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# Increased serotonin transporter expression reduces fear and recruitment of parvalbumin interneurons of the amygdala

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# Abstract

Genetic association studies suggest that variations in the 5-hydroxytryptamine (5-HT; serotonin) transporter (5-HTT) gene are associated with susceptibility to psychiatric disorders such as anxiety or post-traumatic stress disorder. Individuals carrying high 5-HTT expressing gene variants display low amygdala reactivity to fearful stimuli. Mice overexpressing the 5-HTT (5-HTTOE), an animal model of this human variation, show impaired fear, together with reduced fear-evoked theta oscillations in the basolateral amygdala (BLA). However, it is unclear how variation in 5-HTT gene expression impacts on the microcircuitry of the BLA to change behavior. We addressed this issue by investigating the activity of parvalbumin (PV)-expressing interneurons (PVINs), the biggest interneuron population in the basal amygdala (BA). We found that increased 5-HTT expression impairs the recruitment of PVINs (measured by their c-Fos immunoreactivity) during fear. Ex vivo patch-clamp recordings demonstrated that the depolarizing effect of 5-HT on PVINs was mediated by 5-HT<sub>2A</sub> receptor. In 5-HTTOE mice, 5-HT-evoked depolarization of PVINs and synaptic inhibition of principal cells, which provide the major output of the BA, were impaired. This deficit was due to reduced 5-HT<sub>2A</sub> function and not to increased 5-HT uptake. Collectively, these findings provide novel cellular mechanisms that are likely to contribute to differences in emotional behaviors linked with genetic variations of the 5-HTT.

# Introduction

Linking genes and neural circuits to understand individual differences in emotional behavior and, ultimately, susceptibility to psychiatric disorder is a growing challenge in biological psychiatry. The 5-HTT is the key controller of the clearance of 5-HT from the synaptic cleft

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(Blakely *et al*, 1994), and represents the primary target for the pharmacological treatment of mood and anxiety disorders (Ballenger, 1999). The large natural variation in 5-HTT expression in the human population, up to 7-fold between individuals (Lundberg *et al*, 2007), may in part be genetically driven (Hu *et al*, 2006; Lesch *et al*, 1996; Murphy *et al*, 2013). Several common variants of the human 5-HTT gene putatively determine 5-HTT expression levels, and have been linked to alterations in emotionality. Of particular interest is a 44 bp insertion/deletion mutation in the 5-HTT gene promoter region (known as the 5-HTT gene linked polymorphic region or 5-HTTLPR) which gives rise to long (l) and short (s) alleles. Specifically, many (but not all) studies associate a low 5-HTT expressing polymorphism (s/s) with greater risk for anxiety-related traits (Lesch *et al*, 1996) and post-traumatic stress disorder (Lee *et al*, 2005), whereas a high 5-HTT expressing variation (l/l) associates with reduced anxiety and depression susceptibility (Lesch *et al*, 1996), especially when combined with environmental factors (Caspi *et al*, 2003; Uher and McGuffin, 2010).

Importantly, variations of the 5-HTT gene have been associated with altered neural pathways linked to fear: for instance l/l carriers demonstrate reduced activation of the amygdala in response to fearful faces (Hariri *et al*, 2002), together with altered functional connectivity between amygdala and medial prefrontal cortex (mPFC) (Canli *et al*, 2005; Pezawas *et al*, 2005), although not all studies are consistent with these findings (Murphy *et al*, 2013).

Whilst human 5-HTT gene association studies are complicated by multiple environmental and demographic factors, transgenic mouse models allow for a more controlled analysis of the impact of 5-HTT gene variation on behavior and neural function. 5-HTT knock-out (5-HTTKO) mice display increased trait anxiety (Holmes *et al*, 2003) and impaired fear extinction recall (Wellman *et al*, 2007), whereas 5-HTTOE mice are characterized by a low anxiety phenotype (Jennings *et al*, 2006) and impaired fear memory (Barkus *et al*, 2014; Line *et al*, 2014). Anatomical and electrophysiological evidence has confirmed that differential 5-HTT expression can alter structure and function of the amygdala, a brain region encoding fear. More specifically, 5-HTTKO mice display altered synchrony between the basolateral amygdala (BLA) and the mPFC (Narayanan *et al*, 2011) and abnormal dendritic spine density in principal neurons (PNs) of the BLA (Wellman *et al*, 2007). Recently, recordings from the BLA of 5-HTTOE mice revealed attenuated fear-evoked theta oscillations (Barkus *et al*, 2014). Collectively, these studies suggest that alterations in 5-HTT gene expression impact on the microcircuitry of the BLA, however the mechanisms are unknown.

Timed inhibition from GABAergic interneurons (INs), in particular parvalbumin expressing INs (PVINs), to PNs is thought to be involved in the control of theta oscillations (Courtin *et al*, 2014; Klausberger *et al*, 2003). 5-HT released from axons originating from cell bodies in the midbrain is believed to modulate BLA activity primarily through recruitment of local INs (Rainnie, 1999). Importantly, midbrain 5-HT neurons can fire phase-locked to theta oscillations (Kocsis and Vertes, 1992) and modulate limbic theta rhythms (Jackson *et al*, 2008). Taken together, these data suggest that the evidence for reduced theta power in response to aversive cues in the BLA of 5-HTTOE mice could be a sign of dysfunctional inhibitory inputs from GABAergic INs to PNs.

To test this hypothesis, we investigated the activity of PVINs, a GABAergic population accounting for more than 40% of all the INs in the rodent basal amygdala (BA) (McDonald and Mascagni, 2001). In particular, we examined whether BA PVINs of 5-HTTOE mice would display differential recruitment during fear memory retrieval combined with altered sensitivity to 5-HT.

