S1 Supplement

# **Comparing antigenaemia- and microfilaraemia as criteria for stopping decisions in lymphatic filariasis elimination programmes in Africa**

Wilma A. Stolk<sup>1\*</sup>, Luc E. Coffeng<sup>1</sup>, Fatorma K. Bolay<sup>2†</sup>, Obiora A. Eneanya<sup>3</sup>, Peter U. Fischer<sup>3</sup>, T. Déirdre Hollingsworth<sup>4</sup>, Benjamin G. Koudou<sup>5,6</sup>, Aboulaye Méité<sup>7</sup>, Edwin Michael<sup>8</sup>, Joaquin M. Prada<sup>9</sup>, Rocio M. Caja Rivera<sup>8</sup>, Swarnali Sharma<sup>10,11</sup>, Panayiota Touloupou<sup>12,13</sup>, Gary J. Weil<sup>3</sup>, Sake J. de Vlas<sup>1</sup>

Affiliations

<sup>1</sup> Department of Public Health, Erasmus MC, University Medical Center Rotterdam, Rotterdam, The Netherlands

<sup>2</sup> National Public Health Institute of Liberia (NPHIL), Monrovia, Liberia

<sup>3</sup> Infectious Diseases Division, Department of Medicine, Washington University School of Medicine, St. Louis, Missouri, USA

<sup>4</sup> Big Data Institute, Li Ka Shing Centre for Health Information and Discovery, University of Oxford, UK

<sup>5</sup> Centre Suisse de Recherches Scientifiques en Côte d'Ivoire, Abidjan, Abidjan, Côte d'Ivoire <sup>6</sup> Laboratoire de Cytologie et Biologie Animale, UFR Science de la Nature, Université Nangui Abrogoua Abidjan, Abidjan, Côte d'Ivoire

<sup>7</sup> Programme National de Lutte contre les Maladies Tropicales Négligées à Chimiothérapie Préventive, Abidjan, Côte d'Ivoire

<sup>8</sup> Center for Global Health Infectious Disease Research, University of South Florida, Tampa, USA

<sup>9</sup> Faculty of Health and Medical Sciences, University of Surrey, UK

<sup>10</sup> Department of Biological Sciences, University of Notre Dame, Notre Dame, South Bend, IN, USA

<sup>11</sup> Christian Medical College, IDA Scudder Rd, Vellore, Tamil Nadu 632004, India

<sup>12</sup> Department of Statistics, University of Warwick, UK

<sup>13</sup> School of Mathematics, University of Birmingham, UK

† Deceased

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*\* Corresponding author:* Wilma A. Stolk, PhD; Department of Public Health, Erasmus MC, University Medical Center Rotterdam, PO Box 2040, 3000 CA Rotterdam, The Netherlands. Phone: +31 10 704 3730; Email: [w.stolk@erasmusmc.nl](mailto:w.stolk@erasmusmc.nl)

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## <span id="page-2-0"></span>**Section 1. LYMFASIM: model and parameter values**

#### <span id="page-2-1"></span>**1.1 Model version and availability**

Model version: wormsim version 2.58Ap59.

Programme and source code availability: LYMFASIM was originally developed as a standalone computer programme [1], but is now incorporated as a disease-specific variant within WORMSIM, a generalized framework for modelling transmission and control of helminth infections in humans. A formal description of WORMSIM has been provided elsewhere for version v2.58Ap9 [2]. The programme and source code are available at gitlab: <https://gitlab.com/erasmusmc-public-health/wormsim.previous.versions>

#### <span id="page-2-2"></span>**1.2 Model description**

The LYMFASIM model has been described elsewhere [1,3] and it has been applied to support decision making on control and elimination of lymphatic filariasis in different settings [3-6]. We restrict here to a brief description.

LYMFASIM is a stochastic individual-based model for simulating lymphatic filariasis (LF) transmission and control in a closed, dynamic population, typically representing the population from a village or small town. Each human individual is simulated separately. The population composition changes over time, because of birth, death and emigration (removal) of individuals from the population. The infection status (number of adult worms for each sex, mf density) for each individual in the population is tracked over time. Exposure to mosquito bites is assumed to vary between individuals, driven by age and sex patterns in exposure as well as by stochastic variation between individuals. As a result, infection levels vary between individuals. Female adult worms produce microfilariae (mf) when at least one male worms is present in the same host (polygamous mating). The uptake and transmission of infection between hosts are simulated deterministically, accounting for the variation in exposure between individuals.

