a test explains its position relative to existing tests in the clinical pathway. A replacement test, for example, replaces an existing test. A triage test is used before an existing test; an add-on test is used after an existing test.

Besides diagnostic accuracy, several other outcomes and statistics may be relevant in the evaluation of medical tests. Medical tests can also be used to classify patients for purposes other than diagnosis, such as staging or prognosis. The STARD list was not explicitly developed for these other outcomes, statistics, and study types, although most STARD items would still apply.

DEVELOPMENT

This STARD list was released in 2015. The 30 items were identified by an international expert group of methodologists, researchers, and editors. The guiding principle in the development of STARD was to select items that, when reported, would help readers to judge the potential for bias in the study, to appraise the applicability of the study findings and the validity of conclusions and recommendations. The list represents an update of the first version, which was published in 2003.

More information can be found on <http://www.equator-network.org/reporting-guidelines/stard>.



BMJ Open

Technical evaluation and usability of a quantitative G6PD POC test in cord blood: a mixed methods study in a low-resource setting

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Manuscript ID	bmjopen-2022-066529.R1
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	McGready, Rose; Shoklo Malaria Research Unit, Mahidol-Oxford Tropical Medicine Research Unit, Faculty of Tropical Medicine, Mahidol University; University of Oxford Centre for Tropical Medicine and Global Health
Primary Subject Heading :	Diagnostics
Secondary Subject Heading :	Global health, Haematology (incl blood transfusion), Paediatrics, Patient-centred medicine
Keywords :	PAEDIATRICS, NEONATOLOGY, MOLECULAR BIOLOGY

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5 1 TITLE6 2 **Technical evaluation and usability of a quantitative G6PD POC test in cord blood: a mixed methods**
7 3 **study in a low-resource setting**
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910 4
11 5 Germana Bancone^{1,2,*}, Mary Ellen Gilder¹, Elsie Win¹, Gornpan Gornsawun¹, Penporn Penpitchaporn¹,
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50 26 ABSTRACT

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53 27 Objectives: New point-of-care (POC) quantitative G6PD testing devices developed to provide safe
54 28 radical cure for *P. vivax* malaria may be used to diagnose G6PD deficiency in newborns at risk of severe
55 29 neonatal hyperbilirubinaemia, improving clinical care, and preventing related morbidity and mortality.
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3 30 Methods: We conducted a mixed-methods study analyzing technical performance and usability of the
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5 31 “STANDARD G6PD” Biosensor when used by trained midwives on cord blood samples at two rural
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7 32 clinics on the Thailand-Myanmar border.
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10 33 Results: In 307 cord blood samples, the Biosensor had a sensitivity of 1.000 (95%CI 0.859-1.000) and a
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12 34 specificity of 0.993 (95% CI 0.971-0.999) as compared to gold standard spectrophotometry to diagnose
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14 35 G6PD deficient newborns using a receiving operator characteristic (ROC) analysis-derived threshold of
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16 36 ≤ 4.8 IU/gHb. The Biosensor had a sensitivity of 0.727 (95%CI: 0.498-0.893) and specificity of 0.933
17
18 37 (95%CI: 0.876-0.969) for 30-70% activity range in females using ROC analysis-derived range of 4.9 to
19
20 38 9.9 IU/gHb. These thresholds allowed identification of all G6PD deficient neonates and 80% of female
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22 39 neonates with intermediate phenotypes.
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25 40 Need of phototherapy treatment for neonatal hyperbilirubinaemia was higher in neonates with
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27 41 deficient and intermediate phenotypes as diagnosed by either reference spectrophotometry or
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29 42 Biosensor.
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32 43 Focus group discussions found high levels of learnability, willingness, satisfaction, and suitability for
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34 44 the Biosensor in this setting. The staff valued the capacity of the Biosensor to identify newborns with
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36 45 G6PD deficiency early (“We can know that early, we can counsel the parents about the chances of their
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38 46 children getting jaundice”) and at the POC, including in more rural settings (“Because we can know the
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40 47 right result of the G6PD deficiency in a short time. Especially for the clinic which does not have a lab”).
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43 48 Conclusions: The Biosensor is a suitable tool in this resource-constrained setting to identify newborns
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45 49 with abnormal G6PD phenotypes at increased risk of neonatal hyperbilirubinaemia.
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51 Strengths and limitations of this study

- 52
53 52 • The technical performance of the G6PD quantitative point-of-care diagnostic device was
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55 53 assessed against the current gold-standard spectrophotometric assay.
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57 54 • Receiving operator characteristic analysis was used to identify the best diagnostic thresholds.
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3 55 • Usability among clinical personnel from a resource-constrained setting was analysed using a
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5 56 conceptual framework developed for similar settings.
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7 57 • Fewer than planned focus group discussions were conducted and they occurred in a single
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9 58 clinical site providing a possibly narrower point of view on the usability topics explored.
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13 14 59 INTRODUCTION

15
16 60 Pathologically increased levels of bilirubin during the first week of life, i.e. neonatal
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18 61 hyperbilirubinaemia (NH), are common and dangerous for the developing brain. The most severe form
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20 62 of NH, kernicterus, causes neurological sequelae in >80% of neonates (56/100,000 live births globally,
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22 63 [1]). Every year, an estimated twenty-four million newborns are at risk of NH-related adverse outcomes
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24 64 with three-quarters of mortality occurring in sub-Saharan Africa and South Asia [1,2]. These
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26 65 preventable deaths and disabilities disproportionately affect neonates where universal health care and
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28 66 treatment options are scarce, if not absent [3].
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30 67 Several genetic and clinical factors influence the timing and evolution of NH, including G6PD deficiency,
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32 68 ABO blood group incompatibility, prematurity/low birth weight and sepsis [4]. Early identification of
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34 69 these risk factors can dramatically improve neonatal clinical management during the first days of life
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36 70 [5].
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42 71 The enzymatic defect of G6PD deficiency, caused by mutations on the X-linked G6PD gene, is a known
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44 72 risk factor for increased levels of bilirubin after birth and it is associated with susceptibility to drug-
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46 73 induced haemolysis [6]. Risk of severe NH is increased in both deficient and heterozygous newborns
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48 74 with abnormal phenotypes [7-9] and universal neonatal screening of G6PD deficiency is supported by
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50 75 WHO in populations where more than 3-5% of males are affected [10].
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53 76 G6PD deficiency is particularly prevalent among neonates from tropical regions [11], where clinical
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55 77 care is often provided in a non-tertiary hospital or clinic context. Knowledge of G6PD status by medical
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3 78 staff and parents can aid in avoiding potentially haemolytic antibiotics or other agents (such as
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5 79 naphthalene), improved follow-up, and heightened awareness of signs and symptoms of severe NH.
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8 80 G6PD deficiency is very common among the Karen and Burman population along the Thailand-
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10 81 Myanmar border (9-18% in males, [12]) where it is associated with an increased risk to develop NH
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12 82 requiring phototherapy both in G6PD deficient (over 4-fold [13]) and in heterozygous females (over 2-
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14 83 fold [5]) as compared to wild type genotype neonates. In a recent study, screening of G6PD by
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16 84 qualitative Fluorescent Spot Test (FST) on cord blood failed to identify almost 10% of G6PD deficient
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18 85 neonates [14].
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22 86 Demonstrating usability of new quantitative Point-Of-Care (POC) G6PD diagnostic tests by locally
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24 87 trained clinical staff can inform clinical deployment in this setting and in other rural settings. This study
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26 88 assessed the technical performance and usability of the “G6PD STANDARD” (SD Biosensor, Korea) test
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28 89 when used by trained midwives in two clinics along the Thailand-Myanmar border.
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33 90 MATERIALS AND METHODS

34 91 Study design

35 92 A mixed-methods study was conducted to evaluate both the technical performance of the “G6PD
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37 93 STANDARD” (SD Biosensor, Korea) test (henceforth “Biosensor”) and its usability by midwives in a non-
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39 94 tertiary setting. G6PD enzymatic activity and haemoglobin concentration measured by the device were
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41 95 compared to the gold standard reference spectrophotometric assay and haematology analyser,
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43 96 respectively. Performance of the G6PD fluorescent spot test (FST) currently used routinely at the point-
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45 97 of-care, was also compared to the reference and new test.
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50 98 Following local staff training, user proficiency was assessed before study start; usability was explored
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52 99 using focus group discussions (FGD) at the end of the study.
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100 Study setting and population

101 The study was conducted in SMRU clinics situated along the Thailand-Myanmar border in Tak province
102 (Thailand) where free antenatal care and birthing services are provided for migrant women of
103 predominantly Karen and Burman ethnicity.

