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Reconstruction of hundreds of reference ancestral genomes across the eukaryotic kingdom

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Reconstruction of hundreds of reference ancestral genomes across the eukaryotic kingdom

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Glossary

Here is a summary of the AGORA-specific terms we use in this document:

- Ancestral gene Inferred existence of a gene in a given ancestor.
- Constrained Ancestral gene that has undergone fewer duplications and losses than a given threshold.
- Orthology group Set of extant genes that derive from a single speciation event. AGORA represents ancestral genes as orthology groups.
- Gene pair Any two genes.
- Gene adjacency Two contiguous genes on a chromosome, taking their transcriptional orientation into account.
- Conserved gene Gene adjacency that is seen in two or more genomes, using orthologues to do the comparison.
- Contiguous ancestral region
 (abbreviated as CAR). Ordered list of oriented ancestral genes, representing the region of an ancestral genome. A CAR may correspond to an entire chromosome in an ancestral genome, or a portion of it.
- Singleton Ancestral gene that could not be placed in any CAR.
- Ancestral genome A collection of CARs and singletons for a given ancestor, that encompasses all its ancestral genes.
- Ancestral block Output of a reconstruction step. A block is an ordered list of oriented elements, which can be either ancestral genes or ancestral blocks.
 - Integration A workflow step that builds ancestral blocks from conserved adjacencies.
- Single-integration Reconstruction workflow that considers all ancestral genes (or blocks) at once.
- Multi-integration pass
 Reconstruction workflow that processes constrained and nonconstrained ancestral genes (or blocks) differently across multiple integration steps.
- One-pass Reconstruction workflow that runs a single pass.
- Two-pass Reconstruction workflow that runs two passes. The blocks reconstruction reconstructed during the second pass are made of the blocks reconstructed during the first pass.

AGORA method

45 **Overview**

The AGORA method (outlined in Fig. S1) is a generic and flexible framework for reconstructing ancestral genomes by comparing extant genomes. The rationale that underlies AGORA is that similarities between any two genomes often reflect ancestral features that existed in all the ancestors that lie on the evolutionary path that leads from one genome to the other in the

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50 species tree. To distinguish the similarities that occur by chance from those that truly reflect an ancestral state, AGORA integrates data over tens to thousands of comparisons for a given ancestral genome to accumulate confidence in the selected similarities.

AGORA requires two sets of information to reconstruct ancestral genomes: the position of genes in their respective extant genomes and the phylogenetic relationships among these

- 55 genes. This data is processed by AGORA in several steps: (i) ancestral gene content extraction if not provided by the user, (ii) pairwise comparisons and (iii) integration. AGORA does not annotate genomes and does not compute phylogenetic gene trees, both of which must be provided by the user. Input gene trees have to be reconciled with the species phylogeny. While a range of resources exists to obtain or to compute such data, we used the Ensembl¹ database
- 60 as a central source of homogeneous and exhaustive information on both gene annotations and gene phylogenetic trees.

Extraction of ancestral genes

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For a given gene, a reconciled phylogenetic tree records the complete history of its evolution, including speciation, duplication and loss. Ancestral genes can thus be inferred from the gene trees. It is possible to establish the gene catalogue of all ancestors by traversing the complete

set of phylogenies and adding genes to the relevant ancestors.

AGORA represents an ancestral gene as an orthology group: the set of extant genes that derive from it, as per the gene tree (Fig. S2). Orthology groups can be provided by the user, or will be inferred from the gene trees by AGORA. Ancestral genes of each ancestor are identified by

- 70 traversing each gene tree from its root node thanks to the reconciliation tags. When a duplication node is encountered, AGORA creates an additional ancestral gene at this duplication node's ancestor, and splits the extant gene content across both ancestral genes. When a speciation node is encountered or when AGORA hits a leaf, AGORA marks the ancestral gene as present on all ancestors since the last one it considered, as it does between
- 75 two consecutive duplication events that happened in different ancestors. Finally, when a loss event is encountered, AGORA stops marking ancestral genes in this lineage.

Within this framework, when an extant gene does not relate to any genes of a given ancestor, it is considered a lineage-specific creation (relative to that ancestor). This can happen if the root of its gene tree is younger than the ancestor considered. Two extant genes are orthologous if

80 they descend from the same ancestral gene of their last common ancestor. Two extant genes are paralogous if they descend from different ancestral genes of their last common ancestor but the same ancestral gene of an older ancestor. Two extant genes are not homologous if no ancestral gene relates to both.

Selection of constrained ancestral genes

A reasonable assumption is that an ancestor's gene set must have been similar in size to the extant species below it (a notable exception is clades that underwent a whole genome duplication). As reported in Figure 3A, AGORA initially tends to overestimate the number of

genes present in each ancestral genome. This behavior is due to the existence of poorly supported duplication nodes in the gene trees, leading the algorithm to infer the existence of

- 90 two paralogous copies of a gene in the ancestral genome. Over 40% of duplication nodes in the gene trees of Ensembl have a "duplication confidence score" (defined as the ratio between the number of species present in both sub-trees over the number of species present in either sub-tree^{2,3}) lower than 0.30 (86,315 duplication nodes in total as of database v.85). Duplication confidence scores have been used in [2] to identify dubious nodes that are likely errors of the
- 95 phylogenetic reconstruction process. Erroneous duplication nodes result in the inference of a 'phantom' gene copy in the ancestor. However, these 'phantom' genes have only one or few descendants in modern genomes (hence their dubious duplication status) and usually remain singletons in the AGORA reconstructions due to lack of placement support.

