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# Comparison of heterosubtypic protection in ferrets and pigs induced by a single cycle influenza vaccine

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# Abstract

Influenza is a major health threat and a broadly protective influenza vaccine (BPIV) would be a significant advance. S-FLU is a candidate BPIV, limited to a single cycle of replication which induces a strong cross-reactive T cell response, but a minimal antibody response to hemagglutinin after intranasal or aerosol administration. We tested whether an H3N2 S-FLU can protect pigs and ferrets from heterosubtypic H1N1 influenza challenge. Aerosol administration of S-FLU to pigs induced lung tissue resident memory T cells and reduced lung pathology, but not the viral load. In contrast, in ferrets S-FLU reduced viral replication and aerosol transmission. Our data show that S-FLU has different protective efficacy in pigs and ferrets, and that in the absence of antibody, lung T cell immunity can reduce disease severity without reducing challenge viral replication.

## Introduction

Influenza virus infection is a global health threat to livestock and humans causing substantial mortality. The major obstacle in combating influenza is the rapid evolution of the virus, rendering the host antibody response ineffective. Seasonal influenza virus vaccines are therefore strain specific, do not protect well against drifted viruses from the same haemagglutinin (HA) subtype, and offer no protection against infection with heterologous

#### Disclosure

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<sup>&</sup>lt;sup>2</sup>Current address: The WHO Collaborating Centre for Reference and Research on Influenza and Department of Microbiology and Immunology, The University of Melbourne at The Peter Doherty Institute for Infection and Immunity, Melbourne, Australia Abbreviations used in this article: IAV, influenza A virus; HA, haemagglutinin; MDCK, Madin-Darby canine kidney; BAL, bronchoalveolar lavage; RPMI, Roswell Park Memorial Institute; ELISPOT, Enzyme Linked ImmunoSpot assay.

AT is named on a European patent (publication no. EP2758525 A2, published July 30, 2014) concerning the use of S-FLU as a vaccine, which is owned jointly by the University of Oxford and the Townsend - Jeantet Charitable Trust (Registered Charity Number 1011770). The other authors declare no competing financial interests.

influenza viruses from different HA subtypes. Furthermore pandemic influenza can arise at any time, originating from either group 1 or 2 avian influenza A viruses, and can cause devastating mortality. Therefore, a broadly protective influenza A vaccine (BPIV), which could protect against both group 1 and 2 viruses, would be a great advance in preventing seasonal infection and reducing mortality from pandemic influenza (1).

S-FLU is a replication incompetent influenza virus, candidate BPIV, limited to a single cycle of replication (2) through inactivation of the HA signal sequence (3). Functional HA protein, required to form infectious virus particles, is provided in trans from a transfected cell line by pseudotyping, and S-FLU vaccine virus can therefore infect the host, but cannot replicate. All of the conserved viral core proteins are expressed in the cytosol of S-FLU infected cells for optimal antigen presentation to T lymphocytes (4). S-FLU induces a strong crossreactive T cell response in the lung to the conserved core proteins, a specific antibody response to the expressed neuraminidase (NA), but a minimal antibody response to the HA coating the particle when administered to the respiratory tract. Immunization of mice with H1N1 or H5N1 S-FLU results in a high degree of protection against the homologous and heterologous H1N1, H6N1 (group 1), H3N2 and H7N9 (group 2) viruses, with moderate protection against distinct (heterologous) H5N1 (3, 5). Similarly in ferrets immunization with H1N1 or H5N1 S-FLU significantly reduced replication of H1N1, H6N1, H5N1 (group 1) and H7N9 (group 2) viruses in the lung. In pigs immunization with H1N1 or H5N1 S-FLU reduced the viral load in nasal swabs and lungs following challenge with a swine H1N1pdm09 isolate (6).

Because S-FLU can neither replicate nor donate its HA sequence to other influenza strains if administered to infected individuals, it should be safe. For this reason and because immunization via the lower respiratory tract has been shown to be a highly effective means of immunizing against influenza, in all experiments with S-FLU, the vaccine was administered either intranasally to mice and ferrets or intranasally, intra-tracheally or by aerosol in pigs. Our results show also that targeting the lower respiratory tract by aerosol in pigs is more effective than intra-tracheal or intranasal immunization in preventing severe disease (6). The reason for this may be that local immunization induces lung tissue-resident memory T cells ( $T_{RM}$ ), which have been shown to be important in cross-protective immunity against influenza infection (7–10). Most work on  $T_{RM}$  has been performed in mice and the  $T_{RM}$  defined as inaccessible to intravenously administered anti-T cell antibody (11).  $T_{RM}$  identified in this way are an activated, dividing population capable of responding rapidly to antigen by further cell division *in situ* in mice. However there are very few data on  $T_{RM}$  in large animals.

To further assess whether S-FLU vaccines could protect from a completely heterosubtypic challenge, where the HA and NA of the vaccine and challenge viruses belonged to different genetic groups, we have tested the protective efficacy of an S-FLU coated in contemporary human H3N2 (group 2) glycoproteins against challenge with an H1N1pdm09 (group 1) virus, in both pigs and ferrets.

## **Materials and Methods**

#### Vaccines and influenza challenge virus

The design and production of pdmH1N1 S-FLU [eGFP\*/N1(Eng195)].H1(Eng/195/2009) has been described previously(3, 5). We made a new H3N2 S-FLU [eGFP\*/N2(X217)].H3/SW/9725293/2013 (encoding N2 from A/Victoria/361/2011 from the vaccine strain X217, and coated in H3 from A/Switzerland/9725293/2013) at 1.52 x 10<sup>8</sup>/ml TCID 50 (95%CI 1.13 to 2.05x10<sup>8</sup>/ml). The internal protein gene segments were from influenza A/Puerto Rico/8/34 (H1N1).

