# **Supplementary Material\***

DeBar L, Mayhew M, Benes L, et al. A primary care–based cognitive behavioral therapy intervention for long-term opioid users with chronic pain. A randomized pragmatic trial. Ann Intern Med. 2 November 2021. [Epub ahead of print]. doi:10.7326/M21-1436

Part 1. Supplementary Results Part 2. Protocol Part 3. Analytic Information

\* This supplementary material was provided by the authors to give readers further details on their article. The material was reviewed but not copyedited.

	Baseline to	Post-treatment**	Post-treatm	ent to 12 months	Baseline to 12 months			
	Within-Group Change (95% CI)	Relative Difference Between Groups (95% Cl)	Within-Group Change (95% CI)	Relative Difference Between Groups (95% Cl)	Within-Group Change (95% CI)	Relative Difference Between Groups (95% CI)		
PEGS Score (n=106 clusters, 850 particip	ants, 3768 observati	ions)						
Usual Care	-0.21 (-0.30 to -0.13)		-0.02 (-0.11 to 0.07)		-0.23 (-0.32 to -0.14)			
CBT	-0.49 (-0.57 to -0.41)	-0.28 (-0.39 to -0.16)	0.04 (-0.05 to 0.14)	0.06 (-0.07 to 0.19)	-0.45 (-0.54 to -0.36)	-0.21 (-0.34 to -0.09)		
PEG Score (n=106 clusters, 850 participa	ints, 3768 observatio	ons)						
Usual Care	-0.22 (-0.30 to -0.14)		-0.03 (-0.12 to 0.07)		-0.25 (-0.34 to -0.16)			
СВТ	-0.51 (-0.60 to -0.43)	-0.29 (-0.41 to -0.18)	0.06 (-0.03 to 0.15)	0.08 (-0.05 to 0.22)	-0.46 (-0.55 to -0.37)	-0.21 (-0.34 to -0.08)		
RMDQ Score (n=106 clusters, 849 partic	ipants, 3372 observa	ations)		·	·			
Usual Care	-0.08 (-0.15 to 0.00)		0.04 (-0.04 to 0.12)		-0.04 (-0.12 to 0.04)			
СВТ	-0.28 (-0.35 to - 0.21)	-0.20 (-0.30 to -0.10)	-0.04 (-0.12 to 0.04)	-0.08 (-0.20 to 0.03)	-0.32 (-0.40 to -0.24)	-0.28 (-0.40 to -0.17)		
Satisfaction with Primary Care Services	(n=106 clusters, 848	participants, 1578 observa	itions)					
Usual Care	-0.23 (-0.35 to -0.12)		N/A		N/A			
СВТ	-0.02 (-0.14 to 0.09)	0.21 (0.05 to 0.38)	N/A	N/A	N/A	N/A		
Satisfaction with Pain Services (n=106 c	lusters, 849 participa	ants, 1575 observations)						
Usual Care	0.07 (-0.05 to 0.19)		N/A		N/A			
СВТ	0.34 (0.22 to 0.46)	0.27 (0.10 to 0.44)	N/A	N/A	N/A	N/A		
Average Daily Dose of Opioids (MME***	*) (n=106 clusters, 84	48 participants, 4081 obser	vations)					
Usual Care	0.02 (-0.01 to 0.06)		-0.05 (-0.10 to -0.01)		-0.03 (-0.09 to 0.02)			
СВТ	-0.01 (-0.05 to 0.02)	-0.04 (-0.09 to 0.02)	-0.05 (-0.10 to 0.00)	0.00 (-0.06 to 0.07)	-0.06 (-0.12 to -0.01)	-0.03 (-0.11 to 0.04)		

DeBar, et al., A Primary Care-Based Cognitive Behavioral Therapy Intervention for Long-Term Opioid Users with Chronic Pain: A Randomized Pragmatic Trial

\* Analyses based on mixed models

\*\*Post-treatment is at 3-month follow-up for PEGS, PEG, RMDQ, MME, and 6-month follow-up for satisfaction with primary care services and satisfaction with pain services \*\*\*Winsorized

	Base	line	3-Month Follow-Up		6-Month Fo	ollow-Up	9-Month F	ollow-Up	12-Month Follow Up		
	Mean (SD)	Ν	Mean (SD)	Ν	Mean (SD)	N	Mean (SD)	Ν	Mean N (SD)		
PEGS Score	[0 to 10]										
Usual Care	6.7 (1.8)	417	6.2 (1.9)	366	6.1 (2.0)	363	6.1 (2.1)	358	6.2 (2.0)	351	
CBT	6.5 (1.7)	431	5.5 (1.9)	373	5.4 (2.1)	379	5.5 (2.2)	367	5.5 (2.2)	363	
PEG Score [(	) to 10]		,								
Usual Care	6.8 (1.8)	417	6.3 (1.9)	366	6.2 (2.1)	363	6.1 (2.1)	358	6.2 (2.0)	351	
CBT	6.7 (1.7)	431	5.6 (2.0)	373	5.5 (2.1)	379	5.6 (2.2)	367	5.7 (2.2)	363	
RMDQ Score	e [0 to 1]										
Usual Care	0.69 (0.19)	407	0.66 (0.20)	330	0.68 (0.20)	320	0.68 (0.21)	315	0.67 (0.21)	301	
CBT	0.67 (0.19)	423	0.62 (0.21)	336	0.59 (0.22)	320	0.60 (0.23)	307	0.60 (0.25)	313	
Satisfaction	with Primar	y Care Serv	ices [0 to 10								
Usual Care	4.2 (1.0)	416	-	-	4.0 (1.3)	357	-	-	-	-	
CBT	4.3 (1.0)	429	-	-	4.2 (1.1)	376	-	-	-	-	
Satisfaction	with Pain Se	ervices [0 to	o 10]								
Usual Care	3.6 (1.3)	416	-	-	3.7 (1.3)	355	-	-	-	-	
CBT	3.7 (1.2)	429	-	-	4.1 (1.1)	375	-	-	-	-	
Average Dai	ly Dose of O	pioids, MN	IE*								
Usual Care	55.2 (64.0)	416	55.5 (69.7)	413	54.9 (67.6)	401	53.6 (64.2)	388	51.4 (62.4)	384	
CBT	48.1 (58.1)	432	47.5 (59.1)	423	46.9 (60.1)	415	45.3 (59.0)	408	43.5 (56.6)	401	

	3-Month F	ollow-up	6-Month Fo	ollow-up	9-Month Fo	ollow-up	12-Month Follow-u		
	n (%)	Ν	n (%)	Ν	n (%)	Ν	n (%)	Ν	
PEGS Score ab	ove MCID Three	shold‡							
Usual Care	42 (11.5%)	366	60 (16.5%)	363	68 (19.0%)	358	59 (16.8%)	351	
CBT	97 (26.1%)	372	106 (28.0%)	378	103 (28.1%)	366	92 (25.4%)	362	
PEG Score abo	ve MCID Thres	hold <sup>‡</sup>							
Usual Care	50 (13.7%)	366	57 (15.7%)	363	69 (19.3%)	358	60 (17.1%)	351	
CBT	98 (26.3%)	372	111 (29.4%)	378	96 (26.2%)	366	93 (25.7%)	362	
RMDQ Score a	bove MCID Thr	eshold <sup>‡</sup>							
Usual Care	30 (9.3%)	321	26 (8.4%)	311	27 (8.9%)	305	26 (8.9%)	293	
CBT	42 (12.8%)	328	69 (22.1%)	312	62 (20.8%)	298	59 (19.2%)	307	
MCID=Minimal	clinically impo	rtant differe	nce						
<b>‡MCID</b> is defir	ned as 30% or g	reater decre	ase in score from l	baseline va	lue				

Benzodiazepine Usual Care (23	98	N	n (%)	Ν	n (%)	NI	(21)			
Usual Care (23	98					N	n (%)	N	n (%)	Ν
Care (2		1								
· ·	2 60/1	416	94	110	104	401	101	200	88	384
CDT	3.6%)	410	(22.8%)	413	(25.9%)	401	(26.0%)	388	(22.9%)	384
CBT	97	432	85	422	79	41 5	75	400	67	401
(22	2.5%)	432	(20.1%)	423	(19.0%)	415	(18.4%)	408	(16.7%)	401
Continued Long	g-Term Opi	oid Thera	ру							
Usual	321	410	294	410	294	401	275	200	262	204
Care (7	7.2%)	416	(71.2%)	413	(73.3%)	401	(70.9%)	388	(68.2%)	384
CBT 3	332	422	300	122	283	41 F	279	100	276	401
(70	6.9%)	432	(70.9%)	423	(68.2%)	415	(68.4%)	408	(68.8%)	401
Average Daily D	Dose of Opi	ioids ≥90	MME							
Usual	86	416	90	410	82	401	80	200	75	204
Care (20	0.7%)	416	(21.8%)	413	(20.4%)	401	(20.6%)	388	(19.5%)	384
CBT	64	422	63	422	58	415	55	400	44	401
(14	4.8%)	432	(14.9%)	423	(14.0%)	415	(13.5%)	408	(11.0%)	401



# Collaborative Care for Chronic Pain in Primary Care (PPACT) Pragmatic Clinical Trial

# PROTOCOL

Sponsored by

The National Institutes of Health (NIH) Health Care Systems Research Collaboratory by the NIH Common Fund through cooperative agreement U24AT009676 from the Office of Strategic Coordination within the Office of the NIH Director and cooperative agreements UH2AT007788 and UH3NS088731 from the National Institute of Neurological Disorders and Stroke (NINDS)

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## STUDY PROTOCOL MODIFICATIONS

**04/21/2014:** Originally, primary care providers could opt out after clustering and randomization occurred. However, with this approach we could not include an additional PCP to take the place of the PCP who had opted out. Therefore, we would be left with fewer than the optimal number of PCPs in each cluster wave. We changed the protocol to have PCPs opt out prior to clustering and randomization.

**07/07/2015:** The target number for enrollment was changed from "up to 160 primary care providers (PCPs) and 1,200 patients with chronic pain on long term opioid treatment (CP-LOT)" to "up to 375 PCPs and 1,000 patients with chronic pain on long term opioid treatment (CP-LOT)." In addition, the number of PCP clusters changed from 120 PCP clusters to 106 clusters, with 53 receiving the intervention and 53 receiving usual care.

The description of PCP recruitment was changed to reflect that PCPs are provided an in-person presentation to the study rather than an informational letter. The detailed PowerPoint presentation covers all elements of informed consent. PCPs that are unable to attend the in-person presentation are sent an email with an overview of the study and a copy of the PowerPoint presentation.

# **1. OVERVIEW**

Chronic pain affects at least 116 million adults in the United States and exacts a tremendous cost in suffering and lost productivity. While health systems offer specialized pain services, the primary care setting is where most patients seek and receive care for pain. Patients with chronic pain are seen primarily by primary care providers (PCPs) in settings where medications have become the mainstay of pain treatment for many PCPs with few other treatment options available to them. Yet rising concerns about the safety and efficacy of opioid medications for the treatment of chronic pain have heightened awareness of the need for better treatment options. Primary care-based treatment of chronic pain by interdisciplinary teams (including behavioral specialists, nurse care managers, physical therapists, and pharmacists) is one of the most promising approaches for improving outcomes and managing costs. Recent national health policy changes, in addition to the increasing recognition of the high prevalence and cost of chronic pain conditions present a unique opportunity to shift the care paradigm for patients with chronic pain.

The overarching goal of the Pain Program for Active Coping and Training (PPACT) is to test the effectiveness of integrating an evidence-based, interdisciplinary pain management intervention within a primary care environment. The trial is conducted in three health care delivery systems (Kaiser Permanente Georgia, Hawaii, and Northwest) and is anticipated to involve up to 375 PCPs and 1,000 patients with chronic pain on long term opioid treatment (CP-LOT).

The PPACT trial compares usual care services to an interdisciplinary, primary care-based approach to treating CP-LOT patients. The primary outcome for the trial is a composite of pain severity and interference as measured by the 4item version of the Brief Pain Inventory – Short Form, known as the PEGS,<sup>1</sup> and administered routinely in clinical care. Secondary outcomes include patient satisfaction, potential reductions in dispensed opioid medication (measured as morphine equivalent dose), and other health service use variables extractable through patients' electronic health records (EHR).

# 2. STUDY AIMS

**Aim 1:** Conduct a cluster randomized pragmatic clinical trial in 106 PCP clusters across the three KP health plan settings (Hawaii, Northwest, Georgia) to compare the effects of the PPACT intervention to usual care on:

- Patients' pain symptoms, pain-related functioning, and satisfaction with health care services;
- Patients' use of health care services, including receipt of opioid medication; and,
- The cost of the program and economic impact of the intervention.

**Aim 2:** Conduct process and formative evaluations to understand, describe, explain, and enhance intervention Reach, Effectiveness, Adoption, Implementation, and Maintenance.

# **3. STUDY DESIGN**

## 3.1. Cluster Randomized Design

The trial compares usual care services to a multidisciplinary, primary care-based approach to treating CP-LOT patients. The study team has planned for up to 1,000 patients from 106 clusters of PCPs (6-12 patients per cluster) across three KP health plans (KPNW, KPGA, and KPH) to participate in the trial, with 53 PCP clusters randomized to the PPACT intervention and 53 PCP clusters to usual care representing 9-30 PCP clusters in each of the three participating KP regions.

## 3.2. Eligibility

## 3.2.1. Primary Care Providers

<u>Primary care provider participants (PCPs)</u>. All PCPs (internal medicine and family practice providers [medical doctors – MD, doctors of osteopathic medicine – DO, physician assistants – PA, and nurse practitioners – NP with established panels of patients]) in the three participating KP regions are eligible to participate in the trial.

# 3.2.2. Patients

Patient inclusionary eligibility criteria includes the following:

- 1. Adult (18 years of age or older) health plan members from KPNW, KPGA, and KPH who receive their primary care services from participating PCPs
- 2. Health plan membership of at least 180 days/6 months duration
- 3. Long term opioid use defined by: 90+ day supply of short acting opioid spanning at least 120 days or 2 or more long acting opioid dispense in the past 180 days
- 4. Pain diagnosis within the past year

Patient <u>exclusionary</u> criteria are limited to the following:

- Patients currently enrolled in intensive addiction medicine services or with evidence of active substance dependence of sufficient severity to interfere with their ability to actively participate in the behavioral/lifestyle change program
- 2. Patients with cognitive impairment severe enough to preclude their participation in a behavioral/lifestyle change program
- 3. Patients with current malignant cancer diagnosis
- 4. Any evidence of patient having received hospice or other end-of-life palliative care within past year
- 5. Patients unwilling to participate in the skills training/lifestyle change elements of the program (PCPs cannot mandate that their referred patients participate in the program).

Exclusionary criteria are purposively kept to a minimum to ensure that study participants resemble those most in need of these services in the broader population.

#### 3.3. Recruitment and Retention Strategies

#### 3.3.1. Recruitment

Based on the previously stated eligibility criteria, one database for each regional healthplan compiles the health record numbers (HRNs) of all PPACT eligible patients who are paneled to all participating PCPs. This database is refreshed just prior to beginning recruitment of any given cluster to ensure the most accurate PCP paneling of patients. PCPs in each clinic are invited to participate in an informational meeting held in their primary care clinic prior to recruitment efforts in that clinic. During this meeting, PCPs are informed of their option to opt out of

participation in the study. The PCP's receipt of the study overview, expectations of participating, and absence of opting out of the study constitutes that PCP's informed consent.

For half of the participating PCPs their patients are randomized to participate in the intervention while for the otherhalf their patients are randomized to the usual care condition. PCPs, other clinic, and study staff are blinded to their randomization status until all of their patients have been recruited. The number of PPACT eligible patients on a given PCP's panel ranges from 1 to 146 in the three KP healthcare systems. One goal of this project is to target the more complex patients with chronic pain; those which often place the greatest strain on PCPs and the regions as a whole. In an effort to accomplish this goal the study team 1) prioritizes recruitment of patients on  $\geq 120$  daily morphine equivalent dose of opioids, patients concomitantly prescribed benzodiazepines, and patients with high primary care service utilization (defined as 12 or more contacts in the prior 3 months) and 2) asks that PCPs review a list of their prioritized patients to ensure that all patients on the list are appropriate candidates for the program.

All PCP approved PPACT eligible patients are mailed a recruitment brochure summarizing the study and providing an opt out contact number.. Additionally, it states that patients might be contacted within a week to participate in the study if they have not called to opt-out. The brochure specifies that there are limited spaces in the program such that not everyone receiving a brochure will be contacted to participate.

Consistent with the pragmatic nature of this trial and the full partnership of the three KP healthcare systems in incorporating this intervention reorganizing pain-related services and enhancing pain-related assessments, patients are informed that the study represents a partnership between their KP providers and the research team to evaluate existing pain-related services within the health plan and to evaluate the impact of providing a closer coordination of pain-related services available within the primary care clinics. KP staff working in coordination with the health plan and the research center then attempt to contact by phone those patients who do not opt out. Recruitment callers invite patients to enroll in the clinic summarizing the intervention as one that provides closer coordination of pain-related services within the primary care clinics, verbally reviewing the elements of consent (waived written consent), and obtain baseline patient reported outcomes. The study's target cluster size is 10-12 patients per cluster, thus, recruitment for any given cluster stops when 10-12 patients in a given cluster have been consented. Patients on the PCP approved list who have not been contacted are not recruited for the study. When recruitment for each PCP cluster within the cluster wave has been finalized, interventionists (staff not involved in the initial recruitment calls of patients) are unblinded to the study condition of each PCP cluster and their associated patients. These interventionists contact patients in the usual carecondition to inform them of their next assessment study contact in 3 months. Patients in the intervention condition are contacted to schedule their intake evaluation sessions.

# 3.3.2. Retention

Intervention retention. There is a recognition that the target patient population for the PPACT trial is one for whom motivation and adherence to recommended treatment and self-care practices is frequently an issue.<sup>2-4</sup> Accordingly, everyday clinical interventions frequently embed elements of motivational enhancement techniques to encourage patient adherence and retention as does the PPACT intervention.<sup>5</sup> A rescue session protocol details the process interventionists undergo when individuals do not attend sessions regularly or unable to attend due to various circumstances (e.g., surgery, transportation issues). While attempts are made by interventionists to engage all individuals with the highest "dose" of intervention that is feasible, as a pragmatic trial, attention to retention is informed by what would be realistic for included healthcare systems to sustain in everyday clinical care.

<u>Data collection retention</u>. The study employs a tiered system (patient health record sent to patient through health plan secured e-mail system, automated telephone calls using the KP Message Center, live telephone calls by medical assistant) to enhance collection of the primary study outcome, the PEGS.<sup>6</sup> This approach builds off of the current clinical data collection processes available in the health care delivery systems in which the trial is conducted and, as such, represents a modest enhancement of existing clinical systems. Importantly, all participants may refuse to provide specific responses or measures or may withdraw from enhanced data collection procedures or the intervention at any time.

#### 3.4. Informed Consent and HIPAA Compliance

# 3.4.1. Patients

The study team obtains oral consent and oral review of HIPAA elements from all participants enrolled in the study, accordingly the IRBs in the three included KP healthcare organizations have granted a waiver of signed informed consent and an alteration of privacy rule authorization (HIPAA) (no signature). When study interviewers obtain oral consent from a prospective study participant over the telephone, the interviewer indicates that each element of informed consent and HIPAA privacy guidelines/study use of health data have been reviewed with the KP member by checking the requisite element within the patient record in the study electronic tracking system. This helps ensure that each element of informed consent and HIPAA privacy guidelines/study use of health data have been thoroughly reviewed with all prospective participants. Following screening and oral consent, an informational letter including all elements of informed consent, is sent to all participants recently enrolled in both intervention and usual care. For individuals randomized to the intervention arm, the letter includes details of study activities and expectations. For this study, obtaining oral rather than written consent is an appropriate consent procedure because intervention activities involve the coordination of clinical care services already available to most KP members (e.g., physical therapy, behavioral services, nurse care management, and pharmacy) and therefore the intervention is expected to cause no more risk of harm than what already exists for patients undergoing usual care treatment for chronic pain. Some patients participating in the intervention are expected to have worsening pain and/or other physical or emotional problems during the study period. However, these are risks inherent in the population and would occur whether or not they were enrolled in the study and the risk of adverse outcomes should not be heightened as a function of being enrolled in the study. Further, because the intervention is embedded directly in the primary care clinics and conducted in partnership with participating patients' PCPs, in the event that a patient's symptoms significantly worsen during the intervention, their PCP will be immediately contacted by a PPACT intervention team member and their PCP will work with the patient to identify and provide appropriate care. This is consistent with the standard of care provided at KP.

For the pragmatic trial, data is assessed and recorded in accordance with regular clinical care (either in the intervention arm or the comparison usual care condition), and subsequently extracted by our research staff from EHR and administrative databases in each of the KP health plans participating in the study. As such the IRBs have granted a waiver of consent to use computerized records to collect assessment data for the trial. The study team believes that the assessment portion of the pragmatic trial clearly satisfies the criteria of 45 CFR 46:116 for waiver of informed consent. Those criteria are:

- *"The research involves no more than minimal risks to the subjects"* The only risk to participants from this procedure is violation of confidentiality, which the study protects against.
- *"The waiver or alteration will not adversely affect the rights or welfare of the subjects"* Research use of records will have no effect on insurance coverage, access to care, or eligibility for any benefit from participating health systems. The various HMO regions already routinely permit the use of EHR records for research purposes without member consent.
- *"The research could not practically be carried out without the waiver or alteration"* It would not be possible to meet in person with all PPACT participants to obtain written consent.