# Materials and methods

#### Subjects

We used female 5-HTTOE mice and littermate wild-type (WT) controls (C57BL/ $6 \times$  CBA background, 4-6 months age for electrophysiology, 4-11 months age for behavior). A detailed description of how 5-HTTOE mice were generated can be found in Jennings *et al.* (2006). Mice were housed 2-6 per cage on a 12 h light/dark cycle and at a temperature of 19-22°C with free access to food and water. Testing took place during the light cycle. Mice were handled in the two days before fear conditioning began. The experiments were conducted in accordance with the United Kingdom Animals Scientific Procedures Act (1986) under project licenses PPL 30/3061 and 30/3068 and were approved by local ethical review at the University of Oxford.

## Fear conditioning

Mice received five training trials (paired group: 30 s tone followed by 0.3 mA, 0.5 s shock; unpaired group: tone and shock never paired together; tone alone group: 30 s tone only) in one context followed 24 hours later by one tone alone presentation in a novel context. Mice were then individually housed in a darkened room for 2 h, after which they were perfused transcardially with 0.1 M phosphate buffer (PBS, pH 7.3) followed by a fixative solution containing 4% paraformaldehyde (PFA) and 15% (v/v) saturated picric acid in 0.1 M phosphate buffer (PB; pH 7.3). Brains were extracted, post-fixed overnight at 4°C in the same fixative solution and processed as described in the *Immunohistochemistry section*. For a detailed description of the fear conditioning paradigm and apparatus see Supplementary materials and methods.

#### Fear conditioning data analysis

Freezing behavior was measured using a script in NIH Image (Barkus *et al*, 2014), which analyzed consecutive video frames (1 Hz sampling) for pixel changes and assigned a freezing score if the % pixel change was below a set threshold calibrated for an absence of movement except for breathing. This automated system has over 80% concordance with experimenter ratings of freezing behavior and gives an unbiased measure of immobility, as described elsewhere (Richmond *et al.* 1998). We calculated a freezing difference score by subtracting freezing during the pre-CS period from freezing during CS presentation. Positive freezing difference scores indicate increased freezing compared to the pre-CS period, whereas negative difference scores indicate decreased freezing compared to the pre-CS period.

## Acute slice preparation

Naïve WT and 5-HTTOE mice were decapitated under deep isoflurane anesthesia (4% in O<sub>2</sub>). The brains were rapidly removed and immersed in ice-cold oxygenated cutting solution with the following composition (in mM): 0.5 CaCl<sub>2</sub>, 10 glucose, 2.5 KCl, 7 MgCl<sub>2</sub>, 85 NaCl, 25 NaHCO<sub>3</sub>, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, and 65 sucrose (all from Sigma-Aldrich) saturated with 95% O<sub>2</sub>, 5% CO<sub>2</sub>, at pH 7.3. Coronal slices of 325 µm thickness including the BLA (from -1.4 to -2 mm from bregma) were sliced at 4°C using a microtome (Microm HM 650 V, Thermo Fisher), then incubated at 36°C for 30 min. During the incubation period the cutting solution was substituted at a rate of ~5 ml/min with oxygenated artificial cerebrospinal fluid (ACSF) composed of (in mM): 2.5 CaCl<sub>2</sub>, 10 glucose, 3.5 KCl, 1.5 MgSO<sub>4</sub>, 130 NaCl, 24 NaHCO<sub>3</sub>, and 1.25 NaH<sub>2</sub>PO<sub>4</sub>; saturated with 95% O<sub>2</sub>, 5% CO<sub>2</sub>, at a pH 7.3.

## Electrophysiology

Slices were transferred to a submerged recording chamber and continuously perfused with oxygenated ACSF at a rate of ~5 ml/min at 34±1°C. Neurons were visualized with an upright Axioskop microscope (Zeiss) using phase contrast microscopy under a LUMPlanFI 60x immersion objective (Olympus). Micropipettes (5-6 M $\Omega$ ) were pulled from borosilicate glass capillaries (GC120F, 1.2 mm o.d., Clarke Electromedical Instruments) with a DMZ puller (Zeitz-instrumente GmbH). Somatic whole cell patch-clamp recordings were performed from visually identified neurons in the BA, characterized by higher number of PVINs (McDonald and Mascagni, 2001) and dense serotonergic innervation (Asan et al, 2013). Electrodes were filled with an intracellular solution composed of (in mM): 126 K-gluconate, 4 KCl, 4 ATP-Mg, 0.3 GTP-Na<sub>2</sub>, 10 Na<sub>2</sub>-phosphocreatine, 10 HEPES and 0.5% w/v biocytin (all from Sigma-Aldrich). Electrophysiological signals were amplified using an EPC9/2 amplifier (HEKA Electronik) and acquired using Patchmaster software (HEKA Electronik). Recordings were accepted only when the initial seal resistance was greater than 2 G $\Omega$  and the series resistance did not change by more than 20% throughout the experiment. PNs were distinguished from INs by their larger somata ( 20 µm diameter), lower ( 150 MΩ) R<sub>in</sub>, adapting firing patterns in response to prolonged current injections, broad spikes ( $\tilde{1}$  ms half-width) and higher membrane time constant ( $\tau$ ), in line with previous reports (Sosulina et al, 2006). For inhibitory postsynaptic currents (IPSCs) and sinusoidal current injections recording see Supplementary materials and methods. The experimenters were blind to genotypes. At the end of the recording, slices were fixed overnight at 4°C in 4% (PFA) and 15% saturated picric acid in 0.1 M PB. After 24 hours, slices were embedded in gelatin and re-sectioned into 60 µm sections with a VT-1000 vibrating microtome (Leica).

## Electrophysiological data analysis

Analysis of synaptic currents and intrinsic membrane properties were performed using IGOR Pro 6 (Wavemetrics). IPSCs were detected using TaroTools toolbox for Igor Pro (https://sites.google.com/site/tarotoolsregister/). Electrophysiological parameters of single neurons were analyzed as previously described (Manko *et al*, 2012). For a detailed description see Supplementary materials and methods.