In the ferret studies, a live attenuated influenza A/Switzerland/9715293/2013 cold adapted (ca) vaccine on the influenza A/Ann Arbor/6/60 ca backbone was included as a comparator and a group of mock-immunized control animals received Leibovitz 15 (L-15) media. Influenza A/California/07/2009 (H1N1pdm09) and A/Switzerland/9715293/2013 (H3N2) viruses were used for challenge infection.

The pig isolate of A/swine/England/1353/09pdmH1N1 (1353/09pdmH1N1) was used for challenge infection in pigs. The homologous vaccine consisted of the identical betapropiolactone inactivated 1353/09pdmH1N1 with TS6 adjuvant. TS6 adjuvant was kindly provided by Dr Catherine Charreyre (Merial/Boehringer Ingelheim). It contains an oily phase (comprising sorbitan monooleate, sorbitan trioleate, paraffin oil and sodium mercurothiolate) and an aqueous phase of monopotassium and disodium phosphate.

#### Aerosol characterization

We first established that passage through the Aerogen Solo vibrating mesh nebuliser (Aerogen, Ireland) did not significantly reduce the titre of S-FLU. The cell supernatant containing S-FLU in Viral Growth Medium (DMEM/0.1% BSA/10mm HEPES pH7, VGM) was passed through a 0.22 micron filter, then aerosolized using the nebulizer, captured and condensed. The effect of nebulisation on the infectious titer of S-FLU was measured on three different batches of S-FLU coated in three different hemagglutinins by comparison of quadruplicate measurements of the means of the number of doubling dilutions (ie Log2 of the dilution factor) giving 50% infection of MDCK-SIAT cells (calculated by linear interpolation) pre and post nebulization by an unpaired t test (Prism v7.0). H5 (A/Vietnam/ 1203/2004) - .2938 (95% CI -0.5059 to -0.08161, P .0147) = 18.4% reduction; H7 (A/ Netherlands/219/2003) -.2067 (95% CI -0.5808 to 0.1674, P.225) = 13.3% reduction; H3 (A/Victoria/361/2011) -.04246 (95% CI -0.2699 to 0.185, P .664) = 2.9% reduction. Although the 18.4% reduction in titre of the H5 S-FLU after nebulisation was statistically significant, the minimal effects on the H7 and H3 batches did not reach statistical significance. We regard these small effects of the vibrating mesh nebuliser on infectious titer of S-FLU as not biologically significant.

We then assessed the aerosol droplet size distribution using a cascade impactor (Next Generation Impactor, Copley Scientific, UK) at 15 litres per minute vacuum flow rate. A known quantity of virus  $(2.13-3.39 \times 10^9 \text{ CID}_{50} \text{ in 4 ml VGM})$  was passed into the impactor, and subsequently harvested from each of the impactor stages, which fractionate the aerosol droplets by size. In three replicates the mean aerodynamic size of the aerosol droplets was

1.953 micrometers with a geometric standard deviation (GSD) of 1.795. The fine particle fraction, which is the fraction of the aerosol produced with a droplet size less than 5 micrometers, was 92.34 %, indicating that the aerosol produced was highly respirable.

#### Animals and immunizations

**Pigs**—All experiments were approved by the ethical review processes at the Pirbright Institute and Animal and Plant Health Agency (APHA), and conducted according to the UK Government Animal (Scientific Procedures) Act 1986. Both Institutes conform to ARRIVE guidelines. Eighteen, 5-6 week old landrace cross, female pigs were obtained from a commercial high health status herd (average weight of 10 kg at the beginning of the experiment). Pigs were screened for absence of influenza A infection by matrix gene real time RT-PCR and for antibody-free status by HI using four swine influenza virus antigens. Pigs were randomly divided into three groups of six and immunized as follows: 1) Control unimmunized; 2) Homologous (1353/09pdmH1N1) vaccine containing 2048 HAU administered I.M. in 1 ml and 3) H3N2 S-FLU administered by aerosol delivering approximately  $1.5 \times 10^8$  TCID<sub>50</sub> in 1ml. The animals received an identical booster immunization 21 days later. S-FLU was administered using an Aerogen Solo nebuliser attached to a custom made mask held over the animal's nose and mouth (Supplemental figure 1) following sedation.

For logistical reasons, two influenza A virus challenges were performed, with half of the animals challenged at 28 days post-boost (dpb) and the remainder at 30 dpb. Animals were challenged intranasally with  $1.5 \times 10^6$  PFU (plaque forming unit)/pig of 1353/09pdmH1N1. Two milliliters were administered to each nostril using a mucosal atomization device, MAD300 (Wolfe Tory Medical). As the analysis of samples from pigs challenged at days 28 and 30 did not show any significant differences, for simplicity in presentation the results of the assays carried out on pigs challenged on both days have been amalgamated in all figures.