AGORA overcomes this by identifying a subset of ancestral genes that are coined as 100 "constrained". These ancestral genes are defined as having a number of extant genes close to the number of species that descent from the ancestor, thus having undergone few duplications and losses. Such ancestral genes are more uniformly annotated and provide a clearer picture when comparing the species. For vertebrates, that range is defined as the number of genes being within 90%-110% of the number of species, though AGORA can use any user-defined

- 105 thresholds. AGORA scans all ancestral genes from all ancestors independently, meaning that for a given gene family, some of its ancestral genes may be deemed constrained, while others may not. For instance, in Fig. S2, the two *Boreoeutheria* ancestral genes are both considered constrained because they each have two extant genes and there are two species under *Boreoeutheria*, which gives 2/2=100%, within the 90%-110% window. On the other hand, the
- 110 *Theria* ancestral gene has five extant genes and there are three species under *Theria*: its ratio is 5/3=167%, outside of the window, and the ancestral gene is therefore considered *not* constrained.

AGORA is able to employ a multi-step strategy to leverage these constrained genes, by first building backbones of ancestral genomes using constrained gene families only, and then reconstructing local gene order using the remaining gene families.

Pairwise comparisons – extraction of conserved gene adjacencies

The core principle of this step is that when two genes are consecutive in one genome and their two respective orthologues are also consecutive and in the same transcriptional orientation in another genome, then the ancestral copies of these genes probably existed in the same configuration in all the ancestral nodes between the two species, from their last common ancestor. This definition of conservation, combining strict adjacency and transcriptional orientation of two functional sequences, is very stringent and unlikely to occur by chance.

Depending on its position in the tree, an ancestral genome may be assigned a conserved adjacency through different comparisons. Indeed, an ancestral node is always found at the cross road of three branches: two descendants and one outgroup (except for the root of the

125 cross road of three branches: two descendants and one outgroup (except for the root of the species tree). Any comparison between two species that belong to two of the three branches is

potentially informative to identify a conserved adjacency in that ancestor. As AGORA is given more extant genomes, it will compare more adjacencies, and is more likely to find conserved ones. This means that regions of the species tree with a low number of species, may contribute

130 less to their ancestor than the other branches.

The naive implementation would result in a $O(n^2 \times \log(n))$ time complexity (n^2 comparisons, and $\log(n)$ ancestors to propagate the conserved adjacencies to). AGORA implements an efficient algorithm to perform all the comparisons in a $O(n \times \log(n))$ time complexity at the expense of memory, by precomputing data into hash tables.

- 135 First, all extant genomes are iteratively filtered down to the gene set of each of their ancestors, and all the gene adjacencies are extracted (Fig. S3). For each ancestor *A*, AGORA considers all the pairs of species *below* it that are under different children, takes their set of gene adjacencies filtered down to that ancestor *A*, and computes the pairwise intersection. AGORA takes the union of all those intersections, while counting how many comparisons have
- 140 contributed to each conserved adjacency. AGORA employs the number of comparisons rather than genes, so that the number can grow quadratically as long at the species that support the adjacency are spread across different sub-trees (or outgroups). For instance, let's consider three scenarios in which an adjacency is supported by 14 species. In scenario (i), 7 species are in the first sub-tree, 2 in the second, and 5 are outgroups; the score is therefore
- 145 7*2+7*5+2*5=59. In scenario (ii), 7 species are in the first sub-tree, 7 in the second, and no outgroups support the adjacency; the score is 7*7=49. In scenario (iii), 12 species are in the first sub-tree, 2 in the second, and no outgroups support the adjacency; the score is 12*2=24.

This helps distinguishing between well- (widely-) supported and poorly- (locally-) supported adjacencies in the downstream integration steps (see below).

- 150 Finally, it marks each of these adjacencies as conserved in the ancestors that lie between the ancestor *A* and the extant species that contributed to it, unless they are disrupted by a recent insertion. This is equivalent to considering for each ancestor its outgroups as a third "sub-tree", and comparing all sub-trees (incl. the outgroups' one) without any propagation, but faster as each comparison is performed only once.
- 155 For each ancestor, the result is a list of oriented gene adjacencies with the number of comparisons that support it. This step is performed twice: on the set of constrained gene families and on the complete set.

Integrations – ancestral genome reconstructions

160 Hereafter, the AGORA steps are called "integrations" as they combine in various ways the conserved adjacencies that have been identified in order to generate ancestral genomes. The "de novo" integration reconstructs ancestral genomes solely using the conserved adjacencies, whereas the other integration steps also use the output of a previous integration step. The

fundamental rule that prevails is that each further integration step preserves the relative order

165 of the genes that are in its input reconstructions. Reconstructions incrementally grow and provide a more and more complete view of the ancestral genomes.

AGORA integrations are typically used in two settings: a single-integration mode, where only the "de novo" integration is run, and a multi-integration mode, where AGORA runs the "de novo" algorithm on the set of constrained ancestral genes (or blocks), and then other integration steps in order to add the non-constrained genes (or blocks).

"De novo" integration

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Here, for each ancestor, the conserved adjacencies identified by the algorithm above are represented in a weighted adjacency graph where nodes are oriented ancestral genes, edges represent observed conserved adjacencies, and the weights are the number of comparisons

- 175 that support each adjacency (Fig. S4). In a perfect scenario, the graph would be acyclic, with node degrees no greater than 2, thus immediately providing the structure of the ancestral genome as chromosomes. In reality, the high number of pairwise comparisons identifies a large amount of conserved gene adjacencies, which are not always consistent between each other because of evolutionary rearrangements, assembly, annotation or gene tree reconstruction
- 180 errors, or evolutionary convergence. This results in the graph usually containing cycles and bifurcations.

AGORA employs a greedy strategy to partition the graph into a set of acyclic, non-overlapping paths, selecting the highest weighted edges first, and adding edges of lower weight as long as they do not create forks or cycles with the previously selected edges.