104 SMRU midwives come from the same population as the pregnant women and patients seeking care at
105 SMRU clinics. The majority of midwives have primary or secondary education and receive clinical
106 training on-site. Pregnant women attending SMRU clinics at Wang Pha (WPA) and Maw Ker Thai (MKT)
107 were informed about the study at regular antenatal care visits in the 3rd trimester. Informed consent
108 procedures and eligibility assessments for mothers were completed before labour commenced.

109 Eligibility of neonates was assessed immediately after delivery, and those born at an estimated
110 gestational age (EGA) by ultrasound ≥ 35 weeks with no severe maternal complications at delivery and
111 no severe neonatal illness were included. In order to allow laboratory analyses to be performed within
112 30 hours from collection, only neonates born during week days were included. For all neonates,
113 indication for starting phototherapy treatment followed the recommendations of the UK NICE
114 guidelines [15].

115 Blood analyses for technical evaluation of Biosensor

116 Two milliliters of cord blood were collected into EDTA from the umbilical cord using an established
117 SMRU SOP. An aliquot of anticoagulated blood was used by the midwives in the delivery room for the
118 Biosensor following manufacturer's instructions within one hour of collection (Supplementary file 1).

119 Tests were repeated if the test result was an error or "HI" (a result obtained when G6PD activity is very
120 high, outside the instrument analytic range). High-level and low-level Biosensor controls were run
121 weekly or monthly (depending on availability) from April 2020 until May 2021.

122 An aliquot of anticoagulated blood was analysed by G6PD fluorescent spot test (FST) at the clinical
123 laboratory. The remaining blood was stored at 4°C until shipment to the central SMRU laboratory on
124 the same day.

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3 125 Gold standard reference testing for G6PD and haemoglobin were performed by spectrophotometric
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5 126 assay and haematology analyser (with complete blood and reticulocyte counts), respectively, at the
6
7 127 SMRU central laboratory.

9
10 128 G6PD spectrophotometric assay was performed using Pointe Scientific kits (assay kit # G7583-180, Lysis
11
12 129 Buffer # G7583-LysSB). Kinetic determination of G6PD activity at 340 nm was performed using a
13
14 130 SHIMAZU UV-1800 spectrophotometer with temperature controlled cuvette compartment (30°C).
15
16 131 Samples were analysed in double and mean activity was expressed in IU/gHb using the Hb
17
18 132 concentration obtained by complete blood count analysis. The final result was calculated using
19
20 133 manufacturer's Temperature Control Factor of 1.37. Two controls (Normal, Intermediate or Deficient;
21
22 134 Analytic Control Systems, Inc. USA) were analysed at every run and results compared to expected
23
24 135 ranges provided by manufacturer. Complete blood count was performed using a CeltacF MEK-8222K
25
26 136 haematology analyser (Nihon Kohden, Japan). Three-levels quality controls were run every day and
27
28 137 device maintenance and calibration were performed regularly. Reticulocytes were analysed by
29
30 138 microscopy after staining with supervital staining Crystal Violet.

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32
33
34 139 Buffy coat recovered from whole blood after centrifugation was stored at -20°C for later DNA
35
36 140 extraction using standard columns kit (Favorgen Biotech, Taiwan). Genotyping for G6PD common
37
38 141 mutations was performed through established SOPs [16]. Mahidol mutation was analysed in all
39
40 142 samples. Other mutations were only analysed in phenotypically deficient or intermediate samples
41
42 143 (G6PD < 9.31IU/gHb by reference test) with wild type or heterozygote Mahidol genotypes. Viangchan,
43
44 144 Chinese-4, Kaiping, Canton, Union and Mediterranean were analysed first and full gene sequence was
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46 145 performed if none of these mutations were found.

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51 52 53 147 **Biosensor training, user proficiency and usability assessment**

54 148 Midwives of WPA and MKT SMRU clinics were trained for use of Biosensor and were eligible to
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56 149 participate in the usability component of the study following informed consent. Two to four training
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58 150 sessions were provided at each clinic in the local language by an experienced laboratory technician
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3 151 (author LA). The sessions lasted from 1 to 2 hours and included a short introduction about the test, a
4
5 152 practical demonstration using imitation blood, and supervised use of the biosensor by each midwife.
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7 153 Midwives were allowed to practice the procedure the week following the training prior to taking a user
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9 154 proficiency test. The proficiency test was administered by author LA in the local language and it
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11 155 consisted of a questionnaire (modified from a questionnaire developed by PATH
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13 156 ([https://www.finddx.org/wp-content/uploads/2020/09/PATH_STANDARD-G6PD-User-Competency-](https://www.finddx.org/wp-content/uploads/2020/09/PATH_STANDARD-G6PD-User-Competency-Assessment-quiz_08oct19.pdf)
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15 157 [Assessment-quiz_08oct19.pdf](https://www.finddx.org/wp-content/uploads/2020/09/PATH_STANDARD-G6PD-User-Competency-Assessment-quiz_08oct19.pdf)) and direct observation of two consecutive tests. Midwives were asked
16
17 158 to explain out-loud their actions while performing the first test. The proficiency test was analysed by
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19 159 authors GB and GG and midwives who scored <85% were re-trained before study start. A visual aid
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21 160 with all critical steps of the procedure was printed and available in the delivery room during the study.
22
23 161 The usability component of the study followed the conceptual framework for acceptance and use of a
24
25 162 rapid diagnostic test for malaria proposed by Asimwe et al. [17] that evaluates 6 components:
26
27 163 learnability, willingness, suitability, satisfaction, efficacy, and effectiveness. The focus group
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29 164 discussions (FGD) specifically focused on 4 main themes of learnability, willingness, satisfaction, and
30
31 165 suitability. Due to COVID, only two of the planned six total FGD were conducted. The midwives were
32
33 166 grouped by their seniority, with senior and junior midwives together, and midwife assistants in a
34
35 167 separate group in order to encourage honest and open conversation. One researcher (KKA) facilitated
36
37 168 the FGD while an experienced assistant took notes; both were fluent in Burmese and Karen languages
38
39 169 used in the FGD. Immediately following the FGD, research staff debriefed and noted main themes of
40
41 170 the discussion. FGDs were audio-recorded and subsequently translated and transcribed in English. Two
42
43 171 researchers (MG and GB) independently analysed the transcript using thematic analysis based on the
44
45 172 pre-set framework [17] using Taguette (a free and open access qualitative data analysis software,
46
47 173 <https://joss.theoj.org/papers/10.21105/joss.03522>) and confirmed findings with KKA. Face-to-face
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49 174 meeting and exchange of notes allowed for triangulation between the researchers.
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175 Blood analysis for assessment of neonatal hyperbilirubinaemia

176 Routine clinical care for newborns included at least one total serum bilirubin (TSB) test before
177 discharge (around 48h of life) using capillary blood measured on-site by the rapid quantitative
178 bilirubinometer BR-501 (Apel Co. Ltd, Japan).