**Ferrets**—4- to 6-month-old female ferrets that were seronegative by hemagglutination inhibition (HI) assay to circulating influenza A H1N1pdm09, H3N2 viruses were purchased from Triple F Farms, Sayre, PA. The ferret experiments were conducted in animal BSL2 laboratories at the National Institutes of Health (NIH) in compliance with the guidelines of the Institutional Animal Care and Use Committee. Ferrets were lightly anesthetized with isoflurane and immunized intranasally with two doses of  $10^7$  TCID<sub>50</sub> in 0.5 ml of A/ Switzerland/9715293/2013 S-FLU,  $10^7$  TCID<sub>50</sub> in 0.5 ml of A/Switzerland/9715293/2013 ca or 0.5 ml of L-15 21 days apart. The ferrets were challenged with  $10^6$  TCID<sub>50</sub> in 1 ml of influenza A/California/07/2009 (H1N1pdm09) or A/Switzerland/9715293/2013 (H3N2) virus.

**Pathological and histopathological examination of pig lungs**—Animals were humanely killed 5 days post challenge (dpc). At *post mortem* the lungs were removed and digital photographs taken of the dorsal and ventral aspects. Macroscopic pathology was scored blind as previously reported (12). Five lung tissue samples per animal from the right lung (2 pieces from the apical, 1 from the medial, 1 from the diaphragmatic and 1 from the accessory lobe) were collected into 10% neutral buffered formalin for routine histological

processing at the University of Surrey. Formalin fixed tissues were paraffin wax-embedded and 4-µm sections were cut and routinely stained with haematoxylin and eosin (H&E). Immunohistochemical staining of influenza virus nucleoprotein was performed in 4-µm tissue sections as previuolsy described (13). Histopathological changes in the stained lung tissue sections were scored by a veterinary pathologist blinded to the treatment group. Lung histopathology was scored using five parameters (necrosis of the bronchiolar epithelium, airway inflammation, perivascular/bronchiolar cuffing, alveolar exudates and septal inflammation) scored on a 5-point scale of 0 to 4 and then summed to give a total slide score ranging from 0 to 20 and a total animal score from 0 to 100 (6). The Iowa system includes both histological lesions and immunohistochemical staining for nucleoprotein, NP (14).

### Tissue sample processing

**Pigs**—Four nasal swabs (two per nostril) were taken daily after the challenge. Serum and heparin anti-coagulated blood samples were collected at the start of the study (prior to immunization) and at days 7, 14, 21, 28, 35, 42 and 49 after the first immunization. Broncho-alveolar lavage (BAL) and tracheobronchial lymph nodes (TBLN) were processed as previously described (6). Medial and diaghramatic lung cells were dissociated into a single cell suspension with the GentleMACS Octo dissociator (Miltenyi, UK), using C tubes (Miltenyi) with 5ml of serum free RPMI containing collagenase and DNAse (Sigma). Following dissociation the tubes were incubated at 37°C for 20 min and the resulting suspension mashed through a tea strainer using complete RPMI, the single cell suspension was filtered twice using a 100µm cell strainer, washed and red blood cells lysed. Cells were washed and cryopreserved.

**Ferrets**—Four ferrets from each group were sacrificed at 2 and 4 dpc and their lungs and nasal turbinates (NT) were harvested. Harvested tissues were homogenized in L-15 medium at 10% (w/v) for lung or 5% (w/v) for NT samples clarified by centrifugation at 2500 rpm for 10 min.

#### **Transmission studies in ferrets**

We performed airborne transmission studies using a caging system previously described (15). Briefly, four adult ferrets obtained from Triple F farms that were seronegative by HAI to citculating H1N1pdm09 and, H3N2 viruses were anesthetized by i.m. injection of a ketamine-xylazine mixture prior to i.n.immunization with two doses of H3N2 S-FLU or with L-15 medium alone (mock immunized). Twenty-one days after the second dose, ferrets were challenged with  $10^6$  TCID<sub>50</sub> of A/California/07/2009 virus. Challenged ferrets were placed into the section of the cage closest to the air inlet the day of challenge. One day later, a naive ferret was placed into the cage on the other side of the divider. Environmental conditions inside the laboratory were monitored daily and were consistently  $19\pm0.3^{\circ}$ C and  $60\pm2.2\%$  relative humidity. The transmission experiments were conducted in the same room, to minimize any effects of caging and airflow differences on aerobiology. The naive ferret was always handled before the infected ferret. Animals were carefully handled during nasal wash collections and husbandry in order to ensure no direct contact occurred between the ferrets. Nasal washes were collected every other day for 14 days and analyzed for the presence and titer of infectious viruses and expressed as 50% tissue culture infectious doses (TCID<sub>50</sub>) per

mL. On day 14 post-infection, blood was collected from each animal and serology was performed by HAI and MN assays.

**Virus titration**—Viral titers in nasal swabs and BAL from pigs were determined by plaque assay on MDCK cells as previously described (6). Clarified homogenates of ferret tissues were titrated on MDCK cell monolayers and virus titers were calculated by the Reed and Muench method and expressed as  $log_{10}$  TCID<sub>50</sub>/g.

**Microneutralization assay**—Neutralizing antibody titers in serum were determined as previously described (3, 16)

**IFN-\gamma ELISPOT**—Frequencies of IFN $\gamma$ -secreting pig PBMC and BAL cells were determined by ELISPOT using fresh or cryopreserved cells (6). Cells were stimulated with either 1 x 10<sup>6</sup> PFU live MDCK-grown1353/09pdmH1N1, 1×10<sup>5</sup> TCID<sub>50</sub> H3N2 S-FLU, medium control or 10µg/ml Con A (Sigma-Aldrich). Results were expressed as number of IFN $\gamma$ -producing cells per 10<sup>6</sup> cells after subtraction of the average number of IFN $\gamma$ <sup>+</sup> cells in medium control wells.