185 The result is a set of contiguous oriented genes (similar to contigs in a sequence assembly process) that represent ancestral chromosomes (or portions of chromosomes) and a set of singletons. The blocks cannot be extended on either side because the genes at the ends either are not involved in any conserved adjacency (i.e. have different neighbours in all the genomes tested in the pairwise comparisons), or their adjacencies contradict other blocks. The same reason holds for singletons (blocks of length 1). This algorithm is the same as described in Berthelot et al., 2015⁴ and used in Murat et al.2015⁵ and Sacerdot et al.2018⁶.

"Fill-in" integration

In this step, AGORA fills the blocks created by the "de novo" integration with non-constrained genes, using the conserved adjacencies identified when comparing all the genomes on the entire sets of ancestral genes.

This is done by representing the conserved adjacencies in weighted adjacency graphs that are anchored into the blocks (Fig. S5). The graphs themselves (nodes, edges, weights) are constructed the same way as in the "de novo" step. AGORA searches paths of non-constrained genes that link consecutive constrained genes and do not create cycles nor bifurcations.

AGORA seeks to select the longest possible paths in order to maximise the number of genes included in the reconstructions, but those paths may contradict each other. For instance, an ancestral gene may be part of the longest paths of two different intervals. In such cases, AGORA chooses the paths that has the highest sum of weights along the adjacencies that it includes, and discards the other. AGORA then tests the next longest path for the second interval.

This iterative process results in each interval of constrained genes being filled with 0 or more non-constrained genes (ordered and oriented). All such extensions are compatible with one another (no cycles, no bifurcations). The output contains an additional set of singletons: the non-constrained genes that could not be added to any interval.

210 "Fusion" integration

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In this step, AGORA applies the "de novo" algorithm on the singletons, which contain both constrained and non-constrained ancestral genes (Fig. S6). Although the constrained singleton genes are not part of any conserved adjacencies between themselves (otherwise they would have formed a block in the first "de novo" step), they may be involved in conserved adjacencies

215 with non-constrained genes. Non-constrained genes can also be part of conserved adjacencies between themselves.

The output is a set of additional blocks that replace the singleton genes they are made of.

"Insertion" integration

At this stage, all the gene adjacencies within the blocks reconstructed are conserved. Moreover, since the "fill in" algorithm does a *longest* path search, the blocks cannot be extended without breaking that property of the blocks.

The "insertion" step (Fig. S7) acknowledges that errors in genome assembly, annotation and gene tree reconstruction can happen, and result in accidental loss of gene order conservation. In this step, AGORA seeks to insert the blocks created in the "fusion" integration step into the blocks created in the "fill in" step, while requiring *only one* of their ends to be supported by a conserved adjacency. The latter must have a higher weight than the one that supports the target

- interval. The ratio of these two weights (which is higher than 1) is what AGORA uses to choose which insertions to perform. Each interval *A*-*B* can welcome two insertions: on the right side of *A* and on the left side of *B*.
- As in the "de novo" step, AGORA employs a greedy strategy to select the insertions, considering the ones with the highest weight ratios first, and then the ones with lower weight ratios as long as they do not target the same insertion point. AGORA also tries to extend the blocks on each of their ends, using the weights to rank the possible extensions. A "fusion" block cannot be inserted in more than one point.
- 235 In the resulting blocks, not every adjacency is conserved amongst extant genomes, but nonconserved adjacencies are always surrounded by conserved ones, and within large-scale gene order conservation blocks.

Blocks of blocks – two-pass reconstruction

In the same way that extant genomes have been considered as sequences of oriented genes,
and the ancestral order of these genes has been reconstructed into blocks, extant genomes can be described as sequences of blocks and the ancestral order of these blocks can be reconstructed. This is similar to the scaffolding in the genome sequence assembly process. The method described below is an adaptation of the gene-based method presented above, working on blocks instead of genes, and forms the second pass of a two-pass reconstruction. Although
the same methods could be used for a third pass (blocks of blocks of blocks) and more, we

only use two passes for all our reconstructions.

Pairwise comparisons – extraction of conserved block adjacencies

The adjacency measured between the blocks has to be more relaxed than the one between the genes. The difficulty lies in the fact that a reconstructed block is not necessarily continuous in each extant genome, but perhaps interrupted by rearrangements. Indeed, since blocks are the result of integration steps of all conserved adjacencies between all genomes, a block can include two regions of different chromosomes of an extant species.

To identify block adjacencies, we need to identify the position of the extremities of the ancestral blocks on extant genomes, and then extract the cases where ends of different blocks are contiguous. AGORA starts by "aligning" the ancestral blocks with the extant genomes: identifying sequences of consecutive genes (at least two) that are in the same order and same transcriptional orientation. An adjacency between two blocks is declared when the first aligned segment of one block immediately follows the last aligned segment of the other block in an extant genome (respecting the transcriptional orientations). AGORA actually considers all possible relative orientations when searching block adjacencies, i.e. C1 followed by C2 (both in their default orientation), C1 followed by the reverse of C2 in its opposite orientation, etc.

For a given ancestor, AGORA builds the set of block adjacencies of each extant genome. Then it compares every pair of descendants that are under different children, and every descendant to every outgroup to intersect their respective sets of block adjacencies and build a weighted adjacency graph, where the weight is the number of comparisons that support the adjacency.

Overview of the second pass' integration

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While the first pass is always multi integration, for the second pass we typically use the singleintegration mode (i.e. only the "de novo" algorithm) for Vertebrates, and the multi-integration mode (the "de novo", "fill-in", "fusion", and "insertion" algorithms) for Plants.

270 In the latter mode, we need to define a filter that marks some of the first pass blocks as "constrained". We have experimented with several such filters but have not been able to reliably identify one that outperforms the others. Our Plants workflow hence runs four versions of the second pass using different filters, and then chooses, for each ancestor, the version that yields the highest G50 (see Methods). The four filters are:

- all the blocks of 20 genes or more
 - all the blocks of 50 genes or more
 - the longest blocks that encompass 50% of the ancestral genome
 - the longest blocks that encompass 70% of the ancestral genome

280 Advances compared to earlier publications

An early version of the AGORA method, corresponding to AGORA basic (see below; black path in Figure S1), has been used in previous publications^{4–6}.