179

180 Sample size and statistical analyses

181 The expected prevalence of G6PD deficiency in the population living at the border is 9-18% in males
182 and 2-4% in female [12, 16] corresponding to approximately 20-30% heterozygous females, 60% of
183 whom have intermediate activity [18]. Assuming that the proportion of females and males in the
184 neonate population is 50%, 9% were expected to be G6PD deficient and 7% to be G6PD intermediate.
185 In order to obtain 95% CI of the limits of agreement within 0.5 SD of the difference, about 31 neonates
186 with deficiency and 25 with intermediate phenotypes were needed, with a minimum total sample size
187 of 350 samples.

188 Clinical data were double entered in MACRO and collated with laboratory data; data were analysed
189 using SPSSv27.

190 Male median (MM) was calculated in all males with wild type genotypes in both the references
191 spectrophotometric assay and the Biosensor. Deficiency was defined as enzymatic activity below 30%
192 of MM by reference spectrophotometry and receiving operator characteristic (ROC)-derived 30%
193 threshold by Biosensor; intermediate phenotypes were defined as enzymatic activity between 30%
194 and 70% of the MM or ROC-derived threshold.

195 Mean and standard deviation (SD) were reported for continuous variables. Categorical variables were
196 compared by Chi-squared test and ANOVA. Bland-Altman plot was used to inspect correspondence
197 between G6PD activity detected by Biosensor compared to the spectrophotometry assay [19].
198 Correlation was assessed using Pearson's coefficient of correlation and Interclass Correlation
199 Coefficient (ICC). Area under the curve (AUC) of the ROC curve [20] was calculated at different activity
200 thresholds to analyse clinical performances (i.e. sensitivity and specificity) of the Biosensor. Cohen's

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3 201 Kappa coefficient was calculated for categories of phenotypes identified by Biosensor and
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5 202 spectrophotometry.

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7 203 For analysis of haematologic features and risk of neonatal hyperbilirubinaemia, neonates gestational
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9 204 ages assessed by ultrasound were categorized as ≤ 38 and >38 weeks according to epidemiologic
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11 205 studies conducted previously in the same population [21].

12
13
14 206 Statistical significance was assessed at the 5% level.

17 207 **Patient and Public Involvement statement**

18 208 At the outset of the study, the research team engaged the local population through a local ethics and
19
20 209 research advisory committee, the Tak Province Community Advisory Board, Thailand. This group is
21
22 210 comprised of community leaders who were asked to advise on study design, process, and outcomes of
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24 211 interest, and subsequently approved the study (TCAB201904).

29 212 **RESULTS**

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32 213 A total of 331 cord blood samples were collected between April 2020 and November 2021; six were
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34 214 clotted and excluded from all analysis. Of the remaining 325 samples, 257 (79%) were collected in MKT
35
36 215 clinic and 68 in WPA clinic, in 166 (51%) female and 159 male neonates. Mean (SD) of estimated
37
38 216 gestational age of newborns was 39.1 (1.0) weeks.

41 217 **General haematologic characteristics**

42 218 As expected for this specimen, haematological characteristics of cord blood (Table 1) showed higher
43
44 219 white blood cell count, haemoglobin concentrations, reticulocyte counts and larger cellular volumes
45
46 220 compared to adult blood. Reticulocyte counts and red cell distribution width were higher in neonates
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48 221 <38 weeks gestational age ($P=0.02$ and $P=0.01$ respectively) while the other indexes did not differ by
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50 222 gestational age groups.

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225 **Table 1.** Haematologic characteristics of cord blood samples according to newborn gestational age. Results are shown as mean (SD)

EGA (weeks)	N*	WBC (10 ³ / uL)	NEU (10 ³ / uL)	LYM (10 ³ / uL)	RBC (10 ⁶ / uL)	HGB (g/dL)	HCT (%)	MCV (fL)	MCH (pg)	MCHC (g/dL)	RDW (%)	PLT (10 ³ / uL)	Reticulocyte (%)
<38	19	13.1 (3.6)	9.6 (3.3)	2.7 (1.7)	4.3 (0.4)	14.4 (1.7)	48.0 (5.4)	110.9 (6.6)	33.2 (2.7)	29.9 (1.5)	16.8 (1.5)	259.2 (66.2)	2.8 (1.8)
≥38	298	14.3 (3.8)	10.8 (3.6)	2.8 (1.6)	4.5 (0.5)	14.5 (1.7)	49.0 (5.2)	109.0 (7.9)	32.3 (3.0)	29.6 (1.4)	16.0 (1.2)	261.4 (47.7)	2.1 (1.1)
<i>P</i> _{ANOVA}		0.17	0.16	0.88	0.14	0.68	0.43	0.30	0.21	0.41	0.01	0.85	0.02

226

227 * Number of samples analysed by haematology analyser was 317 out of 325; 7 samples were analysed by Hemocue and result used to calculate G6PD
 228 enzymatic activity.

229

230 G6PD genotypes

231 A total of 26 hemizygous mutated males (21 Mahidol, 2 Kaiping, 1 Viangchan, 1 Coimbra, 1 Orissa), 3
232 homozygous mutated females (Mahidol), 34 heterozygous females (32 Mahidol, 1 Canton, 1
233 Viangchan) and 262 wild type (129 females and 133 males) were found. Overall allelic frequency of all
234 mutated alleles was 13.4%. The distribution of G6PD activity by spectrophotometry and biosensor
235 associated with different genotypes are shown in Figures 1 and Supplementary Tables 1 and 2.

236 Fluorescent spot test

237 The poor performances of the FST in cord blood were confirmed here, with the FST failing to identify
238 23% (7/30) of deficient neonates and 100% of the intermediate females (22/22; Table 2).

239 Technical evaluation of Biosensor

240 Male medians by reference spectrophotometric assay and Biosensor

241 MM G6PD activity by spectrophotometer was 13.3 IU/gHb giving a 30% threshold of 4.0 IU/gHb for
242 diagnosis of deficiency; intermediate activity (30-70%) in females ranged between 4.1 and 9.3 IU/gHb.
243 The cord blood-specific 30% spectrophotometric threshold identified all the hemizygous male and
244 homozygous female newborns (Figure 1A).

245 MM of G6PD activity by Biosensor calculated on 307 samples was 14.4 IU/gHb giving a 30% threshold
246 of 4.3 IU/gHb for diagnosis of deficiency. Intermediate activity (30-70%) in females ranged between
247 4.4 and 10.1 IU/gHb (Figure 1B).

248 In 7% of cases (23/325), the Biosensor provided an initial result of "HI" activity without a numeric value.
249 Of the 19 samples retested, 14 had "HI" results again and 5 samples had an activity ranging from 17.3
250 to 20.0 IU/gHb; all samples with initial or confirmed "HI" results were normal by spectrophotometry
251 and had a wild type genotype. Overall, 18 samples (5.5% of the total) did not have a final numeric
252 result by Biosensor but would have been considered "normal", according to the spectrophotometric
253 assay.

254 Biosensor performance

255 Biosensor performance was assessed for 307/325 samples that yielded numeric results. The mean
256 ($\pm 1.96SD$) difference in enzymatic activity between Biosensor and spectrophotometry was 1.05 IU/gHb
257 (LoA: -3.52 to 5.62 IU/gHb) as represented in the Bland-Altman plot in Figure 2A. A very strong
258 correlation between enzymatic activity by Biosensor and reference spectrophotometry was observed
259 (Pearson's $r=0.855$, $p<0.001$; ICC=0.905, $p<0.001$).