**Flow cytometry**—Cryopreserved mononuclear cells from blood, TBLN, BAL, spleen and lung were thawed and stimulated for 12 hours at 37°C with either 1 x10<sup>6</sup> PFU live MDCKgrown strain 1353/09pdmH1N1 or 1 x 10<sup>6</sup> TCID<sub>50</sub> H3N2 S-FLU or MDCK mock supernatant as control. GolgiPlug (BD Biosciences) was added for a further 4 hours before intracellular cytokine staining. Cells were stained for surface markers with CD3e-AF647 BB23-8E6-8C8, CD4 clone 74-12-4 PerCpCy5.5, CD8a-FITC 76-2-11 (BD Biosciences) and Near-Infrared Fixable Live/Dead stain (Invitrogen). Cells were permeabilized using Cytofix Cytoperm (BD Biosciences) before intracellular staining with IFN- $\gamma$  PE P2G10 (BD Biosciences) and cross-reactive anti-human TNFa-AF650 Mab11 (Biolegend). Samples were fixed in 1% paraformaldehyde before analysis using an LSRFortessa (BD Biosciences). Data was analysed using FlowJo v10 (Treestar).

**Lung tissue resident memory cells**—Before sacrifice three animals from each group were infused intravenously with 10 ml of 3.24 mg/ml purified CD3 antibody (clone PPT3) and sacrificed 10 minutes later. Lymphocytes were isolated and stained *ex vivo* with antimouse Ig-FITC (Southern Biotec) which labels the circulating intravascular cells. The cells are washed and normal mouse serum is then added to block any remaining binding capacity of the anti Ig-FITC. The cell are then washed again and incubated with CD3 antibody labelled with PeCy5 (AbCam). This will bind unsaturated sites of the circulating cells which are therefore double labelled as well as all the sites on the T<sub>RM</sub> which are not accessible to the CD3 antibody given intravenously. T<sub>RM</sub> as therefore single labelled with PeCy5.

To allow intracytoplasmic staining of  $T_{RM}$  the i.v. CD3 was detected with goat anti-mouse IgG BV421 (Biolegend) and blocked using normal mouse serum as above. Surface markers used were CD3 $\epsilon$ -biotin PPT3 (AbCam), CD4 clone 74-12-4 PerCpCy5.5, CD8 $\alpha$ -FITC 76-2-11 (all BD Biosciences) and Near-Infrared Fixable Live/Dead stain (Invitrogen). Biotinylated CD3 was visualised with a streptavidin AF647 (Biolegend). Cells were permeabilized using Cytofix Cytoperm before intracellular staining with IFN- $\gamma$  PE P2G10

(BD Biosciences) and cross-reactive anti-human TNFa-AF650 Mab11 (Biolegend). Samples were fixed in 1% paraformaldehyde before analysis using an LSRFortessa.

**Statistical analysis**—One-way or two-way ANOVA with Dunnets post-test for multiple comparisons were used to compare immunized groups to the control group, analysis was performed using GraphPad Prism 6.

# Results

## Viral load and lung pathology in pigs

Groups of 6 pigs were immunized twice 3 weeks apart with an inactivated virus with adjuvant intramuscularly (Homologous inactivated) or with H3N2 S-FLU by aerosol (S-FLU). The control group was unimmunized (control). Aerosol immunization was carried out using a purpose built mask and Aerogen Solo nebuliser which allowed efficient vaccine delivery in less than 5 min to the sedated animals after we had established that the nebuliser did not affect the titer of the S-FLU vaccines and provided a droplet size appropriate for delivery to the lower respiratory tract (Supplemental Figure 1). Four weeks after the second immunization the animals were challenged intranasally with swine isolate of pandemic H1N1 A/Sw/Eng/1353/09 (1353/09pdmH1N1) virus and sacrificed 5 days post challenege (dpc).

The pigs immunized with the Homologous inactivated vaccine showed the greatest and statisctically significant reduction of challenge virus in the nasal swabs at 1, 2, 3, 4 and 5 dpc (Fig 1A). S-FLU did not reduce viral shedding in nasal swabs significantly at any day post challenge (Fig 1A), although 2 out of the 6 S-FLU immunized animals did not shed virus on day 5. No virus was detected in the BAL of the Homologous inactivated vaccine group, and although S-FLU reduced viral load in the BAL, with no virus in 3 animals, this reduction was not statistically significant (Fig 1B).

Following challenge the unimmunized animals developed typical gross lesions of influenza virus infection (17). Histopathology showed lesions consisting of severe multifocal interstitial pneumonia, attenuation of the bronchial and bronchiolar epithelium, presence of inflammatory infiltrates within the interalveolar septa and the alveolar lumen, and oedema. Immunohistochemical detection of influenza virus nucleoprotein (NP) showed many positive cells within the endothelium of bronchi and bronchioles (Fig 2).

Animals immunized with the Homologous inactivated vaccine showed very few gross pathological lesions. Histologically, only a few lung sections showed mild interstitial pneumonia and necrosis of the bronchial and bronchiolar epithelium. Virus NP immunostaining was restricted to very few inflammatory cells within the interalveolar septa. The S-FLU immunized animals showed small areas of gross pathology. Histopathology showed mild to moderate interstitial pneumonia, oedema and epithelial necrosis within the bronchi and bronchioles. Few bronchiolar epithelial and inflammatory interstitial cells exhibited nucleoprotein immunostaining (Fig 2). These results indicated that immunization of pigs with a group 2 H3N2 S-FLU significantly reduced gross and histopathology, but did not significantly reduce the viral load in nasal swabs and BAL after heterologous challenge with group 1 H1N1pdm09 virus.