Software implementation and packaging

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285 AGORA is available as a set of Python scripts on GitHub at https://github.com/DyogenIBENS/Agora, licensed under the GNU General Public License version 3 (GPL v3) and the CeCILL licence version 2 of the CNRS.

AGORA has a small number of dependencies, and is compatible with the reference Python implementation (CPython) and PyPy versions 3 or above. An example containerisation as a Docker image is also provided.

AGORA comes with its own workflow manager to (i) extract the ancestral gene content, (ii) do the pairwise comparisons, and (iii) run the integration steps (single-integration and multi-integration, one or two passes). The most common scenarios are directly available through these scripts:

- agora-basic.py runs two single-integration passes (black path on Fig. S1). This is the first script to try on a dataset.
 - agora-generic.py runs two multi-integration passes, and should be used when the agora-basic.py reconstructions are too incomplete or fragmented. Each pass is run with several filters that select the "constrained" genes or blocks, and AGORA automatically selects the version with the highest G50. This workflow takes longer to execute than agora-basic.py but will output a more complete reconstruction.
 - agora-vertebrates.py is the workflow used for the Vertebrates reconstructions (red path on Fig. S1).
 - agora-plants.py is the workflow used for the Plants reconstructions (green path on Fig. S1).

AGORA takes standard file formats as inputs: reconciled gene trees in NHX, species tree in Newick, and gene content of the extant species as BED files. It outputs all its data (intermediate

and final reconstructions) in tabular formats and the final ancestral genomes are also available as BED files.

Benchmarks against simulations

Simulations from Kim et al., 2017 (DESCHRAMBLER)

- We applied AGORA (agora-basic.py) to the 50 simulated datasets from the DESCHRAMBLER publication⁷. In these datasets, the genomes are described as lists of oriented markers (1 list for each chromosome), in files named SG_ALL_GENOMES for the extant genomes, and SG_ANCESTOR. {boreo,euarch,rodent} for the ancestral genomes. First, the 9 simulated extant genomes were converted to the BED-like format required by AGORA. The ancestral "genes" were inferred from the markers' presence/absence patterns in the extant genome using parsimony, e.g. a marker only seen in human and mouse was listed in *Euarchontoglires*' ancestral genes set, but not *Boreoeutheria*'s. The reconstructed genomes, and the true, simulated, ones were converted to sets of adjacencies of oriented genes in order to compute precision, sensitivity, and the Jaccard index (called "agreement" in [2]). Like DESCHRAMBLER, AGORA achieves on average >99% precision and sensitivity on all three ancestors *Boreoeutheria*, *Euarchontoglires, Rodentia* (the standard deviation is indicated in
- 325 parentheses).

Ancestor	Precision	Sensitivity	Jaccard index
Boreoeutheria	99.62%	99.30%	98.92%
	(0.06)	(0.14)	(0.15)
Euarchontoglires	99.64%	99.83%	99.47%
	(0.06)	(0.07)	(0.10)
Rodentia	99.56%	99.04%	98.61%
	(0.08)	(0.13)	(0.18)

Supplementary Table 1. AGORA performances on simulated genomes from Kim et al., 2017

330 Simulations using MagSimus

A striking limitation of the simulations from the DESCHRAMBLER publication is that they do not model duplications despite these being ubiquitous in gene evolution. For instance, in the version 92 of Genomicus (based on Ensembl 92), out of the 22,773 inferred genes for *Boreoeutheria*, 19,463 are in multiple copies in at least one descendant species.

- 335 We generated our own, more realistic, simulations that feature a more complete set of rearrangement and events, including duplications. The simulator, named MagSimus, is available at https://github.com/DyogenIBENS/MagSimus, licensed under the GNU General Public License version 3 (GPL v3) and the CeCILL licence version 2 of the CNRS (like AGORA).
- MagSimus⁸ models genomes as lists of ordered markers that represent genes. Starting from 340 the root of the species tree, an initial, random, genome undergoes random events that change its gene content (duplications, deletions, births) and gene order (inversions, translocations, fusions, fissions) successively on all branches of the species tree, towards the leaves. The rates of each type of event have been estimated from the actual genomes. Global rates are defined in the file named <u>data/parametersG ABC.v83</u>, and per-branch rates in
- 345 data/specRates MS1.v84. The latter lists the rates of the seven events listed above, as well as the proportion of gene duplications that happen in tandem (as opposite to the new copy being inserted randomly in the genome). Importantly, translocations, fusions and fissions select the chromosomes they affect independently of their length whereas the gene events and inversions select genes and intervals at random, thus selecting chromosomes proportionally to their length.
- 350 The inversion lengths follow a Gamma distribution of shape k=1 and scale $\theta=21.3630$. The consequence of those parameters is that the simulated Boreoeutheria genomes all had 24 chromosomes and 23,445 genes.

The output is a set of simulated extant genomes (human, mouse, dog, opossum, chicken, as in the previous simulation set, Figure S16), their ancestral genomes, and files similar to 355 AGORA's "ancestral genes" (i.e. the evolution of the gene families). Those files all had to be reformatted to fit AGORA's and DESCHRAMBLER's input formats. Specifically, for AGORA, we prefixed all gene names with their species name to make them unique. For DESCHRAMBLER, we converted the orthology groups to "conserved regions". First, we pruned each group by removing all duplications, since DESCHRAMBLER only support single-copy regions. Then we

- 360 discarded the groups that do not have a remaining representative gene in human and at least another species, since DESCHRAMBLER is reference-based and requires at least two species in each conserved region to function. Each "conserved region" therefore has 1 representative genes in human, and 0 or 1 in mouse, dog, opossum, and chicken. On average, 66.7% of the conserved regions have a mouse representative, 68.3% a dog one, 62.6% an opossum one,
- 365 62.6% a chicken one.