Finally, patients in the intervention arm of the study are informed that group sessions may be recorded and shared with supervising staff to evaluate the quality of services the patient is receiving and to help the PPACT providers and the health plan understand how to best improve services for health plan members. A signed release of information is obtained for the potential recording of groups. Should a patient not be willing to sign the release of information, the group they are assigned to is not audio recorded.

# 3.4.2. Primary Care Providers

PCPs are provided a thorough presentation at their regular primary care meeting (or presentation materials when not in attendance), which includes all elements of informed consent. The PCPs have a one week opt-out opportunity after the meeting or receipt of materials. The sIRB deems this is an appropriate consent procedure given the minimal risk to the PCP posed by the study. Benefits to the PCPs who are randomized to the intervention arm of the study include assistance managing their patients with chronic pain who are on opioids, patients who often utilize primary care services at a greater capacity. The PCPs in the intervention arm are provided with a comprehensive assessment of their study patients, the intervention team's pain management recommendations, and templates for communicating with those patients who often present with challenging communication styles. All PCPs participating in the trial regardless of which study arm they (and their patients) are randomized have the benefit of the study supporting quarterly collection of patient reports of pain and pain-related functioning using the standard monitoring tool adopted in all KP region to monitor patients on long term opioid therapy. Participating providers, regardless of study arm, are free to refer their patients to any pain-related services they deem warranted.

# 3.5. Treatment Arms

## 3.5.1. Usual Care

As noted in the earlier section 3.3.1 on "Recruitment," all potential patient participants are approached about their willingness to participate in regular quarterly assessment of their pain and related functioning and status as well as their availability and willingness to participate in the PPACT intervention if offered and oral consent is obtained before they are enrolled in the study. For those patients whose PCPs are randomized to the usual care condition, further study-related contact is limited to quarterly study data collection over the following year.

Those patients in PCP clusters randomized to either the PPACT intervention or the usual care arm of the study are able to utilize all diagnostic and treatment health plan services available to them for pain and related conditions.

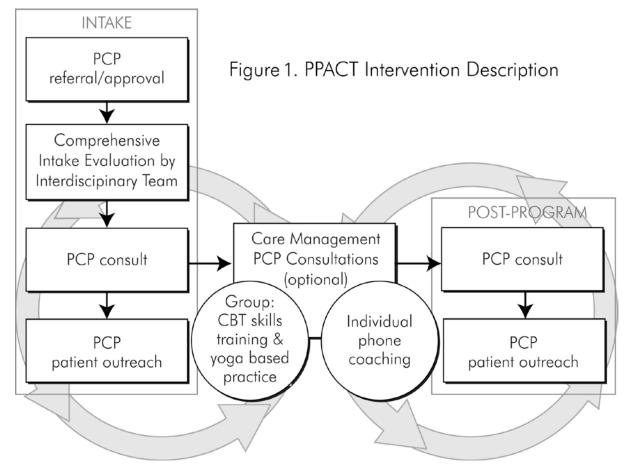
## **3.5.2. PPACT Intervention**

The intervention involves (1) a comprehensive intake evaluation with periodic reevaluation (evaluations performed by a behavioral health specialist *or* nurse care manager, physical therapist (PT), and a chart based medication review by a pharmacist), (2) group coaching sessions (co-led by a behavioral specialist and nurse care manager, with consultation from the PT to support the adapted movement activities), and (3) interim care management contacts (conducted by the behavioral health specialist or the nurse care manager). Section 4 below describes the PPACT intervention in more detail.

#### 4. PPACT INTEGRATED INTERVENTION COMPONENTS

The intervention consists of (1) a comprehensive intake evaluation with, (2) group coaching sessions, and (3) interim care management contacts by intervention team members as needed. The interdisciplinary intervention team includes a behavioral health specialist (most often a social worker or master's-level counselor), a nurse care manager, and a PT with additional consultation from a pharmacist.

The intervention approach integrates ancillary services—behavioral services, nursing care management, PT, and pharmacy consultation—into the primary care environment with the goal of helping patients develop the skills to increasingly self-manage their condition. Many CP-LOT patients may have received brief trials of one or more of these services, albeit in a fragmented fashion. By coordinating such services within the primary care setting and providing services consistent with evidence-based treatment protocols, CP-LOT patients receiving the intervention are expected to be better able to manage their chronic pain and reduce their reliance on opioid medication. This approach is consistent with chronic care models of care,<sup>7-11</sup> previous collaborative care and multidisciplinary approaches to the management of chronic pain,<sup>12-16</sup> and chronic pain treatment guideline criteria.<sup>17-19</sup> A visual depiction of participant flow through the intervention is shown in Figure 1: PPACT Intervention Description. Each segment of the intervention is described in more detail below.

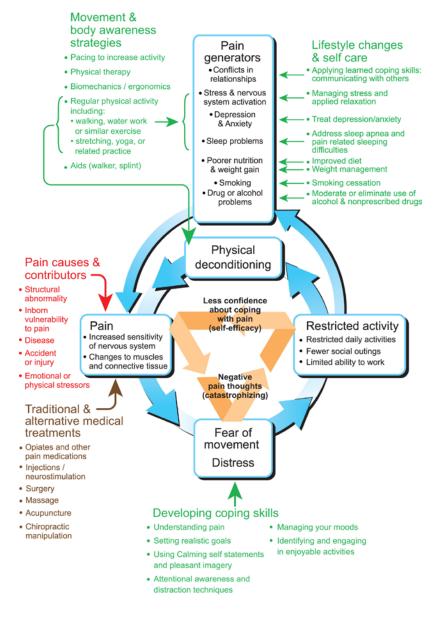


#### 4.1. Comprehensive Intake Evaluation and Re-Assessment

#### 4.1.1. Overview of Goals and Strategies

An important part of the PPACT program involves a comprehensive up-front assessment of the patients' pain and functional status to elucidate possible contributing factors to their pain and impaired functioning. The evaluation is designed to orient patients to the program approach, address any questions or concerns they have about participating in the program, and use assessment findings to develop individualized treatment plans that can then be added to the patients' EHR to guide PPACT program staff, PCPs, and other health plan providers. The "persistent pain cycle" depicted in Figure 2: Reversing the Persistent Pain Cycle provides the framework that the PPACT team uses to orient patients, PCPs, and other health care providers to the potential contributors to chronic pain and those most pertinent for a given patient. This framework is also used as a means of helping patients understand the role of pain management skills training and utilizing movement and body awareness strategies in reversing the cycle of persistent pain. Further, as the framework orients both patients and clinical providers to the many factors (the pain amplifiers in Figure 2) that may exacerbate pain and contribute to pain management difficulties, it helps motivate patients and providers alike to begin to address these factors.

Although some of these "pain amplifiers" are addressed directly within the PPACT program or use CBT techniques useful for treating such conditions (e.g., stress, depression), other problems are outside the purview of the program but instructional materials have been developed to help patients understand the link between persistent pain and these "amplifiers" (smoking and pain, sleep problems and pain) or intervention staff work with patients and their PCPs to identify health plan and community resources for addressing their needs. Throughout the PPACT program, patients are encouraged to focus on the pain management pratices and treatments from the upper quadrants of the figure labeled as "First Line Recommendations/Active Approaches" (green and blue domains summarizing "Pain Management Skills" and "Movement & Body Awareness Strategies") to reinforce the active role patients can take in managing their pain and beginning to reverse the cycle depicted in Figure 2. Our clinical and research experience suggests that many patients focus most of their energy on trying to identify causes and contributors to pain and seeking out "Common Conventional Medical Treatment Options" (depicted in the lower right orange quadrant); patients are encouraged to view the more passive approaches to pain



#### Figure 2. Reversing the Persistent Pain Cycle

management summarized in the lower quadrants of the figure as second line treatments to be used more judiciously in augmenting the day-to-day lifestyle changes more consistent with pain management approaches summarized in the top of the figure.

With regard to the PPACT intake evaluation, all assessment instruments have been chosen for their clinical utility to help PPACT program staff, patients, and PCPs best identify targets for skills training and ancillary treatment needs and to gauge patients' functional progress in the program. Intervention assessment tools include those designed to address the following:

- <u>Pain-related symptoms and disability</u>. Although administered routinely through every day clinical care, the intervention team administers the complete BPI<sup>20,21</sup> to gauge changes in pain severity and impairment related to pain (pain interference) and to give patients and their PCPs information about patient functioning during the course of the intervention. The intervention team also administers the Oswestry Disability Index,<sup>22-24</sup> a psychometrically validated assessment for disability due to musculoskeletal pain conditions that is widely used by KP PTs and thus provides a metric for them to gauge PPACT patient functioning and change. Finally, a few questions are included to get a sense of the duration of participants' chronic pain, their attribution regarding the cause, and the impact of their condition on employment and/or disability status.
- <u>Identifying treatable comorbidities and pain generators</u>. Several clinical issues can exacerbate pain severity and contribute to functional impairment. Accordingly, the intervention team screens for depression using the Personal Health Questionnaire,<sup>25</sup> anxiety symptoms using the Generalized Anxiety Disorders Scale,<sup>26</sup> post-traumatic stress disorder using the Primary Care PTSD screen,<sup>27,28</sup> adverse childhood experiences using an adaptation of the ACE questions,<sup>29</sup> alcohol abuse using the Audit-C,<sup>30,31</sup> and sleep problems and sleep apnea using the Epworth Sleepiness Scale.<sup>32-36</sup> All selected screening measures included in our assessment battery have demonstrated strong psychometric properties and are regularly used in everyday clinical care settings, including the health plans in which the study is conducted.
- <u>Helping patients and PCPs identify potential opioid problems and concerns</u>. Because a primary focus of the proposed study is to help patients in the PPACT intervention identify non-opioid alternatives for pain management, the intervention team uses the Prescribed Opioids Difficulties Scale<sup>37</sup> to help patients identify consequences of their opioid treatment (e.g., difficulty concentrating, excess sedation or fatigue, constipation). The scale also provides an entry point for the PPACT interventionists to initiate a dialogue with participating patients about the pros and cons of using opioid medications for managing chronic pain.
- <u>Identifying modifiable psychosocial and behavioral mediators of pain</u>. The intervention team includes questionnaires that help underscore for patients their coping approaches to pain and their emotional and attitudinal reactions to pain, factors that can either exacerbate or help reverse the chronic pain cycle. Exacerbating factors include fear of movement (measured using an abbreviated version of the Tampa Scale for Kinesiophobia<sup>38</sup>) and pain catastrophizing.<sup>39-41</sup> Helpful factors include patients' ability to cope with their pain; e.g., by increasing physical and social activities (measured using a modified version of the CHAMPS Physical Activity Questionnaire<sup>42,43</sup>), increasing levels of adaptive coping (as measured using an adaptation of the Coping Strategies Questionnaire<sup>44,45</sup>), and improving their perceived self-efficacy beliefs about their ability to cope with the consequences of chronic pain (as measured by the chronic pain self-efficacy scale).<sup>46</sup>

Although data collected through the PPACT intake and follow-up evaluations (including scale scores from the

instruments described above) are included in the treatment plan in the EHR and are extractable by research staff as a means to gauge patients' success in the program, these are not primary research data for the study (see Figure 3 for a fictional example of a completed patient feedback form).

These feedback forms are provided to participating patients at the third intake visit and attached to an encounter in the EHR for PCP and other KP provider access. Patients are asked to complete the evaluation utilized to produce and update these feedback forms upon entry into the program and brief meetings are held with patients' PCPs to review the information. This allows the PCP and nurse care manager or behavioral health specialist to discuss areas of strength for the patient, areas of need targeted by the program, and strategic opportunities for the PCP and the program to partner in delivering a consistent message to the patient. Following this collaboration between intervention team and PCP, the PCP is encouraged to hold a scheduled telephone appointment with the participating patient to

Figure 3. Example Completed Patient Feedback Form

PPACT	PATIENT PROGRA	AM GOALS	Pre-Program 13	Health Record	ent Name Levi L. Ka d Number 8448-22 I Post-Program		
Program for Active Degram & Treasury	Quality of Life Goals	Play with my grandel	hildren when they con	me over to visit.			
Physical Function Goals	A. Your current a	bility to do this activity		ability to do this activi orse, a big better, ever			
Regular physical activity in the pool 8		NOW	1				
<ul> <li>Najvar pryska skrint in tie poor s 10 min, walking &amp; 5-10 min, other er</li> </ul>	A bit worse Repting in Jedroom when kids come over	Sit in adjustable latchen chair while lads play in living room.	A bit better Sit in living room rectiner while kids play in same room	Even better Use pillows & props to sit against couch while kids play	Much better Sit on the floor and play with kids		
Recommendations from PPACT tea					1		
Incovement) to build core strength, in neutral spine positioning, and increas avaratesis/Heuhlity Screenings: Depression (PHO) Score 8	Overestry Disability Indus Overestry Total Score SPS	Desping Walking alathy String Daily work & holve actuation			-		
Level of Depression: Mild De	Level of Disability	Enjoyment of He					
<ul> <li>Anxiety (GAD): Score 17 Level of Anxiety: Severe Aruq</li> </ul>	Severe Disability	Socielitie I					
Sleepiness (Epworth) Score 1 Interpretation: Displays symp			Severa Impairment	Moderate Impairment	Mininal Inpairment		
<ul> <li>PTSD related symptoms:</li> </ul>	Range of pain intensit	ty: Ranges from 5/10 to	8/10 with an average p	nin level of 7/10			
Had nightmans or intraive Felt numb or datached form	Areas of Potentia			or Improving Function	255		
<ul> <li>Opioid related side effects: Ye Solution, slowerss, or slugg Difficulties concentrating or</li> </ul>	<ul> <li>Engaging in phy creative ways to day.</li> </ul>	vical activity everyday h build in activity throug	y finding • Use pr bout the mover execut	ogram components (e ment) to build core stre mics, and lessen fear of	pecially adapted rigth, improve body movement		
Regular interference with we You reported these side effect	family gatherin community or	ily (looks forward to Su gi & grandkid's sporting rter (volunteers buice pe	events) & these ) s week). this pa	nize emotional reaction lave on your functions them early in the proce	ng: work to change 16		
<ul> <li>Other opioid related concerns Needing to use a higher dos Precocupation with use of p</li> </ul>		bbies (drawing & paint) ning others (gardening)	n proble R strat				

commend their participation in the program, recognize their identified strengths, and encourage their work on targeted opportunities for enhancement.

This outreach by the PCP serves to highlight for the patient the collaboration between the program and PCP and demonstrates the coordination occurring among the patient's overall team. The PCP is provided with an optional script template to use during this call. This script template and proactive nature of the PCP outreach is designed to promote enhanced collaboration between the PCP and patient.

#### 4.1.2. Schedule and Delivery

Each patient in the intervention arm is scheduled for three 60-minute intake evaluation visits in the first month:

- 1) Intake #1 behavioral specialist or nurse care manager "new" visit
- 2) Intake #2 PT evaluation
- 3) Intake #3 behavioral specialist or nurse care manager: 60 minute "follow-up" visit

The pharmacist completes a medication chart review after the in-person medication review done during intake visit #1 or 3 and charted by the behavioral specialist or nurse care manager.

PT visits are also schedule mid-treatment to allow the PT to refine physical activity goals and to help patients utilize more advanced portions of the adapted movement yoga DVD (e.g., floor-based exercises) if warranted. Post-treatment assessment/maintenance planning with the nurse care manager or behavioral specialist is to occur shortly after the last group session (approximately four months after enrollment).

## 4.2. Core Groups for Coping Skills Training / Yoga-Based Adapted Movement Practice

## 4.2.1. Overview of Goals and Strategies

<u>Group coaching sessions</u>. The group coaching sessions are based upon the approach utilized in the study team's previous studies of persistent pain<sup>47-56</sup> and are designed to modify psychosocial variables this team and others have found to be related to pain and functional impairment.<sup>39,40,57-62</sup> The variables targeted for change are (1) self-efficacy for pain and pain catastrophizing, (2) fear of movement, and (3) physical deconditioning. The group coaching portion of the intervention is designed to (1) enhance patients' self-efficacy in using coping skills to control pain, (2) decrease maladaptive pain catastrophizing, (3) decrease fear of movement, and (4) increase social and physical activities. The group sessions occur every week over 3 months for a total of 12 groups. The groups are co-led by a behavioral specialist and/or nurse care manager, with consultation from a PT to support the adapted movement activities and physical activity-relevant portions of the intervention.

<u>Coping skills training modules</u>. Table 1 provides an overview of our coping skills modules, which are summarized below.

- Session 1: Understanding pain/pain education and role of • pain coping skills. Simple diagrams, including the neuromatrix and persistent pain cycle, are used to illustrate the pain cycle along with the role of the brain and other parts of the central nervous system in influencing the pain experience. The group explores pain's effect on patients' activities, feelings, and thoughts and how these changes similarly impact the pain they experience. The menu of coping skills modules is discussed, as well as the fact that these skills can be used not only for managing pain, but also for managing stressors related to pain. Patients are taught how to use a brief relaxation method (progressive muscle relaxation) that enables them to apply relaxation during daily activities that may increase their pain (e.g., walking, transferring from one position to another, prolonged sitting).
- <u>Session 2: Applying progressive muscle relaxation (PMR)</u> <u>and adaptation model.</u> Using the information presented in Session 1, experiential activities encourage the group to envision how application of the program's coping skills can change their pain, stress, and adaptation to challenging situations. Time is spent breaking down the PMR activity to

#### Table 1. Coping Skills Training

Group Session	Skill Focus
1	Adaptation Model / Neuromatrix Model of Pain
2	Progressive Muscle Relaxation
3	Activity-Rest Cycling
4	Pleasant Activity Scheduling
5	Mini Practices
6	Pleasant Imagery
7	Leaning in: Emotional regulation
8	Leaning out: Distraction
9	Cognitive Restructuring
10	Positive Self Statements
11	Problem-solving / Reinforcing the Application of Learned Skills
12	Relapse Prevention / Maintenance Plan

promote a successful experience of this important skill and an overall understanding of how this directly impacts the perception of pain and stress in the brain.

- <u>Session 3: Activity-rest cycle</u>. Patients are taught to use a quota system to pace their activities and increase activity level. The quota system involves targeting a daily activity that the patient tends to overdo and learning to split this activity into periods of moderate activity (e.g., 10 minutes walking) followed by limited rest (e.g., 5 minutes rest). The patient will build up the activity quota over time. A range of activity options are discussed, along with benefits of gradually increasing activity. Barriers and obstacles to using this quota system are identified and solutions for overcoming them are formulated.
- <u>Session 4: Pleasant activity scheduling.</u> Pleasant activity scheduling is used to help patients identify and incorporate a variety of enjoyable and realistic activities in their day-to-day life that help them overcome the

deactivation common for pain patients and to address mood-related impairments common among patients with chronic pain.

- <u>Session 5: Relaxation mini-practices.</u> Patients are taught to use, and then practice as a group, these brief relaxation techniques that are designed for use in the midst of various daily activities. These mini-practices provide an alternative to longer relaxation methods, such as the full PMR, but still provide the mental and physiological benefits necessary to overcome instances of pain, tension, and stress.
- <u>Session 6: Pleasant imagery.</u> Patients are assisted in identifying an imaginary, personal scene and are then guided through pleasant imagery sessions that focus attention on pleasant experiences in the midst of pain, stress, or negative thoughts. The group then strategizes about building these imagery sessions into their day to promote relaxation.
- <u>Session 7: Emotional regulation: leaning in</u>. Mood modulation skills, mindfulness, and the role of acceptance are taught and practiced to assist patients in working with strong emotions.<sup>63</sup>
- <u>Session 8: Emotional regulation: leaning out</u>. In working with patients to counterbalance leaning into and away from challenging emotions, distraction techniques using physical or auditory stimuli are discussed and practiced as helpful tools in managing pain.<sup>63</sup>
- <u>Session 9: Cognitive restructuring</u>. Cognitive restructuring is used to help patients recognize overly negative thoughts that occur in response to pain. Effects of such thoughts on feelings and behaviors are discussed.
- <u>Session 10: Use of calming self-statements.</u> Patients develop alternative, calming/coping thoughts and self-statements that are more helpful/useful in coping with pain.
- <u>Session 11: Problem-solving/reinforcing the application of learned skills.</u> Following patient-stated reviews of the coping skills used throughout the program, the group works through several problem-solving scenarios to gain experience applying learned coping skills in the context of challenges faced.
- <u>Session 12: Relapse prevention and maintenance enhancement training.</u> Patients are taught strategies to enhance maintenance of learned coping skills. In order to pinpoint situational factors affecting maintenance, each patient is taught to identify high-risk situations that are likely to interfere with coping efforts. A rationale for anticipating and coping with setbacks is discussed. Cognitive strategies for recognizing early warning signs of pain and symptom flares and coping with setbacks are emphasized.

