## <u>Appendix</u>

eTable1: Separating pre-infection baseline SOFA from acute SOFA* at UVAMC						
SOFA Component Method of determining score						
Respiratory						
Pre-infection baseline	We assumed a baseline partial pressure of arterial oxygen ( $PaO_2$ ) of at least 84 mmHg. This is the lowest integer value of assumed home $PaO_2$ that assigns a baseline respiratory SOFA of 0 for patients that breathe room air ( $FiO_2$ of 0.21) at home.					
	Baseline supplemental oxygen settings were determined by chart review. From these, we estimated baseline fraction of inspired oxygen (FiO <sub>2</sub> ) using standard clinical methods (Appendix Figure 1).					
	We divided the assumed home $PaO_2$ (84mmHg) by the estimated home $FiO_2$ to decide the baseline PF-ratio and respiratory SOFA scores.					
	In this way, we assigned a baseline respiratory SOFA of 0 to patients with no chronic oxygen use, 1 for oxygen use up to FiO <sub>2</sub> of 0.28 (equivalent to 2 liters per minute by nasal cannula) and 2 for any oxygen use higher than that.					
Maximal derangement	To determine acute maximal derangement in respiratory SOFA, we used both the imputed PaO <sub>2</sub> values obtained by applying the Gadrey et al model of the oxygen dissociation curve to recorded oxygen saturation on pulse oximetry (SpO <sub>2</sub> ).					
	We converted simultaneous recordings of supplemental oxygen settings to estimates of FiO <sub>2</sub> using standard clinical methods (Appendix Figure 1).					
	To obtain the P-F ratio, we divided all PaO <sub>2</sub> values by simultaneous FiO <sub>2</sub> estimates. Based on these, we calculated the total respiratory SOFA at any given time.					
	We did not restrict the scores based on "respiratory support". In other words, we allowed scores of 3 and 4 when ePFR was below 200 and 100 respectively, even though our patients were not mechanically ventilated.					
Coagulation						

Pre-infection baseline	We manually reviewed past laboratory results in the FMD and
Pre-infection baseline	We manually reviewed past laboratory results in the EMR and references in physician or nursing notes about known baseline
	derangements from verbal communications or faxed records.
Maximal derangement	We queried our data warehouse for platelet levels. In event of
	missing value, maximal derangement was assumed to be equal
	to pre-infection baseline value.
Hepatic	
Pre-infection baseline	We manually reviewed past laboratory results in the EMR and
	references in physician or nursing notes about known baseline
	derangements from verbal communications or faxed records.
Maximal derangement	We queried our data warehouse for total bilirubin levels. In
	event of missing value, maximal derangement was assumed to
	be equal to pre-infection baseline value.
Renal	
Pre-infection baseline	We manually reviewed past laboratory results in the EMR and
	references in physician or nursing notes about known baseline
	derangements from verbal communications or faxed records.
Maximal derangement	We queried our data warehouse for creatinine levels. In event
	of missing value, maximal derangement was assumed to be
	equal to pre-infection baseline value. Urine output was not
	used because of highly variable charting regularity.
Cardiovascular	
Pre-infection baseline	We manually reviewed past laboratory results in the EMR and
	references in physician or nursing notes about known baseline
	derangements (like chronic hypotension requiring midodrine
	etc.) from verbal communications or faxed records.
	The maximal baseline derangement was 1 as no patient with
	home infusions such as dobutamine or milrinone were a part of
	our cohort.
Maximal derangement	We queried our data warehouse for mean arterial pressures.
	The maximal derangement could only assume a value of 0 or 1
	because vasopressor infusions are not initiated on acute care
	floors in our hospital.
Central Nervous System	
Pre-infection baseline	We reviewed physician and nursing notes from current and past
	encounters to look for documentation of chronic mental state
	derangements. When Glasgow Coma Scale (GCS) was not
	charted, we looked for description of individual components of
	GCS. For example, "independent with activities of daily living
	and finances" was taken to mean a GCS of 15. "Occasionally
	gets confused at baseline" or mentions regarding inappropriate
	responses was taken to mean a GCS of 13-14. Incomprehensible

	speech or "non-verbal" baseline were taken to mean a GCS of 10-12.			
Maximal derangement	We queried our data warehouse for nurse documented GCS levels. In event of missing value, maximal derangement was assumed to be equal to pre-infection baseline value.			
* The acute rise in SOFA score was obtained by subtracting the maximally deranged value from pre-infection baseline value. This distinction was only available at UVA, not at EU				