260 The mean ($\pm 1.96SD$) difference in Hb between the Biosensor and haematology analyser was 0.70 g/dL
261 (LoA: -2.83 to 4.23 g/dL) (Figure 2B). A moderate correlation between Hb levels by Biosensor and
262 haematology analyser was observed (Pearson's $r=0.637$, $p<0.001$; ICC=0.728, $p<0.001$).

263 Area under the curve (AUC) of the ROC analysis (Figure 3A) of the 30% threshold was 0.999 (95%CI:
264 0.997-1.000); ROC analysis showed that 30% of Biosensor MM (4.3IU/gHb) was associated with
265 sensitivity of 0.931 (95%CI: 0.758-0.988) and specificity of 0.989 (95%CI: 0.966-0.997) while a threshold
266 of 4.8IU/gHb had a sensitivity of 1.000 (95%CI: 0.859-1.000) and a specificity of 0.993 (95% CI: 0.971-
267 0.999). This second threshold was therefore used for the subsequent analyses.

268 AUC of the ROC analysis (Figure 3B) for the 70% threshold was 0.972 (95%CI: 0.949-0.994) and ROC
269 analysis showed that a threshold of 9.9IU/gHb had a better sensitivity and specificity as compared to
270 the 70% of Biosensor MM (10.1 IU/gHb). The ROC-derived threshold had a sensitivity of 0.842 (95%CI:
271 0.716-0.921) and specificity of 0.984 (95%CI: 0.957-0.995) to identify samples with $\leq 70\%$ activity and
272 was used for subsequent analyses.

273 AUC of the ROC analysis for the range 30-70% activity was 0.935 (95%CI: 0.887-0.983); sensitivity and
274 specificity for intermediate phenotypes in females were 0.727 (95%CI 0.498-0.893) and 0.933 (95%CI:
275 0.876-0.969) respectively based on ROC-derived thresholds as compared to 0.592 (95%CI: 0.390-0.770)
276 and 0.953 (95%CI: 0.897-0.980) using Biosensor MM thresholds.

277 When comparing phenotypes defined according to the 30% and 70% thresholds of spectrophotometry
278 and ROC-derived threshold for Biosensor (Table 2), the Biosensor correctly identified all deficient and

279 normal males and all deficient females. In females, the Biosensor incorrectly identified 9% (2/22) of
 280 intermediate females (activity by spectrophotometry 33% and 62%) as deficient, and 7% (9/130) of
 281 phenotypically normal female neonates as intermediate (activity by spectrophotometer ranging from
 282 71% to 113%). It also misdiagnosed 18% (4/22) of intermediate samples as normal. Of these 4 samples,
 283 3 were Mahidol heterozygotes and 1 was a wild type and their enzymatic activity by
 284 spectrophotometry ranged from 54% to 64%. Cohen's kappa coefficient was 0.841, $p < 0.001$. Overall,
 285 the majority of samples with discordant results (11/15) were identified by the Biosensor as having a
 286 "worse" phenotype. Characteristics of the 15 samples with discordant results are reported in
 287 Supplementary Table 3.

288

289 **Table 2.** Diagnostic performance of FST and Biosensor as compared to gold standard
 290 spectrophotometry.

291

		<i>Spectrophotometry</i>				
		Male		Female		
		Deficient	Normal	Deficient	Intermediate	Normal
FST	Deficient	20	0	2	0	0
	Normal	6*	133	2*	22	137
	Total	26	133	4	22	137
Biosensor	Deficient	26	0	4	2 [#]	0
	Intermediate	NA	NA	0	16	9 [§]
	Normal	0	125	0	4 ^{&}	121
	Total	26	125	4	22	130

301 Phenotypes are based on 30% and 70% thresholds for spectrophotometry. For Biosensor, threshold
 302 for deficiency is ≤ 4.8 IU/gHb and 4.9 to 9.9 IU/gHb for intermediate, both obtained by ROC analysis.
 303 Total sample for Biosensor was 307; total sample for FST was 322 (3 samples were not analysed by
 304 FST at the clinic)

305

306 *Enzymatic activities ranging from 12% to 27% of spectrophotometry MM.

307 [#] Two Mahidol heterozygotes with activity by spectrophotometry of 33% and 62% of MM.

308 [§] Two Mahidol heterozygotes and 7 wild type samples with enzymatic activity by spectrophotometry
 309 ranging from 71% to 113%.

310 [&] Three Mahidol heterozygotes and 1 wild type samples with enzymatic activity by
 311 spectrophotometry ranging from 54% to 64%.

312 Characteristics of discordant samples are reported in Supplementary Table 1.

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6 317 No difference in results were observed by clinic (ICC=0.899, $p<0.001$ in MKT and ICC=0.930, $p<0.001$ in
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8 318 WPA) or user. In MKT clinic where the test was used over 20 months, a trend of larger absolute mean
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10 319 differences in activity (Biosensor - Spectrophotometry) were observed in the last 4-8 months of use as
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13 320 compared to the first 12 months (Supplementary Figure 1).

321 Risk of neonatal hyperbilirubinaemia

322 Risk of neonatal hyperbilirubinemia by phenotype (determined by spectrophotometry) was assessed
323 in term neonates (EGA \geq 38weeks). A significantly larger proportion of G6PD deficient neonates (29%)
324 underwent phototherapy for treatment of NH as compared to G6PD normal (6%, RR[95%CI] =4.9 [2.3-
325 10.5]; $P<0.001$). A larger proportion of female neonates with intermediate phenotypes (90% of whom
326 were heterozygotes) required phototherapy (15%), although in this small cohort the difference did not
327 reach statistical significance (RR[95%CI] =2.6 [0.8-8.1]; $P=0.13$; supplementary Table 4. Relative risk by
328 quantitative phenotypes were similar to those already established by genotypes in the same
329 population [5].

330 Biosensor training, user proficiency and usability assessment

331 A total of 22 midwives in two clinics were initially trained and completed the users' proficiency test,
332 including 7 senior, 10 junior and 5 assistant midwives. Median (min-max) observed score from the
333 questionnaire (max 7 points) and observed tests (max 18 points) was 22.1 (18-24.5). The median score
334 did not differ by seniority: assistant 21.4 (18.0-23.5), junior 22.0 (19.3-24.5), senior 22.8 (21.0-24.5);
335 most midwives (72%) had a score >21 points ($>85\%$ of maximum score). The most common mistakes
336 in the questionnaire were on how to mix the blood and the buffer (pipetting 10 times vs shaking the
337 buffer tube) and on volume of blood mixture to transfer into the device. On observation, the most
338 common mistakes were failure to check the date on Biosensor screen and failure to check test expiry
339 date (rated as minor mistakes since expired test strips are automatically recognized by the Biosensor
340 and rejected).

341 Two focus group discussions were held in December 2021 in MKT clinic, four weeks after completion
 342 of the sample collection at that site; one FGD included 6 senior and junior midwives, and one included
 343 6 assistant midwives. Discussions on satisfaction, learnability, willingness, and suitability and future
 344 use are summarized in Table 3. Overall satisfaction was high, although staff were concerned with
 345 invalid results, and found it challenging to dedicate one member of the team to perform the biosensor
 346 test in the delivery room in the busy postpartum period. In terms of learnability, the midwife assistants
 347 reported learning the device more easily, though some were anxious about missing steps. The senior
 348 staff were anxious about mistakes and clotted blood, and reported the need to refer to the instructions
 349 as a problem. Contrary to the positive expressions to keep using the device at the clinic, the midwives'
 350 willingness to use the device was not high and they requested a dedicated staff to perform the test or
 351 the test to be done in the laboratory. In terms of suitability and future use, the midwives found the
 352 results clinically useful and a valuable diagnostic tool in both their setting and field clinics. However,
 353 they were concerned about neglecting clinical care while doing a laboratory test, the cost of the device,
 354 and emphasized the need for good training.