LYMFASIM can be used to simulate the effect of interventions (e.g. mass drug administration, integrated vector management, bednet use) on transmission and morbidity, taking account of the human demography and the complexities of helminth transmission. Mass drug administration (MDA) is simulated by specifying the year and month in which treatment takes place, the efficacy of the applied treatment regimen, the achieved coverage level, and compliance patterns. Systematic non-participation is simulated by assuming that a fraction of the population never participates in MDA (e.g. systematic refusal, related to chronic illness). In addition, LYMFASIM allows the relative compliance to vary between age and sex groups; this mechanism captures transient contra-indications for MDA (e.g. exclusion of young children and pregnant women) and other age- and sex-related behavioural factors driving participation in MDA. Lastly, each individual has a personal inclination to participate in MDA, which is considered as a lifelong property. A stochastic process eventually defines per individual whether he is treated in a given round, depending on the calculated probability. The impact of bednets is simulated by assuming that a random fraction of the population is using bednets (here, this fraction equals the bednet coverage in the population) and that the mosquito biting rate among bednet-users is 97% lower than expected without bednets.

### <span id="page-3-0"></span>**1.3 Model parameters and their values**

We previously derived model quantifications for simulating transmission of bancroftian filariasis by *Anopheles* species in Africa[7], accounting for the age-structure of the human population and density dependence in the L3 yield from a blood meal in mosquitoes. Acquired immunity was not considered to play a role in the Africa model [7]. Parameter values relating to human demography, human exposure to mosquitoes, the parasite life cycle and transmission, and treatment efficacy are listed in Table A below. Assumptions and parameters related to control strategies and treatment efficacy are listed in Table B (section 2 of this supplement).



#### *Table A. LYMFASIM input: probability distributions, functions and parameter values*









The relative montly biting rate (mbr) and the shape (=rate) parameter (k) of the Gamma distribution describing exposure heterogeneity in the simulated population were varied according to the density plot in Figure A below, in order to generate simulations across a wide range of mf prevalences at baseline, measured in the population aged 5 and above.



Figure A. Density plot illustrating the parameter space, showing simulated combinations of parameters for the monthly biting rate, the shape (=rate) of the gamma distribution describing exposure heterogeneity in the population (k), and the external force of infection, and the resulting baseline mf prevalences in 2013 for Côte d'Ivoire. The model accounts for low-coverage bednet use in the study area since 2006.



Mf prevalence in relation to mbr and exposure heterogeneity

**Figure B.** Density plot illustrating the parameter space as used in the sensitivity analysis, showing simulated combinations of parameters for the monthly biting rate, the shape (=rate) of the gamma distribution describing exposure heterogeneity in the population (k), and the resulting baseline mf prevalences in 2013 for Côte d'Ivoire. The external force of infection was assumed to be 0 (i.e. no importation from surrounding areas)The model accounts for low-coverage bednet use in the study area since 2006.

# <span id="page-9-0"></span>**Section 2. Validation of model-predicted CFA prevalence levels**

### <span id="page-9-1"></span>**2.1 Data**

In Côte d'Ivoire, selected communities in the Abengourou health district were treated annually in 2014, 2015, and 2016 by the National Program for the Elimination of Neglected Tropical Diseases, whereas selected villages in Akoupé received biannual treatment [10]. On average, between 60% and 80% of the population reported to be using insecticide-treated nets during the trial (varying between years). Before the onset of the trial, through 2013, the bed net coverage was likely considerably lower [11]. Some of our study communities may have been included in ivermectin MDA for onchocerciasis control, which was provided in the area since 1992 in communities with a population of ≤2000 and within 5 km from a river, with an interruption from 2003-2007 due to civil war [10,12]. The last ivermectin treatment happened at least 12 months before the current study. MDA with ivermectin plus albendazole had not been provided before the onset of the trial.

The Liberia study [13] took place in the Harper district in Maryland County. Selected villages inland were treated annually by teams from the National Public Health Institute of Liberia and the NTD team of the Ministry of Health in 2013, 2014, and 2015, usually in August. Selected villages in the coastal area were treated biannually. The average reported use of insecticide treated bed nets was <25% during the first years of the trial, and increased in the latter years. External sources confirm that bed net usage in the study area was low through 2014 and increased thereafter [11]. The area did not have any previous MDA for LF or onchocerciasis, although a small proportion of the population reported to have been treated with ivermectin at some point before the study.