## Immunohistochemistry

Brains from fear conditioned animals were cut into 60 µm-thick coronal sections. Sections were stained with the following primary antibodies: guinea pig anti-PV (1:2000, Synaptic Systems) and rabbit anti-c-Fos antibodies (1:500, Abcam).

Recorded interneurons were stained with Cy3-conjugated (Life Technologies) or Alexa488conjugated (Invitrogen) streptavidin (1:1000-3000). Somatic immunoreactivity was assessed using guinea pig anti-PV (1:2000, Synaptic Systems) or goat anti-PV (1:500, Abcam) primary antibodies. Immunoreactivity of the recorded neurons was visualized using an epifluorescence microscope (AxioImager M2, Zeiss) or a laser-scanning confocal microscope (LSM 710, Zeiss). For a detailed description of immunohistochemical procedures see Supplementary materials and methods.

# **Quantification of c-Fos- and PV-positive neurons**

Sections containing the BA from fear conditioned mice were scanned using an epifluorescence microscope (AxioImager M2, Zeiss) under a 40× objective. Counting of c-Fos- and PV-positive neurons was performed in StereoInvestigator (MBF Bioscience). The experimenter was blind to genotypes and behavioral testing conditions. For a detailed description of the quantification method see Supplementary materials and methods.

#### Statistical analysis

Data are presented as means±SEM values. Distributions passing the Shapiro-Wilk test for normality were compared using Student's t-tests and ANOVAs with Bonferroni multiple comparisons correction. Non-normal distributions were compared using Mann Whitney test and Kruskall-Wallis test with Dunn's multiple comparisons correction. Cumulative distributions of inter-IPSCs intervals were compared using Kolmogorov-Smirnov test. Statistical analysis was performed with SPSS (IBM) and p<0.05 was considered statistically significant.

# Drugs

Serotonin hydrochloride, MDL 72,222, RS 102221, MDL 100,907, SR95531 and CGP 54626 hydrochloride were purchased from Tocris Bioscience UK. Picrotoxin, kynurenic acid and α-Methylserotonin maleate salt were purchased from Sigma-Aldrich UK.

# Results

#### 5-HTT overexpression leads to impaired PVIN activation by fear memory

In the BLA, PVINs are excited by the conditioned stimulus (CS) during fear conditioning (Wolff *et al*, 2014). Moreover, since PVINs are strongly involved in the generation of local theta oscillations, we postulated that abnormal CS-evoked theta activity in 5-HTTOE mice (Barkus *et al*, 2014) might reflect reduced recruitment of PVINs.

To test this hypothesis, we subjected 5-HTTOE and littermate WT mice to an auditory fear conditioning paradigm (Figure 1A). During the fear memory test session, the onset of the tone CS evoked a large increase in freezing in mice in the paired condition, with a slight

increase in freezing in mice in the unpaired condition, and either no increase (WT) or a slight decrease in freezing (5-HTTOE) in mice in the tone-alone condition (Fig. 1A and Fig. S1). Statistical analysis confirmed these observations. Mice in the paired condition showed a significantly larger increase in freezing with cue onset than mice in the tone-alone and unpaired conditions (phase (pre-tone vs tone)  $\times$  training condition interaction: F(1,38)=20.4; p<0.001). These differences were present only during tone presentation (paired vs. unpaired: p<0.05; paired vs. tone-alone: p<0.001) with no differences in freezing during the pre-tone periods (all p>0.5). Although the three-way interaction between genotype, training condition, and phase did not reach significance (F(2,50)=2.0, p>0.05), we performed separate ANOVAs (with factors of genotype and phase) for each training condition. In the paired condition, there was a genotype  $\times$  phase interaction (F(1,24)=7.2, p<0.05) reflecting the larger increase in freezing from the pre-CS to CS period in WT compared to 5-HTTOE mice. Performing a t-test on the freezing 'difference score' (CS freezing – pre-CS freezing) confirmed that the change in freezing evoked by the CS was greater in WTs than 5-HTTOEs (t(24)=2.7, p<0.05; Figure 1A). For the ANOVAs performed on the unpaired and tone-alone condition, there was no effect of genotype or interaction between phase and genotype, with WTs and 5-HTTOEs showing similar responses in these training conditions (Figure 1A). Thus, in the paired condition, cue-evoked freezing was lower in 5-HTTOE mice, consistent with previous reports (Barkus et al, 2014; Line et al, 2014).

Two hours after the fear memory test session, mice were perfused and sections containing the BA were immunostained for both c-Fos, a marker of neuronal activation, and PV to probe the activation of PVINs during fear memory retrieval. Somatic c-Fos immunoreactivity was detected in the BA of both WT and 5-HTTOE mice (Fig. 1B). We observed a significant effect of condition in the amount of c-Fos-expressing (c-Fos+) neurons (F (2, 24) = 5.4, p<0.05). Mice in the paired condition displayed a greater number of c-Fos+ cells compared to mice in the unpaired and tone alone conditions (both p<0.05; Fig. 1D). These results suggest that fear memory retrieval reliably recruited neurons in the BLA in both WT and 5-HTTOE mice.

Although WT and 5-HTTOE mice displayed similar numbers of c-Fos+ cells (main effect of genotype F (1, 24) = 0.9, p>0.1; Fig. 1D), c-Fos+/PV+ co-labelled cells (Fig. 1C) were far more numerous in WT compared to 5-HTTOE mice following fear memory recall (Fig. 1F; main effect of genotype: F (1, 24) = 8.8, p<0.01). *Post hoc* comparisons revealed that WT mice had a greater proportion of c-Fos+/PV+ cells than 5-HTTOE mice (p<0.05) in the paired condition. We did not observe a significant difference in the unpaired (p>0.1) and tone alone (p>0.9) conditions, although in the former condition the number of activated PVINs was lower in 5-HTTOE mice. This difference was not driven by a significantly reduced number of c-Fos+ (Fig. 1D) or PV+ cells (Fig. 1E) in 5-HTTOE mice, arguing that 5-HTTOE mice displayed a cell type, behavior-specific deficit in activation of PVINs of the BA evoked by fear.