<u>Adapted movement component of group</u>. During the adapted movement component of the group, patients are instructed in yoga-based movement (stretching and strengthening). This approach to practice utilizes the "Relax into Yoga" DVD (based on the Yoga of Awareness research trials<sup>64-67</sup>) which offers a gentle yoga practice tailored to encourage patients to participate daily in gentle and accessible movements with the intention that these skills will begin to generalize to everyday activities requiring physical movement. In-session, yoga-based adapted movement is limited to seated and supported standing poses because the degree of deconditioning expected for this target population suggests that these practices are best suited to their current functional limitations. The "Relax Into Yoga" DVD that is used in group sessions and given to patients to support them in adopting a regular stretching/yoga practice outside of class does contain floor-based routines. Participants able to get up and down from the floor may augment their practice with the use of these routines and work with the PPACT PT to ensure that they know and have practiced safe ways of getting down to and up from the floor.

# 4.2.2. Schedule and Delivery

Following the completion of the Comprehensive Intake Evaluation for each patient in a given cluster (estimated to take 4 weeks), all patients in the cluster begin the Core Group Series. This series of group sessions consists of one 2-hour session per week for a total of 12 weeks. Patients in a given cluster attend these 12 group sessions together. Group sessions are led by the behavioral specialist and/or nurse care manager, with consultation from the Intervention Team Pharmacist and PT. These groups are held at the patients' primary care clinic or clinic hub.

#### 4.3. Individualized Care Management

# 4.3.1. Overview of Goals and Strategies

<u>Incorporating interim care management contacts</u>. The nurse care manager or behavioral specialist also works with individual participants in person or by telephone on an as-needed basis. Although the formal individual evaluation and group sessions constitute a complete dose of the PPACT intervention, the study team recognizes there are instances when individuals are unavailable for group sessions and brief in-person or telephone sessions are indicated. Such contacts are focused on brief review of coping skills strategies, monitoring participants' progress in meeting their individualized goals, and helping address barriers or obstacles to meeting these goals. Such calls last no more than 10-15 minutes and are an efficient means of ensuring that any difficulties implementing planned behavioral changes are addressed quickly. Importantly, the interventionists are trained to help patients initiate their own self-care and problem-solving, rather than attempting to solve the difficulties for the patient. This is consistent with a motivational enhancement approach that the team has used successfully in other interventions.<sup>68-71</sup>

# 4.4. Pharmacist Chart Review

During the intake process, a pharmacist conducts a chart review targeting the following areas of the patient's current medication regimen: (1) drug therapy gaps or redundancies; (2) drug–drug or drug–disease interactions; (3) adequacy of current doses; (4) adherence concerns (based on participant's response to intake questions about pattern and frequency of medication use); and (5) adverse events. The patient's medication history is also reviewed in order to assess the success of previously tried medications and adequacy of those trials. The outcome of this review is to advise the referring PCP on potential adjustments to the patients' opioid treatment approach, provide feedback on other current pain medications (and possibly psychotropic medications) being prescribed to help the PCP identify therapeutic alternatives to opioid treatment that may best meet the patients' needs, and provide information that can assist the treating PCP in beginning to taper the patient's opioid medication.

# 4.5. Physical Therapy Consultation

During the intake process, patients meet with the PPACT PT to assess current functioning and identify movement adaptations that will help them most realistically begin to increase activity and thus most fully participate in the group coaching sessions. The PT evaluation targets the following areas: (1) history and physical exam, which inform the remaining evaluation components; (2) education regarding biomechanics to assist patients' movement during day-to-day activities; (3) development of a plan for graduated aerobic physical activity; and (4) modifications necessary for the yoga-based adapted movement component of program.

Follow-up evaluations with the PT occur at mid-program and focus on extending patients' progress with graduated aerobic physical activity and yoga-based adapted movement. Progress meeting patients' goals is evaluated, with a focus on helping them reach the next step toward those goals. The PT works with patients who are ready to progress to more advanced forms of the yoga-based adapted movement in order to review body mechanics that ensure safe use of the DVD guided poses.

# 5. MEASUREMENTS AND EVALUATIONS

# 5.2. Primary and Secondary Patient Outcome Measures

This section includes a description of primary and secondary outcomes with appropriate supportive evidence, justification, and validation. Primary and secondary outcomes are measured at the patient level unless otherwise indicated. Table 3 summarizes primary and secondary outcome measures for the study, their source, and designated analytic purpose.

# 5.2.1. Pain Severity and Functioning

The primary outcome measure for the study is the <u>PEGS</u>,<sup>1</sup> a psychometrically validated 4-item version of the short form of the Brief Pain Inventory (BPI-SF).<sup>72</sup> The 4-item PEGS asks patients to report on their average pain severity as well as report on pain-related impairments in functioning in key life domains (general activity, enjoyment of life, and

quality of sleep) on an 11-point Likert scale [0 to 10]. The 3-item PEG has been widely adopted for clinical pain assessment, epidemiological studies, and studies of pain treatment effectiveness<sup>72,73</sup> and found to be more acceptable for use by PCPs and their support staff in the busy everyday clinical practice setting. We used the 4-item version as PCPs in the pilot were interested in to what extent patients' pain was interfering with their sleep..

<u>Distribution of PEGS and PEGS slope.</u> The PEGS questions are measured on a 11-point Likert scale. Question 1 measures pain severity, while the remaining three PEGS questions measure the impact of pain on functioning (general activity, sleep, and enjoyment of life). The sum of these four questions constitutes the study's primary measure of pain (PEGS-overall), while the pain severity item and the sum of three items measuring the impact of pain on functioning form subscales of severity (PEGS-severity) and impact (PEGS-impact). Based on data for KPNW members, the distributions of these scales are unimodal, centered at about the midpoint of the scale, and reasonably symmetric. The primary outcome variable for PPACT will be the slope of PEGS-overall on time (measured over the 12 months following study enrollment). Table 2 provides summary information for these measures for KPNW patients. The distributions for all three slopes are symmetrically distributed about 0. We also used these slopes to calculate intra-class correlation (ICC) estimates using the patient's paneled PCP as the cluster-level variable (mimicking the planned cluster structure for the main study). The resulting ICC was 0.0013.

# 5.2.2. Use of Opioids and Other Medications

An important secondary outcome for this study is the level of opioid medication used by participants as measured by their daily morphine equivalents of short-acting (U.S. Drug Enforcement Agency Schedule II or Non-Schedule II) or long-acting opioids. <u>Morphine equivalents per dispensing (MEDs</u>) is calculated by first multiplying the quantity dispensed times the milligram strength per dosage unit dispensed, times the opioid-specific morphine equivalents conversion factor.<sup>74,75</sup> Next, total daily morphine equivalents are calculated by dividing the total morphine equivalents per dispensing by the days' supply dispensed. Finally, daily morphine equivalents are applied across the corresponding days. If an individual had opioids available from multiple dispensings on the same day, morphine equivalents are summed for that day. This method of calculating MED has been widely used and applied to EHR data. <sup>181;182</sup>

Benzodiazepine and opioid polydrug use is common and of increasing concern to our clinical delivery systems because of potential adverse events. Research suggests that benzodiazepines and opioids alter the pharmacokinetic effects of one another and that benzodiazepines may increase the rewarding and reinforcing effects of opioids, thereby placing patients at an increased risk for abuse.<sup>76-78</sup> Because our health plans have prioritized reducing benzodiazepine and opioid polydrug prescribing and identifying appropriate nonpharmacotherapy treatment to support patients with such use, receipt of benzodiazepines is a secondary outcome we will track in the study.

# 5.2.3. Utilization of Health Care Services

Planned secondary outcome analyses include examination of the utilization of health care services of specific relevance for the PPACT target patient population that are hypothesized to be reduced for those randomized to the intervention when compared to their usual care counterparts. These utilization variables include both <u>aggregated</u> <u>and disaggregated primary care contacts</u> (outpatient visits, e-mail contacts, telephone contacts), use of specialty pain services (including physiatry, pain medicine, physical therapy, and occupational therapy services), <u>inpatient services</u> <u>related to the participant's pain condition</u> (e.g., surgeries, implementation of pain-related devices), and <u>overall</u> <u>outpatient utilization</u>. Utilization of other health services for which directional hypotheses are not indicated but for which data will be collected include the receipt of acupuncture or chiropractic care for pain reimbursed by the health plan. Resource and time permitting, analyses will be conducted with these additional secondary health service utilization variables. Validation of these utilization variables is reviewed in section 7.1 above.

# 5.2.4. Other Secondary Outcomes

While <u>patient satisfaction</u> information is routinely collected by the health plan in each of the KP regions participating in PPACT, each region uses its own distinct survey. That information is not sampled consistently and frequently among our target population, nor is it necessarily available in a manner that can be linked to a KP member's record,

given the anonymous format used to collect the information in both KPGA and KPH. Accordingly, we worked with our regional stakeholders to identify the critical patient satisfaction questions that they believed to be of importance in evaluating the intervention. These included two questions assessing patients' satisfaction with their primary care services as well as their satisfaction with overall pain-related services provided by the health plan. The questions assess satisfaction over the past three months on a 5-point Likert scale ("very dissatisfied" to "very satisfied"). Because satisfaction measures are not routinely collected in the EHR, these data will be collected directly by study personnel and stored apart from the patients' EHR. The data will be collected twice: at the time the patient initially enrolls in the study and at 6 months after enrollment, when those randomized to the intervention condition will have completed the study intervention.

## 5.2.5. Clinical Covariates

Patient-level clinical covariates that are extracted from either the EHR or other existing clinical information systems include demographics (age, gender, race/ethnicity) and diagnostic variables that are important in categorizing the patient population, including the presence of concomitant psychiatric diagnoses, evidence of substance use disorder history, and number of pain disorder conditions. These variables are be extracted from the EHR for the six months preceding study enrollment for each participant to better characterize the patient as he/she enters the study.

#### 5.3. Quantitative Data Collection Schedule

Table 2 lists quantitative data collection measures and procedures and indicates the schedule for data collection.

Table 2. PPACT Outcome Varial	oles							
			Schedule	e of A	ssessn	nent		
			Up to 12 months		Stu	idy Mo	onth	
Measure							9	12
Patient-Reported Outcomes								
PEGS	Primary outcome	Study survey		✓	✓	~	~	~
Roland Morris Disability Questionnaire	Secondary outcome	Study survey		~	✓	~	~	~
Patient Satisfaction Survey	Secondary outcome	Study survey		<b>√</b>		~		
<b>Medication-Related Outcomes</b>								
Opioids dispensed	Secondary outcome EHR		•					→
Benzodiazepines dispensed	Secondary outcome	EHR	•					→
Health Service Utilization								
Primary care utilization (outpatient visits, emails, telephone contacts and total)	Secondary outcome	EHR	•					→
Emergency and urgent care services	Secondary outcome	EHR	•					→
Use of specialty pain services (physiatry, pain clinic, physical and occupational therapy)	Secondary outcome	EHR						→
Overall outpatient service utilization	Secondary outcome	EHR	•					•
Inpatient services related to pain condition	Secondary outcome	EHR						-

#### 5.4. Supporting the Clinical Collection of Patient Reported Outcomes

To ensure adequate PEGS data for primary outcome analyses, additional processes are in place to ensure quarterly PEGS data availability for all enrolled patients. This approach extends the current clinical data collection processes. Every quarter, a message is sent to PPACT patients asking them to complete the PEGS via the **kp.org** web-based patient health record system. One week after the message is sent to the PPACT patients, local analysts extract a data file of all patients' PEGS data. The lead analyst uploads the non-completers to the KP Message Center, an automated calling vendor service that the study uses to conduct the PEGS over the telephone. This service also allows for a message to be left; the patient can call back at a later date and still do the brief automated interview. Five days after the KP Message Center pushes out their automated phone calls, the results from those interviews is extracted and a list of PEGS non-completers is again generated and uploaded into the tracking system. In the last step of this three-phase process, a medical assistant will call the non-completers to attempt to conduct the brief interview with a live person. This multi-step process takes advantage of what data is already captured via the individual regions' clinical processes while still trying to get as much data as possible in a cost-effective manner.

## 5.5. Process Evaluation

The PPACT process evaluation assesses *fidelity* of intervention delivery (the extent to which the intervention is delivered as intended), the intervention *dose* (how much of the intended intervention is delivered), and the *reach* to the groups targeted by the intervention (the proportion of intended recipients who actually participate in an intervention) using the RE-AIM framework as a guide.<sup>79,80</sup>

For the study's formative evaluation framework, we use PRISM,<sup>81</sup> created to complement RE-AIM and focused on delineating criteria for successful implementation of interventions in health systems. Further, the structure, staffing, and analysis of formative evaluation data is guided by the Rapid Assessment Process (RAP),<sup>82,83</sup> which employs ethnographic assessment by teams to gather and analyze information quickly to build an evolving understanding of conditions related to a planned or existing intervention. Data used for the process evaluation include: journal entries compiled by the study team (to document the conversations, current practices, and PPACT-related concerns that arise in the course of their interactions with stakeholders, project staff and teams) as well as patient surveys and telephone interviews with patients, clinicians and operational leaders. As part of RAP, the qualitative team meets regularly to review data collection and incremental data analyses to compile an emerging picture of the progress of the intervention, and the results of these analyses become part of debriefing meetings and progress reports to the larger research team.

**Reach** is an individual-level measure reflecting the percentage and characteristics of persons who receive or are affected by a program—in this case, patients and PCPs. For patients, the project uses EHR data to examine:

- 1. The percentage of patients excluded from the trial and the rationale for exclusion (diagnostic criteria, patient availability, level of pain)
- 2. The percentage of patients who participate in the program based on the denominator of all patients who were approached for participation in each health plan, as well as all potentially eligible patients in the health plan regardless of whether or not they were approached for participation
- 3. The characteristics of participating patients compared to non-participating patients in the health plan (both those refusing participation, and those never approached for recruitment)

To describe the reach as it applies to participating PCPs, the project uses health plan administrative data to examine:

- 1. The percentage of PCPs who participate in the program based on the denominator of all PCPs approached for participation in the health plan, as well as all potentially eligible PCPs in the health plan regardless of whether or not they were approach for participation.
- 2. The characteristics of participating PCPs compared to those of non-participating PCPs (both those declining participation as well as those never approached for participation).

3. In addition to the quantitative data described above, the qualitative data collected as part of the formative evaluation is critical for understanding the reach and recruitment findings.

**Effectiveness** comprises individual-level measures focused on the impact of the intervention on important outcomes. This includes the following:

- 1. Broader outcomes of importance include patient satisfaction, shifts in patient utilization of health services and medication use, and overall intervention cost and potential cost offset associated with the program.
- 2. Resource and time permitting, the team may conduct exploratory analyses examining the robustness of the intervention across patient subgroups (e.g., gender, age, ethnicities, pain type(s), comorbid conditions).
- 3. Short-term attrition in the intervention will be examined as well as differential rates by patient characteristics such as those described above.

In addition to the quantitative data described above, the qualitative data collected as part of the formative evaluation will better allow the study team to best understand the reach and recruitment findings.

**Adoption.** No primary care clinics in any of the participating health plan regions have declined to participate, nor has the study team identified conditions that would restrict a given clinic from participating. However, the team will continue to monitor adoption as the intervention is more broadly rolled out in each of the regions, identifying clinics who do not participate and the reason for non-participation. The study team will also compare the characteristics (e.g., size, location type, demographics of patients served) of those clinics who participate compared to those who don't.

Implementation is assessed both at the individual and organization level. Each is described in turn below.

<u>Individual implementation adherence</u>. Individual implementation measures the adherence of patients to the intended intervention level as measured through attendance at intervention-related sessions (assessment intake evaluations and reassessments, group sessions, scheduled telephone contacts) and completion of intended home practice. As each intervention visit is scheduled using a PPACT program-specific identity code and visit type, this can be easily extracted from the EHR to evaluate degree of implementation.

<u>Organization implementation adherence</u>. Organization implementation measures how closely the intervention staff follow the intended intervention program as well as the consistency of the program over time. To examine intervention staff's adherence to the intervention protocol, all intervention individual and group sessions are digitally recorded, and sections of these tapes are reviewed in the supervision sessions (see section 8.1) to ensure that study procedures are closely followed. Remedial training is provided for any clinicians who deviate from the established protocol. Treatment adherence and therapist competence ratings are obtained as part of the supervision process. Treatment adherence refers to the extent to which a therapist uses the interventions prescribed by the protocol. Protocol adherence criteria are used for each session, with satisfactory adherence defined as 90% or more of the maximum possible score on the adherence rating scale. Ratings of therapists' competence in delivering the interventions is used to evaluate digital recordings of the sessions reviewed in supervision sessions.<sup>84</sup>

**Maintenance** is measured at the organization level, evaluating the extent to which the intervention program becomes part of the routine organizational practices and policies in a given primary care clinic and across participating regions. The team will interview operational leaders in each region regarding the sustainability of the intervention and fit with organizational priorities.

# 6. STUDY INTERVENTION STAFF TRAINING AND SUPERVISION

All core PPACT interventionists (behavioral specialists, nurse care managers, physical therapists, and pharmacists) receive training prior to conducting treatment sessions with study participants. The initial training consists of a 3-day didactic and experiential course conducted by Drs. Keefe, DeBar, and Benes with participation from the KPNW PT (Gabriel), and pharmacist (Thorsness).

Interventionists are provided with a detailed outline of the intake and reassessment process as well as detailed outlines for each group treatment session, and the treatment strategies taught through didactic instruction, taped illustrations of techniques from model cases, and role-play of common scenarios. All instruction sessions are videotaped for reference and/or education of new interventionists and retraining as need.

Procedures to ensure consistency of treatment. To ensure that the interventionists consistently follow the appropriate treatment protocol, (1) the interventionists follow a detailed intervention manual, (2) telephone-based supervision sessions are conducted with all interventionists, (3) each treatment session is audiotaped to provide opportunities for review during the weekly supervision meetings, where study investigators give feedback on interventionists' performance, and (4) ratings of treatment adherence are conducted. Protocol adherence criteria have been developed for each session with satisfactory adherence defined as 90% or more of the maximum possible score on the adherence rating scale. Ratings of interventionists' competence in delivering the intervention<sup>85</sup> are used to evaluate 10% of the sessions. Sessions to be evaluated are randomly selected. However, the intensity of supervision will be decreased over the course of the trial as PPACT providers gain more experience delivering the intervention mimicking the way supervision is often provided in everyday care settings. We anticipate supervision occurring weekly for the first four months, bi-weekly for the next four months, and monthly thereafter. In addition, we have planned for annual "booster" training to ensure that any new staff are fully trained and to refresh skills among interventionists. We considered carefully the intensity and frequency of training and supervision that is most appropriate for this intervention. While some simple and most systems-level interventions in pragmatic trials may call for little in the way of specific training for implementing the protocol nor systematic review of practitioner efforts,<sup>86</sup> the complicated problems of CP-LOT patients call for more systematic training and clinical supervision. This level of oversight is consistent with what regularly occurs in clinical settings. Importantly, many CP-LOT patients have had many treatment failures, due in part to the fragmented nature of their care; our approach is designed to address this with strong initial support and training for the interventionists working with these patients. While the increasing reliance in health care on less highly specialized and trained providers (e.g., nurse care managers, masters-level behavioral specialists) represents an exciting new direction for behavioral science in ensuring the sustainability of evidence-based interventions in everyday practice settings, it is imperative to determine the level of training and supervision necessary to ensure that the treatment is both effective for patients and feasible for providers.

# 7. SAFETY REPORTING AND MONITORING

## 7.1. Adverse Events and Serious Adverse Events

NIH guidelines indicate that an adverse event is any untoward medical occurrence in a study participant. We have operationally defined a serious adverse event as a death or hospitalization during a patient's participation in the trial. Because patients with chronic pain are anticipated to have fluctuating physical and emotional symptoms as part of the natural course of their condition (and would be expected to occur regardless of patients' enrollment in the trial), such symptoms will not be systematically monitored as part of the trial. However, because the intervention is embedded directly in the primary care clinics and conducted in partnership with participating patients' PCPs, in the event that a patient's symptoms significantly worsen during the intervention, their PCP will be immediately contacted by a PPACT intervention team member and their PCP will work with the patient to identify and provide appropriate care. This is consistent with the standard of care provided at KP.

## 7.2. Data Safety Monitoring Plan (DSMP)

As the intervention aspect of this study is based on best available evidence and constitutes a reorganization of currently available clinical services, we do not foresee any new risks above and beyond standard clinical care. Nonetheless, patients with complex chronic pain conditions are vulnerable to clinical outcomes that constitute serious adverse events, and while such events are unlikely to occur as a consequence of study participation, we are obliged to investigate and respond appropriately to these events. Consequently, we will implement a safety monitoring plan based on those used successfully in other, similar interventions. This plan involves EHR monitoring of all study participants every 6 months to assess the rate of death and hospitalization. Given the minimal risk posed by the study, however, we do not propose formal safety stopping rules and hence do not plan to conduct formal statistical analyses comparing these rates between treatment arms. In addition to calculating overall rates of occurrence of these events, an independent KP clinician in each region will conduct chart reviews of all deaths of intervention participants to identify any connection to study participation. All potential study-related deaths will be promptly reported to each of our IRBs and to our NINDS Project Officer. Because the number of hospitalizations in this group may be high and the study poses only minimal risk, we do not plan to chart-review hospitalizations as a matter of course. However, if our reports suggest a possible increased risk of hospitalizations associated with the intervention, we will work with our monitoring groups to develop a plan to do chart reviews on all or a subset of hospitalizations. All of this information will be reviewed by experienced clinicians on the investigative team and by an NINDS-appointed independent monitor.