In both sites, CFA and Mf positivity was assessed by study teams in the consenting population aged 5 years and above, before the first treatment and 11 months after each treatment (i.e. preceding the next treatment). Data from different survey moments could not be matched at the individual level (no individual-level follow-up). In Côte d'Ivoire, CFA was always assessed using FTS, but 2 communities also used ICT at baseline. In Liberia, the ICT test was used in the baseline survey, whereas FTS was used in subsequent surveys (in some communities in combination with ICT). In both study areas, presence of Mf was assessed by microscopic examination of 60 µL finger prick blood obtained at night. Presence of Mf was usually only assessed in CFA-positive individuals, in which case the overall Mf prevalence in the population was estimated assuming that all CFA-negative individuals are also Mf-negative and that the proportion of Mf positives among tested CFA-positives is representative for the total group of CFA positives. Only in Côte d'Ivoire, the full 5+ population was tested during the baseline survey and, in some communities, the first follow-up survey. Community-specific data for a given timepoint and location were included in our analysis if ≥30 individuals were examined by CFA.

#### <span id="page-10-0"></span>**2.2 Methods**

For model validation, we use baseline data from both the annual and biannual treatment arms. Trends over time are only simulated and compared to data for annual MDA, as biannual MDA is not recommended for LF elimination programmes.

We first assessed whether the model-predicted Mf-CFA prevalence association at baselines matched to observed data (taken from both the annual and biannual treatment arm). To obtain simulation runs across the spectrum of observed baseline endemicity levels, we performed a large number of runs for each scenario, varying the value of the three model parameters relating to setting-specific transmission conditions: the monthly biting rate (i.e. mean number of mosquito bites per adult per month), the degree of interindividual variation in exposure to mosquito bites, and an external force of infection (included to mimic infections acquired from outside the simulated population, either through human mobility or vector mobility). The external force-of-infection was set to zero in half of the simulation runs, to mimic communities where transmission is independent of imported infections. In the other runs, it was set to a low value, varying between runs but constant over time to mimic communities where low endemicity is stabilized by incoming infection from surrounding areas. Values used for these parameters are shown in Figure A (this supplement). We assumed a population size of about 1000 individuals per village.

For the comparison of model-predicted trends to observed data from Côte d'Ivoire and Liberia, we simulated the annual MDA as in the trials as well as local use of bed nets since 2006. Treatment coverage achieved in the trials was not known. We assumed that on average 65% of the total population was treated per round, with treatment only provided to individuals aged 5 years and above. Details of the simulated scenarios are provided in Table B below. For Côte d'Ivoire, we did not account for previous MDA of ivermectin only, of which the last round took place more than 12 months before the baseline survey.

For Figure 2 (main text) and Figure C (this supplement), we selected per community the subset of runs with Mf and CFA prevalence at baseline falling within an ellipse around the observed value, defined by the 95% confidence interval around the observed Mf and CFA prevalence, and model-predicted Mf and CFA prevalences for later timepoints were then compared to data.

	Côte d'Ivoire		Liberia	
Calendar year	Assumed bednet coverage <sup>a</sup> (apply to whole year)	MDA coverageb,c (% out of total population)	Assumed bednet coverage <sup>a</sup> (apply to whole year)	MDA coverage <sup>b</sup> (% out of total population)
2006	0%		20%	
2007	7%		23%	
2008	11%		24%	
2009	10%		24%	
2010	10%		35%	
2011	39%		25%	
2012	17%		27%	
2013	12%		19%	65%
2014	63%	65%	20%	65%
2015	90%	65%	60%	65%
2016	79%	65%	60%	0%
2017	62%	0%	60%	0%
2018	62%		60%	

*Table B. Simulated scenarios for comparing model predictions to data from Côte d'Ivoire and Liberia* 

a We assumed that this bednet coverage applied to the whole year.

<sup>b</sup> Number of people treated (all aged 5 years and above) out of the total population (including children under 5 years of age). We assume 5% systematic non compliance, meaning that 5% of the total population never participates in MDA. <sup>c</sup>The simulations do not account for the impact of annual ivermectin MDA in Côte d'Ivoire that was provided for the control of onchocerciasis in the area since 1992, with an interruption from 2003-2007 due to civil war [10,12]

# <span id="page-12-0"></span>**Section 3. PRIME-NTD table: Policy-Relevant Items for Reporting Models in Epidemiology of Neglected Tropical Diseases**



*Table C. The Policy-Relevant Items for Reporting Models in Epidemiology of Neglected Tropical Diseases (PRIME-NTD) <sup>a</sup>*

<sup>a</sup> Communication of adherence to the five principles of the NTD Modelling Consortium for policy-relevant work, described in: Behrend et al. 2020. Modelling for policy: The five principles of the Neglected Tropical Diseases Modelling Consortium. *PLoS Negl Trop Dis* 2020; **14**(4): e0008033.