## 5-HTT overexpression leads to impaired 5-HT excitation of PVIN

Next, we asked whether reduced fear activation of PVINs in the BA of 5-HTTOE mice was accompanied by alterations at the physiological level. As 5-HTT manipulations result in

altered synaptic 5-HT levels, and fear conditioning causes release of 5-HT in the BLA (Zanoveli *et al*, 2009), we investigated the 5-HT sensitivity of PVINs in 5-HTTOE and WT mice. Acute coronal brain slices were prepared and INs were recorded in the BA in whole cell mode (Fig. 2A). Neurons were filled with biocytin (Fig. 2B) to allow *post hoc* immunohistochemical identification of PVINs (Fig. 2C). Importantly, we found no significant difference in membrane properties of PVINs between WT and 5-HTTOE mice (Table S1).

All PVINs recorded from the BA of WT mice depolarized in response to bath application of 5-HT (50  $\mu$ M, n=7 from 6 animals, Fig. 2D-F) and displayed an increase in the input resistance (Fig. 2G,H). Depolarization and change in input resistance were blocked by the 5-HT<sub>2A</sub> receptor selective antagonist MDL 100,907 (150 nM, p<0.05, n=5 from 4 animals, Fig. S2), consistent with previous studies reporting the localization of 5-HT<sub>2A</sub> receptors on this IN type (Jiang *et al*, 2009; McDonald and Mascagni, 2007). These effects were due to a direct effect of 5-HT on PVINs because they were detected also in the presence of synaptic blockers (3 mM kynurenic acid, 10  $\mu$ M SR95531, and 5  $\mu$ M CGP 54626, Fig. S2). In contrast, BA PVINs of 5-HTTOE mice (n=5 from 5 animals) displayed significantly reduced depolarization and a reduced change in input resistance in response to 5-HT compared to PVINs of WT mice (p<0.05 for both parameters, Fig. 2D-H). These results suggest a link between the impaired recruitment of PVINs of the BA in 5-HTTOE mice during fear and a specific deficit in sensitivity to 5-HT in the same neuron type.

## 5-HTT overexpression causes reduced 5-HT-evoked inhibitory drive onto PNs

As PVINs form the major IN population in the BLA and are the source of a significant inhibitory input to PNs (Woodruff and Sah, 2007), we postulated that reduced 5-HT excitation of PVINs could impact on the 5-HT-mediated inhibition of PNs. To test this, we performed whole cell patch-clamp recordings from PNs of the BA of both WT and 5-HTTOE mice (Fig. 3A,B). PNs were identified according to defined electrophysiological parameters (see Materials and methods and Table S2), including stereotypic firing (Fig. 3C). Importantly, passive membrane properties of PNs were not influenced by genotype (Table S1).

We recorded spontaneous inhibitory postsynaptic currents (sIPSCs), a read-out of the activity of local GABAergic INs (sIPSC basal frequency in WT:  $0.42\pm0.13$  Hz; in 5-HTTOE:  $0.25\pm0.12$  Hz, p>0.1, n=9 each). These currents were blocked by application of the GABA<sub>A</sub> antagonist picrotoxin (100  $\mu$ M, n=3, data not shown), confirming the GABAergic nature of these inputs. Consistent with previous reports (Jiang *et al*, 2009; Rainnie, 1999), 5-HT (50  $\mu$ M) markedly enhanced the frequency of sIPSCs in all PNs recorded from WT mice (n=9 from 7 animals, Fig. 3D,E). In agreement with earlier observations (Jiang *et al*, 2009), this effect of 5-HT appeared to be mediated primarily through 5-HT<sub>2A</sub> receptors, as the selective antagonist MDL 100,907 (150 nM, n=5 from 4 animals) significantly reduced the facilitation of sIPSCs by 5-HT (increase in sIPSCs frequency in WT vs WT+MDL 100,907 p<0.05, Fig. S3). Additional blockade of 5-HT<sub>2C</sub> and 5-HT<sub>3</sub> receptors (using 1  $\mu$ M RS 102221 and 20  $\mu$ M MDL 72,222, respectively, n=4 from 4 animals) completely prevented the increase in sIPSC frequency induced by 5-HT (p<0.01 vs WT, Fig. S3), consistent with

evidence for the presence of these receptors on non-PV IN types in the BLA (Bonn and Schmitt, 2013; Mascagni and McDonald, 2007).

In 5-HTTOE mice (n=9 from 8 animals), 5-HT produced a smaller increase in the frequency of sIPSCs compared to WT mice (p<0.001, Fig. 3F-I). Overall, these data suggest that the 5-HT facilitation of GABA release onto PNs of the BA is impaired in 5-HTTOE mice, leading to reduced membrane hyperpolarization in PNs (5-HT-evoked PNs hyperpolarization WT vs 5-HTTOE p<0.05; WT: n=8, 5-HTTOE: n=10; Fig. S4). In contrast, direct hyperpolarization of PNs appeared unaffected by 5-HTT overexpression (WT vs 5-HTTOE p<0.05; WT: n=9, 5-HTTOE: n=7; Fig. S4C,D). Notably, pre-incubation of the slices with the selective serotonin reuptake inhibitor (SSRI) citalopram (1  $\mu$ M, n=8 from 4 animals) ameliorated, but did not completely rescue, the deficit in 5-HT-evoked sIPSCs observed in PNs recorded in 5-HTTOE mice (increase in sIPSCs frequency in 5-HTTOE vs 5-HTTOE+citalopram, p>0.05, Fig. 3H,I). These data suggest that 5-HTTOE mice display a deficit in 5-HT-induced inhibition of PNs of the BA by GABAergic INs. This deficit is likely to be mediated, at least in part, by impaired 5-HT-mediated depolarization of PVINs. This loss of sensitivity to 5-HT in the 5-HTTOE mice is not entirely explained by an ongoing increase in reuptake of 5-HT, as it could not be completely rescued by pharmacological blockade of the 5-HTT.