The first difference between both methods is that AGORA effectively operates on more genes than DESCHRAMBLER: on average 22,496.6 vs 11,045.3 (out of 23,445, over 50 iterations). While AGORA accepts all ancestral genes as inputs, 948.4 genes on average end up as singletons as they have less than two extant genes, or these are located within a single sub-

tree attached to Boreoeutheria. For DESCHRAMBLER, the difference comes from the 370 constraints of the "conserved regions" file explained above. This highlights the lack of resolution that results from only considering single-copy genes.

Then, despite being able to assemble a higher proportion of its usable genes into CARs, DESCHRAMBLER's reconstructions still only contain 9,900.4 genes on average versus 19,120.0 for AGORA, meaning the resolution of DESCHRAMBLER is about half that of AGORA.

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Method	Usable genes	Genes in CARs	Coverage	
			Usable genes	Whole genome
AGORA	22,496.6 genes	19,120.0 genes	85.0%	81.6%
	(25.7)	(41.1)	(0.20)	(0.18)
DESCHRAMBLER	11,045.3 genes	9,900.4 genes	89.6%	42.2%
	(42.4)	(53.4)	(0.29)	(0.23)

Supplementary Table 2: Average coverage statistics after 50 MagSimus simulations, standard deviation in parentheses

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For each method, the reconstructed adjacencies were compared against the adjacencies derived from the original simulated ones, considered as "truth", restricted to the same gene set as the reconstruction (i.e. after unusable genes and singletons were excluded). Due to is ability to consider every gene from every species and not using a reference species, AGORA achieves 95.4% agreement, significantly higher than DESCHRAMBLER's (68.6%), while running 190 times faster.

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Method	Precision	Sensitivity	Agreement	Runtime (per simulation)
AGORA	98.7%	96.6%	95.4%	20.0 sec.
	(0.11)	(0.16)	(0.24)	(0.5)
DESCHRAMBLER	86.4%	76.9%	68.6%	3,799.0 sec.
	(0.61)	(0.61)	(0.86)	(238.5)

Supplementary Table 3: Average performances after 50 MagSimus simulations (standard deviation in parentheses)

395 Impact of low-contiguity assemblies

Low-contiguity genome assemblies are typically obtained with >50X short-read technologies only, or with <7X Sanger sequencing approaches, translating in genome assembly qualities with G50 < 30 genes in Extended Figure 10a ("scaffold assemblies"). There are 26 such genomes out of 100 genomes in Ensembl version 92. Because their assemblies are more fragmented, they contribute less information to identify conserved syntenic arrangements. In theory, if fragmentation is the only consequence of lower assembly quality, we do not expect these genomes to contribute erroneous data in the ancestral reconstructions, only missing information. If low-quality genomes nevertheless include mis-assemblies and contain incorrect gene-to-gene adjacencies, these would need to occur by chance in another genome relevant for the target ancestral genome in order to introduce a misleading conserved adjacency. To lift

- any ambiguity in this matter and to measure the value of adding low-contiguity genomes, we used AGORA to reconstruct ancestral genomes for Boreoeutheria and Amniota without low-contiguity extant genomes. Removing the 26 low-contiguity genomes in Ensembl version 92 reduces the number of pairwise genome comparisons from 2925 to 1469 for Boreoeutheria and
- 410 from 2205 to 1115 for Amniota. In both cases, this represents a ~50% drop in potentially informative comparisons.

Qualitatively, the Boreoeutheria reconstruction without low-contiguity genomes has a slightly increased contiguity (G50: 947 vs 924) but also 3 incorrect associations of human chromosomes compared to the cytogenetic reference⁹. Yet overall, both reconstructions show 99.6% of identical gene adjacencies (including gene orientation). For Amniota, the

415 99.6% of identical gene adjacencies (including gene orientation). For Amniota, the reconstruction without low-contiguity is substantially more fragmented (G50: 269 vs 103 genes) and only 89.4% of adjacencies are identical to the reconstruction using the low-contiguity genomes. In conclusion, reconstructions without low-contiguity genomes are of lower quality in terms of contiguity and adjacencies. Including them in AGORA therefore improves the reconstructions.

Comparison between Ensembl Compara and OMA Hierarchical Orthology Groups (HOGs)

To assess how AGORA performances depend on the input data sources, we reconstructed a second Boreoeutheria genome using gene families built by the OMA computational pipeline¹⁰. We downloaded OMA HOGs from <u>https://omabrowser.org/All/oma-hogs.orthoXML.gz (release 2022)</u> and we parsed the json file to generate Chordata and descendants HOG lists (109 ancestral orthogroups in total) using the pyham library
(https://github.com/DessimozLab/pyham). Gene coordinates for 147 extant genomes were

downloaded using the omadb API within python scripts. We then used the agora-vertebrate script to build ancestral genomes.

435 The OMA HOGs differ from Ensembl gene families in several ways:

a. In HOGS, gene homologies are identified by Smith-Waterman sequence comparisons using the most conserved isoform out of a selection of gene annotation sources (Ensembl, UniProt, RefSeq, Gene3D and HGNC/VGNC). According to the latest OMA publication¹⁰, the selected isoform is the longest only in 48.8% of the cases. In Ensembl version 92, sequence homologies are identified using BLAST, always using the longest isoform.

b. HOGs include 64720 Boreoeutheria orthogroups, while Ensembl comprise 22773 orthogroups.

c. After reducing the two datasets to the 52 extant genomes in common, we examined the overlap in terms of gene family sizes. Out of both sets of orthogroups, 15,722 possess the same 445 human genes, but of these, only 1292 (8%) have the same size (total number of genes).