#### Antibody and IFN<sub>Y</sub> ELISPOT responses in pigs

We determined the antibody response in pigs using microneutralization assay. Sera from the Homologous inactivated vaccine group had neutralizing antibody, with mean inhibitory titers of 1:2291 at 7 days post boost (dpb), 1:1166 at 28 dpb and at 1:1801 at 5 dpc. This indicates that the Homologous inactivated vaccine was successfully delivered and induced anti-1353/09pdmH1N1 neutralizing antibodies as expected. Also as expected, no neutralizing antibody to the H1N1 virus was detected in the S-FLU or in the unimmunized controls (Fig 3A).

We determined influenza-specific T cell responses in PBMC in pigs by IFN $\gamma$  ELISPOT at 7 dpb and 28 dpb, just before the challenge, and at the time of sacrifice 5 dpc. PBMC were stimulated with either the challenge virus 1353/09pdmH1N1 or with H3N2 S-FLU. Both homologous inactivated vaccine and S-FLU immunized animals showed a virus specific response to the challenge virus at 7 days post boost (dpb), which was higher in the homologous inactivated group (mean 91 for the homologous inactivated vaccine and 27 for S-FLU SFC per 10<sup>6</sup> cells). The response to stimulation with H3N2 S-FLU was minimal (mean 39 SFC in homologous inactivated and 17 SFC in S-FLU group). At 28 dpb, just before the challenge, the response was undetactable in any of the groups. At 5 dpc the S-FLU immunized animals showed the strongest response to both 1353/09pdmH1N1 and H3N2 S-FLU stimulation (mean 665 and 1211 SFC per 10<sup>6</sup> cells for homologous inactivated vaccine and S-FLU groups respectively) (Fig 3B). The reduced response in the homologous inactivated vaccine group was most likely due to the lack of antigen stimulation because of the greatly reduced influenza A viral load in these animals.

The response in the BAL showed a similar trend. However the detectable response was apparently much weaker (~ 30 SFC per  $10^6$  cells for S-FLU) because of the low percentage of T cells in BAL. There was also a high medium control background most likely because of the presence of many activated cells in the BAL (Fig 3C). td. These data show that as expected the Homologous inactivated vaccine induced a strong antibody response, while in contrast S-FLU did not induce detectable neutralizing antibody, but these animals had the highest number of IFN $\gamma$  producing cells following stimulation with either 1353/09pdmH1N1 or H3N2 S-FLU post challenge.

### Analysis of cytokine producing cells

In order to dissect which cells produce cytokines, we performed intracellular staining for IFN $\gamma$  and TNF $\alpha$  combined with surface staining for CD4, CD8 and CD4CD8 cell subsets. The latter are the activated memory CD4 cells in pigs (18).To analyze local immune responses tracheobronchial lymph nodes (TBLN), lung and BAL cells were stimulated with either the challenge virus 1353/09pdmH1N1 or with H3N2 S-FLU. S-FLU immunization induced the highest proportion of double IFN $\gamma$ TNF $\alpha$  cytokine producing CD8 cells in LN, BAL and lung, followed by single IFN $\gamma$  or single TNF $\alpha$  producers in the TBLN (Fig 4A).

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Similarly the S-FLU immunized animals had the highest proportion of CD4CD8 cells producing single IFN $\gamma$  and double IFN $\gamma$ TNFa cytokines in the BAL and lung (Fig 4B). A statistically significant proportion of single TNFa producers was observed in TBLN CD8 and CD4CD8 cells. The CD4 response was negligible in all tissues and is not shown. In contrast to the local tissues, systemic responses analysed in PBMC and spleen showed lower proportions of CD8 or CD4CD8 antigen specific cells (data not shown).

In summary, the S-FLU immunization induced a strong local lung response to influenza A virus and S-FLU. The high frequency of these single and double IFN $\gamma$  and TNFa producers may account for the protective efficacy of local immunization.

## Tissue resident memory cells (T<sub>RM</sub>)

Since we have shown that aerosol immunization with H3N2 S-FLU induced a strong local immune response, we wished to establish whether the responding cells were part of the  $T_{RM}$  population. To distinguish  $T_{RM}$  in the lungs of pigs from circulating cells present in the vasculature of the tissue, we administered CD3 monoclonal antibody intravenously 10 minutes before sacrificing the animal. After sacrifice, the lymphocytes were isolated and stained *ex vivo* with anti-mouse IgG-FITC and with the same clone of CD3 directly labelled with PeCy5. As the infused CD3 does not saturate all CD3 sites, blood, spleen and some lung tissue T cells are double positive (intravascular cells) (Fig 5A). In contrast most BAL and some lung tissue cells are only CD3PeCy5 positive indicating that they are inaccessible to the antibody in the blood ( $T_{RM}$ ). Lymph nodes were inaccessible to the infused CD3 antibody, as has been shown to be the case by others (19). There was no difference in the proportions of  $T_{RM}$  and intravascular cells in the immunised and control pigs (data not shown). A similar pattern has been observed in more than 20 animals from other studies.