#### Impaired 5-HT<sub>2A</sub> function underlies deficit in 5-HT-evoked inhibition of PNs

We reasoned that the reduced 5-HT-evoked excitation of PVINs observed in the 5-HTTOE mice could be caused by a loss of function at the level of  $5-HT_{2A}$  receptors. Crucially, the 5-HT<sub>2A</sub> receptor is the main mediator of the excitatory effect of 5-HT on BLA INs, and this receptor is located primarily on PVINs (Jiang *et al*, 2009; McDonald and Mascagni, 2007). Thus, we asked whether reduced  $5-HT_{2A}$  receptor function could account for impaired 5-HT-induced excitation of PVINs in 5-HTTOE mice.

The direct acting 5-HT<sub>2A</sub> agonist  $\alpha$ -methyl-5-HT was applied while recording sIPSCs on PNs (sIPSC basal frequency in WT: 0.04±0.01 Hz; in 5-HTTOE: 0.07±0.01 Hz, p>0.1, n=13 each). In WT mice, bath application of  $\alpha$ -methyl-5-HT (30  $\mu$ M, n=13 from 4 animals) increased the frequency of sIPSCs (Fig.4A,B). This effect was almost entirely abolished by MDL 100,907 (150 nM, n=5; increase in sIPSCs frequency WT vs WT+MDL 100,907 p<0.01, Fig. 4C,D,G,H), indicating that  $\alpha$ -methyl-5-HT acts selectively on 5-HT<sub>2A</sub> receptors to facilitate GABA release presumably by depolarization of PVINs (Jiang *et al*, 2009). In comparison to WT mice, in 5-HTTOE mice application of  $\alpha$ -methyl-5-HT produced a much smaller increase in sIPSC frequency in PNs (p<0.01, n=13 from 3 animals, Fig. 4E-H). Taken together these data demonstrate that, in the BA, increased 5-HTT expression is associated with impaired 5-HT<sub>2A</sub> receptor-mediated activation of INs innervating PNs. As PVINs are the main IN type expressing 5-HT<sub>2A</sub> receptors in the BA, this deficit likely arises from dysfunctional PVINs.

## 5-HTT overexpression causes deficient 5-HT modulation of PNs firing

Finally, to understand the functional consequences of reduced 5-HT-driven inhibition of PNs, we examined 5-HT modulation of PN firing in the two genotypes. We injected theta frequency sinusoidal currents that evoked firing in ~50% of the oscillation peaks.

Application of 50  $\mu$ M 5-HT reliably suppressed the firing of PNs from WT mice (n=6 from 3 animals, Fig. 5A,C). In contrast, the reduction in firing rate evoked by 5-HT was significantly weaker in PNs from 5-HTTOE mice (p<0.01, n=5 from 2 animals, Fig. 5B-D). Hence, 5-HTT overexpression causes a differential 5-HT modulation of PNs firing, likely affecting BA excitatory output (Fig. 5E,F)

# Discussion

The present study demonstrates two novel findings on how variation in 5-HTT expression impacts upon the microcircuitry of the BA. First, the c-Fos data show that increased 5-HTT expression results in reduced recruitment of BA PVINs during fear. Second, the electrophysiological results demonstrate a selective impairment in 5-HT-evoked excitation of PVINs, leading to reduced inhibition of PNs. Thus, increased 5-HTT expression leads to a loss of 5-HT control over PVINs and therefore over PN firing, likely affecting BA output to the central amygdala and the processing of fear (Fig. 5E,F). To our knowledge, these findings provide the first evidence of changes in the function of neurochemically-defined neuron types due to genetic alterations in 5-HTT expression.

PVINs of the BLA increase their firing rates in response to CS presentation during fear conditioning (Wolff et al, 2014). Our data are consistent with this finding because presentation of a tone predicting a footshock significantly increased c-Fos expression in BA PVINs in WT mice. PVINs target the perisomatic region of PNs (Bienvenu et al, 2012), inhibiting and synchronizing their firing (Popescu and Paré, 2011; Ryan et al, 2012; Woodruff and Sah, 2007) and disinhibiting their dendrites (Wolff et al, 2014). Hence, they tightly control the BLA output to the central amygdala, orchestrating fear responses. Crucially, inhibiting PVINs during the CS weakens fear learning (Wolff et al, 2014). Thus, reduced freezing responses to the CS observed in 5-HTTOE mice (see also Barkus et al, 2014; Line et al, 2014) could be directly linked with reduced excitation of PVINs during the CS. Although the present study does not demonstrate a causal relationship between reduced PVIN activation and reduced fear in 5-HTTOE mice, a defective encoding of the CS by PVINs could (1) fail to disinhibit PN dendrites, by lack of direct inhibition of somatostatin expressing neurons, which are also inhibited by PVINs (Wolff et al, 2014); (2) contribute to recently reported weaker theta oscillations (Barkus et al, 2014); and (3) lead to reduced fear (current study; Barkus et al., 2014; Line et al., 2014). Furthermore, we cannot fully resolve whether PVIN activation arises from fear memory recall, sensitization by footshock or fear generalization to the tone or the testing context. This is because tone-evoked freezing and PVIN activation in WT mice were weak but not absent in the unpaired condition. Future experiments could test whether manipulation of PVINs in the BA is sufficient to rescue the fear deficits in 5-HTTOE mice.