These observations indicate that both datasets differ in design strategy, total number of families and family content for the same ancestral target genome. After applying AGORA to the *Boreoeutheria* HOGs, we compared the resulting Contiguous Ancestral Regions (CARs) with those built from Ensembl V92. To make comparisons possible, these are restricted to ancestral

- 450 gene-to-gene intervals made with ancestral genes possessing the same descendant human gene (15,483 *Boreoeutheria* genes). This results in 15,309 comparable intervals. Of these, 14,738 (96.2%) are identical in composition and orientation between the two datasets. The G50 measures (see Supplementary data for a definition of G50) are also very similar (1008 genes for OMA HOGs, 924 genes for Ensembl gene families). On a broader scale, the 26 largest
- 455 CARs (> 50 genes) of both reconstructions display a strict 1:1 relationship except for one OMA CARs that fuses two Ensembl CARs (Figure S17).

Vertebrate genome evolutionary dynamics.

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Data

All analyses are based on genomes from Ensembl¹ version 102. This version references 310 genomes, of which 269 are used for the Ensembl Compara database. Ensembl Compara includes gene trees built using the TreeBest pipeline and made publicly available.

- 465 We started from this set of 269 genomes and removed the following subsets:
 - 21 genomes produced by the Vertebrate Genome Project (VGP), for embargo issues,
 - 152 genomes of low contiguity based on Extended Figure 10a,
 - descendants of "Eupercaria incertae sedis" for formatting issues,

- non-vertebrate genomes, and
- 470 descendants of 13 ancestral genomes of low contiguity based on Extended Figure 10b.

This filtering resulted in 74 extant species (15 birds and reptiles, 41 mammals, 18 teleostean fish) represented in a species tree with 146 branches connected by 73 ancestral nodes for which AGORA had reconstructed ancestral genomes (Figure 5a).

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Computing rearrangement breakpoints

Rearrangement breakpoints are located at the edges of syntenic blocks. To compute syntenic blocks, we used PhylDiag⁸ between all pairs of successive genomes found in the species tree (either ancestor-ancestor in internal branches, or ancestor-extant in terminal branches) with the following parameters:

phylDiag.py --no-imr -m 50 -t 5 -g 45

Careful examination of early results showed that false positive breakpoints were caused by 485 ends-of-blocks that:

- represent extremities of scaffolds or chromosomes,
- are located in ancestral gene adjacencies that are not or poorly supported in the AGORA adjacency graph,
- are located in ancestral or extant scaffolds smaller than 10 genes, or
- are located within 3 genes of scaffold or chromosome ends.

Custom Perl and Python scripts were written to identify and exclude synteny block ends fulfilling these criteria. Next, because the ancestral state (pre-breakpoint) and the descendant state (post-breakpoints) are known, the resolution of synteny block ends into breakpoints is immediate, in the form of two ends-of-syntenic blocks that are adjacent in the ancestral genome. A custom Python script was used to count all such instances as breakpoints (Supplementary Data S2).

Computing interchromosomal rearrangements

500 To compute interchromosomal rearrangements, we compared the chromosome assignation of genes between two successive nodes in the tree on Figure 5 using AGORA's src/misc.compareGenomes.py utility, restricting the comparison of chromosomes containing at least 200 genes, with the following parameters:

```
505 src/misc.compareGenomes.py genome1 genome2 genome2 \
-mode=printOrthologousChrom \
-minChrSize=200
```

A custom Python script was then used to identify cases where genes (at least 20) from a 510 chromosome in genome1 were distributed on more than one chromosome in genome2. Each group of at least 20 genes was counted (total = N) and the number of rearrangements was considered to be: rearrangements = N - 1. Similarly, the same script identified cases were groups of at least 20 genes residing on two different chromosomes in genome1 are located on the same chromosome in genome2. Each such case was counted as an additional rearrangement (Supplementary Data S2).

Computing rates (Figure 5b)

All branch lengths in million years were computed based on ancestral node ages provided by TimeTree¹¹ (Supplementary Data S2).

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Supplementary Figures and Figure Legends



Supplementary Figure 1

525 <u>Overview of the AGORA workflows</u>. Input data are at the top, AGORA in the middle, and output data at the bottom. White rectangles represent data, grey rounded rectangles indicate processes (steps), which are arranged in two modules: single-integration pass and multi-integration pass. A reconstruction is a series of one or two passes (typically two). The thick black arrow indicates a basic workflow that consists of two single-integration passes, going from genes to blocks of genes (first pass) and to blocks of blocks of genes (second pass) that form the CARs of the ancestral genome. The red path shows a two-pass reconstruction that does a single-integration following a multi-integration (this is typically used for vertebrate genomes). The green path shows two consecutive multi-integration reconstructions, which is typically used for plant genomes.





Ancestral genes	Orthologues	Paralogues
$\{C,L,O,H_{_1}^{},H_{_2}^{},D_{_1}^{},D_{_2}^{}\}$	$\{C,L\}-\{O,H_{_1},H_{_2},D_{_1},D_{_2}\}$	
{C, L}	$\{C\}-\{L\}$	
{C}		
$\{O, H_1, H_2, D_1, D_2\}$		
$\{O,H_{_{1}}^{},H_{_{2}}^{},D_{_{1}}^{},D_{_{2}}^{}\}$	$\{O\}-\{H_{_1},H_{_2},D_{_1},D_{_2}\}$	
$\{H_1, D_1\}$	${H_1} - {D_1}$	
$\{H_{2}^{}, D_{2}^{}\}$	${H_2} - {D_2}$	$\{\Pi_1, D_1\} - \{\Pi_2, D_2\}$
	Ancestral genes {C, L, O, H ₁ , H ₂ , D ₁ , D ₂ } {C, L} {C} {O, H ₁ , H ₂ , D ₁ , D ₂ } {O, H ₁ , H ₂ , D ₁ , D ₂ } {H ₁ , D ₁ } {H ₂ , D ₂ }	Ancestral genes Orthologues {C, L, O, H ₁ , H ₂ , D ₁ , D ₂ } {C, L} - {O, H ₁ , H ₂ , D ₁ , D ₂ } {C, L} {C, L} - {O, H ₁ , H ₂ , D ₁ , D ₂ } {C, L} {C, L} - {O, H ₁ , H ₂ , D ₁ , D ₂ } {O, H ₁ , H ₂ , D ₁ , D ₂ } {C} - {L} {O, H ₁ , H ₂ , D ₁ , D ₂ } {O} - {H ₁ , H ₂ , D ₁ , D ₂ } {O, H ₁ , H ₂ , D ₁ , D ₂ } {O} - {H ₁ , H ₂ , D ₁ , D ₂ } {H ₁ , D ₁ } {H ₁ - {D ₁ } {H ₂ , D ₂ } {H ₂ - {D ₂ }