# 8. STATISTICAL METHODS

## 8.1. Sample Size and Power

We calculated power using the PASS software program, which applies the formulas from Donner and Klar<sup>87</sup> and assumes a simple ANOVA framework with no covariate adjustment. Based on direct estimates of the intraclass correlation coefficient (ICC) of PEG slopes clustered within provider groups that we derived from historical data from the KPNW region, we estimate the ICC to be .0013. In the calculations presented below we conservatively use ICCs of .002, .005 and .01. From the literature, we also expect standardized effect sizes to range from .022 to 0.54,<sup>88-92</sup> and therefore conservatively calculated power for effect sizes ranging from .16 to .24 standard deviation units (SDUs).

Our initial study design nominally called for 120 total clusters of 10 patients each. In practice, however, we randomized 106 PCP clusters, and cluster sizes varied from 3-13, with a mean of 8 and interquartile range of 6-10. As seen in Table 3, we constructed our power calculations to accommodate the possibility of such smaller cluster sizes. With the likely ICC of .002 and 106 clusters with an average cluster size of 8, we should have 93% to detect a standard effect size of 0.24 and 88% power to detect an effect size of 0.22.

Table 3. Powe	Table 3. Power for detecting given effect sizes under various design scenarios															
		ICC=.002						ICC=.005				ICC=.01				
Number of Clusters	Effect Size (in SDUs)				Effect Size (in SDUs)				Effect Size (in SDUs)							
clusters	per Cluster	.16	.18	.20	.22	.24	.16	.18	.20	.22	.24	.16	.18	.20	.22	.24
120	8	68%	78%	86%	92%	96%	68%	78%	86%	91%	95%	66%	76%	84%	90%	95%
106	8	63%	73%	82%	88%	93%	62%	72%	81%	88%	93%	61%	71%	80%	87%	92%

#### 8.2. Randomization

Given the lagged nature of the intervention rollout, even within a given clinic, it is necessary to randomize all providers in a given clinic at the outset of intervention activities in that clinic. However, the assignments are not revealed to either patients or providers until all of the patients for a given PCP have been recruited. To preserve blinding, recruitment staff are totally distinct from the intervention staff and remain blinded during the entire course of the study as they collect follow-up assessments.

#### 8.3. Dropout and Withdrawal

<u>Primary Care Provider</u>. If an enrolled PCP leaves their KP practice, changes clinics, or asks to withdraw from the study, every effort will be made to collect process data from the PCP before their departure and to document the reason for leaving. We will continue to collect data on the provider's enrolled patients and will analyze them according to the group to which they were originally assigned (i.e., per intention to treat, ITT).

<u>Patients</u>. If an enrolled patient discontinues KP coverage or changes providers during the study, we will continue to collect data on them and will analyze them according to the group to which they were originally assigned. Such patients who are in clusters randomized to the intervention arm of the study will continue to be offered individual or telephone contact with the study interventionists throughout the time that their enrolled cohort is in the active phase of the intervention so as to provide as much therapeutic benefit to these patients as is possible.

We will document the extent to which either of the above events occurs and will compare the frequency of such occurrences between intervention and control participants.

#### 8.4. Quantitative Data Methods and Analysis

The following analytic framework will be used for our primary and secondary outcome analyses. All analyses will be performed using an intention-to-treat framework, and tests will be evaluated at a two-tailed alpha level of .05. Because of the nested structure of the data (observations nested within patients nested within provider groups), we will use a three-level hierarchical linear model (HLM: mixed models, random effects regression, and multilevel models) to account for the intraclass correlation that results from the nesting.<sup>93-95</sup> An advantage of multilevel modeling is that unlike repeated measures analysis of variance, it does not require the same number of data points from all patients, thus all patients with at least a baseline measure can be included in the analysis. The first level of the model will include time as a predictor (five timepoints, representing the number of weeks since baseline), thus modeling the within-person trajectories across time. We will use two parameters (linear and quadratic slope) to characterize change across time, with linear slope capturing initial rate of change and quadratic slope reflecting the degree to which the change slowed (or increased) over time. The second level of the model may include patient-level covariates as predictors of the baseline PEGS score and the slope parameters for time. Randomization is expected to balance most potential patient-level covariates, however, in the case of remaining residual imbalances, covariates will be included in the model. These may include variables such as substance use problems/history, number of pain conditions and type, and other comorbid medical and mental health conditions. The third level will include a dummy variable for arm as the predictor of the patient-level intercept and slope parameters for time. A significant coefficient for arm on the slope(s) of time would indicate that there are different trajectories across time for each arm. A pattern in which those in PPACT demonstrate a greater reduction in pain impact over time than those in the usual care arm would provide support for the effectiveness of PPACT. We will use the same analytical framework for the RMDQ. Because there are only two timepoints available for satisfaction, we will be limited to a two-level model of the difference scores between 6 months and baseline of patients nested within provider groups.

#### Level-1 Model

 $Y_{tij} = \pi_{0ij} + \pi_{1ij}^* (LIN_TIME_{tij}) + \pi_{2ij}^* (QUAD_TIME_{tij}) + e_{tij}$ 

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Level-2 Model

\pi_{0ij} = \beta_{00j} + \beta_{01j} * (Patient\_covariates_{ij}) + r_{0ij}

\pi_{1ij} = \beta_{10j} + \beta_{11j} * (Patient\_covariates_{ij}) + r_{1ij}

\pi_{2ij} = \beta_{20j} + \beta_{21j} * (Patient\_covariates_{ij}) + r_{2ij}

Level-3 Model

\beta_{00j} = \gamma_{000} + \gamma_{001}(ARM_j) + u_{00j}

\beta_{01j} = \gamma_{010}

\beta_{10j} = \gamma_{100} + \gamma_{101}(ARM_j) + u_{10j}

\beta_{11j} = \gamma_{110}

\beta_{20j} = \gamma_{200} + \gamma_{201}(ARM_j) + u_{20j}

\beta_{21j} = \gamma_{210}
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where:  $Y_{tij}$  is the outcome for person i under provider j at time t,  $\pi$  are level 1 (occasion) regression coefficients,  $e_{tij}$  is the random error associated with person i under provider cluster j at time t, Lin\_Time is the number of weeks since baseline and Quad\_Time is the number of weeks since baseline squared,  $\beta$  are level 2 (patient) regression coefficients, r are level 2 random effects,  $\gamma$  are level 3 (provider cluster) regression coefficients, u are level 3 random effects, and arm is an indicator variable.

Hierarchical generalized linear modeling (HGLM) will be used to test the secondary outcomes: opioids dispensed, pain treatment and diagnostic procedures, emergency/urgent care visits, primary care visits, and specialty care visits over 12 months. These will be two-level models, with patients forming the first level of the model and clinics the second level. Patient-level covariates will be included in the first level, and PPACT versus a usual-care dummy variable will form the second level of the model. This will allow us to test whether the secondary outcomes differ for the two groups, controlling for differences in patient characteristics. Because the utilization variables are likely to follow non-

normal distributions, we will use Poisson, Negative Binomial, or Gamma distributions as appropriate for the distribution of each secondary outcome variable.

Level-1 Model  $\eta_{ij} = \beta_{0j} + \beta_{1j}^*$ (Patient\_covariates<sub>ij</sub>)

Level-2 Model

 $\beta_{0j} = \gamma_{00} + \gamma_{01} * (ARM_j) + u_{0j}$   $\beta_{1j} = \gamma_{10}$ 

where:  $\eta_{ij}$  is the outcome defined by the identity link (log) and distribution (gamma, Poisson, or Negative Binomial),  $\beta$  are level 1 (person) regression coefficients,  $\gamma$  are level 2 (provider cluster) regression coefficients, u is the level 2 random effect for the level 1 intercept, and arm is an indicator variable.

# 8.5. Economic Data Methods and Analysis

In our economic analysis of the PPACT intervention, we will assess the resources and costs necessary to deliver the PPACT intervention in routine clinical practice, and the cost-effectiveness of the PPACT intervention compared with usual care. Costs will be reported at three levels: 1) costs related to the intervention delivery, 2) medical care costs related to pain control, and 3) the total cost of medical care. Intervention costs will be estimated using EHR data, supplemented with data collected directly from intervention team staff. A sampling of clinical visits and interviews with intervention delivery staff will be used to determine the time needed to deliver the intervention. We will also consider costs related to the administration of the PPACT intervention in practice, including project management, training, and additional team meetings.

Using EHR data, we will aggregate medical care events related to pain control and total costs at meaningful levels in order to demonstrate how the intervention impacts medical care resource use, specifically inpatient stays, outpatient procedures, clinic visits, and pharmacy dispenses. We will identify medical care utilization events that are related to pain control and the intervention using ICD-9CM, ICD-10CM, and CPT codes. To facilitate costing, we will examine the number and type of health care encounters participants receive over the course of their 12-month participation in the study. We will capture inpatient stays by extracting the information in the discharge abstract, including ICD-9 and ICD-10 codes and procedures and length-of-stay information necessary to cost the event.

Medical care utilization events will be analyzed using mixed effects Poisson regression analysis, with primary care provider cluster as a random effects factor and follow-up time as an offset variable. We will estimate quantities of medical care events (i.e., inpatient stays, outpatient procedures, clinic visits, and pharmacy dispenses) using separate regression models.

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## Appendix D

## Data and Safety Monitoring Plan (DSMP)

# (consistent with NCCAM guidelines for Minimal Risk Studies requiring an

### Independent Monitor)

#### I. Study Identification Information

- A. <u>NIH Study Number</u> UH2AT007788-01
- B. <u>Study Title</u> Collaborative Care for Chronic Pain in Primary Care (PPACT)
- C. Name of Principal Investigator (PI) Lynn L DeBar, PhD MPH

### **II. Study Overview**

- A. <u>Brief Description of the Purpose of the Study</u> This randomized controlled trial aims to test the hypothesis that coordinating interdisciplinary pain management related services within the primary care setting will reduce patient's pain symptoms and pain-related functional impairment as well as increase their satisfaction with services. Secondarily, these coordinated services are anticipated to have an impact on overall health care service use and provide alternatives to high dose opioid treatments. Because the intervention constitutes a reorganization of currently available clinical services, we do not foresee any new risks above and beyond standard clinical care. Because of this low risk status, the Data Safety Monitoring Plan (DSMP) for this study focuses on close monitoring by the PI in conjunction with experienced clinicians on the investigative team (Drs. DeBar, DeGraffenreid, Honda, Deyo, Keefe, and Kindler), our advisory panels in each participating Kaiser Permanente region, and by an NINDS-appointed Independent Monitor. Adverse events will be reported to the NIH/NINDS Program Officer and to the IRBs in each of the participating Kaiser Permanente Regions (Georgia, Hawaii, Northwest).
- B. <u>Adherence Statement</u> The Data Safety Monitoring Plan (DSMP) outlined below for UH2AT007788-01 will adhere to the protocol approved by the KP-Georgia, KP-Hawaii, and KP-Northwest IRBs.

### **III.** Confidentiality

A. <u>Protection of Subject Privacy</u> – Because most data collected for the pragmatic trial will be extracted from health plan clinical and administrative records, study collected data are limited to Brief Pain Inventory-Short Form (BPI-SF) data collection supported by the study, patient satisfaction with pain services, audio-tapes of intervention sessions (intervention only), and patient interview data for those participating in the formative research. All participants participating in the trial will be verbally consented and those patients with paneled PCPs randomized to the intervention will be asked to sign a release of information to allow audiotaping of intervention sessions for interventionist's supervisory review. This was acceptable to patient participants during the pilot phase of the study but for groups in which

individuals refuse to sign a release of information recordings will not be made. Finally, for patients agreeing to participate in formative evaluation interviews, verbal informed consent will be obtained separately for these interviews. BPI-SF data collection supports the routine clinical collection of this measure in each of our regions and as such is entered directly into patients' electronic health record (EHR) consistent with the clinical collection of all patient reported outcome (PRO) data. Satisfaction and interview data is collected strictly for research purposes and, as such, this data will be kept in strict confidence. Research information will not be given to anyone outside the study team without permission from the subject. Our KP IRBs have approved a waiver of signed informed consent and an alteration of privacy rule authorization (HIPAA) (no signature) based on our agreement that all prospective patients receive an informational letter that includes the elements of informed consent and that when a study interviewer obtains oral consent from a prospective study participant over the telephone, the interviewer will indicate that each element of informed consent and HIPAA privacy guidelines/study use of health data have been reviewed with the KP member by checking the requisite element within the patient record in the study electronic tracking portion of the pragmatic trial. Confidentiality is assured by use of identification codes. All data, whether collected from the participants' EHR or generated directly from patient report, will be identified with a randomly generated identification code unique to the subject.

B. <u>Database Protection</u> – Data for all participants will be kept strictly confidential, except as mandated by law. All research files are kept in locked file cabinets or a locked file room or on a password-protected, study-specific file service. All electronic records are stored within multiple layers of password protection with automatic "time-outs" for terminals left unattended. Admission to this system is strictly controlled with access limited according to the information a particular person needs to be able to view to carry out their job. Each time a record is accessed, it is logged and employees are monitored for evidence of inappropriate access (e.g. viewing records of family members or other employees), and inappropriate access or disclosure are grounds for disciplinary action including termination of employment. Participants will be assigned a non-meaningful numerical code for identification in the files. Names and other identifiers will be kept in separate locked files. Statistical analyses will be performed on aggregate-level data; participants are never individually named. All audio-recorded assessments are stored as digitized, password-protected computer files, and never played for anyone who is not an authorized member of the research team. All computerized data will be kept on the secured computers or networks at each site. These data will be accessible only to research staff, using confidential usernames and passwords. Digital audio recordings of interviews and intervention sessions are digitally encrypted files protected by a secure password and are stored on computer network drives to which access is limited by passwords and access rights granted only to a very few authorized staff. The digital recordings are identified only by a nonmeaningful research ID (001, 002, etc.) and do not include any identifying information. Only authorized research staff review these research audio-recordings, files, or data, and then only for the purposes of rating staff adherence to the intervention and/or assessment protocols. Transfer of these recordings to Dr. Keefe at Duke University Medical Center for the purpose of review and supervision are done via secure file transfer procedures and have been reviewed and approved by our research centers HIPAA compliance committees. These procedures have been approved by the Kaiser Permanente Institutional Review Board to ensure that they meet standards for the protection of human subjects. All study staff are required to complete training regarding principles and procedures for protecting the confidentiality of health information.

C. <u>Confidentiality during SAE Reporting</u> – SAE reports and annual summaries will not include subjectidentifiable material. Each will include the-identification code only.

### **IV. Adverse Event Information**

- A. <u>Definition</u> NIH guidelines indicate that an adverse event (AE) is any untoward medical occurrence in a study participant. We are operationally defining a serious adverse event (SAE) as a death or hospitalization during a patient's participation in the trial. Because patients with chronic pain are anticipated to have fluctuating physical and emotional symptoms as part of the natural course of their condition (and would be expected to occur regardless of patients' enrollment in the trial), such symptoms will not be systematically monitored as part of the trial. However, because the intervention is embedded directly in the primary care clinics and conducted in partnership with participating patients' PCPs, in the event that a patient's symptoms significantly worsen during the intervention, their PCP will be immediately contacted by a PPACT intervention team member and their PCP will work with the patient to identify and provide appropriate care. This is consistent with the standard of care provided at KP.
- B. <u>Classification of AE Severity</u> As noted above, the study does not plan to systematically monitor fluctuating physical and emotional symptoms (AEs) as substantive fluctuation in such symptoms is expected as the natural course for patients with chronic pain.
- C. <u>AE/SAE Attribution Scale</u> AEs will not be systematically monitored as noted above. Further, it would be very difficult to discern the likelihood that fluctuation in physical and emotional symptoms could be related to the study given the fluctuating nature of such symptoms as part of the natural course of chronic pain. SAEs will be categorized according to the likelihood that they are related to the study intervention. Specifically, they will be labeled either definitely, probably, possibly or unrelated to the study intervention. In addition to calculating overall rates of occurrence of SAEs (hospitalizations and deaths), an independent KP clinician in each region will conduct chart reviews of all deaths of intervention participants to identify any connection to study poses only minimal risk, we do not plan to chart-review hospitalizations as a matter of course. However, if our reports suggest a possible increased risk of hospitalizations associated with the intervention, we will work with our monitoring groups to develop a plan to do chart reviews on al or a subset of hospitalizations.
- D. Expected Risks The study poses minimal risks to participants as each component of the PPACT intervention is currently available to KP members as separate resources within the healthcare systems. Risks that do exist fall into four categories: (1) risks associated with collection of clinical data, (2) risks associated with the potential loss of confidentiality, (3) risks associated with the intervention procedures, and (4) risks of worsening mental or emotional state. These risks are considered to be minimal and are addressed in the protocol and consent process. The risks associated with collection of clinical data and potential loss of confidentiality and study procedures to minimize such risks are reviewed in section III A & B above. Risks associated with the intervention procedures and those related worsening mental or emotional state are reviewed in turn below.

<u>Intervention Procedures</u>. The intervention program consists of individual sessions, group sessions, and telephone contacts, all with trained interventionists. All elements of this

intervention have been used by our team in the pilot and other investigators without adverse effects in studies with patients with chronic pain and other health conditions. Importantly, all included intervention elements are used in everyday clinical care; what is unique about this study is our means of coordinating such services and providing more systematic training and supervision for those delivering the intervention. All staff who will be conducting the PPACT intervention sessions with patients in the intervention arm of the study will be thoroughly trained and supervised by study investigators and consultants. Each of these individuals has considerable experience training and supervising staff who have conducted similar interventions. Because all parts of this intervention have been used with chronic pain patients in other settings without incident, the risks of participation are expected to be minimal. Finally, because the intervention is embedded directly in the primary care clinics and conducted in partnership with participating patients' PCPs, in the event that a patient's symptoms significantly worsen during the intervention, their PCP will be immediately contacted by a PPACT intervention team member and their PCP will work with the patient to identify and provide appropriate care. This is consistent with the standard of care provided at KP.

Risks associated Worsening Mental or Emotional State. Some enrolled participants will have worsening pain and/or other physical or emotional problems during the study period. However, these are risks inherent in the population and would occur regardless of study enrollment. We do not expect that the risk of adverse outcomes is heightened as a function of being enrolled in the study; however, as noted above, because the intervention is embedded directly in the primary care clinics and conducted in partnership with participating patients' PCPs, in the event that a patient's symptoms significantly worsen during the intervention, their PCP will be immediately contacted by a PPACT intervention team member and their PCP will work with the patient to identify and provide appropriate care. This is consistent with the standard of care provided at KP. Participants in the PPACT program are free to withdraw from the program at any time with no consequence to their health care. A proportion of patients participating in the PPACT intervention are likely to have concomitant psychiatric disorders and part of the planned clinical evaluation for PPACT program participants includes assessment for depression and anxiety. As such PPACT team members will be providing clinically pertinent information to participating patients' PCPs and other staff in the primary care clinic through the treatment plan resulting from the intake evaluation including elevated scores on depression or anxiety scales meriting a clinical response by the PCP. If the detected problem is imminent and of crisis status, PPACT staff will take appropriate immediate action, including escorting and/or providing medical transportation for these individuals to an emergency room consistent with clinical practice standards in the health plans.

### E. SAE Reporting

SAEs that are unanticipated, serious, and possibly related to the study intervention will be reported to the Independent Monitor, KP Regional (Georgia, Hawaii, Northwest) IRBs, and NIH in accordance with requirements. Anticipated SAEs or those unrelated to the study intervention will be reported to the same individuals/entities in accordance with requirements.

- Unexpected, serious, and <u>study-related</u> SAEs will be reported to the Independent Monitor, KP Regional (Georgia, Hawaii, Northwest) IRBs, and NIH as appropriate within at least 15 calendar days of assessment-related identification.
- Anticipated or SAE's that are not study-related will be reported to the Independent Monitor, KP Regional (Georgia, Hawaii, Northwest) IRBs, and NIH annually. In the annual SAE

summary, the Independent Monitor will verify that they have reviewed all adverse event reports.