The present study also provides a putative mechanism underpinning the reduced excitation of PVINs of the BA in 5-HTTOE mice, namely reduced sensitivity to 5-HT. This was evident in electrophysiological experiments showing that both 5-HT-evoked depolarization of PVINs associated with the closure of a membrane conductance as well as 5-HT-evoked IPSCs in PNs, were reduced in 5-HTTOE compared to WT mice. These deficits are likely caused by reduced functionality of 5-HT<sub>2A</sub> receptors, since sensitivity to the direct acting 5-

 $HT_{2A}$  receptor agonist,  $\alpha$ -methyl-5-HT, was also impaired. Reduced 5-HT<sub>2A</sub> function could arise from either down-regulation or receptor-effector coupling (Béïque *et al*, 2004). Surprisingly, 5-HTT blockade failed to rescue fully the loss of sensitivity to 5-HT in the 5-HTTOE mice. Therefore, the 5-HT deficit in the BA associated with increased 5-HTT expression appears to be dependent more on 5-HT<sub>2A</sub> receptor function than on reduced 5-HT extracellular levels mediated by greater re-uptake in 5-HTTOE mice.

The impaired sensitivity of PVINs in 5-HTTOE mice to 5-HT could be responsible for reduced c-Fos/PV co-expression evoked by fear memory retrieval. This view is appealing because fear activates 5-HT neurons in the midbrain (Spannuth et al, 2011) and triggers the release of 5-HT in the BLA (Zanoveli et al, 2009). Additionally, midbrain 5-HT neurons can fire phase-locked to limbic theta oscillations (Kocsis and Vertes, 1992). Thus, their impaired excitation of PVINs in 5-HTTOE mice could contribute to the reduction of theta rhythms in the BLA of these mice (Barkus et al, 2014). This is consistent with the notion that the power of theta rhythm is much more influenced by the interplay between two synaptic current generators, namely dendritic excitation versus PV-perisomatic inhibition of PNs, rather than by PNs spiking per se (Buzsáki, 2002). However, other circuits could also contribute to the weaker activation of PVINs during fear memory retrieval. For instance, 5-HTT overexpression might alter the function of other areas such as the mPFC and the hippocampus, which are also targeted by 5-HT fibers and project to the BLA (Hensler, 2006). Unlike PVINs, we did not detect genotypic differences in the overall number of c-Fos + neurons in the CS-US paired condition, despite PNs receiving impaired inhibition. Although the majority of these activated neurons likely represent PNs, it is important to keep in mind that non-PV IN populations might be included. Furthermore, impaired PVIN output could result in a different temporal distribution of action potentials fired by PNs rather than a long-lasting reduction in their firing rates, with c-Fos protein expression lacking the temporal resolution to reveal such subtle changes.

Our data provide compelling evidence linking increased expression of the 5-HTT with abnormal PVIN function. The 5-HT<sub>2A</sub> receptor, which depolarizes PVINs, appears to play a key role in this relationship. Although we cannot fully exclude the possibility that other IN types also contribute to the deficient 5-HT-driven inhibitory inputs to PNs, PVINs are the major GABAergic cell type expressing 5-HT<sub>2A</sub> receptors in the BLA, in addition to a small number of somatostatin-expressing INs (McDonald and Mascagni, 2007). Adaptive changes in 5-HT<sub>2A</sub> receptor function due to pharmacological or genetic manipulation of the 5-HTT are consistent with previous studies (Jennings et al, 2008; Li et al, 2003; Marek et al, 2005; Sarkar et al, 2013). Importantly, the direct inhibition by 5-HT of PNs was unaffected in 5-HTTOE mice. This inhibitory effect by 5-HT on BA PNs mirrors the hyperpolarization of cortical pyramidal cells mediated by 5-HT<sub>1A</sub> receptors (Araneda and Andrade, 1991). Altered 5-HT levels during development due to higher 5-HT reuptake may be responsible for loss of 5-HT<sub>2A</sub> function in PVINs. In contrast, 5-HT<sub>1A</sub> receptors have been shown to develop later in cortical pyramidal cells (Béïque et al, 2004), thus their later expression on BA PNs might prevent receptor exposure during a sensitive developmental period (Gross et al, 2002). Further experiments examining PVIN function during development are necessary to corroborate this hypothesis.

In summary, our results suggest a novel mechanism through which increased expression of the 5-HTT gene can alter the microcircuitry of the amygdala, namely by changing the function of PVINs. Probing the effects of genetic variation in the 5-HTT or SSRI treatment on neural circuits in limbic areas may open new horizons for understanding the biological basis of emotional behavior.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

# Funding and disclosure

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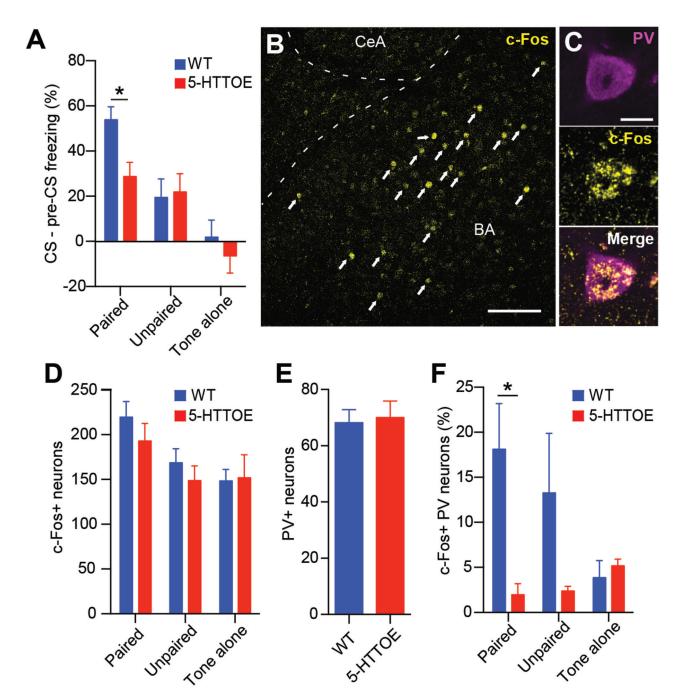