355 **Table 3.** Selected quotes by theme from focus group discussions.

Theme	Quotes
A. Satisfaction	<p>“It is very good for the children. It is good to know if the child has G6PD deficiency or not from birth. The advantage of the device is that it can detect the children without having to do a heel stick on the baby. On the other hand, there is an increase in work.... But now that we are good at using it, it’s fine.” [FGD1]</p> <p>“Sometimes if someone is doing the test by using the device it means there are fewer staffs to be with mothers and babies which is not good.” [FGD1]</p>
B. Learnability	<p>“After the one-time training, we had 1 or 2 times experiences practically. Then we can do it.” [FGD2]</p> <p>“I am really scared I will forget the steps.” [FGD2]</p> <p>“We have to look at the book very often, if not we forget the process of what to put and how to put it.” [FGD1]</p>
C. Willingness	<p>“Facilitator: Yes. What do you think about keeping on using this device in the future? Participant: Of course. It is good. Participant: Yes, it is good. But if we can have a specific staff to do it then it will be better.” [FGD2]</p> <p>“To make changes, take out the blood and send it to the lab. Then only lab staff have to</p>

	do that.” [FGD1]
D. Suitability & Future Use	<p>“Because we can know that early, we can have counseling with the parents about the chances of their children getting yellow skin. We can take time to counsel.” [FGD1]</p> <p>“Because we can know the right result of the G6PD deficiency in a short time. Especially for the clinic which doesn’t have a lab then it is difficult to know the G6PD status. But with this device, they will only need to take a little blood from the baby and they can know the result of G6PD.” [FGD2]</p>

356

357 DISCUSSION

358 This is the first study to assess clinical performance and usability by locally trained health workers of
 359 the “STANDARD G6PD” Biosensor test for identification of G6PD deficient and intermediate
 360 phenotypes in cord blood. Current data, together with previously collected evidence from clinical trials
 361 in the same population [5], clearly indicate that newborn heterozygous girls with G6PD intermediate
 362 phenotypes, who are not identified by the FST, are at increased risk of NH and require phototherapy
 363 [7, 8]. The availability of a validated POC quantitative test such as the Biosensor and its inclusion in
 364 diagnostics guidelines for neonatal care at birth will allow identification of this group of neonates and
 365 better clinical care in several settings [22-25]. Together with other easy-to-use non-invasive tools for
 366 diagnosis of NH (e.g. Transcutaneous bilirubinometers), this study provides evidence that Biosensor
 367 could be used in non-tertiary rural settings for identification of neonates who need referral to higher
 368 levels of care. In settings where phototherapy is available, this study indicates that the Biosensor is a
 369 better option than FST to support clinical management of neonates. Technical performance of the
 370 Biosensor using ROC-derived threshold was comparable to that observed in adult blood in laboratory
 371 and field studies [26-29].

372 The phenotypic classification provided by the Biosensor was superior to the currently available
 373 qualitative test (FST) both for deficient and for intermediate phenotypes. Among intermediate
 374 phenotypes, 80% were identified as either deficient or intermediate, allowing a better identification
 375 of neonates at potential jaundice risk as compared to the currently used FST-based diagnosis [14, 30].
 376 Poor performance of FST can be explained by the higher G6PD enzymatic activity at birth as compared

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3 377 to adulthood [31, 32]; this is probably the result of several haematological factors including younger
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5 378 red cell age, increased number of reticulocytes with higher G6PD activity [33, 34] and higher WBC
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7 379 count [28] as observed here. Importantly, because of higher enzymatic activity in cord blood,
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10 380 thresholds established in adult blood cannot be used to identify deficient or intermediate phenotypes
11
12 381 by either spectrophotometry or Biosensor at birth and would have missed identification of 10% (3/29)
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14 382 deficient neonates (2/26 deficient males and 1/4 deficient females) and 86% (19/22) intermediate
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16 383 females.

17
18
19 384 Biosensor haemoglobin values had a moderate correlation with those assessed by automatic
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21 385 haematology analyser. Although cord (and neonatal) blood samples have higher haemoglobin levels
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23 386 and increased viscosity, Biosensor's performance in measuring G6PD activity was not worse at higher
24
25 387 haemoglobin levels.

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28 388 While the Biosensor provided a numeric result in 94.5% of cases, in few cases an "error" message or a
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30 389 "HI" result was obtained which, according to the protocol, required re-analysis of the sample. Samples
31
32 390 that tested "HI" were confirmed to be normal, both phenotypically by spectrophotometry and by
33
34 391 genotype (all wild type). In routine practice it will not be needed to repeat the test in samples showing
35
36 392 "HI" result should the manufacturers include this information in the instructions for use.

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38
39 393 The usability component of the study highlighted important themes to be taken into consideration for
40
41 394 future use of the Biosensor at birth. The midwives have been involved in previous research regarding
42
43 395 neonatal jaundice and appreciated the importance of early G6PD diagnosis to identify newborns most
44
45 396 at risk of neonatal hyperbilirubinaemia and to facilitate optimal clinical care and parental counselling.

46
47
48 397 The non-invasive nature of cord blood analysis was considered an advantage. In this setting, the SMRU
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50 398 midwives recommended that the test be performed by dedicated staff or by the available laboratory
51
52 399 to assure appropriate clinical care is provided to the newborns and mothers; nevertheless, they
53
54 400 estimated that in more rural contexts it may be appropriate for trained birth attendants to perform
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56 401 the test. Of note, midwives considered their reliance on reading the visual aid while performing the
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58 402 test (which is standard practice in laboratories) a weakness and this aspect might need to be taken
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3 403 into account when training clinic field staff. Usability results obtained here might not be generalizable
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5 404 to every other context but there are data being collected in several rural and community-based
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7 405 settings that corroborate ease of use of this device to guide malaria treatment after appropriate
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9 406 training [26, 35, 36] .

10
11 407 Although midwives felt uncertain about properly conducting the test at the beginning of the study, the
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13 408 laboratory data showed highly accurate results in the first 12 months of use and very good results in
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15 409 the latter 8 months, supporting suitability of the test among health care workers without prior
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17 410 experience in diagnostics. Follow up studies should explore the causes of this slight decrease in quality
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19 411 over time which could be attributed to environmental or users' factors as well as device durability over
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21 412 >1 year of use in tropical conditions.

22 413 Limitations

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24 414 A practical limitation of Biosensor testing on cord blood is the extra step needed to collect the blood
25
26 415 with a syringe from the cord. A sampling device that collects a fixed volume of blood directly from the
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28 416 cord would streamline the process.

29
30 417 It is very likely that performance and reference ranges observed here in cord blood could apply to
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32 418 neonatal capillary or venous blood collected within the first 24 hours of life but this was not evaluated
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34 419 during the study.

35
36 420 The study was conducted in a period critically influenced by the COVID-19 pandemic. Travel restrictions
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38 421 resulted in a delayed study start, reduced enrolment in one clinic (WPA), and a protracted enrolment
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40 422 duration of the study overall. Fewer than planned FGD were conducted—including planned discussions
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42 423 at key time points during the study—and they occurred in a single clinical site providing a possibly
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44 424 narrower point of view on the usability topics explored. Additional staff stressors and human resource
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46 425 limitations due to COVID-19 and the political unrest in Myanmar in 2021 were not assessed but may
47
48 426 have influenced the results of both the technical and usability components of the study.