## V. Data Quality and Safety Review Plan and Monitoring

The PI will provide the Report containing the recommendations and comments of data and safety monitoring reviews to the NIH/NINDS within one month of each monitoring review for the project

- A. Data Quality and Management
  - 1) Description of Plan for Data Quality and Management The PI, or study staff, will review all data collection forms on an ongoing basis for data completeness and accuracy as well as protocol compliance. Quality control procedures are pre-programmed depending on the technology. These include programmed skip patterns, range checks, miscodes for multiple choice items and logic checks comparing data across fields and forms. Data are entered at the remote sites (KPH and KPGA) directly into to the central database at CHR-NW via a web-based system. Double data entry verification is used if needed to ensure accurate data entry. Data are received from sites in electronic format specific to the technologies and systems in place at each institution. The CHR-NW center merges newly received data with the accumulated central database maintained on the center's server. Database quality control performed at CHR-NW includes range checks, inter-item checks, cross-table checks, and missing, incorrect, or questionable values. CHR-NW generates queries for the other KP regions regarding data issues and quality. Query edit reports with the necessary patient identifying information and problem values are posted to the secure web-based system for the regional review. Corrected values are entered and checked again for consistency with other items. The goals are to make quality control a continuous process, to make the turnaround time between error detection and correction as short as possible, and to document any changes made to the database. A statement reflecting the results of the review will be sent to the NIH/NCCAM in the annual report (non-competing continuation).
  - 2) <u>Frequency of Review</u> We will monitor all participants' EHR every six months to assess the rate of death and hospitalization. Review and reporting frequency for all relevant data are indicated in the table below.

Data type	Frequency of review	Reviewer
Subject accrual (adherence to	Bi-Annually	Principal Investigator, Study
protocol regarding demographics,		Biostatistician, Independent
inclusion/exclusion)		Monitor
Serious Adverse event rates (deaths	Bi-Annually	Principal Investigator, Study
and hospitalizations)		Biostatistician, Independent
		Monitor
Compliance to treatment	Bi-Annually	Principal Investigator, Study
		Biostatistician, Independent
		Monitor

### SAMPLE

- B. Subject Accrual and Compliance
  - Measurement and reporting of subject accrual, adherence to inclusion/exclusion criteria –Review of the rate of subject accrual, adherence to inclusion/exclusion criteria will occur bi-annually during the 40 month recruitment phase. Review will occur at the end of each recruitment cluster wave of approximately 60 patient participants in each region or 180 patient participants total (15% of subject enrollment) to assure that participants meet eligibility criteria and ethnic diversity goals outlined in the grant proposal.
  - 3) Measurement and reporting of participant compliance to treatment protocol Interventionist compliance to the treatment protocol will be monitored by Dr. Keefe through his review of 10% of audiotaped group sessions using the Therapist Adherence Rating Scale. As a pragmatic clinical trial, detected non-compliance to the protocol will be addressed immediately through more intensive supervision of the interventionist(s) with poor adherence to the intervention protocol consistent with how this would occur in everyday clinical care. Patient compliance will be assessed through collection of weekly home practice forms. Compliance data will be reviewed bi-anually by the PI, study biostatistician and independent monitor and if the independent monitor has concerns about whether noncompliance has reached a level that might inhibit the ability of the study to test its primary hypotheses, he/she will suggest a conference call for study investigators to discuss methods for improving compliance. There is no available data on expected compliance to the proposed intervention protocol that can be used to determine a 'trigger point' for this action.
- C. Justification of Sample Size The goal of the study is to determine if coordinating integrated interdisciplinary pain services in the primary care setting results in a great reduction to pain severity and pain-related functional impairment as compared to usual care. The data may be viewed as deriving from a 3-level hierarchical model with repeated observations over time clustered within patients clustered within providers (or in some cases provider groups). Primary interest is on the rate of change of pain scores over time. As our primary analytic model we will use a 2-stage process in which we first compute slopes for each individual in stage 1 and then use these slopes as the dependent variable in a mixed model ANCOVA. The latter model will be used to estimate treatment effects while adjusting for individual variables (including age, race, gender, and baseline pain score) and cluster level variables (including panel size) as fixed effects. Cluster will be specified as a random effect in addition to subject. Analyses will assume an unstructured covariance matrix for the random effects. In our calculations we assumed 120 total clusters. We anticipate cluster sizes of 6-10 patients, with the majority of clusters having 10 patients. For the calculations we have used 8 and 9 patients on average per cluster, which we feel is likely to be slightly conservative, particularly the lower figure. Given these assumptions, power is excellent for detecting standardized effect sizes of .22 or larger, exceeding 93% even with 120 clusters and 9 patients per cluster. Even with 8 patients per cluster on average power exceeds 90% for this effect size. Further, with 120 clusters and an average cluster size of 9 we would have better than 80% power to detect effect sizes of .18 SDUs and better than 88% power to detect effect sizes of .20 SDUs even with an ICC of .01.
- D. <u>Stopping Rules</u> Because of the minimal risk posed by the study, we do not propose formal safety stopping rules and hence do not plan to conduct formal statistical analyses comparing these rates between treatment arms. However, the PI will include an assessment of futility in

the annual progress report to NIH and will consult with the study biostatistician if necessary to assess the impact of significant data loss due to problems in recruitment, retention or data collection.

- E. <u>Designation of an Independent Monitor</u> An independent monitor will be identified by the project officer or colleagues at NINDS to ensure independence from the project and appropriate clinical research expertise for the role.
- E. <u>Safety Review Plan</u> The PI should review the safety and progress of this study on an ongoing basis and should specify how frequently summaries of patient recruitment, retention, and AEs will be provided to the Independent Monitor. An example might be, "Study progress and safety will be reviewed monthly (and more frequently if needed). Progress reports, including patient recruitment, retention/attrition, and AEs will be provided to the Independent Monitor following each of the monthly reviews. An annual report will be compiled and will include a list and summarization of adverse events. In addition, the annual report will address (1) whether adverse event rates are consistent with pre-study assumptions; (2) reason for dropouts from the study; (3) whether all participants met entry criteria; (4) whether continuation of the study is justified on the basis that additional data are needed to accomplish the stated aims of the study; and (5) conditions whereby the study might be terminated prematurely. The annual report will be signed by the Independent Monitor and will be forwarded to the KP Regional (Georgia, Hawaii, Northwest) IRBs and NIH on an annual basis."

## **VI. Informed Consent**

Because of recently raised concerns regarding appropriate patient consenting and pragmatic trials, this project (similar to all the pragmatic trials sponsored under the same FOA) was subject to a special review and subsequent discussion by members of the national Office of Human Research Protection (OHRP), NIH staff from the sponsoring institutes for the Collaboratory Pragmatic Trials Initiative, members of the national Coordinating Center, project representatives and representatives from each of the participating KP regions. The information below reflect the consensus of participants on the call about appropriate human subjects protections for this pragmatic trial.

Patients. We will seek to obtain oral consent and oral review of HIPAA elements from all participants enrolled in the study. In order to do this we have received IRB approval for a waiver of signed informed consent and an alteration of privacy rule authorization (HIPAA) (no signature). When a study interviewer obtains oral consent from a prospective study participant over the telephone, the interviewer will indicate that each element of informed consent and HIPAA privacy guidelines/study use of health data have been reviewed with the KP member by checking the requisite element within the patient record in the study electronic tracking system. This will help ensure that each element of informed consent and HIPAA privacy guidelines/study use of health data have been thoroughly reviewed with all prospective participants. We requested from our IRBs that we be allowed to obtain oral rather than written consent because, as noted previously, intervention activities involve the coordination of clinical care services already available to most KP members (e.g., physical therapy, behavioral services, nurse case management, and pharmacy) and therefore the intervention will likely cause no more risk of harm than what already exists for patients undergoing usual care treatment for chronic pain. Randomization occurs at the level of the PCP (or small groups of PCPs); therefore individual patients are not randomized. Our consenting process with patients is consistent with that used for various clinical interventions used throughout the health plan. We employ an informational brochure akin to a clinical consent (approved

by KPNW IRB and satisfying the criteria of 45 CFR 46:117(c)2 for waiver of written informed consent), followed up by a phone call to verbally reiterate the elements of informed consent and gain oral consent to ensure patients are aware of the potential risks of any particular intervention and alternative care available to them within the health plan as well as our intention to collect personal health information from the electronic medical record for study-related evaluation. Essentially this will let patients know that because the health plan is continuing to improve services for their members including for those members with chronic pain on opioids, KP clinical staff in partnership with the study will be evaluating participants' pain and functioning throughout their participation in the study and this information will be entered into the patients' medical chart to help guide their PCP and other health care providers in the patients' care.

Finally, patients are informed that group sessions may be recorded and shared with supervising staff to evaluate the quality of services the patient is receiving and to help the PPACT providers and the health plan understand how to best improve services for health plan members. A signed release of information will be obtained for the potential recording of groups. Should a patient not be willing to sign the release of information, the group they are assigned to will not be audio recorded.

**Primary Care Providers (PCPs).** PCPs are provided an informational letter, which includes all elements of informed consent. The PCPs response to the study team approving the recruitment of their patients constitutes consent for their participation. This is an appropriate consent procedure given the minimal risk to the PCP posed by the study. Participating providers, regardless of study arm, are free to refer their patients to any pain-related services they deem warranted.

**Supplementary Content: Detailed Information Regarding Analytic Approach** for A Primary Care-Based Cognitive Behavioral Therapy Intervention for Long-Term Opioid Users with Chronic Pain: A Randomized Pragmatic Trial (DeBar LL, Mayhew M, Benes L, et al.)

For the PEGS, a three-level hierarchical linear model (aka multilevel or linear mixed effects model) was used to account for the nesting of repeated observations within patients nested within provider clusters.(1-3) We calculated the intraclass correlation coefficients (ICCs) for each outcome using unconditional models. Originally, a linear trajectory was proposed to describe the change in pain scores over time; (4) however, the pattern of data across time indicated that the time effect was nonlinear. More specifically, the pattern of data demonstrated a "hockey stick" pattern, such that there was a strong effect observed over the treatment phase (baseline to 3-month follow-up; representing the top or angled shaft of the hockey stick) and then a flattening of the effect over the maintenance phase of the study (3- to 12-month follow-up; representing the blade or flat bottom part of the hockey stick). Therefore, we used segmented or piecewise regression models(5-7) for all of the outcomes (except satisfaction, which was limited to a linear model because there were only two timepoints, and the clinically meaningful improvement variables). The first level of the model included two predictors for time; one representing a segment modeling the slope from baseline to 3 months (*ztime1* coded as 0,3,3,3,3) and the other modeling the slope from 3 months to 12 months (*ztime2* coded as 0,0,3,6,9). The pair of values for these two time variables jointly represents the respective timepoints (e.g., [0,0]=baseline, [3,0]=3 months, [3,3]=6 months, [3,6]=9 months, [3,9]=12 months). The second level of the model included random effects for the level 1 intercept and coefficient for the two, time variables (i.e., slope for baseline to 3 months and slope for 3 to 12 months). The third level included study group (Intervention coded as usual care=0, CBT intervention=1) as the predictor of the patient-level intercept and coefficients for time and random effect of the PCP cluster-level intercept. Using standard hierarchical linear modeling notation, (2) the template for the piecewise/segmented mixed model equation is as follows:

 $\begin{aligned} \textit{Outcome} &= \gamma_{000} + \gamma_{001} * \textit{Intervention} + \gamma_{100} * \textit{ztime1} + \gamma_{101} * \textit{Intervention} * \textit{ztime1} + \gamma_{200} \\ &* \textit{ztime2} + \gamma_{201} * \textit{Intervention} * \textit{ztime2} + r_0 + r_1 * \textit{ztime1} + r_2 * \textit{ztime2} + u_{00} + e \end{aligned}$ 

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A significant coefficient for a given product of time by study group would indicate different trajectories or slopes across time for each study group. A pattern such that there is a significant interaction involving the time variable representing baseline to 3 months ( $\gamma_{101}$ ) in which the CBT group exhibits greater improvement than the usual care group would provide support for the effectiveness of CBT immediately post-treatment. In conjunction with demonstrating support for an effect immediately after intervention, a non-significant interaction involving the time variable representing 3 months to 12 months ( $\gamma_{201}$ ) would suggest that the effect of CBT was maintained. We used an unstructured covariance matrix for the random effects of the person level intercept ( $r_0$ ) and ztime1 ( $r_1$ ), and estimated only the variances for the provider level intercept ( $u_{00}$ ) and ztime2 ( $r_2$ ); allowing for a fully unstructured covariance matrix for the random effects greatly increases model complexity and lead to convergence issues, and it made theoretical sense to at least allow the random effects for the person level intercept (i.e., pain severity upon enrollment) to covary with the random effects for the initial person level slope/trajectory instead of specifying an exchangeable or independent structure for the random effects.

From this model, we estimated the marginal means and associated 95% confidence intervals by study group and time, as well as the differences in change between study groups from baseline to 3 months, from 3 months to 12 months, and from baseline to 12 months (primary endpoint and outcome). We used the same analytical framework for the PEG, RMDQ, average daily dose of opioids (in morphine milligram equivalents; MME), and satisfaction with primary care and pain services (except satisfaction outcome analyses were based on a linear model as data for satisfaction were only collected at baseline and 6 months). We estimated these models using both full information maximum likelihood and restricted maximum likelihood. For the full information maximum likelihood, all models converged except for the PEGS, whereas they all converged using restricted maximum likelihood. The results from

**Supplementary Content: Detailed Information Regarding Analytic Approach** for A Primary Care-Based Cognitive Behavioral Therapy Intervention for Long-Term Opioid Users with Chronic Pain: A Randomized Pragmatic Trial (DeBar LL, Mayhew M, Benes L, et al.)

the full information maximum likelihood and restricted maximum likelihood models were highly similar. Thus, we present the results from the restricted maximum likelihood models.

For the binary outcomes of benzodiazepine receipt, continued long-term opioid therapy, and whether average daily opioid dose was ≥90 MME, we used the generalized extension to hierarchical linear model that employed a logit link and binomial distribution (aka multilevel or mixed-effects logistic regression) using the same framework as the continuous variables. For benzodiazepine receipt and whether average daily opioid dose was ≥90 MME, models using mean-variance adaptive quadrature with varying integration points, mode-curvature adaptive quadrature with varying integration points, mode-curvature adaptive quadrature with varying integration points, and LaPlace all failed to converge, thus requiring the use of nonadaptive Gauss-Hermite quadrature with 12 integration points to converge. For continued long-term opioid therapy, we used mean-variance adaptive Gauss-Hermite quadrature with five integration points. From these generalized models, we calculated the marginal risks (aka proportions) and associated 95% confidence intervals by group and time, as well as the differences in change in absolute risks and relative risks between study groups from baseline to 3 months, from 3 months to 12 months, and from baseline to 12 months (primary endpoint and outcome).

Additional analyses examined the effect of the intervention on a binary outcome of clinically meaningful improvement, or treatment response, defined as a 30% or greater improvement in PEGS, PEG, or RMDQ from baseline, consistent with consensus guidelines.(8) The assessment of treatment response (proportion of participants achieving minimal clinically important difference thresholds; MCID) was not specified at the start of the study, but was added post hoc as this measure has been increasingly adopted and enables comparability to other studies. We also used hierarchical generalized linear models where time was modeled as a linear effect. For the treatment response models, time was centered at 3 months, and thus the intercept for level 1 represents the intervention effect post-treatment (at 3 **Supplementary Content: Detailed Information Regarding Analytic Approach** for A Primary Care-Based Cognitive Behavioral Therapy Intervention for Long-Term Opioid Users with Chronic Pain: A Randomized Pragmatic Trial (DeBar LL, Mayhew M, Benes L, et al.)

months) and the coefficient for time represents the difference in the change in rates over the remaining

follow-up (maintenance) period between the study groups. We estimated the models using mean-

variance adaptive Gauss-Hermite quadrature with five integration points for PEG and PEGS and eight

integration points with the RMDQ. To facilitate interpretation, we also calculated the marginal risks (i.e.,

proportions) and associated 95% confidence intervals by study group for post treatment (3 months) and

maintenance (12 months), as well as the absolute risk differences and relative risks at these two

timepoints between the study groups.

### References

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The following contains the code used to run the primary inferential analyses in Stata 16.1. The first section contains the code for the continuous outcomes, the second section for the binary outcomes. Not every line of code is commented on. What is provided is an explanation of what the framework of the code does using the first instance as a template. For outcomes that have a modification to the template, this will be noted. The comments feature of MS Word is used to walk through the elements of a command. Commands relating to formatting are not explained. "\*" represents notes directly embedded in the code and do not execute commands. Comments are not added if the code related notes are sufficient. Readers, also note that more detail for any given command (first word in any line of valid code [i.e., not initiated with a "\*"] can be looked up by typing "help command\_name" in Stata or in google. Maximum Likelihood models were also run as a sensitivity analysis for section 1. The code is identical, with the exception of substituting "mle" for "reml". Interestingly the ML model did not converge for PEGS.

cap log close

log using "\\XX\PPACT\_Main\_Outcome\_v6c.txt", text replace \*Following provides PPACT Primary outcome analysis of continuous variables /\* Outcomes for models:

CONTINUOUS OUTCOMES 1. PEG (mean)

2. PEGS (mean)

3. Roland Morris Disability Questionnaire (RMDQ) (mean)

4. Winsorized Average daily MED (mean)

5. Satisfaction with primary care services (mean)

6. Satisfaction with pain services (mean)

\*/

use "\\XX\ppact analytic 121118.dta", clear

**Commented** [LMC1]: Loads in analytical dataset

***************************************			
di _dup(80) "*"			
di "BEGIN PRIMARY ANALYSES OF CONTINUOUS OUTCOMES"			
di _dup(80) "*"			
*piecewise model time set up			
*creates time variables corresponding to a segment representing baseline to 3 months (ztime1; i.e., the "shaft")			
*and a segment representing 3 months to 12 months (ztime2; i.e., the "blade")			
mkspline ztime1 3 ztime2=timepoint			
$^{st}$ moves the piecewise time variables near the timepoint variable they were derived from in the dataset			
order ztime1 ztime2, after(timepoint)			
*Unconditional mixed model, ICCs, and SD for ES for continous outcomes (reml)			
*Mixed model based SD is calculated by taking the square root of the sum of variance			
*components for the rand0m effect of the cluster and individual level intercepts and residual variance			
*stata stores these values in the results matix e(b) as In of (value divided by 2)			
*thus, the variance in this matrix is computed by exponentiating the twice the value of the element in this matrix			
foreach y of varlist peg pegs rm_score w_qavg_daily satisfied_primary satisfied_pain {			
local ylabel : variable label `y'			
di _newline(2)			
di _dup(80) "*"			
di "Unconditional reml mixed model and ICC for `y': `ylabel' "			
mixed `y'   clusterid:    studyid: , reml cformat(%9.3f)			
quietly matrix r=e(b)			
di _newline			
di _dup(80) "*"			
di "The reml mixed model based SD for use in the ES calculation of `y': `ylabel' is "sqrt(exp(r[1,2]*2)+exp(r[1,3]*2)+exp(r[1,4]*2))			
di_dup(80) "*"			
estat icc			
di _newline			
di _dup(80) "*"			
di "The sample-wide crude SD"			
summ `y' <mark>if e(sample)</mark>			
}			

**Commented [LMC2]:** Begins a loop cycling through the PEG, PEGS, Roland Morris (rm\_score), winsorized MME (w\_qavg\_daily), Satisfaction with Primary Care Services (satisfied\_primary), Satisfaction with Pain Services (satisfied\_pain). This avoids having to repeat the same exact code structure for each outcome.