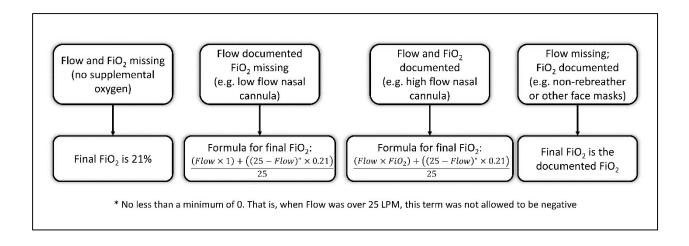
eTable 2: Charlson Comorbidity Index				
Co-morbidity	Associated score			
Myocardial Infacrtion				
Congestive Heart Failure				
Peripheral Vascular Disease				
Cerebrovascular Disease				
Dementia	1			
Chronic Obstructive Pulmonary Disease	1			
Connective Tissue Disease				
Ulcer Disease				
Mild Liver Disease				
Diabetes				
Hemiplegia				
Moderate or severe renal disease				
Diabetes with end organ damage	2			
Any tumor	2			
Leukemia				
Lymphoma				
Moderate or severe liver disease	3			
Metastatic solid tumor	6			
AIDS	о С			

eTable 3: Components of estimated P/F ratio, SOFA, and NEWS								
SOFA	NEWS							
<u>Respiratory:</u> 0 to 4 <sup>*</sup> based on estimated P/F ratio	<u>Temperature:</u> 0 to 3							
Cardiovascular: 0 to 1 <sup>+</sup> based on mean arterial pressure	Respiratory rate: 0 to 3							
<u>Renal:</u> 0 to 4 based on creatinine levels	<u>Heart Rate:</u> 0 to 3							
<u>Coagulopathy:</u> 0 to 4 based on platelet counts	<u>Systolic Blood Pressure:</u> 0 to 3							
<u>Hepatic:</u> 0 to 4 based on total bilirubin levels	Hypoxemia: 0 to 3 based on SpO <sub>2</sub> ; Add 2 points for any supplemental oxygen use							
<u>Neurologic:</u> 0 to 4 based on recorded GCS level	<u>Neurologic:</u> 0 or 3 based on the AVPU score <sup>‡</sup>							
	SOFARespiratory: 0 to 4* based on estimated P/F ratioCardiovascular: 0 to 1* based on mean arterial pressureRenal: 0 to 4 based on creatinine levelsCoagulopathy: 0 to 4 based on platelet countsHepatic: 0 to 4 based on total bilirubin levelsNeurologic: 0 to 4 based on							

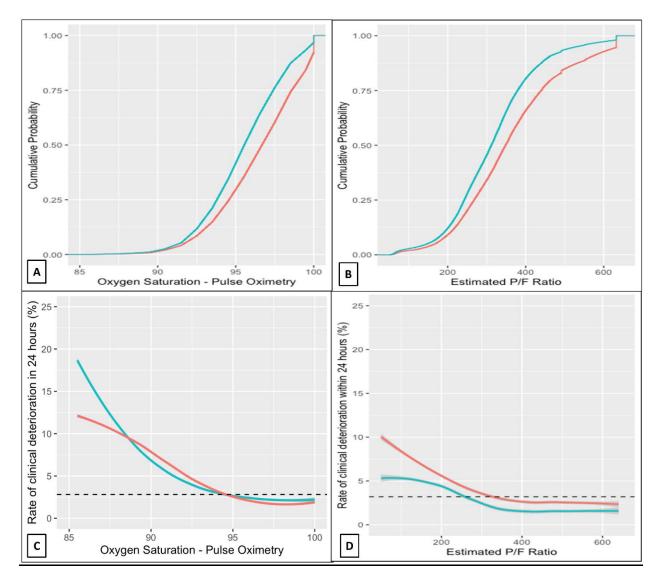
<sup>\*</sup> We did not restrict the scores based on "respiratory support". In other words, we allowed scores of 3 and 4 when ePFR was below 200 and 100 respectively, even though our patients were not mechanically ventilated.

<sup>+</sup> Patients can only get up 3 or 4 points if they are on vasopressors; since we censored prior to ICU transfer, none of our patients were on vasopressors.

<sup>‡</sup> The AVPU (Alert, Voice, Pain, Unresponsiveness) scale measures level of alertness. The NEWS model assigns 3 points for any rating other than "A" (alert). Since this scale is not routinely used in our institution, we assigned 3 points to any GCS rating lower than 15.