49 427

50 428 CONCLUSIONS

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3 429 The “STANDARD G6PD” Biosensor is a reliable POC tool to support the perinatal care of newborns at
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5 430 higher risk of neonatal hyperbilirubinemia by demonstrating very high sensitivity in identification of
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7 431 deficient newborns and high sensitivity in identification of female newborns with intermediate activity.
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10 432 Its use by trained personnel in rural clinics and birthing centers with a high prevalence of G6PD
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12 433 deficiency, together with assessment of bilirubin levels before discharge, has the potential to avert
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14 434 disability and death from hyperbilirubinaemia.
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17 435 Extending use of the Biosensor for newborn testing in countries where it is already deployed for
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19 436 malaria case management in resource-constrained settings [37], would provide a higher return on this
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21 437 investment. Use of Biosensor in populations with prevalent G6PD deficiency outside malaria endemic
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23 438 regions might increase the benefit-cost ratio of universal screening [38] in all settings [39].
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27 439 **Figures Legends and Captions**

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29
30 440 **Figure 1.** Distribution of G6PD enzymatic activity from cord blood samples detected by gold standard
31 441 spectrophotometry assay (A) and Biosensor (B) according to sex and genotype
32

33 442
34
35 443 **Figure 2.** Bland Altman plot of G6PD activity (A) and haemoglobin levels (B) in cord blood comparing
36 444 gold standard spectrophotometry to Biosensor
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38 445 *(A) Delta G6PD=G6PD Biosensor- G6PD Spectrophotometry*
39 446 *Full horizontal line indicates mean difference (1.05IU/gHb); dotted horizontal lines indicate limits of*
40 447 *agreement (-3.52 to 5.62IU/gHb)*
41 448 *(B) Delta Hb=Hb Biosensor- Hb Spectrophotometry*
42 449 *Full horizontal line indicates mean difference (0.70g/dL); dotted horizontal lines indicate limits of*
43 450 *agreement (-2.83 to 4.23g/dL)*
44 451

45
46 452 **Figure 3.** Receiver Operating Characteristic curve of Biosensor for 30% activity (A) and 70% activity
47 453 (B) thresholds.
48

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1
2
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9 462 ETHICAL APPROVAL

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11
12 463 The study was approved by Oxford Tropical Research Ethics Committee, UK (OxTREC 532-19), the
13
14 464 Mahidol University Faculty of Tropical Medicine Ethics Committee, Thailand (TMEC 19-048, MUTM
15
16 465 2019-080-02) and the Tak Province Border Community Ethics Advisory Board (TCAB201904). Written
17
18 466 informed consent was obtained from literate mothers and midwives; a thumbprint was obtained in
19
20 467 the presence of a literate witness for illiterate mothers.
21
22
23

24 468 AUTHORS' CONTRIBUTION

25
26
27 469 Substantial contributions to the conception or design of the work: GB, AH, FN, VIC and RM.
28
29 470 Acquisition, analysis or interpretation of data for the work: GB, MEG, EW, GG, PP, PKM, LA, NSW, SW,
30
31 471 KKA, AH, BH, FN, VIC and RM. Drafting the work or revising it critically for important intellectual
32
33 472 content: GB, MEG, EW, GG, PP, PKM, LA, NSW, SW, KKA, AH, BH, FN, VIC and RM. Final approval of
34
35 473 the version to be published: all authors. Agreement to be accountable for all aspects of the work in
36
37 474 ensuring that questions related to the accuracy or integrity of any part of the work are appropriately
38
39 475 investigated and resolved: all authors.
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45
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57
58 482 public copyright licence to any author accepted manuscript version arising from this submission. The
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2
3 483 funders had no role in study design, data collection and analysis, decision to publish, or preparation of
4
5 484 the manuscript.
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9 485 DATA AVAILABILITY STATEMENT

10 486 De-identified participant data are available from the Mahidol Oxford Tropical Medicine Data Access
11
12
13 487 Committee upon request from this link: [https://www.tropmedres.ac/units/moru-bangkok/bioethics-](https://www.tropmedres.ac/units/moru-bangkok/bioethics-engagement/datasharing)
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15 488 [engagement/datasharing](https://www.tropmedres.ac/units/moru-bangkok/bioethics-engagement/datasharing).
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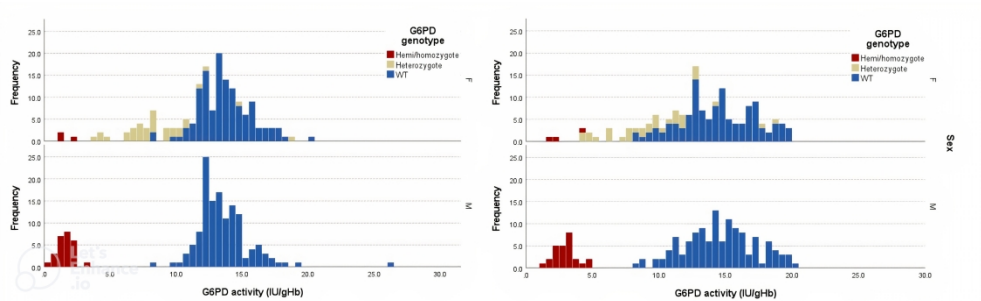


Figure 1. Distribution of G6PD enzymatic activity from cord blood samples detected by gold standard spectrophotometry assay (A) and Biosensor (B) according to sex and genotype

2217x675mm (72 x 72 DPI)

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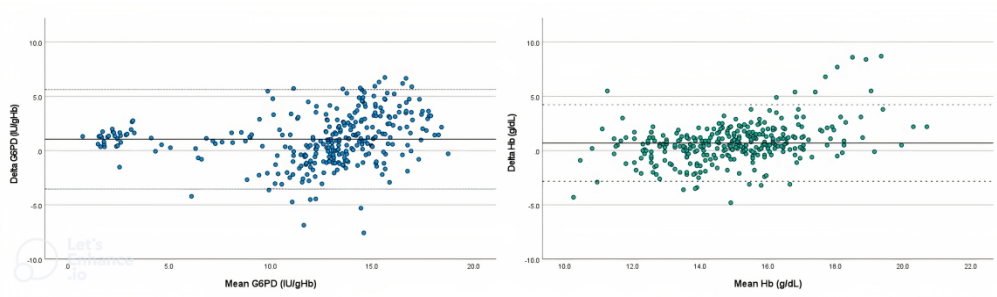


Figure 2. Bland Altman plot of G6PD activity (A) and haemoglobin levels (B) in cord blood comparing gold standard spectrophotometry to Biosensor

2142x633mm (72 x 72 DPI)

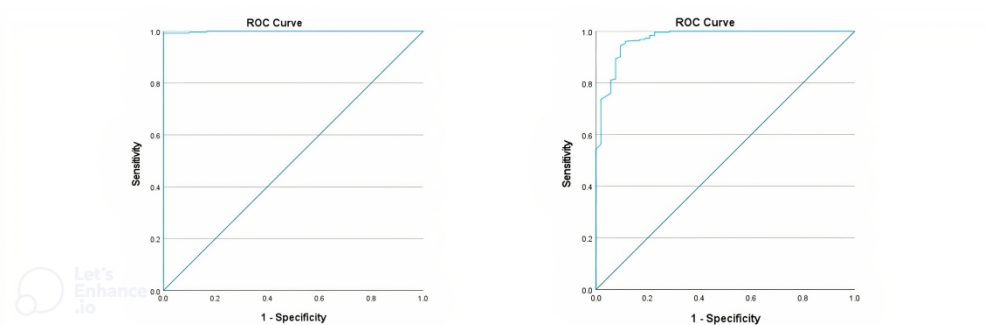


Figure 3. Receiver Operating Characteristic curve of Biosensor for 30% activity (A) and 70% activity (B) thresholds.