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Supplementary Figure 2: Inference of ancestral genes, orthologues, and paralogues. The species tree is represented in the top panel as a cladogram, as only the species hierarchy and the clade names are needed. The gene tree (in the middle panel) is reconciled with the spe-555 cies tree: speciation nodes are drawn as black circles, duplication nodes as black squares, both being associated with a clade name. The table (in the bottom panel) lists the ancestral genes, the orthologues, and the paralogues. Ancestral genes are given as the set of extant genes that derive from it. Orthologues (resp. paralogues) are written as the cartesian product of two sets: each gene from the first set is orthologous (resp. paralogous) to every gene from 560 the second set. The oldest ancestral gene that can be inferred sits at the Amniota ancestor and encompasses all known copies of this gene, including two copies in human and dog, but none in platypus and eagle. Every other ancestor (except Boreoeutheria) have a single ancestral gene too, linking to the extant copies that evolved from it. Ancestors that are not directly represented in the gene tree (Neognathae and Mammalia), e.g. because the extant genes are confined to only one of their child branches, can still have ancestral genes attached 565 to them. Ancestral genes are also created when there is a single extant copy remaining (Neognathae). Boreoeutheria is the only ancestor with two ancestral genes (because of a gene duplication) which separate out the two human and dog copies of the gene.

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(A) Extant genome



(B) Filtered genome according to the ancestral genes



(C) Intersection with species **B**, using the gene content of the target ancestor



(D) Propagation of the conserved gene adjacencies to the younger ancestors



Supplementary Figure 3

Pairwise comparisons: extraction of conserved adjacencies. When comparing two species,
 AGORA maps their genomes to the ancestral gene content of their last common ancestor, extracts the ancestral gene adjacencies and computes the intersection of both sets. According to the principle of parsimony, conserved gene adjacencies are considered as being present in all the ancestors that lie on the evolutionary path, but AGORA needs to discard the ones that are interrupted by the apparition of (more recent) ancestral genes.

(INPUT) Set of conserved gene adjacencies



(A) Weighted adjacency graph containing all the pairs



(B) Selection of coherent edges (no cycles, no bifurcations), by decreasing weight



(OUTPUT) Set of blocks and singletons



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Supplementary Figure 4

<u>"De novo" integration</u>. For a given ancestor, all the conserved gene adjacencies are combined into a weighted directed graph, from which edges are selected by decreasing weight in order to make a subgraph that does not contain any cycle or bifurcations. Each connected component defines the relative order of some ancestral genes in the ancestral genome.



(INPUT) Set of conserved gene adjacencies, and set of blocks

590 Supplementary Figure 5

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<u>"Fill-in" integration</u>. For a given ancestor, all the conserved gene adjacencies are combined into a weighted directed graph anchored onto the blocks created by the "de novo" integration. AGORA selects the longest path within each adjacency of constrained genes in order to maximise the number of genes included in the reconstructions, as long as they do not conflict with other longest paths. The weights are used to resolve such conflicts. (INPUT) Set of conserved gene adjacencies, and set of blocks



(A) Removal of the pairs with at least one gene seen in a block



(B) "De novo" integration

(OUTPUT) Set of additional blocks



Supplementary Figure 6

600 <u>"Fusion" integration</u>. In this step, the singletons (constrained and non-constrained) have a chance to form new blocks, independently of the existing blocks, through the same process as a "de novo" integration.

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(INPUT) Set of conserved gene adjacencies, two sets of blocks



(A) List of possible insertions & junctions of black blocks and white ones



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Supplementary Figure 7

<u>"Insertion" integration</u>. In this step, the blocks created during the "Fusion" integration will be inserted into the blocks from the "Fill-in" integration using observed conserved adjacency and considering the weights to solve conflicts. The insertions can in reality only be supported by one side, meaning that the resulting blocks feature some adjacencies that are not directly observed in any extant genome.



Multi-integration summary. All four integrations are run in a specific order, starting with "de novo"
 on the constrained genes in order to define the backbone of the blocks. Each further integration combines ancestral genes into blocks or extends existing blocks, gradually increasing the coverage and the precision of the reconstruction.

(INPUT) Set of blocks



(A) Mapping of the blocks onto every extant genome



(B) Set of block adjacencies (for each extant genome)



(C) Intersection of adjacencies common any pair of species from two different branches and "De-novo" integration using all the conserved adjacencies

(OUTPUT) Set of blocks of blocks (ordered list of oriented blocks)



Supplementary Figure 9

- 625 <u>Single-integration on blocks, for a 2-pass reconstruction</u>. Overview of a single-integration reconstruction using blocks instead of genes, thus creating blocks of blocks. While the first pass worked off gene adjacencies, a second pass can be applied by comparing the order of each block in the extant genomes. First, the blocks are mapped onto the extant genomes (possibly on multiple locations), and all the adjacencies of block extremities are extracted. Block 630 adjacencies that are observed across two genomes that cross a given ancestor are added to its weighted adjacency graph, on which the "de pave" integration is run. This second pass is
- its weighted adjacency graph, on which the "de novo" integration is run. This second pass is used on all Vertebrates reconstructions (red path on Fig. S1).