**<u>eFigure 1</u>**: This figure depicts our process of determining FiO<sub>2</sub>. It is designed to closely resemble how clinicians would determine FiO<sub>2</sub> in their day-to-day clinical practice. It assumes an inspiratory flow rate of 25 liters per minute when accounting for entrainment of ambient air in low flow systems. (Abbreviations: Flow – supplemental oxygen flow rate; FiO<sub>2</sub> – Fraction of Inspired Oxygen; LPM – Liters Per Minute)

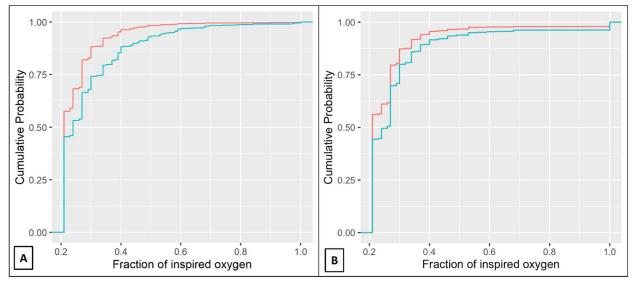


**<u>eFigure 2:</u>** Panels A - B show the Empirical Cumulative Distribution Functions for SpO<sub>2</sub> and ePFR respectively. This figure depicts the results from Emory. Corresponding results from UVA are shown in Figure 3. Race is encoded by color (red - Black patients, blue - others). Similar to Figure 3, we observe a right shift in distributions of hypoxemia measures. By itself, this finding could suggest that Black patients were hospitalized with less intense respiratory failure than non-Black patients. But that conclusion is inconsistent with the finding that for comparable levels of oxygenation, Black patients were at higher risk of adverse outcomes than non-Blacks. Together, these findings point to a phenomenon like occult hypoxemia which leads clinicians to use lower FiO<sub>2</sub> settings because of a falsely reassuring SpO<sub>2</sub> reading, leading to worse outcomes. To make the plots directly comparable despite the varying scales of the hypoxemia measures, we used SpO<sub>2</sub> values ranging from 85% to 100% and the corresponding range from a minimum ePFR of 50 (representing a SpO<sub>2</sub> of 85% on 100% FiO<sub>2</sub>) to a maximum ePFR of 633 (representing a SpO<sub>2</sub> of 100% on room air). To smoothen the ECDFs, we converted SpO<sub>2</sub> from integer to continuous by adding uniformly distributed noise (+/- 0.5% with a maximum SpO<sub>2</sub> of

100%). The dashed horizontal lines (Panels C-D) mark the rate of clinical deterioration in the entire dataset (2.9%).

Baseline Risk	Sepsis-3	NEWS	ePFR	All	3 day	Baseline Risk	Sepsis-3	NEWS	ePFR	All	5 day
0.64	< 0.01	< 0.01	< 0.01	< 0.01	Baseline Risk	0.65	< 0.01	< 0.01	< 0.01	< 0.01	Baseline Risk
	0.70	< 0.01	< 0.01	< 0.01	Sepsis-3		0.71	< 0.01	< 0.01	< 0.01	Sepsis-3
		0.73	< 0.01	< 0.01	NEWS			0.73	< 0.01	< 0.01	NEWS
			0.78	< 0.01	ePFR				0.77	< 0.01	ePFR
				0.79	All			5		0.78	All
Baseline Risk	Sepsis-3	NEWS	ePFR	All	7 day	Baseline Risk	Sepsis-3	NEWS	ePFR	All	14 day
0.67	< 0.01	< 0.01	< 0.01	< 0.01	Baseline Risk	0.69	< 0.01	< 0.01	< 0.01	< 0.01	Baseline Risk
	0.73	< 0.01	< 0.01	< 0.01	Sepsis-3		0.76	< 0.01	< 0.01	< 0.01	Sepsis-3
3		0.73	< 0.01	< 0.01	NEWS			0.75	< 0.01	< 0.01	NEWS
			0.77	< 0.01	ePFR				0.78	< 0.01	ePFR
				0.78	All					0.79	All

**<u>eFigure 3:</u>** For our primary analysis we used a prediction horizon of 24 hours (Figure 3). We repeated the analysis for 3 day, 5 day, 7 day, and 14 day horizons. The ePFR continued to outperform NEWS and Sepsis-3. However, the baseline risk model performed better with longer horizons (AUROC ranging from 0.63 for a 1 day horizon to 0.69 for a 14 day horizon).



**<u>eFigure 4:</u>** The distribution of ePFR, that simultaneously account for oximetry values and clinicians' response to it (supplemental oxygen adjustment), showed a wider racial disparity than was noted with the distribution of SpO<sub>2</sub>. This suggested that, on average, clinicians were achieving their SpO<sub>2</sub> targets with lower supplemental oxygen settings in Black patients. This figure shows the empirical cumulative distribution functions for patients' FiO<sub>2</sub> at UVA (left) and Emory (right) which shows a left shift (lower FiO<sub>2</sub>) for Black patients (red) compared to non-Black patients (blue).