1972x642mm (72 x 72 DPI)

SD G6PD BIOSENSOR (for sample)

Prepare the machine, test device and buffer (step 1-8) BEFORE doing the blood collection (step 9)

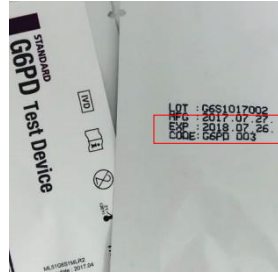
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1. Put on gloves



2. Insert codechip (For first time using or open new box of test device)



3. Check the expiry date printed on the foil pouch



4. Check that codechip number on screen correspond to test device



5. Open the foil pouch and take a test device out and hold the test in the right side



6. Insert the test device



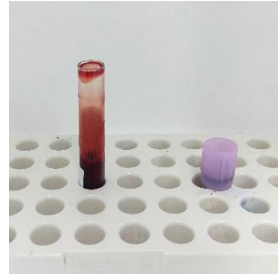
7. Open flap chamber



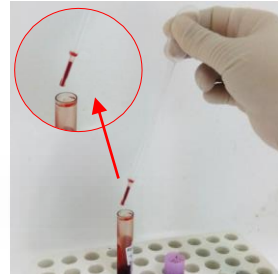
8. Open buffer tube and place on rack



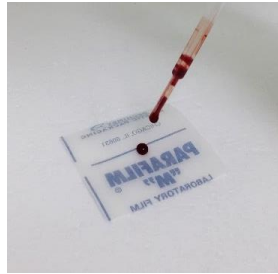
9. Mix sample tube well by inverting* 10 times
*Gently, no bubbles and no shaking



10. Place on rack



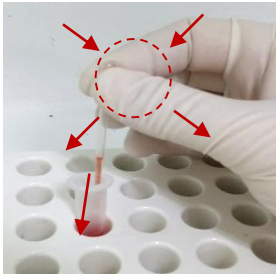
11. Collect blood by using Pasteur pipette



12. Drop blood on para film one drop (Avoid to make bubble)



13. Hold the EZI tube horizontally, and touch the tip of the EZI tube to the blood specimen. Do not close hole.



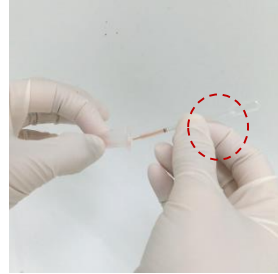
14. Mix the blood specimen with extraction buffer by pressing and releasing the EZI tube 10 times



15. Discard used EZI tube in the sharp bin



16. Take new EZI tube



17. Hold the EZI tube horizontally, and touch the tip of the EZI tube to the mixed blood specimen. Do not close hole.



18. Apply mixed specimen to the specimen application hole of the test device



19. Close the flap chamber immediately after applying



20. Wait for 2 min for the test result to appear on the screen (Check date) and report results on the logbook



21. Take the used test device out and discard in sharp bin

Quantitative G6PD point-of-care test can be used reliably on cord blood to identify male and female newborns at increased risk of neonatal hyperbilirubinaemia: a mixed method study

Supplementary Figures and Tables

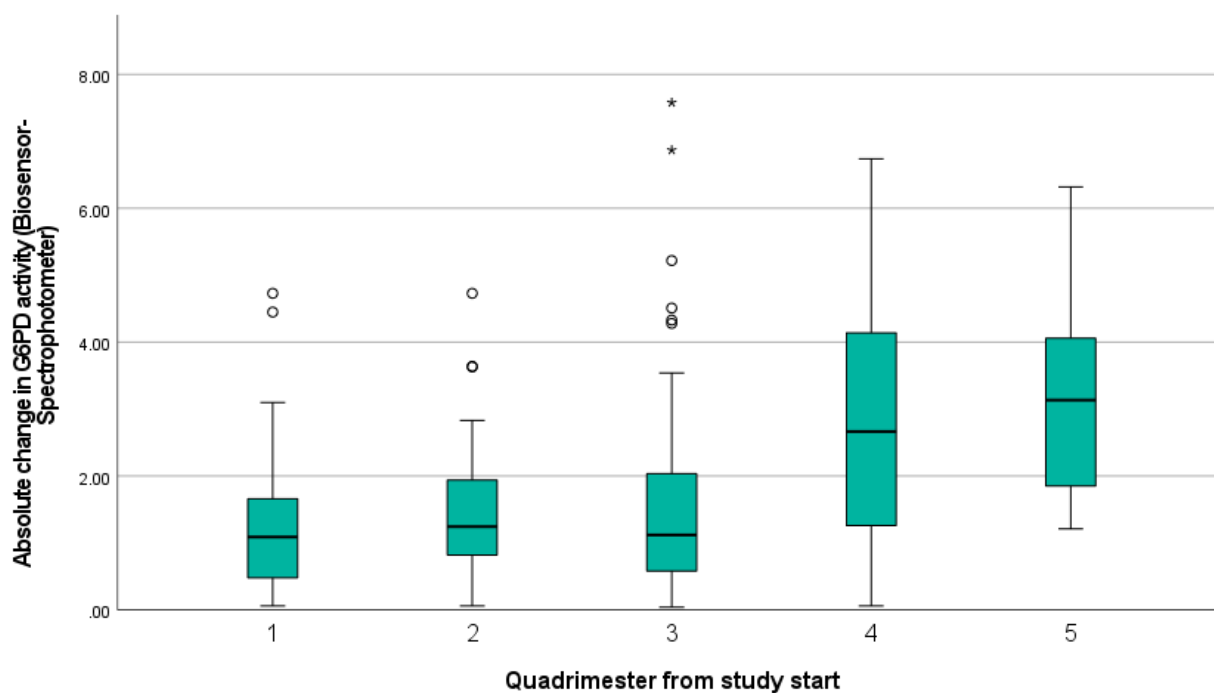
S Table 1. G6PD enzymatic activity (IU/gHb) of cord blood by spectrophotometry according to genotype

G6PD genotype	N	Mean	Std. Deviation	Minimum	Maximum
Hemizygote	26	1.64	0.65	0.09	3.32
Homozygote	3	1.66	0.43	1.38	2.16
Heterozygote	34	8.55	2.97	3.54	18.89
WT	262	13.62	2.02	8.01	26.32
Total	325	12.02	4.14	0.09	26.32

S Table 2. G6PD enzymatic activity (IU/gHb) by Biosensor according to genotype

G6PD genotype	N	Mean	Std. Deviation	Minimum	Maximum
Hemizygote	26	2.87	0.81	1.4	4.6
Homozygote	3	2.70	1.23	1.8	4.1
Heterozygote	34	9.50	3.47	4.0	18.6
WT	244	14.46	2.72	8.1	20.0
Total	307	12.82	4.47	1.4	20.0

S Figure 1. Absolute difference in G6PD activity detected by Biosensor as compared to spectrophotometry over time (only MKT clinic)