**Commented [LMC3]:** This is the matrix of results that contain the variance components from the unconditional mixed model

**Commented [LMC4]:** Mixed model-based SD is calculated by taking the square root of the sum of variance components for the random effect of the cluster and individual level intercepts and residual variance. Stata stores these values in the results matix e(b) as In of (value divided by 2) Thus, the variance in this matrix is computed by

exponentiating the twice the value of the element in this matrix

Commented [LMC5]: Calculates ICC

**Commented [LMC6]:** Ensures we use the same sample used in the mixed model...provides a check that the calculation based on the mixed model was correctly done.

foreach y of varlist peg pegs rm_score {		<b>Commented [LMC7]:</b> Begins a loop cycling through the
*mixed model for piecewise analysis REML		PEG, PEGS, and rm_score
*covariance of random effects modeled for intercept and first segment. Not included for second segment		
local ylabel : variable label `y'		
di _newline		<b>Commented [LMC8]:</b> Command for mixed linear model
di _dup(80) "*"		(aka HLM, multilevel)
di "REML mixed model for piecewise analysis of `y': `ylabel' "		
mixed 💡 ztime1 ztime2 i.intervention intervention#c.ztime1 intervention#c.ztime2   clusterid:    studyid: ztime2    studyid:ztime1 ,		Commented [LMC9]: Represents baseline to 3 months
reml cformat(%9.3f) covariance(uns) *provides marginal means for baseline and 3 months		Commented [LMC10]: Represents 3 months to 12 months
di _dup(80) "*"	()) \	intervention=1
di "provides reml marginal means for baseline and 3 months for `y': `ylabel' "		Commented [LMC12]: Interaction of time (baseline to 3
margins intervention, at(ztime1=(0 3) ztime2=(0)) nopv cformat(%9.3f)	1111	months) by arm
*please add "3" to ztime2 when viewing output to arrive at correct timepoint as this is a piecewise model		Commented [LMC13]: Interaction of time (3 months to
*provides marginal means for 3,6,9, and 12 months		12 months) by arm
di_newline	- 11 11	Commented [LMC14]: Add random effect for intercept
di_dup(80) "*"	-	of person level
di "provides reml marginal means for 3,6,9, and 12 months for `y': `ylabel' "	- 11	Commented [LMC15]: Add random effect of at
margins intervention , at(ztime2=(0 3 6 9) ztime1=(3)) nopv cformat(%9.3f)	- [[]	observation level for slope of time (3 months to 12 months)
*provides marginal means for baseline,3,6,9,12 months		Commented [LMC16]: Add random effect of intercept at
*note there are impossible combinations of the two piecewise components.	//	observation level and for slope of time (baseline to 3
*ignore any pairs where (ztime=0 and ztime2 not equals 0)	- h	months)
di_newline		Commented [LMC17]: Use Restricted Maximum
di_dup(80) "*"		Likelihood Estimation
di "provides reml marginal means for baseline, 3,6,9, and 12 months for 'y': 'ylabel' "		Commented [LMC18]: Use unstructured covariance
margins intervention, at(ztime2=(0 3 6 9) ztime1=(0 3)) nopv cformat(%9.3f)		matrix for random effects; as code is structured this is set
*this provides the difference between the CBT and UC groups at each timepoint. *don't forget to not interpret the impossible combinations (ztime=0 and ztime2 not equals 0)	<b>\</b>	up to allow the first time segment and intercept random
di newline		effects to covary at level 1, but not covary with the second
di_dup(80) "*"	$\langle \rangle$	time segment. Trying to model both lead to convergence issues. Instead of not estimating any covariance, we decided
di "provides reml difference beween the CBT and UC groups at each timepoint in marginal means for `y': `ylabel' "		to at least model the covariance between the intercept and
margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f)		first time segment, as these are more likely to be related.
*reml differences in change by arm	)	<b>Commented [LMC19]:</b> This combines the two margins
di newline		commands above, but then also includes pairs of time
di_dup(80) "*"		values that are nonsense (ztime=0 and ztime2 not equals 0),
		so be wary to ignore these in the output

di "provides reml differences in change beween the CBT and UC groups for all possible pairs of timepoints for `y': `ylabel' " margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f) pwcompare

\*reml change within arm di newline di dup(80) "\*" di "provides reml change within UC arm for all possible pairs of timepoints for `y': `ylabel' " margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(0)) cformat(%9.3f) pwcompare di newline di dup(80) "\*" di "provides reml change within CBT arm for all possible pairs of timepoints for 'y': 'ylabel' " margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(1)) cformat(%9.3f) pwcompare \*provides reml change from baseline to 12 months for UC ([12month UC] - [Baseline UC]) di newline di dup(80) "\*" di "provides reml change from baseline to 12 months for UC ([12month UC] - [Baseline UC]) for `y': `ylabel' " lincom ([ztime1\*3+ztime2\*9])-([ztime1\*0+ztime2\*0+1.intervention\*0]) \*provides reml change from baseline to 12 months for CBT ([12month CBT] - [Baseline CBT]) di newline di dup(80) "\*" di "provides reml change from baseline to 12 months for CBT ([12month CBT] - [Baseline CBT]) for `y': `ylabel' " lincom (([ztime1\*3+ztime2\*9+1.intervention+1.intervention#ztime2\*9+1.intervention#ztime1\*3])-[ztime1\*0+ztime2\*0+1.intervention]) \*provides reml change from baseline to 3 months for UC ([3month UC] - [Baseline UC]) di newline di dup(80) "\*" di "provides reml change from baseline to 3 months for UC ([3month UC] - [Baseline UC]) for `y': `ylabel' " lincom ([ztime1\*3+ztime2\*0])-([ztime1\*0+ztime2\*0+1.intervention\*0]) \*provides reml change from baseline to 3 months for CBT ([3month CBT] - [Baseline CBT]) di newline di\_dup(80) "\*" di "provides reml change from baseline to 3 months for CBT ([3month CBT] - [Baseline CBT]) for `y': `ylabel' " lincom (([ztime1\*3+ztime2\*0+1.intervention+1.intervention#ztime2\*0+1.intervention#ztime1\*3])-[ztime1\*0+ztime2\*0+1.intervention])

\*provides reml change from 3 months to 12 months for UC ([12month UC] - [3month UC])

di \_newline

di dup(80) "\*"

di "provides reml change from 3 months to 12 months for UC ([12month UC] - [3month UC]) for `y': `ylabel' "

lincom ([ztime1\*3+ztime2\*9])-([ztime1\*3+ztime2\*0])

\*provides reml change from 3 months to 12 months for CBT ([12month CBT] - [3month CBT])

di newline

di dup(80) "\*"

di "provides reml change from 3 months to 12 months for CBT ([12month CBT] - [3month CBT]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*9+1.intervention+1.intervention#ztime2\*9+1.intervention#ztime1\*3])-

([ztime1\*3+ztime2\*0+1.intervention+1.intervention#ztime2\*0+1.intervention#ztime1\*3]))

\*provides reml difference between arms in the change from baseline to 12 months ([12month CBT-12month UC] - [baseline CBT-Baseline UC])

di newline

di dup(80) "\*"

di "provides reml difference between arms in the change from baseline to 12 months ([12month CBT-12month UC] - [baseline CBT-Baseline UC]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*9+1.intervention+1.intervention#ztime2\*9+1.intervention#ztime1\*3]-[ztime1\*3+ztime2\*9])-[ztime1\*0+ztime2\*0+1.intervention])

\*provides reml difference between arms in the change from baseline to 3 months ([3month CBT-3month UC] - [baseline CBT-Baseline

UC])

di newline

di dup(80) "\*"

di "provides reml difference between arms in the change from baseline to 3 months ([3month CBT-3month UC] - [baseline CBT-Baseline UC]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*0+1.intervention+1.intervention#ztime2\*0+1.intervention#ztime1\*3]-[ztime1\*3+ztime2\*0])-[ztime1\*0+ztime2\*0+1.intervention])

\*provides reml difference between arms in the change from 3 months to 12 months ([12month CBT-12month UC] - [3 month CBT-3 month UC])

di newline

di dup(80) "\*"

di "provides reml difference between arms in the change from baseline to 12 months ([12month CBT-12month UC] - [3 month CBT-3 month UC]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*9+1.intervention+1.intervention#ztime2\*9+1.intervention#ztime1\*3]-[ztime1\*3+ztime2\*9])-([ztime1\*3+ztime2\*0+1.intervention+1.intervention#ztime2\*0+1.intervention#ztime1\*3]-[ztime1\*3+ztime2\*0]))

\*for some reason, the within arm changes via margins won't compute, so it is analyzed in its own loop without this command

foreach y of varlist w gavg daily { \*mixed model for piecewise analysis REML \*covaraince of random effects modeled for intercept and first segment. Not included for second segment local ylabel : variable label `y' di newline di dup(80) "\*" di "REML mixed model for piecewise analysis of `y': `ylabel' " mixed 'y' ztime1 ztime2 i.intervention intervention#c.ztime1 intervention#c.ztime2 ||clusterid: || studyid: ztime2 || studyid: reml cformat(%9.3f) covariance(uns) \*provides marginal means for baseline and 3 months di newline di\_dup(80) "\*" di "provides reml marginal means for baseline and 3 months for 'y': 'ylabel' " margins intervention, at(ztime1=(0 3) ztime2=(0)) nopv cformat(%9.3f) \*please add "3" to ztime2 to arrive at correct timepoint as this is a piecewise model \*provides marginal means for 3,6,9, and 12 months di newline di\_dup(80) "\*" di "provides reml marginal means for 3,6,9, and 12 months for 'y': 'ylabel' " margins intervention, at(ztime2=(0 3 6 9) ztime1=(3)) nopv cformat(%9.3f) \*provides marginal means for baseline, 3, 6, 9, 12 months \*note there are impossible combinations of the two piecewise components. \*ignore any pairs where (ztime=0 and ztime2 not equals 0) di newline di dup(80) "\*" di "provides reml marginal means for baseline, 3,6,9, and 12 months for `y': `ylabel' " margins intervention, at(ztime2=(0 3 6 9) ztime1=(0 3)) nopv cformat(%9.3f) \*this provides the difference beween the CBT and UC groups at each timepoint. \*don't forget to not interpret the impossible combinations (ztime=0 and ztime2 not equals 0) di newline di dup(80) "\*" di "provides reml difference beween the CBT and UC groups at each timepoint in marginal means for 'y': 'ylabel' " margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f) \*reml differences in change by arm di newline di dup(80) "\*"

di "provides reml differences in change beween the CBT and UC groups for all possible pairs of timepoints for `y': `ylabel' "

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f) pwcompare

\*provides reml change from baseline to 12 months for UC ([12month UC] - [Baseline UC])

di \_newline

di \_dup(80) "\*"

di "provides reml change from baseline to 12 months for UC ([12month UC] - [Baseline UC]) for `y': `ylabel' "

lincom ([ztime1\*3+ztime2\*9])-([ztime1\*0+ztime2\*0+1.intervention\*0])

\*provides reml change from baseline to 12 months for CBT ([12month CBT] - [Baseline CBT])

di \_newline

di \_dup(80) "\*"

di "provides reml change from baseline to 12 months for CBT ([12month CBT] - [Baseline CBT]) for 'y': 'ylabel' "

lincom (([ztime1\*3+ztime2\*9+1.intervention+1.intervention#ztime2\*9+1.intervention#ztime1\*3])-[ztime1\*0+ztime2\*0+1.intervention])

\*provides reml change from baseline to 3 months for UC ([3month UC] - [Baseline UC])

di \_newline

di \_dup(80) "\*"

di "provides reml change from baseline to 3 months for UC ([3month UC] - [Baseline UC]) for `y': `ylabel' "

lincom ([ztime1\*3+ztime2\*0])-([ztime1\*0+ztime2\*0+1.intervention\*0])

\*provides reml change from baseline to 3 months for CBT ([3month CBT] - [Baseline CBT])

di \_newline

di \_dup(80) "\*"

di "provides reml change from baseline to 3 months for CBT ([3month CBT] - [Baseline CBT]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*0+1.intervention+1.intervention#ztime2\*0+1.intervention#ztime1\*3])-[ztime1\*0+ztime2\*0+1.intervention])

\*provides reml change from 3 months to 12 months for UC ([12month UC] - [3month UC])

di \_newline

di \_dup(80) "\*"

di "provides reml change from 3 months to 12 months for UC ([12month UC] - [3month UC]) for `y': `ylabel' "

lincom ([ztime1\*3+ztime2\*9])-([ztime1\*3+ztime2\*0])

\*provides reml change from 3 months to 12 months for CBT ([12month CBT] - [3month CBT])

di \_newline

di \_dup(80) "\*"

di "provides reml change from 3 months to 12 months for CBT ([12month CBT] - [3month CBT]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*9+1.intervention+1.intervention#ztime2\*9+1.intervention#ztime1\*3])-

([ztime1\*3+ztime2\*0+1.intervention+1.intervention#ztime2\*0+1.intervention#ztime1\*3]))

\*provides reml difference between arms in the change from baseline to 12 months ([12month CBT-12month UC] - [baseline CBT-Baseline UC])

di newline

di\_dup(80) "\*"

di "provides reml difference between arms in the change from baseline to 12 months ([12month CBT-12month UC] - [baseline CBT-Baseline UC]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*9+1.intervention+1.intervention#ztime2\*9+1.intervention#ztime1\*3]-[ztime1\*3+ztime2\*9])-[ztime1\*0+ztime2\*0+1.intervention])

\*provides reml difference between arms in the change from baseline to 3 months ([3month CBT-3month UC] - [baseline CBT-Baseline UC])

di \_newline

di \_dup(80) "\*"

di "provides reml difference between arms in the change from baseline to 3 months ([3month CBT-3month UC] - [baseline CBT-Baseline UC]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*0+1.intervention+1.intervention#ztime2\*0+1.intervention#ztime1\*3]-[ztime1\*3+ztime2\*0])-intervention#ztime2\*0+1.intervention#ztime2\*0]

[ztime1\*0+ztime2\*0+1.intervention])

\*provides reml difference between arms in the change from 3 months to 12 months ([12month CBT-12month UC] - [3 month CBT-3 month UC])

di \_newline

di \_dup(80) "\*"

di "provides reml difference between arms in the change from baseline to 12 months ([12month CBT-12month UC] - [3 month CBT-3 month UC]) for `y': `ylabel' "

lincom (([ztime1\*3+ztime2\*9+1.intervention+1.intervention#ztime2\*9+1.intervention#ztime1\*3]-[ztime1\*3+ztime2\*9])-([ztime1\*3+ztime2\*0+1.intervention+1.intervention#ztime2\*0+1.intervention#ztime1\*3]-[ztime1\*3+ztime2\*0]))

}

\*These outcomes consist of only two timepoints (baseline and 6 months), so it is not necessary to use a piecewise approach \*reml approach

foreach y of varlist satisfied\_primary satisfied\_pain {

local ylabel : variable label `y'

di\_newline(2)

di dup(80) "\*"

di "REML Mixed model for `y': `ylabel' "

mixed 'y' c.timepoint##intervention if timepoint==0 | timepoint==6 ||clusterid: || studyid: timepoint, reml cov(uns) cformat(%9.3f)

di \_newline

di \_dup(80) "\*"

di "The following provides the adjusted reml means by CBT at each timepoint for `y': `ylabel' "

margins intervention, at(timepoint=(0.6)) nopv cformat(%9.3f) marginsplot, title("Linear reml marginal means by arm over time for `y'") graph export "\\XX\PPACT Main Outcome reml `y' v6.png", as(png) replace di newline di\_dup(80) "\*" di "provides reml difference beween the CBT and UC groups at each timepoint in marginal means for 'y': 'ylabel'" margins, at(timepoint=(0 6)) dydx(intervention) cformat(%9.3f) di "provides reml difference in change beween the CBT and UC groups for `y': `ylabel' " margins, at(timepoint=(0 6)) dydx(intervention) cformat(%9.3f) pwcompare di newline di dup(80) "\*" di "provides reml simple slopes by arm for `y': `ylabel' " margins intervention, dydx(timepoint) \*mle change within arm di newline di dup(80) "\*" di "provides reml change within UC arm for baseline to 6 months for `y': `ylabel' " margins, at(timepoint=(0 6) intervention=(0)) cformat(%9.3f) pwcompare di newline di dup(80) "\*" di "provides reml change within CBT arm for baseline to 6 months for `y': `ylabel' " margins, at(timepoint=(0 6) intervention=(1)) cformat(%9.3f) pwcompare

log close

}

***************************************
SECTION 2 – BINARY OUTCOMES
***************************************
*****
***************************************
*****
***************************************
*******
******
***************************************
*****
capture log close /*
Outcomes for binary outcome models:
outcomes for binary outcome models.
1. PEG Total pain score responder (percent with 30% reduction in overall score)
2. PEGS Total pain score responder (percent with 30% reduction in overall score)
3. RMDQ Total pain score responder (percent with 30% reduction in overall score)
4. Chronic opioid therapy (%)
5. Benzodiazepines dispensed (%)
6. MED≥90 (%)
7. MED≥50 (%)
*/
**********
gen t_neg3mo=timepoint-3
lab var t neg3mo "Timepoint centered on 3 months"

\*\*\*\*\*Calculate icc's for >=30% reduction in overall PEG score

**Commented [LMC20]:** This is done to facilitate interpretation of regression output in the models of clinical difference variables.

di \_newline(2)

di \_dup(80) "\*"

di "Unconditional mixed model and ICC for TPR-PEG: >=30% reduction in overall PEG score"

melogit tpr\_peg if time>0 ||clusterid: || studyid: , cformat(%9.3f)

estat icc

\*1 >=30% reduction in overall PEG score Linear model

di \_newline

di \_dup(80) "\*"

di "Mixed model for linear analysis of TPR-PEG: >=30% reduction in overall PEG score"

melogit tpr\_peg c.t\_neg3mo##intervention if time>0 ||clusterid: || studyid: t\_neg3mo , covariance(unstructured) cformat(%9.3f) intpoint(5)\_startgrid()

estimates save "tpr\_peg\_model\_v6", replace

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions at 3,6,9,12 months for TPR-PEG: >=30% reduction in overall PEG score "

margins intervention, at(t\_neg3mo=(0 3 6 9)) nopv cformat(%9.3f)

marginsplot, title("Linear marginal proportions TPR-PEG by arm over time") ylabel(0(.1)1)

graph export "PPACT\_Main\_Outcome\_linear\_tpr\_peg\_v6.png", as(png) replace

\*\*\*The following is code to extract the required values to calculate NNT

\*the inverse provides the NNT

di newline

di \_dup(80) "\*"

di "provides difference in marginal proportions between arms at 3,6,9,12 months for TPR-PEG: >=30% reduction in overall PEG score " margins, dydx(intervention) at(t\_neg3mo=(0 3 6 9)) cformat(%9.3f)

matrix nnt=r(table)

di \_newline

di \_dup(80) "\*"

di "provides NNT and associated 95% CIs at 3,6,9,12 months for TPR-PEG: >=30% reduction in overall PEG score "

di "The NNT at 3 months for PEG>=30% is " %4.2f 1/nnt[1,5] " 95% CI [" %4.2f 1/nnt[5,5] "," %4.2f 1/nnt[6,5] "]" di "The NNT at 6 months for PEG>=30% is " %4.2f 1/nnt[1,6] " 95% CI [" %4.2f 1/nnt[5,6] "," %4.2f 1/nnt[6,6] "]" di "The NNT at 9 months for PEG>=30% is " %4.2f 1/nnt[1,7] " 95% CI [" %4.2f 1/nnt[5,7] "," %4.2f 1/nnt[6,7] "]" di "The NNT at 12 months for PEG>=30% is " %4.2f 1/nnt[1,8] " 95% CI [" %4.2f 1/nnt[5,8] "," %4.2f 1/nnt[6,8] "]"

di newline

di\_dup(80) "\*"

di "provides pairwise differences in change of marginal proportions between arms at 3,6,9,12 months for TPR-PEG: >=30% reduction in overall PEG score "

margins, dydx(intervention) at(t\_neg3mo=(0 3 6 9)) cformat(%9.3f) pwcompare

**Commented [LMC21]:** Mixed effects logistic regression (aka HGLM, Generalized multilevel model; using logit link and binomial distribution)

**Commented [LMC22]:** Because the clinical difference variables are all defined relative to baseline (>=30% reduction), baseline is excluded and not modeled.

**Commented [LMC23]:** Uses 5 integration points for quadrature. The default algorithm is mean–variance adaptive Gauss–Hermite quadrature.

**Commented [LMC24]:** Performs a grid search to improve starting values and thus help with convergence

**Commented [LMC25]:** These models take extremely long to compute, so estimates are saved that can be used in future post-estimation.

\*change within arm

di \_newline

di \_dup(80) "\*"

di "provides change within UC arm for all possible pairs of timepoints for tpr\_peg: >=30% reduction in overall PEG score" margins, at(t\_neg3mo=(0 3 6 9) intervention=(0)) cformat(%9.3f) pwcompare

di \_newline

di \_dup(80) "\*"

di "provides change within CBT arm for all possible pairs of timepoints for tpr\_peg: >=30% reduction in overall PEG score" margins, at(t\_neg3mo=(0 3 6 9) intervention=(1)) cformat(%9.3f) pwcompare

\*begin computation of TPR relative risks

quietly margins intervention, at(t\_neg3mo=(0 3 6 9)) nopv cformat(%9.3f) post

estimates store props

\*calculate within between arm relative risk at 3 months

\*The post option after margins was needed so

\*that the estimated risks would be available to the nlcom command. The predicted

\*average risks were reported with their standard errors and confidence intervals. Finally,

\*I had nlcom estimate the log of the risk ratio and used the standard

\*error of the log of the risk ratio to estimate confidence-interval endpoints for the log

\*risk-ratio; those endpoints were then exponentiated to obtain the confidence intervals for

\*the risk ratio itself. This transform-the-endpoints method produces intervals that are

- \*symmetric around the log of the risk ratio, which is desirable because the log of the risk
- \*ratio ranges from minus infinity to plus infinity, and the null estimate of no association

\*is a log risk-ratio of 0.

\*Adapted from p293-294 Peter Cummings, 2011. "Estimating adjusted risk ratios for matched and unmatched data: An update," Stata Journal, StataCorp LP, vol. 11(2), pages 290-298, June.

di \_newline di dup(80) "\*"

nlcom (Inrr: In( b[1. at#1.intervention]/ b[1. at#0.intervention])), post

display "Risk ratio = " exp(\_b[lnrr]) \_skip(3) "95% CI = " exp(\_b[lnrr]-invnormal(1-.05/2)\*\_se[lnrr]) ", " exp(\_b[lnrr]+invnormal(1-

.05/2)\*\_se[lnrr])

#### estimates restore props

\*calculate within between arm relative risk at 12 months

di \_newline

di \_dup(80) "\*"

nlcom (Inrr: In(\_b[4.\_at#1.intervention]/\_b[4.\_at#0.intervention])), post

display "Risk ratio = " exp(\_b[lnrr]) \_skip(3) "95% Cl = " exp(\_b[lnrr]-invnormal(1-.05/2)\*\_se[lnrr]) ", " exp(\_b[lnrr]+invnormal(1-.05/2)\*\_se[lnrr])

**Commented [LMC26]:** Stores the estimates from the margins command so margins do not to be recalculated. These are necessary for the computation of the relative risks.