As BAL is 90% stained only by the *ex vivo* CD3PeCy5, while the blood and spleen are double labelled, this indicates that most BAL cells are part of the blood inaccessible pool of  $T_{RM}$  cells. Because BAL gives a strong antigen specific response we can conclude that S-FLU is inducing lung  $T_{RM}$ . Our staining in lung indicates that a proportion of lung T cells (39%) are inaccessible to intravenous CD3 antibody and therefore also part of the  $T_{RM}$  population. The  $T_{RM}$  in the three S-FLU immunized animals treated with intravenous antibody, had a higher proportion of antigen specific cells producing IFN $\gamma$  and TNF $\alpha$  than the lung intravascular population (Fig 5B) following stimulation with either the challenge virus 1353/09pdmH1N1 or with H3N2 S-FLU. The comparison between intravascular and  $T_{RM}$  cells in the Homologous inactivated and control groups is unreliable, because there were few responding cells and therefore very few events in the gated populations (data not shown). These results demonstrate that aerosol immunization with H3N2 S-FLU induces a large lung tissue resident memory population.

#### Evaluation of the H3N2 S-FLU vaccine in ferrets

We next determined the protective efficacy of the same batch of H3 SFLU in ferrets, using as a positive control live attenuated virus H3N2 cold-adapted (ca) as we have previously used similar ca viruses for this purpose in ferrets (5). We also immunised intranasally rather than by aerosol as in anesthetized small animals, intranasal administration has been shown to

reach the lungs (20, 21). Groups of 12 ferrets were immunized intranasally twice with H3N2 S-FLU or H3N2 ca and 12 ferrets were mock-vaccinated. Three weeks later, half the animals in each group (n=6 per group) were challenged with intranasally delivered homologous wild-type (wt) influenza A/Switzerland/9715293/2013 (H3N2) and the other half with a heterologous influenza A/California/07/2009 (H1N1pdm09) virus. On days 2 and 4 post-challenge, three ferrets in each group were sacrificed and virus titers in lungs and nasal turbinates were assessed. The homologous wt H3N2 virus did not replicate in the lower respiratory tract of mock-immunized (or vaccinated) ferrets (Fig 6A) so the efficacy of the vaccines in protecting against pulmonary virus replication could not be assessed. However wt H3N2 virus replicated to moderately high titer (mean  $10^{4.95}$ TCID<sub>50</sub>/g) in the nasal turbinates (NT) at 4 dpc and both vaccines prevented replication of the challenge virus in the NT (p<0.05).

The H1N1pdm09 virus that was used for heterosubtypic challenge replicated to high titer in the NT (mean  $10^{7.8}$  and  $10^{6.5}$  TCID<sub>50</sub>/g at 2 and 4 dpc, respectively) and to modest to moderate titer (mean  $10^{2.9}$  and  $10^{4.3}$  TCID<sub>50</sub>/g at 2 and 4 dpc) in the lungs (Fig 6B). Both the S-FLU and ca vaccine viruses provided modest reduction ( $10^{4.6}$  and  $10^{3.4}$  TCID<sub>50</sub>/g, respectively) in H1N1pdm09 titers in the NT at 2 dpc and further reduction ( $10^{2.3}$  and  $10^{2.8}$ , respectively) at 4 dpc though only the S-FLU group on day 4 was significantly different from the mock-immunized group (p<0.05). A statistically significant reduction in lung virus titers was observed on day 4 post-challenge, only in animals that had received the H3N2 ca virus vaccine (p=0.002).

#### Effect of H3N2 S-FLU vaccine on transmission in ferrets

We next determined whether immunization with H3N2 S-FLU would prevent transmission of the heterologous H1N1pdm09 challenge virus. Four ferrets each were vaccinated intranasally with two doses of H3N2 S-FLU and 4 ferrets were mock vaccinated; the ferrets were challenged intranasally with influenza A/California/07/2009 (H1N1pdm09) 21 days after the second dose of vaccine and were placed in transmission cages. The following day, an unvaccinated naïve ferret was introduced adjacent to each infected ferret. The experimentally infected and respiratory contact ferrets were followed with nasal washes and serology to determine whether the H1N1pdm09 virus transmits by the respiratory route from experimentally infected to airborne contact ferrets. One ferret each in the mock-immunized and H3N2-S-FLU vaccinated group reached their humane endpoints 9 days post-challenge from an intercurrent infection in the animal house. Unfortunately, we were not able to identify the etiology of the intercurrent infection that caused weight loss in ferrets. None of the ferrets were found dead. They were euthanized in accordance with our approved animal study protocol due to weight loss. The virus inoculum was not contaminated with bacteria and the virus dose was confirmed to be correct.

All four of the mock-immunized ferrets were infected with H1N1pdm09 and transmitted to their airborne contacts (Fig 7). Infection with and transmission of the H1N1pdm09 virus was greatly reduced in frequency and viral load in the animals that were immunized with H3N2 S-FLU vaccine and their airborne contacts. Challenge virus was detected in nasal washes of 1 of 3 H3N2 S-FLU-vaccinated ferrets but all 3 seroconverted. Virus was detected in 2 of 3

of the corresponding airborne contact animals at low titer for a limited period but neither of the contact animals seroconverted. Thus, the H3N2 S-FLU vaccine severely restricted shedding of the H1N1pdm09 challenge virus, though infection did occur. Although airborne transmission occurred, the intensity of the transmitted infection to airborne contact ferrets was markedly reduced with low titer, short duration viral shedding and no seroconversion in airborne contact ferrets.