**b** Full formulation of the principles:

- 1. Don't do it alone. Engage stakeholders throughout, from the formulation of questions to the discussions on the implications of the findings.
- 2. Reproducibility is key! Prepare and make available (preferably open-source) a complete technical documentation of all model code, mathematical formulas, assumptions and their justification, allowing others to reproduce the model.
- 3. Model calibration, goodness-of-fit and validation are fundamental processes of scientific modelling. All data used should be described in sufficient detail to allow the reader to assess the type and quality of these analyses. When using data by reference, use Principle 2.
- 4. Communicating uncertainty is a hallmark of good modelling practice. Perform a sensitivity analysis of all key parameters, and for each paper reporting model predictions include an uncertainty assessment of those model outputs within the paper.
- 5. Model outcomes should be articulated in the form of testable hypotheses. This allows comparison with other models and future events as part of the ongoing cycle of model improvement.

## **Section 4. Detailed results**

<span id="page-14-0"></span>

**Figure C.** Observed and simulated prevalence of microfilaraemia (Mf) and circulating filarial antigenaemia (CFA) for Liberia, at baseline (2013, before the first treatment round) and in 2014, 2015 and 2016 (i.e. 11 months after the first, second and third MDA round). FTS- and ICT-based observations are shown as circles and triangles, respectively, along with 95% confidence intervals. Note that both test were used simultaneously in some villages at follow-up moments 1 and 3, and confidence intervals are presented as two-barred crosses. Model predictions are shown as small dots. Simulation results from runs matched to specific villages at baseline are shown in the color of that village, and remaining runs are shown in lightgrey. A run was considered a match if the predicted Mf-CFA prevalence combination at baseline fell within the ellipse drawn around the observed MF-CFA prevalence combination based on the 95% confidence intervals. For both the models and the observed data, crude prevalence estimates are presented in the figures (i.e. not age-standardized). The MDA coverage was assumed to be 65% of the total population per round in the simulation runs. See Table B (this supplement) for details about the simulated scenarios, and see Figure 2 in the main text for a similar figure for Côte d'Ivoire.



Figure D. Observed and simulated prevalence of microfilaraemia (Mf) and circulating filarial antigenaemia (CFA, measured by filarial test strip) by age for Côte d'Ivoire, at baseline (before the first treatment round) and at follow-up moments 1, 2 and 3 (11 months after MDA rounds 1, 2, and 3, respectively). Model predictions (grey shaded area) are shown for the subset of simulations, of which the overall Mf prevalence fell within the 95% confidence interval around the observed mean prevalence, without selecting the corresponding CFA prevalence. The MDA coverage was assumed to be 65% of the total population. See Table B (this supplement) for details about the simulated scenarios.



**Figure E.** Observed and simulated prevalence of microfilaraemia (Mf) and circulating filarial antigenaemia (CFA) by age for Liberia, at baseline (before the first treatment round) and at follow-up moments 1, 2 and 3 (11 months after MDA rounds 1, 2, and 3, respectively). FTS- and ICT-based observations are shown as black squares and triangles, respectively. Note that both CFA test were used at follow-up moments 1 and 3. Model predictions (grey shaded area) are shown for the subset of simulations, of which the overall Mf prevalence fell within the 95% confidence interval around the observed mean prevalence, without selecting the corresponding CFA prevalence. The MDA coverage was assumed to be 65% of the total population. See Table B in this supplement for details about the simulated scenarios.

#### Elimination Recrudescence -



Figure F. Trends in infection indicators as predicted by LYMFASIM during and after mass drug administration (MDA), for communities with **microfilaraemia (Mf) prevalence at baseline varying between 20%-30%**. Results are presented for four different MDA scenarios (in columns: 6 or 8 rounds of MDA with ivermectin+albendazole, with 60% of 80% coverage), five different indicators of infection (rows: Mf and CFA prevalence in the 5+ and 15+ population, CFA prevalence in 6-7-year olds). Each line presents the outcome of a single simulation run, with runs eventually ending in elimination shown in blue and runs ending in resurgence shown in red. Results are shown for 50 runs, randomly selected from the runs that were done to calculate receiver operating characteristic (ROC) curves and positive and negative predictive value of each of the three indicator for predicting elimination.

#### Recrudescence -- Elimination



Year

**Figure G**. Trends in infection indicators as predicted by LYMFASIM during and after mass drug administration (MDA), for communities with **microfilaraemia (Mf) prevalence at baseline varying between 30%-40%**. Results are presented for four different MDA scenarios (in columns: 6 or 8 rounds of MDA with ivermectin+albendazole, with 60% of 80% coverage), five different indicators of infection (rows: Mf and CFA prevalence in the 5+ and 15+ population, CFA prevalence in 6-7-year olds). Each line presents the outcome of a single simulation run, with runs eventually ending in elimination shown in blue and runs ending in resurgence shown in red. Results are shown for 50 runs, randomly selected from the runs that were done to calculate receiver operating characteristic (ROC) curves and positive and negative predictive value of each of the three indicator for predicting elimination.