**Commented [LMC27]:** Restores the estimates from margins into memory as the previous nlcom command overwrote the results matrix (from the "post" option.

estimates drop props di\_dup(80) "\*" di \_dup(80) "\*" di \_dup(80) "\*" \*\*\*\*\*Calculate icc's for >=30% reduction in overall PEGS score di newline(2) di dup(80) "\*" di "Unconditional mixed model and ICC for TPR-PEGS: >=30% reduction in overall PEGS score" melogit tpr\_pegs if time>0 ||clusterid: || studyid: , cformat(%9.3f) estat icc \*1 >= 30% reduction in overall PEGS score Linear model di newline di dup(80) "\*" di "Mixed model for linear analysis of TPR-PEGS: >=30% reduction in overall PEGS score" melogit tpr pegs c.t neg3mo##intervention if time>0 ||clusterid: || studyid: t neg3mo, covariance(unstructured) cformat(%9.3f) startgrid() intpoint(5) estimates save "tpr pegs model v6", replace di newline di dup(80) "\*" di "provides marginal proportions at 3,6,9,12 months for TPR-PEGS: >=30% reduction in overall PEGS score " margins intervention, at(t\_neg3mo=(0 3 6 9)) nopv cformat(%9.3f) marginsplot, title("Linear marginal proportions TPR-PEGS by arm over time") ylabel(0(.1)1) graph export "PPACT Main Outcome linear tpr pegs v6.png", as(png) replace \*\*\*The following is code to extract the required values to calculate NNT \*the inverse provides the NNT di newline di dup(80) "\*" di "provides difference in marginal proportions between arms at 3,6,9,12 months for TPR-PEGS: >=30% reduction in overall PEGS score " margins, dydx(intervention) at(t\_neg3mo=(0 3 6 9)) cformat(%9.3f) matrix nnt=r(table) di newline di dup(80) "\*" di "provides NNT and associated 95% CIs at 3,6,9,12 months for TPR-PEGS: >=30% reduction in overall PEGS score "

di "The NNT at 3 months for PEGS>=30% is " %4.2f 1/nnt[1,5] " 95% CI [" %4.2f 1/nnt[5,5] "," %4.2f 1/nnt[6,5] "]"

di "The NNT at 6 months for PEGS>=30% is " %4.2f 1/nnt[1,6] " 95% CI [" %4.2f 1/nnt[5,6] "," %4.2f 1/nnt[6,6] "]" di "The NNT at 9 months for PEGS>=30% is " %4.2f 1/nnt[1,7] " 95% CI [" %4.2f 1/nnt[5,7] "," %4.2f 1/nnt[6,7] "]" di "The NNT at 12 months for PEGS>=30% is " %4.2f 1/nnt[1,8] " 95% CI [" %4.2f 1/nnt[5,8] "," %4.2f 1/nnt[6,8] "]" di newline di\_dup(80) "\*" di "provides pairwise differences in change of marginal proportions between arms at 3,6,9,12 months for TPR-PEG: >=30% reduction in overall PEGS score " margins, dydx(intervention) at(t\_neg3mo=(0 3 6 9)) cformat(%9.3f) pwcompare \*change within arm di newline di dup(80) "\*" di "provides change within UC arm for all possible pairs of timepoints for tpr pegs: >=30% reduction in overall PEGS score" margins, at(t\_neg3mo=(0 3 6 9) intervention=(0)) cformat(%9.3f) pwcompare di newline di\_dup(80) "\*" di "provides change within CBT arm for all possible pairs of timepoints for tpr pegs: >=30% reduction in overall PEGS score" margins, at(t\_neg3mo=(0 3 6 9) intervention=(1)) cformat(%9.3f) pwcompare \*begin computation of TPR relative risks quietly margins intervention, at(t\_neg3mo=(0 3 6 9)) nopv cformat(%9.3f) post estimates store props \*calculate within between arm relative risk at 3 months di newline di dup(80) "\*" nlcom (Inrr: In( b[1. at#1.intervention]/ b[1. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within between arm relative risk at 12 months di newline di dup(80) "\*" nlcom (Inrr: In( b[4. at#1.intervention]/ b[4. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\*\_se[lnrr]) estimates drop props di dup(80) "\*" di \_dup(80) "\*" di \_dup(80) "\*"

\*\*\*\*\*Calculate icc's for >=30% reduction in overall Roland Morris score

di \_newline(2)

di \_dup(80) "\*"

di "Unconditional mixed model and ICC for TPR-RM: >=30% reduction in overall RM score"

melogit tpr\_rm\_score if time>0 ||clusterid: || studyid: , cformat(%9.3f) estat icc

\*1 >=30% reduction in overall Roland Morris score Linear model

di newline

di\_dup(80) "\*"

di "Mixed model for linear analysis of TPR-RM: >=30% reduction in overall RM score"

melogit tpr\_rm\_score c.t\_neg3mo##intervention if time>0 ||clusterid: || studyid: t\_neg3mo , covariance(unstructured) cformat(%9.3f) intpoints(8) startgrid()

estimates save "rm\_score\_model\_v6", replace

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions at 3,6,9,12 months for TPR-RM: >=30% reduction in overall RM score "

margins intervention, at(t\_neg3mo=(0 3 6 9)) nopv cformat(%9.3f)

marginsplot, title("Linear marginal proportions TPR-RM by arm over time") ylabel(0(.1)1)

graph export "PPACT\_Main\_Outcome\_linear\_tpr\_rm\_score\_v6.png", as(png) replace

\*\*\*The following is code to extract the required values to calculate NNT

\*the inverse provides the NNT

di \_newline

di \_dup(80) "\*"

di "provides difference in marginal proportions between arms at 3,6,9,12 months for TPR-RM: >=30% reduction in overall RM score " margins, dydx(intervention) at(t\_neg3mo=(0 3 6 9)) nopv cformat(%9.3f)

matrix nnt=r(table)

di \_newline

di \_dup(80) "\*"

di "provides NNT and associated 95% CIs at 3,6,9,12 months for TPR-RM: >=30% reduction in overall RM score " di "The NNT at 3 months for ROLAND MORRIS>=30% is " %4.2f 1/nnt[1,5] " 95% CI [" %4.2f 1/nnt[5,5] "," %4.2f 1/nnt[6,5] "]" di "The NNT at 6 months for ROLAND MORRIS>=30% is " %4.2f 1/nnt[1,6] " 95% CI [" %4.2f 1/nnt[5,6] "," %4.2f 1/nnt[6,6] "]" di "The NNT at 9 months for ROLAND MORRIS>=30% is " %4.2f 1/nnt[1,7] " 95% CI [" %4.2f 1/nnt[5,7] "," %4.2f 1/nnt[6,7] "]" di "The NNT at 12 months for ROLAND MORRIS>=30% is " %4.2f 1/nnt[1,8] " 95% CI [" %4.2f 1/nnt[5,8] "," %4.2f 1/nnt[6,8] "]"

di \_newline

di \_dup(80) "\*"

**Commented [LMC28]:** Needed more integration points to converge, so changed from 5 to 8

di "provides pairwise differences in change of marginal proportions between arms at 3,6,9,12 months for RM score: >=30% reduction in overall RM score "

margins, dydx(intervention) at(t\_neg3mo=(0 3 6 9)) cformat(%9.3f) pwcompare

\*change within arm di newline di dup(80) "\*" di "provides change within UC arm for all possible pairs of timepoints for tpr\_peg: >=30% reduction in overall RM score " margins, at(t\_neg3mo=(0 3 6 9) intervention=(0)) cformat(%9.3f) pwcompare di newline di dup(80) "\*" di "provides change within CBT arm for all possible pairs of timepoints for tpr peg: >=30% reduction in overall RM score " margins, at(t\_neg3mo=(0 3 6 9) intervention=(1)) cformat(%9.3f) pwcompare \*begin computation of TPR relative risks quietly margins intervention, at(t\_neg3mo=(0 3 6 9)) nopv cformat(%9.3f) post estimates store props \*calculate within between arm relative risk at 3 months di newline di\_dup(80) "\*" nlcom (lnrr: ln( b[1. at#1.intervention]/ b[1. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\*\_se[lnrr]) estimates restore props \*calculate within between arm relative risk at 12 months di newline di dup(80) "\*" nlcom (Inrr: In( b[4. at#1.intervention]/ b[4. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates drop props di dup(80) "\*" di\_dup(80) "\*" di \_dup(80) "\*"

di\_dup(80) "\*"

\*\*\*\*\*Calculate icc's for Chronic opioid therapy

di\_newline(2)

di \_dup(80) "\*"

di "Unconditional mixed model and ICC for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period" melogit cot\_flag ||clusterid: || studyid: , cformat(%9.3f)

estat icc

\*1 Chronic opioid therapy (%) piecewise model

di \_newline

di \_dup(80) "\*"

di "Mixed model for piecewise analysis of cot flag: Chronic Opioid Therapy- 70+ days supply in 90-day period"

melogit cot\_flag ztime1 ztime2 i.intervention intervention#c.ztime1 intervention#c.ztime2 ||clusterid: || studyid: ztime2 || studyid:ztime1 , covariance(unstructured) cformat(%9.3f) intpoint(5)

estimates save "cot\_flag\_model\_v6", replace

\*provides marginal proportions for baseline and 3 months

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for baseline and 3 months for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period" margins intervention, at(ztime1=(0 3) ztime2=(0)) nopv cformat(%9.3f)

\*please add "3" to ztime2 to arrive at correct timepoint as this is a piecewise model

\*provides marginal proportions for 3,6,9, and 12 months

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for 3,6,9, and 12 months for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period" margins intervention , at(ztime2=(0 3 6 9) ztime1=(0 3)) nopv cformat(%9.3f)

\*this provides the difference beween the intervention and control groups at each timepoint.

\*don't forget to not interpret the impossible combinations (ztime=0 and ztime2 not equals 0)

di newline

di\_dup(80) "\*"

di "provides difference beween arms at each timepoint in marginal proportions for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f)
matrix nnt=r(table)

di \_newline

di\_dup(80) "\*"

di "provides NNT and associated 95% CIs at baseline,3,6,9,12 months for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period "

di "The NNT at baseline for Chronic opioid therapy is " %4.2f 1/-nnt[1,9] " 95% CI [" %4.2f 1/-nnt[6,9] "," %4.2f 1/-nnt[5,9] "]"

di "The NNT at 3 months for Chronic opioid therapy is " %4.2f 1/-nnt[1,13] " 95% CI [" %4.2f 1/-nnt[6,13] "," %4.2f 1/-nnt[5,13] "]"

di "The NNT at 6 months for Chronic opioid therapy is " %4.2f 1/-nnt[1,14] " 95% CI [" %4.2f 1/-nnt[6,14] "," %4.2f 1/-nnt[5,14] "]"

di "The NNT at 9 months for Chronic opioid therapy is " %4.2f 1/-nnt[1,15] " 95% CI [" %4.2f 1/-nnt[6,15] "," %4.2f 1/-nnt[5,15] "]"

di "The NNT at 12 months for Chronic opioid therapy is " %4.2f 1/-nnt[1,16] " 95% CI [" %4.2f 1/-nnt[6,16] "," %4.2f 1/-nnt[5,16] "]"

di \_newline

di \_dup(80) "\*"

di "provides pairwise differences in change beween arms in marginal proportions for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period "

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f) pwcompare

\*change within arm

di \_newline

di \_dup(80) "\*"

di "provides change within UC arm for all possible pairs of timepoints for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(0)) cformat(%9.3f) pwcompare

di \_newline

di \_dup(80) "\*"

di "provides change within CBT arm for all possible pairs of timepoints for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(1)) cformat(%9.3f) pwcompare

\*provides marginal proportions for baseline,3,6,9,12 months

\*note there are impossible combinations of the two piecewise components.

\*ignore any pairs where (ztime=0 and ztime2 not equals 0)

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for baseline, 3,6,9, and 12 months for cot\_flag: Chronic Opioid Therapy- 70+ days supply in 90-day period"

margins intervention , at(ztime2=(0 3 6 9) ztime1=(0 3)) nopy cformat(%9.3f) post

estimates store props

\*calculate within UC relative risk for baseline to 3 months

```
di _newline
```

di \_dup(80) "\*"

nlcom (lnrr: ln(\_b[5.\_at#0.intervention]/\_b[1.\_at#0.intervention])), post

display "Risk ratio = " exp(\_b[Inrr]) \_skip(3) "95% CI = " exp(\_b[Inrr]-invnormal(1-.05/2)\*\_se[Inrr]) ", " exp(\_b[Inrr]+invnormal(1-

.05/2)\*\_se[lnrr])

estimates restore props

\*calculate within CBT relative risk for baseline to 3 months

di newline di\_dup(80) "\*" nlcom (Inrr: ln(\_b[5.\_at#1.intervention]/\_b[1.\_at#1.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within UC relative risk for 3 month to 12 months di newline di dup(80) "\*" nlcom (Inrr: In( b[8. at#0.intervention]/ b[5. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnorm .05/2)\*\_se[Inrr]) estimates restore props \*calculate within CBT props risk for 3 month to 12 months di \_newline di dup(80) "\*" nlcom (Inrr: In( b[8. at#1.intervention]/ b[5. at#1.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[Inrr]) estimates restore props \*calculate within UC relative risk for baseline to 12 months di \_newline di dup(80) "\*" nlcom (Inrr: In( b[8. at#0.intervention]/ b[1. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within CBT relative risk for baseline to 12 months di newline di dup(80) "\*" nlcom (Inrr: In( b[8. at#1.intervention]/ b[1. at#1.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\*\_se[lnrr]) estimates restore props \*calculate within ratio of relative risks for within arm change from baseline to 3 months di newline di\_dup(80) "\*"

```
nlcom (Inrr: In(( b[5. at#1.intervention]/ b[1. at#1.intervention]))-(In( b[5. at#0.intervention]/ b[1. at#0.intervention]))), post
       display "Ratio of Relative Risks = " exp( b[Inrr]) skip(3) "95% CI = " exp( b[Inrr]-invnormal(1-.05/2)* se[Inrr]) ", "
exp( b[Inrr]+invnormal(1-.05/2)* se[Inrr])
estimates restore props
*calculate within ratio of relative risks for within arm change from 3 months to 12 months
        di newline
        di_dup(80) "*"
       nlcom (Inrr: ln((_b[8._at#1.intervention]/_b[5._at#1.intervention]))-(ln(_b[8._at#0.intervention]/_b[5._at#0.intervention]))), post
        display "Ratio of Relative Risks = " exp( b[Inrr]) skip(3) "95% CI = " exp( b[Inrr]-invnormal(1-.05/2)* se[Inrr]) ", "
exp( b[lnrr]+invnormal(1-.05/2)* se[lnrr])
estimates restore props
*calculate within ratio of relative risks for within arm change from baseline to 12 months
        di newline
        di dup(80) "*"
       nlcom (Inrr: ln(( b[8. at#1.intervention]/ b[1. at#1.intervention]))-(ln( b[8. at#0.intervention]/ b[1. at#0.intervention]))), post
        display "Ratio of Relative Risks = " exp( b[Inrr]) skip(3) "95% CI = " exp( b[Inrr]-invnormal(1-.05/2)* se[Inrr]) ", "
exp( b[Inrr]+invnormal(1-.05/2)* se[Inrr])
estimates drop props
di dup(80) "*"
di _dup(80) "*"
di dup(80) "*"
di dup(80) "*"
*****Calculate icc's for benzo flag
        di_newline(2)
        di dup(80) "*"
        di "Unconditional mixed model and ICC for gbenzo flag: Benzodiazepine dispensed in within the guarter"
melogit qbenzo_flag ||clusterid: || studyid:, cformat(%9.3f) startgrid() intpoints(12) intmethod(ghermite)
estat icc
*1 Benzo Flag piecewise model
        di newline
        di dup(80) "*"
        di "Mixed model for piecewise analysis of gbenzo_flag: Benzodiazepine dispensed in within the quarter"
melogit gbenzo flag ztime1 ztime2 i.intervention intervention#c.ztime1 intervention#c.ztime2 ||clusterid: || studyid: ztime2 || studyid: ztime1 ,
covariance(unstructured) cformat(%9.3f) startgrid() intpoints(12) intmethod(ghermite)
```

estimates save "qbenzo\_flag\_model\_v6", replace

**Commented [LMC29]:** Needed to use nonadaptive Gauss–Hermite quadrature to converge. Mean–variance adaptive, mode-curvature with varying integration points, and LaPlace all failed to converge.

\*provides marginal proportions for baseline and 3 months

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for baseline and 3 months for qbenzo\_flag: Benzodiazepine dispensed in within the quarter" margins intervention, at(ztime1=(0 3) ztime2=(0)) nopv cformat(%9.3f)

\*please add "3" to ztime2 to arrive at correct timepoint as this is a piecewise model

\*provides marginal proportions for 3,6,9, and 12 months

di \_newline

di\_dup(80) "\*"

di "provides marginal proportions for 3,6,9, and 12 months for qbenzo\_flag: Benzodiazepine dispensed in within the quarter" margins intervention , at(ztime2=(0 3 6 9) ztime1=(3)) nopv cformat(%9.3f)

\*provides difference between arms in marginal proportions for baseline,3,6,9,12 months

\*note there are impossible combinations of the two piecewise components.

\*ignore any pairs where (ztime=0 and ztime2 not equals 0)

di \_newline

di \_dup(80) "\*"

di "provides difference beween arms at each timepoint in marginal proportions for qbenzo\_flag: Benzodiazepine dispensed in within the quarter"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f)

matrix nnt=r(table)

- di \_newline
- di \_dup(80) "\*"

di "provides NNT and associated 95% CIs at baseline,3,6,9,12 months for qbenzo\_flag: Benzodiazepine dispensed in within the quarter" di "The NNT at baseline for Benzodiazepine dispensed is " %4.2f 1/-nnt[1,9] " 95% CI [" %4.2f 1/-nnt[6,9] "," %4.2f 1/-nnt[5,9] "]"

di "The NNT at 3 months for Benzodiazepine dispensed is " %4.2f 1/-nnt[1,13] " 95% CI [" %4.2f 1/-nnt[6,13] "," %4.2f 1/-nnt[5,13] "]"

di "The NNT at 6 months for Benzodiazepine dispensed is " %4.2f 1/-nnt[1,14] " 95% CI [" %4.2f 1/-nnt[6,14] "," %4.2f 1/-nnt[5,14] "]"

di "The NNT at 9 months for Benzodiazepine dispensed is " %4.2f 1/-nnt[1,15] " 95% CI [" %4.2f 1/-nnt[6,15] "," %4.2f 1/-nnt[5,15] "]"

di "The NNT at 12 months for Benzodiazepine dispensed is " %4.2f 1/-nnt[1,16] " 95% CI [" %4.2f 1/-nnt[6,16] "," %4.2f 1/-nnt[5,16] "]" di newline

di dup(80) "\*"

di "provides pairwise differences in change beween arms in marginal proportions for qbenzo\_flag: Benzodiazepine dispensed in within the quarter"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f) pwcompare

\*change within arm

di newline

di dup(80) "\*"

di "provides change within UC arm for all possible pairs of timepoints for qbenzo\_flag: Benzodiazepine dispensed in within the quarter"