## Discussion

There is strong epidemiological evidence for an association between a cross-reactive T cell response and heterologous protection between group 1 and 2 influenza A viruses in humans (22, 23). In the first of these studies the association with the T cell response was predominantly with reduced fever and symptoms (22), whereas in the second the T cell response to NP was associated with reduced viral shedding in symptomatic volunteers (23). In these studies the correlation was with the T cell response in peripheral blood that had likely been induced by prior natural infection. This evidence, combined with a long history of animal studies demonstrating the protective effect of T cells induced by live influenza virus infection (24) provides the rationale for developing a safe and BPIV that induces a strong T cell response in the human lung. Here we compared the outcome of heterosubtypic influenza virus challenge after S-FLU vaccination in both pigs and ferrets. H3N2 S-FLU immunization of pigs had a minimal effect on H1N1pdm09 replication after challenge but a significant effect on pathology. By contrast in the ferret the same vaccine preparation induced sterile immunity to the matched H3N2 challenge, and reduced replication and aerosol transmission to naïve recipients following H1N1pdm09 challenge. Our results also show that aerosol delivery of H3N2 S-FLU vaccine is safe and induced strong local lung immune responses and T<sub>RM</sub> in BAL and lung tissues of pigs.

In earlier experiments in ferrets and mice in which there was a complete mismatch between the immunizing H1N1 or H5N1 (group 1) S-FLU and challenge H3N2 and H7N9 (group 2) we observed a significant reduction in replication of the infectious challenge virus (3, 5). In the present study we used another mismatched immunization and challenge combination and confirmed a significant effect on replication of the challenge virus in ferrets but not pigs. A possible reason for the difference between pigs and ferrets might be that the H3N2 S-FLU used here is coated with the clade 3C.3a H3 haemagglutinin. This H3 is exquisitely specific for alpha2-6 sialic acid, but has low affinity (25) and although the pig respiratory tract expresses both alpha2-3 and 2-6 (26), it is possible that the binding of H3 to the pig respiratory tract is poor. Experiments with S-FLU coated in different HAs may resolve this. Another possibility is that although H3N2 S-FLU induced a strong local response against the immunizing and challenge viruses, this was insufficient to prevent replication of the challenge virus. We speculate that a higher dose of vaccine might be required as earlier work in pigs immunized with attenuated influenza showed a reduction in challenge virus replication despite mismatching (27). Further work to examine whether a higher dose of vaccine is required to fully protect the lungs of large animals need to be performed.

In other experiments in small animals the effect of fully heterosubtypic immunization was similar to what we observe in pigs. For example a single-replication cycle H1N1 (group 1)

BPIV based on the partial deletion of the M2 gene (28) induced sterilising immunity against matched challenge and protected mice against death from heterosubtypic H3N2 (group 2) challenge, but did not prevent viral replication in NT and lung. The protective effect was associated with the induction of crossreactive T cells but not antibody, and a reduced inflammatory neutrophil infiltrate in the lung. In ferrets with partial matching of a single cycle live attenuated virus, where vaccine (H1N1, group 1) and challenge (H5N1, group 1) were selected from the same genetic group, protection was associated with the induction of crossreactive T cells, and challenge viral replication was reduced (29). These results suggest that protective immune responses to live attenuated or single cycle viruses may be cumulative, and partial matching between vaccine and challenge within the same genetic group can add incremental protective value through the induction of crossreactive antibodies, as strongly suggested by the epidemiology of human infections (30). Unfortunately the group of origin of future pandemic or even seasonal viruses cannot be predicted.

It is increasingly evident that local immune responses and particularly lung  $T_{RM}$  play a major role in protection against influenza viruses in mice (9, 10, 31). Pulmonary  $T_{RM}$  in the BAL and lung tissues, have greater protective capacity than circulating memory CD8 T cells (9, 32, 33). BAL  $T_{RM}$  are associated with reduced symptoms and viral load in respiratory syncytial virus infection in humans (34). Here for the first time we show that we can distinguish  $T_{RM}$  in pigs as has been shown in mice following intravenous administration of CD3 antibody. We demonstrate that more than 90% of BAL cells are inaccessible to intravascular antibody as well as a proportion of the lung tissue cells. Aerosol immunization with H3N2 S-FLU induces a strong immune response of these cells, which may not reduce viral replication, but may be able to induce a beneficial reduction in local inflammation, through the release of immuno-modulating cytokines (35–37).

In summary our data show that the same vaccine has different protective efficacy in pigs and ferrets. In the absence of antibody, lung T cell immunity can consistently reduce disease severity, but does not always abolish viral replication. We suggest that candidate BPIV should be tested in more than one species. The pig maybe a relevant large animal model because it is a natural host for influenza viruses and has very similar respiratory anatomy to humans.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Figure 1. Viral load in nasal swabs.