- Quality of extant and ancestral genomes. a. Chromosomal and low-contiguity assemblies 635 (scaffolds) amongst sequenced extant species are readily distinguished based on the G50 and L70 quality metrics explained in Methods. b. Similar distribution for ancestral genomes reconstructed by AGORA based on extant genomes from Ensembl version 102. In extant vertebrates, the combination of thresholds L70 < 40 and G50 > 230 distinguishes low (red) from
- high (blue) quality reconstructions used in analyses. 640



645 <u>Ancestral genome quality as a function of Age (My)</u>. Ancestral genome quality is measured as the L70: as in Fig. S10, the smallest number of blocks adding up to 70% of the total genome length, measured in gene units. Younger ancestors tend to be reconstructed better (longer blocks) than older ones, and can be considered high-quality (chromosome-level) as per the thresholds explained in Fig. S10.

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Fig. S12

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<u>Assembly quality as a function of Ni/Age</u>. Ancestral genome contiguity is related to the number of sequenced extant species informative for this ancestor, and ancestor's age. Correlation of ancestral genome contiguity (G50 metric, see Methods) with the number of informative pairwise comparisons between extant genomes (Ni) normalized by ancestor age (Age, in My), for: **A**. 59 vertebrate ancestral genomes, and **B**. 48 plant ancestral genomes.



Supplementary Figure 13

665 <u>Number of genomes and quality of the ancestral reconstructions in the Genomicus database</u>. The quality of the AGORA reconstructions, measured with the G50 metric (see Methods), have overall increased throughout the versions of Genomicus, as a result of new extant genomes being added to the Ensembl database. Since the version 90 of Genomicus (based on Ensembl 90), the number of genomes has been steadily rising at a fast pace, and is causing a faster 670 improvement of ancestral genomes quality.



Simplified views of Genomicus v92 AlignView show gene adjacencies in extant species that

- 675 <u>support the ancestral linkage of human chromosomes 8-2-13, 7-10, 12-22 in Eutheria.</u> Alignments between gene orders in ancestral (*Eutheria, Boreoeutheria*) and extant species (human, elephant, opossum...). Orthologs or ancestors of each extant gene are shown in matching colours. A. *Eutheria* CAR-5 corresponds to an ancestral linkage between human chromosomes 8 and 2, and the ancestral adjacency of genes FAM110C and FBXO25 is
 680 conserved in elephant. B. *Eutheria* CAR-5 also includes an ancestral linkage of human chromosomes 13 and 2, and the ancestral configuration is supported by the conserved gene neighbourhood in elephant and opossum. C. *Eutheria* CAR-1, an ancestral linkage between human chromosomes 7 and 10, is supported by homologous neighbors genes of ZMYND11 in elephant and opossum. D. *Eutheria* CAR-1, *Boreoeutheria* CAR-6 and *Euarchontoglires* CAR-
- 5 correspond to an ancestral linkage between human chromosomes 22 and 12, supported by the neighbour genes of ASCL4 in elephant, microbat, jerboa and opossum.



Evidence for ancestral linkage of human chromosomes 4, 8, 2 and 13 in Eutheria. Our reconstruction of the Eutheria ancestral genome with Ensembl v.92 data resulted in two ancestral CARs corresponding to segments of human chromosomes 4, 8, 2, and 13: chr. 4 and 8 in one, and chr. 8, 2, and 13 in the other. Our later reconstruction using Ensembl v.102 data now links both segments and infers a single ancestral CAR (block_2), like DESCHRAMBLER, as shown in the chromosome painting view (a), the gene order comparison (b), and the Genomicus AlignView (c). All three junctions are supported by the elephant (ingroup) and the

opossum (outgroup), though on different chromosomes or scaffolds each time. Some ancestral junctions, such as between human chromosomes 4 and 8, are supported by other species too.



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Supplementary Figure 16

<u>Genome comparisons in real and simulated genomes</u>. The left panel shows a dot matrix of human (x-axis) versus mouse (y-axis) homologous genes from Ensembl version 102. Numbers on the axes indicate chromosomes in the respective genomes, and genes coordinates are their rank in each chromosome. The right panel shows a comparison between one each of the 50 simulated human and mouse genomes that were used to benchmark AGORA. The comparison shows that the complexity of the simulation is high, breaking chromosomes and dispersing genes in a way that is similar to the real evolution of the human and mouse genomes.

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<u>Comparison between Boreoeutheria genomes reconstructed with OMA HOGs and Ensembl</u> <u>Compara gene families.</u> Ancestral genes with the same human descendants (n=15,483) are plotted according to their position in the 25 largest Contiguous Ancestral Regions (CARs) from both reconstructions. The red circle shows the single large scale discrepancy between the two

715 reconstructions, where the OMA-based reconstruction fuses two CARs from the Ensemblbased reconstruction.



- 725 Double and Quadruple conserved synteny patterns as signatures of <u>Whole Genome</u> <u>Duplications (WGD).</u> **a**. Dot-matrix comparison from the Genomicus browser between the nonduplicated Saccharomycetaceae (y-axis, pre-WGD) and the duplicated Saccharomyces (x-axis, post-WGD) reconstructed ancestral genomes. The red rectangles point to examples of a region in the non-duplicated genome that align to two copies in the duplicated genome. **b**. same as in
- 730 **a** for the *Malvidae* and the *Brassicaceae* genomes, except that here two successive WGD occurred, leaving 4 copies in the *Brassicaceae* genome for one in the *Malvidae* genome.

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