Technical Appendix: Stata Analytic Code for A Primary Care-Based Cognitive Behavioral Therapy Intervention for Long-Term Opioid Users with Chronic Pain: A Randomized Pragmatic Trial margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(0)) cformat(%9.3f) pwcompare di newline di dup(80) "\*" di "provides change within CBT arm for all possible pairs of timepoints for qbenzo flag: Benzodiazepine dispensed in within the quarter" margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(1)) cformat(%9.3f) pwcompare \*provides marginal proportions for baseline, 3, 6, 9, 12 months \*note there are impossible combinations of the two piecewise components. \*ignore any pairs where (ztime=0 and ztime2 not equals 0) di newline di dup(80) "\*" di "provides marginal proportions for baseline, 3,6,9, and 12 months for gbenzo flag: Benzodiazepine dispensed in within the guarter" margins intervention, at(ztime2=(0 3 6 9) ztime1=(0 3)) nopv cformat(%9.3f) post estimates store props \*calculate within UC relative risk for baseline to 3 months di newline di dup(80) "\*" nlcom (Inrr: In( b[5. at#0.intervention]/ b[1. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within CBT relative risk for baseline to 3 months di newline di dup(80) "\*" nlcom (Inrr: In( b[5. at#1.intervention]/ b[1. at#1.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within UC relative risk for 3 month to 12 months di newline di dup(80) "\*" nlcom (Inrr: In( b[8. at#0.intervention]/ b[5. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\*\_se[lnrr]) estimates restore props \*calculate within CBT props risk for 3 month to 12 months di newline di\_dup(80) "\*"

```
Technical Appendix: Stata Analytic Code for A Primary Care-Based Cognitive Behavioral Therapy Intervention for Long-Term Opioid Users with
Chronic Pain: A Randomized Pragmatic Trial
               nlcom (Inrr: In( b[8. at#1.intervention]/ b[5. at#1.intervention])), post
               display "Risk ratio = " exp( b[Inrr]) skip(3) "95% CI = " exp( b[Inrr]-invnormal(1-.05/2)* se[Inrr]) ", " exp( b[Inrr]+invnormal(1-
 .05/2)*_se[lnrr])
estimates restore props
 *calculate within UC relative risk for baseline to 12 months
                di newline
               di_dup(80) "*"
               nlcom (Inrr: ln(_b[8._at#0.intervention]/_b[1._at#0.intervention])), post
               display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-
 .05/2)* se[lnrr])
estimates restore props
 *calculate within CBT relative risk for baseline to 12 months
               di newline
               di dup(80) "*"
               nlcom (Inrr: In( b[8. at#1.intervention]/ b[1. at#1.intervention])), post
               display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)* se[lnrr]) ", " exp( b[lnrr]+invnorm
 .05/2)* se[lnrr])
estimates restore props
 *calculate within ratio of relative risks for within arm change from baseline to 3 months
               di newline
               di dup(80) "*"
               nlcom (Inrr: In(( b[5. at#1.intervention]/ b[1. at#1.intervention]))-(In( b[5. at#0.intervention]/ b[1. at#0.intervention]))), post
               display "Ratio of Relative Risks = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", "
exp(_b[lnrr]+invnormal(1-.05/2)*_se[lnrr])
estimates restore props
 *calculate within ratio of relative risks for within arm change from 3 months to 12 months
               di newline
                di dup(80) "*"
              nlcom (lnrr: ln(( b[8. at#1.intervention]/ b[5. at#1.intervention]))-(ln( b[8. at#0.intervention]/ b[5. at#0.intervention]))), post
               display "Ratio of Relative Risks = " exp( b[Inrr]) skip(3) "95% CI = " exp( b[Inrr]-invnormal(1-.05/2)* se[Inrr]) ", "
exp( b[lnrr]+invnormal(1-.05/2)* se[lnrr])
estimates restore props
 *calculate within ratio of relative risks for within arm change from baseline to 12 months
               di newline
               di dup(80) "*"
               nlcom (Inrr: ln(( b[8. at#1.intervention]/_b[1._at#1.intervention]))-(ln(_b[8._at#0.intervention]/_b[1._at#0.intervention]))), post
```

display "Ratio of Relative Risks = " exp(\_b[Inrr]) \_skip(3) "95% CI = " exp(\_b[Inrr]-invnormal(1-.05/2)\*\_se[Inrr]) ", " exp(\_b[Inrr]+invnormal(1-.05/2)\*\_se[Inrr]) estimates drop props

di\_dup(80) "\*"

\*\*\*\*\*Calculate icc's for qavg\_above90: Average daily MEQ => 90 in the quarter

di newline(2)

di\_dup(80) "\*"

di "Unconditional mixed model and ICC for qavg\_above90: Average daily MEQ => 90 in the quarter" melogit qavg\_above90 ||clusterid: || studyid:, cformat(%9.3f) startgrid() intpoints(12) intmethod(ghermite) estat icc

\*1 MEQ>90 piecewise model

di newline

di \_dup(80) "\*"

di "Mixed model for piecewise analysis of qavg\_above90: Average daily MEQ => 90 in the quarter"

melogit qavg\_above90 ztime1 ztime2 i.intervention intervention#c.ztime1 intervention#c.ztime2 ||clusterid: || studyid: ztime2 || studyid: ztime1, covariance(unstructured) cformat(%9.3f) startgrid() intpoints(12) intmethod(ghermite) estimates save "gavg\_above90 model v6", replace

\*provides marginal proportions for baseline and 3 months

di newline

di\_dup(80) "\*"

di "provides marginal proportions for baseline and 3 months for qavg\_above90: Average daily MEQ => 90 in the quarter" margins intervention, at(ztime1=(0 3) ztime2=(0)) nopv cformat(%9.3f)

\*please add "3" to ztime2 to arrive at correct timepoint as this is a piecewise model

\*provides marginal proportions for 3,6,9, and 12 months

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for 3,6,9, and 12 months for qavg\_above90: Average daily MEQ => 90 in the quarter" margins intervention , at(ztime2=(0 3 6 9) ztime1=(3)) nopv cformat(%9.3f)

\*provides marginal proportions for baseline, 3, 6, 9, 12 months

\*note there are impossible combinations of the two piecewise components.

\*ignore any pairs where (ztime=0 and ztime2 not equals 0)

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for baseline, 3,6,9, and 12 months for qavg\_above90: Average daily MEQ => 90 in the quarter"

margins intervention, at(ztime2=(0 3 6 9) ztime1=(0 3)) nopv cformat(%9.3f)

\*this provides the difference beween the intervention and control groups at each timepoint.

\*don't forget to not interpret the impossible combinations (ztime=0 and ztime2 not equals 0)

di \_newline

di \_dup(80) "\*"

di "provides difference beween the intervention and control groups at each timepoint in marginal proportions for qavg\_above90: Average daily MEQ => 90 in the quarter"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f)

matrix nnt=r(table)

di \_newline

di\_dup(80) "\*"

di "provides NNT and associated 95% CIs at baseline,3,6,9,12 months for qavg\_above90: Average daily MEQ => 90 in the quarter" di "The NNT at baseline for Average daily MEQ => 90 in the quarter is " %4.2f 1/-nnt[1,9] " 95% CI [" %4.2f 1/-nnt[6,9] "," %4.2f 1/-

nnt[5*,*9] "]"

di "The NNT at 3 months for Average daily MEQ => 90 in the quarter " %4.2f 1/-nnt[1,13] " 95% CI [" %4.2f 1/-nnt[6,13] "," %4.2f 1/nnt[5,13] "]"

di "The NNT at 6 months for Average daily MEQ => 90 in the quarter is " %4.2f 1/-nnt[1,14] " 95% CI [" %4.2f 1/-nnt[6,14] "," %4.2f 1/nnt[5,14] "]"

di "The NNT at 9 months for Average daily MEQ => 90 in the quarter is " %4.2f 1/-nnt[1,15] " 95% CI [" %4.2f 1/-nnt[6,15] "," %4.2f 1/nnt[5,15] "]"

di "The NNT at 12 months for Average daily MEQ => 90 in the quarter is " %4.2f 1/-nnt[1,16] " 95% CI [" %4.2f 1/-nnt[6,16] "," %4.2f 1/nnt[5,16] "]"

di \_newline

di \_dup(80) "\*"

di "provides pairwise differences in change beween arms in marginal proportions for qavg\_above90: Average daily MEQ => 90 in the quarter"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f) pwcompare

- \*change within arm
- di \_newline

di \_dup(80) "\*"

di "provides change within UC arm for all possible pairs of timepoints for qavg\_above90: Average daily MEQ => 90 in the quarter" margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(0)) cformat(%9.3f) pwcompare

di newline

di \_dup(80) "\*"

\*\*\*\* WILL NOT CALCULATE FOR CBT GROUP, WILL HAND CALCULATE BELOW

\*di "provides change within CBT arm for all possible pairs of timepoints for qavg\_above90: Average daily MEQ => 90 in the quarter" \*margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(1)) cformat(%9.3f) pwcompare

```
Technical Appendix: Stata Analytic Code for A Primary Care-Based Cognitive Behavioral Therapy Intervention for Long-Term Opioid Users with
Chronic Pain: A Randomized Pragmatic Trial
*provides marginal proportions for baseline, 3, 6, 9, 12 months
        *note there are impossible combinations of the two piecewise components.
        *ignore any pairs where (ztime=0 and ztime2 not equals 0)
        di newline
        di_dup(80) "*"
        di "provides marginal proportions for baseline, 3,6,9, and 12 months for gavg above90: Average daily MEQ => 90 in the quarter"
margins intervention, at(ztime2=(0 3 6 9) ztime1=(0 3)) nopv cformat(%9.3f) post
estimates store props
*calculate within UC relative risk for baseline to 3 months
        di newline
        di dup(80) "*"
        di "within UC risk difference from baseline to 3 months"
        nlcom ( b[5. at#0.intervention]- b[1. at#0.intervention])
        di newline
        di_dup(80) "*"
        di "within UC relative risk for baseline to 3 months"
        nlcom (Inrr: In( b[5. at#0.intervention]/ b[1. at#0.intervention])), post
        display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-
.05/2)* se[Inrr])
estimates restore props
*calculate within CBT relative risk for baseline to 3 months
        di newline
        di dup(80) "*"
        di "within CBT risk difference from baseline to 3 months"
        nlcom ( b[5. at#1.intervention]- b[1. at#1.intervention])
        di newline
        di dup(80) "*"
        di "within CBT relative risk for baseline to 3 months"
       nlcom (Inrr: In( b[5. at#1.intervention]/ b[1. at#1.intervention])), post
        display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-
.05/2)* se[lnrr])
estimates restore props
*calculate within UC relative risk for 3 month to 12 months
        di newline
        di dup(80) "*"
        di "within UC risk difference from 3 month to 12 months"
        nlcom ( b[8. at#0.intervention]- b[5. at#0.intervention])
```

di newline di\_dup(80) "\*" di "within UC relative risk for 3 month to 12 months" nlcom (Inrr: In( b[8. at#0.intervention]/ b[5. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\*\_se[lnrr]) estimates restore props \*calculate within CBT props risk for 3 month to 12 months di newline di dup(80) "\*" di "within CBT risk difference from 3 month to 12 months" nlcom ( b[8. at#1.intervention]- b[5. at#1.intervention]) di newline di dup(80) "\*" di "within CBT relative risk for 3 month to 12 months" nlcom (Inrr: ln(\_b[8.\_at#1.intervention]/\_b[5.\_at#1.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within UC relative risk for baseline to 12 months di newline di dup(80) "\*" di "within UC risk difference from baseline to 12 months" nlcom (\_b[8.\_at#0.intervention]-\_b[1.\_at#0.intervention]) di \_newline di\_dup(80) "\*" di "within UC relative risk for baseline to 12 months" nlcom (Inrr: ln(\_b[8.\_at#0.intervention]/\_b[1.\_at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\*\_se[lnrr]) estimates restore props \*calculate within CBT relative risk for baseline to 12 months di newline di dup(80) "\*" di "within CBT risk difference from baseline to 12 months" nlcom ( b[8. at#1.intervention]- b[1. at#1.intervention]) di newline

```
di dup(80) "*"
        di "within CBT relative risk for baseline to 12 months"
        nlcom (Inrr: In( b[8. at#1.intervention]/ b[1. at#1.intervention])), post
        display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-
.05/2)* se[Inrr])
estimates restore props
*calculate within ratio of relative risks for within arm change from baseline to 3 months
        di newline
        di dup(80) "*"
       nlcom (Inrr: ln(( b[5. at#1.intervention]/ b[1. at#1.intervention]))-(ln( b[5. at#0.intervention]/ b[1. at#0.intervention]))), post
        display "Ratio of Relative Risks = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", "
exp(_b[lnrr]+invnormal(1-.05/2)*_se[lnrr])
estimates restore props
*calculate within ratio of relative risks for within arm change from 3 months to 12 months
        di newline
        di dup(80) "*"
        nlcom (Inrr: In(( b[8. at#1.intervention]/ b[5. at#1.intervention]))-(In( b[8. at#0.intervention]/ b[5. at#0.intervention]))), post
        display "Ratio of Relative Risks = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", "
exp( b[Inrr]+invnormal(1-.05/2)* se[Inrr])
estimates restore props
*calculate within ratio of relative risks for within arm change from baseline to 12 months
        di newline
        di dup(80) "*"
       nlcom (lnrr: ln(( b[8. at#1.intervention]/ b[1. at#1.intervention]))-(ln( b[8. at#0.intervention]/ b[1. at#0.intervention]))), post
        display "Ratio of Relative Risks = " exp( b[Inrr]) skip(3) "95% CI = " exp( b[Inrr]-invnormal(1-.05/2)* se[Inrr]) ", "
exp( b[lnrr]+invnormal(1-.05/2)* se[lnrr])
estimates drop props
di _dup(80) "*"
di_dup(80) "*"
di dup(80) "*"
di _dup(80) "*"
*****Calculate icc's for qavg_above50: Average daily MEQ => 50 in the quarter
        di newline(2)
        di dup(80) "*"
```

di "Unconditional mixed model and ICC for qavg\_above50: Average daily MEQ => 50 in the quarter"

melogit qavg\_above50 ||clusterid: || studyid:, cformat(%9.3f) startgrid() intpoints(12) intmethod(ghermite) estat icc

\*1 MEQ>50 piecewise model

di \_newline

di \_dup(80) "\*"

di "Mixed model for piecewise analysis of qavg\_above50: Average daily MEQ => 50 in the quarter"

melogit qavg\_above50 ztime1 ztime2 i.intervention intervention#c.ztime1 intervention#c.ztime2 ||clusterid: || studyid: ztime2 |

estimates save "qavg\_above50\_model\_v6", replace

\*provides marginal proportions for baseline and 3 months

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for baseline and 3 months for qavg\_above50: Average daily MEQ => 50 in the quarter"

margins intervention, at(ztime1=(0 3) ztime2=(0)) nopv cformat(%9.3f)

\*please add "3" to ztime2 to arrive at correct timepoint as this is a piecewise model

\*provides marginal proportions for 3,6,9, and 12 months

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for 3,6,9, and 12 months for qavg\_above50: Average daily MEQ => 50 in the quarter" margins intervention , at(ztime2=(0 3 6 9) ztime1=(3)) nopv cformat(%9.3f)

\*provides marginal proportions for baseline,3,6,9,12 months

\*note there are impossible combinations of the two piecewise components.

\*ignore any pairs where (ztime=0 and ztime2 not equals 0)

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for baseline, 3,6,9, and 12 months for qavg\_above50: Average daily MEQ => 50 in the quarter" margins intervention , at(ztime2=(0 3 6 9) ztime1=(0 3)) nopv cformat(%9.3f)

\*this provides the difference beween the intervention and control groups at each timepoint.

\*don't forget to not interpret the impossible combinations (ztime=0 and ztime2 not equals 0)

di \_newline

di \_dup(80) "\*"

di "provides difference beween the intervention and control groups at each timepoint in marginal proportions for qavg\_above50:

Average daily MEQ => 50 in the quarter"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f)

matrix nnt=r(table)

di \_newline

di \_dup(80) "\*"

di "provides NNT and associated 95% CIs at baseline,3,6,9,12 months for qavg above50: Average daily MEQ => 50 in the quarter"

di "The NNT at baseline for Average daily MEQ => 50 in the quarter is " %4.2f 1/-nnt[1,9] " 95% CI [" %4.2f 1/-nnt[6,9] "," %4.2f 1/-nnt[5,9] "]"

di "The NNT at 3 months for Average daily MEQ => 50 in the quarter " %4.2f 1/-nnt[1,13] " 95% CI [" %4.2f 1/-nnt[6,13] "," %4.2f 1/-nnt[5,13] "]"

di "The NNT at 6 months for Average daily MEQ => 50 in the quarter is " %4.2f 1/-nnt[1,14] " 95% CI [" %4.2f 1/-nnt[6,14] "," %4.2f 1/nnt[5,14] "]"

di "The NNT at 9 months for Average daily MEQ => 50 in the quarter is " %4.2f 1/-nnt[1,15] " 95% CI [" %4.2f 1/-nnt[6,15] "," %4.2f 1/nnt[5,15] "]"

di "The NNT at 12 months for Average daily MEQ => 50 in the quarter is " %4.2f 1/-nnt[1,16] " 95% CI [" %4.2f 1/-nnt[6,16] "," %4.2f 1/-nnt[5,16] "]"

di \_newline

di \_dup(80) "\*"

di "provides pairwise differences in change beween arms in marginal proportions for qavg\_above50: Average daily MEQ => 50 in the quarter"

margins, at(ztime2=(0 3 6 9) ztime1=(0 3)) dydx(intervention) cformat(%9.3f) pwcompare

\*change within arm

di \_newline

di \_dup(80) "\*"

di "provides change within UC arm for all possible pairs of timepoints for qavg\_above50: Average daily MEQ => 50 in the quarter" margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(0)) cformat(%9.3f) pwcompare

di \_newline

di \_dup(80) "\*"

\*\*\*\*WILL NOT CALCULATE FOR CBT GROUP, WILL HAND CALCULATE BELOW

\*di "provides change within CBT arm for all possible pairs of timepoints for qavg\_above50: Average daily MEQ => 50 in the quarter"

\*margins, at(ztime2=(0 3 6 9) ztime1=(0 3) intervention=(1)) cformat(%9.3f) pwcompare

\*provides marginal proportions for baseline, 3, 6, 9, 12 months

\*note there are impossible combinations of the two piecewise components.

\*ignore any pairs where (ztime=0 and ztime2 not equals 0)

di \_newline

di \_dup(80) "\*"

di "provides marginal proportions for baseline, 3,6,9, and 12 months for qavg\_above50: Average daily MEQ => 50 in the quarter" margins intervention, at(ztime2=(0 3 6 9) ztime1=(0 3)) nopv cformat(%9.3f) post

#### estimates store props

\*calculate within UC relative risk for baseline to 3 months

di \_newline

di \_dup(80) "\*"

di "within UC risk difference from baseline to 3 months" nlcom ( b[5. at#0.intervention]- b[1. at#0.intervention]) di newline di\_dup(80) "\*" di "within UC relative risk for baseline to 3 months" nlcom (Inrr: In( b[5. at#0.intervention]/ b[1. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\*\_se[lnrr]) estimates restore props \*calculate within CBT relative risk for baseline to 3 months di newline di\_dup(80) "\*" di "within CBT risk difference from baseline to 3 months" nlcom (\_b[5.\_at#1.intervention]-\_b[1.\_at#1.intervention]) di newline di dup(80) "\*" di "within CBT relative risk for baseline to 3 months" nlcom (Inrr: In( b[5. at#1.intervention]/ b[1. at#1.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within UC relative risk for 3 month to 12 months di newline di\_dup(80) "\*" di "within UC risk difference from 3 month to 12 months" nlcom ( b[8. at#0.intervention] - b[5. at#0.intervention]) di newline di dup(80) "\*" di "within UC relative risk for 3 month to 12 months" nlcom (Inrr: In( b[8. at#0.intervention]/ b[5. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[Inrr]) estimates restore props \*calculate within CBT props risk for 3 month to 12 months di newline di dup(80) "\*" di "within CBT risk difference from 3 month to 12 months"

nlcom ( b[8. at#1.intervention]- b[5. at#1.intervention]) di newline di dup(80) "\*" di "within CBT relative risk for 3 month to 12 months" nlcom (Inrr: In( b[8. at#1.intervention]/ b[5. at#1.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within UC relative risk for baseline to 12 months di newline di dup(80) "\*" di "within UC risk difference from baseline to 12 months" nlcom ( b[8. at#0.intervention]- b[1. at#0.intervention]) di newline di\_dup(80) "\*" di "within UC relative risk for baseline to 12 months" nlcom (Inrr: In( b[8. at#0.intervention]/ b[1. at#0.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within CBT relative risk for baseline to 12 months di newline di dup(80) "\*" di "within CBT risk difference from baseline to 12 months" nlcom ( b[8. at#1.intervention]- b[1. at#1.intervention]) di newline di dup(80) "\*" di "within CBT relative risk for baseline to 12 months" nlcom (Inrr: ln(\_b[8.\_at#1.intervention]/\_b[1.\_at#1.intervention])), post display "Risk ratio = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)\* se[lnrr]) ", " exp( b[lnrr]+invnormal(1-.05/2)\* se[lnrr]) estimates restore props \*calculate within ratio of relative risks for within arm change from baseline to 3 months di newline di dup(80) "\*" nlcom (Inrr: In(( b[5. at#1.intervention]/ b[1. at#1.intervention]))-(In( b[5. at#0.intervention]/ b[1. at#0.intervention]))), post

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display "Ratio of Relative Risks = " exp(_b[lnrr]) _skip(3) "95% CI = " exp(_b[lnrr]-invnormal(1-.05/2)*_se[lnrr]) ", "
exp(_b[lnrr]+invnormal(1-.05/2)*_se[lnrr])
estimates restore props
*calculate within ratio of relative risks for within arm change from 3 months to 12 months
        di newline
        di dup(80) "*"
       nlcom (Inrr: ln(( b[8. at#1.intervention]/ b[5. at#1.intervention]))-(ln( b[8. at#0.intervention]/ b[5. at#0.intervention]))), post
        display "Ratio of Relative Risks = " exp(_b[Inrr]) _skip(3) "95% CI = " exp(_b[Inrr]-invnormal(1-.05/2)*_se[Inrr]) ", "
exp( b[lnrr]+invnormal(1-.05/2)* se[lnrr])
estimates restore props
*calculate within ratio of relative risks for within arm change from baseline to 12 months
        di newline
        di_dup(80) "*"
       nlcom (Inrr: ln((_b[8._at#1.intervention]/_b[1._at#1.intervention]))-(ln(_b[8._at#0.intervention]/_b[1._at#0.intervention]))), post
       display "Ratio of Relative Risks = " exp( b[lnrr]) skip(3) "95% CI = " exp( b[lnrr]-invnormal(1-.05/2)* se[lnrr]) ", "
exp( b[Inrr]+invnormal(1-.05/2)* se[Inrr])
estimates drop props
di_dup(80) "*"
```

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log close
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