Pigs were immunized twice 21 days apart with either homologous inactivated vaccine by the I.M. route (Homologous) or H3N2 S-FLU by aerosol (S-FLU). Controls were unimmunized pigs. Animals were challenged with 1353/09pdmH1N1 28 or 30 days after the boost. Nasal swabs were taken at 0, 1, 2 3, 4, 5 and pigs sacrificed at 5 dpc. As the analysis of samples from pigs challenged at days 28 and 30 did not show any significant differences, for simplicity in presentation the results of the assays carried out on pigs challenged on both days have been amalgamated in this and other figures.Viral titres in the nasal swabs (A) and BAL (B) were determined by plaque assay. The mean value for shedding for each group is shown over the 5 days (A). Each data point represents an individual within the indicated group and bars represent the mean (B). \* denotes significant difference between the indicated groups and controls \* p < 0.05, \*\* p < 0.01 determined using one-way ANOVA with Dunn's test for multiple comparison



#### Figure 2. Gross and histopathology.

Pigs were immunized twice 21 days apart with either homologous inactivated vaccine I.M. (Homologous) or H3N2 S-FLU by aerosol (S-FLU). Controls were unimmunized animals. Animals were challenged with 1353/09pdmH1N1 on 28 or 30 days after the boost. Animals were sacrificed at 5 dpc and lungs scored for appearance of gross pathology (**A**) and histopathological lesions (**B**). Each data point represents an individual within the indicated group and bars represent the mean \* denotes significant difference from the control group \*\* p < 0.01, \*\*\* p < 0.005. \*\*\*\*p < 0.0001 determined using one-way ANOVA with Dunn's test

for multiple comparisons. (C) Representative lungs, H & E and immunohistochemical NP staining of representative sections for each group are shown.

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## Figure 3. Antibody and IFN<sub>γ</sub> ELISPOT responses in pigs.

Pigs were immunized twice 21 days apart with either homologous inactivated vaccine I.M. (Homologous) or S-FLU by aerosol (S-FLU). Animals were challenged with 1353/09pdmH1N1 on 28 or 30 days after the boost. (**A**) Individual 50% inhibition titers in the serum at 7 days post boost (7 dpb), 28 dpb just before the challenge and 5 days post challenge (dpc). Numbers of IFN $\gamma$  spot forming cells (SFC) in PBMC (**B**) and BAL (**C**) were determined by ELISPOT following stimulation with the challenge virus 1353/09pdmH1N1 or H3N2 S-FLU *in vitro*. Results for 1353/09pdmH1N1 and H3N2 S-

FLU stimulation were expressed as number of IFN $\gamma$ -producing SFC per 10<sup>6</sup> cells after subtraction of the average number of IFN $\gamma^+$  cells in medium control wells. Cells cultured in medium alone are also shown to indicate the background obtained. Each data point represents an individual within the indicated group, \* denotes significant difference from the control group \* p < 0.05, \*\*\* p < 0.005 determined using two-way ANOVA with Dunnet's test for multiple comparisons.





Flow cytometry was used to quantitate the frequency of IFN $\gamma$ , IFN $\gamma$ TNF $\alpha$  and TNF $\alpha$  positive cells within CD8hi (**A**) and CD4+CD8+ (**B**) cells at 5 dpc. Cells were stimulated with either challenge virus 1353/09pdmH1N1 or H3N2 S-FLU. Each data point represents an individual within the indicated group, \* denotes significant difference from the control group \* p < 0.05, \*\* p<0.01, \*\*\* p < 0.005 determined using two-way ANOVA with Dunnet's test for multiple comparisons.



#### Figure 5. Porcine lung T<sub>RM</sub>.

(A) Before sacrifice three pigs from each group were infused intravenously with CD3 antibody and sacrificed 10 minutes later. Lymphocytes were isolated and stained *ex vivo* with anti-mouse Ig-FITC and the same CD3 antibody labelled with PeCy5. As the infused CD3 does not saturate all CD3 sites, blood, spleen and some lung tissue T cells are double positive (intravascular cells). BAL and some of lung cells are unstained by intravascular antibody ( $T_{RM}$ ). (B) Lower panels show IFN $\gamma$  and TNF $\alpha$  production by intravascular and

 $T_{RM}$  CD8 and CD4CD8 cells in H3N2 S-FLU immunized animals after *in vitro* stimulation with either challenge virus 1353/09pdmH1N1 or H3N2 S-FLU.





Ferrets were lightly anesthetized with isoflurane and immunized intranasally with two doses of  $10^7 \text{ TCID}_{50}$  in 0.5 ml of A/Switzerland/9715293/2013 S-FLU,  $10^7 \text{ TCID}_{50}$  in 0.5 ml of A/Switzerland/9715293/2013 ca or 0.5 ml of L-15 21 days apart. The ferrets were challenged with  $10^6 \text{ TCID}_{50}$  in 1 ml of influenza A/Switzerland/9715293/2013 (H3N2) (A) or A/California/07/2009 (H1N1pdm09) (B) virus. Ferrets from each group were sacrificed on days 2 (D2) and 4 (D4) post-infection and viral load in their lungs and nasal turbinates

(NT) determined and expressed as  $\log_{10} \text{TCID}_{50}/\text{g}$ . Horizontal bars represent mean titers and symbols represent titers from individual ferrets. \* p<0.05.



H3N2 S-Flu-immunized pair



Figure 7. Ferrets immunized with H3N2 vaccine were protected against challenge infection with H1N1pdm09 virus and the transmission to naïve animals was restricted.

Four ferrets were vaccinated intranasally with two doses H3N2 S-FLU and 4 ferrets were mock vaccinated. Twenty one days after the second immunization the ferrets were challenged intranasally with influenza A/California/07/2009 (H1N1pdm09) and the ferrets were placed in transmission cages. The following day, an unvaccinated naïve ferret was introduced adjacent to each infected ferret. Nasal washes were collected every other day for 14 days and virus titers in the experimentally infected and airborne contact ferrets are presented. Each bar represents a single ferret. One ferret each in the mock-immunized and

H3N2-S-FLU vaccinated group reached their humane endpoints 9 days post-challenge from an intercurrent infection in the animal house (etiology not identified).