Figure 1. Increased 5-HTT expression leads to reduced fear recruitment of PVINs in the BA. A) Reduced freezing responses in 5-HTTOE mice in the CS-US paired condition during fear testing session (paired: WT n=14, 5-HTTOE n=12; unpaired: WT n=7, 5-HTTOE n=7; tone alone: WT n=8, 5-HTTOE n=8. B) Representative c-Fos expression in the BA following the fear testing session. Arrows indicate c-Fos+ neurons. Scale bar: 100  $\mu$ m. C) Immunoreactivity of a representative neuron for PV and c-Fos. Scale bar: 10  $\mu$ m. D) Overall numbers of c-Fos+ neurons in the BLA do not differ between WT and 5-HTTOE mice following the fear testing session (n=5 per genotype and condition). E) Overall numbers of

PV+ neurons in the BA do not differ between WT and 5-HTTOE mice following the fear testing session (n=5 per genotype). F) Reduced numbers of c-Fos+/PV+ in the BA of 5-HTTOE in the paired condition. No significant difference between WT and 5-HTTOE in the unpaired and tone alone condition (n=5 per genotype and condition). \* p<0.05.

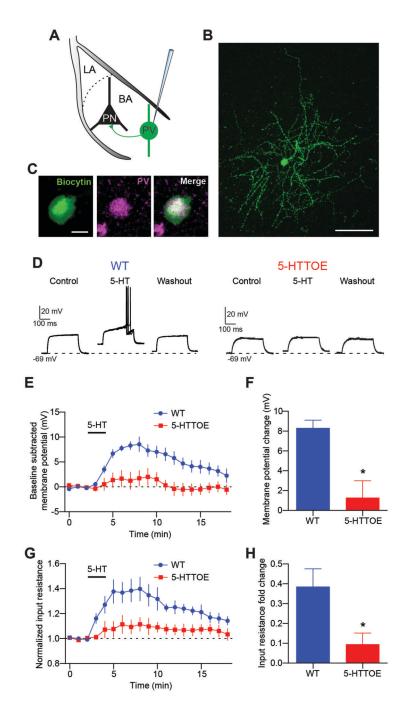


Figure 2. 5-HT-evoked depolarization of PVINs of the BLA is impaired in 5-HTTOE mice. A) Recording configuration and schematic connectivity between PVINs and PNs. B) Representative PVIN filled with neurobiotin during recording. Scale bar: 50  $\mu$ m. C) Representative immunoreactivity for PV of a biocytin filled neuron (same neuron as in B) D) Five superimposed voltage responses to depolarizing current injections of representative PVINs from a WT (160 pA, 300 ms, left) and 5-HTTOE mouse (120 pA, 300 ms, right) during control, bath application of 5-HT (50  $\mu$ M) and washout. In control, the current intensities used were set just below threshold to evoke an action potential. E) Time course of

the effect of 5-HT on the membrane potential of WT and 5-HTTOE PVINs. F) 5-HTTOE PVINs (n=5 from 5 animals) are significantly less depolarized by 5-HT than WT PVINs (n=7 from 6 animals). G) Time course of the effect of 5-HT on the input resistance of WT and 5-HTTOE PVINs. H) 5-HT causes a significantly weaker increase in input resistance in 5-HTTOE compared to WT PVINs. \* p<0.05

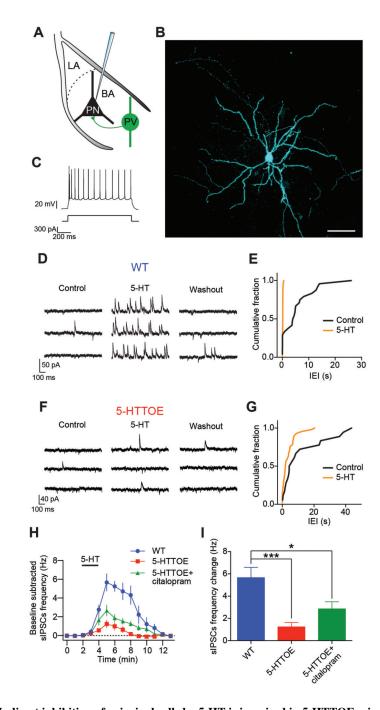
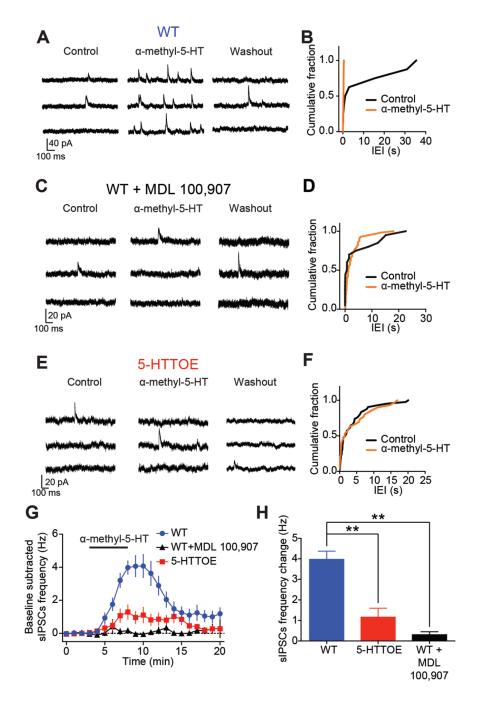
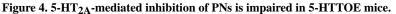


Figure 3. Indirect inhibition of principal cells by 5-HT is impaired in 5-HTTOE mice.