S Table 3. Characteristics of samples misclassified by Biosensor

Clinic	Year	EGA	Sex	Reference G6PD (IU/gHb)	Reference Hb (g/dL)	Percent activity of reference (%)	Reference phenotype	Biosensor G6PD (IU/gHb)	Biosensor Hb (g/dL)	Percent activity of Biosensor (%)	Percent activity of reference (%)	Biosensor phenotype	G6PD genotype Mahidol	Retics (%)	WBC (10^3 / uL)
MKT	2020	42	F	4.4	15	33	INT	4.3	15.2	30	32	DEF	Heterozygote	1.5	13.6
MKT	2021	40	F	7.1	15.8	54	INT	12.6	16.3	88	95	NOR	Heterozygote	1.3	20.2
MKT	2021	41	F	7.7	14.1	58	INT	12.5	11.5	87	94	NOR	Heterozygote	ND	20.6
MKT	2021	39	F	8.0	15.3	60	INT	10.9	11.7	76	82	NOR	WT	2.3	19.3
MKT	2021	39	F	8.2	14.3	62	INT	4	15.7	28	30	DEF	Heterozygote	2.2	21.1
MKT	2021	39	F	8.5	14.1	64	INT	11.8	14.1	82	89	NOR	Heterozygote	ND	ND
WPA	2021	39	F	9.4	13.3	71	NOR	9.8	13.7	68	74	INT	Heterozygote	1.6	13.8
WPA	2021	38	F	10.2	14.8	77	NOR	7.5	15.3	52	56	INT	Heterozygote	2.1	11.6
WPA	2021	39	F	10.9	15.6	82	NOR	8.8	16.7	61	66	INT	WT	1.6	12.5
MKT	2020	38	F	11.4	16.7	86	NOR	9.3	18.8	65	70	INT	WT	4.8	7.1
WPA	2021	39	F	11.7	14.3	88	NOR	8.1	16.5	56	61	INT	WT	1.8	11.1
WPA	2020	39	F	11.8	15.8	89	NOR	9.8	15.3	68	74	INT	WT	1.9	14.3
MKT	2020	39	F	12.1	12.6	91	NOR	9	13.2	63	68	INT	WT	3.9	14.4
MKT	2021	40	F	14.2	14	107	NOR	9.7	16.3	67	73	INT	WT	1.7	11.3
MKT	2021	37	F	15.1	11.2	113	NOR	8.2	12.1	57	62	INT	WT	3.7	15.5

S Table 4. Phototherapy treatment in newborns with EGA \geq 38 weeks with different G6PD phenotypes

G6PD phenotype by spectrophotometry	PT	No PT	% PT	RR	95%CI	<i>P</i> _{Fisher}
Deficient	8	20	28.6	4.9	2.3-10.5	<0.001
Intermediate	3	17	15.0	2.6	0.8-8.1	0.13
Normal	15	242	5.8			reference
G6PD phenotype by Biosensor						
Deficient	9	21	30.0	5.4	2.5-11.6	<0.001
Intermediate	2	20	9.1	1.7	0.4-6.8	0.49
Normal	13	223	5.5			reference

Section & Topic	No	Item	Reported on page #
TITLE OR ABSTRACT			
	1	Identification as a study of diagnostic accuracy using at least one measure of accuracy (such as sensitivity, specificity, predictive values, or AUC)	2
ABSTRACT			
	2	Structured summary of study design, methods, results, and conclusions (for specific guidance, see STARD for Abstracts)	2
INTRODUCTION			
	3	Scientific and clinical background, including the intended use and clinical role of the index test	4
	4	Study objectives and hypotheses	4
METHODS			
<i>Study design</i>	5	Whether data collection was planned before the index test and reference standard were performed (prospective study) or after (retrospective study)	5
<i>Participants</i>	6	Eligibility criteria	5
	7	On what basis potentially eligible participants were identified (such as symptoms, results from previous tests, inclusion in registry)	5
	8	Where and when potentially eligible participants were identified (setting, location and dates)	5
	9	Whether participants formed a consecutive, random or convenience series	5
<i>Test methods</i>	10a	Index test, in sufficient detail to allow replication	6
	10b	Reference standard, in sufficient detail to allow replication	6
	11	Rationale for choosing the reference standard (if alternatives exist)	NA
	12a	Definition of and rationale for test positivity cut-offs or result categories of the index test, distinguishing pre-specified from exploratory	8-9
	12b	Definition of and rationale for test positivity cut-offs or result categories of the reference standard, distinguishing pre-specified from exploratory	8-9
	13a	Whether clinical information and reference standard results were available to the performers/readers of the index test	5
	13b	Whether clinical information and index test results were available to the assessors of the reference standard	5
<i>Analysis</i>	14	Methods for estimating or comparing measures of diagnostic accuracy	8-9
	15	How indeterminate index test or reference standard results were handled	5
	16	How missing data on the index test and reference standard were handled	NA
	17	Any analyses of variability in diagnostic accuracy, distinguishing pre-specified from exploratory	NA
	18	Intended sample size and how it was determined	8
RESULTS			
<i>Participants</i>	19	Flow of participants, using a diagram	
	20	Baseline demographic and clinical characteristics of participants	10
	21a	Distribution of severity of disease in those with the target condition	11-12
	21b	Distribution of alternative diagnoses in those without the target condition	NA
	22	Time interval and any clinical interventions between index test and reference standard	NA
<i>Test results</i>	23	Cross tabulation of the index test results (or their distribution) by the results of the reference standard	table 2
	24	Estimates of diagnostic accuracy and their precision (such as 95% confidence intervals)	11-12
	25	Any adverse events from performing the index test or the reference standard	NA
DISCUSSION			
	26	Study limitations, including sources of potential bias, statistical uncertainty, and generalisability	16
	27	Implications for practice, including the intended use and clinical role of the index test	17
OTHER INFORMATION			
	28	Registration number and name of registry	NA
	29	Where the full study protocol can be accessed	10
	30	Sources of funding and other support; role of funders	9-10

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STARD 2015

AIM

STARD stands for “Standards for Reporting Diagnostic accuracy studies”. This list of items was developed to contribute to the completeness and transparency of reporting of diagnostic accuracy studies. Authors can use the list to write informative study reports. Editors and peer-reviewers can use it to evaluate whether the information has been included in manuscripts submitted for publication.

EXPLANATION

A **diagnostic accuracy study** evaluates the ability of one or more medical tests to correctly classify study participants as having a **target condition**. This can be a disease, a disease stage, response or benefit from therapy, or an event or condition in the future. A medical test can be an imaging procedure, a laboratory test, elements from history and physical examination, a combination of these, or any other method for collecting information about the current health status of a patient.

The test whose accuracy is evaluated is called **index test**. A study can evaluate the accuracy of one or more index tests. Evaluating the ability of a medical test to correctly classify patients is typically done by comparing the distribution of the index test results with those of the **reference standard**. The reference standard is the best available method for establishing the presence or absence of the target condition. An accuracy study can rely on one or more reference standards.

If test results are categorized as either positive or negative, the cross tabulation of the index test results against those of the reference standard can be used to estimate the **sensitivity** of the index test (the proportion of participants *with* the target condition who have a positive index test), and its **specificity** (the proportion *without* the target condition who have a negative index test). From this cross tabulation (sometimes referred to as the contingency or “2x2” table), several other accuracy statistics can be estimated, such as the positive and negative **predictive values** of the test. Confidence intervals around estimates of accuracy can then be calculated to quantify the statistical **precision** of the measurements.

If the index test results can take more than two values, categorization of test results as positive or negative requires a **test positivity cut-off**. When multiple such cut-offs can be defined, authors can report a receiver operating characteristic (ROC) curve which graphically represents the combination of sensitivity and specificity for each possible test positivity cut-off. The **area under the ROC curve** informs in a single numerical value about the overall diagnostic accuracy of the index test.

The **intended use** of a medical test can be diagnosis, screening, staging, monitoring, surveillance, prediction or prognosis. The **clinical role** of a test explains its position relative to existing tests in the clinical pathway. A replacement test, for example, replaces an existing test. A triage test is used before an existing test; an add-on test is used after an existing test.

Besides diagnostic accuracy, several other outcomes and statistics may be relevant in the evaluation of medical tests. Medical tests can also be used to classify patients for purposes other than diagnosis, such as staging or prognosis. The STARD list was not explicitly developed for these other outcomes, statistics, and study types, although most STARD items would still apply.

DEVELOPMENT

This STARD list was released in 2015. The 30 items were identified by an international expert group of methodologists, researchers, and editors. The guiding principle in the development of STARD was to select items that, when reported, would help readers to judge the potential for bias in the study, to appraise the applicability of the study findings and the validity of conclusions and recommendations. The list represents an update of the first version, which was published in 2003.

More information can be found on <http://www.equator-network.org/reporting-guidelines/stard>.