Figure H. Receiver-operator characteristic (ROC) curves for predicting the eventual occurrence of elimination of transmission, based on the microfilaraemia (Mf) or circulating filarial antigenaemia (CFA) prevalence measured 1 year after the last treatment round, for different MDA scenarios. Based on predictions from the LYMFASIM model. Results are shown by treatment scenario and for different endemicity categories, with the latter based on Mf prevalence at baseline. Different lines show the predictive performance of the Mf prevalence among the 5+ population (red line), the CFA prevalence measured in the 5+ population (green line) and the CFA prevalence measured among 6-7-year-old children (blue line). Sensitivity is the percentage of simulation runs ending in elimination that are correctly identified based on Mf or CFA prevalence below a range of thresholds (see legend). Similarly, 100%-specificity is the percentage of simulation runs resulting in resurgence, which is not correctly identified. The optimal situation is in the upper left corner of the panels (100% sensitivity and 100% specificity).



Outcome: -- NPV: recrudescence if prevalence > threshold - PPV: elimination if prevalence <= threshold

**Figure I.** Positive predictive value (PPV, probability of achieving elimination within 50 years after the last round of MDA, if the 1-year post MDA prevalence measured in 200 individuals sampled per age group was below the threshold) and negative predicted value (NPV, probability of recrudescence, if the 1-year post MDA prevalence measured in 200 individuals sampled per age group was above the threshold) for a range of possible thresholds. Different lines show the predictive performance of the Mf and CFA prevalence in the 5+ or 15+ population (MF: red and grey line; CFA: green and pink lines) and the CFA prevalence in 6-or-7-year-old children (blue line). Based on 1000 simulations per scenario and endemicity category, with baseline Mf prevalence varying between 20%-30% or between 30%- 40%, and assuming that 200 individuals are sampled per age group.



Figure J. Probability of achieving elimination within 50 years after the last round of MDA, if the 1-year post MDA prevalence measured in 200 individuals sampled per age group was below a given threshold (i.e. the positive predictive value (PPV) of using this threshold), in relation to baseline endemicity level. Different lines show the predictive performance of the Mf and CFA prevalence in the 5+ or 15+ population (MF: red and grey line; CFA: green and pink lines) and the CFA prevalence in 6-or-7-yearold children (blue line). Based on 1000 simulations per scenario and endemicity category, with baseline Mf prevalence varying between 20%-30% or between 30%-40%.



**Figure K.** Probability of achieving elimination within 50 years after the last round of MDA, if the 1 year post MDA prevalence was below a given threshold (i.e. the positive predictive value (PPV) of using this threshold) in relation to the number of people sampled. Results for different indicators are shown in separate panels (CFA or MF prevalence in people aged ≥15 or ≥5 years, CFA prevalence in 6-or-7-year-old children). Based on 2000 simulations per scenario, with baseline Mf prevalence varying between 20%-40%.



**Figure L.** Comparison of the model-predicted and observed association between microfilaraemia (Mf) and circulating filarial antigenaemia (CFA) prevalence at baseline, varying model assumptions regarding the monthly rate of Mf production per female worm per 20µL blood. Observed data from Côte d'Ivoire (triangles) and Liberia (squares) were based on surveys performed before the introduction of MDA, including data from both the annual and biannual treatment arm with Mf and CFA prevalence measured about one month before the first round of MDA in the trial (i.e. 2014 for Côte d'Ivoire and 2013 for Liberia). Model predictions are shown for settings without (dark blue) and with (light blue) an external force of infection, accounting for low-coverage bednet use since 2006 based on data from Côte d'Ivoire.



**Figure M.** Model-predicted probability of achieving elimination within 50 years after the last round of MDA, if the 1-year post MDA prevalence was below a given threshold (i.e. the positive predictive value of using this threshold), under different assumptions regarding the monthly rate of Mf production per female worm per 20µL blood. Different lines show the predictive performance of the Mf and CFA prevalence in a sample of 200 individuals taken from the 5+ or 15+ population (MF: red and grey line; CFA: green and pink lines) and the CFA prevalence in a sample of 200 children 6 or 7 years or (blue line). Based on 2000 simulations per scenario, with baseline Mf prevalence varying between 20% and 40%.

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