A) Recording configuration and schematic connectivity between PVINs and PNs. B) Representative PN filled with neurobiotin during recording. Scale bar: 100  $\mu$ m. C) Adapting firing pattern of the neuron shown in B in response to prolonged (1 s) current injection (300 pA). D) Representative voltage clamp (-50 mV) trace from a WT PNs during control, 5-HT (50  $\mu$ M) application and washout. E) Cumulative probability distributions of the inter-event intervals (IEI) for the sIPSCs of the neuron in D during control and in presence of 5-HT. 5-HT significantly increases the sIPSCs frequency (p<0.0001, Kolmogorov-Smirnov test). F)

Representative voltage clamp (-50 mV) trace from a 5-HTTOE PNs during control, 5-HT application and washout. G) Cumulative probability distributions of the inter-event intervals (IEI) for the sIPSCs of the neuron in F during control and in presence of 5-HT. 5-HT does not significantly increase sIPSCs frequency (p>0.05, Kolmogorov-Smirnov test). H) Time course of the effect of 5-HT on sIPSCs frequency in WT and 5-HTTOE PNs. I) 5-HT evokes a significantly lower sIPSCs frequency in 5-HTTOE (n=9 from 7 animals), compared to WT (n=9 from 8 animals) PNs. Acute citalopram (1  $\mu$ M, n=8 from 4 animals) application does not fully rescue 5-HTTOE deficit. \*\*\* p<0.001; \* p<0.05.

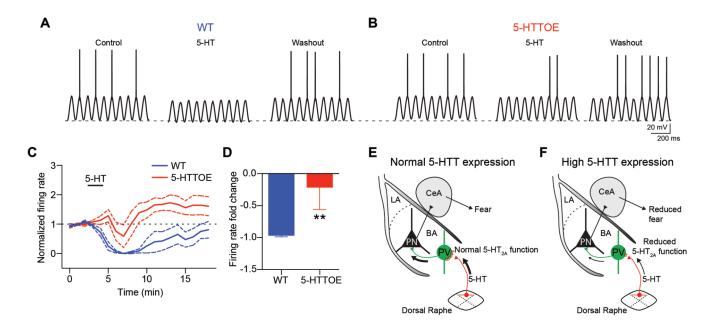




A) Representative voltage clamp (-50 mV) trace from a WT PNs during control,  $\alpha$ -methyl-5-HT (30  $\mu$ M) application and washout. B) Cumulative probability distributions of the interevent intervals (IEI) for the sIPSCs of the neuron in D during control and in presence of  $\alpha$ methyl-5-HT, a 5-HT<sub>2</sub> agonist.  $\alpha$ -methyl-5-HT significantly increases the sIPSCs frequency (p<0.0001, Kolmogorov-Smirnov test). C) Representative voltage clamp (-50 mV) trace from a WT PNs in presence of the 5-HT<sub>2A</sub> antagonist MDL 100,907 (150 nM) during control,  $\alpha$ -methyl-5-HT application and washout. D) Cumulative probability distributions of

the inter-event intervals (IEI) for the sIPSCs of the neuron in D during control and in presence of  $\alpha$ -methyl-5-HT. This drug does not significantly increase the sIPSCs frequency (p>0.05, Kolmogorov-Smirnov test). E) Representative voltage clamp (-50 mV) trace from 5-HTTOE PNs during control,  $\alpha$ -methyl-5-HT application and washout. F) Cumulative probability distributions of the inter-event intervals (IEI) for the sIPSCs of the neuron in F during control and in presence of  $\alpha$ -methyl-5-HT. This drug does not significantly increase sIPSCs frequency (p>0.05, Kolmogorov-Smirnov test). G) Time course of the effect of  $\alpha$ methyl-5-HT on sIPSCs frequency in WT (with and without 5-HT<sub>2A</sub> antagonist MDL 100,907) and 5-HTTOE PNs. H)  $\alpha$ -methyl-5-HT evokes a significantly higher sIPSCs frequency in WT (n=13 from 4 animals) compared to 5-HTTOE (n=13 from 3 animals) PNs. MDL 100,907 blocks the effect of  $\alpha$ -methyl-5-HT (n=5 from 2 animals). \*\* p<0.01.

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#### Figure 5. Increased 5-HTT expression alters the output of BA PNs

A) Sinusoidal current (160 pA intensity) injected at theta frequency (6 Hz) in a representative WT PN current clamped at -60 mV. Application of 5-HT (50  $\mu$ M) causes hyperpolarization (2-3 mV) and suppression of firing. B) Sinusoidal current injection (190 pA) at theta frequency (6 Hz) in a representative 5-HTTOE PN recorded in current clamp at -60 mV. Application of 5-HT produces a weaker hyperpolarization and fails to suppress firing. C) Time course of the effect of 5-HT on the firing rate of WT and 5-HTTOE PNs (sinusoidal current injections shown in A and B delivered every 10 s). D) 5-HT evokes a significantly stronger depression of firing rate in WT (n=6 from 3 animals) compared to 5-HTTOE (n=5 from 2 animals) PNs E-F) Model of amygdala circuit alterations in 5-HTTOE mice. E) Dorsal raphe firing, leading to release of 5-HT in the BA, excites PVINs through 5-HT<sub>2A</sub> receptors, leading to indirect perisomatic inhibition of PNs. F) Increased 5-HTT expression, characterized by lower 5-HT tone due to more efficient 5-HT reuptake, causes reduced 5-HT<sub>2A</sub> receptor function on PVINs, leading to failure of dorsal raphe activity to recruit PVINs. This could affect the encoding of sensory stimuli (CS and US) by the PNs, dampening fear responses. \*\* p<0.01.